

# On the Existence of Hermitian Self-Dual Extended Abelian Group Codes

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## Abstract

Split group codes are a class of group algebra codes over an abelian group. They were introduced by Ding, Kohel and Ling in [3] as a generalization of the cyclic duadic codes. For a prime power  $q$  and an abelian group  $G$  of order  $n$  such that  $\gcd(n, q) = 1$ , consider the group algebra  $\mathbb{F}_{q^2}[G^*]$  of  $\mathbb{F}_{q^2}$  over the dual group  $G^*$  of  $G$ . We prove that every ideal code in  $\mathbb{F}_{q^2}[G^*]$  whose extended code is Hermitian self-dual is a split group code. We characterize the orders of finite abelian groups  $G$  for which an ideal code of  $\mathbb{F}_{q^2}[G^*]$  whose extension is Hermitian self-dual exists and derive asymptotic estimates for the number of non-isomorphic abelian groups with this property.

**Keywords:** counting function, extended group codes, group algebra codes, Hermitian self-dual codes, non-isomorphic abelian groups, split group codes, splittings.

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## 1 Introduction

Binary duadic codes were first introduced in 1984 by Leon, Masley and Pless [11] as a generalization of quadratic residue codes. Smid [28] generalized these results further by defining duadic codes over arbitrary finite fields in terms of a *splitting* of the length of the code. The Q-codes of Pless [20] are then duadic codes over  $\mathbb{F}_4$  in this setting.

Quadratic residue codes have also been generalized in a different direction (i.e., see [29]). In this approach, quadratic residue codes are defined as ideals of abelian group algebras, which is a generalization of cyclic codes. Rushanan [24] proceeded to define duadic codes in this setting.

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In [3], Ding, Kohel and Ling defined split group codes as ideals of abelian group algebras. Their construction makes use of a *splitting* of the abelian group. Under this definition, split group codes are seen as a generalization of duadic codes.

In this paper, we consider the finite field  $F = \mathbb{F}_{q^2}$  and an abelian group  $G$  of order  $n$  such that  $\gcd(n, q) = 1$ . Following the treatment in [3], we work with the dual group  $G^*$  of  $G$  and consider the group algebra  $F[G^*]$ . We prove that every ideal code in  $F[G^*]$  whose extension by a suitable parity-check is Hermitian self-dual is a split group code (Corollary 3.7). We then give sufficient and necessary conditions on the order of the group  $G$  for the existence of Hermitian self-dual extended ideal codes (Theorem 3.11). We conclude the paper by deriving asymptotic estimates on  $HSD(x)$ , the number of non-isomorphic abelian groups of order less than  $x$  for which a Hermitian self-dual extended ideal code exists (Theorem 4.3).

## 2 Preliminaries

All of the results in this section are taken from [3]. In general, these results work for any finite field but since we will be dealing with Hermitian duality, we restrict our study to finite fields of square order.

Let  $R$  be a finite commutative ring with unity. Let  $G$  be its underlying finite abelian group written additively. Denote the order and exponent of  $G$  by  $n$  and  $m$ , respectively. Let  $q$  be a power of a prime  $p_1$  such that  $\gcd(n, q) = 1$  or equivalently,  $\gcd(m, q) = 1$ . Let  $F = \mathbb{F}_{q^2}$ . Let  $K$  be the smallest extension of  $F$  containing all the  $m$ -th roots of unity.

Let  $G^*$  be the set of all characters of  $G$  into  $K$ . The groups  $G$  and  $G^*$  are isomorphic. Let  $K[G^*]$  be the group algebra of  $K$  over  $G^*$ . The elements of  $K[G^*]$  are the sums  $\sum_{\psi \in G^*} a_\psi \psi$  where the  $a_\psi$ 's are elements of  $K$ . An ideal  $I$  of  $K[G^*]$  is called an *ideal code*.

The dimension of the commutative group algebra  $K[G^*]$  over  $K$  is  $n$ . This group algebra  $K[G^*]$  contains a subgroup isomorphic to  $G^*$ . For any character  $\psi$  in  $G^*$ , we also denote by  $\psi$  the corresponding element in  $K[G^*]$ .

If  $x \in G$  and  $f = \sum_{\psi \in G^*} a_\psi \psi \in K[G^*]$ , define  $f(x) = \sum_{\psi \in G^*} a_\psi \psi(x)$ . Thus we can view the elements of  $K[G^*]$  as functions from  $G$  to  $K$ .

### 2.1 Ideal codes and Idempotent Generators

An element  $e$  of a ring is called an idempotent if  $e^2 = e$ . An idempotent is called primitive if for every other idempotent  $f$ , either  $ef = e$  or  $ef = 0$ .

**Proposition 2.1** ([3].) *The primitive idempotents of  $K[G^*]$  are the elements*

$$e_x = \frac{1}{n} \sum_{\psi \in G^*} \psi(x)^{-1} \psi,$$

for each element  $x$  of  $G$ .

**Proposition 2.2** ([3].) *The ring  $K[G^*]$  decomposes as a direct sum  $\bigoplus_{x \in G} Ke_x$ . If  $f \in K[G^*]$ , then  $f$  has the form*

$$f = \sum_{x \in G} f(x)e_x.$$

*Every idempotent  $e$  in  $K[G^*]$  can be uniquely written in the form*

$$e = \sum_{x \in X} e_x,$$

*for some non-empty subset  $X$  of  $G$ .*

Let  $X$  be a non-empty subset of  $G$  and define the ideal

$$I_X = \{f \in K[G^*] \mid f(x) = 0 \text{ for all } x \in X\}.$$

**Corollary 2.3** ([3].) *For every ideal  $I$  in  $K[G^*]$ , there is a unique proper subset  $X$  of  $G$  such that  $I = I_X$  and  $I$  is generated by the idempotent  $e = \sum_{x \notin X} e_x$ .*

## 2.2 Split Group Codes

Let  $s$  be an element of  $R$ . Consider the endomorphism of  $G$  given by  $\tau_s : x \longrightarrow sx$ . This induces a map  $\mu_s$  on  $G^*$  given by  $\mu_s(\psi) = \psi \circ \tau_s$  for each element  $\psi$  of  $G^*$ . This extends to a map on  $K[G^*]$ , also denoted by  $\mu_s$ , defined by  $\mu_s(f) = f \circ \tau_s$  for all  $f \in K[G^*]$ . That is, for  $f \in K[G^*]$ ,  $\mu_s(f)(x) = f(sx)$  for every  $x \in G$ .

A *splitting* of  $G$  over  $Z$  is a triple  $(Z, X_0, X_1)$  which gives a partition  $G = Z \cup X_0 \cup X_1$  such that there exists an invertible element  $s$  of  $R$  with  $\tau_s(X_0) = X_1$  and  $\tau_s(X_1) = X_0$ . Under these conditions,  $s$  is said to *split* the triple  $(Z, X_0, X_1)$ . In addition, an invertible element  $r$  of  $R$  is said to *stabilize* the splitting if  $\tau_r(X_0) = X_0$  and  $\tau_r(X_1) = X_1$ .

Given a splitting  $(Z, X_0, X_1)$ , let  $C_0(K)$  be the ideal  $I_{X_0}$  over  $K$  and let  $C_1(K)$  be the ideal  $I_{X_1}$  over  $K$ . The ideal  $C_0(K)$  is defined as the *split group code* associated to the splitting, and the ideal  $C_1(K)$  is called the *conjugate split group code*. The following notations are used to denote some special subcodes:  $C_0^Z(K) = I_{Z \cup X_0}$ ,  $C_1^Z(K) = I_{Z \cup X_1}$  and  $C_Z(K) = I_{X_0 \cup X_1}$ .

