

ON THE STRUCTURE OF 4 FOLDS WITH A  
HYPERPLANE SECTION WHICH IS A  $\mathbb{P}^1$   
BUNDLE OVER A SURFACE THAT FIBRES  
OVER A CURVE

by

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In this article we want to analyze the structure of a 4 dimensional projective variety  $X$  which has a smooth ample divisor  $A$  that is a  $\mathbb{P}^1$  bundle  $\pi : A \rightarrow S$  over a smooth surface  $S$ .

In [(Fa + So)2] , as a consequence of a more general result, the first and third authors determined the structure of  $X$  in the case the base  $S$  of the  $\mathbb{P}^1$  bundle  $A$  has a cover  $\tilde{S}$  with  $h^{2,0}(\tilde{S}) \neq 0$ . Here we look at the remaining cases except for those surfaces which are the projectivization of a stable rank two vector bundle over a curve (the result is obviously true for  $S$  rational).

The key point is to extend the morphism  $\pi : A \rightarrow S$  to a morphism  $\bar{\pi} : X \rightarrow S$ . If the surface  $S$  has a morphism  $\psi : S \rightarrow C$  onto a smooth curve  $C$ , then the morphism  $\psi \circ \pi : A \rightarrow S$  extends to a morphism  $\varphi : X \rightarrow C$  (see [So1], Prop. V). Moreover the general fibre  $X_C$  of  $\varphi$  turns out to be a  $\mathbb{P}^2$  bundle over a curve contained in  $S$ . We now construct  $\bar{\pi} : X \rightarrow S$  geometrically. The idea is to take a general fibre  $P$  of the general  $\mathbb{P}^2$  bundle  $X_C$  and look at all the deformations of  $P$  in  $X$ . Using the "universal" family of such deformations we will get our desired map.

The main result is the following

Theorem: Let  $X$  be a 4-dimensional projective variety which is a local complete intersection. Let  $A$  be an ample divisor on  $X$  which is a  $\mathbb{P}^1$  bundle,  $\pi : A \rightarrow S$  over a smooth

surface  $S$ . Assume that there is a surjective holomorphic  
map  $\psi : S \rightarrow C$  with connected fibres, where  $C$  is a  
smooth curve. Then  $\pi$  can be extended to a holomorphic  
map  $\bar{\pi} : X \rightarrow S$  unless  $S = \mathbb{P}_C(V)$  with  $V$  a stable  
rank two vector bundle on  $C$ . Moreover  $\bar{\pi} : X \rightarrow S$  is  
a  $\mathbb{P}^2$  bundle.

The paper is organized as follows.

In §0 we recall some background material.

In §1 we study the structure of  $X$  in the case the surface  $S$ , base of the  $\mathbb{P}^1$  bundle  $A$  has a surjective morphism  $\psi : S \rightarrow C$  onto a curve.

In §2 we completely determine the structure of  $X$  in the case  $S = \mathbb{P}^2$ . Also, for completeness, we determine the structure of those  $X$  with an ample divisor  $A$  which is a  $\mathbb{P}^1$  bundle over  $\mathbb{P}^n$ , with  $n \geq 3$ .

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§ 0 Background material

(0.1) Throughout this article the varieties considered will be projective and defined over  $\mathbb{C}$ . Given a variety  $X$  we denote its structure sheaf by  $\mathcal{O}_X$ . We do not distinguish between a holomorphic vector bundle  $E$  on a variety  $X$  and its sheaf of germs of holomorphic sections. We denote the tautological line bundle of  $E$  by  $\zeta_E$  or  $\mathcal{O}_{\mathbb{P}(E)}(1)$ , where  $\mathbb{P}(E) = E^\vee - \{\text{zero section}\} / \mathbb{C}^*$  and  $E^\vee$  is the dual bundle of  $E$ . If  $Y$  is a subvariety of  $X$  we denote by  $E|_Y$  the restriction of  $E$  to  $Y$ . For more details on vector bundles see [Ok+Sc+Sp].

(0.2) Let  $p : X \rightarrow Y$  be a map of projective varieties. We will use interchangeably the word morphism and holomorphic map, as well as rational map and meromorphic map.

(0.3) Let  $X$  be a projective variety. Let  $D$  be an effective Cartier divisor on  $X$ . We denote by  $[D]$  or  $\mathcal{O}_X(D)$  the line bundle defined by  $D$ . If  $L$  is a line bundle on  $X$ , let  $|L|$  denote the linear system of all Cartier divisors associated to  $L$ .

(0.4) By  $F_r$  with  $r \geq 0$  we denote the  $r$ th Hirzebruch surface.  $F_r$  is the unique  $\mathbb{P}^1$  bundle  $\pi : F_r \rightarrow \mathbb{P}^1$  over  $\mathbb{P}^1$  with a section  $E$  satisfying  $E \cdot E = -r$ . By  $\tilde{F}_r$  with  $r \geq 1$  we denote the surface obtained from  $F_r$  by blowing down  $E$ .

The next result will be used often. We will state it for the convenience of the reader and refer to [So2], (0.6.1) for a proof.

(0.5) Lemma Let  $X$  be a normal irreducible compact surface. Let  $L$  be an ample line bundle on  $X$ , with a smooth  $C \in |L|$  being a rational curve and  $C \subseteq X_{\text{reg}}$ . Then  $L$  is very ample and either

a)  $X$  is  $F_r$  and  $L = [E] \otimes [f]^k$  with  $k \geq r+1$ , or

b)  $X$  is  $\tilde{F}_r$  and  $p^*L = [E] \otimes [f]^r$  where  $p : F_r \rightarrow \tilde{F}_r$  is the map that blows down  $E$ . (Here  $f$  denotes a fibre of  $\pi : F_r \rightarrow \mathbb{P}^1$ ).

