



Lattice-based Cryptography

Léo Ducas

CENTRUM WISKUNDE & INFORMATICA, AMSTERDAM
LEIDEN UNIVERSITY, MATHEMATICAL INSTITUTE



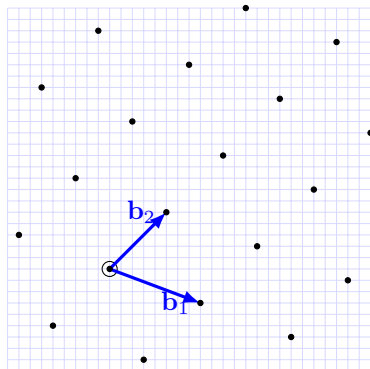
THE MATHEMATICS OF POST-QUANTUM CRYPTOGRAPHY,
, BONN, DEC 2024

- Lattices
- Public Key Encryption with Lattices
- Digital Signatures with Lattices
- Cryptanalysis: Lattice Reduction

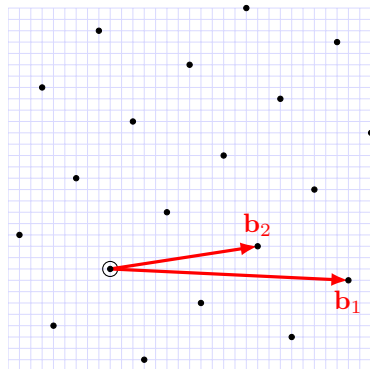
Lattices and their Bases

Lattices are (infinite) regular grids of point in (euclidean) space. They can be finitely described thanks to their bases.

Example in Dimension 2:



Good Basis \mathbf{G} of L

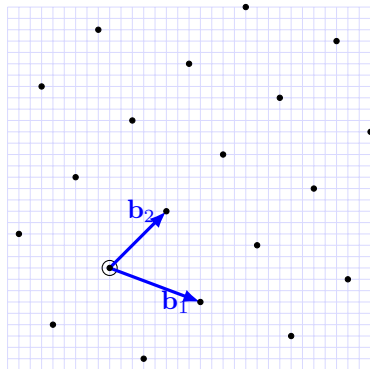


Bad Basis \mathbf{B} of L

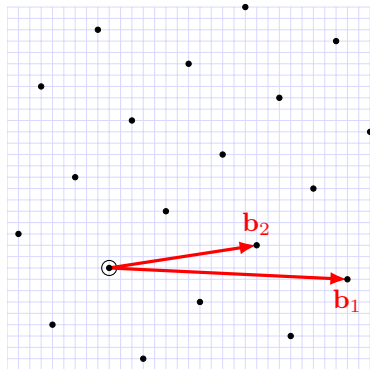
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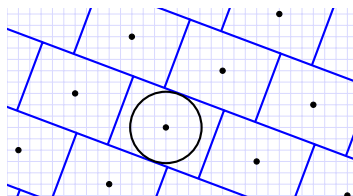


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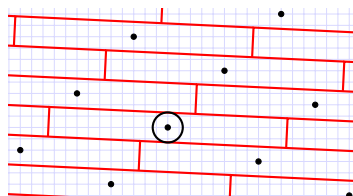
$\mathbf{G} \rightarrow \mathbf{B}$: easy (randomization);
 $\mathbf{B} \rightarrow \mathbf{G}$: hard (LLL, BKZ, Lattice Sieve...).

Using Lattices in Cryptography

Bases allow to 'tile' the space, and perform error correction.



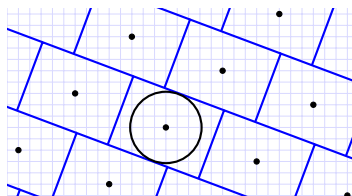
Decoding radius with \mathbf{G}^*



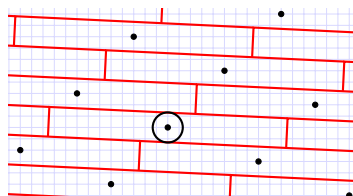
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Decoding radius with \mathbf{G}^*



Decoding radius with \mathbf{B}^*

As dimension grows > 2 , the error tolerance gap between \mathbf{G} and \mathbf{B} grows exponentially.

