

THE TWISTORIAL CONSTRUCTION OF NULL  
HOLOMORPHIC CURVES IN  $\mathbb{C}^3$

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## Introduction

The object of this paper is to elucidate a certain twistor correspondence which facilitates the study of null holomorphic curves in  $\mathbb{C}^3$ . This correspondence is most successfully employed when dealing with null meromorphic curves, in which case projection to  $\mathbb{R}^3$  yields complete, branched minimal surfaces of finite total Gaussian curvature. There are some examples discussed in section 6; in particular we describe there a branched minimal immersion of a Klein bottle into  $\mathbb{R}^3$  that has 2 ends, 6 branch points and total Gaussian curvature  $-4\pi$ .

We begin in section 1 by reviewing the integration of the Weierstrass representation formulae for a null curve in  $\mathbb{C}^3$  and the resulting "free" formulae.

Now, a plane in  $\mathbb{C}^3$  that contains a single null line is said to be null, and the collection of affine translates of such planes forms a holomorphic line bundle of degree 2 over the quadric curve,  $\mathbb{Q}_1$ . The affine null planes in  $\mathbb{C}^3$  that pass through a fixed  $z \in \mathbb{C}^3$  comprise a global holomorphic section of this line bundle and thus  $\mathbb{C}^3$  is identified with the set of its global sections. The nullity, or otherwise, of  $z$  can be understood in terms of the intersection of the corresponding global section with the zero section. This is explained in section 2 where however, following Hitchin [H1], we approach this correspondence from the opposite direction, i.e. starting with  $T$ , the holomorphic tangent bundle of  $\mathbb{P}_1$ , we derive the conformal structure in  $H^0(\mathbb{P}_1, T) \cong \mathbb{C}^3$  and interpret points of  $T$  as affine null planes there. This eases the exposition of section 3.

Section 3 is essentially an amplification of the appendix of [H1]. Viewing  $\mathbb{C}^3$  as  $H^0(\mathbb{P}_1, T)$ , we describe there a natural lift into  $T$  of the Gauss map of a non-constant null curve in  $\mathbb{C}^3$ . We show that the null curve may be viewed as the collection of global

sections of  $T$  that osculate this lift and thus establish a correspondence, described 3.7, between curves on  $T$  and null curves in  $H^0(P_1, T)$ . This manifests itself locally as the Weierstrass formulae in free form.

In section 4 we explain how to "compactify" the correspondence and view it in terms of the duality between curves in  $P_3$  and  $P_3^*$ : Theorem 4.9 describes the correspondence as it was understood by Lie [D], [Li]. In this context we study the behaviour of osculating sections in the vicinity of a branch point, and at the points at infinity, of an algebraic curve on  $T$ : in particular we show that this determines the asymptotic structure of the corresponding null curve.

Corollary 4.10 describes the correspondence in terms of the compactification of  $T$  to the Hirzebruch surface  $S_2 = P(T \oplus \mathcal{O})$ . This enables us to show that the moduli spaces of null meromorphic curves in  $\mathbb{C}^3$  compactify naturally to complete linear systems on  $S_2$ . In addition the numerical data associated to such a system is interpreted in terms of the geometry of the null curves thus parameterized: this is explained in section 5.

There are a number of ways in which one might hope to generalize the constructions described in this paper, we mention two. Firstly, there exists a close analogue for curves in  $\mathbb{C}^4$ . This is implicit in work of Eisenhart but was first made explicit by Shaw [Sh], [S1]. (In [H–S] the analogue is pursued in dimension 6, however an interesting generalization to  $\mathbb{C}^n$  is not obvious.) Secondly, Hitchin's construction of Einstein–Weyl geometries as moduli of rational curves on complex surfaces provides the natural context in which to view the constructions described in this paper [H2], [S2]. An interesting example is given by the correspondence between curves on a non-singular quadric surface in  $P_3$  and null curves in  $SL(2, \mathbb{C})$ : the latter were shown by Bryant [B] to project to surfaces of constant mean curvature 1 in the hyperbolic space of curvature  $-1$ .

