# A *K*-theoretic relative index theorem

.

•

•

.

# **Ulrich Bunke**

Max-Planck-Institut für Mathematik Gottfried-Claren-Straße 26 D-5300 Bonn 3

Germany

MPI / 92-55

.

## A K-theoretic relative index theorem

Ulrich Bunke\*

July 22, 1992

#### Abstract

We prove a relative index theorem for Dirac operators with  $C^*$ -coefficients.

## Contents

1	Introduction	1
2	Commutator estimates	3
3	The relative index theorem	5
4	Invertibility at infinity	7
5	An application	10

## 1 Introduction

Let  $D: C^{\infty}(M, E) \to C^{\infty}(M, E)$  be a generalized Dirac operator acting on sections of a  $\mathbb{Z}_2$ -graded bundle E over a complete Riemannian manifold. If 0 is not in the essential spectrum of D then the index

ind  $D = \dim \ker D^+ - \dim \ker D^-$ 

is well defined. 0 is not in the essential spectrum if e.g. D is positive at infinity, i.e. there is a constant c > 0 and a compact set  $K \subset M$  such that  $r_{|M\setminus K} \ge c$  where  $r := D^2 - \Delta$  is the endomorphism occuring in the Weizenboeck formula.

The original version of the relative index theorem due to Gromov/Lawson [8] computes  $ind D_1 - ind D_2$  for two Dirac operators which are positive at infinity and which coincide outside of compact sets, i.e.  $D_i$  live on manifolds  $M_i$ , i = 1, 2 and there are open cocompact sets  $U_i \subset M_i$  with smooth boundary such that  $D_{1|U_1} \cong D_{2|U_2}$  and  $r_{i|U_i} \ge c > 0$ . Let  $M^{\sharp} := M_1 \setminus U_1 \cup_{\partial U} M_2 \setminus U_2$  and glue the bundles using the odd morphism given by Clifford multiplication with the unit normal vector at  $\partial U$  with grading induced from  $E_{M_1 \setminus U_1}$ . Let  $D^{\sharp}$  be the associated Dirac operator.

<sup>\*</sup>Max Planck Institut für Mathematik, Gottfried Claren Str. 26, W-5300 Bonn 3

#### 1 INTRODUCTION

Theorem 1.1 (Gromov/Lawson)

ind 
$$D_1 - ind D_2 = ind D^{\bullet}$$

Another way to look upon this theorem is as follows. Consider  $M = M_1 \cup M_2$  and the opposite grading of the Clifford bundle over  $M_2$ . Let D be the Dirac operator over M. Obviously ind  $D = ind D_1 - ind D_2$ . We can now cut M at  $\partial U_1 \cup \partial U_2$ and glue together again using the diffeomorphism interchanging the two boundary components obtaining  $\tilde{M}$  together with a new Clifford bundle and a Dirac operator  $\tilde{D}$ . In fact  $\tilde{M} = M^{\sharp} \cup (U_1 \cup_{\partial U} U_2)$  and  $\tilde{D}$  is invertible over  $U_1 \cup_{\partial U} U_2$  (here we assume for simplicity a product collar at  $\partial U_i$  in order to glue smoothly). Hence  $ind \tilde{D} = ind D^{\sharp}$ . The relative index theorem states that cutting and glueing as decribed above does not change the index:

$$ind D = ind \tilde{D}.$$

There are several generalizations of the relative index theorem [7], [5], [6], [1], [2], [4].

The aim of this paper is to give a K-theoretic variant of this theorem which applies also for operators acting on  $C^*$ -Hilbert-bundles over the base field k, which is **R** or **C**. Such opertors have been considered first by Miščenko/Fomenko [9]. Let M be a complete Riemannian manifold and A be a  $\mathbb{Z}_2$ -graded  $C^*$ -algebra. A  $C^*$ -Clifford bundle S is a bundle of projective finitely generated graded A- $C^*$ -right-Hilbert modules together with a metric connection and a Clifford multiplication satifying Leibnitz rule and compatibility with the scalar products of the fibres. We think the tangent vectors and the connection acting from the left. Let D be the associated Dirac operator. We define Sobolev spaces  $H^l$ ,  $l \ge 0$  using scalar products defined with D as usual (see [9]). In fact the  $H^l$  are A- $C^*$ -right-Hilbert modules. We have  $D \in B(H^1, H^0)$ . Our basic assumption is

Assumption 1 There is a  $S \in K(H^0)$  such that D + S is invertible and  $S \in B(H^0, H^1)$ ,  $DS \in K(H^0)$ ,  $SD \in K(H^1)$ .

