

**Moduli spaces of vector bundles  
over ruled surfaces**

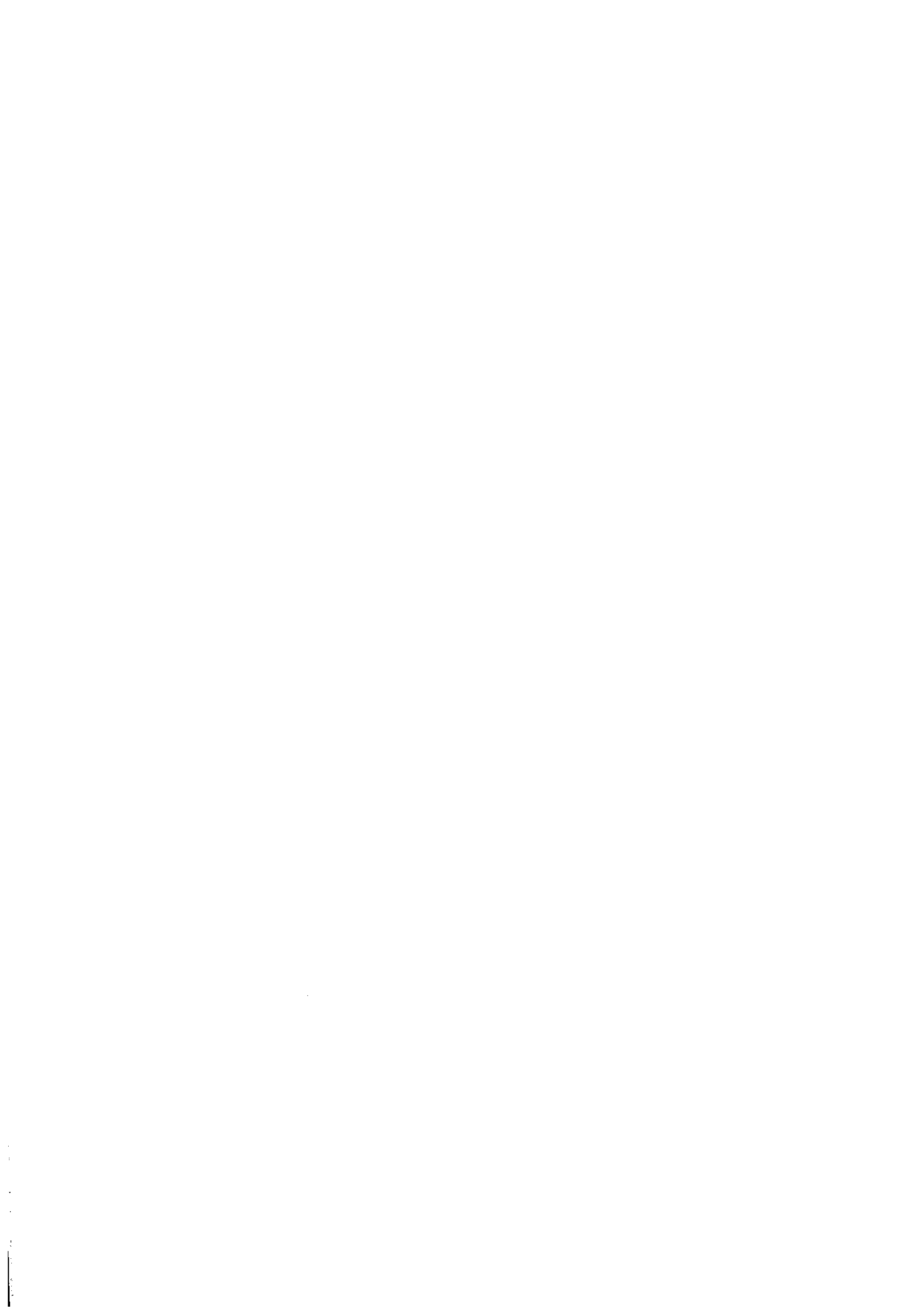
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# Moduli spaces of vector bundles over ruled surfaces

