

**Existence of stable 2-vector bundles over  
ruled surfaces**

**Marian Aprodu and Vasile Brinzanescu**

Institute of Mathematics  
of the Romanian Academy  
P.O. Box 1-764  
RO-70700 Bucharest

Romania

Max-Planck-Institut  
für Mathematik  
Gottfried-Claren-Str. 26  
53225 Bonn

Germany



# Existence of stable 2-vector bundles over ruled surfaces

Marian Aprodu and Vasile Brînzănescu

## Introduction

Let  $\pi : X \rightarrow C$  be a ruled surface over a smooth algebraic curve  $C$ , defined over the complex number field  $\mathbf{C}$ . Let  $c_1 \in \text{Num}(X)$  and  $c_2 \in H^4(X, \mathbf{Z}) \cong \mathbf{Z}$  be fixed. For any polarization  $H$ , denote the moduli space of rank-2 vector bundles stable with respect to  $H$  in the sense of Mumford–Takemoto by  $\mathcal{M}_H(c_1, c_2)$ . Stable 2-vector bundles over a ruled surface have been investigated by many authors; see, for example [T1], [T2], [H-S], [Q2], [F]. In this paper we shall study the non-emptiness of the moduli spaces  $\mathcal{M}_H(c_1, c_2)$ .

For an algebraic 2-vector bundle over a ruled surface  $X$  one introduced two numerical invariants  $d$  and  $r$  and one defined the set  $M(c_1, c_2, d, r)$  of isomorphism classes of bundles with fixed invariants  $c_1, c_2, d, r$ ; see [B], [B-St1], [B-St2]. The integer  $d$  is given by the splitting of the bundle on the general fibre and the integer  $r$  is given by some normalization of the bundle. The moduli spaces  $M(c_1, c_2, d, r)$  are defined independent of any ample divisor (line bundle) on  $X$ ; see also [Br1], [Br2], [W]. In [A-B2] we obtained necessary and sufficient conditions for the non-emptiness of the space  $M(c_1, c_2, d, r)$  and we applied this result to some moduli spaces  $\mathcal{M}_H(c_1, c_2)$  (see, also [A-B1]).

In section 1 we give necessary and sufficient conditions for a 2-vector bundle  $E \in M(c_1, c_2, d, r)$  to be  $H$ -stable for some ample line bundle  $H$ . By using this result, the results in [A-B2] and some results of Qin in [Q1], [Q2], [Q3], we solve in section 2 the problem of non-emptiness of moduli spaces  $\mathcal{M}_H(c_1, c_2)$  of stable 2-vector bundles in almost all cases.

*Acknowledgements.* The second named author expresses his gratitude to the Max-Planck-Institut für Mathematik Bonn for its hospitality during the preparation of this paper.

## 1 Stability of vector bundles in $M(c_1, c_2, d, r)$

We recall from [B], [B-St1], [B-St2], [A-B2] some basic notions and facts.

The notations and the terminology are those of Hartshorne's book [Ha]. Let  $C$  be a nonsingular curve of genus  $g$  over the complex number field and let  $\pi : X \rightarrow C$  be a ruled surface over  $C$ . We shall write  $X \cong \mathbb{P}(\mathcal{E})$  where  $\mathcal{E}$  is normalized. Let us denote by  $\mathbf{e}$  the divisor on  $C$  corresponding to  $\Lambda^2 \mathcal{E}$  and by  $e = -\deg(\mathbf{e})$ . We fix a point  $p_0 \in C$  and a fibre  $f_0 = \pi^{-1}(p_0)$  of  $X$ . Let  $C_0$  be a section of  $\pi$  such that  $\mathcal{O}_X(C_0) \cong \mathcal{O}_{\mathbb{P}(\mathcal{E})}(1)$ .

Any element of the group of divisors on  $X$  modulo numerical equivalence  $\text{Num}(X) \cong H^2(X, \mathbb{Z})$  can be written  $aC_0 + bf_0$  with  $a, b \in \mathbb{Z}$ . We shall denote by  $\mathcal{O}_C(1)$  the invertible sheaf associated to the divisor  $p_0$  on  $C$ . If  $L$  is an element of  $\text{Pic}(C)$  we shall write  $L = \mathcal{O}_C(k) \otimes L_0$ , where  $L_0 \in \text{Pic}_0(C)$  and  $k = \deg(L)$ . We also denote by  $F(aC_0 + bf_0) = F \otimes \mathcal{O}_X(a) \otimes \pi^* \mathcal{O}_C(b)$  for any sheaf  $F$  on  $X$  and any  $a, b \in \mathbb{Z}$ .

Let  $E$  be an algebraic rank-2 vector bundle on  $X$  with fixed numerical Chern classes  $c_1 = (\alpha, \beta) \in H^2(X, \mathbb{Z}) \cong \mathbb{Z} \times \mathbb{Z}$ ,  $c_2 \in H^4(X, \mathbb{Z}) \cong \mathbb{Z}$ , where  $\alpha, \beta, c_2 \in \mathbb{Z}$ .