Lattice-Based Asymmetric Cryptography

- secret key = good basis \mathbf{G}
- public key = bad basis \mathbf{B}

Public Key Encryption with Lattices

Public Key Encryption with Lattices

Encryption Procedure

- View the message as a lattice point $m \in L$ (can do with **B**)
- Choose a random small error vector e (e.g. binary)
- Return ciphertext $c = m + e$

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Decryption Procedure

- Tile to recover the center m of the tile (should do with **G**)
- Return decrypted message m

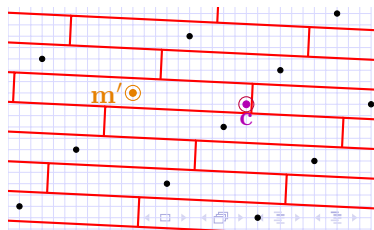
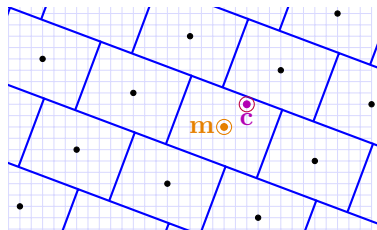
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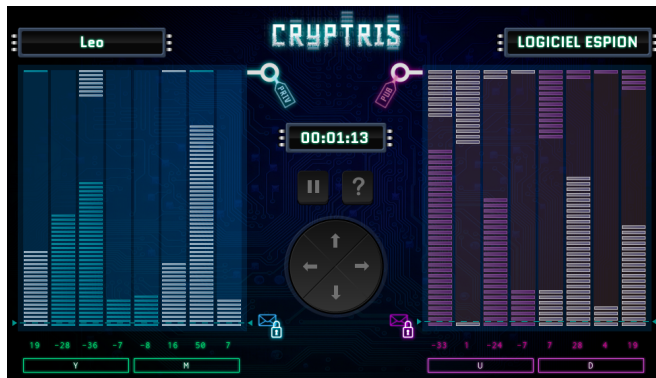


Lattice-based Encryption is as simple as Tetris

It might be hard to get intuition for lattice in dimension > 2 ...

Cryptris:

A serious game to understand how it works, and why it is secure.



Developed with **Inria** (FR), translated to EN and NL at **CWI**
<https://cryptris.nl/>

Simple to Implement

- Encryption involve a Matrix-Vector product
- Tiling is a more involved, but Decryption can be simplified
- We can choose q -ary lattices, to make all computation mod q

Structured Lattices

- Use circulant blocks in the matrix to improve compactness

$$\begin{bmatrix} c_0 & c_{n-1} & \dots & c_2 & c_1 \\ c_1 & c_0 & c_{n-1} & & c_2 \\ \vdots & c_1 & c_0 & \ddots & \vdots \\ c_{n-2} & & \ddots & \ddots & c_{n-1} \\ c_{n-1} & c_{n-2} & \dots & c_1 & c_0 \end{bmatrix}$$

- Speed benefits as well thanks to Fast Fourier Transform

Digital Signatures with Lattices

And why they are a bit more painful

A Naive Approach

RSA “Hash-then-Sign” Signatures

- Signature : Set $\text{sig} := \text{RSA-decrypt}(\text{Hash}(\text{message}))$
- Encryption : Check $\text{RSA-encrypt}(\text{sig}) = \text{Hash}(\text{message})$

Could we just do the same with lattices ?

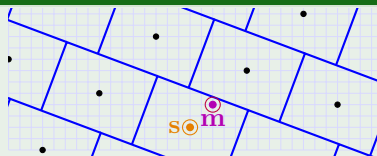
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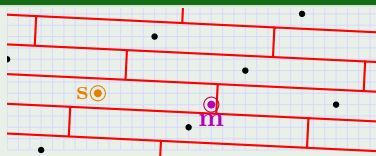
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Yes !



Correct signature (close)



Incorrect signature (far)

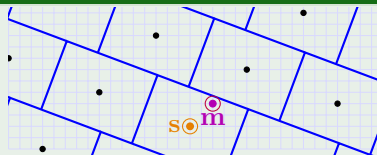
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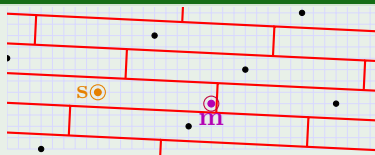
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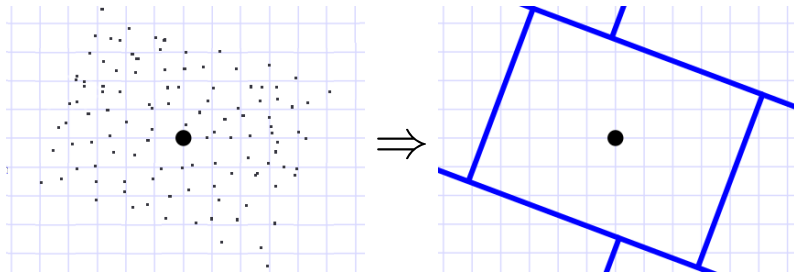


Incorrect signature (far)

but ...

It's only secure if you don't use it much...