Let  $s$  be an invertible element of  $R$ . The element  $s$  is said to *split* the group code  $C_0(K)$  if  $\mu_s(C_0(K)) = C_1(K)$  and  $\mu_s(C_1(K)) = C_0(K)$ , while  $s$  is said to *stabilize* the code  $C_0(K)$  if  $\mu_s(C_0(K)) = C_0(K)$  and  $\mu_s(C_1(K)) = C_1(K)$ .

**Proposition 2.4** ([3].) *Let  $s$  be a unit in  $R$ . A split group code  $C_0(K)$  is split or stabilized by  $s$  if and only if  $s$  splits or stabilizes  $(Z, X_0, X_1)$ , respectively.*

**Theorem 2.5** ([3].) *Let  $(Z, X_0, X_1)$  be a splitting. Let  $C_0(K)$  be the split group code associated to this splitting. Then the following hold:*

1. The codes  $C_0(K)$  and  $C_1(K)$  are generated by the idempotents

$$e = \sum_{x \notin X_0} e_x \quad \text{and} \quad f = \sum_{x \notin X_1} e_x.$$

The codes  $C_0^Z(K)$ ,  $C_1^Z(K)$  and  $C_Z(K)$  are generated by

$$\sum_{x \in X_1} e_x, \quad \sum_{x \in X_0} e_x, \quad \text{and} \quad \sum_{z \in Z} e_z.$$

2. If the splitting is given by  $s$ , then  $\mu_s$  induces an equivalence of  $C_0(K)$  with its conjugate  $C_1(K)$ , and of the subcode  $C_0^Z(K)$  with  $C_1^Z(K)$ .

3.  $K[G^*]$  decomposes as a direct sum  $C_Z(K) \oplus C_0^Z(K) \oplus C_1^Z(K)$ .

**Corollary 2.6** ([3].) *The codes  $C_0(K)$  and  $C_1(K)$  have dimension  $(n+|Z|)/2$ . The subcodes  $C_0^Z(K)$  and  $C_1^Z(K)$  have dimension  $(n-|Z|)/2$ . The subcode  $C_Z(K)$  has dimension  $|Z|$ .*

### 2.3 Split Group Codes Over $\mathbb{F}_{q^2}$

In the previous section, the split group codes are defined over the field  $K$  which is assumed to contain all the  $m$ -th roots of unity. In this paper, we want our split group codes to be defined over the subfield  $F = \mathbb{F}_{q^2}$  without requiring  $\mathbb{F}_{q^2}$  to contain any  $m$ -th roots of unity. In this section, we present sufficient and necessary conditions given in [3] for split group codes to be defined over a subfield of  $K$ .

Let  $V = V(K)$  be a vector subspace of  $K^n = K[G^*]$ . Define  $V(F) = V(K) \cap F^n$ . Clearly,  $\dim_F(V(F)) \leq \dim_K(V(K))$ . If equality holds then we say that  $V$  is *defined over the field  $F$* .

If the vector subspace  $C_0(K)$  of  $K^n = K[G^*]$  is defined over  $F$ , we simply write  $C_0$  for the subcode  $C_0(F) = C_0(K) \cap F^n$  in  $F^n = F[G^*]$ . In this case, we call  $C_0$  *the split group code over  $F$* . Similarly, we write  $C_1$ ,  $C_0^Z$ ,  $C_1^Z$  and  $C_Z$  for the other codes defined over  $F$ .

Note that  $(m, q) = 1$  by assumption, so the integer  $-q$  as an element of the finite ring  $R$  is invertible and  $\tau_{q^2}$  is a well-defined automorphism of  $G$ . The action of the group generated by  $\tau_{q^2}$  on the elements of  $G$  partitions  $G$  into disjoint orbits. These  $\langle \tau_{q^2} \rangle$ -orbits play the same role as the cyclotomic cosets for the cyclic codes.

**Proposition 2.7** ([3].) *The idempotents of  $F[G^*]$  are those  $e$  in  $K[G^*]$  of the form*

$$e = \sum_{x \in Y} e_x,$$

where  $Y$  is a union of  $\langle \tau_{q^2} \rangle$ -orbits in  $G$ . An idempotent  $e$  in  $F[G^*]$  is primitive if and only if  $Y = \langle \tau_{q^2} \rangle x$  for some  $x \in G$ .

**Corollary 2.8** ([3].) *Let  $\{e_1, e_2, \dots, e_r\}$  be the set of all primitive idempotents of  $F[G^*]$ . Then every nonzero ideal  $I$  of  $F[G^*]$  is generated by  $e = \sum_{i \in T} e_i$  where  $T$  is a non-empty subset of  $\{1, 2, \dots, r\}$ .*

**Theorem 2.9** ([3].) *Let  $I$  be an ideal in  $K[G^*]$ . Then the following conditions are equivalent.*

1. *The ideal  $I$  is defined over  $F$ .*
2. *The set  $X = \{x \in G \mid f(x) = 0 \text{ for all } f \in I\}$  is a union of  $\langle \tau_{q^2} \rangle$ -orbits.*
3. *The idempotent generator of  $I$  lies in  $F[G^*]$ .*

**Corollary 2.10** ([3].) *If  $C_0(K)$  is defined over  $F$  then so is  $C_1(K)$ . Moreover  $F[G^*]$  has the decomposition*

$$F[G^*] = C_Z(F) \oplus C_0^Z(F) \oplus C_1^Z(F).$$

*If  $s$  gives the splitting, then  $\mu_s$  gives an equivalence of  $C_0$  and  $C_1$  and of  $C_0^Z$  and  $C_1^Z$ .*

**Theorem 2.11** ([3].) *Let  $C$  be a code in  $K[G^*]$ . The block length, dimension, and minimum distance are well-defined invariants of  $C$ , independent of the field over which  $C$  is defined.*

### 3 Hermitian Duality and Extended Ideal Codes in $F[G^*]$

In this section, we present results concerning the Hermitian orthogonality of ideal codes in  $F[G^*]$ . We prove that every Hermitian self-orthogonal ideal code in  $F[G^*]$  is a subcode of a split group code for some splitting of  $G$  given by  $-q$ . We then proceed to define an extension of ideal codes and determine conditions for the extended code to be Hermitian self-dual. We also give necessary and sufficient conditions on the order of the abelian group  $G$  for the existence of Hermitian self-dual extended ideal codes.

#### 3.1 Hermitian Orthogonality of Ideal Codes in $F[G^*]$

Let  $f = \sum_{\psi \in G^*} a_\psi \psi$  and  $g = \sum_{\psi \in G^*} b_\psi \psi$  be elements of  $F[G^*]$ . The *Hermitian inner product* between  $f$  and  $g$  is defined as  $\langle f, g \rangle_H = \sum_{\psi \in G^*} a_\psi b_\psi^q$ .

Let  $C$  be a code in  $F[G^*]$ . The Hermitian dual of  $C$  is the ideal  $C^{\perp_H} = \{f \in F[G^*] \mid \langle f, g \rangle_H = 0 \text{ for all } g \in C\}$ . The code  $C$  is said to be *Hermitian self-orthogonal* if  $C \subseteq C^{\perp_H}$  and is *Hermitian self-dual* if  $C = C^{\perp_H}$ .

Theorem 3.5 states the main result of this section. It is a generalization of Proposition 4.4 in [2] to split group codes. We first prove some basic results concerning the Hermitian duals of split group codes.