Since the fibres  $f$  of  $\pi$  are isomorphic to  $\mathbb{P}^1$  we can speak about the generic splitting type of  $E$  and we have  $E|_f \cong \mathcal{O}_f(d) \oplus \mathcal{O}_f(d')$  for a general fibre  $f$ , where  $d' \leq d$ ,  $d + d' = \alpha$ . The integer  $d$  is the first numerical invariant of  $E$ .

The second numerical invariant is obtained by the following normalization:

$$-r = \inf\{l \mid \exists L \in \text{Pic}(C), \deg(L) = l, \text{ s.t. } H^0(X, E(-dC_0) \otimes \pi^* L) \neq \{0\}\}.$$

We shall denote by  $M(c_1, c_2, d, r)$  the set of isomorphism classes of algebraic rank-2 vector bundles on  $X$  with fixed Chern classes  $c_1, c_2$  and invariants  $d$  and  $r$ .

With these notations we have the following result (see [B]):

**Theorem 1** *For every vector bundle  $E \in M(c_1, c_2, d, r)$  there exist  $L_1, L_2 \in \text{Pic}_0(C)$  and  $Y \subset X$  a locally complete intersection of codimension 2 in  $X$ ,*

or the empty set, such that  $E$  is given by an extension

$$0 \rightarrow \mathcal{O}_X(dC_0 + rf_0) \otimes \pi^* L_2 \rightarrow E \rightarrow \mathcal{O}_X(d'C_0 + sf_0) \otimes \pi^* L_1 \otimes I_Y \rightarrow 0, \quad (1)$$

where  $c_1 = (\alpha, \beta) \in \mathbb{Z} \times \mathbb{Z}$ ,  $c_2 \in \mathbb{Z}$ ,  $d + d' = \alpha$ ,  $d \geq d'$ ,  $r + s = \beta$ ,  $l(c_1, c_2, d, r) := c_2 + \alpha(de - r) - \beta d + 2dr - d^2e = \deg(Y) \geq 0$ .

For the following result see [A-B2]:

**Theorem 2**  $M(c_1, c_2, d, r)$  is non-empty if and only if  $l := l(c_1, c_2, d, r) \geq 0$  and one of the following conditions holds:

- (I)  $2d > \alpha$  or,
- (II)  $2d = \alpha$ ,  $\beta - 2r \leq g + l$ .

Let  $\mathbf{C}_X$  be the ample cone in  $\text{Num}(X) \otimes \mathbb{R}$  generated by ample divisors. We fix the Chern classes  $\tilde{c}_1 \in \text{Pic}(X)$  and  $c_2 \in \mathbb{Z}$ . We shall use (see, for example [Q2]) the following definitions:

**Definition 3** (i) For  $\zeta \in \text{Num}(X) \otimes \mathbb{R}$ , we define

$$W^\zeta := \mathbf{C}_X \cap \{x \in \text{Num}(X) \otimes \mathbb{R} \mid x.\zeta = 0\};$$

(ii) We define  $\mathcal{W}(\tilde{c}_1, c_2)$  to be the union of  $W^\zeta$ , where  $\zeta$  is the numerical equivalence class of  $(2F - \tilde{c}_1)$  for some divisor  $F$ , and which satisfies the conditions:

$$-(4c_2 - \tilde{c}_1^2) \leq \zeta^2 < 0;$$

(iii) A *wall* of type  $(\tilde{c}_1, c_2)$  is an element  $W^\zeta$ , where  $\zeta$  satisfies the conditions in (ii). A *chamber* of type  $(\tilde{c}_1, c_2)$  is a connected component of the set  $\mathbf{C}_X \setminus \mathcal{W}(\tilde{c}_1, c_2)$ ;

(iv) A numerical equivalence class  $\zeta$  which represents a nonempty wall  $W^\zeta$  is *normalized* if the integer  $(\zeta.f)$  is positive.

(v) Let  $W^\zeta$  be a nonempty wall of type  $(\tilde{c}_1, c_2)$  and let  $l_\zeta(\tilde{c}_1, c_2)$  be the integer  $c_2 + (\zeta^2 - \tilde{c}_1^2)/4$ . We define  $E_\zeta(\tilde{c}_1, c_2)$  to be the set of isomorphism classes of 2-vector bundles  $E$  given by nontrivial extensions

$$0 \rightarrow \mathcal{O}_X(F) \rightarrow E \rightarrow \mathcal{O}_X(\tilde{c}_1 - F) \otimes I_Y \rightarrow 0,$$

where  $F$  is a divisor such that  $\zeta$  is the numerical equivalence class of  $(2F - \tilde{c}_1)$ , and where  $Y \subset X$  is a locally complete intersection of codimension 2 in  $X$  such that  $\deg(Y) = l_\zeta(\tilde{c}_1, c_2)$ .