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## Introduction

Let  $\pi : X \rightarrow C$  be a ruled surface over a smooth algebraic curve  $C$ , defined over the complex number field  $\mathbb{C}$ . Let  $c_1 \in \text{Num}(X)$  and  $c_2 \in H^4(X, \mathbb{Z}) \cong \mathbb{Z}$  be fixed. For any polarization  $L$ , denote the moduli space of rank-2 vector bundles stable with respect to  $L$  in the sense of Mumford–Takemoto by  $\mathcal{M}_L(c_1, c_2)$ . Stable 2-vector bundles over a ruled surface have been investigated by many authors; see, for example [T1], [T2], [H-S], [Q1]. Let us mention that Takemoto [T1] showed that there is no rank-2 vector bundle stable with respect to every polarization  $L$  in case that  $c_1 \cdot f$  is odd ( $f$  is a fiber of the ruled surface  $X$ ). In this paper we shall study algebraic 2-vector bundles over ruled surfaces, but we adopt another point of view: we shall study moduli spaces of (algebraic) 2-vector bundles over a ruled surface  $X$ , which are defined independent of any ample divisor (line bundle) on  $X$ , by taking into account the special geometry of a ruled surface (see [B], [B-St1], [B-St2] and also [Br1], [Br2], [W]).

In section 1 (put for the convenience of the reader) we present (see [B]) two numerical invariants  $d$  and  $r$  for a 2-vector bundle with fixed Chern classes  $c_1$  and  $c_2$  and we define the set  $M(c_1, c_2, d, r)$  of isomorphism classes of bundles with fixed invariants  $c_1, c_2, d, r$ . The integer  $d$  is given by the splitting of the bundle on the general fibre and the integer  $r$  is given by some normalization of the bundle. Recall that the set  $M(c_1, c_2, d, r)$  carries a natural structure of an algebraic variety (see [B], [B-St1], [B-St2]). In section

2 we study uniform vector bundles and we prove the existence of algebraic vector bundles given by extensions of line bundles and which are not uniform. In section 3 the main result gives necessary and sufficient conditions for the non-emptiness of the space  $M(c_1, c_2, d, r)$  and we apply this result to the moduli space of stable bundles  $\mathcal{M}_L(c_1, c_2)$  in the last section.

## 1 Moduli spaces of rank-2 vector bundles

In this section we shall recall from ([B], [B-St1], [B-St2]) some basic notions and facts.

The notations and the terminology are those of Hartshorne's book [Ha]. Let  $C$  be a nonsingular curve of genus  $g$  over the complex number field and let  $\pi : X \rightarrow C$  be a ruled surface over  $C$ . We shall write  $X \cong \mathbb{P}(\mathcal{E})$  where  $\mathcal{E}$  is normalized. Let us denote by  $\mathbf{e}$  the divisor on  $C$  corresponding to  $\wedge^2 \mathcal{E}$  and by  $e = -\text{deg}(\mathbf{e})$ . We fix a point  $p_0 \in C$  and a fibre  $f_0 = \pi^{-1}(p_0)$  of  $X$ . Let  $C_0$  be a section of  $\pi$  such that  $\mathcal{O}_X(C_0) \cong \mathcal{O}_{\mathbb{P}(\mathcal{E})}(1)$ .

Any element of  $\text{Num}(X) \cong H^2(X, \mathbb{Z})$  can be written  $aC_0 + bf_0$  with  $a, b \in \mathbb{Z}$ . We shall denote by  $\mathcal{O}_C(1)$  the invertible sheaf associated to the divisor  $p_0$  on  $C$ . If  $L$  is an element of  $\text{Pic}(C)$  we shall write  $L = \mathcal{O}_C(k) \otimes L_0$ , where  $L_0 \in \text{Pic}_0(C)$  and  $k = \text{deg}(L)$ . We also denote by  $F(aC_0 + bf_0) = F \otimes \mathcal{O}_X(a) \otimes \pi^* \mathcal{O}_C(b)$  for any sheaf  $F$  on  $X$  and any  $a, b \in \mathbb{Z}$ .

Let  $E$  be an algebraic rank-2 vector bundle on  $X$  with fixed numerical Chern classes  $c_1 = (\alpha, \beta) \in H^2(X, \mathbb{Z}) \cong \mathbb{Z} \times \mathbb{Z}$ ,  $c_2 = \gamma \in H^4(X, \mathbb{Z}) \cong \mathbb{Z}$ , where  $\alpha, \beta, \gamma \in \mathbb{Z}$ .