**Proposition 3.1** *Let  $f = \sum_{\psi \in G^*} a_\psi \psi$  and  $g = \sum_{\psi \in G^*} b_\psi \psi$  be elements of  $F[G^*]$ . Then the Hermitian inner product of  $f$  and  $g$  is*

$$\langle f, g \rangle_H = \frac{1}{n} \sum_{x \in G} f(x)g(-q^{-1}x)^q$$

*Proof.* Note that  $\mu_{-q^{-1}}(g)(x) = g(-q^{-1}x) = \sum_{\psi \in G^*} b_\psi \psi(-q^{-1}x) = \sum_{\psi \in G^*} b_\psi \psi(q^{-1}x)^{-1}$ . Thus  $(\mu_{-q^{-1}}(g)(x))^q = \sum_{\psi \in G^*} b_\psi^q \psi(q^{-1}x)^{-q} = \sum_{\psi \in G^*} b_\psi^q \psi(x)^{-1}$ , or equivalently,  $(\mu_{-q^{-1}}(g))^q = \sum_{\psi \in G^*} b_\psi^q \psi^{-1}$ .

Define  $f * g = f(\mu_{-q^{-1}}(g))^q$ . Then the coefficient of the trivial character of  $f * g$  is  $\sum_{\psi \in G^*} a_\psi b_\psi^q$ , which is  $\langle f, g \rangle_H$ . Expanding  $f * g$  in terms of its idempotent decomposition, we get

$$\begin{aligned} f * g &= \sum_{x \in G} (f(\mu_{-q^{-1}}(g))^q)(x) e_x \\ &= \sum_{x \in G} f(x) (\mu_{-q^{-1}}(g)(x))^q e_x \\ &= \sum_{x \in G} f(x) (g(-q^{-1}x))^q e_x \\ &= \frac{1}{n} \sum_{\psi \in G^*} \sum_{x \in G} f(x) (g(-q^{-1}x))^q \psi^{-1}(x) \psi. \end{aligned}$$

Using this expansion, the coefficient of the trivial character of  $f * g$  is  $\frac{1}{n} \sum_{x \in G} f(x) (g(-q^{-1}x))^q$ . The result follows.  $\square$

**Proposition 3.2** *Let  $C$  be an ideal in  $K[G^*]$  which is defined over  $F$ . Suppose  $C = I_X$  for some non-empty subset  $X$  of  $G$ . Then  $C^{\perp_H} = I_{X'}$  where  $X' = G \setminus \tau_{-q}(X)$ .*

*Proof.* From Theorem 2.9,  $X$  is a union of  $\langle \tau_{q^2} \rangle$ -orbits. Let  $X' = G \setminus \tau_{-q}(X)$ . Let  $f \in I_{X'}$  and  $g \in C = I_X$ . By Proposition 3.1,  $\langle f, g \rangle_H = \frac{1}{n} \sum_{x \in G} f(x) (g(-q^{-1}x))^q$ . Since  $X$  and  $\tau_{-q}(X)$  are unions of  $\langle \tau_{q^2} \rangle$ -orbits and clearly  $x$  and  $q^2x$  belong to the same  $\tau_{q^2}$ -orbit, it follows that  $-q^{-1}x \in X$  if and only if  $(-q)^2(-q^{-1})x \in X$  if and only if  $-qx \in X$  if and only if  $(-q)(-q)x \in \tau_{-q}(X)$  if and only if  $x \in \tau_{-q}(X)$ . Thus  $g(-q^{-1}x) = 0$  for all  $x \in \tau_{-q}(X)$ . Since  $f \in I_{X'}$ ,  $f(x) = 0$  for every  $x \in X' = G \setminus \tau_{-q}(X)$ . Therefore  $\sum_{x \in G} f(x) (g(-q^{-1}x))^q = 0$ , implying that  $\langle f, g \rangle_H = 0$ . Thus  $I_{X'} \subseteq C^{\perp_H}$ . Comparing dimensions, we get  $C^{\perp_H} = I_{X'}$ .  $\square$

**Remark.** We note that for any subset  $X$  of  $G$  which is a union of  $\langle \tau_{q^2} \rangle$ -orbits of  $G$ , we have  $\tau_{-q^{-1}}(X) = \tau_{(-q)^2}(\tau_{-q^{-1}}(X)) = \tau_{-q}(X)$ .

**Proposition 3.3** *Let  $(Z, X_0, X_1)$  be a splitting of  $G$  over  $Z$  where  $Z$ ,  $X_0$  and  $X_1$  are unions of  $\langle \tau_{q^2} \rangle$ -orbits. The ring element  $-q$  splits or stabilizes  $C_0$  if and only if  $-q^{-1}$  splits or stabilizes  $C_0$ , respectively.*

*Proof.* Using Proposition 2.4, we need only show that  $-q$  splits or stabilizes  $(Z, X_0, X_1)$  if and only if  $-q^{-1}$  splits or stabilizes  $(Z, X_0, X_1)$ , respectively. From the remark above,  $\tau_{-q^{-1}}(X_0) = \tau_{-q}(X_0)$  and  $\tau_{-q^{-1}}(X_1) = \tau_{-q}(X_1)$ . The result follows.  $\square$

**Proposition 3.4** *Let  $(Z, X_0, X_1)$  be a splitting of  $G$  over  $Z$ . Assume that  $Z$ ,  $X_0$  and  $X_1$  are unions of  $\langle \tau_{q^2} \rangle$ -orbits. Suppose  $Z$  is stabilized by  $\tau_{-q}$ . Then  $C_Z^{\perp H} = C_0^Z \oplus C_1^Z$ . If  $-q$  splits  $C_0$ , then  $C_0^{\perp H} = C_0^Z$ . If  $-q$  stabilizes  $C_0$ , then  $C_0^{\perp H} = C_1^Z$ .*

*Proof.* Note that  $\tau_{-q^{-1}}(Z) = \tau_{(-q)^2}(\tau_{-q^{-1}}(Z)) = \tau_{-q}(Z)$  and by assumption,  $\tau_{-q}(Z) = Z$ . Let  $f \in C_Z = I_{X_0 \cup X_1}$  and let  $g \in C_0^Z \oplus C_1^Z$ . Then

$$\langle f, g \rangle_H = \frac{1}{n} \sum_{x \in G} f(x)(g(-q^{-1}x))^q = 0,$$

since  $f(x) = 0$  for all  $x \in X_0 \cup X_1$  and  $g(-q^{-1}x) = 0$  for all  $x \in Z$ . Thus  $C_0^Z \oplus C_1^Z \subseteq C_Z^{\perp H}$ . Comparing dimensions, we have  $C_Z^{\perp H} = C_0^Z \oplus C_1^Z$ .

Suppose  $-q$  splits  $C_0$ . Let  $f \in C_0$  and  $g \in C_1^Z$ . Note that  $\tau_{-q^{-1}}(Z) = Z$ . By assumption,  $\tau_{-q}(X_1) = X_0$ , or equivalently,  $\tau_{-q^{-1}}(X_1) = X_0$ . Clearly  $f(x) = 0$  for all  $x \in X_0$  and  $g(-q^{-1}x) = 0$  for all  $x \in Z \cup X_1$ . Thus

$$\langle f, g \rangle_H = \frac{1}{n} \sum_{x \in G} f(x)(g(-q^{-1}x))^q = 0,$$

implying that  $C_0^Z \subseteq C_0^{\perp H}$ . Comparing dimensions, we have  $C_0^{\perp H} = C_0^Z$ .

Suppose  $-q$  stabilizes  $C_0$ . Then  $\tau_{-q^{-1}}(X_1) = \tau_{-q}(X_1) = X_1$ . Let  $f \in C_0$  and  $g \in C_1^Z$ . Clearly  $f(x) = 0$  for all  $x \in X_0$  and  $g(-q^{-1}x) = 0$  for all  $x \in Z \cup X_1$ . Thus

$$\langle f, g \rangle_H = \frac{1}{n} \sum_{x \in G} f(x)(g(-q^{-1}x))^q = 0,$$

implying that  $C_1^Z \subseteq C_0^{\perp H}$ . Comparing dimensions, we have  $C_1^{\perp H} = C_0^Z$ .  $\square$

We now prove the main result of this section.