**Remark 4** The definitions (i)-(iv) depend only on the numerical type  $(c_1, c_2)$ , where  $c_1$  is the numerical equivalence class of  $\tilde{c}_1$ . We fix the numerical Chern classes  $c_1 = (\alpha, \beta) \in \text{Num}(X)$ ,  $c_2 \in \mathbb{Z}$  and the integers  $d, r$  such that the conditions  $2d > \alpha$ ,  $l(c_1, c_2, d, r) \geq 0$  are satisfied. We denote by  $\zeta = (d - d')C_0 + (r - s)f_0$  and we have that the condition  $l(c_1, c_2, d, r) \geq 0$  is equivalent to the condition  $-(4c_2 - c_1^2) \leq \zeta^2$ , and that  $\zeta + c_1$  is the numerical equivalence class of  $2F$  for  $F$  a divisor on  $X$ . If we suppose, moreover, that  $\zeta^2 < 0$  and there exists an ample line bundle  $L$  over  $X$  such that  $c_1(L) \cdot \zeta = 0$ , then the element  $\zeta$  represents a nonempty wall of (numerical) type  $(c_1, c_2)$  and we have  $E_\zeta(\tilde{c}_1, c_2) \subset M(c_1, c_2, d, r)$  (see [A-B1]).

In the next result we shall investigate the stability of vector bundles in the moduli space  $M(c_1, c_2, d, r)$ . For  $F$  a torsion-free sheaf on  $X$  and  $H$  an ample line bundle, we use the notation  $\mu_H(F) := c_1(F) \cdot H / \text{rank}(F)$ .

**Theorem 5** *Let  $E \in M(c_1, c_2, d, r)$ . Then, there exists an ample line bundle  $H$  such that  $E$  is  $H$ -stable if and only if  $2r - \beta < \min\{0, e(2d - \alpha)/2\}$  and the extension (1) of  $E$  is non-splitting.*

*Proof:* Let us suppose that  $E \in M(c_1, c_2, d, r)$  is  $H$ -stable for some ample line bundle  $H$  on  $X$ . From Theorem 1 we know that  $E$  is given by an extension

$$0 \rightarrow N_2 \rightarrow E \rightarrow N_1 \otimes I_Y \rightarrow 0, \quad (2)$$

where

$$N_2 = \mathcal{O}_X(dC_0 + rf_0) \otimes \pi^* L_2, \quad N_1 = \mathcal{O}_X(d'C_0 + sf_0) \otimes \pi^* L_1,$$

with  $L_1, L_2 \in \text{Pic}_0(C)$ . As a stable vector bundle  $E$  is non-splitting. Let  $\zeta = (2d - \alpha)C_0 + (2r - \beta)f_0 \in \text{Num}(X)$ . From the definition of the invariant  $d$  we have  $2d \geq \alpha$ , i.e.  $\zeta \cdot f \geq 0$ . Let us suppose that  $2r - \beta \geq 0$ . Then,  $(2d - \alpha)C_0 + (2r - \beta)f_0$  is an effective divisor and, therefore,  $H \cdot \zeta \geq 0$  for any ample line bundle  $H$  on  $X$ . It follows from the exact sequence (2) that

$$\mu_H(N_2) \geq \mu_H(E),$$

i.e.  $N_2$  is destabilising, contradiction.

Now, let us suppose that  $2r - \beta \geq e(2d - \alpha)/2$ . If  $2d = \alpha$  we get the above case  $2r - \beta \geq 0$ . Assume  $2d > \alpha$ . We shall prove that  $H \cdot \zeta > 0$ , which gives as above a contradiction. A simple computation gives

$$2r - \beta \geq e(2d - \alpha)/2 \iff \zeta^2 \geq 0.$$

If  $H.\zeta = 0$ , by the index theorem we get  $\zeta^2 \leq 0$ . It follows  $\zeta^2 = 0$ , i.e.  $\zeta$  is numerically trivial. But  $\zeta.f = 2d - \alpha > 0$ , contradiction. If  $H.\zeta < 0$ , let  $D = (H.f)\zeta - (H.\zeta)f$ . Since  $H.D = 0$ ,  $D^2 \leq 0$  by the index theorem. But

$$D^2 \leq 0 \iff (H.f)^2\zeta^2 - 2(H.f)(H.\zeta)(\zeta.f) \leq 0,$$

and we get a contradiction, since  $(H.f)(H.\zeta)(\zeta.f) < 0$ . It follows

$$2r - \beta < \min\{0, e(2d - \alpha)/2\}. \quad (3)$$

Conversely, suppose that  $E$  is given by a non-splitting extension (1) and the inequality (3) is satisfied.

*Case 1.*  $2d > \alpha$ .

We show firstly that  $\zeta$  defines a nonempty wall of type  $(c_1, c_2)$ . From the extension (1) we get

$$\zeta^2/4 - (c_1^2/4 - c_2) = l(c_1, c_2, d, r) \geq 0.$$

Since  $2r - \beta < e(2d - \alpha)/2$ , we get  $\zeta^2 < 0$ , so

$$-(4c_2 - c_1^2) \leq \zeta^2 < 0.$$

Therefore  $\zeta$  is a normalized numerical equivalence class of type  $(c_1, c_2)$  and defines a wall  $W^\zeta$  of type  $(c_1, c_2)$ . We show that  $W^\zeta$  is nonempty, i.e. there exists  $a \in \mathbb{Q}$ ,  $a > \max\{e, e/2\}$  such that the polarization  $D = C_0 + af_0$  satisfies  $D.\zeta = 0$ . But

$$D.\zeta = 0 \iff a = e - (2r - \beta)/(2d - \alpha).$$

From  $2r - \beta < 0$  we get  $a > e$  and from  $2r - \beta < e(2d - \alpha)/2$  we get  $a > e/2$ , i.e.  $W^\zeta$  is nonempty. Now, take the chamber  $\mathcal{C}$  below the nonempty wall  $W^\zeta$  such that  $W^\zeta \cap \text{Closure}(\mathcal{C}) \neq \emptyset$ . Then, by the Theorem 1.2.3, Chap.II in [Q3], every non-splitting 2-vector bundle  $E$  of the extension (1) is  $H$ -stable for any ample line bundle  $H \in \mathcal{C}$ .