Note that K stands for compact operators between A-C\*-right-Hilbert modules (see [3], [9]). In general S fails to be odd or selfadjoint. We can now construct a Kasparov module (see [3]) representing the index of D. Let A := D + S and  $F := [D(AA^*)^{-1/2}]^{odd}$  where  $[]^{odd}$  is the projection onto the odd part. We have  $F \in B(H^0)$  and deg F = 1. Let  $C_g(M)$  be the C\*-algebra generated by the bounded functions  $f \in C^{\infty}(M)$  with vanishing gradient at infinity equipped with the supremum norm. There is a \*-homomorphism  $C_g(M) \to B(H^0)$  given by multiplication.

**Proposition 1.2**  $(H^0, F)$  is a Kasparov modul over the pair of C<sup>\*</sup>-algebras  $(C_g(M), A)$ 

Let us think of all structures over M be compressed in the symbol M. Then we let  $[M] \in KK(C_g(M), A)$  be the class represented by  $(H^0, F)$  (in fact [M] does not depend on the choice of S since the difference of the F's for different S's is compact). Note that we work with KK-groups over the base field k. The equivalence relation used here is compact perturbation (see Blackadar [3] for details).

#### 2 COMMUTATOR ESTIMATES

Let  $N \subset M$  be a compact hypersurface cutting a normal neighbourhood U(N)in two pieces  $U(N)_{\pm}$ . Assume that there is a diagram

intertwining all structures. Then we can form a new manifold  $\tilde{M}$  cutting at Nand glueing together using  $\gamma$  and a new bundle  $\tilde{S}$  using  $\Gamma$  with associated Dirac operator  $\tilde{D}$ . Suppose that D and  $\tilde{D}$  satisfy Assumption 1. Then we can form  $[M] \in KK(C_g(M), A)$  and  $[\tilde{M}] \in KK(C_g(\tilde{M}), A)$ . Restricting to constant functions we have elements  $\{M\}, \{\tilde{M}\} \in KK(k, A)$ . The main theorem in this paper is

Theorem 1.3 (K-theoretic relative index theorem)  $\{M\} = \{\tilde{M}\}$ 

This theorem can be interpreted in special cases a relative index theorem for families or as equivariant relative index theorem.

One of our main motivations comes from the following situation. Let  $k := \mathbf{R}$ ,  $M^n$  be spin, E be the real Clifford bundle with fibres isomorphic to the Clifford algebra  $C_n$  and V be a flat bundle of A- $C^*$ -right-Hilbert modules. Set  $S := E \otimes V$ . Assume that there is a compact set  $K \subset M$  and a constant c > 0 such that for the scalar curvature s we have the estimate  $s_{|M\setminus K} \ge c$ . Then D is invertible at infinity, i.e. there is a  $f \in C_c^{\infty}(M)$  such that  $D^2 + f$  is invertible. We want to know wether D satisfies Assumption 1. In fact

**Theorem 1.4** If D is invertible at infinity then D satisfies Assumption 1.

As an application we construct for any discrete group  $\pi$  a group homomorphism

$$R_n(\pi) \to KK_n(\mathbf{R}, C_r^*(\pi))$$

where  $R_n(\pi)$  is a group of *n*-dimensional bordisms M with prescribed positive scalar curvature metric at  $\partial M$ . (see section 5 for details).

The author thanks Stefan Stolz for the very stimulating discussion.

#### 2 Commutator estimates

Let M be a complete Riemannian manifold and S be a Clifford- $C^*$ -bundle with associated Dirac operator D. We form the completitions  $H^l$ ,  $l \ge 0$ , of  $C_c^{\infty}(M, S)$  with respect to the norms

$$\|\phi\|_{l}^{2} = \sum_{k=0}^{l} \int_{M} \|D^{k}\phi(x)\|^{2}, \quad \phi \in C_{c}^{\infty}(M, S)$$

where the norm of the right hand side is the point wise norm coming from the A- $C^*$ -Hilbert module structure of the fibres. Note that the  $H^l$  are A- $C^*$ -right-Hilbert modules with scalar product

$$<\phi,\psi>_l=\sum_{k=0}^l\int_M< D^k\phi(x),D^k\psi(x)>0$$

There is an analog of Rellich's theorem

#### 2 COMMUTATOR ESTIMATES

**Proposition 2.1 (Miščenko/Fomenko,[9])** For any  $f \in C_c^{\infty}(M)$  the multiplication  $f: H^l \to H^k$  is compact for k < l.