§1. Proof of the main theorem

(1.0) Theorem Let  $X$  be a four dimensional projective variety which is a local complete intersection. Let  $A$  be an ample divisor on  $X$  which is a  $\mathbb{P}^1$  bundle,  
 $\pi : A \rightarrow S$  over a smooth surface  $S$ . Assume that there is a surjective holomorphic map  $\psi : S \rightarrow C$  with connected fibres, where  $C$  is a smooth curve. Then  $\pi$  can be extended to a holomorphic map  $\bar{\pi} : X \rightarrow S$  unless  $S = \mathbb{P}_C(V)$  with  $V$  a stable rank two vector bundle on  $C$  (see Remark (1.0.1)).  
Moreover  $\bar{\pi} : X \rightarrow S$  is a  $\mathbb{P}^2$  bundle.

(1.0.1) Remark We do not need to assume that  $\psi : S \rightarrow C$  has connected fibres and that  $C$  is smooth. In fact if otherwise we can Remmert-Stein factorize  $\psi = s \circ r$  where  $r : X \rightarrow C'$  is a holomorphic map onto a smooth curve  $C'$  and  $s : C' \rightarrow C$  is a finite to one holomorphic map. Then the theorem is true unless  $S = \mathbb{P}_{C'}(V)$  where  $V$  is a stable rank two vector bundle on  $C'$ .

Proof of the theorem. We notice that  $\dim \text{Sing}(X) \leq 0$  since the ample divisor  $A$  on  $X$  is smooth. The holomorphic map  $\psi \circ \pi$  extends to a holomorphic map  $\varphi : X \rightarrow C$ , see [So1] Prop V or [Fu]. Let  $X_c$  and  $A_c$  denote the general fibre of  $\varphi$  and  $\psi \circ \pi$  respectively. Note that  $A_c$  is a geometrically ruled surface over  $\psi^{-1}(c)$  and moreover  $A_c$  is an ample divisor on  $X_c$ . We claim that either

α)  $X_C$  is a  $\mathbb{P}^2$  bundle over  $\Psi^{-1}(c)$  and  $A_C$  is the tautological line bundle on  $X_C$ , or

β)  $(\Psi \circ \pi)^{-1}(c) \simeq \mathbb{P}^1 \times \mathbb{P}^1$  and  $X_C$  is a  $\mathbb{P}^2$  bundle over  $\mathbb{P}^1$  where the canonical projection  $X_C \rightarrow \mathbb{P}^1$  is not an extension of  $\pi : A_C \rightarrow \Psi^{-1}(c) (\simeq \mathbb{P}^1)$ .

Proof of the claim The general fibre of  $\Psi$  is a smooth curve of genus  $g \geq 0$ . If  $g > 0$  or if  $g = 0$  and  $A_C \simeq F_r$  with  $r > 0$ , where  $F_r$  is as in (0.4), then using [Ba2] we conclude that  $X_C$  is a  $\mathbb{P}^2$  bundle over  $\Psi^{-1}(c)$  and  $A_C$  is the tautological line bundle on  $X_C$ . If  $g = 0$  and  $A_C \simeq F_0 \simeq \mathbb{P}^1 \times \mathbb{P}^1$  then we will show that

$$(*) \quad \text{Pic}(X_C) \simeq \text{Pic}(A_C) \simeq \mathbb{Z} \oplus \mathbb{Z}.$$

Therefore the result will follow from [Ba1] once we know (\*).

Proof of (\*) From the following diagram

$$\begin{array}{ccc} H_2(A, \mathbb{Q}) & \longrightarrow & H_2(X, \mathbb{Q}) \\ \uparrow & & \uparrow \\ H_2(A_C, \mathbb{Q}) & \longrightarrow & H_2(X_C, \mathbb{Q}) \end{array}$$

we see that  $\dim H_2(X_C, \mathbb{Q}) = 1$  is possible only if the two rulings of  $A_C (\simeq F_0)$  get identified in  $X$ . But the two rulings were in different homology classes in  $A$  therefore they cannot go in the same homology class in  $X$ . Using Kronecker

duality and the first Lefschetz theorem we conclude that  $\text{Pic}(X_c) \simeq \text{Pic}(A_c)$ . □

The proof of the theorem will be split up in two parts. We will treat case  $\alpha$ ) first and then the case  $\beta$ ).

Case  $\alpha$ ) Fix a general  $\mathbb{P}^2$  which is a fibre of  $X_c \rightarrow \psi^{-1}(c)$  and denote it by  $P$ . Using the fact that  $P \subseteq X_c \subseteq X$  and the exact sequence of normal bundles

$$0 \rightarrow N_{P/X_c} \rightarrow N_{P/X} \rightarrow N_{X_c/X}|_P \rightarrow 0$$

it is straightforward to see that  $N_{P/X} = \mathcal{O}_P \oplus \mathcal{O}_P$ , where  $N_{P/X}$  is the normal bundle of  $P$  in  $X$ , and that  $H^1(P, N_{P/X}) = 0$ . Under the above assumption, using a basic result on Hilbert schemes, it follows that there exist irreducible projective varieties  $W$  and  $Z$  with the following properties:

1)  $W \subseteq Z \times X$  and the map  $p : W \rightarrow Z$  induced by the product projection is a flat surjection,

2) there is a smooth point  $a \in Z$  with  $p$  of maximal rank in a neighborhood of  $p^{-1}(a)$  and  $p^{-1}(a)$  is identified with  $P \simeq \mathbb{P}^2$  via  $q$ , where  $q : W \rightarrow X$  is the map induced by the product projection.