*Case 2.*  $2d = \alpha$ .

In this case the inequality from hypothesis is equivalent to the inequality  $2r - \beta < 0$ . Let  $E_1 = E(-dC_0)$ . Then,  $E_1 \in M((0, \beta), l, 0, r)$ , where  $l := l(c_1, c_2, d, r) \geq 0$  and  $c_1(E_1) = \bar{c}_1 = (0, \beta)$ ,  $c_2(E_1) = \bar{c}_2 = l$ . Since, for an ample line bundle  $H$ ,  $E$  is  $H$ -stable if and only if  $E_1$  is  $H$ -stable, it suffices

to show that  $E_1$  is  $H$ -stable for some ample line bundle  $H$ . One may remark that  $l \geq 0$  is equivalent to  $c_1^2 - 4c_2 \leq 0$ .

*Subcase (a)*  $c_1^2 - 4c_2 < 0$

We shall prove that  $E_1$  is  $H$ -stable for any ample line bundle  $H \in \mathcal{C}_{f_0}$ , where  $\mathcal{C}_{f_0}$  is the chamber of type  $(c_1, c_2)$  such that the  $[f_0]$ -axis in  $\text{Num}(X) \otimes \mathbb{R}$  is part of the boundary of  $\mathcal{C}_{f_0}$ . Clearly, by the definition, the chambers of type  $(c_1, c_2)$  coincide with the chambers of type  $(\bar{c}_1, \bar{c}_2)$ . The 2-vector bundle  $E_1$  is given by an extension

$$0 \rightarrow \mathcal{O}_X(rf_0) \otimes \pi^*L_2 \rightarrow E_1 \rightarrow \mathcal{O}_X(sf_0) \otimes \pi^*L_1 \otimes I_Y \rightarrow 0,$$

and  $E_1|_f \cong \mathcal{O}_f \oplus \mathcal{O}_f$ , for a general fibre  $f$  of  $X$ .

Let  $\mathcal{O}_X(D) \subset E_1$  be a subsheaf of rank 1 with the quotient torsion-free and let  $\eta$  be the numerical equivalence class  $2D - \bar{c}_1$ . We shall show that, for any ample line bundle  $H \in \mathcal{C}_{f_0}$ , we have

$$\mu_H(\mathcal{O}_X(D)) < \mu_H(E_1).$$

For a general fibre  $f$  of  $X$  we get

$$\deg(\mathcal{O}_X(D)|_f) \leq 0,$$

i.e.  $D.f \leq 0$ . Since  $\bar{c}_1.f = 0$  we get  $\eta.f \leq 0$  and we have two subcases:

(a1)  $\eta.f = 0$ . It follows

$$\mathcal{O}_X(D) = \mathcal{O}_X(qf_0) \otimes \pi^*L, \quad L \in \text{Pic}_0(C).$$

Since  $\mathcal{O}_X(D) \subset E_1$ , by the definition of  $r = r_E$ , we get  $q \leq r$ , hence

$$\mu_H(\mathcal{O}_X(D)) \leq \mu_H(\mathcal{O}_X(rf_0) \otimes \pi^*L_2).$$

But  $2r < \beta$  implies

$$\mu_H(\mathcal{O}_X(rf_0) \otimes \pi^*L_2) < \mu_H(E_1),$$

for any  $H \in \mathcal{C}_{f_0}$  and we are done.

(a2)  $\eta.f < 0$ . We show that  $H.\eta < 0$  for any  $H \in \mathcal{C}_{f_0}$  (the inequality  $H.\eta < 0$  is equivalent to the inequality  $\mu_H(\mathcal{O}_X(D)) < \mu_H(E_1)$ ). If  $H.\eta > 0$ , by the index theorem applied to the divisor  $(H.\eta)f - (H.f)\eta$ , which is orthogonal on  $H$ , we get

$$(H.f)^2\eta^2 - 2(H.\eta)(f.\eta)(H.f) \leq 0.$$



Counting the signs it follows  $\eta^2 < 0$ . Since  $-(4c_2 - c_1^2) \leq \eta^2$  (from the extension corresponding to the inclusion  $\mathcal{O}_X(D) \subset E_1$ ), we get that  $\eta$  is a numerical equivalence class of type  $(c_1, c_2)$ . For any  $H \in \mathcal{C}_{f_0}$ ,  $H$  and  $f_0$  are not separated by any wall, hence  $\text{sign}(f.\eta) = \text{sign}(H.\eta)$ , contradiction. If  $H.\eta = 0$  then, by the index theorem, it follows  $\eta^2 \leq 0$ . If  $\eta^2 < 0$ , it follows that  $\eta$  is a numerical class of type  $(c_1, c_2)$ , since  $-(4c_2 - c_1^2) \leq \eta^2$ . Then  $H \in W^n$ , contradicting the inclusion  $H \in \mathcal{C}_{f_0}$ . If  $\eta^2 = 0$ , by the index theorem, we get  $\eta$  numerically trivial. Then  $2D \equiv \bar{c}_1 = (0, \beta)$ , hence  $D.f = 0$ . But  $\mathcal{O}_X(D) \subset E_1$  and, by the definition of  $r = r_E$ , we get  $\beta/2 \leq r$ , contradiction.