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## § 1. The Weierstrass Representation Formulae in Free Form

(1.1) Let  $M$  be a Riemann surface and suppose that  $\Omega : M \rightarrow \mathbb{C}^3$  is a null holomorphic curve, i.e.  $(\Omega', \Omega') = 0$ , where  $(z, z) = z_1^2 + z_2^2 + z_3^2$  and primes denote differentiation. If  $\Omega$  is non-constant then the Gauss map  $\gamma_\Omega = [\Omega']$  is well-defined on  $M$  and takes values in the quadric curve  $Q_1 \subset \mathbb{P}_2$ . Furthermore,  $\phi = \text{Re}(\Omega)$  is a branched minimal immersion into  $\mathbb{R}^3$ . Every branched minimally immersed surface in  $\mathbb{R}^3$  may be parametrized in this way. Identifying  $Q_1$  with the unit sphere in oriented  $\mathbb{R}^3$  identifies  $\gamma_\Omega$  with the Euclidean Gauss map of  $\phi$ . For further details see [L], [O].

(1.2) Let  $g_\Omega = \chi^{-1} \circ \gamma_\Omega$ , where  $\chi : \mathbb{C} \cup \{\infty\} \rightarrow Q_1$  is given by  $\chi(\zeta) = [1 - \zeta^2, i(1 + \zeta^2), 2\zeta]$ . Provided that  $\gamma_\Omega$  is not the constant map taking the value  $[-1, i, 0]$ , there exists for every  $\xi_0 \in M$ , a holomorphic function  $F$ , defined on a neighbourhood  $U$  of  $\xi_0$  such that for  $\xi \in U$

$$\Omega(\xi) = \frac{1}{2} \int^{\xi} F(\xi)(1 - g^2, i(1 + g^2), 2g)d\xi .$$

Away from the branch locus of  $g$ ,  $\Omega$  may be locally reparameterized by the 'Gauss map variable'  $\zeta$ . Suppose that  $g^{-1}$  and  $F$ , as above, exist on an open set  $U \subset \mathbb{P}_1$  and  $g^{-1}(U)$  respectively and that  $f : U \rightarrow \mathbb{C}$  holomorphic, satisfies

$$f'''(\zeta) = f \circ g^{-1}(\zeta) \frac{dg^{-1}}{d\zeta}(\zeta) .$$

The substitution of  $f'''$  into the above, together with the change of variable to  $\zeta = g(\xi)$ , facilitates integration by parts over  $U$ . Correcting  $f$  up to a quadratic term, this yields the following *Weierstrass representation formulae in free form* for  $\Omega \circ g^{-1}$  on  $U$ :

$$\begin{aligned} \Omega_1 \circ g^{-1}(\zeta) &= 1/2(1 - \zeta^2)f''(\zeta) + \zeta f'(\zeta) - f(\zeta) \\ \Omega_2 \circ g^{-1}(\zeta) &= i/2(1 + \zeta^2)f''(\zeta) - i\zeta f'(\zeta) + if(\zeta) \\ \Omega_3 \circ g^{-1}(\zeta) &= \zeta f'(\zeta) - f(\zeta) . \end{aligned}$$

These formulae first appeared in [W] and are discussed at length in [D], see also [E], [Li] and [N].

(1.3) Remarks. (i) Substitution of any holomorphic function  $f$  into the above formulae yields a null holomorphic curve in  $\mathbb{C}^3$ ; provided that  $f$  is not merely quadratic in  $\zeta$  this projects to a branched minimal immersion into  $\mathbb{R}^3$ .

(ii) The collection of null curves mapping  $U \subset \mathbb{C}$  into  $\mathbb{C}^3$  and described by formulae of the above type has a vector space structure. This is an immediate consequence of the fact

that such a curve is parameterized by its Gauss map. This is the structure that was studied in [R–T]. Note that the addition of a quadratic function to  $f$  simply translates  $\Omega$  in  $\mathbb{C}^3$ .

(iii) If  $f$  generates a branched minimal immersion  $\phi$ , then  $\alpha f$ , where  $\alpha \in \mathbb{C}^*$ , generates a (rescaled) associate surface of  $\phi$ .

(iv) The (branched) metric induced on  $M$  by  $\Omega$  is given, with respect to the local coordinate  $\zeta$ , by:

$$ds^2 = |f'''(\zeta)|^2(1 + |\zeta|^2)^2 \operatorname{Re}(d\zeta \otimes d\bar{\zeta}),$$

and the Gaussian curvature by:  $K(\zeta) = -\frac{4}{|f'''(\zeta)|^2(1+|\zeta|^2)^4}$ .

