

**Local class field theory:
perfect residue field case**

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LOCAL CLASS FIELD THEORY: PERFECT RESIDUE FIELD CASE

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Let F be a complete (or Henselian) discrete valuation field with a perfect residue field \overline{F} of characteristic $p > 0$. Let $\wp(X)$ denote as usually the polynomial $X^p - X$. It induces the additive homomorphism $\wp: \overline{F} \rightarrow \overline{F}$. Let

$$\kappa = \dim_{\mathbb{F}_p} \overline{F}/\wp(\overline{F}).$$

Further it will be assumed that $\kappa \neq 0$, the case $\kappa = 0$ when the field \overline{F} is algebraically p -closed may be treated similarly to Serre's geometric class field theory [Sr].

Let F^{ur} be the maximal unramified extension of F in the fixed separable closure F^{sep} of F , F^{abur}/F the maximal abelian subextension in F^{ur}/F , F^{ab}/F the maximal abelian extension in F^{sep}/F . Recall that for totally ramified abelian extensions L_1/F , L_2/F there is a totally ramified abelian extension L_3/F such that $L_3^{\text{ur}} = (L_1 L_2)^{\text{ur}}$ (see [Hz1, (2.8.G)]). Thus, one may introduce the group

$$G_F^{\text{abr}} = \varprojlim \text{Gal}(L/F)$$

where the projective limit is taken over the directed system of abelian totally ramified extensions L/F . Then G_F^{abr} is isomorphic to $\text{Gal}(T/F)$ where T/F is any maximal totally ramified subextension in F^{ab}/F (see [Hz2, Subsection 2.4]) and

$$\text{Gal}(F^{\text{ab}}/F) \simeq \text{Gal}(F^{\text{abur}}/F) \times G_F^{\text{abr}},$$

the group $\text{Gal}(F^{\text{abur}}/F)$ is canonically isomorphic to $\text{Gal}(\overline{F}^{\text{ab}}/\overline{F})$.

To describe the maximal abelian extension F^{ab}/F one must study abelian non- p -extensions and abelian p -extensions. Totally tamely ramified abelian extensions over F are easily described by the Kummer theory, since any such extension L/F is generated by adjoining a root $\sqrt[l]{\pi}$ for a suitable prime element π in F and a primitive l th root of unity belongs to F .

Treating abelian p -extensions one deduces at once the description of the maximal unramified abelian p -extension using the Witt theory. Thus, one is reduced to the study of abelian totally ramified p -extensions of F . A variant of description of the group G_F^{abr} in terms of constant pro-quasi-algebraic groups was furnished by M. Hazewinkel ([Hz1, Hz2]).

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Another description of abelian totally ramified p -extensions which is cohomology-free and of more explicit nature will be established below.

Let \tilde{F} denote the maximal abelian unramified p -extension of F and let L/F be a Galois totally ramified p -extension. For a character

$$\chi \in \text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\tilde{L}/L), \text{Gal}(L/F))$$

let Σ_χ be the fixed field of all $\chi(\varphi)\varphi \in \text{Gal}(\tilde{L}/F)$, where φ runs a topological \mathbf{Z}_p -basis of $\text{Gal}(\tilde{L}/L)$. Let π_χ be a prime element in Σ_χ , and π_L be a prime element in L . Let U_L be the group of units of L . Put

$$\Upsilon_{L/F}(\chi) = N_{\Sigma_\chi/F} \pi_\chi N_{L/F} \pi_L^{-1} \pmod{N_{L/F} U_L}.$$

We show in Theorem (1.7) below that $\Upsilon_{L/F}$ induces an isomorphism of

$$\text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\tilde{L}/L), \text{Gal}(L/F)^{\text{ab}})$$

onto $U_F/N_{L/F}U_L$ where $\text{Gal}(L/F)^{\text{ab}}$ is the maximal abelian quotient of $\text{Gal}(L/F)$. This construction of $\Upsilon_{L/F}$ can be regarded as a generalization of the Neukirch construction in the classical cases ([N1],[N2]). We describe the inverse isomorphism to $\Upsilon_{L/F}$ as well. Passing to the projective limit one obtains the reciprocity map

$$\Psi_F: U_{1,F} \rightarrow \text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\tilde{F}/F), \text{Gal}(F^{\text{abp}}/\tilde{F}))$$

where $U_{1,F}$ is the group of principal units, F^{abp}/F is the maximal p -subextension in F^{ab}/F . The existence theorem deduced in Section 3 describes norm subgroups in $U_{1,F}$ and clarifies the properties of Ψ_F . For its proof theory of decomposable additive polynomials over \overline{F} derived in Section 2 will be used.

The local class field theory exposed has a lot of applications. Among them in ramification theory it justifies the metatheorem that a statement about ramification groups of normal totally ramified extension of a local field which holds in classical cases when the residue field is finite or quasi-finite is true in general ([Sn],[L1], [Ma]), in theory of fields of norms it connects the its constructions by class field theory ([FW],[Wn], [L2], see also [D]).

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§1. RECIPROCITY MAP

1.1. The Witt theory immediately shows that if $\kappa = \dim_{\mathbf{F}_p} \overline{F}/\varphi(\overline{F})$, then

$$\text{Gal}(\tilde{F}/F) \simeq \prod_{\kappa} \mathbf{Z}_p.$$

Let L/F be a finite Galois totally ramified p -extension. Then $\text{Gal}(L/F)$ can be identified with $\text{Gal}(\tilde{L}/\tilde{F})$, and $\text{Gal}(\tilde{L}/F)$ is isomorphic with $\text{Gal}(\tilde{L}/\tilde{F}) \times \text{Gal}(\tilde{L}/L)$. Let

$\text{Gal}(L/F)^* = \text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\tilde{L}/L), \text{Gal}(L/F))$ denote the group of continuous homomorphisms of \mathbf{Z}_p -module $\text{Gal}(\tilde{L}/L)$ ($a \cdot \sigma = \sigma^a$, $a \in \mathbf{Z}_p$) to the discrete \mathbf{Z}_p -module $\text{Gal}(L/F)$. This group is isomorphic (non-canonically) with $\oplus_{\kappa} \text{Gal}(L/F)$. Let $\chi \in \text{Gal}(L/F)^*$ and Σ_{χ} be the fixed field of $\{\chi(\varphi)\varphi\}$ where φ runs through $\text{Gal}(\tilde{L}/L)$ and the element $\chi(\varphi)$ of $\text{Gal}(L/F)$ is identified with the corresponding element in $\text{Gal}(\tilde{L}/\tilde{F})$. Then, obviously, $\Sigma_{\chi} \cap \tilde{F} = F$, i.e., Σ_{χ}/F is a totally ramified p -extension. Let U_F and U_L be the groups of units of F and L respectively. Let π_{χ} be a prime element of Σ_{χ} . Put

$$\Upsilon_{L/F}(\chi) = N_{\Sigma_{\chi}/F} \pi_{\chi} N_{L/F} \pi_L^{-1} \pmod{N_{L/F} U_L}$$

where π_L is a prime element in L ;

1.2. Lemma. *The map $\Upsilon_{L/F}: \text{Gal}(L/F)^* \rightarrow U_F/N_{L/F}U_L$ is well defined.*

Proof. $\Upsilon_{L/F}$ does not depend on the choice of π_L . Let M be the compositum of Σ_{χ} and L . Then M/Σ_{χ} is unramified and any prime element in Σ_{χ} can be written as $\pi_{\chi} N_{M/\Sigma_{\chi}} \varepsilon$ for a suitable $\varepsilon \in U_M$. As $N_{M/F} \varepsilon = N_{L/F} (N_{M/L} \varepsilon) \in N_{L/F} U_L$ we complete the proof. \square

Let $U_{i,F}$ denote the subgroup of principal units $\equiv 1 \pmod{\pi_F^i}$. Then $\Upsilon_{L/F}$ acts in fact from $(\text{Gal}(L/F)^{\text{ab}})^*$ to $U_{1,F}/N_{L/F}U_{1,L}$.

1.3. In order to go further we consider the behavior of the norm map. Let L/F be a cyclic totally ramified extension of degree p . Let π_L be a prime element in L . Then $\pi_F = N_{L/F} \pi_L$ is prime in F . Let σ be a generator of $\text{Gal}(L/F)$,

$$\frac{\sigma \pi_L}{\pi_L} = 1 + \theta_0 \pi_L^s + \dots$$

with $\theta_0 \in U_L$, $s = s(L/F) > 0$. Then it is well known that

$$\begin{aligned} N_{L/F}(1 + \theta \pi_L^i) &= 1 + \theta^p \pi_F^i + \dots && \text{for } i < s, \theta \in U_F \\ N_{L/F}(1 + \theta \pi_L^s) &= 1 + (\theta^p - \theta_0^{p-1} \theta) \pi_F^s + \dots && \text{for } \theta \in U_F \\ N_{L/F}(1 + \theta \pi_L^{s+pi}) &= 1 - \theta_0^{p-1} \theta \pi_F^{s+i} + \dots && \text{for } i > 0, \theta \in U_F. \end{aligned}$$

Then $U_{1,F}/N_{L/F}U_{1,L}$ is generated by $1 + \theta \pi_F^s$ when θ runs element of U_F the residues of which are linearly independent over $\bar{\theta}_0^p \varphi(\bar{F})$. Hence $U_{1,F}/N_{L/F}U_{1,L}$ is isomorphic to $\bar{F}/\bar{\theta}_0^p \varphi(\bar{F}) \simeq \oplus_{\kappa} \mathbf{F}_p$.

