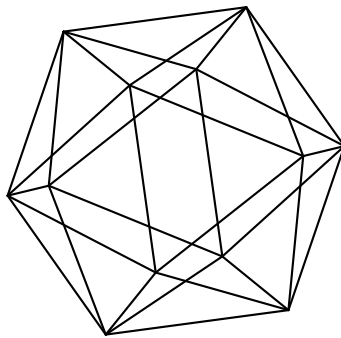


# Max-Planck-Institut für Mathematik Bonn

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# SPIN<sup>h</sup> STRUCTURES IN LOW DIMENSION

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ABSTRACT. All compact orientable manifolds of dimension  $\leq 7$  admit a  $\text{spin}^h$  structure. We discuss conditions under which the compactness assumption can be removed.

A natural quaternionic analog to the spin group is the group  $\text{Spin}^h(n) = \text{Spin}(n) \times \text{Sp}(1)/\mathbb{Z}_2$  (where  $\mathbb{Z}_2$  denotes the two-element group) defined in analogy with the  $\text{spin}^c$  group  $\text{Spin}^c(n) = (\text{Spin}(n) \times U(1))/\mathbb{Z}_2$ . Writing the spin and  $\text{spin}^c$  groups as

$$\text{Spin}(n) = (\text{Spin}(n) \times \text{Spin}(1))/\mathbb{Z}_2, \quad \text{Spin}^c(n) = (\text{Spin}(n) \times \text{Spin}(2))/\mathbb{Z}_2,$$

one notes that

$$\text{Spin}^h(n) = (\text{Spin}(n) \times \text{Spin}(3))/\mathbb{Z}_2$$

naturally fits in this sequence by way of low-dimensional accidental isomorphisms of Lie groups. The present authors studied this group and manifolds whose tangent bundle admits a  $\text{spin}^h$  structure, along with further analogues, in [AM21].

Therein, we made the following statement ([AM21, Theorem 1.3], [AM21, Corollary 3.10]): *Every orientable manifold of dimension  $\leq 7$  is  $\text{spin}^h$ .* The argument for manifolds of dimension 6 and 7 relied on invoking Cohen’s immersion theorem [Coh85], and as such we should have qualified the statement with a compactness assumption. The result of [Coh85] was used in order to obtain a codimension 4 immersion in Euclidean space, followed by an application of [AM21, Proposition 3.9] that such an immersion guarantees the existence of a  $\text{spin}^h$  structure.

In the present note, we prove the following theorem, using only results chronologically preceding [Coh85], due to Atiyah, Dupont, and Hirsch:

**Theorem.** *The following hold:*

- (1) *Every (not necessarily compact) orientable manifold of dimension  $\leq 5$  is  $\text{spin}^h$ .*
- (2) *Compact orientable manifolds of dimension 6 and 7 are  $\text{spin}^h$ .*
- (3) *A non-compact orientable manifold  $M$  of dimension 6 or 7 is  $\text{spin}^h$  if and only if  $W_5(TM) = 0$ .*
- (4) *A non-compact orientable manifold  $M$  of dimension 6 or 7 with no elements of order exactly four in  $H^5(M; \mathbb{Z})$  is  $\text{spin}^h$ .*

By “no elements of order exactly four” we mean that any  $x \in H^5(M; \mathbb{Z})$  satisfying  $4x = 0$  also satisfies  $2x = 0$ .

*Proof.* Part (1) is already proved in [AM21, p.5] without appealing to [Coh85]. It is a corollary of [AM21, Corollary 2.6], which states that the primary obstruction to the existence of a  $\text{spin}^h$  structure on an oriented manifold is the fifth integral Stiefel–Whitney class  $W_5$ . This is an integral class of order two and hence vanishes on all orientable manifolds of dimension  $\leq 5$ . Here and throughout, we use the fact that the top cohomology (with any coefficients) of a non-compact manifold vanishes, e.g. see [Wh61, Lemma 2.1]; see also [Br62, Theorem 2] that every (not necessarily compact) manifold with boundary admits a collar neighborhood of its boundary, and hence it deformation retracts onto its interior.

Compact orientable six–manifolds immerse in  $\mathbb{R}^{10}$ , as proved in [Hir61, Corollary 9], and hence they admit  $\text{spin}^h$  structures by [AM21, Proposition 3.9]. Note that the statement of [Hir61, Corollary 9] does not explicitly assume compactness, though it is clear from the proof that the manifold is assumed to be closed; the general compact case then follows by taking the double if the boundary is non-empty. This establishes part (2) for six–manifolds.

For compact orientable seven–manifolds  $M$ , we use the result listed in the second table of [AtDu72, p.25] (see also the footnote (1) in loc. cit.), that the only obstruction to a compact seven–manifold admitting three linearly independent vector fields is the integral Bockstein of  $w_4$ , i.e.  $W_5$ . Again, the result is stated for closed manifolds, and the compact-with-boundary case follows by considering the double. (Note, for orientable seven–manifolds,  $W_5$  is a priori the single obstruction to finding three linearly independent sections over the five–skeleton.) This class vanishes by [Mas62, Theorem 3]. Hence the tangent bundle of  $M$  splits off a trivial rank three bundle, giving an orientable rank four bundle with the same  $w_2$  as  $TM$ , and we again apply [AM21, Proposition 3.9]. This proves part (2) for seven–manifolds.