*Subcase (b)*  $c_1^2 - 4c_2 = 0 \Leftrightarrow l = 0$

Then the canonical extension of  $E_1$  becomes

$$0 \rightarrow \mathcal{O}_X(rf_0) \otimes \pi^*L_2 \rightarrow E_1 \rightarrow \mathcal{O}_X(sf_0) \otimes \pi^*L_1 \rightarrow 0.$$

In this case we shall prove that  $E_1$  is  $H$ -stable for any ample line bundle  $H$ . Indeed, let  $a \in \mathbb{Q}$ ,  $a > \max\{e, e/2\}$  and  $\mathcal{O}_X(F) \subset E_1$  an invertible sheaf with torsion-free quotient  $\det(E_1) \otimes \mathcal{O}_X(-F) \otimes I_Z$ , where  $Z$  is a zero-dimensional subscheme of  $X$  and  $F \equiv -mC_0 + nf_0$  with  $m \geq 0$ . We have

$$F.(\bar{c}_1 - F) + \deg(Z) = c_2(E_1) = 0 \Rightarrow F.(\bar{c}_1 - F) \leq 0 \quad (\Leftrightarrow me + 2n - \beta \leq 0).$$

But now, if  $m > 0$ , since  $a > e/2$ , so  $me - ma + n < me/2 + n \leq \beta/2$  leading us to  $F.(C_0 + af_0) < \beta/2 = c_1(E_1).(C_0 + af_0)/2$ , i.e.  $E_1$  is  $H$ -stable. If  $m = 0$ , then  $n \leq r$  (by the definition of  $r = r_E$ ), hence  $n < \beta/2$  and, again,  $E_1$  is  $H$ -stable.

We obtain, in the particular case of ruled surfaces, the Bogomolov inequality, which was proved in this case by Takemoto; see Theorem 3.7 in [T1].

**Corollary 6** *Let  $X$  be a ruled surface and let  $E$  be an algebraic 2-vector bundle over  $X$ , with Chern classes  $c_1(E) = c_1 \in \text{Num}(X)$  and  $c_2(E) = c_2 \in \mathbb{Z}$ . If  $E$  is  $H$ -stable for some ample line bundle  $H$ , then  $\Delta(E) = (c_2 - c_1^2/4)/2 \geq 0$ .*

*Proof:* With the notations of the previous theorem, we obtain from the first implication that  $\zeta^2 \leq 0$  for a stable vector bundle  $E$ . Since  $-(4c_2 - c_1^2) \leq \zeta^2$ , hence  $\Delta(E) \geq 0$ .

## 2 Non-emptiness of moduli spaces $\mathcal{M}_H(c_1, c_2)$

Let  $\pi : X \rightarrow C$  be a ruled surface and let  $c_1 = (\alpha, \beta) \in \text{Num}(X)$ ,  $c_2 \in \mathbb{Z}$  be fixed numerical Chern classes. Let  $H$  be an ample line bundle over  $X$ . We investigate the question of existence of  $H$ -stable 2-vector bundles  $E$  with  $c_1(E) = c_1$  and  $c_2(E) = c_2$ , i.e. the question when  $\mathcal{M}_H(c_1, c_2) \neq \emptyset$ . If  $\tilde{c}_1 \in \text{Pic}(X)$  and  $c_1$  is the numerical equivalence class of  $\tilde{c}_1$  then, clearly,  $\mathcal{M}_H(c_1, c_2) \neq \emptyset$  if and only if  $\mathcal{M}_H(\tilde{c}_1, c_2) \neq \emptyset$ .

By the Bogomolov inequality, if  $4c_2 - c_1^2 < 0$  then,  $\mathcal{M}_H(c_1, c_2) = \emptyset$  for any polarization  $H$  on  $X$ . The next case,  $4c_2 - c_1^2 = 0$  (projectively flat bundles), which follows by the proof of Theorem 5, has been studied by Takemoto; see [T1], Theorem 3.7:

**Corollary 7** *Let  $H$  be an ample line bundle over a ruled surface  $X$ . An algebraic 2-vector bundle  $E$  over  $X$  with  $\Delta(E) = 0$  is  $H$ -stable if and only if there is a stable 2-vector bundle  $F$  over the curve  $C$  and a line bundle  $L$  over  $X$  such that  $E = \pi^*(F) \otimes L$ .*

**Remark** Thus, in the case  $4c_2 - c_1^2 = 0$ , the non-emptiness of the moduli spaces  $\mathcal{M}_H(c_1, c_2)$  is reduced to the case of moduli spaces of stable bundles over curves.