D extends to an operator  $D \in B(H^{l}, H^{l-1}), \forall l \in \mathbb{N}$ . Suppose that D satisfies Assumption 1 and form A := D+S. Then we have  $(AA^{*})^{-1/2}, (A^{*}A)^{-1/2} \in B(H^{0}, H^{1})$ . Note the integral representation

$$(A^*A)^{-1/2} = \frac{2}{\pi} \int_0^\infty (A^*A + \lambda^2)^{-1} d\lambda$$

where the integral converges in  $B(H^0)$ . For a bounded function f we have

$$f(A^*A)A^* = A^*f(AA^*)$$
  

$$Af(A^*A) = f(AA^*)A.$$

Since we want to commute A and  $(A^*A)^{-1/2}$  we need

Lemma 2.2  $(A^*A)^{-1/2} - (AA^*)^{-1/2} \in K(H^0, H^2)$ 

Proof: We have

$$(A^*A)^{-1/2} - (AA^*)^{-1/2}$$

$$= \frac{2}{\pi} \int_0^\infty ((A^*A + \lambda^2)^{-1} - (AA^* + \lambda^2)^{-1}) d\lambda$$

$$= \frac{2}{\pi} \int_0^\infty (A^*A + \lambda^2)^{-1} (AA^* - A^*A) (AA^* + \lambda^2)^{-1} d\lambda$$

$$= \frac{2}{\pi} \int_0^\infty (A^*A + \lambda^2)^{-1} (SD + DS^* + SS^* - DS - S^*D - S^*S) (AA^* + \lambda^2)^{-1} d\lambda.$$

By the following decomposition we see that every term is bounded in  $B(H^0, H^2)$  by  $C(1 + \lambda^2)^{-1}$  and compact:

$$H^{0} \xrightarrow{(AA^{*}+\lambda^{2})^{-1}} H^{0} \xrightarrow{SS^{*}+SS^{*}+DS^{*}+DS} H^{0} \xrightarrow{(A^{*}A+\lambda^{2})^{-1}} H^{2} \in K(H^{0}, H^{2})$$
$$H^{0} \xrightarrow{(AA^{*}+\lambda^{2})^{-1}} H^{1} \xrightarrow{SD+S^{*}D} H^{1} \xrightarrow{(A^{*}A+\lambda^{2})^{-1}} H^{2} \in K(H^{0}, H^{2})$$

Also we need a commutator estimate for  $(AA^*)^{-1/2}$  with functions in  $C_g(M)$ .

**Lemma 2.3** For  $f \in C_g(M)$  we have

$$[f, (AA^*)^{-1/2}] \in K(H^0, H^1)$$

**Proof:** W.l.o.g we can assume that  $f \in C^{\infty}(M)$  is bounded with grad  $f \in C_0(M, TM)$ . Using the integral representation for  $(AA^*)^{-1/2}$  we have

$$[f, (AA^*)^{-1/2}] = \frac{2}{\pi} \int_0^\infty [f, (AA^* + \lambda^2)^{-1}] d\lambda$$
  
=  $\frac{2}{\pi} \int_0^\infty (AA^* + \lambda^2)^{-1} [f, D^2 + SD + DS^* + SS^*] (AA^* + \lambda^2)^{-1} d\lambda$ 

Note that  $[f, D^2] = -Dgrad f - grad f D$  is of first order. By the decomposition

$$H^{0} \xrightarrow{(AA^{\bullet} + \lambda^{2})^{-1}} H^{1} \xrightarrow{[f,AA^{\bullet}]} H^{0} \xrightarrow{(AA^{\bullet} + \lambda^{2})^{-1}} H^{1} \in K(H^{0}, H^{1})$$

we see that the integrand is bounded by  $C(1 + \lambda^2)^{-1}$ . Compactness follows from Proposition 2.1.  $\Box$ 

## 3 The relative index theorem

Let M be a complete Riemannian manifold and S be a  $\mathbb{Z}_2$ -graded Clifford- $C^*$ bundle with associated Dirac operator D. Suppose Assumption 1. Set  $F := [D(AA^*)^{-1/2}]^{odd}$ .