Note that  $\zeta$  is a branch point of  $\Omega$  iff  $f'''(\zeta) = 0$ .

(v) It can be shown that  $\Omega$  has a Weierstrass representation in free form on some neighbourhood of  $\xi_0 \in M$  precisely when any branching in the Gauss map at  $\xi_0$  arises solely from ramification in the parameterization of  $\Omega$  at  $\xi_0$ .

**(1.4) Examples.** (i)  $f(\zeta) = \frac{1}{6}\zeta^3$  generates Enneper's surface. (It follows easily from Lemma 9.6 in [O] that a minimal surface  $\phi: \mathbb{C} \rightarrow \mathbb{R}^3$  which is complete, free of branch points and generated via 1.2 by an entire function is a scaled associate surface of Enneper.)

(ii)  $f(\zeta) = \frac{1}{6}\zeta^4$  generates  $\operatorname{Re}(\Omega): \mathbb{C} \rightarrow \mathbb{R}^3$  where  $\Omega(\zeta) = (\zeta^2 - \frac{1}{2}\zeta^4, i(\zeta^2 + \frac{1}{2}\zeta^4), \frac{4}{3}\zeta^3)$ .

This surface is complete, has total Gaussian curvature  $-4\pi$  and a branch point at  $\zeta = 0$ .

The geometry at  $\zeta = 0$  is discussed in II.3 in [L].

(iii)  $f(\zeta) = \frac{1}{6}(\zeta^3 + \frac{1}{4}\epsilon\zeta^4)$  generates a complete minimal surface with total Gaussian curvature  $-4\pi$  possessing a branch point at  $-1/\epsilon$ .

For further examples see the references cited above and refer to §6.

## §2. Duality

(2.1) With respect to an affine coordinate  $\zeta$  on  $\mathbb{P}_1$  a global holomorphic section of the holomorphic tangent bundle  $\pi: T \rightarrow \mathbb{P}_1$ , takes the form  $(a + b\zeta + c\zeta^2) \frac{d}{d\zeta}$ , where  $a, b, c \in \mathbb{C}$ ; thus a choice of  $\zeta$  permits us to identify  $\mathbb{C}^3$  with  $H^0 = H^0(\mathbb{P}_1, T)$ . A non-zero global section of  $T$  has a double root iff  $b^2 - 4ac = 0$ , so the set of such sections together with the zero section comprise the null cone,  $C(Q_1)$ , of the conformal structure on  $H^0$ . A global section of  $T$  whose discriminant is zero is said to be *null*.

There exists a canonical identification,  $q$ , between  $\mathbb{P}_1$  and the quadric,  $Q_1$ , of null directions in  $H^0$  where  $q(\zeta) = \{\sigma \in H^0; \sigma \text{ has a double root at } \zeta\}$ .

(2.2) If a global section  $\sigma$  vanishes at  $\zeta$  then it cannot possess a double root elsewhere on  $\mathbb{P}_1$ . Consequently the plane  $\Pi_\zeta = \{\sigma \in H^0; \sigma(\zeta) = 0\}$  enjoys tangential intersection with  $C(Q_1)$  along  $q(\zeta)$ , i.e.  $\Pi_\zeta \cap C(Q_1) = q(\zeta)$ . Such a plane is said to be *null* (or *isotropic*). In terms of the conformal structure,  $\Pi_\zeta$  is simply the polar space of  $q(\zeta)$ , and the restriction of the conformal structure to such a plane is degenerate. Note that a null line lies on a unique null plane.

$\Pi = \bigcup_{\zeta \in \mathbb{P}_1} \Pi_\zeta$ , viewed as a subbundle of the trivial bundle  $\underline{H}^0$  on  $\mathbb{P}_1$ , is the kernel of the map  $\underline{H}^0 \rightarrow T, (\zeta, \sigma) \mapsto \sigma(\zeta)$ , and hence there is the following isomorphism:

$$T \cong \underline{H}^0 / \Pi = \{\text{affine null planes in } H^0\}.$$

Of course,  $t \in T$  corresponds with the affine plane in  $H^0$  of sections that pass through  $t$ . Consequently  $t$  lies on the image of a global section  $\sigma$  iff  $\sigma$  lies on the affine null plane



in  $H^0$  corresponding to  $t$ .