Since the fibres of  $\pi$  are isomorphic to  $\mathbb{P}^1$  we can speak about the generic splitting type of  $E$  and we have  $E|_f \cong \mathcal{O}_f(d) \oplus \mathcal{O}_f(d')$  for a general fibre  $f$ , where  $d' \leq d$ ,  $d + d' = \alpha$ . The integer  $d$  is the first numerical invariant of  $E$ .

The second numerical invariant is obtained by the following normalization:

$$-r = \inf\{l \mid \exists L \in \text{Pic}(C), \text{deg}(L) = l, \text{ s.t. } H^0(X, E(-dC_0) \otimes \pi^* L) \neq 0\}.$$

We shall denote by  $M(\alpha, \beta, \gamma, d, r)$  or  $M(c_1, c_2, d, r)$  or  $M$  the set of isomorphism classes of algebraic rank-2 vector bundles on  $X$  with fixed Chern classes  $c_1, c_2$  and invariants  $d$  and  $r$ .

With these notations we have the following result (see [B]):

**Theorem 1** For every vector bundle  $E \in M(c_1, c_2, d, r)$  there exist  $L_1, L_2 \in \text{Pic}_0(C)$  and  $Y \subset X$  a locally complete intersection of codimension 2 in  $X$ , or the empty set, such that  $E$  is given by an extension

$$0 \rightarrow \mathcal{O}_X(dC_0 + rf_0) \otimes \pi^* L_2 \rightarrow E \rightarrow \mathcal{O}_X(d' C_0 + sf_0) \otimes \pi^* L_1 \otimes I_Y \rightarrow 0, \quad (1)$$

where  $c_1 = (\alpha, \beta) \in \mathbb{Z} \times \mathbb{Z}$ ,  $c_2 = \gamma \in \mathbb{Z}$ ,  $d + d' = \alpha$ ,  $d \geq d'$ ,  $r + s = \beta$ ,  $l(c_1, c_2, d, r) := \gamma + \alpha(de - r) - \beta d + 2dr - d^2 e = \text{deg}(Y) \geq 0$ .

**Remark.** By applying theorem 1 we can obtain the canonical extensions used in [Br1], [Br2].

Indeed, let us suppose first that  $d > d'$ . From the exact sequence (1) it follows that

$$\mathcal{O}_C(r) \otimes L_2 \cong \pi_* E(-dC_0)$$

so

$$\mathcal{O}_X(rf_0) \otimes \pi^* L_2 \cong \pi^* \pi_* E(-dC_0)$$

and

$$\mathcal{O}_X(dC_0 + rf_0) \otimes \pi^* L_2 \cong (\pi^* \pi_* E(-dC_0))(dC_0).$$

If  $d = d'$  then, by applying  $\pi_*$  to the short exact sequence

$$0 \rightarrow \mathcal{O}_X(rf_0) \otimes \pi^* L_2 \rightarrow E(-dC_0) \rightarrow \mathcal{O}_X(sf_0) \otimes \pi^* L_1 \otimes I_Y \rightarrow 0$$

it follows the exact sequence

$$0 \rightarrow \mathcal{O}_C(r) \otimes L_2 \rightarrow \pi_* E(-dC_0) \rightarrow \mathcal{O}_C(s) \otimes L_1 \otimes \mathcal{O}_C(-Z_1) \rightarrow 0,$$

where  $Z_1$  is an effective divisor on  $C$  with the support  $\pi(Y)$ . With the notation  $Z = \pi^{-1}(Z_1)$ , by applying  $\pi^*$  ( $\pi$  is a flat morphism) we obtain the following commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 & \rightarrow & \mathcal{O}_X(rf_0) \otimes \pi^* L_2 & \longrightarrow & E(-dC_0) & \longrightarrow & \mathcal{O}_X(sf_0) \otimes \pi^* L_1 \otimes I_Y \rightarrow 0 \\ & & \uparrow \text{id} & & \uparrow \varphi & & \uparrow \psi \\ 0 & \rightarrow & \mathcal{O}_X(rf_0) \otimes \pi^* L_2 & \longrightarrow & \pi^* \pi_* E(-dC_0) & \longrightarrow & \mathcal{O}_X(sf_0) \otimes \pi^* L_1 \otimes I_Z \rightarrow 0 \end{array}$$

From the injectivity of  $\psi$  we obtain the injectivity of  $\varphi$ . Because of

$$\mathcal{O}_X(sf_0) \otimes \pi^* L_1 \otimes I_{Y \subset Z} \cong \text{Coker } \psi \cong \text{Coker } \varphi$$

we conclude.