**Lemma 3.1** The even part of  $D(AA^*)^{-1/2}$  is compact.

**Proof:** Let  $\epsilon$  be the  $\mathbb{Z}_2$ -grading of  $H^0$  and  $\sim$  denote equality modulo  $K(H^0)$ .

$$2[D(AA^{*})^{-1/2}]^{\epsilon_{\nu}} = \epsilon D(AA^{*})^{-1/2} \epsilon + D(AA^{*})^{-1/2} = D\epsilon[\epsilon, (AA^{*})^{-1/2}] = D\epsilon \frac{2}{\pi} \int_{0}^{\infty} [\epsilon, (AA^{*} + \lambda^{2})^{-1}] d\lambda = D\epsilon \frac{2}{\pi} \int_{0}^{\infty} (AA^{*} + \lambda^{2})^{-1} [\epsilon, AA^{*}] (AA^{*} + \lambda^{2})^{-1} d\lambda = D\epsilon \frac{2}{\pi} \int_{0}^{\infty} (AA^{*} + \lambda^{2})^{-1} [\epsilon, SS^{*} + DS^{*} + SD] (AA^{*} + \lambda^{2})^{-1} d\lambda \sim 0$$

**Proposition 3.2**  $(H^0, F)$  is a Kasparov module over the pair of C<sup>\*</sup>-algebras  $(C_g(M), A)$ .

Proof: We have to verify

$$F - F^* \in K(H^0)$$
  

$$F^2 - 1 \in K(H^0)$$
  

$$[f, F] \in K(H^0) \quad \forall f \in C_g(M)$$

Then

$$F^* - F \xrightarrow{Lemma \ 3.1} (AA^*)^{-1/2}D - D(AA^*)^{-1/2}$$

$$\sim (AA^*)^{-1/2}A - D(AA^*)^{-1/2}$$

$$= A(A^*A)^{-1/2} - D(AA^*)^{-1/2}$$

$$\xrightarrow{Lemma \ 2.2} A(AA^*)^{-1/2} - D(AA^*)^{-1/2}$$

$$\sim D(AA^*)^{-1/2} - D(AA^*)^{-1/2}$$

$$= 0$$

$$F^{2} - 1 \xrightarrow{\text{Lemma 3.1}} D(AA^{*})^{-1/2}D(AA^{*})^{-1/2} - 1$$

$$\sim A^{*}(AA^{*})^{-1/2}A(AA^{*})^{-1/2} - 1$$

$$= A^{*}A(A^{*}A)^{-1/2}(AA^{*})^{-1/2} - 1$$

$$\xrightarrow{\text{Lemma 2.2}} A^{*}A(A^{*}A)^{-1/2}(A^{*}A)^{-1/2} - 1$$

$$= 0$$

W.l.o.g. we can assume f to be smooth and grad  $f \in C_0(M, TM)$ .

$$\begin{array}{ll} [f,F] & \stackrel{Lemma \ 3.1}{\sim} & [f,D(AA^*)^{-1/2}] \\ & = & [f,D](AA^*)^{-1/2} + D[f,(AA^*)^{-1/2}] \\ & \stackrel{Lemma \ 2.3}{\sim} & -grad \ f(AA^*)^{-1/2} \\ & \stackrel{Prop. \ 2.1}{\sim} & 0. \end{array}$$

Let  $[M] \in KK(C_g(M), A)$  denote the class represented by  $(H^0, F)$  (as above we compress all structures in the symbol M) and  $\{M\} \in KK(k, A)$  be the class obtained from [M] restricting to the constant functions in  $C_g(M)$ . Clearly  $\{M\}$  is represented by  $(H^0, F)$  too.

Let  $N \subset M$  be a compact hypersurface cutting a normal neighbourhood U(N)in two pieces  $U(N)_{\pm}$ . Assume that there is a diagram

intertwining all structures. We form a new manifold  $\tilde{M}$  cutting at N and glueing together using  $\gamma$  and a new bundle  $\tilde{S}$  using  $\Gamma$  with associated Dirac operator  $\tilde{D}$ . Suppose that  $\tilde{D}$  also satisfies Assumption 1. Let  $\{\tilde{M}\} \in KK(k, A)$  be the class given by  $\tilde{D}$ .

**Theorem 3.3 (K-theoretic relative index theorem)**  $\{\tilde{M}\} = \{M\}$ 

**Proof:** Note that  $H^0 = \tilde{H^0}$  in a canonical way. Thus it is enough to show that

$$\Delta := F - \tilde{F} \in K(H^0).$$

Recall that we use the compact perturbation as equivalence relation in the KKgroups. Let  $\psi, \phi \in C^{\infty}(M)$ ,  $\phi \equiv 1$  outside of some small neighbourhood of Nand  $\psi, \phi \equiv 0$  inside a smaller one such that  $\psi \phi = \phi$ . Set  $\chi := (1 - \phi)$  and let  $\rho \in C_c^{\infty}(U(N))$  such that  $\rho \chi = \chi$ . Let  $\tilde{\Delta} := \psi \Delta \phi + \rho \Delta \chi$ . Then

$$\tilde{\Delta} - \Delta = \psi \Delta \phi + \rho \Delta \chi - \Delta$$
  
=  $(1 - \psi) \Delta \chi + (1 - \rho) \Delta \chi$   
$$\overset{Lemma 2.3}{\sim} (1 - \psi) \phi \Delta + (1 - \rho) \chi \Delta$$
  
