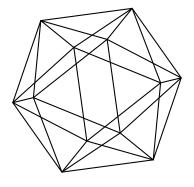
# Max-Planck-Institut für Mathematik Bonn

# Constructing stable images

by

Jean Pierre Mutanguha



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Jean Pierre Mutanguha\* July 13, 2021

#### Abstract

There is an algorithm for constructing a canonical representative for an injective free group endomorphism. The main corollary to our algorithm is an affirmative answer to Ventura's question: yes, the stable image for a free group endomorphism can be computed. This corollary also generalizes to all finite rank free groups a result due to Ciobanu–Logan in rank 2. By work of Bogopolski–Maslakova, it implies that the fixed point subgroup of a free group endomorphism can be computed. The final corollary is that the hyperbolicity of an ascending HNN extension of a free group can be algorithmically determined by looking solely at the dynamics of the defining monodromy.

#### Introduction

Let  $\phi: F \to F$  be an endomorphism of a finitely generated free group. The stable image of  $\phi$ , denoted  $\phi^{\infty}(F)$ , is the intersection of all the iterated images  $\phi^i(F)$  for  $i \geq 1$ . This image has rank bounded above by the rank of F. In fact, Turner [Tur96] showed that it is a retract of F and, if  $\phi$  is injective, a free factor of F. By the Hopfian property of finitely generated free groups, the restriction of  $\phi$  to the stable image is an automorphism. Consequently, the stable image can be used to reduce questions about a free group endomorphism to questions about a free group automorphism. This is how Imrich—Turner [IT89] extended Bestvina—Handel's proof [BH92] of Scott's conjecture to free group endomorphisms. Scott's conjecture — now Bestvina—Handel's theorem — states that if  $\phi$  is an automorphism, then the fixed point subgroup  $Fix(\phi) = \{x \in F : \phi(x) = x\}$  has rank bounded above by the rank of F.

On the computational side, Bogopolski–Maslakova gave an algorithm that computes a basis for Fix( $\phi$ ) when  $\phi$  is an automorphism [BM16]; later, Feighn–Handel gave another algorithm [FH18, Proposition 9.10]. In the general case where  $\phi$  is just an endomorphism, being able to algorithmically compute a basis for  $\phi^{\infty}(F)$  would combine with either of

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these algorithms to give one that computes a basis for  $Fix(\phi)$ . Ciobanu–Logan recently gave an algorithm that computes bases for  $Fix(\phi)$  and  $\phi^{\infty}(F)$  for any endomorphism  $\phi$  of a rank 2 free group [CL20]; however, the higher rank cases remained open.

In previous work [Mut21], we studied the dynamics of injective free group *outer* endomorphisms. We proved that an injective outer endomorphism  $[\phi]$  has a canonical representative  $f:(\Gamma,\mathcal{G})\to(\Gamma,\mathcal{G})$  on a free splitting  $(\Gamma,\mathcal{G})$  of F with the following useful properties:

- the f-periodic vertex groups in  $\mathcal{G}$  correspond to a  $[\phi]$ -fixed free factor system of F.
- f lifts to a  $\phi$ -equivariant expanding immersion on the Bass–Serre tree for  $(\Gamma, \mathcal{G})$ .

It follows immediately that the fixed system from the first property is the unique maximal  $[\phi]$ -fixed free factor system. Free splittings will be defined in the next section but the term used will be simply graphs, short for graphs of roses. Canonical representatives will also be referred to as automorphic expansions where automorphic means the first property and expansion means the second. When  $[\phi]$  is an outer automorphism, then the  $(\Gamma, \mathcal{G})$  is the trivial free splitting consisting of a singleton labeled by F. So these representatives are only interesting when  $[\phi]$  is not an outer automorphism.

We originally needed such a representative to prove a hyperbolization theorem for the mapping torus of  $\phi$ , also known as an ascending HNN extension of F. However, the existence proof was not algorithmic since such considerations were irrelevant to hyperbolization. The main result of the present paper is giving an effective proof for this existence theorem:

**Theorem** (Constructing canonical representatives). There is an algorithm that takes an injective free group endomorphism as input and outputs its canonical representative.

Let us, for a brief moment, discuss what made the previous proof nonconstructive. That proof consisted of two main steps: first, we proved the existence of a unique maximal fixed free factor system; then we used the uniqueness and maximality of the system to construct the canonical representative. Compartmentalizing the proof like this made the proof conceptually easier to follow. But here is the (algorithmic) issue: the way to certify that we have the maximal fixed free factor system is by exhibiting the canonical representative; but we cannot construct the representative using this proof without first being sure we have the right system. This becomes a chicken-and-egg problem!

The way around it is to construct the maximal fixed free factor system and canonical representative simultaneously: construct a fixed free factor system, use it to construct a piece of the canonical representative, use this partial representative to extend the fixed free factor system, use the larger fixed free factor system to extend the partial representative... The back-and-forth ends when we have the complete representative. The cost to this approach is the proof might be conceptually harder to follow. This summary does not even address how to construct a fixed free factor system in the first place. We will sketch the effective proof a little more carefully at the end of this introduction.

On the other hand, the effective proof is actually more elementary as it makes no use of Bestvina–Handel's train track theory, only Stallings folds and bounded cancellation. A second somewhat subtle improvement is that we construct a representive for the endomorphism  $\phi$ , rather than the outer endomorphism  $[\phi]$ : the free splitting  $(\Gamma, \mathcal{G})$  has a basepoint fixed by the representative f. By forgetting the basepoint and restricting f to the *core* of  $(\Gamma, \mathcal{G})$ , we would get the canonical representative for  $[\phi]$ . We do not prove uniqueness of this representative for  $\phi$  as it is irrelevant to our applications; however, the proof is essentially the same as that for  $[\phi]$  in [Mut21, Proposition 4.6].

Returning to the question of computing stable images and assuming  $\phi$  is injective. Let  $f:(\Gamma,\mathcal{G})\to(\Gamma,\mathcal{G})$  be the canonical representative for  $\phi$ . It follows from the definitions that the vertex group labelling the basepoint of  $(\Gamma,\mathcal{G})$  corresponds to the stable image  $\phi^{\infty}(F)$ . In particular, our effective proof immediately gives us a way to compute stable images of injective free group endomorphisms. It is a consequence of the Hopfian property that this gives us a way to compute stable images of free group endomorphisms. Applying Bogopolski–Maslakova's algorithm to stable images allows us to compute fixed point subgroups as well; this answers an old open question, see [Ven10, Problem 1].

**Corollary** (Constructing stable images). There is an algorithm that takes a free group endomorphism as input and outputs a basis for its stable image.

Corollary (Constructing fixed point subgroups). There is an algorithm that takes a free group endomorphism as input and outputs a basis for its fixed point subgroup.

The last corollary of our effective proof is that the hyperbolicity of  $\phi$ 's mapping torus can be determined by studying only  $\phi$ 's dynamics.

Corollary (Detecting hyperbolicity). There is an algorithm that takes an injective free group endomorphism as input and (correctly) determines whether its mapping torus is word-hyperbolic.

In fact, the last corollary applies more generally to HNN extensions of free groups over free factors. We will now end the introduction with a sketch of the effective proof.

Sketch of effective proof: Assume  $\phi$  is not surjective and proceed by induction on the complexity of F. We use nonsurjectivity and bounded cancellation to find a  $[\phi]$ -invariant proper free factor system  $\mathcal{G}_1$ , a free splitting  $(\Gamma_1, \mathcal{G}_1)$ , and a relative immersion  $f_1: (\Gamma_1, \mathcal{G}_1) \to (\Gamma_1, \mathcal{G}_1)$ , i.e. a representative that lifts to a  $\phi$ -equivariant immersion on the Bass–Serre tree (Theorem 3.1, descend). Since  $\mathcal{G}_1$  is a proper free factor system, the restriction of  $\phi$  to  $\mathcal{G}_1$  has a canonical representative  $f_2: (\Gamma_2, \mathcal{G}_2) \to (\Gamma_2, \mathcal{G}_2)$  by the induction hypothesis. Blow-up the vertices of  $(\Gamma_1, \mathcal{G}_1)$  by replacing them with the corresponding components of  $(\Gamma_2, \mathcal{G}_2)$ . This gives us a free splitting  $(\Gamma', \mathcal{G}_2)$  with  $(\Gamma_2, \mathcal{G}_2)$  as a subgraph and a representative  $f': (\Gamma', \mathcal{G}_2) \to (\Gamma', \mathcal{G}_2)$  whose restriction to  $(\Gamma_2, \mathcal{G}_2)$  is  $f_2$  and such that collapsing  $(\Gamma_2, \mathcal{G}_2)$  recovers  $f_1$ .

Using the fact that  $f_2$  is a canonical representative and  $f_1$  a relative immersion, we replace  $(\Gamma', \mathcal{G}_2)$  with another free splitting that has the same vertex groups and assume f' is a relative immersion whose periodic vertex groups in  $\mathcal{G}_2$  correspond to a proper  $[\phi]$ -fixed free factor system (Theorem 3.3, ascend). If it is expanding, then set  $(\Gamma, \mathcal{G}) = (\Gamma', \mathcal{G}_2)$  and f = f'. If it is not expanding, nonsurjectivity of  $\phi$  implies the nonexpanding part corresponds to a proper  $[\phi]$ -invariant free factor system  $\mathcal{G}$  that contains  $\mathcal{G}_2$ . Collapsing the nonexpanding part will produce a free splitting  $(\Gamma, \mathcal{G})$  and expanding relative immersion  $f: (\Gamma, \mathcal{G}) \to (\Gamma, \mathcal{G})$  whose periodic vertex groups in  $\mathcal{G}$  correspond to a larger proper  $[\phi]$ -fixed free factor system (Proposition 3.2, extend). Either way, it follows that f is the canonical representative for  $\phi$  and we are done.

Remark. The sketch is still not entirely correct as we swept some technicalities under the rug. The representative  $f_1$  need not be an immersion — it could also be eventually degenerate! For example, this could happen if the image of  $\phi$  is contained in a proper free factor. Furthermore, as the induction step involves passing to proper free factor systems, the whole proof should be done with disconnected free splittings in mind. Thus it is a bit tricky to define the appropriate notion of injectivity and nonsurjectivity in this generality.

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## Preparation

A (connected resp.) topological graph  $(\Gamma, \star)$  is a finite (connected resp.) 1-dimensional CW-complex  $\Gamma$  along with a distinguished vertex (0-cell) for each connected component of  $\Gamma$ ; the distinguished vertices  $\star \subset \Gamma^{(0)}$  are its basepoints; topological graphs are allowed to be degenerate, i.e. a finite set of points. A <u>rose</u> is a connected graph with exactly one vertex. All topological graphs will have basepoints even though we will suppress the basepoints from the notation. Each edge (1-cell) consists of two half-edges (or ends) and the topological tangent space  $T_v\Gamma$  at a vertex  $v \in \Gamma^{(0)}$  is the union of v with the half-edges attached to v.

Nondegenerate edge-paths in  $\Gamma$  are assumed to be oriented and hence have initial and terminal half-edges. For a connected topological graph  $\Gamma$ , the fundamental group  $\pi_1(\Gamma)$  is the set of possibly degenerate reduced (i.e. topologically immersed) based loops with a binary operation given by concatenation and tightening/reduction:  $(\sigma_1, \sigma_2) \mapsto [\sigma_1 \sigma_2]$ ; and inverses are given by reversals:  $\sigma \mapsto \overline{\sigma}$ .

A cellular map  $f: \Gamma \to \Gamma'$  is a continuous function of topological graphs that sends vertices to vertices and edges to possibly degenerate edge-paths; cellular maps induce topological derivatives  $df_v: T_v\Gamma \to T_{f(v)}\Gamma'$ . For a cellular map  $f: \Gamma \to \Gamma'$ , let K be the maximum of the combinatorial length of the edge-path f(e) as e varies over all the edges of  $\Gamma$ . Then f is K-Lipschitz, a fact that will be used throughout the paper. Generally, K(f) will denote a convenient Lipschitz constant for f rather than the infimum. Simplicial maps are the 1-Lipschitz cellular maps. A cellular map is based if it preserves basepoints.

Remark. We are treating  $\Gamma$  topologically to keep the exposition short; all topological notions used in the paper without definition (e.g. immersion, deformation retraction, homotopy equivalence, etc.) have combinatorial counterparts. For completeness, Stallings' paper [Sta83] shows how to work with  $\Gamma$  combinatorially. See also Serre's book [Ser77] and Kapovich–Weidmann–Miasnikov's paper [KWM05] for a purely combinatorial approach to graph of groups.