1.4. Let \hat{F} denote the completion of \tilde{F} . If L/F is a Galois totally ramified p -extension, then $\text{Gal}(L/F)$ is solvable. We will assume always when it is necessary that $\hat{F} \subset \hat{L}$. It follows from (1.3) that $N_{\hat{L}/\hat{F}} U_{1,\hat{L}} = U_{1,\hat{F}}$. For $\sigma \in \text{Gal}(L/F)$ put

$$\mathbf{i}(\sigma) = \frac{\sigma \pi_L}{\pi_L} \pmod{V(L/F)},$$

where π_L is a prime element in L , and

$$V(L|F) = \left\{ \frac{\sigma(\varepsilon)}{\varepsilon} : \varepsilon \in U_{1,\widehat{L}}; \sigma \in \text{Gal}(L/F) \right\}.$$

Then the sequence

$$1 \rightarrow \text{Gal}(L/F)^{\text{ab}} \rightarrow U_{1,\widehat{L}}/V(L|F) \xrightarrow{N_{\widehat{L}/\widehat{F}}} U_{1,\widehat{F}} \rightarrow 1$$

is exact (see [Hz1, (2.7)] or [I, Subsection 2.2]).

If M/F is a Galois subextension in L/F , then $V(M|F) = N_{L/M}V(L|F)$ because $U_{1,\widehat{M}} = N_{\widehat{L}/\widehat{M}}U_{1,\widehat{L}}$.

Lemma. *Assume that L/F is a Galois totally ramified p -extension. Let $\varphi_\nu, \nu \in I$, be elements in $\text{Gal}(\widetilde{F}/F)$ which are \mathbf{Z}_p -linearly independent, and $\psi_\nu, \nu \in I$, be their extensions on \widehat{L} . Let $\psi \in \text{Gal}(\widetilde{L}/F)$ be such that its restriction on \widetilde{F} is \mathbf{Z}_p -linearly independent with $\{\varphi_\nu\}_{\nu \in I}$. We will use the same notation for the continuous extension of ψ on \widehat{L} . Let E be the fixed subfield of $\{\psi_\nu\}_{\nu \in I}$ and $\varepsilon \in U_{i,E}$. Then there exists $\eta \in U_{i,E}$ such that $\varepsilon = \eta^{\psi^{-1}}$.*

Proof. Note that E is a complete field and one can construct the desired element η step by step modulo higher principal units. For instance, let $\varepsilon \equiv 1 + \theta\pi_L^i \pmod{\pi_L^{i+1}}$ where $\theta \in U_E$, $\bar{\psi}_\nu(\bar{\theta}) = \bar{\theta}$ for $\nu \in I$. Then there is $\xi \in U_E$ such that $\bar{\psi}(\bar{\xi}) - \bar{\xi} = \bar{\theta}$. Then for $\eta \equiv 1 + \xi\pi_L^i \pmod{\pi_L^{i+1}}$ we deduce that $\varepsilon \equiv \eta^{\psi^{-1}} \pmod{\pi_L^{i+1}}$. \square

1.5. Now we introduce the map inverse to $\Upsilon_{L/F}$. Let L/F be a Galois totally ramified p -extension. Let $\varepsilon \in U_{1,F}$. According to (1.4) there exists an element $\eta \in U_{1,\widehat{L}}$ such that $N_{\widehat{L}/\widehat{F}}\eta = \varepsilon$. Let φ be a continuous extension of $\varphi \in \text{Gal}(\widetilde{L}/L)$ on \widehat{L} . Since $N_{\widehat{L}/\widehat{F}}(\eta^{-1}\varphi(\eta)) = 1$, we deduce from the exact sequence of (1.4) that $\eta^{-1}\varphi(\eta) \equiv \pi_L\sigma(\pi_L^{-1}) \pmod{V(L|F)}$ for a suitable $\sigma \in \text{Gal}(\widehat{L}/\widehat{F})$ where π_L is a prime element in L . Set $\chi(\varphi) = \sigma$. Then it is easy to verify that $\chi(\varphi_1\varphi_2) = \sigma_1\sigma_2$. This means $\chi \in \text{Gal}(L/F)^*$. Put $\Psi_{L/F}(\varepsilon) = \chi$.

Lemma. *The map $\Psi_{L/F}: U_{1,F}/N_{L/F}U_{1,L} \rightarrow \text{Gal}(L/F)^*$ is well defined and a homomorphism.*

Proof. If $N_{\widehat{L}/\widehat{F}}\rho = \varepsilon$, then for $\mu = \eta^{-1}\rho$ the element $\mu^{-1}\varphi(\mu)$ belongs to $V(L|F)$. If $\varepsilon = \varepsilon_1\varepsilon_2$, then one may assume $\eta = \eta_1\eta_2$, consequently $\sigma = \sigma_1\sigma_2$ in $\text{Gal}(L/F)^{\text{ab}}$. Thus, $\Psi_{L/F}(\varepsilon_1\varepsilon_2) = \Psi_{L/F}(\varepsilon_1)\Psi_{L/F}(\varepsilon_2)$. \square

In fact $\Psi_{L/F}$ acts from $U_{1,F}/N_{L/F}U_{1,L}$ to $(\text{Gal}(L/F)^{\text{ab}})^*$.

1.6. For Theorem to follow we need to consider the following

Proposition. *Assume that L/F is a Galois totally ramified p -extension, M/F is a Galois subextension in L/F , $M \neq L$. Let $\varphi_\nu, \nu \in I$, be \mathbf{Z}_p -linearly independent in $\text{Gal}(\widetilde{F}/F)$, and ψ_ν be their continuous extensions on \widehat{L} . Let $\psi \in \text{Gal}(\widetilde{L}/F)$ be such that $\psi|_{\widetilde{F}}$ is \mathbf{Z}_p -linearly*

independent with $\{\psi_\nu\}_{\nu \in I}$. For a set $S \subset \widehat{L}$ let $S^{(\psi_\nu)}$ denote the set of the fixed elements under the action of all ψ_ν . Let $\alpha \in \widehat{L}$. Then

- 1) $V(L|M)^{(\psi_\nu)} = (V(L|M)^{(\psi_\nu)})^{\psi^{-1}}$;
- 2) if $\alpha^{\psi_\nu^{-1}} \in V(L|M)$ for all $\nu \in I$, then $\alpha \in V(L|M)\widehat{L}^{(\psi_\nu)}$;
- 3) $V(M|F)^{(\psi_\nu)} = N_{\widehat{L}/\widehat{M}}(V(L|F)^{(\psi_\nu)})$.

Proof. First assume that $|L:M| = p$.

1) Let $E = \widehat{L}^{(\psi_\nu)}$ be the fixed field of ψ_ν , $\nu \in I$. Let σ be a generator of $\text{Gal}(\widehat{L}/\widehat{M})$. If $\varepsilon \in V(L|M)^{(\psi_\nu)}$, then $\varepsilon = \varepsilon_1^{\sigma^{-1}}$ for some $\varepsilon_1 \in U_{1,E}$, and it follows from (1.3) that $\varepsilon \in U_{s+1,E}$ where $s = s(\widehat{L}/\widehat{M})$. By Lemma of (1.4) there exists an element $\eta \in U_{s+1,E}$ such that $\varepsilon = \eta^{\psi^{-1}}$. Then for $\rho = N_{\widehat{L}/\widehat{M}}\eta$ we obtain $\rho \in U_{s+1,\widehat{M}} \cap E^{(\psi)}$. Applying (1.3) once again we deduce that $\rho = N_{\widehat{L}/\widehat{M}}\xi$ for some $\xi \in E^{(\psi)} \cap U_{s+1,E}$. Then $N_{\widehat{L}/\widehat{M}}(\eta\xi^{-1}) = 1$ and $\eta\xi^{-1} \in U_{s+1,E}$. Therefore, $\eta = \xi\mu^{\sigma^{-1}}$ for some $\mu \in U_{1,E}$. Thus, $\varepsilon = \mu^{(\sigma^{-1})(\psi^{-1})} \in (V(L|M)^{(\psi_\nu)})^{\psi^{-1}}$.

2) Proceed by induction on the cardinality of I . Let $\alpha = \alpha_1\alpha_2$ with $\alpha_1 \in V(L|M)$, $\alpha_2 \in \widehat{L}_J^{(\psi_\nu)}$, $\nu \in J$, $J = I - \{i\}$. Then $\alpha_2^{\psi_i^{-1}} \in V(L|M)^{(\psi_\nu)}$, $\nu \in J$, and by 1) we deduce $\alpha_2^{\psi_i^{-1}} = \alpha_3^{\psi_i^{-1}}$ for a suitable $\alpha_3 \in V(L|M)^{(\psi_\nu)}$, $\nu \in J$. Then $\alpha_2\alpha_3^{-1} \in \widehat{L}^{(\psi_\nu)}$, $\nu \in I$, and $\alpha = (\alpha_1\alpha_3)(\alpha_2\alpha_3^{-1}) \in V(L|M)\widehat{L}^{(\psi_\nu)}$.