=  $0$ 

Thus it is enough to show the compactness of  $\tilde{\Delta}$ . Let us consider e.g.  $\psi \Delta \phi$ .

$$\begin{split} & \psi \Delta \phi \\ \overset{Lemma \ 3.1}{\sim} \quad & \frac{2}{\pi} \int_0^\infty \psi [D(AA^* + \lambda^2)^{-1} - \tilde{D}(\tilde{A}\tilde{A}^* + \lambda^2)^{-1}] \phi d\lambda \\ \overset{Prop. \ 2.1}{\sim} \quad & \frac{2}{\pi} \int_0^\infty D\psi [(AA^* + \lambda^2)^{-1} - (\tilde{A}\tilde{A}^* + \lambda^2)^{-1}] \phi d\lambda \end{split}$$

$$= \frac{2}{\pi} \int_0^\infty D(AA^* + \lambda^2)^{-1} (AA^* + \lambda^2) \psi [(AA^* + \lambda^2)^{-1} - (\tilde{A}\tilde{A}^* + \lambda^2)^{-1}] \phi d\lambda$$
  

$$\sim \frac{2}{\pi} \int_0^\infty D(AA^* + \lambda^2)^{-1}$$
  

$$= [(AA^* + \lambda^2) \psi (AA^* + \lambda^2)^{-1} \phi - (\tilde{A}\tilde{A}^* + \lambda^2) \psi (\tilde{A}\tilde{A}^* + \lambda^2)^{-1} \phi] d\lambda$$
  

$$\stackrel{Prop. 2.1}{\sim} \frac{2}{\pi} \int_0^\infty D(AA^* + \lambda^2)^{-1} [\psi \phi - \psi \phi] d\lambda$$
  

$$= 0$$

Analogously we handle  $\rho \Delta \chi$ . Thus  $\tilde{\Delta} \in K(H^0)$  and also  $\Delta \in K(H^0)$ .  $\Box$ 

## 4 Invertibility at infinity

Let M be a complete Riemannian manifold and S be a  $\mathbb{Z}_2$ -graded Clifford- $C^*$ -bundle with associated Dirac operator D. We say that D is invertible at infinity if there is some  $f \in C_c^{\infty}(M)$  such that  $D^2 + f$  is invertible as operator in  $B(H^1, H^0)$ .

**Proposition 4.1** If D is invertible at infinity then  $D \in B(H^1, H^0)$  is Fredholm.

Proof: We construct a parametrix  $R \in B(H^0, H^1)$  such that  $DR \sim 1$  and  $RD \sim 1$ . Let  $\psi, \phi \in C_c^{\infty}(M)$  such that  $\phi \equiv 1$  on supp f and such that  $\psi \phi = \phi$ . Moreover let  $\chi \in C^{\infty}(M)$  such that  $\chi \equiv 0$  on supp f and  $\chi(1 - \phi) = 1 - \phi$ . Let  $R_U := D(D^2 + f)^{-1}$  and  $R_K$  be a parametrix of D with support on some compact set containing supp  $\psi$ .  $R_K$  can be constructed using pseudodifferential calculus as in [9]. Set  $R = \chi R_U(1 - \phi) + \psi R_K \phi$ . Then we have  $R \in B(H^0, H^1)$ . Apply now D.

$$DR = D\chi R_U(1-\phi) + D\psi R_K \phi$$
  
=  $grad \chi R_U(1-\phi) + grad \psi R_K \phi + \chi D R_U(1-\phi) + \psi D R_K \phi$   
$$\stackrel{Prop. 2.1}{\sim} \chi D^2 (D^2 + f)^{-1} (1-\phi) + \psi \phi$$
  
=  $\chi (D^2 + f) (D^2 + f)^{-1} (1-\phi) + \psi \phi$   
=  $\chi (1-\phi) + \psi \phi$   
= 1

$$RD = \chi R_U (1 - \phi) D + \psi R_K \phi D$$

$$\stackrel{Prop. 2.1}{\sim} \chi R_U D (1 - \phi) + \psi R_K D \phi$$

$$\sim \chi D (D^2 + f)^{-1} D (1 - \phi) + \psi \phi$$

$$= \chi (D^2 + f)^{-1} D^2 (1 - \phi) - \chi (D^2 + f)^{-1} grad f (D^2 + f)^{-1} (1 - \phi) + \psi \phi$$

$$\sim \chi (1 - \phi) + \psi \phi$$

$$= 1$$

Note that  $RD, DR \in B(H^k)$  for any  $k \ge 1$  and  $DR - 1, RD - 1 \in K(H^k)$  by the same proof. Assume now that the fibre V of S is a free A-C\*-Hilbert module.