Now let  $M$  be a non-compact orientable six–manifold. Since  $H^6(M; \mathbb{Z}) = 0$ , there are no secondary or higher obstructions to admitting a  $\text{spin}^h$  structure beyond  $W_5$ ; this establishes part (3) for six–manifolds. As for part (4), choose an increasing exhaustion  $\{M_i\}$  by compact manifolds with boundary. For an abelian group  $A$  and integer  $k > 1$ , we have the short exact Milnor sequence [Sw17, Proposition 7.66]

$$0 \rightarrow \varprojlim^1 H^{k-1}(M_i; A) \rightarrow H^k(M; A) \rightarrow \varprojlim H^k(M_i; A) \rightarrow 0.$$

From the long exact sequence in cohomology associated to the short exact coefficient sequence

$$0 \rightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \xrightarrow{\text{mod } 2} \mathbb{Z}_2 \rightarrow 0$$

we have the following commutative diagram:

$$\begin{array}{ccccc}
 & 0 & & 0 & & 0 \\
 & \uparrow & & \uparrow & & \uparrow \\
 \varprojlim H^5(M_i; \mathbb{Z}) & \longrightarrow & \varprojlim H^5(M_i; \mathbb{Z}) & \longrightarrow & \varprojlim H^5(M_i; \mathbb{Z}_2) \\
 & \uparrow & & \uparrow & & \uparrow \\
 H^5(M; \mathbb{Z}) & \xrightarrow{\cdot 2} & H^5(M; \mathbb{Z}) & \xrightarrow{\text{mod } 2} & H^5(M; \mathbb{Z}_2) \\
 & \uparrow & & \uparrow & & \uparrow \\
 \varprojlim^1 H^4(M; \mathbb{Z}) & \longrightarrow & \varprojlim^1 H^4(M; \mathbb{Z}) & \longrightarrow & \varprojlim^1 H^4(M; \mathbb{Z}_2) \\
 & \uparrow & & \uparrow & & \uparrow \\
 & 0 & & 0 & & 0
 \end{array}$$

For each  $M_i$ , we have  $W_5(M_i) = 0$  by taking the double and applying [Mas62, Theorem 2] (or crossing the double with a circle and applying [Mas62, Theorem 3] again). For an orientable manifold, the mod 2 reduction of  $W_5$  is  $w_5$ . From here and by naturality,  $w_5(M) \in H^5(M; \mathbb{Z}_2)$  maps to the zero element in  $\varprojlim H^5(M_i; \mathbb{Z}_2)$ . By [MiSt74, Lemma 10.3], the term  $\varprojlim^1 H^4(M; \mathbb{Z}_2)$  vanishes. Therefore  $w_5(M)$  must be zero as well. Now,  $W_5(M) \in H^5(M; \mathbb{Z})$  is an element of order two which maps to  $w_5(M) = 0$  by mod 2 reduction. Therefore it is in the image of the map  $H^5(M; \mathbb{Z}) \xrightarrow{\cdot 2} H^5(M; \mathbb{Z})$ . Since by assumption there are no elements of order exactly four in  $H^5(M; \mathbb{Z})$ , it follows that  $W_5(M)$  must be the zero class. This establishes part (4) for six-manifolds.

Now let  $M$  be an orientable non-compact seven-manifold. We will show that the secondary obstruction to the existence of a spin<sup>h</sup> structure vanishes, establishing parts (3) and (4). Take an exhaustion  $\{M_i\}$  by compact seven-manifolds with boundary. If  $W_5(M) = 0$ , which will for instance be true given the torsion condition on  $H^5(M; \mathbb{Z})$  by the argument above, we can choose a lift of the classifying map of the tangent bundle  $M \rightarrow BSO(7)$  to  $E_1$ , the second stage of the relative Postnikov tower of  $BSO(4) \rightarrow BSO(7)$ ,

$$\begin{array}{c}
 BSO(4) \\
 \downarrow \\
 \vdots \\
 \downarrow \\
 E_1 \longleftarrow K(\mathbb{Z}, 4) \\
 \downarrow \\
 M \longrightarrow BSO(7) \xrightarrow{W_5} K(\mathbb{Z}, 5)
 \end{array}$$

Restricting to the  $M_i$  gives a compatible system of lifts to  $E_1$ . We consider now the secondary obstruction  $\mathfrak{o}(M)$  to admitting three linearly independent vector fields. This is a class in  $H^6(M; \pi_5(V(3, 7)))$ , where  $V(3, 7)$  is the Stiefel manifold of 3–frames in  $\mathbb{R}^7$ . We have the following exact sequence of homotopy groups:

$$\pi_6(BSO(7)) \rightarrow \pi_5(V(3, 7)) \rightarrow \pi_5(BSO(4)) \rightarrow \pi_5(BSO(7)).$$

The natural map  $BSO(7) \rightarrow BSO$  is an isomorphism on  $\pi_{\leq 6}$ , and hence we have  $\pi_5(BSO(7)) = \pi_6(BSO(7)) = 0$ . Furthermore,  $\pi_5(BSO(4)) \cong \pi_4(SO(4)) \cong \pi_4(S^3 \times S^3) \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$ .