Recall that a set  $M$  of vector bundles on a  $\mathbb{C}$ -scheme  $X$  is called *bounded* if there exists an algebraic  $\mathbb{C}$ -scheme  $T$  and a vector bundle  $V$  on  $T \times X$  such that every  $E \in M$  is isomorphic with  $V_t = V|_{t \times X}$  for some closed point  $t \in T$ .

It is well-known (see [K]) that a bounded set of vector bundles has a quotient structure of algebraic variety. For the next result see [B]:

**Theorem 2** *The set  $M(c_1, c_2, d, r)$  is bounded.*

## 2 Uniform bundles

In this section we shall use the notations from section 1.

**Definition 3** A 2-vector bundle  $E$  is called an *uniform bundle* if the splitting type is preserved on all fibres of  $X$ .

Theorem 1 allows us to give a criterion for uniformness.

**Lemma 4** *Let  $f$  be a fibre of  $X$  and let us suppose that  $I_{Y \cap f \subset f} \cong \mathcal{O}_f(-n)$ . Then  $E|_f \cong \mathcal{O}_f(d+n) \oplus \mathcal{O}_f(d'-n)$ .*

*Proof:* We suppose that  $E|_f \cong \mathcal{O}_f(a) \oplus \mathcal{O}_f(a')$ , where  $a \geq a'$ . Then we have a surjective morphism

$$E|_f \rightarrow \mathcal{O}_f(d') \otimes I_Y \otimes \mathcal{O}_f$$

in virtue of theorem 1. On the other hand, the restriction of the sequence

$$0 \rightarrow I_Y \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_Y \rightarrow 0$$

to  $f$  gives a surjective morphism

$$I_Y \otimes \mathcal{O}_f \rightarrow I_{Y \cap f \subset f} \cong \mathcal{O}_f(-n).$$

So, we obtain another surjective morphism

$$\mathcal{O}_f(a) \oplus \mathcal{O}_f(a') \rightarrow \mathcal{O}_f(d' - n).$$

By using the inequalities  $a \geq a'$ ,  $d \geq d' \geq d' - n$  and the equality  $a + a' = d + d' = \alpha$  it follows that  $a' = d' - n$  and  $a = d + n$ .

**Corollary 5**  *$E$  is an uniform bundle if and only if the set  $Y$  (from extension (1)) is empty.*

By means of corollary 5 the uniform bundles are given by extensions of line bundles. It is naturally to ask if the converse is true. Unfortunately, this question has a negative answer, as we shall see.

**Proposition 6** *On the rational ruled surface  $\mathbb{F}_e$  with  $e \geq 1$  there exist non-uniform bundles given by extensions of line bundles.*

For the proof we need some preparations.

Let  $E$  be a 2-vector bundle given by an extension

$$0 \rightarrow F \rightarrow E \rightarrow G \rightarrow 0, \quad (2)$$

where  $F = \mathcal{O}_X(aC_0 + r'f_0) \otimes \pi^*L_2'$ ,  $G = \mathcal{O}_X(a'C_0 + s'f_0) \otimes \pi^*L_1'$  ( $L_1', L_2' \in \text{Pic}_0(C)$ ) are line bundles on  $X$ . By means of theorem 1,  $E$  sits also in a canonical extension (1). If  $a \geq a'$  then  $E$  is obviously uniform. So, we shall suppose that  $a < a'$ .

**Lemma 7** *With the above notations we have  $d \leq a'$ .*

*Proof:* Indeed, by the restriction of the sequence (2) to a general fibre  $f$  we obtain a surjective morphism

$$\mathcal{O}_f(d) \oplus \mathcal{O}_f(d') \rightarrow \mathcal{O}_f(a').$$

If  $d > a'$ , then it follows that  $d' = a'$  which contradicts the inequalities  $a < a'$ ,  $d \geq d'$  ( $a + a' = d + d'$ ).