(1.0.2) Lemma There exists a Zariski open neighborhood  $U$  of  $a$ , where  $a$  is as in 2), such that for every  $z \in U$



i)  $p^{-1}(z) = W_z$  is isomorphic to  $\mathbb{P}^2$  and it is a fibre of  $X_C \rightarrow \psi^{-1}(c)$  for some  $c \in C$ ,

ii)  $W_z \cap A = f(\sim \mathbb{P}^1)$ , where  $f$  is a fibre of  $\pi$ .

Proof From 2) above there exists a smooth neighborhood  $U$  of  $a$  in  $Z$  such that  $p^{-1}(U) \rightarrow U$  and  $q^{-1}(A) \cap p^{-1}(U) \rightarrow U$  are smooth morphisms. Note that  $A \cap W_a = \mathbb{P}^1$ . Moreover using the fact that small deformations of  $\mathbb{P}^2$  and  $\mathbb{P}^1$  are  $\mathbb{P}^2$  and  $\mathbb{P}^1$  respectively we conclude that the fibres of the maps  $p|_{p^{-1}(U)}$  and  $q|_{q^{-1}(A) \cap p^{-1}(U)}$  are  $\mathbb{P}^2$  and  $\mathbb{P}^1$  respectively. On the other hand a morphism  $\varphi$  from  $\mathbb{P}^2 \subseteq X$  to  $C$  is constant. Hence any fibre of  $p|_{p^{-1}(U)}$  is contained in a fibre of  $\varphi$ . Therefore the rest of (1.0.2) is obvious

□

(1.0.3) Lemma The intersection number  $A \cdot A \cdot W_z = 1$  for every  $z \in Z$ . And if  $W_z = \overline{W}_z \cup \{\text{embedded part}\}$  then  $\overline{W}_z$  is reduced and irreducible.

Proof By a) we have that  $0_X(A)|_{\mathbb{P}^2} = 0_{\mathbb{P}^2}(1)$ . Hence  $(A \cdot A \cdot \mathbb{P}^2)_X = (0_X(A)|_{\mathbb{P}^2} \cdot 0_X(A)|_{\mathbb{P}^2})_{\mathbb{P}^2} = 1$ , which implies that  $A \cdot A \cdot W_z = 1$  since the intersection number is preserved by flat maps. Clearly  $\overline{W}_z$  is reduced and irreducible (since  $A \cdot A \cdot W_z = 1$ ).

□

Note that the general fibre of the morphism  $\Psi : S \rightarrow C$  is either isomorphic to  $\mathbb{P}^1$  or to a curve of positive genus.

(1.0.4) Lemma For every  $z \in Z, \omega_z \subsetneq A$ .

Proof Let  $z \in Z$  and let  $\{z_n\}$  be a sequence of points in  $Z$  such that  $\lim_{n \rightarrow \infty} z_n = z$  and  $\omega_{z_n} \simeq \mathbb{P}^2$  for every  $n$ . The above is possible by (1.0.2). Now use the fact that  $\phi(\omega_{z_n})$  is one point for every  $n$ , to conclude that  $\phi(\omega_z)$  is also one point. Assume that  $\omega_z \subsetneq A$ .

Since  $\pi : A \rightarrow S$  is a  $\mathbb{P}^1$  bundle and since  $(\Psi \circ \pi)(\omega_z) = c$ , with  $c$  a point in  $C$ , we get that  $\phi = \pi|_{\omega_z} : \omega_z \rightarrow \pi(\omega_z)$  is a  $\mathbb{P}^1$  bundle. Note that  $\pi(\omega_z) \subseteq \Psi^{-1}(c)$ . To continue the proof of the lemma we distinguish two cases:

Case 1 The general fibre of  $\Psi$  is isomorphic to  $\mathbb{P}^1$ .

If  $\Psi^{-1}(c)$  with  $c$  as above is isomorphic to  $\mathbb{P}^1$  then  $\omega_z$  is a  $\mathbb{P}^1$  bundle over  $\mathbb{P}^1$ . Moreover there exists an ample line bundle  $([A]|_{\omega_z})$  on  $\omega_z$  whose selfintersection is 1. This last fact is impossible.

If  $\Psi^{-1}(c)$  is singular then  $\Psi^{-1}(c) = \sum n_i C_i$  with  $C_i \simeq \mathbb{P}^1$ . Also  $\pi(\omega_z) = C_i$  for some  $i$  otherwise we would get a contradiction with the fact that  $\omega_z$  is irreducible. Hence

$\omega_z$  is a  $\mathbb{P}^1$  bundle over  $\mathbb{P}^1$  which is impossible as noticed earlier.

Case 2 The general fibre of  $\Psi$  is isomorphic to a curve of positive genus.

Take a general fibre of  $\omega \rightarrow Z$  and consider all the lines on such fibre. Let  $T$  denote the irreducible component of the Hilbert scheme of  $X$  parametrizing such lines. Denote by  $M$  the universal family. Thus  $M \subseteq T \times X$ . Note that every fibre of  $M$  is irreducible and reduced (since  $L \cdot M_t = L \cdot \mathbb{P}^1 = 1$ , where  $M_t$  is a fibre of  $M$  over  $T$ ).

Claim Every fibre of  $M \rightarrow T$  has  $\mathbb{P}^1$  as normalization.