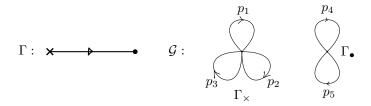


Figure 1: A graph of rank 5;  $\times$  marks the basepoint.

A graph (of roses)  $(\Gamma, \mathcal{G}, g)$  is a triple of two topological graphs and a  $\pi_0$ -bijective map  $g: \mathcal{G} \to \Gamma^{(0)}$  that labels each vertex  $v \in \Gamma^{(0)}$  with a component  $\Gamma_v = g^{-1}(v) \subset \mathcal{G}$  that is a rose; the topological graph  $\Gamma$  is the <u>underlying space</u>, the components of  $\mathcal{G}$  are the <u>vertex roses</u>, and the map g will now be suppressed from the notation (See Fig. 1). A degenerate graph is a graph whose underlying space is degenerate. A <u>vertex</u> of  $(\Gamma, \mathcal{G})$  is a vertex of  $\Gamma$ ; a <u>component</u> of  $(\Gamma, \mathcal{G})$  is a pair  $(G, g^{-1}(G^{(0)}))$  where G is a connected component of  $\Gamma$ . A <u>forest</u> is a graph whose components (<u>trees</u>) have contractible underlying spaces and at most one nondegenerate vertex rose. The <u>tangent space</u> at v is  $T_v(\Gamma, \mathcal{G}) = T_v\Gamma \times \pi_1(\Gamma_v)$  and its elements are known as the <u>tangent vectors</u> at v; a tangent vector at v is <u>trivial</u> if its first coordinate is v. A vertex of a graph is <u>bivalent</u> (univalent resp.) if it has exactly two (one resp.) nontrivial tangent vectors; <u>branch points</u> are vertices with at least three nontrivial tangent vectors and <u>natural edges</u> of  $(\Gamma, \mathcal{G})$  are maximal edge-paths in  $\Gamma$  whose interior vertices are bivalent in  $(\Gamma, \mathcal{G})$ . A tight graph is a graph with no univalent vertices

except possibly at basepoints.

A subgraph of the graph  $(\Gamma, \mathcal{G})$  is a pair  $(\Gamma', g^{-1}(\Gamma'))$  where  $\Gamma' \subset \Gamma$  is a subcomplex; the subgraph is <u>proper</u> if  $\Gamma' \neq \Gamma$ . The first examples of subgraphs we have seen are components. The <u>core</u> of a graph  $\operatorname{core}(\Gamma, \mathcal{G})$  is a subgraph whose underlying space  $\Gamma'$  is a minimal deformation retract of  $\Gamma$  relative to the vertices with nondegenerate vertex roses; the core is unique unless  $(\Gamma, \mathcal{G})$  is a forest with degenerate vertex roses. Closely related to the core, the <u>tightening</u> of a graph tight $(\Gamma, \mathcal{G})$  is the subgraph whose underlying space  $\Gamma'$  is a minimal deformation retract of  $\Gamma$  relative to the basepoints and vertices with nondegenerate vertex roses. Unlike components and tightenings, arbitrary subgraphs need not contain basepoints of the ambient graph and will be considered without basepoints.

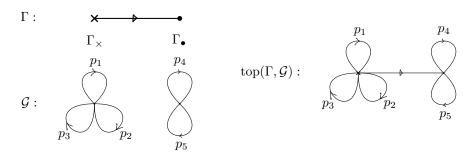


Figure 2: The blow-up of a graph.

The next couple of paragraph introduce some standard operations that can be applied to graphs. The blow-up top( $\Gamma$ ,  $\mathcal{G}$ ) of a graph ( $\Gamma$ ,  $\mathcal{G}$ ) is the topological graph formed by identifying each vertex  $v \in \Gamma$  with the basepoint of the vertex rose  $\Gamma_v$  — the basepoints of top( $\Gamma$ ,  $\mathcal{G}$ ) are the images of the basepoints of  $\Gamma$ . Note that  $\mathcal{G}$  is a subcomplex of top( $\Gamma$ ,  $\mathcal{G}$ ) that contains all its vertices (See Fig. 2). Conversely, for any topological graph  $\Gamma$ , define  $\underline{\operatorname{graph}(\Gamma)}$  to be the graph formed by labelling every vertex of  $\Gamma$  with a singleton. Subdivision of a graph is a graph obtained by subdividing the underlying space and extending the vertex roses with singletons that cover the new vertices. This operation can be reversed by forgetting bivalent vertices.

Let  $(\Gamma', \mathcal{G}')$  be a subgraph of  $(\Gamma, \mathcal{G})$  and  $T' \subset \Gamma'$  be a maximal subcomplex with contractible components (topological forest). Since  $\mathcal{G}'$  consists of roses, T' is identified with a maximal topological forest in top $(\Gamma', \mathcal{G}')$ . The collapse of  $(\Gamma', \mathcal{G}', T')$  in  $(\Gamma, \mathcal{G})$ :

- 1. replace  $\Gamma'$  with the union  $\Gamma' \cup \Gamma^{(0)}$  and set  $\mathcal{G}' = \mathcal{G}$ ;
- 2. let  $\Gamma'' = \Gamma/\Gamma'$  be the quotient space of  $\Gamma$  where each component of  $\Gamma'$  is *collapsed* to a vertex, i.e.  $\Gamma''^{(0)} = \pi_0(\Gamma')$ ,  $\Gamma''^{(1)} \setminus \Gamma''^{(0)} = \Gamma \setminus \Gamma'$ , and the basepoints of  $\Gamma''$  are the components of  $\Gamma'$  that contain the basepoints of  $\Gamma$ ;

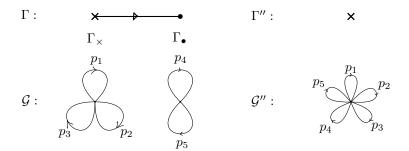


Figure 3: The collapse of a graph with  $(\Gamma', \mathcal{G}') = (\Gamma, \mathcal{G})$ .

- 3. define  $\mathcal{G}'' = \text{top}(\Gamma', \mathcal{G}')/T'$  similarly and for any component v'' of  $\Gamma'$ , let  $\mathcal{V}''$  be the corresponding component in  $\text{top}(\Gamma', \mathcal{G}')/T'$  the components of  $\mathcal{G}''$  are roses;
- 4. the collapse is the graph  $(\Gamma'', \mathcal{G}'')$  with  $g'' : \mathcal{G}'' \to \Gamma''^{(0)}$  defined by  $g''(\mathcal{V}'') = v''$ ; by construction, there is a T'-induced based homotopy equivalence  $top(\Gamma, \mathcal{G}) \to top(\Gamma'', \mathcal{G}'')$ .

Up to graph isomorphism (defined later), the collapse does not depend on the topological forest T' but, for our purposes, the T'-induced based homotopy equivalence does; nevertheless, we will usually suppress T' and simply say "collapse  $(\Gamma', \mathcal{G}')$ ." Under certain circumstances (e.g.  $\Gamma'$  is a topological forest), the maximal topological forest  $T' \subset \Gamma'$  is unique and the induced based homotopy equivalence is canonical. Finally,  $(\Gamma', \mathcal{G}') = (\Gamma, \mathcal{G})$  if and only if the collapsed subgraph is a degenerate graph. Also note that collapsing the subgraph graph( $\mathcal{G}$ ) in graph(top( $\Gamma, \mathcal{G}$ )) recovers the graph  $(\Gamma, \mathcal{G})$ . In Fig. 3, the subgraph is the whole graph and so the collapse is degenerate.

Converse to the collapse construction, suppose  $\gamma: \mathcal{G} \to \operatorname{top}(\Gamma', \mathcal{G}')$  is a based homotopy equivalence for some graph  $(\Gamma', \mathcal{G}')$ , then we can consider a labelling of each vertex  $v \in \Gamma^{(0)}$  by the component  $C'_v \subset \Gamma'$  whose blow-up contains the image  $\gamma(\Gamma_v)$ ; in turn, we can use these labels to define a blow-up  $\operatorname{top}_{\gamma}(\Gamma, \Gamma')$  of the underlying space  $\Gamma$ . The partial blow-up of  $(\Gamma, \mathcal{G})$  relative to  $\gamma$  is the graph  $\operatorname{rel}_{\gamma}(\Gamma, \mathcal{G}) = (\operatorname{top}_{\gamma}(\Gamma, \Gamma'), \mathcal{G}')$  where each vertex  $v' \in \operatorname{top}_{\gamma}(\Gamma, \Gamma')^{(0)}$  is a vertex of  $\Gamma'$  labelled by  $\Gamma'_{v'} \subset \mathcal{G}'$ . By construction,  $(\Gamma', \mathcal{G}')$  is a subgraph of the relative blow-up  $\operatorname{rel}_{\gamma}(\Gamma, \mathcal{G})$  (See Fig. 4),  $\gamma$  can be extended to based homotopy equivalence  $\overline{\gamma}: \operatorname{top}(\Gamma, \mathcal{G}) \to \operatorname{top}(\operatorname{rel}_{\gamma}(\Gamma, \mathcal{G}))$ , and collapsing  $(\Gamma', \mathcal{G}')$  in  $\operatorname{rel}_{\gamma}(\Gamma, \mathcal{G})$  recovers the graph  $(\Gamma, \mathcal{G})$  up to a graph isomorphism (defined shortly).

A (based) graph map  $(f, \Psi) : (\Gamma, \mathcal{G}) \to (\Gamma', \mathcal{G}')$  is the following data:

- 1. based cellular maps  $f: \Gamma \to \Gamma'$  and  $\Psi: \mathcal{G} \to \mathcal{G}'$  satisfying  $g' \circ \Psi = f \circ g$ ; and
- 2. for each (oriented) edge e of  $\Gamma$ , a sequence of based loops  $\rho(e)_i \in \pi_1(\Gamma'_{v_i})$ , where  $(v_i)_{i\geq 0}$  is the sequence of vertices along the edge-path f(e).

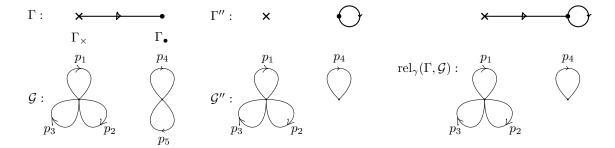


Figure 4: A partial blow-up of a graph.

3. we will also require that graph maps be *tight* in the following sense: whenever  $\rho(e)_i$  is degenerate and  $v_i$  is the middle vertex of a 2-edge subpath of f(e), then the 2-edge subpath is reduced in  $\Gamma'$ .

An edge e of  $\Gamma$  is <u>pretrivial</u> if  $f(e) \in \Gamma'^{(0)}$ ; the graph map is <u>degenerate</u> if  $f(\Gamma) \subset \Gamma'^{(0)}$ , or equivalently,  $f(\overline{\Gamma})$  is contained in the basepoints of  $\Gamma'$ , and it is <u>eventually degenerate</u> if  $f^k(\Gamma) \subset \Gamma'^{(0)}$  for some  $k \geq 1$ . A graph map  $(f, \Psi)$  is <u>K-Lipschitz (simplicial resp.)</u> if f is K-Lipschitz (simplicial resp.). We shall occasionally <u>consider unbased</u> graph maps by looking at restrictions of graph maps to subgraphs that have no basepoints.

Graph maps  $(f, \Psi)$  induces based cellular maps  $top(f, \Psi) : top(\Gamma, \mathcal{G}) \to top(\Gamma', \mathcal{G}')$ . Conversely, based cellular maps f induce graph maps  $graph(f) : graph(\Gamma) \to graph(\Gamma')$ . A graph map  $(f, \Psi)$  is  $\underline{\pi_1}$ -nonsurjective if the blow-up  $top(f, \Psi)$  is  $\underline{\pi_1}$ -nonsurjective, i.e. there is a based loop in  $top(\Gamma, \mathcal{G})$  that is not homotopic to the  $top(f, \Psi)$ -image of a based loop. A homotopy equivalence is a graph map  $(f, \Psi)$  whose blow-up  $top(f, \Psi)$  is a based homotopy equivalence. A graph isomorphism is a homotopy equivalence  $(f, \Psi)$  whose underlying map f is a simplicial homeomorphism. On the other hand, we will abuse terminology a bit and say  $(f, \Psi)$  is  $\underline{\pi_1}$ -injective if the restriction of  $top(f, \Psi)$  to each component is  $\underline{\pi_1}$ -injective, i.e. no based loop in  $top(\Gamma, \mathcal{G})$  is homotopic to the  $top(f, \Psi)$ -image of two non-homotopic based loops in the same component of  $top(\Gamma, \mathcal{G})$ .