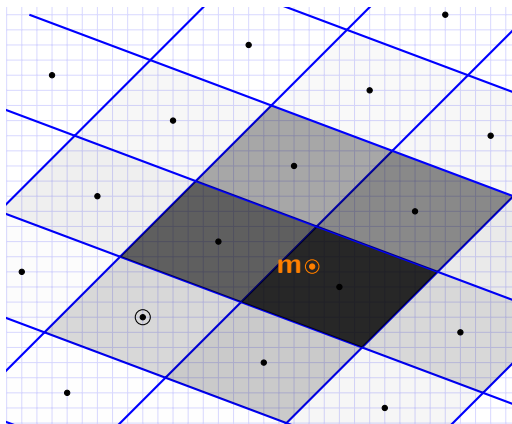
The distribution of signatures leaks the secret key !



A Provably Secure Randomisation: Discrete Gaussian

Gentry-Peikert-Vaikuntanathan 2008

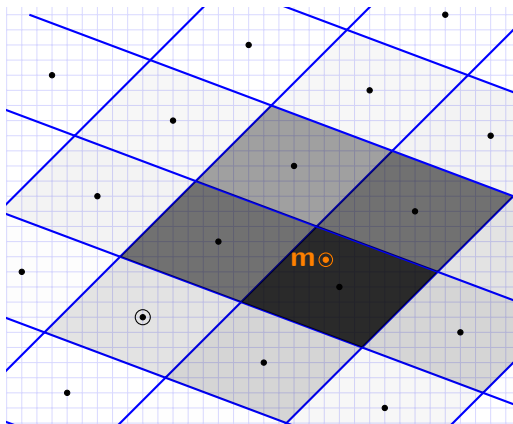
Idea: Hide the tile by randomized rounding



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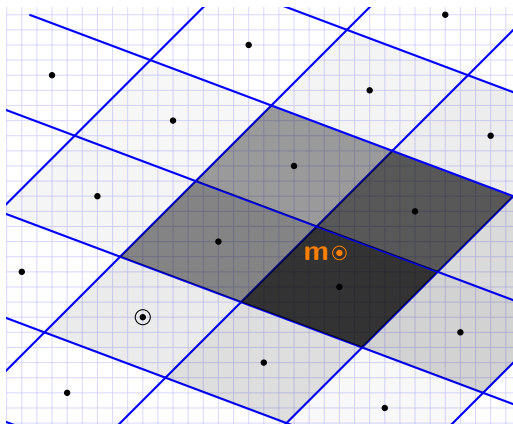
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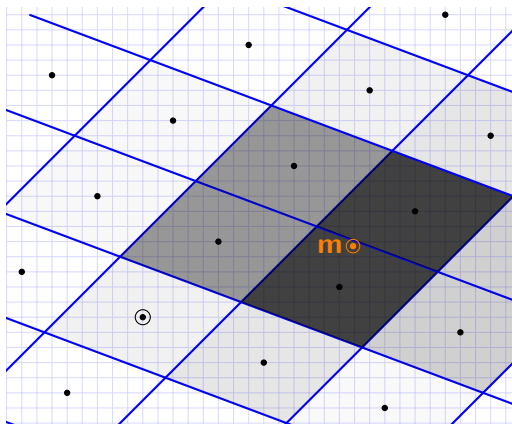
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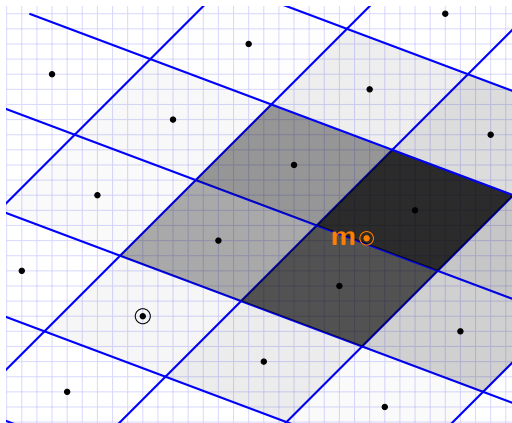
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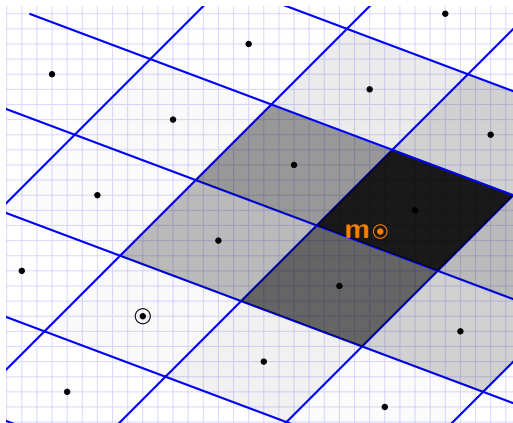
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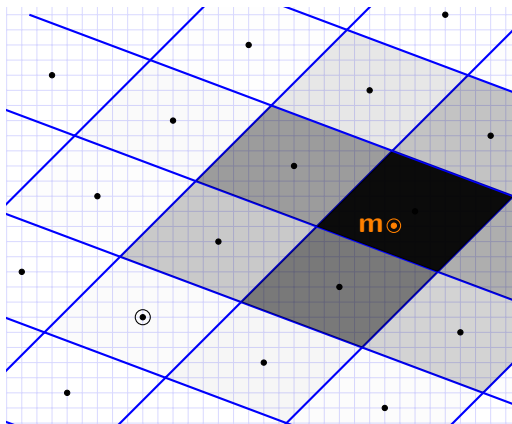
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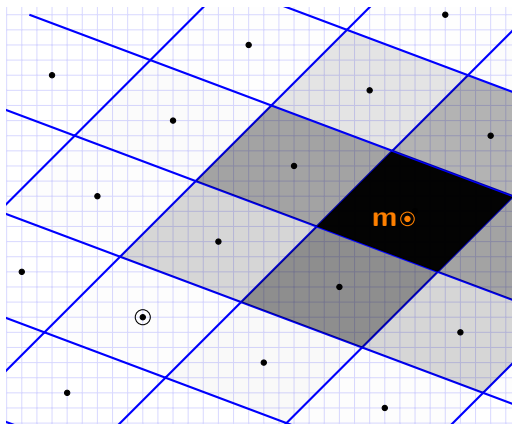
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Implementation Details