Proof of the claim Consider a curve  $B$  in  $T$  through a point  $t'$ . Also choose  $B$  of positive genus. Let  $M_B$  denote the inverse image of  $B$  under the natural projection  $M \rightarrow T$ . Note that most fibres of  $M_B \rightarrow B$  are linear  $\mathbb{P}^1$ 's since  $B$  is chosen of positive genus. If we take a minimal model of a desingularization of  $\tilde{M}_B$ , where  $\tilde{M}_B$  denotes the normalization of  $M_B$ , we get a ruled surface over the normalization of  $B$ . This last conclusion follows from the fact that  $M_B$  has infinitely many  $\mathbb{P}^1$ 's and from the fact that the genus of  $B$  is positive. Thus since going from  $M_B \rightarrow$  normalization  $\rightarrow$  desingularization  $\rightarrow$  minimal model does not destroy a positive genus curve and the normalization of  $M_t$ , goes in a fibre of a  $\mathbb{P}^1$  bundle we conclude that every

fibre of  $M \rightarrow T$  has  $\mathbb{P}^1$  as a normalization.

□

Now choose 2 points  $(a,b) \in \omega_z$  with  $\phi(a) \neq \phi(b)$ .

Let  $(x_n, y_n) \in \omega_{z_n}$  be a sequence of pairs of points such that  $\lim_{n \rightarrow \infty} x_n = a$  and  $\lim_{n \rightarrow \infty} y_n = b$ .

Let  $M_{t_n}$  be a sequence of lines containing  $(x_n, y_n)$ .

The limit of  $M_{t_n}$  is (maybe after passing to a subsequence) a line  $M_t$  containing the point  $(a,b)$ . As shown in our previous claim,  $M_t$  is birational to  $\mathbb{P}^1$  so  $\phi(M_t) = \mathbb{P}^1$ . Therefore  $\omega_z \rightarrow R_g$  is a  $\mathbb{P}^1$  bundle over  $\mathbb{P}^1$ . Thus our lemma is proved.

□

(1.0.5) Lemma  $\omega_z \cap A = f$ , where  $f$  is a fibre of  $\pi$ .

(The equality here is only setwise).

Proof By (1.0.2) we can take a sequence of points  $\{z_n\}$

in  $Z$  with  $\lim_{n \rightarrow \infty} z_n = z$ , such that  $\lim_{n \rightarrow \infty} \omega_{z_n} = \omega_z$ ,

$\omega_{z_n} \simeq \mathbb{P}^2$  for all  $n$  and  $\omega_{z_n} \cap A = \text{fibre of } \pi$ . Hence

$\omega_z \cap A = f + C$ , where  $f$  is a fibre of  $\pi$  and  $C$  is a

possibly empty effective 1-cycle. From (1.0.3) and the fact

that  $A$  is ample it follows that  $C = \emptyset$ .

□

(1.0.6) Lemma For every fibre  $f$  of  $\pi$ ,  $\{z \in Z \mid \omega_z \supseteq f\}$  is finite.

Proof Assume otherwise. Then there is a curve  $Y \subseteq Z$  such

that for every  $y \in Y$ ,  $\omega_y \supseteq f$ . Note that

$(\bigcup_{y \in Y} W_y) \cap A = f$  by (1.0.5). On the other hand  $\bigcup_{y \in Y} W_y$  is a divisor on  $X$ . Thus  $\dim((\bigcup_{y \in Y} W_y) \cap A) \geq 2$ . This contradiction proves our lemma. □

Notice that (1.0.5) and (1.0.6) yield the following important lemma.

(1.0.7) Lemma Assume the same hypotheses as in (1.0). Then there exist Zariski open sets  $W_1 \subseteq W$  and  $X_1 \subseteq X$  such that  $A \subseteq X_1$  and  $q|_{W_1} : W_1 \rightarrow X_1$  is an isomorphism. Moreover  $Z$  is isomorphic to  $S$ .

Proof: The proof of the first conclusion is obvious from Zariski's Main Theorem. To get the second conclusion we use the universality of the Hilbert schemes. □

From (1.0.7) it follows that there exists a meromorphic map  $\bar{\pi} : X \rightarrow S$ , where  $\bar{\pi} = p \circ q^{-1}$ , such that  $\bar{\pi}|_A$  is holomorphic. We claim that  $\bar{\pi}$  is holomorphic. In fact the set on which  $\bar{\pi}$  is not holomorphic is finite since  $\bar{\pi}|_A$  is holomorphic and  $A$  is an ample divisor on  $X$ . Therefore by Riemann extension theorem  $\bar{\pi}$  is holomorphic.

Before passing to the case  $\beta$ ) we will show that the above  $\bar{\pi}$  gives to  $X$  the structure of a  $\mathbb{P}^2$  bundle over  $S$ .

By construction the general fibre of  $\bar{\pi}$  is  $\mathbb{P}^2$ . Also

$\mathcal{O}_X(A)|_{\mathbb{P}^2} = \mathcal{O}_{\mathbb{P}^2}(1)$ . As for the possible singular fibre  $F$  of  $\bar{\pi}$ , we notice that  $F$  is reduced and irreducible since  $L \cdot L \cdot F = 1$ . Since  $\mathbb{P}^1$  is an hyperplane section of  $F$  it is well known, see (0.5) that  $F$  is either  $F_r$  with  $r \geq 0$  or  $\tilde{F}_r$  with  $r \geq 1$ , where  $F_r$  and  $\tilde{F}_r$  are as in (0.4). There are no  $F_r$  with an ample line bundle of degree 1. Among the  $\tilde{F}_r$  the only one with an ample line bundle of degree 1 is  $\tilde{F}_1 \simeq \mathbb{P}^2$ . Now we use a theorem of Hironaka ([Hi], Th. 1.8) to conclude that  $\bar{\pi}: X \rightarrow S$  is a  $\mathbb{P}^2$  bundle.

Let us now consider the case  $\beta$ ).