From now on, we shall assume  $4c_2 - c_1^2 > 0$ . As we have seen in Definition 3, there exist in this case walls and chambers of type  $(c_1, c_2)$  in the ample cone  $\mathbf{C}_X$ . Let  $H \equiv aC_0 + bf_0$  be an ample divisor over the ruled surface  $X$ . Recall that  $a > 0$  and  $b > ae$  if  $e \geq 0$  and,  $a > 0$  and  $b > ae/2$  if  $e < 0$  (see [Ha], p. 382). Therefore, in the case of a ruled surface, the ample cone has a simple description. Moreover, from the conditions in Definition 3 (ii)

$$-(4c_2 - c_1^2) \leq \zeta^2 < 0,$$

we get that there exist always a finite number of walls and chambers. Recall that we denoted by  $\mathcal{C}_{f_0}$  the chamber of type  $(c_1, c_2)$  such that the  $[f_0]$ -axis in  $\text{Num}(X) \otimes \mathbb{R}$  is part of the boundary of  $\mathcal{C}_{f_0}$ .

Firstly, suppose that the ample line bundle  $H$  belongs to some chamber. It is well-known that if  $H_1$  and  $H_2$  lie in the same chamber of type  $(c_1, c_2)$  then,  $\mathcal{M}_{H_1}(c_1, c_2)$  and  $\mathcal{M}_{H_2}(c_1, c_2)$  can be naturally identified (see, for example [F], [Q2]). In [A-B2], as a consequence of the Theorem 2, we obtained the following result:

**Corollary 8** *Let  $X$  be a ruled surface. Assume that  $X$  is not  $\mathbb{P}^1 \times \mathbb{P}^1$ . Let  $\mathcal{C}$  be any chamber of type  $(c_1, c_2)$  different from  $\mathcal{C}_{f_0}$ . Then the moduli space  $\mathcal{M}_{\mathcal{C}}(c_1, c_2) \neq \emptyset$ .*

**Remark** In fact, in the case  $X = \mathbb{P}^1 \times \mathbb{P}^1$ ,  $C_0$  defines the other axis  $[C_0]$  in  $\text{Num}(X)$  which lies on the boundary of  $\mathbf{C}_X$  and, by the last remark in [A-B2], if  $\mathcal{C}$  is a chamber different from  $\mathcal{C}_{f_0}$  lying below a non-empty wall  $W$  defined by a normalized class  $\zeta \equiv uC_0 + vf_0$  of type  $(c_1, c_2)$  such that either  $l_{\zeta}(c_1, c_2) > 0$  or  $v < -1$  then  $\mathcal{M}_{\mathcal{C}}(c_1, c_2) \neq \emptyset$ . It is easy to see that if  $l_{\zeta}(c_1, c_2) = 0$  and  $v = -1$  then  $\mathcal{C} = \mathcal{C}_{C_0}$ , where we denoted by  $\mathcal{C}_{C_0}$  the chamber that has the  $[C_0]$ -axis on its boundary.

Indeed, let  $H \equiv C_0 + af_0$ ,  $a \in \mathbb{Q}$ ,  $a > 0$  be a class lying on a nonempty wall  $W^{\zeta}$ , where  $\zeta \equiv uC_0 + vf_0$  is a normalized class of type  $(c_1, c_2)$ . Then  $a = -v/u$  and we have to prove that  $\zeta_0.H \geq 0$  for  $\zeta_0 = u_0C_0 - f_0$ , i.e.

$$(((4c_2 - c_1^2)/2)C_0 - f_0).(C_0 + af_0) \geq 0.$$

Since  $v^2 \geq 1$ , then  $-2u/v \leq -2uv \leq 4c_2 - c_1^2$  so  $-v(4c_2 - c_1^2)/2u - 1 \geq 0$ .

Let us consider now the case  $H \in \mathcal{C}_{f_0}$ :

**Corollary 9** *Let  $X$  be a ruled surface. Then  $\mathcal{M}_{\mathcal{C}_{f_0}}(c_1, c_2)$  is nonempty if and only if  $\alpha$  is even and the intersection  $[\beta/2 - (g + c_2 - c_1^2/4)/2, \beta/2] \cap \mathbb{Z}$  is nonempty.*

*Proof.* Firstly, we remark that  $2d = \alpha$  if the vector bundle  $E$  is  $\mathcal{C}_{f_0}$ -stable. Indeed, the bundle  $E$  is given by an extension (2) and, if we suppose  $2d > \alpha$ , then from the proof of Theorem 5 it follows that  $\zeta = (2d - \alpha)C_0 + (2r - \beta)f_0$  is a normalized numerical class of type  $(c_1, c_2)$  defining a nonempty wall  $W^{\zeta}$ . Since  $\mathcal{C}_{f_0}$  is above  $W^{\zeta}$ , it follows that  $H.\zeta > 0$  for any  $H \in \mathcal{C}_{f_0}$ , which is equivalent to the fact that the subsheaf  $N_2$  of the extension (2) is a destabilising subsheaf of  $E$ , contradiction (compare also with [T1] theorem 3.7).

Secondly, in the case  $2d = \alpha$ , if  $2r - \beta < 0$  then  $E$  is non-splitting. Indeed, if  $E$  would be splitting, then  $E$  would be given by an extension (2) with  $Y = \emptyset$ . Since  $N_1 \subset E$ , by the definition of  $r = r_E$ , we get  $s \leq r$ , contradiction with  $2r < \beta$ .