- Linear algebra mod q (as for Encryption)
- Linear algebra over the real numbers
- Sampling from very specific distribution

Requires Floating Point Arithmetic

Something never done in crypto before !

- Numerical precision issues
- Determinism issues
- Timing side-channel issues

Cryptanalysis: Lattice Reduction

Reduction

Find $a \left\{ \begin{array}{l} \text{unique} \\ \text{canonical} \\ \text{good} \end{array} \right\}$ representative $a \in X$

of a given class $c \in X/\sim$.

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Lattice Reduction

Find a good basis $B \in \mathcal{G}_n(\mathbb{R})$

of a lattice $\mathcal{L} \in \frac{\mathcal{G}_n(\mathbb{R})}{\mathcal{G}_n(\mathbb{Z})}$.

Invariants

B and B' generate the same lattice iff:

$$\exists U \in \text{GL}_n(\mathbb{Z}) \text{ st } B' = B \cdot U.$$

$\Rightarrow \det(\mathcal{L}) := \det(B)$ is an invariant of \mathcal{L} .

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Gram-Schmidt Orthogonalisation

$$b_i^* := \pi_{(b_1, \dots, b_{i-1})}^\perp (b_i)$$

$$= b_i - \sum_{j < i} \frac{\langle b_i, b_j^* \rangle}{\langle b_j^*, b_j^* \rangle} \cdot b_j^*$$