3) Let $\alpha \in V(M|F)^{(\psi_\nu)}$. By (1.4) we get $\alpha = N_{\widehat{L}/\widehat{M}}\beta$ with $\beta \in V(L|F)$. As $\beta^{\psi_\nu^{-1}} \in V(L|M)$, we deduce using 2) that $\beta \in V(L|M)V(L|F)^{(\psi_\nu)}$. Therefore, $\alpha \in N_{\widehat{L}/\widehat{M}}(V(L|F)^{(\psi_\nu)})$, as desired.

In the general case we proceed by induction on $|L:M|$ using the solvability of totally ramified extensions.

1) If $\alpha \in V(L|M)^{(\psi_\nu)}$, then by the inductual assumption $N_{\widehat{L}/\widehat{K}}\alpha = \beta^{\psi^{-1}}$ for some $\beta \in V(K|M)^{(\psi_\nu)}$ where K/M is a non-trivial subextension in L/M . Applying 3) for the extension L/K , we obtain that $\beta = N_{\widehat{L}/\widehat{K}}\gamma$ for some $\gamma \in V(L|K)^{(\psi_\nu)}$. Then $N_{\widehat{L}/\widehat{K}}(\alpha\gamma^{1-\psi}) = 1$ and $\alpha\gamma^{1-\psi} \in V(L|K)$. Applying 1) for the extension L/K , we conclude $\alpha\gamma^{1-\psi} = \delta^{1-\psi}$ with some $\delta \in V(L|K)^{(\psi_\nu)}$ and $\alpha \in (V(L|M)^{(\psi_\nu)})^{\psi^{-1}}$.

Now 2) formally follows from 1) and 3) follows from 2) as just above. \square

1.7. Theorem. Assume that L/F is a Galois totally ramified p -extension. The map $\Upsilon_{L/F}: (\text{Gal}(L/F)^{\text{ab}})^* \rightarrow U_{1,F}/N_{L/F}U_{1,L}$ is an isomorphism, and the map $\Psi_{L/F}$ is the inverse one.

Proof. First we verify that $\Psi_{L/F} \circ \Upsilon_{L/F} = \text{id}$. Indeed, let $\pi_\chi = \pi_L\eta$ with $\eta \in U_{\widehat{L}}$. Let $\varphi \in \text{Gal}(\widetilde{L}/L)$, $\sigma = \chi(\varphi) \in \text{Gal}(\widetilde{L}/\widetilde{F})$. Then

$$\pi_L^{1-\sigma} = \eta^{\varphi\sigma^{-1}} = \eta^{\varphi^{-1}}\eta^{\varphi(\sigma^{-1})} \equiv \eta^{\varphi^{-1}} \pmod{V(L|F)}$$

and $N_{\widehat{L}/\widehat{F}}\eta = N_{\Sigma_\chi/F}\pi_\chi N_{L/F}\pi_L^{-1}$. Therefore, $\chi = \Psi_{L/F}(\Upsilon_{L/F}\chi)$.

Next we show that $\Upsilon_{L/F} \circ \Psi_{L/F} = \text{id}$. Let $\varepsilon \in U_{1,F}$ and $\varepsilon = N_{\widehat{L}/\widehat{F}}\eta$ for some $\eta \in U_{1,\widehat{L}}$. Assume that $\eta^{\varphi_\nu^{-1}} \equiv \pi_L^{1-\sigma_\nu} \pmod{V(L|F)}$ for $\varphi_\nu \in \text{Gal}(\widetilde{L}/L)$, $\sigma_\nu \in \text{Gal}(\widetilde{L}/\widetilde{F})$. Put

$\psi_\nu = \varphi_\nu \sigma_\nu$ and apply 2) of the previous Proposition. Then, as $(\pi_L \eta)^{\psi_\nu^{-1}} \in V(L|F)$, we obtain that $\pi_L \eta = \eta_1 \eta_2$ with $\eta_1 \in V(L|F)$, $\eta_2 \in \widehat{L}^{\langle \psi_\nu \rangle}$. This means that $\pi_L \eta \eta_1^{-1} \in \Sigma_\chi$ where $\chi(\varphi_\nu) = \sigma_\nu$, and

$$\varepsilon \equiv N_{\Sigma_\chi/F}(\pi_L \eta \eta_1^{-1}) N_{L/F} \pi_L^{-1} \pmod{N_{L/F} U_{1,L}}.$$

Thus, $\Upsilon_{L/F} \circ \Psi_{L/F} = \text{id}$. \square

Corollary. Let M/F be the maximal abelian subextension in a Galois totally ramified p -extension L/F . Then $N_{M/F} U_{1,M} = N_{L/F} U_{1,L}$.

1.8. Now we establish functorial properties of $\Upsilon_{L/F}$ and $\Psi_{L/F}$.

Proposition.

1) Let L/F , L'/F' be Galois totally ramified p -extensions, F'/F , L'/L be totally ramified. Then the diagram

$$\begin{array}{ccc} \text{Gal}(L'/F')^* & \longrightarrow & U_{1,F'}/N_{L'/F'} U_{1,L'} \\ \downarrow & & \downarrow N_{F'/F} \\ \text{Gal}(L/F)^* & \longrightarrow & U_{1,F}/N_{L/F} U_{1,L} \end{array}$$

is commutative where the left vertical homomorphism is induced by the natural restriction $\text{Gal}(L'/F') \rightarrow \text{Gal}(L/F)$ and the canonical isomorphism $\text{Gal}(\widetilde{L}'/L') \xrightarrow{\sim} \text{Gal}(\widetilde{L}/L)$.

2) Let L/F be a Galois totally ramified p -extension, and let σ be an automorphism. Then the diagram

$$\begin{array}{ccc} \text{Gal}(L/F)^* & \longrightarrow & U_{1,F}/N_{L/F} U_{1,L} \\ \sigma^* \downarrow & & \downarrow \\ \text{Gal}(\sigma L/\sigma F)^* & \longrightarrow & U_{1,\sigma F}/N_{\sigma L/\sigma F} U_{1,\sigma L} \end{array}$$

is commutative, where $(\sigma^* \chi)(\sigma \varphi \sigma^{-1}) = \sigma \chi(\varphi) \sigma^{-1}$.

3) Let L/F be a Galois totally ramified p -extension and M/F be its subextension. Then the diagram

$$\begin{array}{ccc} (\text{Gal}(L/F)^{\text{ab}})^* & \longrightarrow & U_{1,F}/N_{L/F} U_{1,L} \\ \text{Ver}^* \downarrow & & \downarrow \\ (\text{Gal}(L/M)^{\text{ab}})^* & \longrightarrow & U_{1,M}/N_{L/M} U_{1,L} \end{array}$$

is commutative, where Ver^* is induced by $\text{Ver}: \text{Gal}(L/F)^{\text{ab}} \rightarrow \text{Gal}(L/M)^{\text{ab}}$.

Proof.

1) Let $\chi' \in \text{Gal}(L'/F')^*$ and $\chi \in \text{Gal}(L/F)^*$ be the corresponding character. Put $\Sigma' = \Sigma_{\chi'}$. Then $\Sigma_\chi = \Sigma' \cap \widetilde{L}$ and Σ/Σ_χ is totally ramified. Therefore, $\pi = N_{\Sigma'/\Sigma_\chi} \pi_{\Sigma'}$ is a prime element in Σ_χ and $N_{\Sigma_\chi/F} \pi = N_{F'/F} N_{\Sigma'/F'} \pi_{\Sigma'}$.

2) It follows from $\Sigma_{\sigma^* \chi} = \sigma \Sigma_\chi$.

3) Let $\varepsilon = N_{\widehat{L}/\widehat{F}}\eta$ and $\eta^{\varphi^{-1}} = \pi_L^{1-\sigma}\gamma$ for a prime element π_L in L , $\sigma \in \text{Gal}(\widehat{L}/\widehat{F})$, $\gamma \in V(L|F)$. Then $\sigma = \chi(\varphi)$, $\chi = \Psi_{L/F}(\varepsilon)$. Let $\tau_i \in \text{Gal}(\widehat{L}/\widehat{F})$ be a set of representatives of $\text{Gal}(\widehat{L}/\widehat{F})$ over $\text{Gal}(\widehat{L}/\widehat{M})$. Then $\varepsilon = N_{\widehat{L}/\widehat{M}}\eta_1$ with $\eta_1 = \prod \eta^{\tau_i}$ and $\eta_1^{\varphi^{-1}} = \prod \pi_L^{(1-\sigma)\tau_i} \prod \eta^{\tau_i}$. Let $\sigma\tau_i = \tau_i h_i(\sigma)$ with $h_i(\sigma) \in \text{Gal}(\widehat{L}/\widehat{M})$. Now we deduce

$$\prod \pi_L^{(1-\sigma)\tau_i} = \prod \pi_L^{\tau_i(1-h_i(\sigma))} \equiv \pi_L^{\prod 1-h_i(\sigma)} = \pi_L^{1-\text{Ver}(\sigma)} \pmod{V(L|M)}.$$

Since $\prod \eta^{\tau_i} \in V(L|M)$ we deduce that $\eta_1^{\varphi^{-1}} \equiv \pi_L^{1-\text{Ver}(\sigma)} \pmod{V(L|M)}$, as desired. \square

Corollary. Let $L_1/F, L_2/F, L_1L_2/F$ be abelian totally ramified p -extensions. Put $L_3 = L_1L_2, L_4 = L_1 \cap L_2$. Then

$$\begin{aligned} N_{L_3/F}U_{1,L_3} &= N_{L_1/F}U_{1,L_1} \cap N_{L_2/F}U_{1,L_2}, \\ N_{L_4/F}U_{1,L_4} &= N_{L_1/F}U_{1,L_1} N_{L_2/F}U_{1,L_2}. \end{aligned}$$

Moreover, $N_{L_1/F}U_{1,L_1} \subset N_{L_2/F}U_{1,L_2}$ if and only if $L_1 \supset L_2$.