**Theorem 3.5** *Let  $C = I_X$  be an ideal in  $F[G^*]$ . Then  $C$  is Hermitian self-orthogonal if and only if  $C = C_0^Z$  for some splitting  $(Z, X_0, X_1)$  of  $G$  which is split by  $-q$  (that is,  $C$  is a subcode of a split group code which is split by  $-q$ ).*

*Proof.*

( $\Leftarrow$ ) Suppose  $(Z, X_0, X_1)$  is a splitting of  $G$  which is split by  $-q$ . Let  $C = C_0^Z$ . By Proposition 3.4,  $C^{\perp H} = (C_0^Z)^{\perp H} = C_0 \supseteq C_0^Z = C$ .

( $\Rightarrow$ ) Let  $C^{\perp H} = I_{X'}$ . By Proposition 3.2,  $X' = G \setminus \tau_{-q}(X)$ . By assumption,  $C \subseteq C^{\perp H}$ . Thus  $X' \subseteq X$ , or  $G \setminus \tau_{-q}(X) \subseteq X$ . Note that both  $X$  and  $X'$  are unions of  $\langle \tau_{q^2} \rangle$ -orbits.

Write  $X = Z \cup X_0$  with  $\tau_{-q}(Z) = Z$  and  $Z \cap X_0 = \emptyset$ . Since  $\tau_{-q}$  either fixes a  $\langle \tau_{q^2} \rangle$ -orbit or it sends it to another  $\langle \tau_{q^2} \rangle$ -orbit, we are actually choosing  $Z$  as the union of  $\langle \tau_{q^2} \rangle$ -orbits contained in  $X$  which are fixed by  $\tau_{-q}$  and  $X_0$  as the complement of  $Z$  in  $X$ , so that clearly  $\tau_{-q}(X_0) \cap X_0 = \emptyset$ .

We first show that neither  $Z$  nor  $X_0$  is empty. If  $0 \notin X$  then  $0 \notin \tau_{-q}(X)$ , which implies that  $0 \in G \setminus \tau_{-q}X$ . But  $G \setminus \tau_{-q}(X) \subseteq X$ , implying that  $0 \in X$ , a contradiction. Thus  $0 \in X$ , and so by our choice of partition of  $X$ ,  $0 \in Z$  proving that  $Z \neq \emptyset$ . If  $X_0 = \emptyset$  then  $X = Z$  and  $\tau_{-q}(X) = X$ . Thus  $X' = G \setminus \tau_{-q}(X) = G \setminus X$  which implies that  $X' \cap X = \emptyset$ , a contradiction since  $X' \subseteq X$ . Thus  $X_0 \neq \emptyset$ .

Note that  $X' = G \setminus \tau_{-q}(X) = G \setminus Z \cup \tau_{-q}(X_0)$ . Since  $X_0 \cap Z = X_0 \cap \tau_{-q}(X_0) = \emptyset$ , we have  $X_0 \subseteq X'$ . Consider  $\tau_{-q}(X') = G \setminus X$ . Since  $X' \subseteq X$ , it follows that  $\tau_{-q}(X') \cap X' = \emptyset$  and  $\tau_{-q}$  does not fix any  $\langle \tau_{q^2} \rangle$ -orbit contained in  $X'$ , implying that  $X' \subseteq X_0$ . Hence  $X' = X_0$ . Let  $X_1 = \tau_{-q}(X')$ . Then  $(Z, X_0, X_1)$  gives a splitting of  $G$  such that  $\tau_{-q}(Z) = Z$ ,  $\tau_{-q}(X_0) = X_1$  and  $\tau_{-q}(X_1) = X_0$  and  $C = I_X = I_{Z \cup X_0} = C_0^Z$ .  $\square$

### 3.2 Extensions of Ideal Codes in $F[G^*]$

Using the terminology of split group codes, duadic codes are easily seen to be split group codes for splittings of  $G$  over  $Z = \{0\}$  where  $G$  is cyclic (see Example III.1 of [3]). In [2], we defined an extension for an odd-like duadic code and gave a sufficient condition for the extended code to be Hermitian self-dual. In this section, we consider split group codes for the abelian group  $G$  with splittings over  $Z = \{0\}$  and derive analogous results regarding Hermitian self-duality of the extended split group codes.

Let the order  $n$  of the abelian group  $G$  be odd. Consider the equation

$$\frac{1}{n} + \gamma^{q+1} = 0, \quad (1)$$

which is solvable in  $\mathbb{F}_{q^2}$ . Let  $\gamma$  be a solution to (1). For each  $f \in F[G^*]$ , define  $\tilde{f} = (f, -\gamma f(0)) \in F[G^*] \times F$ . If  $C$  is a code in  $F[G^*]$  then the extended code  $\tilde{C}$  is defined as the subspace

$$\tilde{C} = \{\tilde{f} = (f, -\gamma f(0)) \mid f \in C\} \subseteq F[G^*] \times F.$$

**Proposition 3.6** *Let  $(Z = \{0\}, X_0, X_1)$  be a splitting of  $G$  where  $Z$ ,  $X_0$  and  $X_1$  are unions of  $\langle \tau_{q^2} \rangle$ -orbits. Let  $C_0$  be the corresponding split group code defined over  $F = \mathbb{F}_{q^2}$ .*

1. *The extended codes  $\tilde{C}_0$  and  $\tilde{C}_1$  are equivalent.*
2. *If  $-q$  splits  $C_0$ , then  $\tilde{C}_0^{\perp H} = \tilde{C}_0$  and  $\tilde{C}_1^{\perp H} = \tilde{C}_1$ .*
3. *If  $-q$  stabilizes  $C_0$ , then  $\tilde{C}_0^{\perp H} = \tilde{C}_1$  and  $\tilde{C}_1^{\perp H} = \tilde{C}_0$ .*



*Proof.* The equivalence of  $\widetilde{C}_0$  and  $\widetilde{C}_1$  is an immediate consequence of Theorem 2.5.

Suppose  $-q$  splits  $C_0$ . Let  $f, g$  be elements of  $C_0$ . From Proposition 3.3,  $-q^{-1}$  also splits  $C_0$ . Thus  $\mu_{-q^{-1}}(g) \in C_1$ . Note that  $-q^{-1}x \in X_0 \iff x \in \tau_{-q^{-1}}(X_0) = X_1$ . It follows that  $f(x) = 0$  for all  $x \in X_0$  and  $g(-q^{-1}x) = 0$  for all  $x \in X_1$ . Hence using Proposition 3.1, we get

$$\langle f, g \rangle_H = \frac{1}{n} f(0)g(0)^q = -\gamma^{q+1} f(0)g(0)^q.$$

Thus  $\langle \widetilde{f}, \widetilde{g} \rangle_H = 0$  and  $\widetilde{C}_0^{\perp H} = \widetilde{C}_0$ . By a similar argument, it can be shown that  $\widetilde{C}_1^{\perp H} = \widetilde{C}_1$ .

Suppose  $-q$  stabilizes  $C_0$ . Let  $f \in C_0$  and let  $g \in C_1$ . The element  $-q^{-1}$  also stabilizes  $C_0$  and  $\mu_{-q^{-1}}(g) \in C_0$ . Again,  $-q^{-1}x \in X_1 \iff x \in \tau_{-q^{-1}}(X_1) = X_1$ . So  $f(x) = 0$  for all  $x \in X_0$  and  $g(-q^{-1}x) = 0$  for all  $x \in X_1$ . Thus

$$\langle f, g \rangle_H = \frac{1}{n} f(0)g(0)^q = -\gamma^{q+1} f(0)g(0)^q,$$

and so  $\langle \widetilde{f}, \widetilde{g} \rangle_H = 0$  and  $\widetilde{C}_0^{\perp H} = \widetilde{C}_1$ . Similarly,  $\widetilde{C}_1^{\perp H} = \widetilde{C}_0$ . □

**Corollary 3.7** *Let  $C = I_X$  be a group code defined over  $F$ . The extended code  $\widetilde{C}^{\perp H}$  is Hermitian self-dual if and only if  $C$  is a split group code for some splitting ( $Z = \{0\}, X_0, X_1$ ) of  $G$  by  $-q$ .*

*Proof.*

( $\Leftarrow$ ) This follows directly from the previous theorem.