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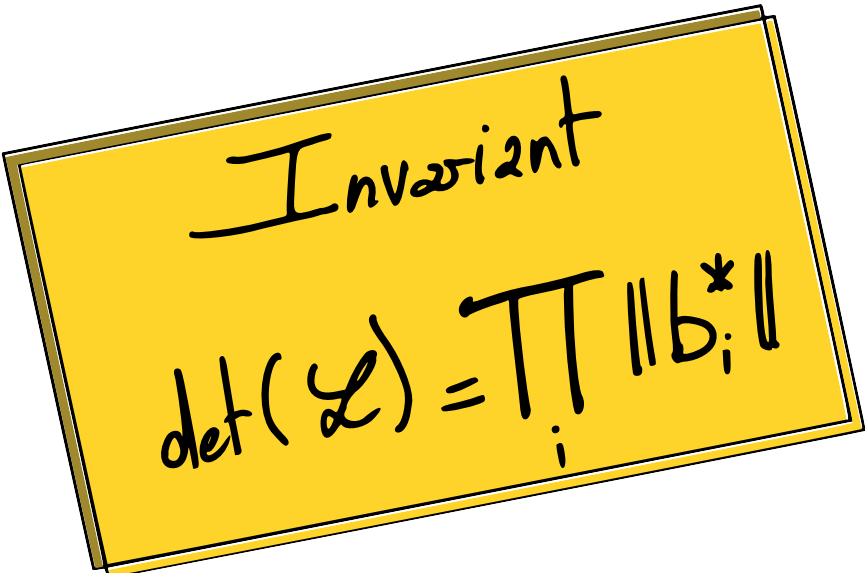
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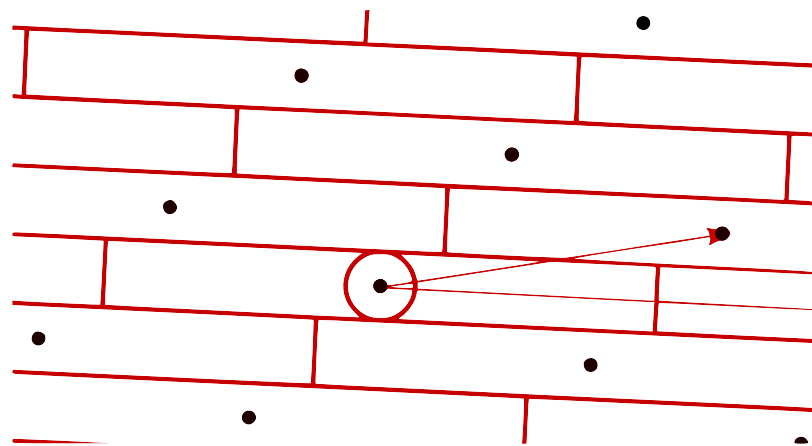
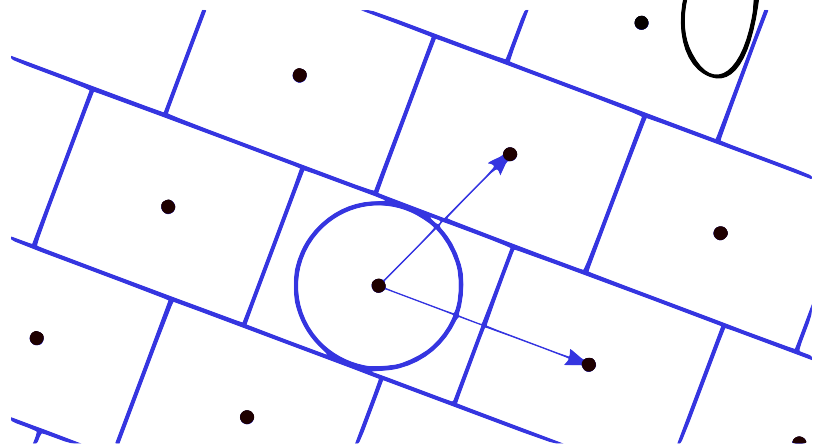
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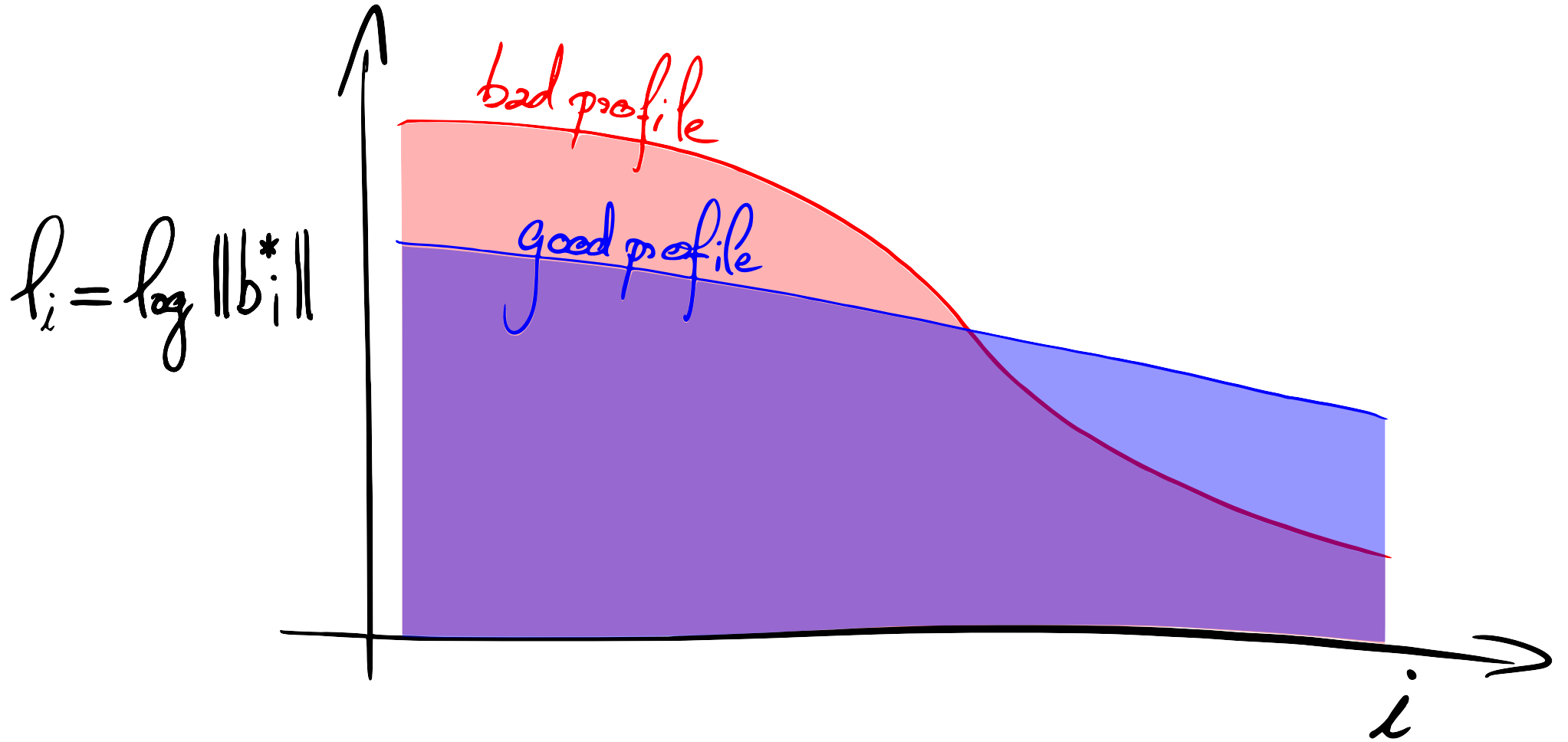
Good basis