The tightening of a graph has an induced homotopy equivalence whose blow-up is a deformation retraction. The collapse of a subgraph  $(\Gamma', \mathcal{G}')$  in  $(\Gamma, \mathcal{G})$  has a T'-induced homotopy equivalence  $(\Gamma, \mathcal{G}) \to (\Gamma'', \mathcal{G}'')$ . Conversely, let  $\gamma : \operatorname{graph}(\mathcal{G}) \to (\Gamma', \mathcal{G}')$  be a homotopy equivalence and  $\operatorname{rel}_{\gamma}(\Gamma, \mathcal{G})$  be the partial blow-up of  $(\Gamma, \mathcal{G})$  relative to  $\operatorname{top}(\gamma)$ . Then  $(\Gamma', \mathcal{G}')$  is a subgraph of  $\operatorname{rel}_{\gamma}(\Gamma, \mathcal{G})$  by construction and there is an induced homotopy equivalence  $\overline{\gamma} : \operatorname{graph}(\operatorname{top}(\Gamma, \mathcal{G})) \to \operatorname{rel}_{\gamma}(\Gamma, \mathcal{G})$  that extends  $\gamma$ ; recall that  $\operatorname{graph}(\mathcal{G})$  and  $(\Gamma', \mathcal{G}')$  are subgraphs of  $\operatorname{graph}(\operatorname{top}(\Gamma, \mathcal{G}))$  and  $\operatorname{rel}_{\gamma}(\Gamma, \mathcal{G})$  respectively.

For any graph map  $(f, \Psi) : (\Gamma, \mathcal{G}) \to (\Gamma', \mathcal{G}')$ , there is an induced <u>derivative</u> at  $v \in \Gamma^{(0)}$ ,  $d(f, \Psi)_v : T_v(\Gamma, \mathcal{G}) \to T_{f(v)}(\Gamma', \mathcal{G}')$ , given by  $(\epsilon, \sigma_v) \mapsto (df_v(\epsilon), [\Psi(\sigma_v)\rho(\epsilon)])$ , where

•  $\rho(\epsilon)$  is trivial when  $\epsilon = v$ , and

•  $\rho(\epsilon) = \rho(e)_0$  when  $\epsilon$  is the initial half-edge of the oriented edge e.

A graph map  $(f, \Psi)$  is <u>natural</u> if f maps branch points to branch points and  $top(f, \Psi)$  maps natural edges to possibly degenerate reduced edge-paths. Note that natural graph maps  $(f, \Psi)$  will map cores to cores and the restrictions to the cores, denoted  $core(f, \Psi)$ , are unbased graph maps. Due to the last requirement in the definition of a graph map, any graph map that preserves cores will also preserve tightenings and the corresponding restrictions is denoted  $tight(f, \Psi)$ . An <u>immersion</u> is a graph map  $(f, \Psi)$  whose derivative maps  $d(f, \Psi)_v$  are injective for all  $v \in \Gamma^{(0)}$ . Immersions are  $\pi_1$ -injective natural graph maps;  $\pi_1$ -injective graph maps defined on degenerate graphs are vacuously immersions. Although tangent spaces are infinite at vertices with nondegenerate vertex roses, it is a finite check to test injectivity of the derivative: if  $\epsilon_1 \neq \epsilon_2$  but  $df_v(\epsilon_1) = df_v(\epsilon_1)$ , then test whether  $[\rho(\epsilon_1)\rho(\epsilon_2)^{-1}] \in \Psi_*(\pi_1(\Gamma_v))$ , i.e.  $\rho(\epsilon_1)\rho(\epsilon_2)^{-1}$  is homotopic to the  $\Psi$ -image of a based loop in  $\Gamma_v$ .

Now consider a graph map  $(f, \Psi) : (\Gamma, \mathcal{G}) \to (\Gamma, \mathcal{G})$  from a graph to itself. A subgraph of  $(\Gamma, \mathcal{G})$  is  $(f, \Psi)$ -invariant if the underlying subcomplex is f-invariant. The stable subgraph for  $(f, \Psi)$  is the invariant subgraph that consists of f-periodic vertices and edges. An expansion is an immersion whose stable subgraph is degenerate. A graph map  $(f, \Psi)$  is automorphic if  $\Psi$  restricts to a based homotopy equivalence of the  $\Psi$ -periodic components of  $\overline{\mathcal{G}}$ . Note that an automorphic graph map restricts to an unbased graph isomorphism on its stable subgraph. The graph map  $(f, \Psi)$  permutes basepoints if f is  $\pi_0$ -bijective. The main result of the paper is an algorithm constructing an automorphic expansion homotopic to a  $\pi_1$ -injective graph map that permutes basepoints. Fig. 5 is an illustration of an automorphic expansion.

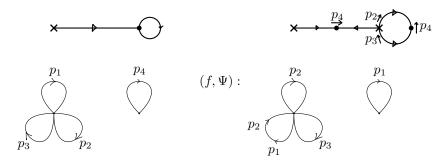


Figure 5: An automorphic expansion on a graph of rank 5. The graph is on the left and the expansion is on the right. Note that the map's image is contained in a proper subgraph.

We will say graph maps  $(f_1, \Psi_1)$  and  $(f_2, \Psi_2)$  are homotopic via a homotopy equivalence  $\alpha: (\Gamma_1, \mathcal{G}_1) \to (\Gamma_2, \mathcal{G}_2)$  if  $\operatorname{top}((f_2, \Psi_2) \circ \alpha)$  and  $\operatorname{top}(\alpha \circ (f_1, \Psi_1))$  are homotopic rel. basepoints. Collapsing an  $(f, \Psi)$ -invariant subgraph induces a graph map  $(f'', \Psi'')$  that is homotopic to  $(f, \Psi)$  via the T'-induced homotopy equivalence. Conversely, let

 $\gamma: \operatorname{graph}(\mathcal{G}) \to (\Gamma', \mathcal{G}')$  be a homotopy equivalence and suppose  $(f', \Psi'): (\Gamma', \mathcal{G}') \to (\Gamma', \mathcal{G}')$  and  $\operatorname{graph}(\Psi)$  are homotopic via  $\gamma$ . The partial blow-up of  $(\Gamma, \mathcal{G})$  relative to  $\operatorname{top}(\gamma)$  induces a graph map  $\operatorname{rel}_{\gamma}(f, \Psi)$  with invariant subgraph  $(\Gamma', \mathcal{G}')$  and the induced graph map is natural if  $(f, \Psi)$  and  $(f', \Psi')$  are natural. The restriction of  $\operatorname{rel}_{\gamma}(f, \Psi)$  to  $(\Gamma', \mathcal{G}')$  is  $(f', \Psi')$  and  $\operatorname{rel}_{\gamma}(f, \Psi)$  is homotopic to  $\operatorname{graph}(\operatorname{top}(f, \Psi))$  via the homotopy equivalence  $\overline{\gamma}$  that extends  $\gamma$ . Furthermore,  $\operatorname{rel}_{\gamma}(f, \Psi)$  induces the graph map  $(f, \Psi)$  up to a graph isomorphism after collapsing  $(\Gamma', \mathcal{G}')$  in  $\operatorname{rel}_{\gamma}(\Gamma, \mathcal{G})$ .

#### Construction

#### 1 Outline

The following algorithm is the main result. We start by outlining the steps in the algorithm using three key steps (Theorems 3.1 and 3.3, Proposition 3.2) as black boxes. These steps are proven in the next sections.

The algorithm for constructing automorphic expansions.

**Input:** A  $\pi_1$ -injective cellular map  $\psi: G \to G$  of roses that permutes basepoints. **Output:** 

- a graph  $(\Delta, \mathcal{D})$ , a homotopy equivalence  $\alpha : \operatorname{graph}(G) \to (\Delta, \mathcal{D})$ , and
- an automorphic expansion  $p:(\Delta, \mathcal{D}) \to (\Delta, \mathcal{D})$  homotopic to graph $(\psi)$  via  $\alpha$ . The graph  $(\Delta, \mathcal{D})$  is degenerate if and only if  $\psi$  is a based homotopy equivalence.

Outline of the algorithm.

Start by checking if  $\psi$  is a based homotopy equivalence. If it is, then set

$$(\Delta, \mathcal{D}) = (\pi_0(G), G), \quad \alpha = \pi, \quad p = (\pi_0(\psi), \psi),$$

where  $\pi : \operatorname{graph}(G) \to (\Delta, \mathcal{D})$  is the evident collapse map and we are done.

Otherwise,  $\psi$  is not a based homotopy equivalence. Start with  $G_0 = G$  and  $\psi_0 = \psi$ , then enter the *descent* loop:

- 1. Given a  $\pi_1$ -injective based cellular map  $\psi_m: G_m \to G_m$  that permutes basepoints but is not a based homotopy equivalence,
- 2. use the descending algorithm in Theorem 3.1 with  $\psi_m$  as input to construct:
  - a tight graph  $(\Gamma_{m+1}, \mathcal{G}_{m+1})$  with a nondegenerate core,
  - a homotopy equivalence  $\gamma_m : \operatorname{graph}(G_m) \to (\Gamma_{m+1}, \mathcal{G}_{m+1}),$
  - a natural graph map  $(f_{m+1}, \Psi_{m+1}) : (\Gamma_{m+1}, \mathcal{G}_{m+1}) \to (\Gamma_{m+1}, \mathcal{G}_{m+1})$  that is homotopic to graph $(\psi_m)$  via  $\gamma_m$ , and

- either  $(f_{m+1}, \Psi_{m+1})$  is an immersion or  $core(f_{m+1}, \Psi_{m+1})$  is eventually degenerate.
- 3. Save the data  $(f_{m+1}, \Psi_{m+1})$  and  $\gamma_m$  for later use.
- 4. Let  $G_{m+1}$  be the  $\Psi_{m+1}$ -periodic components of  $\mathcal{G}_{m+1}$  and  $\psi_{m+1} = \Psi_{m+1}|_{G_{m+1}}$ .
- 5. If  $\psi_{m+1}$  is a based homotopy equivalence, exit the loop.
- 6. Otherwise, restart the loop with  $\psi_{m+1}$ .

As each  $(\Gamma_m, \mathcal{G}_m)$  has a nondegenerate core, the *complexities* of  $\mathcal{G}_m$  are strictly decreasing and the loop will stop after n iterations for some positive  $n \leq 2 \cdot \operatorname{rank}(G_0) - 1$ . For each positive  $m \leq n$ , descent produces:

- a tight graph  $(\Gamma_m, \mathcal{G}_m)$  with a nondegenerate core,
- a natural graph map  $(f_m, \Psi_m) : (\Gamma_m, \mathcal{G}_m) \to (\Gamma_m, \mathcal{G}_m)$  that either is an immersion or has an eventually degenerate core, and
- a homotopy equivalence  $\gamma_{m-1}$ : graph $(G_{m-1}) \to (\Gamma_m, \mathcal{G}_m)$  such that  $(f_m, \Psi_m)$  is homotopic to graph $(\psi_{m-1})$  via  $\gamma_{m-1}$ , where  $G_{m-1}$  is the union of the  $\Psi_{m-1}$ -periodic components of  $\mathcal{G}_{m-1}$  and  $\psi_{m-1} = \Psi_{m-1}|_{G_{m-1}}$  (if  $m \geq 2$ ).

By design, descent stops the first moment it encounters a based homotopy equivalence. Thus  $(f_n, \Psi_n)$  is automorphic and each  $\pi_1$ -injective graph map  $(f_m, \Psi_m)$  permutes basepoints but is  $\pi_1$ -nonsurjective for  $1 \leq m \leq n$ . By Lemma 2.3,  $\operatorname{core}(f_n, \Psi_n)$  is not eventually degenerate and, hence,  $(f_n, \Psi_n)$  is an immersion. In summary,  $(f_n, \Psi_n)$  is a  $\pi_1$ -nonsurjective automorphic immersion that permutes basepoints. This concludes the intermediate step of the algorithm.