(2.3) **Remarks** (i) By viewing  $\mathbb{C}^2$  as  $H^0(\mathbb{P}_1, \mathcal{O}(1))$  one obtains a similar correspondence which compactifies to the usual duality between  $\mathbb{P}_2$  and  $\mathbb{P}_2^*$ . (Since every global section of  $\mathcal{O}(1)$  has precisely one zero there is no constraint on the planes thus obtained in  $H^0(\mathbb{P}_1, \mathcal{O}(1))$ .)

(ii) Identifying  $\mathbb{C}^3$  with  $H^0$  via the basis  $\{-1/2(1-\zeta^2)\frac{d}{d\zeta}, -i/2(1+\zeta^2)\frac{d}{d\zeta}, -\zeta\frac{d}{d\zeta}\}$  gives the transformation  $a = -1/2(z_1 + iz_2)$ ,  $b = -z_3$ ,  $c = 1/2(z_1 - iz_2)$  and hence the discriminant takes the form  $z_1^2 + z_2^2 + z_3^2$ .

The set of real sections with respect to this identification intersects  $C(Q_1)$  in  $\{0\}$ ; each affine null plane intersects the real slice in an affine line and, together with a choice of orientation on  $\mathbb{R}^3$ , induces an orientation thereon.  $T$  is thus identified with the collection of oriented affine lines in  $\mathbb{R}^3$  and a real section may be viewed as the set of oriented affine lines that pass through the corresponding point in  $\mathbb{R}^3$ .

(iii) Note that the essential feature of  $T$  in the above is that it is a line bundle of degree 2 over  $\mathbb{P}_1$ , it is this that gives the conformal structure on  $H^0$ .

(The discriminant gives us an inner product on  $H^0$ , however this is preserved only by those bundle automorphisms induced by differentiating automorphisms of  $\mathbb{P}_1$ . Simply, fixing a scale in the fibres of  $T$  corresponds to fixing a scale on  $H^0$ .)

### §3. The Lie–Hitchin Correspondence

(3.1) Recall that the duality alluded to in 2.3(i) leads to a duality between curves in  $\mathbb{P}_2$  and  $\mathbb{P}_2^*$ . We describe here the analogue of this correspondence for  $T$  and  $H^0$ .

(3.2) By definition, a curve  $\Omega : M \rightarrow \mathbb{H}^0$  is null if  $\frac{d\Omega}{d\xi}(\xi, \cdot)$  is always a null section of  $T$ . (We rewrite  $\Omega$  as a map from  $M \times \mathbb{P}_1$  simply for notational ease.) Suppose that  $\Omega$  is non-constant and identify  $Q_1$  with  $\mathbb{P}_1$  via  $q$  in order to view  $\gamma_\Omega$  as a map to  $\mathbb{P}_1$ . Thus one obtains the following characterization of nullity:  $\Omega$ , non-constant, is null if for any local coordinate  $\xi$  on  $M$  and any affine coordinate  $\zeta$  on  $\mathbb{P}_1$ , there exists a holomorphic function  $\lambda$  such that

$$\frac{d\Omega}{d\xi}(\xi, \zeta) = \lambda(\xi)(\zeta - \gamma_\Omega(\xi))^2.$$

(3.3) For  $\Omega : M \rightarrow \mathbb{H}^0$  a non-constant null curve let  $\Gamma_\Omega : M \rightarrow T$  be given by  $\Gamma_\Omega(\xi) = \Omega(\xi, \gamma_\Omega(\xi))$ .  $\Gamma_\Omega$  is called the *Gauss transform* of  $\Omega$ ; clearly it is a globally defined lift of the Gauss map of  $\Omega$ . From the duality of 2.2 observe that  $\Gamma_\Omega(\xi)$  is the (unique) affine null plane with null direction  $\gamma_\Omega(\xi)$  that passes through  $\Omega(\xi, \cdot) \in \mathbb{H}^0$ .

(3.4) We show that  $\Omega$  is determined by its Gauss transform.

**Theorem.** If  $\Omega, \Psi : M \rightarrow \mathbb{H}^0$  are null curves such that  $\Gamma_\Omega = \Gamma_\Psi$  and  $\gamma_\Omega$  is non-constant then  $\Omega = \Psi$ .