Proof. Put $H_i = \text{Gal}(L_3/L_i)$, $i = 1, 2$. Then

$$\begin{aligned} N_{L_3/F}U_{1,L_3} &= \Psi_{L_3/F}^{-1}(1) = \Psi_{L_3/F}^{-1}(H_1 \cap H_2) = \Psi_{L_3/F}^{-1}(H_1) \cap \Psi_{L_3/F}^{-1}(H_2) \\ &= N_{L_1/F}U_{1,L_1} \cap N_{L_2/F}U_{1,L_2}, \\ N_{L_4/F}U_{1,L_4} &= \Psi_{L_3/F}^{-1}(H_1 H_2) = N_{L_1/F}U_{1,L_1} N_{L_2/F}U_{1,L_2}. \end{aligned}$$

If $N_{L_1/F}U_{1,L_1} \subset N_{L_2/F}U_{1,L_2}$, then $N_{L_1/F}U_{1,L_1} = N_{L_3/F}U_{1,L_3}$ and $|L_1:F| = |L_3:F|$, i.e., $L_2 \subset L_1$. \square

Remark. Let F^{abp}/F be the maximal p -subextension in F^{ab}/F . Let $\{\psi_\nu\}$ be a set of automorphisms in $\text{Gal}(F^{\text{abp}}/F)$ such that $\psi_\nu|_{\widehat{F}}$ are linearly independent and generate $\text{Gal}(\widetilde{F}/F)$. Then the group $\text{Gal}(\Sigma/F)$ for the fixed field Σ of ψ_ν is isomorphic to the group $\text{Gal}(F^{\text{abp}}/\widetilde{F})$.

In the definition of $\Psi_{L/F}$ one can replace the group $\text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\widetilde{L}/L), \text{Gal}(L/F))$ by the group $\text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\widetilde{F}/F), \text{Gal}(L/F))$. Indeed, let $\psi_1, \psi_2 \in \text{Gal}(\widetilde{L}/F)$ be such that $\psi_1|_{\widehat{F}} = \psi_2|_{\widehat{F}}$. Then $\psi_2^{-1}\psi_1 = \tau \in \text{Gal}(\widetilde{L}/\widetilde{F})$, and

$$\eta^{\psi_1-1} = \eta^{\psi_2\tau-1} = \eta^{\psi_2-1}\eta^{\psi_2(\tau-1)} \equiv \eta^{\psi_2-1} \pmod{V(L|F)}.$$

Thus, we get an isomorphism

$$\Psi_{L/F}: U_{1,F}/N_{L/F}U_{1,L} \rightarrow \text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\widetilde{F}/F), \text{Gal}(L/F)^{\text{ab}}).$$

Passing to the projective limit we obtain the reciprocity map

$$\Psi_F: U_{1,F} \rightarrow \text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\widetilde{F}/F), \text{Gal}(F^{\text{abp}}/\widetilde{F})).$$

This map possesses functional properties analogous to stated in Proposition. The kernel of Ψ_F coincides with the intersection of all norm groups $N_{L/F}U_{1,L}$ for abelian totally ramified p -extensions $L/F, L \subset \Sigma$.

1.9. The following assertion can be applied to the study of ramification groups.

Proposition. Assume that L/F is an abelian totally ramified p -extension and $G = \text{Gal}(L/F)$. Let $h = \psi_{L/F}$ be the Hasse-Herbrand function. Then $\Psi_{L/F}$ maps the quotient group $U_{i,F}/N_{L/F}U_{h(i),L}$ isomorphically onto the ramification group $G_{h(i)}$.

Proof. Let $\sigma \in G_{h(i)}$. Then $\pi^{-1}\sigma(\pi) \in U_{h(i),L}$ for a prime element π in L . According to Lemma of (1.4) there exists an element $\beta \in U_{h(i),\hat{L}}$ such that $\beta^{\varphi-1} = \pi^{1-\sigma}$ for a continuous extension φ on \hat{L} of $\varphi \in \text{Gal}(\hat{L}/L)$. Then $N_{\hat{L}/\tilde{F}}\beta \in U_{i,\tilde{F}}$ and $\Upsilon_{L/F}(\chi) \in U_{i,F}N_{L/F}U_{h(i),L}$ for $\chi \in \text{Gal}(L/F)^*$, $\chi(\varphi) = \sigma$. Thus, $\Upsilon_{L/F}$ induces the homomorphisms

$$G_{h(i)}/G_{h(i)+1} \rightarrow U_{i,F}/U_{i+1,F}N_{L/F}U_{h(i),L}.$$

Since $\Upsilon_{L/F}$ is an isomorphism, we obtain the required assertion. \square

Remark. One can deduce from the proof of the previous Proposition that $G_{h(i)+1} = G_{h(i+1)}$ (so-called Hasse-Arf theorem).

1.10. We finally consider pairings of $U_{1,F}$.

The first pairing is the Hilbert norm residue symbol. Assume that $\text{char}(F) = 0$ and a primitive p^m th root of unity belongs to F . Let μ_{p^m} be the group of p^m th roots. For $\alpha \in U_{1,F}$, $\beta \in F^*$ put

$$(\alpha, \beta)_m(\varphi) = \gamma^{\Psi_F(\alpha)(\varphi)-1}$$

where $\gamma^{p^m} = \beta$, $\varphi \in \text{Gal}(\tilde{F}/F)$, $\Psi_F(\alpha)(\varphi) \in \text{Gal}(\tilde{F}(\gamma)/\tilde{F})$. Thus, we obtain the pairing

$$(\cdot, \cdot)_m: U_{1,F} \times F^* \rightarrow \text{Hom}_{\mathbb{Z}_p}(\text{Gal}(\tilde{F}/F), \mu_{p^m})$$

(note that the last group is non-canonically isomorphic to $\oplus_{\kappa} \mu_{p^m}$).

Proposition.

1) Let $F(\sqrt[p^m]{\beta})/F$ be totally ramified. Then $(\alpha, \beta)_m = 1$ if and only if

$$\alpha \in N_{F(\sqrt[p^m]{\beta})/F}U_{1,F(\sqrt[p^m]{\beta})}.$$

2) $(\alpha, \beta)_m = 1$ for all $\alpha \in U_{1,F}$ if and only if $F(\sqrt[p^m]{\beta})/F$ is unramified.

3) $(1 - \beta, \beta)_m = 1$ for $1 - \beta \in U_{1,F}$.

4) $(-\beta, \beta)_m = 1$ for $-\beta \in U_{1,F}$.

5) $(\alpha, \beta)_m = (\beta, \alpha)_m^{-1}$ for $\alpha, \beta \in U_{1,F}$.

6) $(\alpha, \beta)_m = 1$ for all $\beta \in F^*$ if and only if $\alpha \in U_{1,F}^{p^m}$.

Proof. 1) immediately follows. If $F(\sqrt[p^m]{\beta})/F$ is not unramified, then $\tilde{F}(\gamma) \neq \tilde{F}$ for $\gamma^{p^m} = \beta$ and one can take $\alpha \notin N_{\Sigma/F}U_{1,\Sigma}$, where Σ/F is a totally ramified extension such that $\tilde{\Sigma} = \tilde{F}(\gamma)$. Then $(\alpha, \beta)_m \neq 1$, and we get 2). 3) and 4) follow from 1). If $\alpha, \beta \in U_{1,F}$, then

$$1 = (\alpha\beta, -\alpha\beta)_m = (\alpha, -\alpha)_m(\beta, -\beta)_m(\alpha, \beta)_m(\beta, \alpha)_m = (\alpha, \beta)_m(\beta, \alpha)_m.$$

If $(\alpha, \beta)_m = 1$ for all $\beta \in F^*$, then $(\beta, \alpha)_m = 1$ for all $\beta \in U_{1,F}$ and $F(\sqrt[m]{\alpha})/F$ is unramified. If $\alpha \notin F^{*p}$, then in the case under consideration $\alpha \equiv 1 + \theta \pi_F^{pe/(p-1)} \pmod{\pi_F^{pe/(p-1)+1}}$ where e is the absolute index of ramification of F . Then $\alpha \notin N_{F(\sqrt[m]{\pi_F})/F} F(\sqrt[m]{\pi_F})^*$ as it follows from (1.3). Therefore, $\alpha = \alpha_1^p$ for some $\alpha_1 \in U_{1,F}$. Now $(\alpha_1^p, \beta)_m = (\alpha_1, \beta)_{m-1} = 1$. Proceeding by induction on m , we conclude that $\alpha \in U_{1,F}^{p^m}$. \square

Remark. One can extend the Hilbert symbol on $F^* \times F^*$: for $\alpha = \pi^a \theta \varepsilon$, $\beta = \pi^b \theta' \eta$ with $\varepsilon, \eta \in U_{1,F}$ and $\theta, \eta \in \mathcal{R}^*$, where \mathcal{R}^* is the set of multiplicative representatives of \overline{F}^* in F , put

$$(\alpha, \beta)_m = \begin{cases} (\varepsilon^b \eta^a, \pi)_m (\varepsilon, \eta)_m & \text{for } p > 2, \\ (-1, \pi^{ab})_m (\varepsilon^b \eta^a, \pi)_m (\varepsilon, \eta)_m & \text{for } p = 2. \end{cases}$$

Proposition implies that this pairing is well defined. It induces a non-degenerate pairing

$$F^*/F^{*p^m} \times F^*/F^{*p^m} \rightarrow \text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\tilde{F}/F), \mu_{p^m}).$$

There is another way to determine this pairing as

$$F^*/F^{*p^m} \times F^*/F^{*p^m} \rightarrow H^2(F, \mu_{p^m}) \xrightarrow{\sim}_{p^m} \text{Br}(F) \otimes \mu_{p^m}$$

via the natural isomorphism between the last group in the preceding line and the group

$$\text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\tilde{F}/F), \mu_{p^m}),$$

see [Wt]. Employing the description of p^m -primary elements ([Hs, Sh]) one can deduce explicit formula for the Hilbert symbol ([Sh],[V]).