Case  $\beta$ ) Let  $c \in C$  be a general point. We take a general rational curve  $\ell$  in  $A_c = (\Psi \circ \pi)^{-1}(c) \simeq \mathbb{P}^1 \times \mathbb{P}^1$  such that  $\ell \cdot \ell = 0$  and  $\ell$  is not a fibre of  $\pi$ . From now on we denote by  $\ell$  the ruling of  $\mathbb{P}^1 \times \mathbb{P}^1$  which is not a fibre of  $\pi$ . It is straightforward to see that

$$N_{\ell/A} = \mathcal{O}_{\ell} \oplus \mathcal{O}_{\ell} \quad \text{and} \quad H^1(\ell, N_{\ell/A}) = 0.$$

Denote by  $S'$  the irreducible component of the Hilbert scheme of  $A$  parametrizing flat deformations of  $\ell$  in  $A$  and by  $Y$  the universal family. Thus  $Y \subseteq S' \times A$ . Denote by  $p: Y \rightarrow S'$  and  $q: Y \rightarrow A$  the maps induced by the product projections. Note that such deformations fill up the whole space  $A$ , i.e.,  $q(Y) = A$ .

Claim 1  $\Psi: S \rightarrow C$  is a geometrically ruled surface.

Proof of claim 1. Assume that there exists a point  $c_0 \in C$  such that  $\psi^{-1}(c_0)$  is a singular fibre. Then the number of irreducible components of  $\psi^{-1}(c_0)$  is at least 2. Let  $\{c_n\}$  be a sequence of points in  $C$  approaching the point  $c_0$ . Let  $\{\ell_n\}$  be the corresponding sequence of lines in  $Y$ . Thus  $\lim_{n \rightarrow \infty} \pi(\ell_n) = \psi^{-1}(c_0)$ , where the equality is only setwise (Here we have identified  $\ell_n$  with  $q(\ell_n)$ ). But the above equality is impossible since  $A \cdot \ell_n = 1$  for all  $n$ , while the number of irreducible components of  $\psi^{-1}(c)$  is at least 2 and  $A$  is an ample divisor.

□

We note that for every  $c \in C$ ,  $(\psi \circ \pi)^{-1}(c) \simeq \mathbb{P}^1 \times \mathbb{P}^1$ . In fact since  $S$  is geometrically ruled it follows that for every  $c \in C$ ,  $(\psi \circ \pi)^{-1}(c) \simeq F_r$  with  $r \geq 0$ . Assume that there exists a  $c_0 \in C$  such that  $(\psi \circ \pi)^{-1}(c_0) \simeq F_r$  with  $r > 0$ . Then  $A \cdot f = 1$ , where  $f$  is a fibre of  $\pi$ . Note that  $X_{c_0} = \psi^{-1}(c_0)$  is a local complete intersection containing  $F_r$ , with  $r > 0$  as an ample divisor. Therefore  $X_{c_0}$  is a  $\mathbb{P}^2$  bundle over  $\psi^{-1}(c_0)$  (see [(Fa+So)1] for a short proof and cf. [Ba2] for the case  $X_{c_0}$  smooth). Thus we would be in the case  $\alpha$ ).

Let  $S'$  and  $Y$  be as before. We denote by  $\ell_s$  the fibre of  $Y$  over  $s \in S'$ . Clearly the smooth fibres of the flat family  $Y$  are isomorphic to  $\mathbb{P}^1$ . Recall that  $A \cdot \ell_s = 1$ . Hence the Hilbert polynomial  $\chi(\mathcal{O}_{\ell_s}(A|_{\ell_s})^{\otimes n})$  of  $\ell_s$  is equal to  $n+1$ .

Let  $s \in S'$  be such that  $\ell_s$  is singular. Denote by  $\bar{\ell}_s$  the one dimensional closed subscheme of  $\ell_s$  defined by removing the embedded points of  $\ell_s$ .

Claim 2  $\ell_s = \bar{\ell}_s$  and  $S'$  is smooth.

Proof of Claim 2 Note that since  $\bar{\ell}_s$  is contained in a fibre of  $\Psi \circ \pi$  which is isomorphic to  $\mathbb{P}^1 \times \mathbb{P}^1$  and since  $A \cdot \bar{\ell}_s = 1$  it follows that  $\bar{\ell}_s$  is a fibre of  $\mathbb{P}^1 \times \mathbb{P}^1$ , so  $\bar{\ell}_s = \mathbb{P}^1$ . In order to see that  $\ell_s = \bar{\ell}_s$  we consider the following exact sequence

$$(1.0.8) \quad 0 \rightarrow T \rightarrow \mathcal{O}_{\ell_s} \rightarrow \mathcal{O}_{\bar{\ell}_s} \rightarrow 0$$

where the sheaf  $T$  is the torsion part of  $\mathcal{O}_{\ell_s}$ . Tensoring (1.0.8) with  $(A|_{\ell_s})^{\otimes n}$  and using the fact that the Euler characteristic is additive on a short exact sequence it follows that

$$\chi(\mathcal{O}_{\ell_s}(A|_{\ell_s})^{\otimes n}) = \chi(T \otimes \mathcal{O}_{\ell_s}(A|_{\ell_s})^{\otimes n}) + \chi(\mathcal{O}_{\bar{\ell}_s}(A|_{\bar{\ell}_s})^{\otimes n}).$$

Note that the Hilbert polynomial of  $\ell_s$  and of  $\bar{\ell}_s$  are equal. Thus  $T$  is the 0-sheaf. To see that  $S'$  is smooth note that  $N_{\ell_s/A} = \mathcal{O}_{\ell_s} \oplus \mathcal{O}_{\ell_s}$ . Therefore it follows that  $S'$  is smooth at  $s$ .



- (1.0.9) Remark i)  $\mathcal{V}$  is isomorphic to  $A$   
 ii)  $A$  is a  $\mathbb{P}^1$  bundle  $\sigma: A \rightarrow S'$   
over  $S'$ .