"Good basis" \Leftrightarrow Fundamental Parallelepiped $P(B^*)$
is "close" to a hypercube

$$\Leftrightarrow \|b_1^*\| \approx \|b_2^*\| \approx \dots \approx \|b_n^*\|.$$

Profile



Area ■ = Area ■ = $\log \det(\mathcal{L})$, invariant.

$n=2$: Lagrange Reduction

Wristwatch Lemma

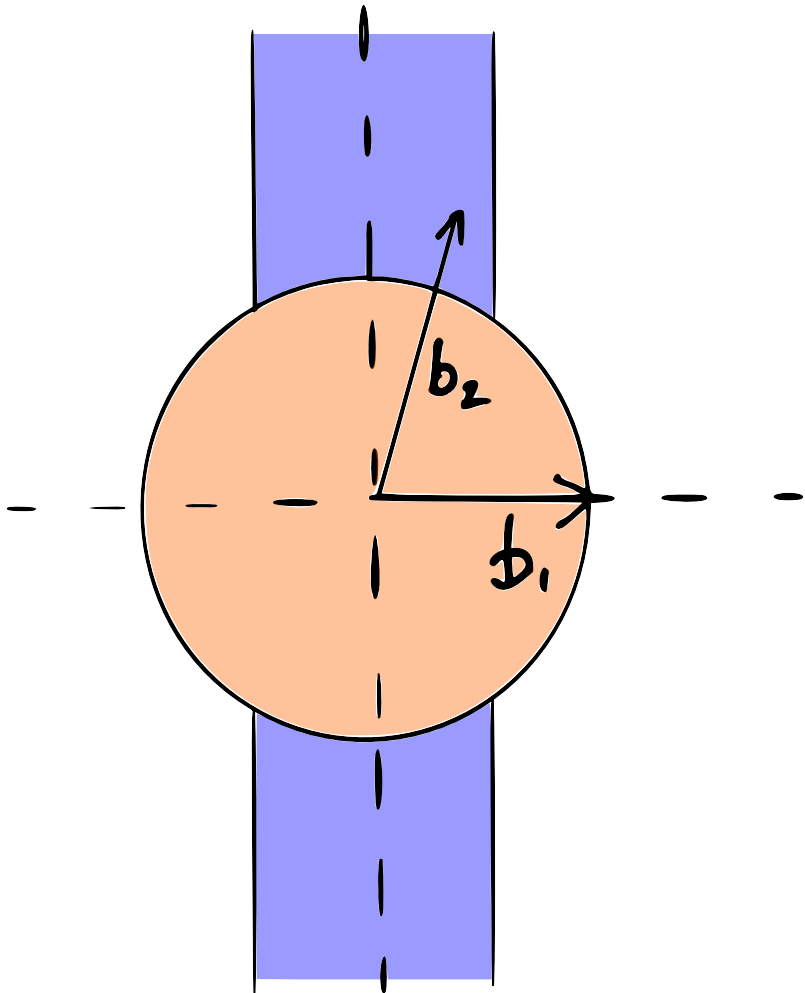
For any lattice \mathcal{L} of dim 2
 $\exists (b_1, b_2)$ a basis s.t.

$$\|b_1\| \leq \|b_2\|$$

$$|\langle b_1, b_2 \rangle| \leq \frac{1}{2} \cdot \|b_1\|$$

In particular

$$\|b_1\| \leq \sqrt{\frac{4}{3}} \cdot \|b_2^*\|$$



LLL Reduction

Definition

A basis B of \mathcal{L} is LLL-reduced if $(\pi_i(b_i), \pi_i(b_{i+1}))$ is Lagrange-reduced for all $i < n$.

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Chain & collect $\Rightarrow \|b_i\| \leq \sqrt{4/3}^{\frac{n-1}{2}} \cdot \det(\mathcal{L})^{1/n}$.

LLL Algorithm

While $\exists i$ s.t. $(\pi_i(b_i), \pi_i(b_{i+1}))$ is not Lagrange-reduced
Lagrange-reduce it ...