1.11. The second pairing is the Artin-Schreier pairing. Let F be of characteristic p . For $\alpha \in U_{1,F}$, $\beta \in F$ put

$$(\alpha, \beta](\varphi) = \Psi_F(\alpha)(\varphi)(\gamma) - \gamma,$$

where $\varphi \in \text{Gal}(\tilde{F}/F)$, γ is a root of the polynomial $\varphi(X) - \beta$. We get the pairing

$$(\cdot, \cdot]: U_{1,F} \times F \rightarrow \text{Hom}_{\mathbf{Z}_p}(\text{Gal}(\tilde{F}/F), \mathbb{F}_p).$$

In the same way as in the previous Proposition one can verify that:

1) Let $F(\gamma)/F$ be a totally ramified extension. Then $(\alpha, \beta] = 0$ if and only if $\alpha \in N_{F(\gamma)/F} F(\gamma)^*$.

2) $(\alpha, -\alpha] = 0$ for $\alpha \in U_{1,F}$.

3) $(\alpha, \beta] = 0$ for all $\alpha \in U_{1,F}$ if and only if $F(\gamma)/F$ is unramified.

Moreover, it is easy to deduce an explicit formula for $(\cdot, \cdot]$:

Proposition. $(\alpha, \beta](\varphi) = \varphi(\lambda) - \lambda$ where λ is a root of the polynomial $\varphi(X) - \beta$ and $\delta = \text{res}_\pi(\alpha^{-1} \frac{d\alpha}{d\pi} \beta)$.

Proof. Let $\varphi(\lambda) - \lambda$ be denoted as $d_\pi(\alpha, \beta)(\varphi)$. It suffices to verify the assertion for $\beta = \eta \pi^{-i}$, $\eta \in \overline{F}, p \nmid i$, $i > 0$. Let $L = F(\gamma)$, where $\gamma^p - \gamma = \eta \pi^{-i}$. Let π_L be a prime

element in L . Then $\gamma \equiv \eta_1 \pi_L^{-i} \pmod{\pi_L^{-i+1} \mathcal{O}_L}$ with $\eta_1 \in \overline{F}$ such that $\eta_1^p = \eta$. Let σ be a generator of $\text{Gal}(L/F)$ and

$$\frac{\sigma \pi_L}{\pi_L} = 1 + \theta_0 \pi_L^i + \dots, \quad \theta_0 \in \overline{F}.$$

It follows from (1.3) that $U_{1,F}/N_{L/F}U_{1,L}$ is generated by units $1 + \theta \pi^i$ with $\theta \in \overline{F}$, $\notin \theta_0^p \varphi(\overline{F})$. Then $(\alpha, \eta \pi^{-i})$ is determined by its values on $\alpha = 1 + \theta \pi^i$ where $\theta \in \overline{F}$ because of the first property of $(\cdot, \cdot]$. We also obtain that for $\alpha = N_{L/F} \alpha'$

$$\begin{aligned} d_\pi(\alpha, \beta) &= d_\pi(N_{L/F} \alpha', \beta) = d_\pi(N_{L/F} \alpha', \text{Tr}_{L/F} \beta') \\ &= d_{\pi_L}(N_{L/F} \alpha', \beta') = d_{\pi_L}(\alpha', \text{Tr}_{L/F} \beta') = d_{\pi_L}(\alpha', \beta) = 0 \end{aligned}$$

where β' is an element in L with $\text{Tr}_{L/F} \beta' = \beta$. These equalities follow from the properties of residues and from the relation $\beta \in \varphi(L)$.

Thus, it remains to verify the assertion for $\alpha = 1 + \theta \pi^i$, $\beta = \eta \pi^{-i}$. In this case

$$d_\pi(\alpha, \beta)(\varphi) = (\varphi - 1)\lambda \quad \text{where } \lambda^p - \lambda = i\theta\eta.$$

Let $\alpha = N_{\widehat{L}/\widehat{F}} \widehat{\alpha}$, $\widehat{\alpha} = 1 + \xi \pi_L^i + \dots$, $\xi \in \overline{F}^{\text{abp}}$. Then $\xi^p - \xi \theta_0^{p-1} = \theta$ by (1.3) and $\varphi(i\xi\eta_1) = i\theta\eta = \varphi(\lambda)$. Therefore, $\lambda - i\xi\eta_1 \in \mathbb{F}_p$ and $(\varphi - 1)\lambda = i\eta_1(\varphi - 1)(\xi) = -i\eta_1\theta_0$ because

$$\pi_L^{-1} \sigma(\pi_L) = 1 + \theta_0 \pi_L^i + \dots \equiv (1 + \xi \pi_L^i + \dots)^{1-\varphi} \pmod{V(L|F)}.$$

On another hand, $\sigma(\pi_L^{-i}) = \pi_L^{-i} - i\theta_0 \pmod{\pi_L}$, hence $\sigma(\gamma) - \gamma = -i\eta_1\theta_0$ and $d_\pi(\alpha, \beta) = (\alpha, \beta]$. \square

Corollary. *If $(\alpha, \beta] = 0$ for all $\beta \in F$, then $\alpha \in U_{1,F}^p$. The pairing $(\cdot, \cdot]$ induces the non-degenerate pairing*

$$U_{1,F}/U_{1,F}^p \times F/(\varphi(F) + \overline{F}) \rightarrow \text{Hom}_{\mathbb{Z}_p}(\text{Gal}(\widetilde{F}/F), \mathbb{F}_p).$$

Remark. One can generalize $(\cdot, \cdot]$ using Witt vectors to obtain the non-degenerate pairing

$$U_{1,F}/U_{1,F}^{p^m} \times W_m(F)/(\varphi W_m(F) + W_m(\overline{F})) \rightarrow \text{Hom}_{\mathbb{Z}_p}(\text{Gal}(\widetilde{F}/F), W_m(\mathbb{F}_p)).$$

§2. ADDITIVE POLYNOMIALS

In this section we extend the properties of additive polynomials over quasi-finite fields ([Wh2, CW]) on perfect fields.

2.1. Let K be a perfect field of characteristic $p > 0$. A polynomial $f(X)$ over K is called *additive* if $f(a+b) = f(a) + f(b)$ for any $a, b \in K$. It is easy to show that if $\deg f(X) \leq \text{card}(K)$, then $f(X)$ is additive if and only if $f(X+Y) = f(X) + f(Y)$ in the ring $K[X][Y]$, i.e., $f(X) = \sum_{m=0}^{m=n} a_m X^{p^m}$, $a_m \in K$.

Further we will assume that K is infinite. The ring of additive polynomials with respect to addition and composition is isomorphic to the ring $K[\Lambda]$ of non-commutative polynomials: $\sum a_m X^{p^m} \rightarrow \sum a_m \Lambda^m$, $(a\Lambda)(b\Lambda) = ab^p \Lambda^2$ for $a, b \in K$.

In the decomposition $f = g \circ h$ the polynomial g (resp. h) is called an outer (resp. inner) component of f , and f is called an outer (resp. inner) multiple of g (resp. h). For any two additive polynomials $f(X), g(X)$ there exist and uniquely determined additive polynomials $h_1(X), q_1(X)$ (resp. $h_2(X), q_2(X)$) such that $f = h_1 \circ g + q_1$ (resp. $f = g \circ h_2 + q_2$), $\deg q_i < \deg g$. The ring of additive polynomials is a left and a right Euclidean principal ideal ring. If $f_3(X)$ is a least common outer multiple of additive polynomials $f_1(X), f_2(X)$, then $f_3(K) \subset f_1(K) \cap f_2(K)$. If $f_4(X)$ is a greatest common outer component of f_1, f_2 , then $f_4 = f_1 \circ g_1 + f_2 \circ g_2$ for a suitable additive polynomials g_1, g_2 and $f_4(K) = f_1(K) + f_2(K)$.

One can also introduce the notion of a generalized additive polynomial over K as a finite sum $\sum a_m X^{p^m}$ with $a_m \in K, m \in \mathbf{Z}$. There is an involution $f \rightarrow f^*$ in the ring of generalized additive polynomials, for $f(X) = \sum a_m X^{p^m}$ put $f^*(X) = \sum a_m^{-1} X^{p^{-m}}$.