**Lemma 8** *If  $d = a'$  then  $E$  is uniform.*

*Proof:* Let  $f$  be a fibre of  $X$  such that the splitting type of  $E|_f$  is different from the generic splitting type of  $E$ . According to lemma 4

$$E|_f \cong \mathcal{O}_f(d+n) \oplus \mathcal{O}_f(d'-n),$$

where  $n > 0$ .

By the restriction of (2) to  $f$  we obtain a surjective morphism

$$\mathcal{O}_f(d+n) \oplus \mathcal{O}_f(d'-n) \rightarrow \mathcal{O}_f(d).$$

Because of  $d+n > d$  it follows  $d'-n = d$ , contradiction.

**Lemma 9** *Let us suppose that  $g = 0$  (i.e.  $X$  is rational). If  $d = d'$  then  $E \cong F \oplus G$ .*

*Proof:* Let us observe that we can suppose, without loss of generality, that  $a = 0$ ,  $r' = 0$  (by tensoring the sequences (1) and (2) with  $\mathcal{O}_X(-aC_0 - r'f_0)$ ). The sequences (1) and (2) become:

$$\begin{array}{ccccccc}
 & & & & 0 & & \\
 & & & & \uparrow & & \\
 & & & & \mathcal{O}_X(\alpha C_0 + \beta f_0) & & \\
 & & & \nearrow \chi & \uparrow \varphi & & \\
 (1') \quad 0 & \longrightarrow & \mathcal{O}_X(dC_0 + rf_0) & \xrightarrow{\psi} & E & \longrightarrow & \mathcal{O}_X(d'C_0 + sf_0) \otimes I_Y \longrightarrow 0 \\
 & & & & \uparrow & & \\
 & & & & \mathcal{O}_X & & \\
 & & & & \uparrow & & \\
 & & & & 0 & & 
 \end{array}$$

with  $\alpha > 0$ .

The computation of  $c_2(E)$  in (1') implies

$$\deg(Y) = dd'e - ds - d'r.$$

Now,  $d = \alpha$  and we deduce, by means of lemma 8, that  $\deg(Y) = 0$  and  $d' = 0$ , so  $s = 0$  (we supposed  $\alpha > 0$ ).

The morphism  $\chi = \varphi\psi$  is non-zero, otherwise  $\mathcal{O}_X(\alpha C_0 + \beta f_0) \subset \mathcal{O}_X$  (which is a contradiction) so  $\chi$  is the multiplication by a  $\lambda \in \mathbb{C}^*$  and the assertion follows.



In this moment, we are able to give the counter-example announced in Proposition 6.

*Proof of Proposition 6 :* Let  $G$  be  $\mathcal{O}_X(2C_0)$  and let  $F$  be  $\mathcal{O}_X$ . Then:

$$\dim H^1(G^{-1}) = e + 1 \neq 0.$$

For  $E$  given by an extension  $\xi \in \text{Ext}^1(G, \mathcal{O}_X)$ , keeping the notations from section 1, we have  $d \leq 2$  (lemma 7),  $d \geq d'$ ,  $d + d' = 2$  and  $r + s = 0$ .

There are only two possibilities:

- (a)  $d = 2$ ,  $d' = 0$ , which implies  $E \cong \mathcal{O}_X \oplus \mathcal{O}_X(2C_0)$  (lemma 9).
- (b)  $d = d' = 1$  and, in this case, in the canonical extension (1) of  $E$ , we have

$$\deg(Y) = dd'e - ds - d'r = e \geq 1.$$

By applying corollary 5, all vector bundles given by non-zero extensions from  $\text{Ext}^1(G, \mathcal{O}_X)$  are non-uniform.

### 3 Non-emptiness of moduli spaces

For a rank-2 vector bundle  $E$ , we shall denote by  $d_E$  and  $r_E$  the invariants of  $E$ , when confusions may appear.

**Theorem 10**  $M(c_1, c_2, d, r)$  is non-empty if and only if  $l := l(c_1, c_2, d, r) \geq 0$  and one of the following conditions holds:

- (I)  $2d > \alpha$  or,
- (II)  $2d = \alpha$ ,  $\beta - 2r \leq g + l$ .

*Proof :* We observe that if  $M \neq \emptyset$  then, by means of the theorem 1, the elements of  $M$  sit between 2-vector bundles given by extensions of type (1). So, we conclude that  $M \neq \emptyset$  if and only if in the extensions of type (1) there are 2-vector bundles with  $d_E = d$  and  $r_E = r$ .