( $\Rightarrow$ ) Since  $\widetilde{C}^{\perp H}$  is Hermitian self-dual, the dimension of  $C$  is  $\frac{n+1}{2}$  and so  $C$  cannot be Hermitian self-orthogonal. This fact combined with the assumption that  $\widetilde{C}^{\perp H}$  is Hermitian self-dual implies that  $0 \notin X$ . Let  $C_e = I_{X \cup \{0\}}$ . This subcode  $C_e$  is Hermitian self-orthogonal and has dimension  $\frac{n-1}{2}$ . By Theorem 3.5,  $C_e$  is a subcode of a split group code which is split by  $-q$ , that is,  $C_e = C_0^Z$  for some splitting ( $Z, X_0, X_1$ ) of  $G$  by  $-q$ . Since  $\dim C_e = \frac{n-1}{2}$  and  $\dim C_0^Z = \frac{n-|Z|}{2}$ , it follows that  $Z = \{0\}$ . Hence  $X = X_0$  and  $C$  is a split group code of  $G$  which is split by  $-q$ . □

### 3.3 Existence of Hermitian Self-dual Extended Ideal Codes

In view of Theorem 3.5 and Corollary 3.7, it is natural to ask under what conditions we obtain splittings over  $Z = \{0\}$  of an abelian group  $G$  by  $-q$ . Such conditions would guarantee existence of Hermitian self-orthogonal codes and Hermitian self-dual extended codes in  $F[G^*]$ . We remark that the results in this section are generalizations of results on extended duadic codes in [2].

Define  $ord_r(q)$  to be the smallest positive integer  $t$  such that  $q^t \equiv 1 \pmod{r}$ . If  $l$  is a positive odd integer relatively prime to  $q$  then  $l$  is said to be split by  $-q$  over  $\mathbb{F}_{q^2}$  if and only if the set  $X = \{1, 2, \dots, l\}$  has a partition  $X = X_0 \cup X_1$  such that  $(-q)X_0 = X_1$  and  $(-q)X_1 = X_0$ , where the multiplication is read modulo  $l$ .

**Proposition 3.8** ([2].) *Let  $l$  be a positive odd integer which is relatively prime to  $q$ . The integer  $l$  has a splitting by  $-q$  if and only if  $\text{ord}_r(q) \not\equiv 2 \pmod{4}$  for every prime  $r$  dividing  $l$ .*

**Theorem 3.9** *Let  $G$  be an abelian group of order  $n$ . The group  $G$  has a splitting over  $Z = \{0\}$  given by  $-q$  if and only if  $\text{ord}_r(q) \not\equiv 2 \pmod{4}$  for every prime  $r$  dividing  $n$ .*

*Proof.* The abelian group  $G$  is isomorphic to a unique product of cyclic groups of the form

$$\mathbb{Z}_{m_1} \times \mathbb{Z}_{m_2} \times \dots \times \mathbb{Z}_{m_s},$$

where  $m_i$  divides  $m_{i+1}$  for  $i = 1, 2, \dots, s-1$ , and  $m_s = m$  where  $m$  denotes the exponent of  $G$ .

If each summand  $\mathbb{Z}_{m_i}$  has a splitting over  $Z = \{0\}$  given by  $-q$  then  $G$  also has a splitting over  $Z = \{0\}$  given by  $-q$ . Indeed if  $(\{0\}, X_0^{(i)}, X_1^{(i)})$  is a splitting by  $-q$  of  $\mathbb{Z}_{m_i}$  for each  $i = 1, 2, \dots, s$  then letting

$$\begin{aligned} X_t &= X_t^{(1)} \times \mathbb{Z}_{m_2} \times \mathbb{Z}_{m_3} \times \dots \times \mathbb{Z}_{m_s} \\ &\cup \{0\} \times X_t^{(2)} \times \mathbb{Z}_{m_3} \times \dots \times \mathbb{Z}_{m_s} \\ &\cup \{0\} \times \{0\} \times X_t^{(3)} \times \mathbb{Z}_{m_4} \times \dots \times \mathbb{Z}_{m_s} \\ &\quad \vdots \\ &\cup \{0\} \times \{0\} \times \{0\} \times \dots \times \{0\} \times X_t^{(s)} \end{aligned}$$

for  $t = 0, 1$ ,  $(\{0\}, X_0, X_1)$  gives a splitting of  $G$  by  $-q$ . Conversely, suppose that  $G$  has a splitting over  $Z = \{0\}$  given by  $-q$ . Let  $\mathbb{Z}_i = \{0\} \times \{0\} \times \dots \times \mathbb{Z}_{m_i} \times \{0\} \times \dots \times \{0\}$  be the subgroup of  $G$  isomorphic to  $\mathbb{Z}_{m_i}$ . If  $(Z = \{0\}, X_0, X_1)$  gives a splitting for  $G$  by  $-q$ , then  $(Z = \{0\}, \mathbb{Z}_i \cap X_0, \mathbb{Z}_i \cap X_1)$  gives a splitting for  $\mathbb{Z}_i$  given by  $-q$ . Hence using Proposition 3.8,  $G$  has a splitting over  $Z = \{0\}$  given by  $-q$  if and only if each summand  $\mathbb{Z}_{m_i}$  has a splitting over  $Z = \{0\}$  given by  $-q$  if and only if  $m_i$  is split by  $-q$  for all  $i = 1, 2, \dots, m$  if and only if  $\text{ord}_r(q) \not\equiv 2 \pmod{4}$  for every prime  $r$  dividing  $m_i$  for all  $i = 1, 2, \dots, s$  if and only if  $\text{ord}_r(q) \not\equiv 2 \pmod{4}$  for every prime  $r$  dividing  $m$ . But the primes dividing  $m$  are precisely the primes dividing  $n$ . Thus  $G$  has a splitting over  $Z = \{0\}$  given by  $-q$  if and only if  $\text{ord}_r(q) \not\equiv 2 \pmod{4}$  for every prime  $r$  dividing  $n$ .  $\square$

*Example:* Let  $G = \mathbb{Z}_3 \times \mathbb{Z}_9$  and  $\mathbb{F}_{4^2} = \mathbb{F}_{16}$ . Note that  $\text{ord}_3(4) = 1$  and by Theorem 3.9 the abelian group  $G$  has a partition which is split by  $-4$ . The cyclic groups  $\mathbb{Z}_3$  and  $\mathbb{Z}_9$  have splittings by the multiplier  $\mu_{-4}$  given by  $(\{0\}, A_1, A_2)$  and  $(\{0\}, B_1 \cup B_3, B_2 \cup B_6)$ , respectively, where  $A_i$  is the 16-cyclotomic coset modulo 3 containing  $i$  and  $B_j$  is the 16-cyclotomic coset modulo 9 which contains  $j$ . Define  $C_{(i,j)}$  as the orbit of  $\tau_{16}$  in  $G$  containing  $(i, j)$ . Letting  $X_0 = C_{(1,0)} \cup C_{(1,1)} \cup C_{(1,2)} \cup C_{(1,3)} \cup C_{(1,6)} \cup C_{(0,1)} \cup C_{(0,3)}$  and  $X_1 = C_{(2,0)} \cup C_{(2,1)} \cup C_{(2,2)} \cup C_{(2,3)} \cup C_{(2,6)} \cup C_{(0,2)} \cup C_{(0,6)}$ , the set  $(\{(0,0)\}, X_0, X_1)$  gives a splitting of  $G$  by

–4. Notice that this partition can be obtained from the splittings of  $\mathbb{Z}_3$  and  $\mathbb{Z}_9$  as described in the proof.  $\square$

We remark that  $\text{ord}_r(q) \not\equiv 2 \pmod{4}$  means that either  $\text{ord}_r(q)$  is odd or  $\text{ord}_r(q)$  is doubly even. It can easily be verified that  $\text{ord}_r(q)$  is doubly even if and only if  $\text{ord}_r(q^2)$  is even. Thus Theorem 3.9 can be restated as:

**Theorem 3.10** *Let  $G$  be an abelian group of order  $n$ . The group  $G$  has a splitting over  $Z = \{0\}$  given by  $-q$  if and only if for every prime  $r$  dividing  $n$ , either  $\text{ord}_r(q)$  is odd or  $\text{ord}_r(q^2)$  is even.*

Thus we get the following condition for the existence of extended ideal codes of  $F[G^*]$  which are Hermitian self-dual.