#### 4 INVERTIBILITY AT INFINITY

**Theorem 4.2** Let  $D \in B(H^1, H^0)$  be invertible at infinity. Then there is an operator S such that D + S is invertible and  $S \in K(H^0, H^k)$  for any given  $k \in \mathbb{N}$ .

**Proof:** We construct first isomorphisms  $H^{l} \cong l^{2} \otimes V$ . Let  $M = \bigcup_{\alpha} K_{\alpha}$  be a countable triangulation such that  $S_{|K_{\alpha}} \cong K \times V$ . For every  $\alpha$  fix an orthonormal basis  $\{\psi_{\alpha}^{i}\}_{i \in \mathbb{N}}$  in  $L^{2}(K_{\alpha})$  where  $\psi_{\alpha}^{i} \in C_{c}^{\infty}(int(K_{\alpha}))$ . With respect to this basis we have

$$L^2(K_{\alpha}, S_{|K_{\alpha}}) \cong l^2 \otimes V.$$

Fix an enumeration of the  $\psi^i_{\alpha}$ . Then we get also

$$H^{0} \cong \bigoplus_{\alpha} L^{2}(K_{\alpha}, S_{|K_{\alpha}}) = \bigoplus_{\alpha} l^{2} \otimes V = l^{2} \otimes V.$$

For  $v \in V$  let  $v_i = (0, \ldots, v, 0, \ldots)$  with v at the *i*'th entry and  $L_n \subset H^0$  be the subspace generated by the  $v_i$  with  $i \leq n$ . By construction we have in fact for any  $n, k \in \mathbb{N}$  that  $L_n \subset H^k$  compactly embedded. For  $l \geq 0$  we use the identification

$$l^2 \otimes V \cong H^0 \xrightarrow{(1+D^2)^{-l/2}} H^l$$

in order to construct the desired isomorphism. Define the subspaces  $L_n \subset H^l$  as above. Again  $L_n \in H^k$  for any k, n compactly embedded (do not confuse the  $L_n$  in different  $H^l$ ).

We construct now decompositions  $H^1 = U_1 \oplus W_1$ ,  $H^0 = U_2 \oplus W_2$  such that

$$D = \left(\begin{array}{cc} D^1 & 0\\ 0 & D^2 \end{array}\right)$$

and  $D^1$  is invertible,  $W_1, W_2 \subset H^k$  compactly for any given  $k \in \mathbb{N}$  (this construction is essentially due to Miščenko/Fomenko [9]). Let  $DR = 1 + K_1$  where R is the parametrix obtained above. We construct decompositions  $H^0 = M_i \oplus N_i$ , i = 1, 2such that  $N_i \subset H^k$  compactly for any k and

$$1+K_1=\left(\begin{array}{cc}1+K^1&0\\0&*\end{array}\right).$$

Since  $K_1$  is compact we can find by definition (see [9]) a  $n_0$  such that for all  $n \ge n_0$  we have  $||K_{1|L_{\pi}^{1}}|| < 1$ . Let

$$K = \left(\begin{array}{cc} K^1 & K^2 \\ K^3 & K^4 \end{array}\right)$$

with respect to  $H^0 = L_n^{\perp} \oplus L_n$  for some  $n \ge n_0$ . Then  $1 + K^1$  is invertible. Set

$$X_2 := \begin{pmatrix} 1 & 0 \\ -K^3(1+K^1)^{-1} & 1 \end{pmatrix} \quad X_1 := \begin{pmatrix} 1 & -(1+K^1)^{-1}K^2 \\ 0 & 1 \end{pmatrix}.$$

Then

$$X_2(1+K_1)X_1 = \begin{pmatrix} 1+K^1 & 0\\ 0 & 1+K^4-K^3(1+K^1)^{-1}K^2 \end{pmatrix}.$$