**Proof.**  $\Gamma_\Omega = \Gamma_\Psi$  implies that  $\gamma_\Omega = \gamma_\Psi = \gamma$  say. Let  $\gamma^{-1}$  be an inverse for  $\gamma$  on some open proper subset  $U \subset \mathbb{P}_1$  and observe that  $\Gamma_\Omega \circ \gamma^{-1} = \Gamma_\Psi \circ \gamma^{-1}$  as local section of  $T$  over  $U$ . Working in an affine coordinate on  $U$  we calculate the quadratic jet of  $\Gamma_\Omega \circ \gamma^{-1}$  at  $\zeta_0 \in U$  and show that it equals  $\Omega(\xi_0, \zeta)$ , where  $\xi_0 = \gamma^{-1}(\zeta_0)$ , which shows that  $\Omega = \Psi$  on  $U$  and by uniqueness of analytic continuation this establishes the result. By definition  $\Gamma_\Omega \circ \gamma^{-1}(\zeta) = \Omega(\gamma^{-1}(\zeta), \zeta)$ , therefore

$$\frac{d}{d\zeta} \Gamma_{\Omega} \circ \gamma^{-1}(\zeta_0) = \frac{d\Omega}{d\xi}(\xi_0, \zeta_0) \frac{d\gamma^{-1}}{d\zeta}(\zeta_0) + \frac{d\Omega}{d\zeta}(\xi_0, \zeta_0).$$

From 3.2,  $\frac{d\Omega}{d\xi}(\gamma^{-1}(\zeta), \zeta) \equiv 0$  and hence

$$\frac{d^2}{d\zeta^2} \Gamma_{\Omega} \circ \gamma^{-1}(\zeta_0) = \frac{d^2}{d\xi d\zeta} \Omega(\xi_0, \zeta_0) \frac{d\gamma^{-1}}{d\zeta}(\zeta_0) + \frac{d^2\Omega}{d\zeta^2}(\xi_0, \zeta_0).$$

Again from (3.2),  $\frac{d^2}{d\zeta d\xi} \Omega(\gamma^{-1}(\zeta), \zeta) \equiv 0$ , so the quadratic jet of  $\Gamma_{\Omega} \circ \gamma^{-1}$  at  $\zeta_0$  is

$$\Omega(\xi_0, \zeta_0) + \frac{d\Omega}{d\zeta}(\xi_0, \zeta_0)(\zeta - \zeta_0) + \frac{1}{2} \frac{d^2\Omega}{d\zeta^2}(\xi_0, \zeta_0)(\zeta - \zeta_0)^2 = \Omega(\xi_0, \zeta).$$

**Remark.** If  $\gamma_{\Omega}$  is constant, in which case the image of  $\Omega$  lies on an affine null line,  $\Gamma_{\Omega}$  is constant and does not determine  $\Omega$  completely.

(3.5) It is clear from the proof of 3.4 that in order to recover  $\Omega$  from  $\Gamma_{\Omega}$  we must construct the curve in  $H^0$  of global sections of  $T$  which each have the property that they intersect  $\Gamma_{\Omega}$  with multiplicity at least 3 at some point; such sections are said to *osculate*  $\Gamma_{\Omega}$ . A natural way to formulate this, and hence describe the process which inverts the Gauss transform, is to let  $\text{Spé}(T)$  denote the Étalé space of the sheaf of germs of sections of  $T$  and introduce the canonical map  $\omega: \text{Spé}(T) \rightarrow H^0$ , which is given on stalks by the following:

$$\omega: \mathcal{O}(T)_{\zeta} \longrightarrow \mathcal{O}(T)_{\zeta} / \mathcal{I}_{\zeta}^3 \otimes \mathcal{O}(T)_{\zeta} \xrightarrow{\sim} H^0.$$

Here  $\mathcal{I}_{\zeta}$  is the ideal sheaf of holomorphic function vanishing at  $\zeta$ , so the intermediate object is the module of 2-jets of local sections at  $\zeta$ , and the identification with  $H^0$  says

simply that a global section of  $T$  is determined by its 2-jet anywhere on  $\mathbb{P}_1$  and conversely, that any 2-jet 'extends' over  $\mathbb{P}_1$  to give a global section.