2.2. For a non-zero additive polynomial $f(X)$ over K its set of roots is an additive finite subgroup in K^{sep} . Conversely, for an additive finite subgroup H in K^{sep} the polynomial $f_H(X) = \prod_{\alpha_i \in H} (X - \alpha_i)$ is an additive polynomial with $\ker f_H = H$. If $f(X), g(X)$ are non-zero additive polynomials and $f'(0) \neq 0, \ker f \subset K, \ker g \subset K$, then $\ker f \subset \ker g$ if and only if $f(X)$ is an inner component of $g(X)$.

We call an additive polynomial $f(X)$ over K with $\ker f \subset K$ *K-decomposable*. We denote the set of *K-decomposable* polynomials by DP_K .

Lemma. If $f(X) \in DP_K$ and $f'(0) \neq 0$, then

$$f(X) = d_1 X \circ \rho(X) \circ d_2 X \circ \cdots \circ \rho(X) \circ d_{n+1} X$$

where $d_i^{-1} \in (\rho(X) \circ d_{i+1} X \circ \cdots \circ d_{n+1} X)(K)$. Conversely, any such polynomial is *K-decomposable*.

Proof. Let $\alpha \in \ker f$. Then $\rho(\alpha^{-1} X)$ is an inner component of $f(X)$ and one can put $d_{n+1} = \alpha^{-1}$. If $f(X) = g(X) \circ \rho(\alpha^{-1} X)$, then $g \in DP_K$ and by inductual arguments we deduce a decomposition of $f(X)$. The conditions on d_i follow from the condition $\ker f \subset K$. \square

2.3. Let G_K^{abp} denote the group $\text{Gal}(K^{\text{abp}}/K)$.

Proposition. Let $f(X) \in DP_K$. Then there is a homomorphism

$$\begin{aligned} \lambda: K/f(K) &\rightarrow \text{Hom}_{\mathbf{Z}_p}(G_K^{\text{abp}}, \ker f), \\ \lambda(a)(\varphi) &= \varphi b - b \quad \text{where } f(b) = a. \end{aligned}$$

The homomorphism λ is an isomorphism.

Proof. First note that $b \in K^{\text{abp}}$. Indeed, if $\sigma\tau \in \text{Gal}(K(b)/K)$, then $\sigma b = b + c_1, \tau b = b + c_2$ with $c_1, c_2 \in \ker f$, and $\sigma\tau b = \tau\sigma b$. The homomorphism λ is evidently injective. If $\chi \in \text{Hom}_{\mathbf{Z}_p}(G_K^{\text{abp}}, \ker f)$, then let a_φ be an element of K^{abp} such that $(\varphi - 1)a_\varphi = \chi(\varphi)$

and $(\psi - 1)a_\varphi = 0$ for any $\psi \in G_K^{\text{abp}}$ with $\psi \notin \langle \varphi \rangle$. It exists by Lemma (1.4). Then for $b = \sum a_\varphi$ where φ runs topological generators of G_K^{abp} (the sum contains in fact a finite number of non-zero addends) we obtain that $f(b) \in K$ and $\chi(\varphi) = (\varphi - 1)b$ for any $\varphi \in G_K^{\text{abp}}$. \square

Corollary. *Let $g \in DP_K$, $g'(0) \neq 0$. Let $f(X)$ be an additive polynomial over K . Then g is an outer component of f if and only if $f(K) \subset g(K)$.*

Proof. Let $d(X)$ be a greatest common outer component of $f(X)$ and $g(X)$. If $f(K) \subset g(K)$, then $d(K) = f(K) + g(K) = g(K)$. As $\ker g \subset K$ we obtain $\ker d \subset K$. Then, by Proposition g is an outer component of $d(X)$ and of $f(X)$. \square

2.4. A generalized additive polynomial over K is called K -decomposable if its kernel belongs to K .

Proposition. *An additive polynomial $f(X)$ is K -decomposable if and only if $f^*(X)$ is K -decomposable.*

Proof. One may assume without loss of generality that $f'(0) \neq 0$. By (2.2) $\alpha \in \ker f^*$ if and only if $\wp(\alpha^{-1}X)$ is an inner component of $f^*(X)$, i.e., $\alpha^{-1}\wp(K)$ is an outer component of $f(X)$, i.e., $\alpha^{-1}\wp(K) \supset f(K)$ by Corollary of (2.3). Therefore, the cardinality of $\ker f^* \cap K$ coincides with the cardinality of the set $\{\alpha \in K : \alpha^{-1}\wp(K) \supset f(K)\}$. Let $\deg f = p^n$. Since there are $(p^n - 1)(p - 1)^{-1}$ subgroups of order p in $\ker f$, we deduce applying the previous Proposition that there are $(p^n - 1)(p - 1)^{-1}$ elements α in K such that all $\alpha^{-1}\wp(K)$ are distinct and $\alpha^{-1}\wp(K) \supset f(K)$. Thus, the cardinality of $\ker f^* \cap K$ is p^n , i.e., $\ker f^* \subset K$. \square

Corollary. *Let $f(X) \in DP_K$. Then*

$$f(K) = \cap \alpha^{-1}\wp(K)$$

where α runs a set of the cardinality equal to the cardinality of $\ker f$, such that $\alpha^{-1}\wp(K) \supset f(K)$.

2.5. Proposition. *Let $f_1, f_2 \in DP_K$.*

1) *Let f_3 (resp. f_4) be a least common outer (resp. inner) multiple of f_1, f_2 ; f_5 (resp. f_6) be a greatest common outer (resp. inner) component of f_1, f_2 . Then $f_i \in DP_K$ and $f_3(K) = f_1(K) \cap f_2(K)$.*

2) *$\{a \in K : f_1(a) \in f_2(K)\} = h(K)$ for some $h \in DP_K$.*

Proof.

1) Let $f_3 = f_1 \circ g_1 = f_2 \circ g_2$ with additive polynomials g_1, g_2 . First assume that $f_5 = X$. As $\ker f_1^*, \ker f_2^*$ are contained in $\ker f_3^*$, we deduce that $\ker f_3^* \subset K$ and by Proposition (2.4) $f_3 \in DP_K$. According to Proposition (2.3) we get the surjective homomorphism

$$\begin{aligned} \text{Hom}_{\mathbf{Z}_p}(G_K^{\text{abp}}, \ker f_3) &\rightarrow K/f_3(K) \rightarrow K/f_1(K) \oplus K/f_2(K) \\ &\rightarrow \text{Hom}_{\mathbf{Z}_p}(G_K^{\text{abp}}, \ker f_1 \oplus \ker f_2), \end{aligned}$$

which is injective as well. Therefore, $f_3(K) = f_1(K) \cap f_2(K)$.

Now let $f_1 = f_5 \circ h_1$, $f_2 = f_5 \circ h_2$ and $f_3 = f_5 \circ h_3$ with $h_1, h_2 \in DP_K$. If $a \in f_1(K) \cap f_2(K)$, then $a = f_5(h_1(c)) = f_5(h_2(d))$ and $h_2(d) - h_1(c) \in \ker f_5$. As $\ker f_5 \subset h_1(K)$, we obtain $a = f_5(b)$ for some $b \in h_1(K) \cap h_2(K) = h_3(K)$ and $a \in f_3(K)$. We deduce also that $f_3 \in DP_K$.

The polynomials f_4, f_5, f_6 are K -decomposable by Proposition (2.4).

2) One may assume by 1) that $\deg f_1 = \deg f_2 = p$. Then $f_1^{-1}(f_2(K)) \cap K = f_1^{-1}(f_3(K)) \cap K = g_1(K)$, where $f_3 = f_1 \circ g_1$, $g_1 \in DP_K$. \square

2.6. Finally we consider an analog of some remarkable property of additive polynomials.

Lemma. *Let $f(X)$ be a polynomial over K , $f(0) = 0$, $f(X) \neq 0$. Let $g(X)$ be a non-zero K -decomposable polynomial. Then there exist finite sequences $q_i(X)$, $h_i(X)$ of polynomials over K such that $g(X)$ is an outer component of $\sum f(q_i(X))$ and of $\sum f(h_i(X))$, where $\sum f(q_i(X)) \neq 0$ and $\sum h_i(X)$ is a non-zero K -decomposable polynomial.*

Proof. According to Corollary 1.1 of [CW] one can find linear polynomials $g_i(X)$, $h_i(X)$ such that $\sum f \circ g_i$ is a non-zero additive polynomial, $\sum f \circ h_i$ is an additive polynomial, and $\sum h_i(X) = X$. Hence it suffices to show that for a non-zero additive polynomial $p(X)$ and $g(X) \in DP_K$ there exists a non-zero K -decomposable polynomial $r(X)$ such that $p \circ r = g \circ s$ for some additive polynomial $s(X)$. Let $g = g_1 \circ g_2$, $g_i \in DP_K$ and $p \circ r_1 = g_1 \circ s_1$, $s_1 \circ r_2 = g_2 \circ s$. Then $p \circ r_1 \circ r_2 = g \circ s$. Therefore, it remains to consider the case of $\deg g(X) = p$. Let H be a finite additive subgroup in K which contains $p^*(\ker g^*)$. Let $r(X)$ be an additive polynomial with $\ker r^* = H$. Then $r \in DP_K$ and $\ker g^* \subset \ker(r^* \circ p^*)$. By (2.2) we obtain $r^* \circ p^* = s^* \circ g^*$ for some additive polynomial $s(X)$. Then $p \circ r = g \circ s$, as desired. \square

§3. EXISTENCE THEOREM

In this section we describe the norm groups of totally ramified p -extensions.