By Theorem 5 it follows that  $\mathcal{M}_{c_{f_0}}(c_1, c_2)$  is nonempty if and only if  $\alpha$  is even ( $\alpha = 2d$ ) and there exists an integer  $r$  with  $2r < \beta$  such that  $M(c_1, c_2, d, r) \neq \emptyset$ . By Theorem 2 we know that  $M(c_1, c_2, d, r) \neq \emptyset$  if and only if  $l = l(c_1, c_2, d, r) \geq 0$  and  $\beta - 2r \leq g + l$ . Thus,  $\mathcal{M}_{c_{f_0}}(c_1, c_2)$  is nonempty if and only if  $\alpha = 2d$ ,  $c_1^2 - 4c_2 < 0$  and there exists an integer  $r$  such that the following conditions hold:

$$l(c_1, c_2, d, r) \geq 0, \quad 0 < \beta - 2r \leq g + l(c_1, c_2, d, r),$$

which are equivalent to the conditions of the corollary.

**Corollary 10** *If  $X = \mathbb{P}^1 \times \mathbb{P}^1$  then, with the notations from the above remark  $\mathcal{M}_{c_{C_0}}(c_1, c_2) \neq \emptyset$  if and only if  $\beta$  is even and the intersection  $[\alpha/2 - (g + c_2 - c_1^2/4)/2, \alpha/2] \cap \mathbb{Z}$  is nonempty.*

Now, suppose that the ample line bundle  $H$  lies on some nonempty wall. In principle, by using the formulae of Qin in [Q1], [Q2], [Q3] and the previous corollaries one should get the non-emptiness of the stable moduli spaces for polarizations lying on walls. We were able to obtain only the following particular result:

**Corollary 11** *Let  $X$  be a ruled surface different from  $\mathbb{P}^1 \times \mathbb{P}^1$  with nonnegative invariant  $e$  and assume  $g \leq e + 1$ . Let  $H \equiv aC_0 + bf_0$  be an ample line bundle lying on some nonempty wall  $W$  of type  $(c_1, c_2)$  and denote  $b/a = k$ . Assume either  $\zeta \cdot f_0 \geq 2$  for all normalized numerical equivalence classes  $\zeta$  which represent the wall  $W$  or  $4c_2 - c_1^2 > 2k - e$ . Then  $\mathcal{M}_H(c_1, c_2)$  is nonempty.*

*Proof:* We shall use some results of Qin. Let  $\tilde{c}_1 \in \text{Pic}(X)$  such that  $c_1$  is the numerical equivalence class of  $\tilde{c}_1$ . By Proposition 1.3.1, Chap.II in [Q3] we get

$$\mathcal{M}_H(\tilde{c}_1, c_2) = \mathcal{M}_C(\tilde{c}_1, c_2) - \bigsqcup_{\zeta} E_{\zeta}(\tilde{c}_1, c_2),$$

where  $\zeta$  runs over all normalized numerical equivalence classes which define the wall  $W$  and the chamber  $C$  lies below the wall  $W$  such that  $W \cap$

$\text{Closure}(\mathcal{C}) \neq \emptyset$ . By Corollary 8 we have  $\mathcal{M}_{\mathcal{C}}(\tilde{c}_1, c_2) \neq \emptyset$ . Then, by a well-known result on deformation theory of vector bundles (see, for example [B2], p. 144), we get

$$\dim \mathcal{M}_{\mathcal{C}}(\tilde{c}_1, c_2) \geq 4c_2 - c_1^2 + 3g - 3,$$

where  $4c_2 - c_1^2 + 3g - 3$  is the “expected dimension”. We shall prove that the dimensions of all sets  $E_{\zeta}(\tilde{c}_1, c_2)$  are strictly smaller than the expected dimension.

Following Qin, let us denote the dimension of  $E_{\zeta}(\tilde{c}_1, c_2)$  by  $D_{\zeta}(\tilde{c}_1, c_2)$  and put

$$d_{\zeta}(\tilde{c}_1, c_2) := D_{\zeta}(\tilde{c}_1, c_2) - (4c_2 - c_1^2 + 3g - 3).$$

By Proposition 1.7 in [Q1] we get

$$d_{\zeta}(\tilde{c}_1, c_2) = \zeta^2/4 - (4c_2 - c_1^2)/4 + \zeta.K_X/2 + 1 - g.$$

Let  $\zeta = uC_0 + vf_0$  be a normalized numerical equivalence class which represents the wall  $W$ . From  $H.\zeta = 0$ ,  $a > 0$  and  $k > e$  ( $H$  ample) we get the condition  $v = u(e - k) < 0$ . By computation, we obtain:

$$d_{\zeta}(\tilde{c}_1, c_2) = (u - 2)(2v - eu)/4 + (u - 1)(g - 1) - (4c_2 - c_1^2)/4.$$

Let us suppose that  $u = \zeta.f_0 \geq 2$  for all  $\zeta$ . By Definition 3 we have

$$-(4c_2 - c_1^2) \leq \zeta^2 < 0,$$

hence

$$d_{\zeta}(\tilde{c}_1, c_2) \leq (u - 1)(2v - 2 + 2g - eu)/2 \leq (u - 1)(2v + e(2 - u))/2 < 0.$$

Now, suppose there exist normalized numerical equivalence classes  $\zeta$  with  $u = \zeta.f_0 = 1$  ( $u > 0$ ) and that  $4c_2 - c_1^2 > 2k - e$ . For these classes we get

$$d_{\zeta}(\tilde{c}_1, c_2) = (e - 2v)/4 - (4c_2 - c_1^2)/4.$$

But  $v = e - k$ , hence

$$d_{\zeta}(\tilde{c}_1, c_2) = ((2k - e) - (4c_2 - c_1^2))/4 < 0.$$

It follows  $\mathcal{M}_H(c_1, c_2)$  nonempty.