(3.6) It is clear that  $\omega$  is holomorphic, the other relevant properties of  $\omega$  are easily established. Let  $\mathcal{J} \subset \text{Spé}(T)$  be the set of germs of global sections of  $T$ .

**Theorem.** (i) The curve  $\omega : \text{Spé}(T) \rightarrow H^0$  is null.

(ii) The Gauss map  $\gamma_\omega : \text{Spé}(T) - \mathcal{J} \rightarrow \mathbb{P}_1$  is  $\gamma_\omega([\sigma]_\zeta) = \zeta$ .

(iii) The Gauss curve  $\Gamma_\omega : \text{Spé}(T) - \mathcal{J} \rightarrow T$  is given by evaluation, i.e.  $\Gamma_\omega([\sigma]_\zeta) = \sigma(\zeta)$ .

**Proof.** (i) and (ii) : by definition of  $\omega$ , for any  $[\sigma]_{\zeta_0} \in \text{Spé}(T)$  there exists some neighbourhood of  $\zeta_0$  on which the following equation holds:

$$\sigma(\zeta) = \omega([\sigma]_{\zeta_0}, \zeta) + \mathcal{O}[(\zeta - \zeta_0)^3].$$

In the local chart  $[\sigma]_{\zeta_0} \rightarrow \zeta_0$  on  $\text{Spé}(T)$ , differentiation of this equation with respect to  $\zeta_0$  gives

$$\frac{d\omega}{d\zeta_0}([\sigma]_{\zeta_0}, \zeta) = \mathcal{O}[(\zeta - \zeta_0)^2],$$

so from 3.2  $\frac{d\omega}{d\zeta_0}([\sigma]_{\zeta_0}, \cdot)$  is a null section and hence  $\omega$  is a null curve. Now (ii) follows immediately from this and the identification of  $\mathbb{P}_1$  with  $Q_1$  via  $q$ .

$$\begin{aligned}
 \text{(iii)} \quad \Gamma_{\omega}([\sigma]_{\zeta_0}) &= \omega([\sigma]_{\zeta_0}, \gamma_{\omega}([\sigma]_{\zeta_0})) \\
 &= \omega([\sigma]_{\zeta_0}, \zeta_0) \\
 &= \sigma(\zeta_0) \quad \text{from the first equation above.}
 \end{aligned}$$

**Remark.** Clearly  $\gamma_{\omega}$  and  $\Gamma_{\omega}$  may be defined on  $\text{Spé}(T)$ . For  $\sigma \in H^0$ ,  $\gamma_{\omega}$  and  $\Gamma_{\omega}$  respectively describe the  $\mathbb{P}_1$  of affine null lines that pass through  $\sigma$  and the  $\mathbb{P}_1$  of affine null planes that pass through  $\sigma$ .

**(3.7) Theorem.** If  $\Omega$  is null, with  $\gamma_{\Omega}$  non-constant, then  $\Omega|_{M_*} = \omega \circ \Gamma_{\Omega}^*$ , where  $M_* = \{\xi \in M; \Gamma_{\Omega}(\xi) \text{ is transverse to the fibre of } T\}$  and  $\Gamma_{\Omega}^*: M_* \rightarrow \text{Spé}(T)$  is the natural lift of  $\Gamma_{\Omega}$  over  $M_*$ .

**Proof.** It follows immediately from  $\gamma_{\omega \circ \Gamma_{\Omega}^*} = \gamma_{\omega} \circ \Gamma_{\Omega}^*$  that  $\Gamma_{\omega \circ \Gamma_{\Omega}^*} = \Gamma_{\omega} \circ \Gamma_{\Omega}^*$ . So from Theorem 3.6 (iii),  $\Gamma_{\omega \circ \Gamma_{\Omega}^*} = \Gamma_{\Omega}$  over  $M_*$  and the result follows from Theorem 3.4.