The graphs, graph maps, and homotopy equivalences produced by the descent will be accessible in the next loop. Start with m = n,  $(\Omega_n, \mathcal{O}_n) = (\Gamma_n, \mathcal{G}_n)$ ,  $q_n = (f_n, \Psi_n)$ , and  $\omega_n$  the collapse map graph $(\text{top}(\Gamma_n, \mathcal{G}_n)) \to (\Gamma_n, \mathcal{G}_n)$ , then enter the ascent loop:

#### 1. Given

- an index m (for accessing the sequences produced by descent),
- a nondegenerate graph  $(\Omega_m, \mathcal{O}_m)$ ,
- a homotopy equivalence  $\omega_m$ : graph $(top(\Gamma_m, \mathcal{G}_m)) \to (\Omega_m, \mathcal{O}_m)$ , and
- an automorphic immersion  $q_m: (\Omega_m, \mathcal{O}_m) \to (\Omega_m, \mathcal{O}_m)$  that is homotopic to graph $(top(f_m, \Psi_m))$  via  $\omega_m$  in particular,  $q_m$  permutes basepoints and is  $\pi_1$ -nonsurjective,
- 2. use the extending algorithm in Proposition 3.2 with  $q_m$  as input to construct:

- a nondegenerate graph  $(\Delta_m, \mathcal{D}_m)$ ,
- a homotopy equivalence  $\delta_m: (\Omega_m, \mathcal{O}_m) \to (\Delta_m, \mathcal{D}_m)$ , and
- an automorphic expansion  $p_m: (\Delta_m, \mathcal{D}_m) \to (\Delta_m, \mathcal{D}_m)$  that is homotopic to  $q_m$  via  $\delta_m$ .
- 3. Set  $\alpha_m = \delta_m \circ \omega_m \circ \operatorname{graph}(\operatorname{top}(\gamma_{m-1})) : \operatorname{graph}(G_{m-1}) \to (\Delta_m, \mathcal{D}_m)$ . Note that  $p_m$  is homotopic to  $\operatorname{graph}(\psi_{m-1})$  via  $\alpha_m$ .
- 4. If m=1, save the data  $p_m$  and  $\alpha_m$  then exit the loop.
- 5. Otherwise, recall that either  $(f_{m-1}, \Psi_{m-1})$  is an immersion or  $\operatorname{core}(f_{m-1}, \Psi_{m-1})$  is eventually degenerate by the descent construction. Use the ascending algorithm in Theorem 3.3 with  $p_m$ ,  $\alpha_m$ , and  $(f_{m-1}, \Psi_{m-1})$  as inputs to construct:
  - a nondegenerate graph  $(\Omega_{m-1}, \mathcal{O}_{m-1})$ ,
  - a homotopy equivalence  $\omega_{m-1}$ : graph $(top(\Gamma_{m-1}, \mathcal{G}_{m-1})) \to (\Omega_{m-1}, \mathcal{O}_{m-1}),$
  - and an automorphic immersion  $q_{m-1}: (\Omega_{m-1}, \mathcal{O}_{m-1}) \to (\Omega_{m-1}, \mathcal{O}_{m-1})$  that is homotopic to graph $(top(f_{m-1}, \Psi_{m-1}))$  via  $\omega_{m-1}$ .
- 6. Restart the loop with m-1,  $(\Omega_{m-1}, \mathcal{O}_{m-1})$ ,  $\omega_{m-1}$ , and  $q_{m-1}$ .

In the end, ascent produces the output for the algorithm:

- a nondegenerate graph  $(\Delta_1, \mathcal{D}_1)$ ,
- a homotopy equivalence  $\alpha_1 : \operatorname{graph}(G_0) \to (\Delta_1, \mathcal{D}_1)$ , and
- an automorphic expansion  $p_1:(\Delta_1,\mathcal{D}_1)\to(\Delta_1,\mathcal{D}_1)$  homotopic to graph $(\psi_0)$  via  $\alpha_1$ .

This concludes the algorithm's outline.

#### 2 Stallings folds and bounded cancellation

The most important tool in our algorithm is Stallings factorization [Sta83]: Stallings showed that any cellular map  $f: \Gamma \to \Gamma'$  can be algorithmically factored as  $f = \iota \circ \gamma$  where  $\iota$  is a simplicial immersion and  $\gamma$  is a  $\pi_1$ -surjective cellular map — precisely, a composition of Stallings folds. Furthermore, the maps  $\iota$  and  $\gamma$  are unique up to simplicial homeomorphism. The cellular map  $\gamma$  is a homotopy equivalence if and only if f is  $\pi_1$ -injective; if f is  $\pi_1$ -injective, then the simplicial immersion  $\iota$  is a homeomorphism if and only if f is a homotopy equivalence. We now slightly adapt this to work for graph maps.

**Lemma 2.1.** Any graph map  $(f, \Psi) : (\Gamma, \mathcal{G}) \to (\Gamma', \mathcal{G}')$  can be algorithmically factored as  $(f, \Psi) = \iota \circ \gamma$  where  $\iota : S(f, \Psi) \to (\Gamma', \mathcal{G}')$  is a simplicial immersion and  $\gamma : (\Gamma, \mathcal{G}) \to S(f, \Psi)$  a  $\pi_1$ -surjective graph map. Furthermore, the graph  $S(f, \Psi)$  and graph maps  $\iota$  and  $\gamma$  are unique up to graph isomorphism.

The graph map  $\gamma$  is a homotopy equivalence if and only if  $(f, \Psi)$  is  $\pi_1$ -injective; if  $(f, \Psi)$  is  $\pi_1$ -injective, then the simplicial immersion  $\iota$  is a graph isomorphism if and only if  $(f, \Psi)$  is a homotopy equivalence.

The graph  $S(f, \Psi)$  will be known as the Stallings graph for  $(f, \Psi)$ .

*Proof.* Apply Stallings theorem to factor  $top(f, \Psi) = \iota' \circ \gamma'$  where  $\iota' : S \to top(\Gamma', \mathcal{G}')$  is a based simplicial immersion and  $\gamma' : top(\Gamma, \mathcal{G}) \to S$  a  $\pi_1$ -surjective based cellular map. Define the subcomplex  $S \subset S$  to be the  $\iota'$ -preimage of  $\mathcal{G}'$ . Turning everything into graphs gives  $graph(top(f, \Psi)) = graph(\iota') \circ graph(\gamma')$  where  $graph(\iota')$  is a simplicial immersion and  $graph(\gamma')$  a  $\pi_1$ -surjective graph map.

By construction, graph(top( $f, \Psi$ )) maps graph( $\mathcal{G}$ ) to graph( $\mathcal{G}'$ ). Then graph( $\gamma'$ ) maps graph( $\mathcal{G}$ ) to graph( $\mathcal{S}$ ). Collapse graph( $\mathcal{G}$ ) in graph(top( $\Gamma, \mathcal{G}$ )),  $\mathcal{S}$  in graph( $\mathcal{S}$ ), and graph( $\mathcal{G}'$ ) in graph(top( $\Gamma', \mathcal{G}'$ )). This recovers the graphs ( $\Gamma, \mathcal{G}$ ) and ( $\Gamma', \mathcal{G}'$ ) and induces a simplicial immersion  $\iota : S(f, \Psi) \to (\Gamma', \mathcal{G}')$  and  $\pi_1$ -surjective graph map  $\gamma : (\Gamma, \mathcal{G}) \to S(f, \Psi)$ , where the graph  $S(f, \Psi)$  is the collapse of graph( $\mathcal{S}$ ) in graph( $\mathcal{S}$ ).

For uniqueness, suppose  $(f, \Psi) = \iota'' \circ \gamma''$  where  $\iota'' : S'(f, \Psi) \to (\Gamma', \mathcal{G}')$  is a simplicial immersion and  $\gamma'' : (\Gamma, \mathcal{G}) \to S'(f, \Psi)$  a  $\pi_1$ -surjective graph map. Then  $\operatorname{top}(\iota'') = \iota_1 \circ \gamma_1$  where  $\iota_1 : S' \to \operatorname{top}(\Gamma', \mathcal{G}')$  is a based simplicial immersion and  $\gamma_1 : \operatorname{top}(S'(f, \Psi)) \to S'$  a  $\pi_1$ -surjective based cellular map. By uniqueness of Stallings factorization, there is a based simplicial homeomorphism  $h : S' \to S$  such that  $\iota_1 = \iota' \circ h$  and  $\gamma' = h \circ \gamma_1 \circ \operatorname{top}(\gamma'')$ . Set  $S' \subset S'$  to be the  $\iota_1$ -preimage of  $\mathcal{G}'$ . Since  $\iota''$  is an immersion, collapsing S' in S' recovers  $S'(f, \Psi)$  up to a graph isomorphism. As  $\iota_1 = \iota' \circ h$  and h is a based simplicial homeomorphism, we get that h(S') = S. So collapsing S' in S' and S in S induces a graph isomorphism  $\bar{h} : S'(f, \Psi) \to S(f, \Psi)$  with  $\iota'' = \iota \circ \bar{h}$  and  $\gamma = \bar{h} \circ \gamma''$ .

For the most part, we will be interested in the case  $(\Gamma', \mathcal{G}') = (\Gamma, \mathcal{G})$  and computing the factorizations of iterates  $(f, \Psi)^k$ . The next lemma is about based cellular maps, or equivalently, graph maps on graphs with degenerate vertex spaces.

**Lemma 2.2.** Let  $f: \Gamma \to \Gamma$  be a  $\pi_1$ -injective based cellular map that permutes basepoints. If f is not a based homotopy equivalence, then the length of the longest natural edge in  $\operatorname{core}(S(f^k))$  is unbounded as  $k \to \infty$ .

Proof. Suppose  $f: \Gamma \to \Gamma$  is  $\pi_1$ -injective based cellular map that permutes basepoints and the length of the longest natural edge in  $\operatorname{core}(S(f^k))$  was uniformly bounded for all  $k \geq 1$ . We want to show that f is a based homotopy equivalence. Let  $f^k = \iota_k \circ \gamma_k$  be the Stallings factorization for  $k \geq 1$ . Since f is  $\pi_1$ -injective,  $\gamma_k$  is a based homotopy equivalence, and, as f permutes basepoints,  $\iota_k$  is  $\pi_0$ -bijective for  $k \geq 1$ . The number of

natural edges in  $\operatorname{core}(S(f^k))$  is bounded above by  $3 \cdot \operatorname{rank}(\Gamma) - 3$ . Our assumption implies there is a uniform bound on the number of edges in  $\operatorname{core}(S(f^k))$  for  $k \geq 1$ . So the sequence  $\operatorname{core}(\iota_k)$  is eventually periodic up to simplicial homeomorphism, i.e. there are integers  $m > n \geq 1$  and a simplicial homeomorphism  $h : \operatorname{core}(S(f^m)) \to \operatorname{core}(S(f^n))$  such that  $\operatorname{core}(\iota_m) = \operatorname{core}(\iota_n) \circ h$ .

Find the factorizations  $\gamma_n \circ f^{m-n} = \iota' \circ \gamma'$  and  $\gamma_1 \circ f^{m-n-1} = \iota'' \circ \gamma''$ . On the other hand,  $f^m = f^n \circ f^{m-n} = \iota_n \circ \iota' \circ \gamma'$ , so uniqueness of factorization implies, up to based simplicial homeomorphism,  $\iota_n \circ \iota' = \iota_m$  and  $\gamma' = \gamma_m$ . So  $\operatorname{core}(\iota_n) \circ \operatorname{core}(\iota') = \operatorname{core}(\iota_m)$ . Recall that  $\operatorname{core}(\iota_m) = \operatorname{core}(\iota_n) \circ h$  where h is a simplicial homeomorphism. First observation: a  $\pi_0$ -bijective simplicial immersion from a graph to itself is a simplicial homeomorphism. Hence,  $\operatorname{core}(\iota') \circ h^{-1}$  must be a simplicial homeomorphism. This implies  $\operatorname{core}(\iota')$  is a simplicial homeomorphism too. Second observation: a based simplicial immersion whose restriction to the core is a homeomorphism is in fact a based simplicial embedding onto a deformation retract. Therefore,  $\iota'$  is a based simplicial embedding onto a deformation retract.

Since  $\gamma' = \gamma_m$  is a based homotopy equivalence,  $\gamma_n \circ f^{m-n} = \iota' \circ \gamma'$  is a based homotopy equivalence. As  $\gamma_n$  is a based homotopy equivalence too, so is  $f^{m-n}$ . Again by uniqueness of factorization, we may take  $\iota_1 \circ \iota'' = \iota_{m-n}$  and  $\gamma'' = \gamma_{m-n}$  up to based simplicial homeomorphism. In particular,  $\iota_1 \circ \iota''$  is a based simplicial homeomorphism (since  $f^{m-n}$  is a based homotopy equivalence) and, consequently, so is  $\iota_1$  (and  $\iota''$ ). Therefore,  $f = \iota_1 \circ \gamma_1$  is a based homotopy equivalence as  $\gamma_1$  is a based homotopy equivalence.