3.1. A subgroup H in \overline{F} is called *polynomial* if

$$H = f(\overline{F})$$

for some non-zero \overline{F} -decomposable polynomial $f(X)$. Let π be a prime element in F . A subgroup \mathcal{N} in $U_{1,F}$ is called *normic* if

- 1) \mathcal{N} is open;
- 2) for any $i > 0$ there exists a polynomial $f_i(X) \in \mathcal{O}_F[X]$ such that \overline{f}_i is non-zero \overline{F} -decomposable and $1 + f_i(\mathcal{O}_F)\pi^i \subset \mathcal{N}$;
- 3) for any $i > 0$ the image of $(U_{i,F} \cap \mathcal{N})U_{i+1,F}$ under the projection

$$U_{i,F} \rightarrow U_{i,F}/U_{i+1,F} \xrightarrow{\sim} \overline{F},$$

where $1 + \theta\pi^i \rightarrow \overline{\theta}$, is polynomial, and for almost all i this image coincides with \overline{F} . It immediately follows that the notion of a normic subgroup does not depend on the choice of a prime element π in F . Our aim is to show that the class of normic subgroup coincides with the class of norm groups of abelian totally ramified p -extensions.

Proposition. *Let L/F be an abelian totally ramified p -extension. Then $N_{L/F}U_{1,L}$ is a normic subgroup in $U_{1,F}$.*

Proof. The first and second properties of normic subgroups for $N_{L/F}U_{1,L}$ are verified in the same way as in the proof of Proposition 15 in [Wh1, II]. The third property for an extension L/F of degree p follows from (1.3). Now we proceed by induction on degree of L/F . Let M/F be a subextension in L/F of degree p . The proof of Proposition (1.9) shows that $N_{L/F}U_{1,L} \cap U_{i,F} = N_{L/F}U_{h(i),L}$ where $h = \psi_{L/F}$ is the Hasse-Herbrand function of L/F . Using inductual arguments it suffices to consider the case of $i = s$ where $s = s(M|F)$ (see (1.3)). Let σ be an element of $\text{Gal}(L/F)$ such that its restriction $\sigma|_M$ is a generator of $\text{Gal}(M/F)$. Let π_L be a prime element in L . Then $\pi_M = N_{L/M}\pi_L$ is prime in M and $\pi_M^{-1}\sigma(\pi_M) = N_{L/M}(\pi_L^{-1}\sigma(\pi_L))$. Let $N_{L/M}$ map $U_{h(s),L}/U_{h(s)+1,L}$ to $U_{s,M}/U_{s+1,M}$ by the polynomial $f_1(X)$ where the residue $\bar{f}_1(X)$ is \bar{F} -decomposable, and let $N_{M/F}$ map $U_{s,M}/U_{s+1,M}$ to $U_{s,F}/U_{s+1,F}$ by the polynomial $f_2(X) = \theta_0^p \wp(\theta_0^{-1}X)$, where $\pi_M^{-1}\sigma(\pi_M) \equiv 1 + \theta_0 \pi_M^s \pmod{\pi_M^{s+1}}$. Then $\bar{\theta}_0 \in \bar{f}_1(\bar{F})$ and the residue polynomial $\bar{f}_2 \circ \bar{f}_1$ is \bar{F} -decomposable by Lemma of (2.1). \square

3.2. Proposition. *Let L/F be an abelian totally ramified p -extension. Let \mathcal{N} be a normic subgroup in $U_{1,F}$. Then $N_{L/F}^{-1}(\mathcal{N})$ is a normic subgroup in $U_{1,L}$.*

Proof. It suffices to verify the assertion for a cyclic totally ramified extension L/F of degree p . Then the first and second properties of $N_{L/F}^{-1}(\mathcal{N})$ can be established similarly with the proof of Lemma 5 in [Wh1, II] by Lemma (2.6). The third property of $N_{L/F}^{-1}(\mathcal{N})$ follows immediately from (1.3) and Proposition (2.5),2). \square

3.3. Let π be a prime element in F . Let \mathcal{E}_π denote the set of abelian totally ramified p -extensions L/F with $\pi \in N_{L/F}L^*$. If $L_1/F, L_2/F \in \mathcal{E}_\pi$, then $L_1 \cap L_2/F \in \mathcal{E}_\pi$. Moreover, $L_1L_2/F \in \mathcal{E}_\pi$. Indeed, let $M = L_1 \cap L_2$. Assume that $N_{L_1/F}\pi_1 = N_{L_2/F}\pi_2 = \pi$ for prime elements π_1, π_2 in L_1, L_2 . Then $N_{M/F}\varepsilon = 1$ for $\varepsilon = N_{L_1/M}\pi_1 N_{L_2/M}\pi_2^{-1}$. Using the first diagram of Proposition (1.8) we deduce that $\varepsilon \in N_{L/M}U_{1,L}$, consequently there is a prime element π_M in M such that $N_{M/F}\pi_M = \pi$ and $\pi_M \in N_{L_1/M}L_1^* \cap N_{L_2/M}L_2^*$. Thus, it suffices to treat the case of $L_1 \cap L_2 = F$ where $L_1/F, L_2/F$ are cyclic of degree p . Assume that L_1L_2/F is not totally ramified. Then there is an unramified cyclic extension E/F of degree p , $E \in L_1L_2$. As $\pi \in N_{L_1/F}L_1^* \cap N_{L_2/F}L_2^*$ one can deduce $\pi \in N_{E/F}E^*$ using Chevalley lemma [C, p. 449], that is impossible. Therefore, L_1L_2/F is totally ramified. By Corollary of (1.8) we obtain $N_{L_1/F}U_{1,L_1} \cap N_{L_2/F}U_{1,L_2} = N_{L_1L_2/F}U_{1,L_1L_2}$. Let $\pi' \in N_{L_1L_2/F}(L_1L_2)^*$ for some prime element π' in F . Then $\pi' \in N_{L_1/F}L_1^* \cap N_{L_2/F}L_2^*$, hence $\varepsilon = \pi'\pi^{-1} \in N_{L_1L_2/F}U_{1,L_1L_2}$. This means that $L_1L_2/F \in \mathcal{E}_\pi$.

3.4. Proposition. *Let π be a prime element in F , and let \mathcal{N} be a normic subgroup in $U_{1,F}$. Then there is precisely one abelian totally ramified p -extension L/F such that $\mathcal{N} = N_{L/F}U_{1,L}$ and $\pi \in N_{L/F}L^*$.*

Proof. First let $U_{1,F}/\mathcal{N}$ be isomorphic with $\oplus_{\kappa} \mathbb{F}_p$. In this case $U_{s+1,F} \subset \mathcal{N}$ for some $s > 0$ and

$$\mathcal{N} \cap U_{s,F}/U_{s+1,F} \simeq a\wp(\bar{F}), \quad \mathcal{N}U_{i+1,F} \cap U_{i,F}/U_{i+1,F} \simeq \bar{F}$$

(isomorphisms are given by $1 + \theta\pi^i \rightarrow \bar{\theta}$), $a \in \bar{F}$.

It is known that there is an Artin-Schreier extension $M = F(\lambda)$ with $\wp(\lambda) \in F$ or a Kummer extension M/F such that $U_{s+1,F} \subset N_{M/F}U_{1,M}$, $\pi \in N_{M/F}M^*$, $N_{M/F}U_{1,M} \cap U_{s,F}/U_{s+1,F} \simeq a\wp(\bar{F})$, $N_{M/F}U_{1,M} \cdot U_{i+1,F} \cap U_{i,F}/U_{i+1,F} \simeq \bar{F}$ for $i < s$ (see Corollary 10.5 in [Wh2] and Lemma 6 in [Wh1, II]). If $s = 1$, then $N_{M/F}U_{1,M} = \mathcal{N}$. If $s > 1$ we proceed by induction on s . Assume that $N_{M/F}U_{1,M} \neq \mathcal{N}$. By Proposition (3.2) the group $N_{M/F}^{-1}(\mathcal{N})$ is normic in $U_{1,M}$ and it is easy to verify that $U_{1,M}/N_{M/F}^{-1}(\mathcal{N})$ is isomorphic with $\oplus_{\kappa}\mathbb{F}_p$ and $U_{s',M} \subset N_{M/F}^{-1}(\mathcal{N})$ for some $s' < s$. Then by the inductual arguments $N_{M/F}^{-1}(\mathcal{N}) = N_{E/M}U_{1,E}$ for some cyclic extension E/M of degree p and $\mathcal{N} \supset N_{E/F}U_{1,E}$, $\pi \in N_{E/F}E^*$. As for any $\alpha \in U_{1,F}$ the element $\alpha^{1-\sigma}$ for $\sigma \in \text{Gal}(M/F)$ belongs to $N_{E/M}U_{1,E}$, we deduce from Proposition (1.8), 2) and Corollary of (1.8) that E/F is abelian. Now $\mathcal{N} = N_{L/F}U_{1,L}$, $\pi \in N_{L/F}L^*$ for the fixed field L of the subgroup H of $\text{Gal}(E/F)$ such that $H^* = \Psi_{E/F}(\mathcal{N}/N_{E/F}U_{1,E})$.