The geometric significance of the auxiliary function  $f$  in the Weierstrass formulae for  $\Omega$  is now clear. If  $\Omega: M \rightarrow H^0$  has non-constant Gauss map then for  $\xi_0 \in M$ , not a branch point of  $\gamma_{\Omega}$ , there exists a local inverse  $\gamma_{\Omega}^{-1}$  and so  $\Gamma_{\Omega} \circ \gamma_{\Omega}^{-1}(\zeta) = f(\zeta) \frac{d}{d\zeta}$ ,  $f$  a holomorphic function, on some neighbourhood of  $\zeta_0 = \gamma_{\Omega}(\xi_0)$ .  $\zeta$  is an affine coordinate so the global section determined by the 2-jet at  $\zeta_0$  is obtained by Taylor expanding  $f$  at  $\zeta_0$  to order 2. It follows from Theorem 3.7 that

$$\Omega \circ \gamma_{\Omega}^{-1}(\zeta_0) = \omega \circ \Gamma_{\Omega}^* \circ \gamma_{\Omega}^{-1}(\zeta_0) = (a(\zeta_0) + b(\zeta_0)\zeta + c(\zeta_0)\zeta^2) \frac{d}{d\zeta},$$

where

$$\begin{aligned} a(\zeta_0) &= f(\zeta_0) - \zeta_0 f'(\zeta_0) + 1/2 \zeta_0^2 f''(\zeta_0) \\ b(\zeta_0) &= f'(\zeta_0) - \zeta_0 f''(\zeta_0) \\ c(\zeta_0) &= 1/2 f''(\zeta_0). \end{aligned}$$

Viewing  $\zeta_0$  as variable and transforming to  $(z_1, z_2, z_3)$  coordinates, as in 2.3 (ii), yields the Weierstrass formulae 1.2. Thus observe that  $f$  is a local implicit description over  $\mathbb{P}_1$  of the Gauss transform  $\Gamma_\Omega$  in  $T$ .

(3.8) For a bundle automorphism  $\Theta$  of  $T$ , inducing  $\theta \in \text{PGL}(2, \mathbb{C})$ , the element of  $\text{GL}(H^0)$  given by  $\sigma \longmapsto \Theta \circ \sigma \circ \theta^{-1}$  preserves the null cone in  $H^0$  and induces  $\theta$  on  $\mathbb{P}_1$  via  $q$ . Conversely, any conformal transformation of  $H^0$  maps an affine null plane to an affine null plane and so induces an automorphism of  $T$ . Thus we obtain an isomorphism between automorphisms of  $T$  and conformal transformations of  $H^0$ . Fixing a scale in the fibres of  $T$ , (which fixes a scale on  $H^0$ ), this reduces to the isomorphism  $\text{PGL}(2, \mathbb{C}) \cong \text{SO}(3, \mathbb{C})$  which, in terms of the double cover  $\text{SL}(2, \mathbb{C}) \longrightarrow \text{PGL}(2, \mathbb{C})$ , is simply the adjoint representation. This leads to the following proposition in which the effect of coordinate transformations is characterized.

**Proposition.** Suppose that the local section  $\eta = f(\zeta) \frac{d}{d\zeta}$  generates the null curve  $\Omega$  via osculation; for  $\theta \in \text{SL}(2, \mathbb{C})$  we have

$$\tilde{\eta} \circ \theta = \theta \circ \eta \text{ iff } \tilde{\Omega} \circ \theta = \text{Ad}(\theta)\Omega,$$

where  $\tilde{\Omega}$  is generated by  $\tilde{\eta}$ . Writing  $\theta = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$  we have more explicitly:

$$\gamma \left[ \frac{a\zeta+b}{c\zeta+d} \right] = (c\zeta + d)^{-2} f(\zeta)$$

iff

$$\begin{bmatrix} \tilde{\Omega}_3 \circ \theta & -(\tilde{\Omega}_1 + i\tilde{\Omega}_2) \circ \theta \\ -(\tilde{\Omega}_1 - i\tilde{\Omega}_2) \circ \theta & -\tilde{\Omega}_3 \circ \theta \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} \Omega_3 & -(\Omega_1 + i\Omega_2) \\ -(\Omega_1 - i\Omega_2) & -\Omega_3 \end{bmatrix} \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}.$$

(3.9) **Remarks.** (i)  $f'''(\zeta) = 0$ , in which case  $\Omega$  has a branch point at  $\zeta$ , iff the osculating section at  $(\zeta, f(\zeta) \frac{d}{d\zeta})$  intersects with multiplicity at least 4 there, so it *hyperosculates* the curve.