**Remark** If  $\zeta_0 = C_0 + v_0 f_0$  is a normalized class of type  $(c_1, c_2)$  defining a nonempty wall  $W$  and  $4c_2 - c_1^2 = e - 2v_0$ , then  $W$  is a part of the boundary of  $\mathcal{C}_{f_0}$ .

Indeed, we have to prove that there are no walls between  $W$  and the  $[f_0]$ -axis.

Let  $\zeta = uC_0 + v f_0$  be a normalized class of type  $(c_1, c_2)$  defining a nonempty wall  $W^\zeta$ . Then  $4c_2 - c_1^2 \geq \zeta^2 > 0$ ,  $u > 0$  and  $v < \min\{0, ue/2\}$ .

Let  $H = C_0 + a f_0 \in W^\zeta$ , where  $a \in \mathbb{Q}$ ,  $a = (ue - v)/u$ . We want to prove that  $H \cdot \zeta_0 \leq 0$ .

But  $H \cdot \zeta_0 = (ue - 2v + u(c_1^2 - 4c_2))/2u$ . Now  $u^2 \geq 1 \Rightarrow (u^2 e - 2uv)/u^2 \leq u^2 e - 2uv \leq 4c_2 - c_1^2$ , which implies  $H \cdot \zeta_0 \leq 0$ .

**Remark** By using some proofs as in the previous corollaries one may obtain results about the non-emptiness of  $\mathcal{M}_H(c_1, c_2)$  for  $X = \mathbb{P}^1 \times \mathbb{P}^1$  and  $H$  lying on walls.

## References

- [A-B1] Aprodu, M., Brînzănescu, V. : *Fibrés vectoriels de rang 2 sur les surfaces réglées*, to appear in C. R. Acad. Sci. Paris
- [A-B2] Aprodu, M., Brînzănescu, V. : *Moduli spaces of vector bundles over ruled surfaces*, Preprint MPI Bonn 56 (1996)
- [B1] Brînzănescu, V. : *Algebraic 2-vector bundles on ruled surfaces*, Ann. Univ. Ferrara-Sez VII, Sc. Mat. vol. XXXVII (1991) 55-64
- [B2] Brînzănescu, V. : *Holomorphic Vector Bundles over Compact Complex Surfaces*, Lect. Notes Math., 1624, Springer (1996)
- [B-St1] Brînzănescu, V., Stoia, M. : *Topologically trivial algebraic 2-vector bundles on ruled surfaces I*, Rev. Roumaine Math. Pures Appl. 29 (1984) 661-673
- [B-St2] Brînzănescu, V., Stoia, M. : *Topologically trivial algebraic 2-vector bundles on ruled surfaces II*, In : Lect. Notes Math., 1056, Springer (1984)

- [Br1] Brossius, J.E. : *Rank-2 vector bundles on a ruled surface I*, Math. Ann. 265 (1983) 155-168
- [Br2] Brossius, J.E. : *Rank-2 vector bundles on a ruled surface II*, Math. Ann. 266 (1984) 199-214
- [F] Friedman, R. : *Algebraic Surfaces and Holomorphic Vector Bundles*, to appear
- [Ha] Hartshorne, R. : *Algebraic Geometry*, Graduate Texts in Math., 49, Springer, Berlin-Heidelberg (1977)
- [H-S] Hoppe, H.J., Spindler, H. : *Modulräume stabiler 2-Bündel auf Regelflächen*, Math. Ann. 249 (180) 127-140
- [Q1] Qin, Z. : *Birational properties of moduli spaces of stable locally free rank-2 sheaves on algebraic surfaces*, Manuscripta Math. 72 (1991) 163-180
- [Q2] Qin, Z. : *Moduli spaces of stable rank-2 bundles on ruled surfaces*, Invent. Math. 110 (1992) 615-626
- [Q3] Qin, Z. : *Equivalence classes of polarizations and moduli spaces of sheaves*, J. Diff. Geom. 37 (1993) 397-415
- [T1] Takemoto, F. : *Stable vector bundles on algebraic surfaces I*, Nagoya Math. J., 47 (1972) 29-48
- [T2] Takemoto, F. : *Stable vector bundles on algebraic surfaces II*, Nagoya Math. J., 52 (1973) 173-195
- [W] Walter, C.H. : *Components of the stack of torsion-free sheaves of rank-2 on ruled surfaces*, Math. Ann. 301 (1995) 699-716

*Institute of Mathematics of the Romanian Academy  
P.O. BOX 1-764, RO-70700 Bucharest, Romania*