We will now make an observation about graph maps that permute basepoints and restrict to based homotopy equivalences on the periodic vertex roses.

**Lemma 2.3.** Suppose  $(\Gamma, \mathcal{G})$  has a nondegenerate core and  $(f, \Psi) : (\Gamma, \mathcal{G}) \to (\Gamma, \mathcal{G})$  is an automorphic natural graph map that permutes basepoints. If  $\operatorname{core}(f, \Psi)$  is eventually degenerate, then  $(f, \Psi)$  is not  $\pi_1$ -injective.

Proof. Suppose  $\operatorname{core}(f, \Psi)^k$  is degenerate for some  $k \geq 1$ . Since  $\operatorname{core}(f, \Psi)$  permutes components, each component of  $\operatorname{core}(\Gamma, \mathcal{G})$  has exactly one periodic vertex and  $\operatorname{top}(\operatorname{core}(f, \Psi)^k)$  can be considered a cellular map  $\operatorname{top}(\operatorname{core}(\Gamma, \mathcal{G})) \to G$  where G is the union of  $\Psi$ -periodic vertex roses labelling vertices of  $\operatorname{core}(\Gamma, \mathcal{G})$ . Factor  $\operatorname{top}(\operatorname{core}(f, \Psi)^k) = \iota \circ \gamma$ , then  $\iota$  is a simplicial homeomorphism since  $\operatorname{top}(\operatorname{core}(f, \Psi)^k)$  restricts to a homotopy equivalence of G by the automorphic assumption.

If  $\operatorname{top}(\operatorname{core}(f, \Psi)^k)$  were  $\pi_1$ -injective, then  $\iota$  being a simplicial homeomorphism would imply  $\operatorname{top}(\operatorname{core}(f, \Psi)^k)$  were a homotopy equivalence. But as  $(\Gamma, \mathcal{G})$  has a nondegenerate core,  $\operatorname{rank}(G) < \operatorname{rank}(\operatorname{top}(\Gamma, \mathcal{G}))$  and so  $\operatorname{top}(\operatorname{core}(f, \Psi)^k)$  is not  $\pi_1$ -injective. Therefore,  $\operatorname{core}(f, \Psi)$  and  $(f, \Psi)$  are not  $\pi_1$ -injective.

The next lemma, also known as the <u>bounded cancellation lemma</u>, will be used extensively in this paper. For an edge-path p in a topological graph  $\Gamma$ , [p] denotes the reduced edge-path that is homotopic to p rel. endpoints.

**Lemma 2.4** (Bounded cancellation). Let  $g:(\Gamma,\mathcal{G})\to(\Gamma',\mathcal{G}')$  be a  $\pi_1$ -injective graph map. Then there is a computable constant C such that, for any natural edge-path decomposition  $p_1\cdot p_2$  of a reduced path in  $top(\Gamma,\mathcal{G})$ , the reduction (rel. endpoints) of the edge-path concatenation  $[top(g)(p_1)][top(g)(p_2)]$  to  $[top(g)(p_1)top(g)(p_2)]$  involves cancelling a subpath with length  $\leq C$  in  $[top(g)(p_1)]$  and  $[top(g)(p_2)]$ .

The following proof is due to Bestvina-Feighn-Handel [BFH97, Lemma 3.1].

*Proof.* Factor  $top(g) = \iota \circ \gamma \circ g_0$  into a pretrivial edge collapse and subdivision  $g_0$ , a composition of  $r \geq 0$  folds  $\gamma = g_r \circ \cdots \circ g_1$ , and a based simplicial immersion  $\iota$ . The collapse, subdivision, and immersion have cancellation constants 0 while each fold has cancellation constant 1 by  $\pi_1$ -injectivity. Thus we may choose C = r.

Although the lemma gives a recipe for computing a cancellation constant, C(g) will generally denote an a priori computed constant that may be smaller than that produced by applying the recipe to g. The main idea is that some operations can increase the size of a graph while keeping the cancellation constant unchanged. If done appropriately, this allows us to promote graph maps to immersions. Our first application of bounded cancellation along these lines is a sufficient condition for a natural graph map to be an immersion.

**Lemma 2.5.** Suppose  $(f, \Psi) : (\Gamma, \mathcal{G}) \to (\Gamma', \mathcal{G}')$  is a  $\pi_1$ -injective natural graph map with cancellation constant  $C(f, \Psi)$ . If  $(\Gamma, \mathcal{G})$  has no pretrivial edges and every natural edge is longer than  $C(f, \Psi)$ , then  $(f, \Psi)$  is an immersion.

*Proof.* For a contradiction, suppose  $d(f, \Psi)_v$  is not injective at the branch point  $v \in \Gamma^{(0)}$ . By assumption,  $(f, \Psi)$  has no pretrivial edges, so  $d(f, \Psi)_v(\epsilon_1, \sigma_1) = d(f, \Psi)_v(\epsilon_2, \sigma_2) = (\epsilon, \sigma)$  for some distinct nontrivial tangent vectors  $(\epsilon_1, \sigma_1), (\epsilon_2, \sigma_2)$  at v and  $(\epsilon, \sigma)$  at f(v); let  $\epsilon_1, \epsilon_2, \epsilon$  be respective initial half-edges of oriented natural edges  $e_1, e_2, e$ .

So  $\bar{e}_1 \cdot [\overline{\sigma_1}\sigma_2]e_2$  is a reduced natural edge-path decomposition in  $top(\Gamma, \mathcal{G})$ , but

$$[\operatorname{top}(f,\Psi)(\bar{e}_1)][\operatorname{top}(f,\Psi)(\overline{\sigma_1}\sigma_2e_2)] = u\bar{e}\overline{\rho(\epsilon_1)}\left[\Psi(\overline{\sigma_1}\sigma_2)\rho(\epsilon_2)\right]ew$$

for some reduced edge-paths u, w in  $top(\Gamma', \mathcal{G}')$ . The fact that  $[top(f, \Psi)(e_1)]$  has initial segment  $\rho(\epsilon_1)e$  follows from  $(f, \Psi)$  being natural. Same reasoning goes for the second piece of the concatenation. By assumption,  $\sigma = \Psi(\sigma_1)\rho(\epsilon_1) = \Psi(\sigma_2)\rho(\epsilon_2)$ , so the reduction of the above edge-path concatenation will involve cancelling a subpath containing e. Yet we assumed e is longer than  $C(f, \Psi)$ , a contradiction.

The next lemma bounds in terms of a cancellation constant how close  $\pi_1$ -injective graph maps are to being natural.

**Lemma 2.6.** Suppose  $(f, \Psi) : (\Gamma, \mathcal{G}) \to (\Gamma', \mathcal{G}')$  is a  $\pi_1$ -injective graph map with cancellation constant  $C = C(f, \Psi)$ . Then  $(f, \Psi)$  maps branch points to the C-neighborhood of branch points.

Proof. Set  $C = C(f, \Psi)$ . If  $(\Gamma', \mathcal{G}')$  is the C-neighborhood of its branch points, then there is nothing to prove. Suppose  $\nu$  is a bivalent vertex in  $\Gamma'$  whose distance to the nearest branch point is > C. We need to show that  $\nu$  is not the f-image of any branch point. Set  $\epsilon_1$  and  $\epsilon_2$  to be the distinct oriented half-edges originating from  $\nu$  and  $\bar{\epsilon}_1, \bar{\epsilon}_2$  are the same half-edges with opposite orientation. Since  $\nu$  is bivalent, its vertex rose is degenerate. As  $(f, \Psi)$  is  $\pi_1$ -injective, all vertices in the preimage  $f^{-1}(\nu)$  have degenerate vertex roses.

Suppose v is a branch point in  $\Gamma$  and  $f(v) = \nu$ . As v is a branch point with a degenerate vertex rose, there are at least three distinct oriented half-edges originating from v:  $e_1$ ,  $e_2$ , and  $e_3$ . Let  $p_{12}$  be a reduced edge-path in  $top(\Gamma, \mathcal{G})$  that starts and ends with  $e_1$  and  $\overline{e_2}$  respectively and define  $p_{23}$  similarly. Set  $p_{13} = [p_{12}p_{23}]$  and  $p'_{13} = p_{12}\overline{p_{23}}$ . See Figure 6 for an illustration. Although the paths are loops, we still treat them as paths, i.e. tightening is done rel. the endpoints. Without loss of generality, assume  $[top(f, \Psi)(p_{12})]$  starts with  $e_1$ .

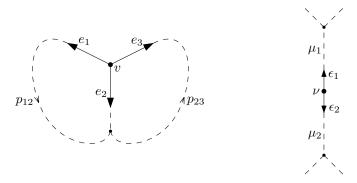


Figure 6: Schematic for paths  $p_{12}, p_{23}, p_{13}$ , and  $p'_{13}$ . The path  $p_{13}$  starts with  $e_1$  follows the dashed path and ends with  $\overline{e_3}$ . The path  $p'_{13}$  is the "figure 8" path traced by  $p_{12}$  then  $\overline{p_{23}}$ .

If  $[top(f, \Psi)(p_{12})]$  ends with  $\bar{\epsilon}_1$ , then  $[top(f, \Psi)(p_{12})] = \mu_1 \rho \overline{\mu_1}$ , where  $\mu_1$  is an extension of  $\epsilon_1$  to an embedded path in  $top(\Gamma', \mathcal{G}')$  from  $\nu$  to a branch point and  $\rho$  is a reduced nontrivial loop. By hypothesis,  $\mu_1$  is longer than C. Since  $p_{12}$  starts and ends with  $e_1$  and  $\overline{e_2}$  respectively, the natural edge-path decomposition  $p_{12} \cdot p_{12}$  of the immersed path leads to the concatenation of reduced paths  $[top(f, \Psi)(p_{12})][top(f, \Psi)(p_{12})]$  with  $\overline{\mu_1}\mu_1$  as a subpath, violating bounded cancellation. So we may assume  $[top(f, \Psi)(p_{12})]$  starts and ends with  $\epsilon_1$  and  $\bar{\epsilon}_2$ .

If  $[top(f, \Psi)(p_{23})]$  starts and ends with  $\epsilon_2$  and  $\bar{\epsilon}_1$ , then  $[top(f, \Psi)(p_{13})]$ , the reduction of  $[top(f, \Psi)(p_{12})top(f, \Psi)(p_{23})]$ , starts and ends with  $\epsilon_1$  and  $\bar{\epsilon}_1$  respectively, which violates bounded cancellation for the same reason given in the previous paragraph. Similarly, if  $[top(f, \Psi)(p_{23})]$  starts and ends with  $\epsilon_1$  and  $\bar{\epsilon}_2$ , we rule out this possibility by considering  $[top(f, \Psi)(p'_{13})]$ . We have ruled out all cases, and therefore, no branch point v of  $(\Gamma, \mathcal{G})$  is mapped to  $\nu$ .

Let  $q:(\Gamma,\mathcal{G})\to(\Gamma,\mathcal{G})$  be a  $\pi_1$ -injective graph map. For  $k\geq 1$ , factor  $q^k=\iota_k\circ\gamma_k$  into a

simplicial immersion  $\iota_k: S(q^k) \to (\Gamma, \mathcal{G})$  and a homotopy equivalence  $\gamma_k: (\Gamma, \mathcal{G}) \to S(q^k)$ . Set  $q_1 = \gamma_1 \circ \iota_1: S(q) \to S(q)$  then  $\iota_1 \circ q_1 = q \circ \iota_1$  and  $q_1 \circ \gamma_1 = \gamma_1 \circ q$ . Inductively assume the  $\pi_1$ -injective graph map  $q_k: S(q^k) \to S(q^k)$  satisfies  $\iota_k \circ q_k = q \circ \iota_k$  and  $q_k \circ \gamma_k = \gamma_k \circ q$ . Factor  $q_k = \iota' \circ \gamma'$  into a simplicial immersion  $\iota': S' \to S(q^k)$  and a homotopy equivalence  $\gamma': S(q^k) \to S'$ . We now observe that:

$$\iota_k \circ \iota' \circ \gamma' \circ \gamma_k = \iota_k \circ q_k \circ \gamma_k$$
$$= q \circ \iota_k \circ \gamma_k = q^{k+1}.$$

By uniqueness of factorization, up to graph isomorphism, we may assume  $S' = S(q^{k+1})$ ,  $\gamma' \circ \gamma_k = \gamma_{k+1}$ , and  $\iota_k \circ \iota' = \iota_{k+1}$ . Set  $q_{k+1} = \gamma' \circ \iota' : S(q^{k+1}) \to S(q^{k+1})$ . By construction,  $\iota_{k+1} \circ q_{k+1} = \iota_k \circ \iota' \circ \gamma' \circ \iota' = q \circ \iota_{k+1}$  and  $q_{k+1} \circ \gamma_{k+1} = \gamma' \circ \iota' \circ \gamma' \circ \gamma_k = \gamma_{k+1} \circ q$ .