To see i) note that  $\mathcal{V}$  is birational to  $A$ . Moreover  $\mathcal{V}$  is in one to one correspondence with  $A$ , since for every  $a \in A$  there exists a unique  $\ell \subseteq A_c$  containing  $a$ , where  $c = (\Psi \circ \pi)(a)$ . Hence  $\mathcal{V}$  is isomorphic to  $A$ . From i) it follows that there is a morphism  $\sigma = p \circ q^{-1}$  from  $A$  onto  $S'$  whose fibres are isomorphic to  $\mathbb{P}^1$ . Moreover  $0_A(A)|_{\mathbb{P}^1} = 0_{\mathbb{P}^1}(1)$ . Thus ii) is clear.

Claim 3  $S'$  is geometrically ruled over  $C$ .

Proof of Claim 3 Let  $c \in C$  and let  $f_c$  be the ruling of  $A_c (\simeq \mathbb{P}^1 \times \mathbb{P}^1)$  corresponding to the map  $\pi$ . By the universality of the Hilbert schemes, the above  $f_c$  induces a closed subscheme  $f'_c$  in  $S'$ , with  $f'_c$  a rational curve. To show that there exists a morphism from  $S'$  onto  $C$  we will distinguish the case  $g(C) > 0$  and  $g(C) = 0$  where  $g(C)$  denotes the genus of  $C$ .

In the case  $g(C) > 0$  it follows that  $H^1(S', \mathcal{O}_{S'}) \neq 0$ .

We get the following diagram

$$\begin{array}{ccccc}
 A & \xrightarrow{\Psi \circ \pi} & C & \longrightarrow & J(C) \simeq \text{Alb}(A) \\
 \sigma \downarrow & & \downarrow j & & \downarrow \eta \\
 S' & \xrightarrow{\alpha} & \alpha(S') & \longrightarrow & \text{Alb}(S')
 \end{array}$$

where  $\alpha$  is the Albanese map. In the above diagram we have used the fact that  $\text{Alb}(A) \simeq \text{Alb}(S) \simeq J(C)$ . Note that  $\dim \alpha(S') = 1$ . We claim that  $j: C \rightarrow \alpha(S')$  is an isomorphism. Using the Riemann-Hurwitz formula the above claim is clear for  $g(C) > 1$ . For  $g(C) = 1$  we get that the morphism  $j$  is a covering map. But this is impossible by the commutativity of the first square diagram in \*). Therefore we get a morphism  $\tau: S' \rightarrow C$ , with  $\tau = j^{-1} \circ \alpha$ . Also  $f'_c$  (the closed subscheme induced in  $S'$  by  $f_c$ ) are fibres of  $\tau$ . Therefore  $S'$  is generically ruled over  $C$ . To see that  $S'$  is geometrically ruled we assume otherwise. Then there exists a fibre  $F = \sum_i n_i C_i$ . Let  $c = \tau(F)$ . Note that  $\sigma^{-1}(F) = \sum_i n_i F_i$ , where each  $F_i$  is a  $\mathbb{P}^1$  bundle over  $C_i$ . By the commutativity of the first square diagram in \*) we see that  $\sum_i n_i F_i = \sigma^{-1}(F) = \varphi^{-1}(c) = \mathbb{P}^1 \times \mathbb{P}^1$  which is impossible.

If  $g(C) = 0$  then  $H^1(S', \mathcal{O}_{S'}) = 0$ . Thus there exists a line bundle  $L$  on  $S'$  such that the linear system  $|L|$  contains infinitely many  $f'_c$  where  $f'_c$  is the closed subscheme induced in  $S'$  by  $f_c$ . It follows immediately from

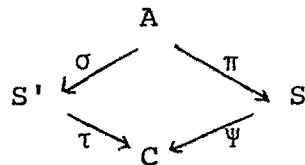
$$0 \rightarrow \mathcal{O}_{S'} \rightarrow L \rightarrow L|_{f'_c} \rightarrow 0$$

that  $\dim |L| = 1$ . Also it can be easily seen that the linear system  $|L|$  is base point free. Hence it defines a morphism onto  $\mathbb{P}^1$ . The general fibre of such morphism is isomorphic to  $\mathbb{P}^1$ . Therefore by Noether's lemma  $S'$  is rational. The same argument as in the case  $g(C) > 0$ , shows that  $S'$  is geometrically ruled.

From the above proof it also follows that the elements of  $|L|$  are exactly  $\{f'_c\}_{c \in C}$ .

□

Thus we have the following commutative diagram



We will now show that the case  $\beta$ ) cannot occur unless  $S = \mathbb{P}_C(V)$  where  $V$  is a stable rank two vector bundle on  $C$ . (Obviously does not occur if  $S$  is rational ruled).

By the universality of the fibre product of  $S$  and  $S'$  over  $C$  we get a morphism  $A \rightarrow S \times_C S'$  which is an isomorphism by Zariski's Main Theorem. The surfaces  $S$  and  $S'$  are geometrically ruled over  $C$  therefore there exist rank two vector bundles  $V$  and  $V'$  over  $C$  such that  $S = \mathbb{P}(V)$  and  $S' = \mathbb{P}(V')$ . For the triple  $X, A$  and  $S'$  the hypotheses of (1.0) are satisfied. Moreover we are in the case  $\alpha$ ). Hence we conclude that the morphism  $\sigma: A \rightarrow S'$  extends to a morphism  $\tilde{\sigma}: X \rightarrow S'$  and that  $\tilde{\sigma}: X \rightarrow S'$  is a  $\mathbb{P}^2$  bundle. Therefore we have the following exact sequence of vector bundles on  $S'$