It is obvious that all the vector bundles given by an extension of type (1) have  $d_E = d$  so we shall look for bundles with  $r_E = r$ .

We shall fix  $L_1, L_2 \in \text{Pic}_0(C)$  and  $Y \subset X$  a locally complete intersection (or the empty set) and we shall denote

$$N_1 = \mathcal{O}_X(d'C_0 + sf_0) \otimes \pi^* L_1$$

$$N_2 = \mathcal{O}_X(dC_0 + rf_0) \otimes \pi^* L_2$$

and  $l = \text{deg}(Y)$ .

Consider the spectral sequence of terms

$$E_2^{p,q} = H^p(X, \mathcal{E}xt^q(I_Y \otimes N_1, N_2))$$

which converges to

$$\text{Ext}^{p+q}(I_Y \otimes N_1, N_2).$$

We have

$$\mathcal{E}xt^0(I_Y \otimes N_1, N_2) \cong N_2 \otimes N_1^{-1} \text{ and } \mathcal{E}xt^1(I_Y \otimes N_1, N_2) \cong \mathcal{O}_Y.$$

But  $H^2(X, N_2 \otimes N_1^{-1}) = 0$  so the exact sequence of lower terms becomes

$$0 \rightarrow H^1(X, N_2 \otimes N_1^{-1}) \rightarrow \text{Ext}^1(I_Y \otimes N_1, N_2) \rightarrow H^0(Y, \mathcal{O}_Y) \rightarrow 0.$$

Now, by a result due to Serre (see [O-S-S], Chap.I, 5, [Sc]), any element belonging to the group  $\text{Ext}^1(I_Y \otimes N_1, N_2)$  which has an invertible image in  $H^0(Y, \mathcal{O}_Y)$  defines an extension of the desired form with  $E$  a 2-vector bundle.

We put the sequence (1) under the equivalent form

$$0 \rightarrow \mathcal{O}_X \rightarrow E(-dC_0) \otimes \pi^* L'' \rightarrow \mathcal{O}_X((d' - d)C_0 + (s - r)f_0) \otimes \pi^*(\tilde{L}) \otimes I_Y \rightarrow 0 \quad (3)$$

where  $\tilde{L} = L_1 \otimes L_2^{-1}$ ,  $L'' = \mathcal{O}_C(-r) \otimes L_2^{-1}$  and  $\text{deg}(L'') = -r$ .

From the definition, it follows  $r \leq r_E$  for every bundle  $E$  given by an extension (1). We distinguish three cases:

(I)  $d > d'$ . In this case we shall prove that  $M$  is non-empty if and only if  $l \geq 0$ . To do this we prove that *all* vector bundles from extension (1) have  $r_E = r$ .

We verify that for all  $L' \in \text{Pic}(C)$  with  $\text{deg}(L') < 0$  we have

$$H^0(E(-dC_0) \otimes \pi^*(L'' \otimes L')) = 0,$$

which is true because  $H^0(L') = 0$  and

$$H^0(\mathcal{O}_X((d' - d)C_0 + (s - r)f_0) \otimes \pi^*(L_1 \otimes L_2^{-1} \otimes L') \otimes I_Y) = 0.$$

(II)  $a^\circ$ .  $d = d'$ ,  $r \geq s$ . Then  $M$  is non-empty if and only if  $l \geq 0$ . The proof runs like in the first case with the remark  $\text{deg}(\mathcal{O}_C(s - r) \otimes L_1 \otimes L_2^{-1} \otimes L') < 0$ .

(II)  $b^\circ$ .  $d = d'$ ,  $r < s$ . Then  $M$  is non-empty if and only if  $l \geq 0$  and  $\beta - 2r \leq g + l$ .

Let us see first that the natural isomorphism

$$M(2d, \beta, \gamma, d, r) \longrightarrow M(0, \beta, l, 0, r)$$

$$E \longrightarrow E(-dC_0)$$

allows us to suppose  $d = d' = 0$ .