The first relation  $\iota_k \circ q_k = q \circ \iota_k$  and the fact  $\iota_k$  is a simplicial immersion implies  $q_k$  and q have the same Lipschitz and cancellation constants, i.e.  $K(q_k) = K(q)$  and  $C(q_k) = C(q)$  for all  $k \geq 1$ . By Lemma 2.6,  $q_k$  maps branch points to C(q)-neighborhoods of branch points. We can apply a bivalent homotopy to get a graph map  $\bar{q}_k$  that maps branch points to branch points. Let K(q) be the Lipschitz constant for q. By the bound on the necessary homotopy, we can use  $K(\bar{q}_k) = K(q) + C(q)$  and  $C(\bar{q}_k) = 2C(q)$  as the Lipschitz and cancellation constants for  $\bar{q}_k$ . We can then apply a tightening homotopy to ensure  $top(q_k)$  maps natural edges to reduced edge-paths. This homotopy will not worsen the Lipschitz and cancellation constants and the resultant graph map  $\bar{q}_k$  is natural. Finally, set  $\bar{S}_k = tight(S(q^k))$ ,  $\bar{\gamma}_k : (\Gamma, \mathcal{G}) \to \bar{S}_k$  the induced homotopy equivalence, and replace  $\bar{q}_k$  with its restriction to the subgraph  $\bar{S}_k$ . The second relation  $q_k \circ \gamma_k = \gamma_k \circ q$  implies  $\bar{q}_k$  and q are homotopic via  $\bar{\gamma}_k$ . To summarize the preceding discussion:

**Proposition 2.7.** Suppose  $q:(\Gamma,\mathcal{G})\to(\Gamma,\mathcal{G})$  is a  $\pi_1$ -injective graph map. For any  $k\geq 1$ , there is an algorithm that finds a tight graph  $\bar{S}_k$ , a homotopy equivalence  $\bar{\gamma}_k:(\Gamma,\mathcal{G})\to\bar{S}_k$ , and natural graph map  $\bar{q}_k:\bar{S}_k\to\bar{S}_k$  such that:

- 1.  $\bar{S}_k = \text{tight}(S(q^k))$  is the tightening for the Stallings graph for  $q^k$ ,
- 2.  $\bar{q}_k$  is homotopic to q via  $\bar{\gamma}_k$ , and
- 3.  $K(\bar{q}_k) = K(q) + C(q)$  and  $C(\bar{q}_k) = 2C(q)$ .

The crucial point is that the Lipschitz and cancellation constants are independent of k.

#### 3 Key steps

We now give the statements and proofs of the key steps in the outline.

**Theorem 3.1** (Descend). Let  $\psi : G \to G$  be a  $\pi_1$ -injective based cellular map that permutes basepoints and is not a based homotopy equivalence.

There is an algorithm that takes  $\psi$  as input and constructs a tight graph  $(\Gamma, \mathcal{G})$  with nondegenerate core, a homotopy equivalence  $\gamma : \operatorname{graph}(G) \to (\Gamma, \mathcal{G})$ , and a natural graph  $map(f, \Psi) : (\Gamma, \mathcal{G}) \to (\Gamma, \mathcal{G})$  such that:

- 1.  $(f, \Psi)$  is homotopic to graph $(\psi)$  via  $\gamma$ ;
- 2. either  $(f, \Psi)$  is an immersion or  $core(f, \Psi)$  is eventually degenerate.

*Proof.* Suppose  $\psi: G \to G$  permutes basepoints and is not a based homotopy equivalence. Set  $N = 3 \cdot \text{rank}(G) - 1$ ,  $K = K(\psi) + C(\psi)$ ,  $C = 2C(\psi)$ , and k = 1. Enter a loop:

- 1. Given a positive integer  $k \geq 1$ , construct  $S(\psi^k)$  the Stallings graph for graph $(\psi^k)$ .
- 2. If the longest natural edge in  $\operatorname{core}(S(\psi^k))$  is longer than  $C \cdot K^{N-1}$ , save k and exit the loop. Otherwise, restart the loop with k+1.

By hypothesis and Lemma 2.2, the lengths of natural edges in  $\operatorname{core}(S(\psi^n))$  are unbounded as  $n \to \infty$  and so the loop must eventually stop. Use the algorithm in Proposition 2.7 with  $\operatorname{graph}(\psi)$  and k as input to construct a homotopy equivalence  $\bar{\gamma}_k$ :  $\operatorname{graph}(G) \to \bar{S}_k$  and a natural graph map  $\bar{f}_k : \bar{S}_k \to \bar{S}_k$  homotopic to  $\operatorname{graph}(\psi)$  via  $\bar{\gamma}_k$ , where  $\bar{S}_k = \operatorname{tight}(S(\psi^k))$ ; the graph map  $\bar{f}_k$  has Lipschitz and cancellation constants K and C respectively.

Form a directed graph  $\mathbb{G}_k$  whose vertices are the natural edges of  $\bar{S}_k$  and there is a directed edge  $E_i \to E_j$  if  $\bar{f}_k(E_i)$  (in the underlying space) contains  $E_j$ . Since  $\bar{S}_k$  is a tight graph with the same rank as G,  $\mathbb{G}_k$  has at most N vertices. Let  $\mathcal{L}_0$  be the natural edges of  $\bar{S}_k$  longer than  $C \cdot K^{N-1}$  and  $\mathcal{L}$  the union of  $\mathcal{L}_0$  and natural edges on a directed path to  $\mathcal{L}_0$  in  $\mathbb{G}_k$ . Since  $\bar{f}_k$  is K-Lipschitz and the shortest directed path in  $\mathbb{G}_k$  from a natural edge in  $\mathcal{L}$  to  $\mathcal{L}_0$  has at most N natural edges on it, every natural edge in  $\mathcal{L}$  is longer than C. The natural edges in  $\mathcal{L}$  will be long and the remaining ones will be short.

Set  $(\Delta', \mathcal{D}')$  be the subgraph of  $\bar{S}_k$  consisting of all the short natural edges and vertices. The subgraph is  $\bar{f}_k$ -invariant by construction of  $\mathcal{L}$ . Collapsing  $(\Delta', \mathcal{D}')$  in  $\bar{S}_k$  produces:

- 1. a tight graph  $(\Gamma, \mathcal{G})$  with natural edges longer C and a nondegenerate core,
- 2. a T'-induced homotopy equivalence  $\gamma'_k: \bar{S}_k \to (\Gamma, \mathcal{G})$  for some maximal topological forest  $T' \subset \Delta'$ , and
- 3. a T'-induced natural graph map  $(f, \Psi) : (\Gamma, \mathcal{G}) \to (\Gamma, \mathcal{G})$  with cancellation constant  $\Gamma$  such that  $(f, \Psi)$  is homotopic to  $f_k$  via  $\gamma'_k$ .

Now set  $\gamma = \gamma'_k \circ \bar{\gamma}_k$ , then  $(f, \Psi)$  is homotopic to graph $(\psi)$  via  $\gamma$ . It remains to verify that either  $(f, \Psi)$  is an immersion or  $\operatorname{core}(f, \Psi)$  is eventually degenerate.

Let  $\mathbb{L}$  be the full subgraph in  $\mathbb{G}_k$  generated by the long natural edges  $\mathcal{L}$  contained in  $\operatorname{core}(\bar{S}_k)$ . If there are no directed cycles in  $\mathbb{L}$ , then  $\operatorname{core}(f, \Psi)$  is eventually degenerate. Now suppose there are directed cycles in  $\mathbb{L}$ . Enlarge  $\Delta'$  to include all natural edges in  $\mathbb{L}$ 

that have no directed paths to directed cycles. The corresponding new subgraph  $(\Delta', \mathcal{D}')$  is still  $\bar{f}_k$ -invariant and collapsing it still produces a tight graph with natural edges longer than C and a nondegenerate core. Replace the graph  $(\Gamma, \mathcal{G})$ , homotopy equivalence  $\gamma'_k$ , and  $(f, \Psi)$  with the new data produced by collapsing the larger  $(\Delta', \mathcal{D}')$ ; this ensures  $(f, \Psi)$  has no pretrivial edges. As  $(f, \Psi)$  is a natural graph map with no pretrivial edges and the natural edges of  $(\Gamma, \mathcal{G})$  are longer than the cancellation constant C, the graph map  $(f, \Psi)$  is an immersion by Lemma 2.5.

**Proposition 3.2** (Extend). Let  $q:(\Omega,\mathcal{O})\to(\Omega,\mathcal{O})$  be an automorphic immersion that permutes basepoints on a nondegenerate graph  $(\Omega,\mathcal{O})$ .

There is an algorithm that takes a  $\pi_1$ -nonsurjective q as input and constructs a nondegenerate graph  $(\Delta, \mathcal{D})$ , a homotopy equivalence  $\delta : (\Omega, \mathcal{O}) \to (\Delta, \mathcal{D})$ , and an automorphic expansion  $p : (\Delta, \mathcal{D}) \to (\Delta, \mathcal{D})$  that is homotopic to q via  $\delta$ .

*Proof.* Suppose q is a  $\pi_1$ -nonsurjective automorphic immersion on a nondegenerate graph  $(\Omega, \mathcal{O})$ . Find the stable subgraph  $(\Omega', \mathcal{O}')$  for q. If it is degenerate, then q is an expansion and we are done: set  $(\Delta, \mathcal{D}) = (\Omega, \mathcal{O})$ ,  $\delta$  the identity map, and p = q.

Now assume  $(\Omega', \mathcal{O}')$  is not degenerate. Since q is automorphic, it restricts to an unbased graph isomorphism of the stable subgraph  $(\Omega', \mathcal{O}')$ . As q is  $\pi_1$ -nonsurjective,  $(\Omega', \mathcal{O}')$  is proper. So collapsing  $(\Omega', \mathcal{O}')$  in  $(\Omega, \mathcal{O})$  produces:

- 1. a nondegenerate graph  $(\Delta, \mathcal{D})$ ,
- 2. a T'-induced homotopy equivalence  $\delta:(\Omega,\mathcal{O})\to(\Delta,\mathcal{D})$  for some maximal topological forest T' in  $\Omega'$ , and
- 3. a T'-induced automorphic graph map  $p:(\Delta,\mathcal{D})\to(\Delta,\mathcal{D})$  homotopic to q via  $\delta$  and with degenerate stable subgraph.

This process may have produced pretrivial edges. The immersion assumption on q implies pretrivial edges are disjoint from periodic vertices. Iteratively collapsing pretrivial edges produces a nondegenerate graph and induces an automorphic expansion.

**Theorem 3.3** (Ascend). Let  $p:(\Delta,\mathcal{D})\to(\Delta,\mathcal{D})$  be an automorphic expansion that permutes basepoints on a nondegenerate graph  $(\Delta,\mathcal{D})$  and  $\alpha:\operatorname{graph}(G)\to(\Delta,\mathcal{D})$  be a homotopy equivalence. Suppose  $(f,\Psi):(\Gamma,\mathcal{G})\to(\Gamma,\mathcal{G})$  is a natural graph map that either is an immersion or has an eventually degenerate core. Furthermore, assume  $\operatorname{graph}(\Psi|_G)$  is homotopic to p via  $\alpha$ ; here G is identified with the union of  $\Psi$ -periodic components of G.

There is an algorithm that takes  $p, \alpha$ , and  $(f, \Psi)$  as input and constructs a nondegenerate graph  $(\Omega, \mathcal{O})$ , a homotopy equivalence  $\omega$ : graph $(top(\Gamma, \mathcal{G})) \to (\Omega, \mathcal{O})$ , and an automorphic immersion q on  $(\Omega, \mathcal{O})$  homotopic to graph $(top(f, \Psi))$  via  $\omega$ .