Now let $U_{1,F}/\mathcal{N}$ be isomorphic with $\oplus_{\kappa}G$ for an abelian p -group G . We argue by induction on the order of G . Let \mathcal{N}_1 be a normic subgroup which contains \mathcal{N} and such that $U_{1,F}/\mathcal{N}_1 \simeq \oplus_{\kappa}\mathbb{F}_p$. Then $\mathcal{N} = N_{M/F}U_{1,M}$ for a suitable cyclic extension M/F of degree p and $\pi = N_{M/F}\pi_M$ for some prime element π_M in M . By the inductual arguments there is an abelian extension L/M with $N_{M/F}^{-1}(\mathcal{N}) = N_{L/M}U_{1,L}$ and such that $\pi_M \in N_{L/M}L^*$. By the same reasons as above L/F is abelian and $N_{L/F}U_{1,L} = \mathcal{N}$, $L/F \in \mathcal{E}_{\pi}$. The uniqueness follows from (3.3) and Corollary of (1.8). \square

Corollary. Let F_{π} be the compositum of all fields L with $L/F \in \mathcal{E}_{\pi}$. Then $F_{\pi} \cap \tilde{F} = F$ and $F_{\pi}\tilde{F} = F^{\text{abp}}$.

Proof. Let $\alpha \in F^{\text{abp}}$. There exists an unramified extension $M/F(\alpha)$ such that $\text{Gal}(M/F)$ is isomorphic to $\text{Gal}(M/M_0) \times \text{Gal}(M/E)$, where $M_0 = M \cap \tilde{F}$, E/F is a suitable abelian totally ramified p -extension, $E \subset M$. Let $N_{E/F}\pi_E = \pi\varepsilon$ for a prime element π_E in E and $\varepsilon \in U_F$. It follows from (1.3) that there is a finite abelian unramified p -extension F_1/F such that $\varepsilon \in N_{E_1/F_1}U_{E_1}$ where $E_1 = EF_1$. Then $\pi \in N_{E_1/F_1}E_1^*$. The group $N_{E_1/F}U_{1,E_1} = N_{E/F}U_{1,E}$ is normic in $U_{1,F}$. Hence there exists an extension $L/F \in \mathcal{E}_{\pi}$ such that $N_{L/F}U_{1,L} = N_{E_1/F}U_{1,E_1}$. Then $N_{L_1/F}U_{1,L_1} = N_{E_1/F}U_{1,E_1}$ for $L_1 = LF_1$. Since $\ker N_{F_1/F}$ is generated by $\eta^{\varphi-1}$ with $\eta \in U_{1,F_1}$, $\varphi \in \text{Gal}(F_1/F)$, the second commutative diagram of Proposition (1.8) implies that $\ker N_{F_1/F} \subset N_{L_1/F_1}U_{1,L_1}$. Therefore, $N_{L_1/F_1}U_{1,L_1} = N_{E_1/F_1}U_{1,E_1}$ because $|L:F| = |E:F|$. We get $L_1/F_1, E_1/F_1 \in \mathcal{E}_{\pi}$. Now by Proposition $L_1 = E_1$. Thus, $E \subset L_1 \subset F_{\pi}\tilde{F}$. This means that $F^{\text{abp}} = F_{\pi}\tilde{F}$. \square

3.5. Existence Theorem. Let π be a prime element in F . There is an order reversing bijection between the lattice of normic subgroups in $U_{1,F}$ with respect to the intersection and product and $L/F \in \mathcal{E}_{\pi}$ with respect to the intersection and compositum: $\mathcal{N} \leftrightarrow N_{L/F}U_{1,L}$.

Proof. It follows from Proposition (3.4) and Corollary of (1.8). \square

Corollary. The reciprocity map

$$\Psi_F: U_{1,F} \rightarrow \text{Hom}_{\mathbb{Z}_p}(\text{Gal}(\tilde{F}/F), \text{Gal}(F_{\pi}/F))$$

is injective.

Proof. The description of normic subgroups in (3.1) or standard arguments using the Hilbert norm residue symbol and the Artin-Schreier pairing imply the injectivity of Ψ_F . \square

Remark. Ψ_F is not surjective when \overline{F} is infinite.

3.6. Another description of normic subgroups can be developed by applying the method of K. Sekiguchi [Sk, Subsection 3.2]. Let $E(\cdot, X): W(\overline{F}) \rightarrow 1 + X\mathcal{O}_F[[X]]$ be the Artin-Hasse map, c.f. [Wh1, III]. Then, if $\text{char}(F) = p$, one can take as the normic subgroups the finite intersection of the sets

$$E(p^n W(\overline{F}) + a\wp W(\overline{F}), \pi^m) \prod_{\substack{(i,p)=1 \\ i \neq m, i \geq 1}} E(W(\overline{F}), \pi^i)$$

for $n \geq 0$, $m \geq 1$, $(m, p) = 1$, $a \in W(\overline{F})$ and a prime element π in F . If $\text{char}(F) = 0$ and a group of primitive p^n th roots of unity belongs to F (and n is the maximal number with this property), then one can take as the normic subgroups the finite intersections of the sets

$$E(p^m W(\overline{F}) + a\wp W(\overline{F}), \pi^{pe_1}) E(p^l W(\overline{F}) + b\wp W(\overline{F}), \pi^k) \prod_{\substack{(i,p)=1 \\ 1 \leq i < pe_1 \\ i \neq k}} E(W(\overline{F}), \pi^i)$$

for $m, l \geq 0$, $a, b \in W(\overline{F})$, $1 \leq k < pe_1$, $e_1 = e/(p-1)$ and a prime element π in F , where e is the absolute index of ramification of F .

3.7. Now we indicate the connections of the established theory with the Hazewinkel local class field theory [Hz1–Hz2]. Let L/F be a Galois totally ramified extension. Then there is an exact sequence

$$1 \rightarrow \text{Gal}(L/F)^{\text{ab}} \rightarrow U_{\widehat{L^{\text{ur}}}}/V(L|F) \rightarrow U_{\widehat{F^{\text{ur}}}} \rightarrow 1$$

(similarly with the exact sequence in (1.4)). Involving the pro-quasi-algebraic structure of the group $U_{\widehat{F^{\text{ur}}}}$ and observing that $V(L|F)$ is the maximal reduced subscheme of the connected component of $\ker N_{\widehat{L^{\text{ur}}}/\widehat{F^{\text{ur}}}}$, one deduces the exact sequence

$$\pi_1(U_L) \xrightarrow{N_{L/F}} \pi_1(U_F) \rightarrow \text{Gal}(L/F)^{\text{ab}} \rightarrow 1.$$

As the quasi-algebraic group $\text{Gal}(L/F)^{\text{ab}}$ is constant, we obtain the exact sequence

$$\tilde{\pi}_1(U_L) \xrightarrow{N_{L/F}} \tilde{\pi}_1(U_F) \rightarrow \text{Gal}(L/F)^{\text{ab}} \rightarrow 1,$$

where $\tilde{\pi}_1$ is the maximal constant quotient of π_1 . Then $\tilde{\pi}_1(U_F)/N_{L/F}\tilde{\pi}_1(U_L)$ is isomorphic with $\text{Gal}(L/F)^{\text{ab}}$. Passing to the projective limit we obtain a homomorphism

$$\Psi: \tilde{\pi}_1(U_F) \rightarrow G_F^{\text{abr}},$$

which is an isomorphism as it was proved by Hazewinkel.

The group $\tilde{\pi}_1(U_F)$ has no an explicit description with except of the case of finite \overline{F} . On the other hand, it is clear that $\text{Gal}(\overline{F}^{\text{abp}}/\overline{F})^*$ is isomorphic with the projective limit $\varprojlim U_{1,F}/N_{L/F}U_{1,L}$ for $L/F \in \mathcal{E}_\pi$. The constant pro-quasi-algebraic group $\tilde{\pi}_1(U_F)$ is the projective limit of the constant kernels of isogenies $X \rightarrow U_{\overline{F}^{\text{ur}}} \rightarrow 1$. If we consider a similar isogeny with $U_{1,F}$ instead of U_F , then one has the commutative diagram

$$\begin{array}{ccccccc}
 & & 1 & & & & 1 \\
 & & \downarrow & & & & \downarrow \\
 & & A & \longrightarrow & \text{Hom}_{\mathbb{Z}_p}(\text{Gal}(\overline{F}/F), A) & & \\
 & & \downarrow & & & & \downarrow \\
 & & X & \longrightarrow & \text{Hom}_{\mathbb{Z}_p}(\text{Gal}(\overline{F}/F), X) & & \\
 & & \downarrow & & & & \downarrow \\
 1 & \longrightarrow & U_{1,F} & \longrightarrow & U_{1,\overline{F}^{\text{ur}}} & \xrightarrow{\theta} & \text{Hom}_{\mathbb{Z}_p}(\text{Gal}(\overline{F}/F), U_{1,\overline{F}^{\text{ur}}}) \longrightarrow 1 \\
 & & \downarrow & & & & \downarrow \\
 & & 1 & & & & 1
 \end{array}$$

where $\theta(\varepsilon)(\varphi) = \varepsilon^{\varphi-1}$. Then we obtain a homomorphism

$$U_{1,F} \rightarrow \text{Hom}_{\mathbb{Z}_p}(\text{Gal}(\overline{F}/F), \tilde{\pi}_1(U_F))$$

and its composition with Ψ gives the reciprocity map Ψ_F .

3.8. Finally we note that an expansion of the method exposed above and methods employed to furnish class field theory of multidimensional local fields with a finite residue field [F1–F3] will provide a description of abelian totally ramified p -extensions of multidimensional local fields with a perfect residue field of characteristic p .

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