Since we are fixing the lifts  $M \rightarrow E_1$  and  $M_i \rightarrow E_1$ , and they are compatible, the secondary obstruction to lifting further to  $E_2$  is natural, i.e.  $\mathfrak{o}(M_i)$  is the restriction of  $\mathfrak{o}(M)$ .

$$\begin{array}{ccc}
 & E_2 \longleftarrow K(\mathbb{Z}_2 \oplus \mathbb{Z}_2, 5) & \\
 & \downarrow & \\
 & E_1 \longrightarrow K(\mathbb{Z}_2 \oplus \mathbb{Z}_2, 6) & \\
 & \downarrow & \\
 M & \xrightarrow{\quad} & BSO(7) \\
 & \swarrow & \nearrow \\
 & M_i & 
 \end{array}$$

Let us now argue that  $\mathfrak{o}(M_i) = 0$ . We will use [Du74, Theorem 1.1], which gives us that for *any* choice of lift to  $E_1$  on a *closed* orientable seven–manifold, the secondary obstruction vanishes. In order to apply this to  $M_i$ , we consider the double  $DM_i$ . We will argue that the lift  $M_i \xrightarrow{f_i} E_1$  (obtained by restricting  $M \xrightarrow{f} E_1$ ) extends to a lift  $DM_i \rightarrow E_1$ . Then, by applying loc. cit., we will have  $\mathfrak{o}(DM_i) = 0$  and hence  $\mathfrak{o}(M_i) = 0$ .

$$\begin{array}{ccc}
 & E_1 \longleftarrow K(\mathbb{Z}, 4) & \\
 & \downarrow & \\
 M_i & \xrightarrow{f_i} & BSO(7) \\
 & \swarrow & \nearrow \\
 & DM_i & 
 \end{array}$$

First, choose any lift  $DM_i \xrightarrow{G} E_1$  of  $DM_i \rightarrow BSO(7)$ ; this exists since  $W_5$  vanishes on any closed orientable seven–manifold. Now,  $f_i$  and the restriction of  $G$  to  $M_i$  differ by the action of an element  $x$  in  $[M_i, K(\mathbb{Z}, 4)] = H^4(M_i; \mathbb{Z})$  (this group acts simply transitively on the homotopy classes of lifts to  $E_1$ ). Let us denote this by  $[f_i] = x \cdot [G|_{M_i}]$ .

Now observe that  $x$  is the restriction of a class  $X \in H^4(DM_i; \mathbb{Z})$ . Namely, consider the Mayer–Vietoris sequence for the double:

$$\dots \rightarrow H^4(DM_i; \mathbb{Z}) \rightarrow H^4(M_i; \mathbb{Z}) \oplus H^4(M_i; \mathbb{Z}) \rightarrow H^4(\partial M_i; \mathbb{Z}) \rightarrow \dots$$

The element  $(x, x)$  maps to zero, and hence  $x = j^*X$  for some  $X \in H^4(DM_i; \mathbb{Z})$ .

Therefore, if we consider the (class of the) lift  $X \cdot [G]$  on  $DM_i$  instead of  $[G]$ , by naturality we have that its restriction to  $M_i$  is  $x \cdot [G|_{M_i}]$ , i.e.  $[f_i]$ .

Now we have that  $\mathfrak{o}(M_i) = 0$  for all  $i$ . Consider the short exact sequence

$$0 \rightarrow \varprojlim^1 H^3(M_i; \mathbb{Z}_2 \oplus \mathbb{Z}_2) \rightarrow H^4(M; \mathbb{Z}_2 \oplus \mathbb{Z}_2) \rightarrow \varprojlim H^4(M; \mathbb{Z}_2 \oplus \mathbb{Z}_2) \rightarrow 0.$$

Since  $H^*(-; \mathbb{Z}_2 \oplus \mathbb{Z}_2)$  is naturally isomorphic to  $H^*(-; \mathbb{Z}_2) \oplus H^*(-; \mathbb{Z}_2)$ , the  $\varprojlim^1$  term vanishes. Further, since  $\mathfrak{o}(M)$  maps to  $(\mathfrak{o}(M_i))_i$ , which is the zero element, by injectivity we have that  $\mathfrak{o}(M) = 0$ . Therefore  $M$  admits three linearly independent vector fields, and we conclude that  $M$  admits a spin<sup>h</sup> structure.  $\square$

**Remark.** The primary obstruction to a spin<sup>c</sup> structure on an orientable manifold is  $W_3$ , and compact orientable four–manifolds are spin<sup>c</sup>. An analogous argument to the above then shows that non-compact orientable four–manifolds with no elements of order exactly four in  $H^3(-; \mathbb{Z})$  are spin<sup>c</sup>. The four–torsion assumption can be removed in this case [TV], and it is not clear whether one should expect this in the theorem above.

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