*Proof.* Let  $(\Delta, \mathcal{D})$ , p,  $\alpha$ ,  $(\Gamma, \mathcal{G})$ , and  $(f, \Psi)$  be given as in the hypothesis of the theorem. Assume G is the union of  $\Psi$ -periodic components of  $\mathcal{G}$ , the goal is to extend  $\alpha$  to a homotopy

equivalence from graph( $\mathcal{G}$ ). Note that all components are  $\Psi$ -preperiodic since  $\mathcal{G}$  had finitely many components.

- 1. If  $G = \mathcal{G}$ , then exit the loop.
- 2. Suppose  $\Gamma_v$  is a component in  $\mathcal{G} \setminus G$  and  $\Gamma_{f(v)}$  a component in G.
- 3. Set  $\Psi_v = \alpha \circ \operatorname{graph}(\Psi|_{\Gamma_v}) : \operatorname{graph}(\Gamma_v) \to (\Delta, \mathcal{D}).$
- 4. Factor  $\Psi_v = \iota_v \circ \alpha_v$  into a simplicial immersion  $\iota_v : S(\Psi_v) \to (\Delta, \mathcal{D})$  and homotopy equivalence  $\alpha_v : \operatorname{graph}(\Gamma_v) \to S(\Psi_v)$ . Enlarge  $(\Delta, \mathcal{D})$  to include (disjoint union)  $S(\Psi_v)$ , p to include  $\iota_v$ , G to include  $\Gamma_v$ , and  $\alpha$  to include  $\alpha_v$ .
- 5. The new p is still an automorphic expansion as no periodic edges/vertices were introduced. Furthermore, graph( $\Psi|_{G}$ ) is still homotopic to p via  $\alpha$ . Restart loop.

The loop will stop since all components of  $\mathcal{G}$  were preperiodic. Hence now  $G = \mathcal{G}$ , p is an automorphic expansion on  $(\Delta, \mathcal{D})$ , and graph $(\Psi)$  is homotopic to p via  $\alpha$ .

Let  $(\Omega_0, \mathcal{O}_0) = \operatorname{rel}_{\alpha}(\Gamma, \mathcal{G})$  be the partial blow-up relative to  $\operatorname{top}(\alpha)$ ,  $q_0 = \operatorname{rel}_{\alpha}(f, \Psi)$  the induced automorphic natural graph map homotopic to  $\operatorname{graph}(\operatorname{top}(f, \Psi))$  via the homotopy equivalence  $\overline{\alpha} : \operatorname{graph}(\operatorname{top}(\Gamma, \mathcal{G})) \to (\Omega_0, \mathcal{O}_0)$  that extends  $\alpha$ . Recall that  $(\Delta, \mathcal{D})$  is subgraph of  $(\Omega_0, \mathcal{O}_0)$  that is  $q_0$ -invariant, the restriction of  $q_0$  to  $(\Delta, \mathcal{D})$  is p, and  $q_0$  induces  $(f, \Psi)$  up to graph isomorphism upon collapsing  $(\Delta, \mathcal{D})$ . Set  $N = 3 \cdot \operatorname{rank}(\operatorname{top}(\Omega_0, \mathcal{O}_0)) - 1$ .

Case 1:  $\operatorname{core}(f, \Psi)$  is eventually degenerate. Then  $\operatorname{core}(q_0)^k$  has image in the subgraph  $(\Delta, \mathcal{D})$  if  $k \geq N$ . For any  $k \geq N$ , we can construct the Stallings graph  $S(q_0^k)$  for  $q_0^k = \iota_k \circ \omega_k$  where  $\omega_k$  is a homotopy equivalence and  $\iota_k$  is an automorphic simplicial immersion. By the discussion following Lemma 2.6, we can construct  $q_k : S(q_0^k) \to S(q_0^k)$  such that  $\iota_k \circ q_k = q_0 \circ \iota_k$  and  $q_k \circ \omega_k = \omega_k \circ q_0$ . Since  $q_0$  is automorphic, so is  $q_k$ . Also by that same discussion,  $S(q_0^k)$  is the Stallings graph for  $q_N^{k-N}$ .

As  $\operatorname{core}(\iota_k)$  has image in  $(\Delta, \mathcal{D})$  and the restriction of  $q_0$  to  $(\Delta, \mathcal{D})$  is p, we get  $\iota_k \circ q_k|_{\operatorname{core}(S(q_0^k))} = p \circ \operatorname{core}(\iota_k)$ . Since  $\iota_k$  and p are immersions, so is the restriction  $q_k|_{\operatorname{core}(S(q_0^k))}$ . In particular, the restriction has image in  $\operatorname{core}(S(q_0^k))$  and the core is a  $q_k$ -invariant subgraph. In fact, the restriction, which we now denote by  $\operatorname{core}(q_k)$ , is an expansion since  $\iota_k$  is simplicial and p an expansion. Consequently, the natural edges of  $\operatorname{core}(S(q_0^k))$  grow exponentially in length as  $k \to \infty$ . We now find and fix a  $k \geq N$  such that all natural edges of  $\operatorname{core}(S(q_0^k))$  are longer than  $4C(q_0)$ .

Recall that any graph map that preserves cores also preserves tightenings. We set  $(\Omega, \mathcal{O}) = \operatorname{tight}(S(q_0^k))$  and let  $q = \operatorname{tight}(q_k)$  be the restriction of  $q_k$  to  $(\Omega, \mathcal{O})$ . The restriction q is homotopic to  $q_k$  via the deformation retraction  $\tau : S(q_0^k) \to (\Omega, \mathcal{O})$  and homotopic to graph $(\operatorname{top}(f, \Psi))$  via  $\tau \circ \omega_k \circ \overline{\alpha}$ . The graph map q may fail to be natural if a univalent basepoint of  $(\Omega, \mathcal{O})$  is attached to a natural edge whose other other end is a branch point

that is bivalent when considered as a vertex of  $\operatorname{core}(\Omega, \mathcal{O})$ . Each component of  $(\Omega, \mathcal{O})$  contains at most one branch point that is bivalent in  $\operatorname{core}(\Omega, \mathcal{O})$ . After applying an appropriate bivalent homotopy around such branch points, we may assume q is natural,  $C(q) = 2C(q_0)$ , and all natural edges of  $(\Omega, \mathcal{O})$  contained in  $\operatorname{core}(\Omega, \mathcal{O})$  are longer than C(q). The homotopy produces at most one pretrivial edge in each component of  $(\Omega, \mathcal{O})$  which is proper segment of a natural edge of  $\operatorname{core}(\Omega, \mathcal{O})$ . Collapse pretrivial edges if necessary and assume q has no pretrivial edges and  $\operatorname{core}(q)$  is still an automorphic expansion.

It remains to show that q is an immersion. As q is a natural graph map with no pretrivial edges, it is enough to verify injectivity of the derivative at branch points. For a contradiction, suppose  $dq_v$  maps two distinct nontrivial tangent vectors at v to the nontrivial tangent vector  $(\epsilon, \sigma)$  at q(v); let  $\epsilon$  be the initial half-edge of oriented natural edge e. Since q is natural and  $(\Omega, \mathcal{O})$  is tight, the natural edge e is contained in  $\operatorname{core}(\Omega, \mathcal{O})$  and is longer than C(q). This violates bounded cancellation by the same argument as in the proof of Lemma 2.5.

Case 2:  $(f, \Psi)$  is an immersion. Set  $K = K(q_0) + C(q_0)$ ,  $C = 2C(q_0)$ , and k = 1. Enter a loop:

- 1. Given an integer  $k \geq 1$ , construct the Stallings graph  $S(q_0^k)$  for  $q_0^k = \iota_k \circ \omega_k$ .
- 2. As  $q_0$  restricts to the immersion p on the invariant subgraph  $(\Delta, \mathcal{D})$  and induces the immersion  $(f, \Psi)$  upon collapsing  $(\Delta, \mathcal{D})$ , the restriction of  $\omega_k$  to  $(\Delta, \mathcal{D})$  is simply a subdivision. Set  $(\Delta_k, \mathcal{D}_k)$  to be the  $\omega_k$ -image of  $(\Delta, \mathcal{D})$ .
- 3. The subgraph  $(\Delta_k, \mathcal{D}_k)$  has two natural edge structures: 1) its abstract natural edges as a graph; 2) natural edges inherited from the ambient graph  $S(q_0^k)$ . The inherited natural edges partition an abstract natural edge into at most  $\frac{2}{3}(N+1)$  segments.
- 4. If the abstract natural edges in  $(\Delta_k, \mathcal{D}_k)$  are all longer than  $\frac{2}{3}(N+1) \cdot C \cdot K^{N-1}$ , save k and exit the loop. Otherwise, restart the loop with k+1.

Since the restriction of  $q_0$  to  $(\Delta, \mathcal{D})$  is the expansion p, the lengths of abstract natural edges in  $(\Delta_n, \mathcal{D}_n)$  grow exponentially as  $n \to \infty$  and the loop must eventually stop. Use the algorithm in Proposition 2.7 with  $q_0$  and k as input to construct a homotopy equivalence  $\overline{\omega}_k : (\Omega_0, \mathcal{O}_0) \to \overline{S}_k$  and a natural graph map  $\overline{q}_k : \overline{S}_k \to \overline{S}_k$  homotopic to  $q_0$  via  $\overline{\omega}_k$ , where  $\overline{S}_k = \text{tight}(S(q_0^k))$ ; the graph map  $\overline{q}_k$  has Lipschitz and cancellation constants K and K respectively. Note that  $\overline{q}_k$  is automorphic and  $(\Delta_k, \mathcal{D}_k)$  is the  $\overline{\omega}_k$ -image of  $(\Delta, \mathcal{D})$  as well. As p, the restriction of  $q_0$  to  $(\Delta, \mathcal{D})$ , was already natural, the homotopy between p and the restriction of  $\overline{q}_k$  to  $(\Delta_k, \mathcal{D}_k)$  via  $\overline{\omega}_k$  is supported in the abstract natural edges of  $(\Delta_k, \mathcal{D}_k)$ .

As in the proof of Theorem 3.1, form a directed graph  $\mathbb{G}_k$  whose vertices are the inherited natural edges of  $(\Delta_k, \mathcal{D}_k)$  and there is a directed edge  $E_i \to E_j$  if  $\overline{q}_k(E_i)$  contains

 $E_j$ ;  $\mathbb{G}_k$  has at most N vertices. Let  $\mathcal{S}_*$  be the inherited natural edges of  $(\Delta_k, \mathcal{D}_k)$  shorter than C and  $\mathcal{S}$  the union of  $\mathcal{S}_*$  and inherited natural edges on a directed path from  $\mathcal{S}_*$  in  $\mathbb{G}_k$ . Since  $\overline{q}_k$  is K-Lipschitz and the shortest directed path in  $\mathbb{G}_k$  from a natural edge in  $\mathcal{S}_*$  to  $\mathcal{S}$  has at most N natural edges on it, every natural edge in  $\mathcal{S}$  is shorter than  $C \cdot K^{N-1}$ . The natural edges in  $\mathcal{S}$  will be short.

By the pigeonhole principle, every abstract natural edge of  $(\Delta_k, \mathcal{D}_k)$  has an inherited natural edge longer than  $C \cdot K^{N-1}$  and the short inherited natural edges form a  $\overline{q}_k$ -invariant subforest of  $(\Delta_k, \mathcal{D}_k)$ . Collapse this subforest in  $\overline{S}_k$  to get a graph  $(\Omega, \mathcal{O})$  with a subgraph  $(\Delta', \mathcal{D}')$  corresponding to  $(\Delta_k, \mathcal{D}_k)$ . Most importantly, all inherited natural edges of  $(\Delta', \mathcal{D}')$  are longer than C. Set  $\kappa : \overline{S}_k \to (\Omega, \mathcal{O})$  to be the canonical forest collapse homotopy equivalence and  $\omega = \kappa \circ \overline{\omega}_k \circ \overline{\alpha}$ . The induced automorphic graph map  $q : (\Omega, \mathcal{O}) \to (\Omega, \mathcal{O})$  has cancellation constant C as well and is homotopic to  $\overline{q}_k$  via  $\kappa$ . Then q is homotopic to graph(top $(f, \Psi)$ ) via  $\omega$ , has a restriction to the q-invariant  $(\Delta', \mathcal{D}')$  that is homotopic to p via  $\kappa \circ \overline{\omega}_k$ , and induces  $(f, \Psi)$  up to graph isomorphism upon collapsing  $(\Delta', \mathcal{D}')$ . Since the short inherited natural edges formed a subforest and the restriction of  $\overline{q}_k$  to  $(\Delta_k, \mathcal{D}_k)$  was homotopic to the immersion p rel. abstract branch points, the q-pretrivial edges form a subforest of  $(\Delta', \mathcal{D}')$ . Iteratively collapse pretrivial edges until q has no pretrivial edges.