Correctness : Trivial

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Termination in poly-time:

- ★ Requires a slight relaxation (ϵ -Lagrange-Reduced)
- ★ Proved using a potential argument:

$$P = \sum_{i \leq n} \sum_{j \leq i} \log(\|b_i^*\|)$$

decreases by ϵ at each step and is lower-bounded.

Principal Ideal Lattice Reduction

Short generator recovery

Given $h \in R$, find a small generator g of the ideal (h) .

Note that $g \in (h)$ is a generator iff $g = u \cdot h$ for some unit $u \in R^\times$.
We need to explore the (multiplicative) unit group R^\times .

The Problem

Short generator recovery

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Note that $g \in (h)$ is a generator iff $g = u \cdot h$ for some unit $u \in \mathbb{R}^\times$.
We need to explore the (multiplicative) unit group R^\times .

Translation an to additive problem

Take logarithms:

$$\text{Log} : g \mapsto (\log |\sigma_1(g)|, \dots, \log |\sigma_n(g)|) \in \mathbb{R}^n$$

where the σ_i 's are the canonical embeddings $\mathbb{K} \rightarrow \mathbb{C}$.

The Unit Group and the log-unit lattice

Let R^\times denotes the multiplicative group of units of R . Let

$$\Lambda = \text{Log } R^\times.$$

Theorem (Dirichlet unit Theorem)

$\Lambda \subset \mathbb{R}^n$ is a lattice (of a given rank).

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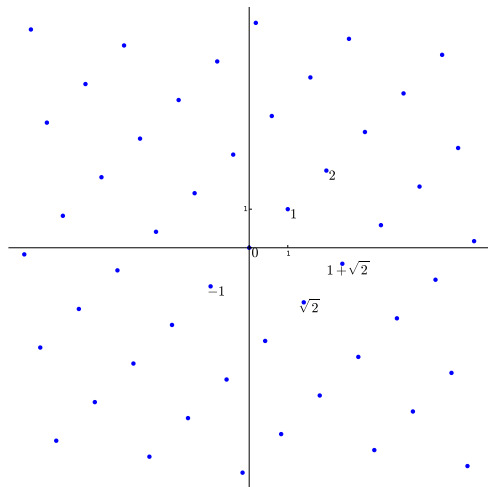
Reduction to a Close Vector Problem

Elements g is a generator of (h) if and only if

$$\text{Log } g \in \text{Log } h + \Lambda.$$

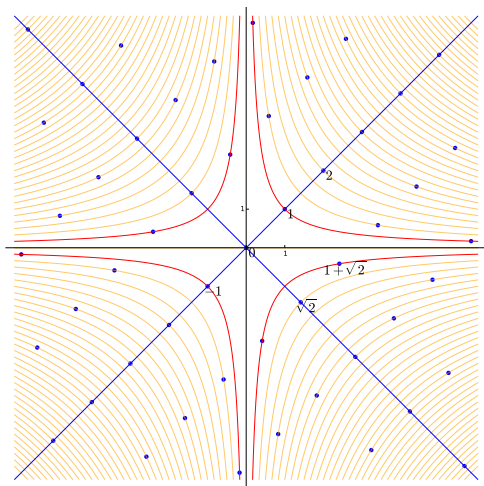
Moreover the map Log preserves some geometric information:
 g is the “smallest” generator iff $\text{Log } g$ is the “smallest” in $\text{Log } h + \Lambda$.

Example: Embedding $\mathbb{Z}[\sqrt{2}] \hookrightarrow \mathbb{R}^2$



- ▶ x-axis: $\sigma_1(a + b\sqrt{2}) = a + b\sqrt{2}$
- ▶ y-axis: $\sigma_2(a + b\sqrt{2}) = a - b\sqrt{2}$
- ▶ component-wise additions and multiplications

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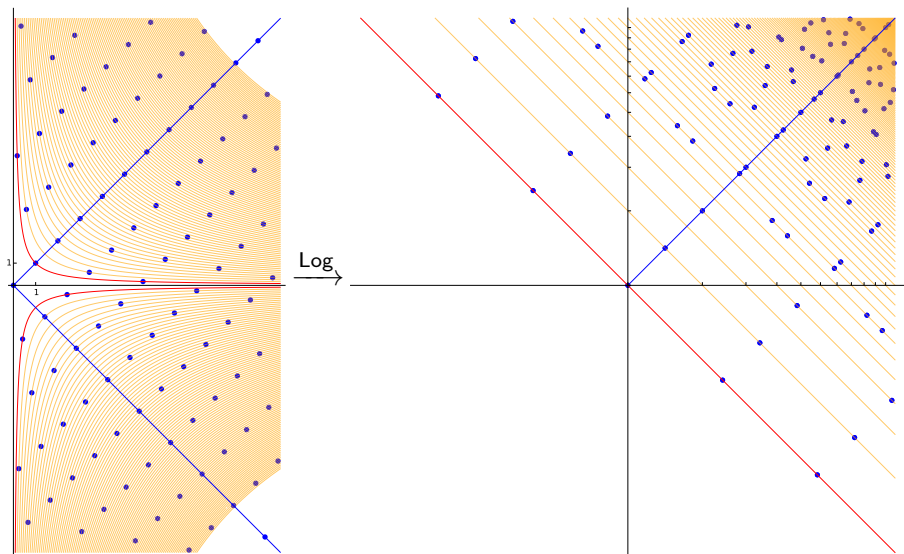