**Theorem 3.11** *Let  $G$  be an abelian group of order  $n$ . An ideal code of  $F[G^*]$  whose extension is Hermitian self-dual exists if and only if for every prime  $r$  dividing  $n$ , either  $\text{ord}_r(q)$  is odd or  $\text{ord}_r(q^2)$  is even.*

*Proof.* This is a direct consequence of Corollary 3.7 and Theorem 3.10.  $\square$

We note that the same result as the preceding theorem was obtained by Martínez-Pérez and Willems in [14] for ideal codes in a group algebra over any finite group.

## 4 Counting Hermitian self-dual extended abelian group codes

Theorem 3.11 raises the question of counting the number of non-isomorphic abelian groups of order  $\leq x$  for which an ideal code of  $F[G^*]$  whose extension is Hermitian self-dual exists. An estimate for this quantity is provided by Theorem 4.3.

Let  $q = p_1^t$  be a prime power and let  $\mathcal{P}_q$  be the set of primes  $r \neq p_1$  for which  $\text{ord}_r(q)$  is odd or  $\text{ord}_r(q^2)$  is even. Let  $\mathcal{P}_q(x)$  be the associated counting function. The primes  $r$  not counted, that is the primes  $r$  such that  $\text{ord}_r(q) \equiv 2 \pmod{4}$  or the prime  $r = p_1$  can be shown, see [2], to have a natural density  $\delta(q)$  that is given by the following formula (with  $\lambda$  the exponent of 2 in the factorisation of  $t$ ):

$$\delta(q) = \delta(p_1^t) = \begin{cases} 7/24 & \text{if } p_1 = 2 \text{ and } \lambda = 0; \\ 1/3 & \text{if } p_1 = 2 \text{ and } \lambda = 1; \\ 2^{-\lambda-1}/3 & \text{if } p_1 = 2 \text{ and } \lambda \geq 2; \\ 2^{-\lambda}/3 & \text{if } p_1 \neq 2. \end{cases}$$

It can be proved, see [2, Lemma A.3], that

$$\mathcal{P}_q(x) = (1 - \delta(q))\text{Li}(x) + O_q\left(\frac{x(\log \log x)^4}{\log^3 x}\right), \quad (2)$$

where the subscript  $q$  indicates that the implied constant may depend on  $q$  and  $Li(x) = \int_2^x dt/\log t$  denotes the logarithmic integral.

Let  $\mathcal{G}_q$  be the subsemigroup of the natural numbers generated by the primes in  $\mathcal{P}_q$ . Let  $HSD(x)$  count the number of non-isomorphic abelian groups of order  $n$  with  $(n, q) = 1$  and  $n \leq x$  for which an ideal code of  $F[G^*]$  whose extension is Hermitian self-dual exists. Then by Theorem 3.11 we have that

$$HSD(x) = \sum_{n \leq x, n \in \mathcal{G}_q} a(n),$$

where  $a(n)$  denotes the number of non-isomorphic abelian groups having  $n$  elements.

Thus we are naturally led to study the behaviour of  $a(n)$  on subsemigroups  $\mathcal{G}$  of the natural numbers. For our purposes it is enough to restrict to subsemigroups  $\mathcal{G}$  that are generated by a set  $\mathcal{P}$  of primes satisfying

$$\mathcal{P}(x) = \tau Li(x) + E_{\mathcal{P}}(x), \tag{3}$$

where  $0 < \tau < 1$  and the error term  $E_{\mathcal{P}}(x)$  is small enough.

Although the literature on  $a(n)$  is quite extensive, the latter problem does not seem to have been studied before. Before delving into it, we recall some relevant facts on the behaviour of  $a(n)$ .

## 4.1 Counting non-isomorphic abelian groups

It is easy to see that  $a(n)$  is a multiplicative function with the property that  $a(p^k) = P(k)$  for every prime  $p$  and every integer  $k \geq 1$ , where  $P(k)$  denotes the number of unrestricted partitions of  $k$ . Thus  $a(p^k)$  does not depend on  $p$  but only on  $k$ , so that  $a(n)$  is a “prime independent” multiplicative function.

An analytic approach to  $a(n)$  is based on the fact that the Dirichlet series associated with this function may be written as products of the Riemann zeta function, which is defined for  $\Re(s) > 1$  as  $\zeta(s) = \sum_{n=1}^{\infty} n^{-s} = \prod_p (1 - p^{-s})^{-1}$  and otherwise by analytic continuation. Using the well-known identity

$$\sum_{k=0}^{\infty} P(k)x^k = \prod_{m=1}^{\infty} \frac{1}{1 - x^m}, \quad |x| < 1,$$

one finds that, for  $\Re(s) > 1$ ,

$$\sum_{k=0}^{\infty} \frac{a(p^k)}{p^{ks}} = \sum_{k=0}^{\infty} \frac{P(k)}{p^{ks}} = \prod_{m=1}^{\infty} \frac{1}{1 - \frac{1}{p^{ms}}},$$

and thus, using the multiplicativity of  $a(n)$ ,

$$\sum_{n=1}^{\infty} \frac{a(n)}{n^s} = \prod_p \sum_{k=0}^{\infty} \frac{a(p^k)}{p^{ks}} = \prod_p \prod_{m=1}^{\infty} \frac{1}{1 - \frac{1}{p^{ms}}} = \prod_{m=1}^{\infty} \zeta(ms).$$

Using the standard results from tauberian theory, one obtains

$$\sum_{n \leq x} a(n) \sim x \prod_{m=2}^{\infty} \zeta(m), \quad x \rightarrow \infty,$$

from this. By much more refined methods, it can be shown that

$$\sum_{n \leq x} a(n) = \sum_{m=1}^3 c_m x^{1/m} + E(x), \quad c_m = \prod_{\substack{k=1 \\ k \neq m}}^{\infty} \zeta\left(\frac{k}{m}\right),$$

where the estimates for the error term  $E(x)$  have a long history of improvements, with the best result to date being due to Robert and Sargos [25], who proved that  $|E(x)| \ll x^{1/4+\epsilon}$ . Furthermore one has, see [4, p. 274],  $c_1 = 2.2948565916 \dots$ ,  $c_2 = -14.6475663016 \dots$  and  $c_3 = 118.6924619727 \dots$ .

Thus on average  $a(n)$  is constant (namely about 2.29). Individual values, however, might get large. In this direction Krätzel [10] proved that

$$\limsup_{n \rightarrow \infty} \log(a(n)) \frac{\log \log n}{\log n} = \frac{\log 5}{4}, \quad (4)$$

which implies that  $a(n) \ll n^\epsilon$  for every  $\epsilon > 0$ .