$$(1.0.9) \quad 0 \rightarrow \mathcal{O}_{S'} \rightarrow E \xrightarrow{\gamma} F \rightarrow 0$$

with  $X = \mathbb{P}(E)$  and  $A = \mathbb{P}(F)$  is embedded in  $X$  via the map  $\gamma$ . Since for every  $c \in C$   $(\tau \circ \sigma)^{-1}(c) \simeq \mathbb{P}^1 \times \mathbb{P}^1$  we have that  $F|_{\tau^{-1}(c)} = \mathcal{O}_{\mathbb{P}^1}(a_c) \oplus \mathcal{O}_{\mathbb{P}^1}(a_c)$ . It is an easy check to see that  $a_c$  is independent of  $c$  in  $C$ . Thus we can omit the subscript  $c$ . Consider the vector bundle  $F \otimes \xi^{-a}$  where  $\xi$  is the tautological line bundle of  $V'$ . By the base change theorem  $\tau_*(F \otimes \xi^{-a}) = \tilde{V}$  is a vector bundle on  $C$  of rank two. Thus (1.0.9) becomes

$$(1.0.10) \quad 0 \rightarrow \mathcal{O}_{S'} \rightarrow E \rightarrow \tau^*\tilde{V} \otimes \xi^a \rightarrow 0$$

(1.0.11) Lemma  $S = \mathbb{P}(\tilde{V})$

Proof. Note that  $A = \mathbb{P}(F) = \mathbb{P}(\tau^*V \otimes \xi^a) = \mathbb{P}(\tau^*V)$ . Also  $A = S \times_C S' = \mathbb{P}(V) \times_C S' = \mathbb{P}(\tau^*\tilde{V})$ . Therefore there exists a line bundle  $L$  on  $S'$  such that  $\tau^*\tilde{V} = \tau^*V \otimes L$ . Taking the 0-th direct image via  $\tau$  on both sides of the equality we get that  $\tilde{V} = V \otimes \tau_*L$ . Also  $\tau_*L$  is a line bundle since  $L|_{\tau^{-1}(c)}$  is trivial. Hence  $\mathbb{P}(\tilde{V}) = \mathbb{P}(V \otimes \tau_*L) = \mathbb{P}(V) = S$ .

(1.0.12) Lemma If  $\tilde{V}$  is not a stable vector bundle on  $C$  then  $A$  is not an ample divisor on  $X$ .

Proof It is enough to show that the sequence (1.0.10) splits. Since  $\tilde{V}$  is a vector bundle of rank 2 on the curve  $C$  which is not stable, there exists an exact sequence

$$0 \rightarrow M \rightarrow \tilde{V} \rightarrow N \rightarrow 0$$

such that  $\deg M \geq \deg N$ . If we pull back the above exact sequence via  $\tau$  and we tensor it with  $\xi^a$  we get

$$(1.0.13) \quad 0 \rightarrow \tau^*M \otimes \xi^a \rightarrow \tau^*\tilde{V} \otimes \xi^a \rightarrow \tau^*N \otimes \xi^a \rightarrow 0.$$

Note that  $\tau^*N \otimes \xi^a$  is ample. Hence  $\tau^*M \otimes \xi^a$  is ample since  $\deg M \geq \deg N$ . Therefore using the cohomology sequence associated to the dual sequence of (1.0.13), the ampleness of  $\tau^*N \otimes \xi^a$  and of  $\tau^*M \otimes \xi^a$  and the fact that  $a > 1$ , we conclude that  $H^1(S', (\tau^*\tilde{V} \otimes \xi^a)^V) = 0$ .

(Note that  $a = 1$  would imply that (1.0.10) splits).

□

Thus we have shown that the case  $\beta$ ) does not occur unless  $S = \mathbb{P}_C(V)$  with  $V$  a stable rank 2 vector bundle on  $C$ .

§2.  $\mathbb{P}^1$  bundles over  $\mathbb{P}^n$  with  $n \geq 2$  as ample divisors

(2.0) Theorem Let  $X$  be a projective local complete intersection. Let  $A$  be an ample divisor on  $X$  which is a  $\mathbb{P}^1$  bundle  $p : A \longrightarrow \mathbb{P}^2$  over  $\mathbb{P}^2$ . Then  $X$  is a  $\mathbb{P}^2$  bundle over  $\mathbb{P}^2$  unless  $A \simeq \mathbb{P}^1 \times \mathbb{P}^2$ .

Proof. We claim that the map  $p : A \longrightarrow \mathbb{P}^2$  extends to a map  $\tilde{p} : X \longrightarrow \mathbb{P}^2$  unless  $A \simeq \mathbb{P}^1 \times \mathbb{P}^2$ . Think of  $p$  as the map associated to the linear system  $|p^* \mathcal{O}_{\mathbb{P}^2}(1)|$ . To show that the map  $p$  extends it is enough to check that the sections of  $\Gamma(A, p^* \mathcal{O}_{\mathbb{P}^2}(1))$  can be extended to  $X$  as sections of  $L$  where  $L$  is the unique extension of  $p^* \mathcal{O}_{\mathbb{P}^2}(1)$  to  $X$ . Now to show that the sections extend it is sufficient to prove that  $H^1(X, L \otimes [-A]) = 0$ . This is implied by

$H^1(A, (L \otimes [-A]^t)|_A) = 0$  for all  $t > 0$ , see [So 1] or [(Fa+So) 2]. Let  $F \in |p^* \mathcal{O}_{\mathbb{P}^2}(1)|$ , i.e.,  $F = p^{-1}(\ell)$  where  $\ell$  is a linear hyperplane of  $\mathbb{P}^2$ . Using the long cohomology sequence associated to the following exact sequence

$$0 \longrightarrow K_A \otimes [A]^t \otimes [F]^{-1} \longrightarrow K_A \otimes [A]^t \longrightarrow (K_A \otimes [A]^t)|_F \longrightarrow 0,$$

the Kodaira vanishing theorem and the fact that  $F$  is a  $\mathbb{P}^1$  bundle over  $\mathbb{P}^1$ , we get that

$H^1(A, L_A \otimes [-A]^t|_A) = 0$  for all  $t > 0$  unless  $F = F_0$ , with  $F_0$  as in (0.4).