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- “Orthogonal” elements
- Units (algebraic norm 1)
- “Isonorms” curves

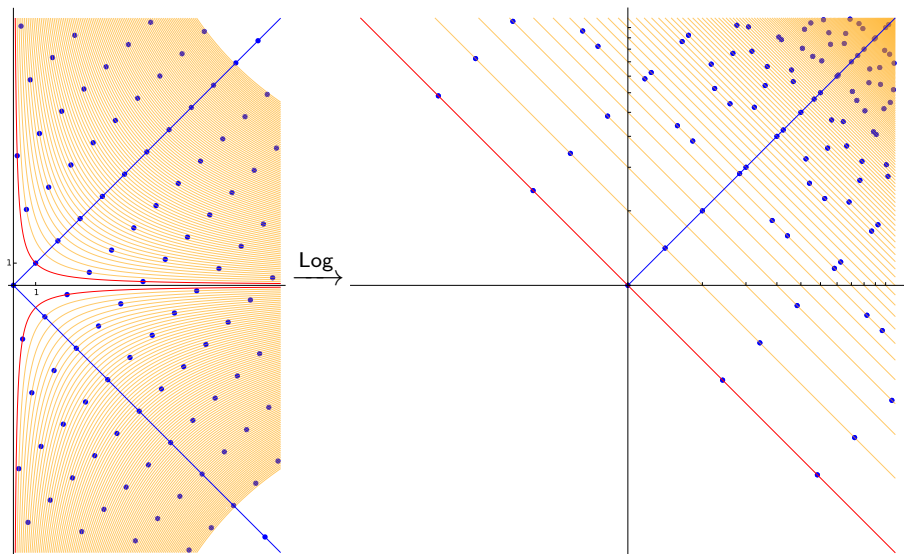
Example: Logarithmic Embedding $\text{Log } \mathbb{Z}[\sqrt{2}]$

$(\{\bullet\}, +)$ is a sub-monoid of \mathbb{R}^2



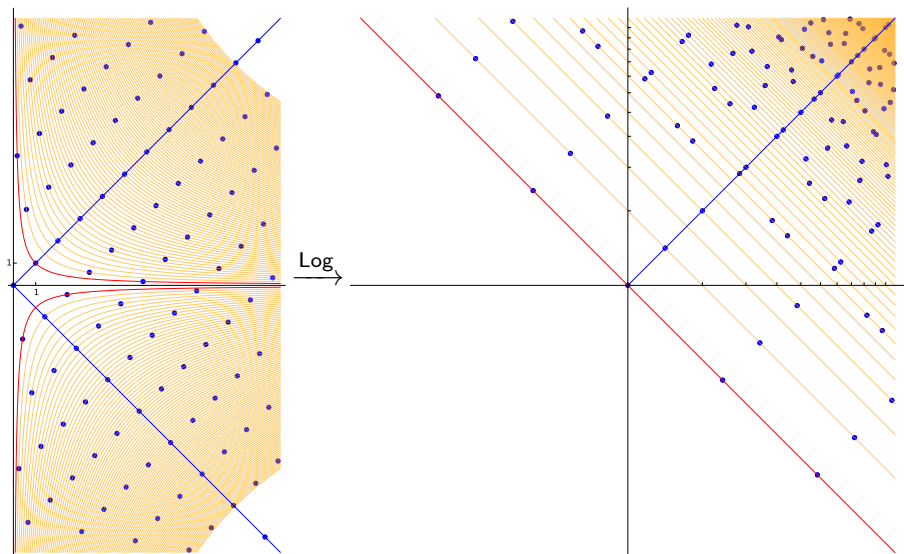
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$\Lambda = (\{\bullet\}, +) \cap \setminus$ is a lattice of \mathbb{R}^2 , orthogonal to $(1, 1)$



Example: Logarithmic Embedding $\text{Log } \mathbb{Z}[\sqrt{2}]$

$\{\bullet\} \cap \text{---}$ are shifted finite copies of Λ



Reduction modulo $\Lambda = \text{Log } \mathbb{Z}[\sqrt{2}]^\times$

The reduction mod Λ for various fundamental domains.