#### 4 INVERTIBILITY AT INFINITY

Set  $M_1 \oplus N_1 := X_1(L_n^{\perp} \oplus L_n)$  and  $M_2 \oplus N_2 := X_2^{-1}(L_n^{\perp} \oplus L_n)$ . Note that  $K_i \in K(H^k)$ for any  $k \ge 0$ . Thus choosing *n* large enough we have  $(1 + K^1)^{-1} \in B(H^k)$ . Then  $N_i \subset H^k$ , i = 1, 2. Let  $P : H^0 \to N_2$  be the projection onto  $N_2$  along  $M_2$  and set  $D_1 := (1 - P)D$ . Then  $D_1R = 1 + \tilde{K}_1$  and  $RD_1 = 1 + \tilde{K}_2$  with  $\tilde{K}_1 = (1 - P)K_1 - P$ and  $\tilde{K}_2 = 1 + K_2 - RPD$ . We construct decompositions  $H^1 = \tilde{M}_i \oplus \tilde{N}_i$ , i = 1, 2such that

$$1 + \tilde{K}_2 = \left(\begin{array}{cc} 1 + \tilde{K}^2 & 0\\ 0 & * \end{array}\right)$$

and  $\bar{N}_i \subset H^k$  compactly for k as above. Consider the composition

$$H^1 = \bar{M}_1 \oplus \bar{N}_1 \xrightarrow{D_1} M_2 \oplus N_2 \xrightarrow{R} \bar{M}_2 \oplus \bar{N}_2 = H^1.$$

 $RD_{|\bar{M}_1}: \bar{M}_1 \to \bar{M}_2$  is an isomorphism. Hence  $D_1(\bar{M}_1) \subset M_2$  is a closed subspace. Since  $D_1(H^1) = M_2$  we have the factorization

$$\bar{M}_1 \oplus \bar{N}_1 \to D_1(\bar{M}_1) \oplus [D_1(\bar{N}_1) \oplus N_2] \cong M_2 \oplus N_2 = H^0$$

Let  $\Pi: H^1 \to \overline{N}_1$  be the projection onto  $\overline{N}_1$  anlong  $\overline{M}_1$  and  $Q: H^0 \to D_1(\overline{N}_1) \oplus N_2$ be the projection along  $D_1(\overline{M}_1)$ . Then  $(1-Q)D(1-\Pi): \overline{M}_1 \to D_1(\overline{M}_1)$  is invertible. Let  $U_1 := (1-Q)H^1$ ,  $W_1 := QH^1$ ,  $U_2 := (1-\Pi)H^0$ ,  $W_2 := \Pi H^0$ . Then we have

$$D = \left(\begin{array}{cc} (1-Q)D(1-\Pi) & 0\\ 0 & * \end{array}\right).$$

Note that  $D_1(\bar{N}_1) \oplus N_2 \subset D_1 H^k + H^k \subset H^{k-1}$ . Thus  $W_i \subset H^{k-1}$ , i = 1, 2 compactly. The formal difference of projective finitely generated A-modules

$$[W_1] - [W_2] \in K_0(A)$$

is the index of D. Since D is selfadjoint we have  $[W_1] = [W_2]$  in  $K_0(A)$ . Thus there is a number  $r \ge 0$  such that  $W_1 \oplus A^r \cong W_2 \oplus A^r$ . Choosing our n large enough we can assume that  $W_1 = W_2$ . It is here where the assumption on the fibre of S enters. Choose an isomorphism  $I: W_1 \to W_2$  and set

$$\bar{D} := (1 - Q)D(1 - \Pi) + QI\Pi.$$

 $\overline{D}$  is invertible and  $S := \overline{D} - D$  is in  $K(H^0, H^l)$  for any given  $l \ge 0$ . This proves the theorem.  $\Box$ 

If the fibre of S is not free we can circumvent the stabilization problem as follows. We consider instead of  $H^l$  the spaces  $\tilde{H}^l := H^l \oplus A^r$  for some large r and extend the action of D and  $C_g(M)$  by zero. Then Theorem 4.2 holds on these spaces. The resulting classes  $[M] \in KK(C_g(M), A)$  represented by  $(\tilde{H}^0, \tilde{F})$  do not depend on r. There is also a corresponding modification of the relative index theorem 3.3.