In this case, the sequence (3) becomes

$$0 \rightarrow \mathcal{O}_X \rightarrow E \otimes \mathcal{O}_X(-rf_0) \otimes \pi^* L_2^{-1} \rightarrow \mathcal{O}_X((s-r)f_0) \otimes \pi^*(L_1 \otimes L_2^{-1}) \otimes I_Y \rightarrow 0.$$

The definition of the second invariant implies that  $r_E = r$  if and only if  $E' := \pi_* E \otimes \mathcal{O}_C(-rp_0) \otimes L_2^{-1}$  is normalised.  $E'$  belong to an extension

$$0 \rightarrow \mathcal{O}_C \rightarrow E' \rightarrow L \rightarrow 0 \quad (4)$$

where  $L = \mathcal{O}_C((s-r)p_0) \otimes L_1 \otimes L_2^{-1} \otimes \mathcal{O}_C(-Z_1)$  with  $Z_1$  an effective divisor on  $C$  with support  $\pi(Y)$  and  $\text{card}(Y) \leq \text{deg}(Z_1) \leq l = \text{deg}(Y)$ .

According to a result of Nagata ([N] or [Ha] Ex.V.2.5), if  $E'$  is normalised, then

$$-\text{deg}(E') = r - s + \text{deg}(Z_1) \geq -g$$

which proves " $\Rightarrow$ ".

For " $\Leftarrow$ " we choose  $Y$  reduced, obtained by intersection between  $C_0$  and  $l$  distinct fibres of  $X$ . In this case,  $Z_1 = \pi(Y)$ ,  $Y \subset Z = \pi^{-1}(Z_1)$ .

We have the following short exact sequence

$$0 \rightarrow I_Z \rightarrow I_Y \rightarrow I_{Y \subset Z} \rightarrow 0 \quad (5)$$

and  $Z_1 = p_1 + \dots + p_l$ ,  $Z = f_1 + \dots + f_l$ , where  $f_i$  are distinct fibres,  $\mathcal{O}_Z = \mathcal{O}_{f_1} \oplus \dots \oplus \mathcal{O}_{f_l}$ ,  $I_{Y \subset Z} = \mathcal{O}_{f_1}(-1) \oplus \dots \oplus \mathcal{O}_{f_l}(-1)$ .

So, the sequence (5) becomes

$$0 \rightarrow I_Z \rightarrow I_Y \rightarrow \mathcal{O}_{f_1}(-1) \oplus \dots \oplus \mathcal{O}_{f_l}(-1) \rightarrow 0.$$

Tensoring by  $K_X \otimes N_2^{-1} \otimes N_1$  and taking the long cohomology sequence we obtain an injective map:

$$H^1(K_X \otimes N_2^{-1} \otimes N_1 \otimes I_Z) \longrightarrow H^1(K_X \otimes N_2^{-1} \otimes N_1 \otimes I_Y).$$

By dualizing, it follows that the natural map

$$\mathrm{Ext}^1(I_Y \otimes N_1, N_2) \xrightarrow{\varphi} \mathrm{Ext}^1(I_Z \otimes N_1, N_2) \cong \mathrm{Ext}^1(L, \mathcal{O}_C)$$

is surjective, which shows that all bundles in (4) are coming from (1) by applying  $\pi_*$ .

According to [Ha] (Ex. V.2.5), there is a *non-empty* open set  $V \subset \mathrm{Ext}^1(L, \mathcal{O}_C)$  (don't forget the condition  $s - r \leq g + l$ !) such that all  $\xi \in V$  define normalised vector bundles on  $C$ .

Now, in  $\mathrm{Ext}^1(I_Y \otimes N_1, N_2)$  the set of vector bundles is a non-empty open set  $U$ . It is clear that  $\varphi^{-1}(V) \cap U \neq \emptyset$  (being open sets in Zariski topology), so we conclude.

## 4 Moduli of stable bundles

There is an interesting relation between the moduli spaces  $M(c_1, c_2, d, r)$  and the Qin's sets  $E_\zeta(c_1, c_2)$  (see [Q1], [Q2] for precised definitions).

As in the proof of theorem 10, case (I) we conclude that if  $\zeta$  is a normalized class representing a non-empty wall of type  $(c_1, c_2)$  such that  $l_\zeta(c_1, c_2) > 0$  then, for  $(2d - \alpha, 2r - \beta) = \zeta$ ,  $E_\zeta(c_1, c_2)$  and  $M(c_1, c_2, d, r)$  are coincident modulo a factor of  $\mathrm{Pic}_0(C)$  (Qin workes with first Chern class  $c_1$  as an element in  $\mathrm{Pic}(X)$ ).