It remains to show that q is an immersion and, as in the first case, it is enough to verify injectivity of the derivative at branch points. For a contradiction, suppose  $dq_v$  maps two distinct nontrivial tangent vectors at v to the nontrivial tangent vector  $(\epsilon, \sigma)$  at q(v); let  $\epsilon$  be initial half-edge of the oriented natural edge e. As q induces the immersion  $(f, \Psi)$  upon collapsing  $(\Delta', \mathcal{D}')$ , e is contained in  $(\Delta', \mathcal{D}')$  and is longer than C. This violates bounded cancellation and we are done.

## Application

In this section, we list some algorithmic problems that can now be solved. Fix a free group  $F_k$  with basis  $a_1, \ldots, a_k$ . The <u>standard rose</u> is a rose  $R_k$  with k petals along with an isomorphism/<u>marking</u>  $\mu: F_k \to \pi_1(R_k)$  that identifies  $a_i$  with the i-th petal. There is an obvious way of turning an endomorphism  $\phi: F_k \to F_k$  into a cellular map  $\bar{\phi}: R_k \to R_k$  such that  $\pi_1(\bar{\phi}) \circ \mu = \mu \circ \phi$ .

The algorithm for constructing stable images.

**Input:** An endomorphism  $\phi: F_k \to F_k$ .

**Output:** A basis for the stable image  $\phi^{\infty}(F_k) = \bigcap_{i=1}^{\infty} \phi^i(F_k)$  in terms of  $a_1, \ldots, a_k$ .

The steps. Let  $\phi_i = \phi|_{\phi^i(F)} : \phi^i(F_k) \to \phi^i(F_k)$  for any  $i \geq 1$ . It follows from the definition that  $\phi^{\infty}(F_k) = \phi_i^{\infty}(\phi^i(F_k))$ . By the Hopfian property,  $\psi = \phi_k$  is injective. Let  $F_r = \phi^k(F_k)$  with a basis  $b_1, \ldots, b_r$ ; the basis can be algorithmically computed in terms of  $a_1, \ldots, a_k$  using Stallings factorization of  $\bar{\phi}^k$ . Then  $\bar{\psi} : R_r \to R_r$  is a  $\pi_1$ -injective cellular map. The algorithm for finding automorphic expansions takes  $\bar{\psi}$  as input and produces:

- a graph  $(\Delta, \mathcal{D})$ , a homotopy equivalence  $\alpha : \operatorname{graph}(R_r) \to (\Delta, \mathcal{D})$ , and
- an automorphic expansion  $p:(\Delta,\mathcal{D})\to(\Delta,\mathcal{D})$  homotopic to graph $(\bar{\psi})$  via  $\alpha$ .

Compute the based homotopy inverse for  $top(\alpha)$  to get a based homotopy equivalence  $\beta : top(\Delta, \mathcal{D}) \to R_r$ . The restriction of  $\beta$  to the basepoint's vertex rose in  $(\Delta, \mathcal{D})$  represents the stable image  $\psi^{\infty}(F_r)$ :

- that p is automorphic means the basepoint's vertex rose represents a free factor of  $F_r$  contained in  $\psi^{\infty}(F_r)$ ;
- that p is an expansion means reduced based loops in  $top(\Delta, \mathcal{D})$  with infinitely many iterated based-homotopic preimages are contained in the basepoint's vertex rose.

If the basepoint's vertex rose is degenerate, then the stable image is trivial and we return the trivial element. Otherwise, the homotopy equivalence  $\beta$  and the petals of the vertex rose determine a basis for  $\psi^{\infty}(F_r) = \phi^{\infty}(F_k)$  in terms of  $b_1, \ldots, b_r \in F_r \leq F_k$ .  $\square$ 

#### The algorithm for constructing fixed point subgroups.

**Input:** An endomorphism  $\phi: F_k \to F_k$ .

**Output:** A basis for the fixed point subgroup  $Fix(\phi) = \{x \in F_k : \phi(x) = x\}.$ 

The steps. Use the previous algorithm to find a basis for the stable image  $\phi^{\infty}(F_k)$ . Let  $\phi^{\infty} = \phi|_{\phi^{\infty}(F_k)}$ . By definition,  $\operatorname{Fix}(\phi) \leq \phi^{\infty}(F_k)$  and  $\operatorname{Fix}(\phi) = \operatorname{Fix}(\phi^{\infty})$ . It is evident from the algorithm that  $\phi^{\infty}(F_k)$  is a free factor of  $\phi^k(F_k)$  and  $\phi^{\infty}$  is an automorphism. An algorithm due to Bogopolski–Maslakova [BM16] finds the basis for the fixed point subgroup of free group automorphisms (See also [FH18, Proposition 9.10]). Hence, we can compute a basis for the fixed point subgroup  $\operatorname{Fix}(\phi) = \operatorname{Fix}(\phi^{\infty})$ .

#### The algorithm for constructing periodic conjugacy classes.

**Input:** An injective endomorphism  $\phi: F_k \to F_k$ .

**Output:** A nontrivial element  $x \in F_k$  and integer  $i \ge 1$  such that  $\phi^i(x)$  is conjugate to x or terminates with no output if no such pair exists.

We will call such conjugacy classes [x]  $[\phi]$ -periodic.

Sketch of algorithm. The algorithm for finding automorphic expansions produces:

- a graph  $(\Delta, \mathcal{D})$ , a homotopy equivalence  $\alpha : \operatorname{graph}(R_k) \to (\Delta, \mathcal{D})$ , and
- an automorphic expansion  $p:(\Delta,\mathcal{D})\to(\Delta,\mathcal{D})$  homotopic to graph $(\bar{\phi})$  via  $\alpha$ .

As p is an expansion, any nontrivial  $[\phi]$ -periodic conjugacy class [x] in  $F_k$  is represented by a nontrivial reduced (unbased) loop in the periodic vertex roses in  $\mathcal{D}$ . As p is automorphic, i.e. its restriction to the periodic vertex roses is a homotopy equivalence, the problem of finding a nontrivial  $[\phi]$ -periodic conjugacy class [x] reduces to the problem of finding

a nontrivial homotopically-periodic reduced loop in a homotopy equivalence of roses. An algorithm due to Feighn-Handel [FH18, Corollary 16.4] (dynamically) solves the latter problem (i.e. without invoking word-hyperbolicity).

#### The algorithm for constructing properly invariant cyclic subgroups.

**Input:** An injective endomorphism  $\phi: F_k \to F_k$ .

**Output:** An nontrivial element  $x \in F_k$  and integer  $i \ge 1$  such that  $\phi^i(\langle x \rangle)$  is conjugate to a proper subgroup of  $\langle x \rangle$  or terminates with no output if no such pair exists.

We will call such a cyclic group  $\langle x \rangle$  properly invariant.

Sketch of algorithm. The algorithm for finding automorphic expansions produces:

- a graph  $(\Delta, \mathcal{D})$ , a homotopy equivalence  $\alpha : \operatorname{graph}(R_k) \to (\Delta, \mathcal{D})$ , and
- an automorphic expansion  $p:(\Delta,\mathcal{D})\to(\Delta,\mathcal{D})$  homotopic to graph $(\bar{\phi})$  via  $\alpha$ .

Since p is an expansion, there is a computable number L = L(p) such that the *iterated*  $pullback \hat{\Lambda}_L[\phi]$  (defined below) determines properly invariant cyclic subgroups if nonempty. See [Mut20, Remark 3.12] and also the remark after [Mut21, Proposition 5.5] for details.

**Claim.** If  $\phi$  has a properly invariant cyclic subgroup, then  $\hat{\Lambda}_i[\phi]$  is nonempty for all  $i \geq 1$ .

In particular, if  $\hat{\Lambda}_L[\phi]$  is empty, then  $\phi$  has no properly invariant cyclic subgroups and we are done, modulo a proof of the claim.

Proof of claim. Suppose  $x \in F_k$  is nontrivial and  $\phi^n(x) = y^{-1}x^dy$  for some  $n \ge 1$ ,  $d \ge 2$ , and  $y \in F_k$ . We need to show  $\hat{\Lambda}_i[\phi]$  is nonempty for all  $i \ge 1$ . The iterated pullback  $\hat{\Lambda}_i = \hat{\Lambda}_i[\phi]$  is the set of conjugacy classes  $[\phi^i(F_k) \cap g\phi^i(F_k)g^{-1}]$  as [[g]] ranges over the  $\phi^i(F_k)$ -double cosets and  $g \notin \phi(F_k)$ . Iterated pullbacks can be constructed using topological pullbacks [Sta83]. There is an "inclusion" of  $\hat{\Lambda}_{i+1}$  in  $\hat{\Lambda}_i$  given by  $\phi^{i+1}(F_k) \le \phi^i(F_k)$ . Furthermore, the equality  $\phi^n(x) = y^{-1}x^dy$  implies  $\phi^{in}(x) = y_i^{-1}x^{di}y_i$  for some  $y_i \in F_k$  and all  $i \ge 1$ . So it is enough to show that  $\hat{\Lambda}_j$  is nonempty for some  $j \ge n-1$ . Replace x with a root if necessary and assume x is not proper power.

The equality  $\phi^n(x) = y^{-1}x^dy$  implies  $\phi^n(x) = y^{-1}xy\phi^n(x)y^{-1}x^{-1}y$ . Set  $g = y^{-1}xy$  then  $[\phi^n(x)]$  is a nontrivial conjugacy class supported in  $[\phi^n(F_k) \cap g\phi^n(F_k)g^{-1}]$ . But the latter is not an element of  $\hat{\Lambda}_n$  unless  $g \notin \phi(F_k)$ . If  $g \notin \phi(F_k)$ , then we are done. Otherwise, assume  $g \in \phi(F_k)$ .

Suppose  $g \in \phi^{\infty}(F_k)$ , then we get  $\phi^n(x) = \phi^n(h)\phi^n(x)\phi^n(h)^{-1}$  and  $x = hxh^{-1}$ , where  $g = \phi^n(h)$ . Since x is not a proper power,  $h = x^s$ . But  $h \in \phi^{\infty}(F_k)$  and  $\phi^{\infty}(F_k)$  is a free factor, so  $x \in \phi^{\infty}(F)$ . But this is a contradiction since  $\phi^{\infty}$ , the restriction of  $\phi$  to  $\phi^{\infty}(F_k)$ , is an automorphism and it cannot map [x] to  $[x^d]$  where  $d \geq 2$ . Therefore,  $g \notin \phi^{\infty}(F_k)$  and there is an index  $m \geq 1$  such that  $g \in \phi^m(F_k)$  but  $g \notin \phi^{m+1}(F_k)$ . This time we obtain  $\phi^n(x^{d^m}) = \phi^m(h)\phi^n(x^{d^m})\phi^m(h)^{-1}$  where  $h \notin \phi(F_k)$ . Substituting with the original

equality  $\phi^n(x) = y^{-1}x^dy$  and simplifying leads to  $\phi^{mn}(x) = \phi^m(h')\phi^{mn}(x)\phi^m(h')^{-1}$ , where  $h' = \phi^{m(n-1)}(y)^{-1}h\phi^{m(n-1)}(y)$  is still not in  $\phi(F_k)$ . So  $\phi^{m(n-1)}(x) = h'\phi^{m(n-1)}(x)h'^{-1}$  and  $[\phi^{m(n-1)}(x)]$  is a nontrivial conjugacy class supported in  $\hat{\Lambda}_{m(n-1)}$  and we are done.

#### The algorithm for (dynamically) detecting hyperbolicity.

**Input:** An injective homomorphism  $\phi: A \to F$  where  $A \leq F$  is a free factor.

Output: A correct yes/no answer to whether the HNN extension

$$F*_A = \langle F, t \mid t^{-1}xt = \phi(x) \ \forall x \in A \rangle$$
 is word-hyperbolic.

Sketch of algorithm. The proof of [Mut21, Proposition 7.1] is essentially an algorithm for constructing the canonical invariant free factor system  $\mathcal{F}$  for  $[\phi]$ . By [Mut21, Theorem 7.5], the HNN extension  $F*_A$  is word-hyperbolic if and only if the restriction of  $[\phi]$  to  $\mathcal{F}$  has neither periodic conjugacy classes nor properly invariant cyclic subgroups. Thus, we can detect word-hyperbolicity by combining the last two (dynamical) algorithms.

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