## 5 An application

Fix a finitely generated group  $\pi$ . Any spin manifold N with  $\pi_1(N) = \pi$  gives rise to a  $B := BSpin \times B\pi$ -manifold (see [11]). The B structure

 $f: N \to B$ 

is given by the product of the classifying maps of the spin structure and of the universal cover of N. Consider the set  $S_n(\pi)$  of tuples  $(M^n, N, F, h)$  where (M, N, F)is a n-dimensional B-bordism,  $N = \partial M$  and h is a positive scalar curvature metric on N. S is a semigroup under disjoint union. Let ~ be the equivalence relation given by B-bordism. A B-bordism of (M, N, F, h) and  $(M_1, N_1, F_1, h_1)$  consists of a Bbordism  $(W, N, N_1, \Phi)$  between  $(N, F_{|N})$  and  $(N_1, F_{1|N_1})$ , a positive scalar curvature metric g on W which is product near  $\partial W$  and restricts to  $h, h_1$  at  $N, N_1$  and a zero-B-bordism  $(V, \Psi)$  of  $(M \cup_N W \cup_{N_1} M_1, (F, \Phi, F_1))$ . Note that  $R_n(\pi) := S_n(\pi)/\sim$  is a goup. A similar group has been considered by B.Hajduk. It is a special case of a construction due to S.Stolz [10].

**Theorem 5.1** There is a canonical homomorphism  $R_n(\pi) \to KK_n(\mathbf{R}, C_r^*(\pi))$ .

**Proof:** Let  $(M, N, F, h) \in S_n(\pi)$ . Choose a metric on M such that it is product near N and restricts to h. Glue a metric cylinder  $[0,\infty) \times N$  at the boundary of M obtaining the complete manifold M and extend F constantly.  $F^*E\pi$  is a  $\pi$ -principal fibre bundle. Associate  $C_r^*(\pi)$  and obtain a flat bundle with fibre  $C_r^*(\pi)$  using the canonical action of  $\pi$  on  $C_r^*(\pi)$  from the left. Let E be the real Clifford bundle with fibre  $C_n$  associated to the spin structure and form  $S := E \otimes V$ . S is a  $C^*$ -Clifford bundle over  $C_n \otimes C^*_r(\pi)$ . Let D be the associated Dirac operator. Since the scalar curvature is positive at infinity, D is invertible at infinity and we can form  $\{\overline{M}\} \in KK(\mathbf{R}, C_n \otimes C_r^*(\pi))$ . Clearly the map associating to  $(M, N, F, h) \in S_n(\pi)$  the class  $\{\overline{M}\}$  is additive. We must show that it factors through  $R_n(\pi)$ . Let  $(W, N, \Phi)$ be a zero-B-bordism of  $(N, F_N)$ , g be a positive scalar curvature metric on W which is product near  $\partial W$  and restricts to h on N and  $(V, \Psi)$  be a zero-B-bordism of  $(M \cup_N W, (F, \Phi))$ . Let  $L := \overline{M} \cup \overline{W}$  and  $\tilde{L} := W \cup_N M \cup \mathbb{R} \times N$ . Then  $\{L\} = \{\overline{M}\}$ and  $\{L\} = \{W \cup_N M\}$  since on the remaining components there are positive scalar curvature metrics and the Dirac operator is invertible there. By the relative index theorem  $\{L\} = \{\tilde{L}\}$ . But  $\{\tilde{L}\} = 0$  since the Dirac operator is zero-bordant. Hence  $\{M\} = 0$ . This proves the theorem.  $\Box$ 

The idea of this construction is due to Stefan Stolz.

## References

- [1] N. Anghel.  $L^2$ -index formulae for perturbed Dirac operators. Communications in Mathematical Physics, 128:77-97, 1990.
- [2] N. Anghel. Preprint, MSRI Berkeley. 1991.

- B. Blackadar. K-Theory for Operator Algebras. Math.Sci.Res.Inst.Publ. No. 5 Springer, New York, 1986.
- [4] N. V. Borisov, W. Müller, and R.Schrader. Relative index theory and supersymmetric scattering theory. Communications in Mathematical Physics, 114:475-513, 1988.
- [5] U. Bunke. Dirac Operatoren auf offenen Mannigfaltigkeiten. PhD thesis, Ernst-Moritz-Arndt-Universität Greifswald, 1991.
- U. Bunke. Relative index theory. Journal of Functional Analysis, 105(1):63-76, 1992.
- [7] H. Donnelly. Essential spectrum and heat kernel. Journal of Functional Analysis, 75(2):362-381, 1987.
- [8] M. Gromov and H. B. Lawson. Positive scalar curvature and the Dirac operator on complete Riemannian manifolds. Publ. Math IHES, 58:295-408, 1983.
- [9] A. S. Miščenko and A. T. Fomenko. The index of elliptic operators over C<sup>\*</sup>algebras. Izv. Akad. Nauk SSSR, Ser. Math., 43:831-859, 1979.
- [10] S. Stolz. Concordance classes of positive scalar curvature metrics. in preparation, 1992.
- [11] R. E. Stong. Notes on Cobordism Theory. Princeton University Press, 1968.