This is a consequence of the following facts:

- (a)  $l_\zeta(c_1, c_2) = l(c_1, c_2, d, r)$
- (b) condition  $\zeta^2 < 0$  implies  $2d > \alpha$
- (c) in the case  $2d > \alpha$  the bundles  $L_1, L_2$  and the set  $Y$  from the sequence (1) are uniquely determined by  $E$ .
- (d) if  $l(c_1, c_2, d, r) > 0$  then in the sequence (1) the bundles are given only by non-trivial extensions.

In fact it is not hard to see that  $M(c_1, c_2, d, r) \neq \emptyset$  iff  $E_\zeta(c_1, c_2) \neq \emptyset$  so, by means of theorem 10,  $E_\zeta(c_1, c_2) \neq \emptyset$  if  $l_\zeta(c_1, c_2) > 0$ . But we have even more:

**Corollary 11** *Let  $X$  be a ruled surface different from  $\mathbb{P}^1 \times \mathbb{P}^1$  and let  $C$  be a chamber of type  $(c_1, c_2)$  different from  $C_{f_0}$ . Then the moduli space  $\mathcal{M}_C(c_1, c_2) \neq \emptyset$ .*

*Proof:* From theorem 1.3.3 in [Q2] it follows that

$$\mathcal{M}_C(c_1, c_2) = (\mathcal{M}_{c_1}(c_1, c_2) - \bigsqcup_{\zeta} E_{(-\zeta)}(c_1, c_2)) \bigsqcup_{\zeta} E_{\zeta}(c_1, c_2) ,$$

where  $\mathcal{C}_1$  is the chamber lying above  $\mathcal{C}$  and sharing with  $\mathcal{C}$  a non-empty common wall  $W$  and  $\zeta$  runs over all normalised classes representing  $W$ . By the above considerations, it follows that  $E_\zeta(c_1, c_2) \neq \emptyset$  if  $l(c_1, c_2, d, r) > 0$ . It remains the case  $l(c_1, c_2, d, r) = 0$  and it will be sufficient to prove that

$$h^1(X, N_2 \otimes N_1^{-1}) := \dim H^1(X, N_2 \otimes N_1^{-1}) > 0$$

(see the proof of Theorem 10).

We have

$$N_2 \otimes N_1^{-1} = \mathcal{O}_X((d - d')C_0 + (r - s)f_0) \otimes \pi^*(L_2 \otimes L_1^{-1}),$$

where  $d - d' = 2d - \alpha = u$  and  $r - s = 2r - \beta = v$ . But  $\zeta = uC_0 + vf_0$  is a normalized class and this implies that  $u > 0$  and  $v < 0$  (see [Q1]).

Because  $H^2(X, N_2 \otimes N_1^{-1}) = 0$ , the Riemann-Roch Theorem gives the equality:

$$\chi = h^0(X, N_2 \otimes N_1^{-1}) - h^1(X, N_2 \otimes N_1^{-1}) = 1 - g + (1/2)((u+1)(2v - ue) + u(2 - 2g)).$$

But  $\zeta^2 < 0$  gives  $u(2v - ue) < 0$ ; it follows  $2v - ue < 0$ .

If  $g \geq 1$ , then obviously  $\chi < 0$ . If  $g = 0$ , then  $e \geq 0$  and

$$\chi = 1 + v + (u/2)(2(v + 1) - e(u + 1)).$$

If  $e \geq 1$ , then  $\chi < 0$ . For  $e = 0$  we get  $X = \mathbb{P}^1 \times \mathbb{P}^1$ , which we excluded. Thus, in all cases  $\chi < 0$ ; it follows  $h^1(X, N_2 \otimes N_1^{-1}) > 0$  and the proof is over.

**Remark** Let us suppose that  $X = \mathbb{P}^1 \times \mathbb{P}^1$  and that  $\mathcal{C}$  is a chamber of type  $(c_1, c_2)$  lying below a non-empty wall defined by a normalized class  $\zeta = uC_0 + vf_0$  with  $v \leq -2$ . Then the same conclusion as in the above corollary holds.

Indeed, in this case we have  $\chi = (1 + v)(1 + u)$ . Since  $v < -1$ , then again  $\chi < 0$ .

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