Ivić [9] has pointed out that  $C(x)$ , the number of *distinct* values assumed by  $a(n)$  for  $n \leq x$ , satisfies the bound

$$C(x) \leq \exp((1 + o(1))2\pi\sqrt{\log x/3 \log \log x}). \quad (5)$$

The reason for this (see [9, pp. 130-131]) is that there are

$$\exp((1 + o(1))2\pi\sqrt{\log x/3 \log \log x})$$

integers  $n \leq x$  of the form

$$n = 2^{a_2} 3^{a_3} \dots p^{a_p}, \quad a_2 \geq a_3 \geq \dots \geq a_p \geq 1, \quad (6)$$

which is a classical result of Hardy and Ramanujan [23, pp. 245-261]. Suppose that  $a(n)$  is counted by  $C(x)$ , and let

$$n = p_{1,1}^{b_1} \dots p_{1,k}^{b_k}, \quad b_1 \geq b_2 \geq \dots \geq b_k \geq 1,$$

be the canonical decomposition of  $n$ . Then if  $m = 2^{b_1} 3^{b_2} \dots p_k^{b_k}$ , we have  $m \leq n$  and  $a(m) = P(b_1) \dots P(b_k) = a(n)$ . Therefore  $C(x)$  does not exceed the number of  $n \leq x$  having the form (6) and hence inequality (5) holds.

Note that if  $f$  is *any* prime independent function, then the number of distinct values assumed by it for  $n \leq x$  satisfies the same upperbound as in (5).

## 4.2 Summing $a(n)$ over $\mathcal{G}$

Let  $\chi_{\mathcal{G}}$  be the characteristic function of  $\mathcal{G}$ , i.e.,

$$\chi_{\mathcal{G}}(n) = \begin{cases} 1 & \text{if } n \text{ is in } \mathcal{G}; \\ 0 & \text{otherwise.} \end{cases}$$

We consider

$$\sum_{n \leq x, n \in \mathcal{G}} a(n) = \sum_{n \leq x} \chi_{\mathcal{G}}(n) a(n).$$

Note that  $\chi_{\mathcal{G}}(n)a(n)$  is multiplicative in  $n$ .

**Theorem 4.1** *If (3) is satisfied with  $E_{\mathcal{P}}(x) = O(x \log^{-1-\gamma} x)$  and  $0 < \gamma < 1$ , then*

$$\sum_{n \leq x, n \in \mathcal{G}} a(n) = x b_0 \log^{\tau-1} x + O_{\mathcal{G}}(x \log^{\tau-1-\gamma/2} x).$$

*If (3) is satisfied with  $E_{\mathcal{P}}(x) = O(x \log^{-2-\gamma} x)$  and  $\gamma > 0$ . Then*

$$\sum_{n \leq x, n \in \mathcal{G}} a(n) = x \sum_{0 \leq \nu < \gamma} b_{\nu} \log^{\tau-1-\nu} x + O_{\mathcal{G}}(x \log^{\tau-1-\gamma+\epsilon} x), \quad (7)$$

where  $b_0, b_1, \dots$  are constants possibly depending on  $\mathcal{G}$  and

$$b_0 = \frac{1}{\Gamma(\tau)} \lim_{s \downarrow 1} (s-1)^{\tau} \sum_{n \in \mathcal{G}} \frac{a(n)}{n^s} > 0.$$

The proof uses the following lemma, which except for the formula for  $b_0$  is taken from [17]. The formula for  $b_0$  is well-known.

**Lemma 4.2** [17]. *Let  $f : \mathbb{N}_{\geq 0} \rightarrow \mathbb{R}_{\geq 0}$  be a multiplicative function satisfying*

$$0 \leq f(p^r) \leq c_1 c_2^r, \quad c_1 \geq 1, \quad 1 \leq c_2 < 2, \quad (8)$$

and

$$\sum_{p \leq x} f(p) = \tau \text{Li}(x) + O(x \log^{-2-\gamma} x), \quad (9)$$

where  $\tau > 0$  and  $\gamma > 0$  are fixed, then, for  $\epsilon > 0$ ,

$$\sum_{n \leq x} f(n) = x \sum_{0 \leq \nu < \gamma} b_{\nu} \log^{\tau-1-\nu} x + O(x \log^{\tau-1-\gamma+\epsilon} x),$$

where  $b_0 = \frac{1}{\Gamma(\tau)} \lim_{s \downarrow 1} (s-1)^{\tau} \sum_{n=1}^{\infty} f(n) n^{-s}$ .

*Proof of Theorem 4.1.* The first assertion has been proved by Odoni [19] using a tauberian remainder theorem due to Subhankulov.  $\square$

In order to prove the second assertion we apply Lemma 4.2 with  $f(n) = a(n)\chi_{\mathcal{G}}(n)$ . The fact that condition (8) is satisfied follows from the classical result of Hardy and Ramanujan (see [23, p. 240]), that  $a(p^r) = P(r) = (1 + o(1))(4\sqrt{3}r)^{-1}e^{\pi\sqrt{2r/3}}$  as  $r$  tends to infinity. However, the much more easily proved upperbound  $P(r) \leq 5^{r/4}$ , see [10], is already sufficient in order to show that (8) is satisfied. The assumption on  $E_{\mathcal{P}}(x)$  ensures that condition (9) is satisfied. On invoking Lemma 4.2 the proof is then completed.

For our problem at hand we find the following estimate:

**Theorem 4.3** *Let  $HSD(x)$  count the number of non-isomorphic abelian groups of order  $n$  with  $(n, q) = 1$  and  $n \leq x$  for which an ideal code of  $F[G^*]$  whose extension is Hermitian self-dual exists. Then*

$$HSD(x) = b_0 \frac{x}{\log^{\delta(q)} x} + O_{\epsilon, q} \left( \frac{x}{\log^{\delta(q)+1-\epsilon} x} \right),$$

where

$$b_0 = \frac{1}{\Gamma(1 - \delta(q))} \lim_{s \downarrow 1} (s - 1)^{1-\delta(q)} \sum_{n \in \mathcal{G}} \frac{a(n)}{n^s}.$$

### 4.3 The connection with free arithmetical semigroups

A much weaker form of Theorem 4.3 is obtained as a straightforward consequence of Bredikhin's Theorem, which is a basic result in the theory of *free arithmetical semigroups*.

Let  $G$  be a commutative semigroup with identity element 1, relative to a multiplication operation denoted by juxtaposition. Suppose that  $G$  has a finite or countably infinite subset  $P$  of generators and that  $G$  is *free*. This means that every element  $n$  in  $G$  has a unique factorisation of the form  $n = \omega_1^{a_1} \cdot \omega_2^{a_2} \cdots \omega_r^{a_r}$ , where the  $\omega_r$  are distinct elements of  $P$ , the  $a_i$  are possible integers, and uniqueness is up to order of factors. A free semigroup will be called a free arithmetical semigroup if in addition there exists a homomorphism of  $G$  into some multiplicative semigroup  $\overline{G}$  consisting of real numbers such that for every  $x > 0$ ,  $G$  contains only finitely many elements  $n$  with  $|n| \leq x$ , where  $|n|$  denotes the image (or norm) of the element  $n$  of  $G$  under the homomorphism  $|\cdot|$ . (In the older literature the generators of  $\overline{G}$  are called Beurling's generalized primes.) Bredikhin's theorem, for a proof see e.g. [22, pp. 92-99], then reads as follows:

**Theorem 4.4** (*Bredikhin.*) *If  $G$  is a free arithmetical semigroup such that*

$$\sum_{|\omega| \leq x, \omega \in G} 1 = \tau \frac{x}{\log x} + O \left( \frac{x}{\log^{1+\gamma} x} \right), \quad (10)$$

where  $\tau > 0$  and  $\gamma > 0$  are fixed, then

$$\sum_{\substack{|n| \leq x \\ n \in G}} 1 = C_G x \log^{\tau-1} x + O(x \log^{\tau-1} x (\log \log x)^{-\gamma_1}),$$

where  $\gamma_1 = \min(1, \gamma)$  and  $C_G = \Gamma(\tau)^{-1} \lim_{s \downarrow 1} (s-1)^\tau \sum_{n \in G} |n|^{-s}$ .