Note that since  $A$  is a  $\mathbb{P}^1$  bundle over  $\mathbb{P}^2$  we have that  $A = \mathbb{P}(V)$ , where  $V$  is a rank 2 vector bundle on  $\mathbb{P}^2$ . In the case  $F = F_0$  we have that for every line  $\ell$  in  $\mathbb{P}^2$ ,  $V|_{\ell} = \mathcal{O}_{\ell}(a_{\ell}) \oplus \mathcal{O}_{\ell}(a_{\ell})$ . Also it is easy to see that  $a_{\ell}$  is independent of  $\ell$ . Therefore the vector bundle  $V$  is uniform and so  $V = \mathcal{O}_{\mathbb{P}^2}(a) \oplus \mathcal{O}_{\mathbb{P}^2}(a)$ . Therefore  $A = \mathbb{P}(V) \simeq \mathbb{P}^1 \times \mathbb{P}^2$ .

Thus the map  $p$  extends to a holomorphic map  $\tilde{p}: X \rightarrow \mathbb{P}^2$  unless  $A \simeq \mathbb{P}^1 \times \mathbb{P}^2$ . Now the same argument as in [(Fa + So) 2], (3.0) shows that  $X$  is a  $\mathbb{P}^2$  bundle over  $\mathbb{P}^2$ .

□

(2.1) Theorem Let  $X$  be a projective local complete intersection. Let  $A$  be an ample divisor on  $X$  which is a  $\mathbb{P}^1$  bundle  $p: A \rightarrow \mathbb{P}^n$  over  $\mathbb{P}^n$ . If  $n \geq 3$  then  $A \simeq \mathbb{P}^1 \times \mathbb{P}^n$  and hence  $X$  is a  $\mathbb{P}^{n+1}$  bundle over  $\mathbb{P}^1$ .

Proof. Note that  $A = \mathbb{P}(V)$  for some rank 2 vector bundle  $V$  on  $\mathbb{P}^n$ . We can assume, without loss of generality that  $V$  is normalized.

We will prove the theorem for  $n = 3$ . The same proof yields the general case also. Let  $F = p^{-1}(\mathbb{P}^2)$ , where  $\mathbb{P}^2$  is a hyperplane of  $\mathbb{P}^3$ . Let  $L \in \text{Pic}(X)$  be such that  $L_A = [F]$ . If  $\Gamma(X, L) \rightarrow \Gamma(A, L_A) \rightarrow 0$  then the map  $p$  extends to  $X$ . And we will have the contradiction that  $n \leq 2$ , see [So 1], Prop. V. Thus we can assume that  $H^1(X, L \otimes [A]^{-1}) \neq 0$ . This

implies that  $H^1(A, L_A \otimes [A]_{|_A}^{-t}) \neq 0$  for some  $t > 0$ . For such  $t$  we consider the following exact sequence

$$0 \longrightarrow K_A \otimes [A]^t \otimes [F]^{-1} \longrightarrow K_A \otimes [A]^t \longrightarrow K_F \otimes [A]_F^t \otimes [F]_F^{-1} \longrightarrow 0 .$$

From the long exact cohomology sequence associated to the above sequence, Kodaira vanishing theorem and the fact that

$$H^3(A, K_A \otimes [A]^t \otimes [F]^{-1}) \neq 0 \text{ by hypothesis, it follows that } H^2(F, K_F \otimes [A]_F^t \otimes [F]_F^{-1}) \neq 0 .$$

Note that  $F$  is a  $\mathbb{P}^1$  bundle  $p_F : F \longrightarrow \mathbb{P}^2$  over  $\mathbb{P}^2$ . Let  $\tilde{F} = p_F^{-1}(\mathbb{P}^1)$ , where  $\mathbb{P}^1$  is a hyperplane of  $\mathbb{P}^2$ . We consider the sequence

$$0 \longrightarrow K_F \otimes [A]_F^t \otimes [\tilde{F}]^{-1} \longrightarrow K_F \otimes [A]_F^t \longrightarrow K_{\tilde{F}} \otimes [A]_{\tilde{F}}^t \otimes [\tilde{F}]_{\tilde{F}}^{-1} \longrightarrow 0 .$$

And now, as above, we conclude that

$$H^1(\tilde{F}, K_{\tilde{F}} \otimes [A]_{\tilde{F}}^t \otimes [\tilde{F}]_{\tilde{F}}^{-1}) \neq 0 . \text{ This together with the fact}$$

$\tilde{F}$  is a  $\mathbb{P}^1$  bundle over  $\mathbb{P}^1$  implies that  $\tilde{F} = F_0$ , where  $F_0$  is as in (0.4). Therefore we conclude that  $V|_{\ell}$  is trivial for all lines  $\ell \subseteq \mathbb{P}^3$ , which implies that  $V$  is trivial. Thus  $A \simeq \mathbb{P}^1 \times \mathbb{P}^3$ . But  $A (\simeq \mathbb{P}^1 \times \mathbb{P}^3)$  is ample on  $X$ . Hence  $X$  is a  $\mathbb{P}^{3+1}$  bundle, see [So1].

□



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