Now consider the free arithmetical semigroup  $G$  of all non-isomorphic finite abelian groups with as composition the usual direct product operation and as norm function  $|A| = \text{card}(A)$ . By the fundamental theorem on finite abelian groups,  $G$  is a free arithmetical semigroup having  $C(p)$ ,  $C(p^2)$ ,  $C(p^3)$ ,  $\dots$  as generators, where  $p$  runs over all the primes and  $C(n)$  is the cyclic group of order  $n$ . Since the number of cyclic groups of prime power order whose norm is not prime having norm  $\leq x$  is  $O(\sqrt{x} \log x)$ , by the prime number theorem in the form  $\pi(x) = x/\log x + O(x/\log^2 x)$ , (10) is satisfied with  $\tau = 1$  and  $\gamma = 1$ . It then follows from Bredikhin's theorem that

$$\sum_{\substack{|n| \leq x \\ n \in G}} 1 = \sum_{n \leq x} a(n) = x \prod_{m=2}^{\infty} \zeta(m) + O\left(\frac{x}{\log \log x}\right),$$

where we have used the observations that  $\sum_{n \in G} |n|^{-s} = \sum_n a(n) n^{-s} = \prod_{m=1}^{\infty} \zeta(ms)$  and  $\lim_{s \downarrow 1} (s-1)\zeta(s) = 1$ .

Now let  $G_q$  be the free arithmetical semigroup generated by all cyclic groups of the form  $C(p)$ ,  $C(p^2)$ ,  $C(p^3)$ ,  $\dots$ , with  $\text{ord}_p(q)$  is odd or  $\text{ord}_p(q^2)$  is even. Then similarly using Bredikhin's theorem we obtain the result in Theorem 4.3 with the much weaker error term  $O_q(x \log^{-\delta(q)} x (\log \log x)^{-1})$ .

#### 4.4 The maximal order of $a(n)$ on $\mathcal{G}$

In this section we indicate what Krätzel's result (4) looks like when one considers the maximal order of  $a(n)$  on the subsemigroup  $\mathcal{G}$ .

**Theorem 4.5** *Let  $A = A(n)$  be the smallest integer such that*

$$\sum_{p \in \mathcal{P}, p \leq A} \log p \geq (\log n)/4.$$

*Then as  $n$  tends to infinity and runs through the elements of  $\mathcal{G}$ , the estimate*

$$\log a(n) \leq \mathcal{P}(A) \log 5 + O(\mathcal{P}(A^\theta) \log A),$$

*holds with  $\theta = \log(121)/\log(125) < 0.994$ , and there are infinitely many integers  $n$ ,  $n \in G$ , for which one has  $\log a(n) = \mathcal{P}(A) \log 5$ .*



*Proof.* Completely similar to that of the (only) theorem in Schwarz and Wirsing [26], who proved this result in case  $\mathcal{P}$  is the full set of primes. In their proof one merely intersects every range of primes that occurs with  $\mathcal{P}$ .  $\square$

**Remark.** The implicit constant in the order term can taken to be

$$\frac{2\pi^2}{3 \log 5 \cdot \log 2} = 5.898 \dots$$

**Theorem 4.6** *If  $\mathcal{P}(x) \sim \tau x / \log x$  as  $x$  tends to infinity, then*

$$\limsup_{n \rightarrow \infty} \sup_{n \in \mathcal{G}} \log(a(n)) \frac{\log \log n}{\log n} = \frac{\log 5}{4}.$$

*Proof.* By a standard argument in elementary number theory it follows that if  $\mathcal{P}(x) \sim \tau x / \log x$ , then  $\sum_{p \in \mathcal{P}, p \leq x} \log p \sim \tau x$ ,  $A(n) \sim (\log n) / (4\tau)$  and  $\mathcal{P}(A) \sim \log n / (4 \log \log n)$ . On invoking Theorem 4.5 the result then follows.  $\square$

**Remark.** Let  $p_1, p_2, \dots$  denote the consecutive primes in  $\mathcal{P}$ . Let  $n_r = \prod_{i=1}^r p_i^4$ . Suppose that  $\mathcal{P}(x) \sim \tau x / \log x$  as  $x$  tends to infinity. We leave it as an exercise to the reader to show that

$$\lim_{r \rightarrow \infty} \log(a(n_r)) \frac{\log \log n_r}{\log n_r} = \frac{\log 5}{4}.$$

**Remark.** It is rather surprising that in Theorem 4.6 the estimate does not depend on  $\tau$ . A similar situation arises if one compares the maximal order of  $\log d(n)$  with that of  $\log r(n)$ , where  $d(n)$  denotes the number of divisors of  $n$  and  $r(n)$  the number of way  $n$  can be written as a sum of two squares. Jacobi proved that  $r(n) = 4\{d_1(n) - d_3(n)\}$ , where  $d_1(n)$  and  $d_3(n)$  denote the number of the divisor of  $n$  of the form  $4k + 1$  and  $4k + 3$ , respectively. Thus  $r(n)$  counts (crudely) the divisors of  $n$  made up of prime  $\equiv 1 \pmod{4}$ . These primes have density  $1/2$  amongst all primes, but nevertheless the maximal orders of  $\log d(n)$  and  $\log r(n)$  are the same. Namely, we have

$$\lim_{n \rightarrow \infty} \sup \log(d(n)) \frac{\log \log n}{\log n} = \log 2, \quad \lim_{n \rightarrow \infty} \sup \log(r(n)) \frac{\log \log n}{\log n} = \log 2.$$

For further details see e.g. Nicolas [18]. The maximal order for  $\log d(n)$  was first determined by S. Wigert in 1907. Hardy and Wright [5, Theorem 338] erroneously give  $(\log 2)/2$  instead of  $\log 2$  in the result for  $\log r(n)$ .

## 4.5 Counting distinct values assumed by $a(n)$ on $\mathcal{G}$

Let  $C_{\mathcal{G}}(x)$  denote the number of distinct values assumed by  $a(n)$  with  $n \in \mathcal{G}$  and  $n \leq x$ .

**Theorem 4.7** *Let  $p_0$  be the smallest prime in  $\mathcal{P}$ . Suppose that there are positive constants  $c_3$  and  $c_4$  such that, for  $x \geq p_0$ ,*

$$c_3 x < \sum_{p \in \mathcal{P}, p \leq x} \log p < c_4 x,$$

then

$$\log C_{\mathcal{G}}(x) \sim \log C(x) \sim (1 + o(1))2\pi \sqrt{\log x / 3 \log \log x},$$

as  $x$  tends to infinity.

*Proof.* Very similar to that given in [23, pp. 245-261]. Instead of defining  $l_n$  to be the product of the first  $n$  consecutive primes, we define it to be the product of the first  $n$  consecutive primes in  $\mathcal{P}$ . Then instead of (3.23) we find  $\phi(s) > c_1 \int_{p_0}^{\infty} e^{-c_1 s x} dx / \log x + O(1)$  and instead of (3.24) we find  $\phi(s) < c_2 \int_{p_0}^{\infty} e^{-c_2 s x} dx / \log x + O(1)$ . This, through Lemma 3.4, then leads to the same asymptotic for  $\phi(s)$  as in the paper of Hardy and Ramanujan. This then results in the same asymptotic for  $C_{\mathcal{G}}(x)$  as that for  $C(x)$ .  $\square$

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