(ii) It is not hard to see that  $\mathcal{O}(n)$ , the holomorphic line bundle of degree  $n$  on  $\mathbb{P}_1$ , may be viewed as the totality of affine hyperplanes in  $H^0(\mathbb{P}_1, \mathcal{O}(n)) \cong \mathbb{C}^{n+1}$  which are translates of hyperplanes that lie tangent to  $K$ , the cone over the rational normal curve in  $\mathbb{P}_n$ . There exists a canonical map, analogous to  $\omega$ , from the Étale space of  $\mathcal{O}(n)$  to  $\mathbb{C}^{n+1}$  whose tangent lines are translates of lines on  $K$ . Correspondingly, such a map to  $\mathbb{C}^{n+1}$ , whose Gauss map to the rational normal curve is non-constant, possesses a Gauss transform on  $\mathcal{O}(n)$  and derives from osculation of that curve. The analogous Weierstrass formulae are easily written down. We will discuss this in more detail elsewhere.

#### §4. Compactification

(4.1) The Lie-Hitchin correspondence is described here in terms of the duality between curves in  $\mathbb{P}_3$  and  $\mathbb{P}_3^*$ . This change of viewpoint reveals the nature of osculation of an algebraic curve  $A \subset T$  at points in the branch locus of  $\pi|_A$  and at infinity; thus it elucidates the classical work of Lie and Darboux to which it is very close, see [D], [Li].

(4.2) Let  $\pi^{-1}T$  denote the pullback of  $T$  over the total space of  $T$ .  $T$  embeds into  $\mathbb{P}(H^0(T, \pi^{-1}T))^* \cong \mathbb{P}_3$ , where it is compactified to  $\mathcal{C}(Q_1)$ , the projective cone over the

quadric curve,  $Q_1$ —the image of the zero section of  $T$ .

(4.3) A hyperplane in  $\mathbb{P}_3$  which does not pass through  $v$ , the vertex of  $\mathcal{E}(Q_1)$ , cuts out the image on  $\mathcal{E}(Q_1)$  of a global section of  $T$ : the hyperplane  $H_{\omega}$ , that cuts out  $Q_1$  is thus distinguished. Hyperplanes that pass through  $v$  generically intersect  $\mathcal{E}(Q_1)$  along a pair of generators.

(4.4) A hyperplane in  $\mathbb{P}_3$  that intersects  $Q_1$  at one point is said to be *null*: a null hyperplane that passes through  $v$  lies tangent to  $\mathcal{E}(Q_1)$ , any other cuts out the image of a null section.

Viewing  $\mathcal{E}(Q_1)$  as the compactification of the null cone in  $\mathbb{P}_3 - H_{\omega}$ , (where  $v$  determines an origin), observe that since  $Q_1$  comprises the null directions at infinity in  $\mathbb{P}_3 - H_{\omega}$ , a null hyperplane is the compactification of an affine null plane in  $\mathbb{P}_3 - H_{\omega}$ .

Thus the notion of a null hyperplane subsumes both that of a non-zero null section of  $T$  and that of an affine null plane in  $\mathbb{C}^3$ .

(4.5) For  $p \in \mathbb{P}_3$  and a hyperplane  $H \subset \mathbb{P}_3$  let  $p^*$  and  $H^*$  denote the dual hyperplane and dual point in  $\mathbb{P}_3^*$  respectively. The null hyperplanes in  $\mathbb{P}_3$ , together with  $H_{\omega}^*$ , comprise a dual quadric cone  $\mathcal{E}(\tilde{Q}_1) \subset \mathbb{P}_3^*$ , where the distinguished quadric curve  $\tilde{Q}_1$  comprises the null hyperplanes through  $v$ .  $H_{\omega}^*$  is the vertex of  $\mathcal{E}(\tilde{Q}_1)$  and  $Q_1$  parameterizes the hyperplanes in  $\mathbb{P}_3^*$  that pass through it and are null (with respect to  $\tilde{Q}_1$ ). Note that this construction is symmetric, i.e.  $\mathcal{E}(Q_1)$  may be viewed as  $\{\text{null hyperplanes in } \mathbb{P}_3^*\} \cup \{v\}$ .

(4.6) It follows from 4.2 that  $\mathbb{P}_3^* \cong H^0(\mathbb{P}_1, T) \cup v^*$ ;  $\mathcal{E}(\tilde{Q}_1)$  is thus identified with the



compactification of the null cone in  $H^0(P_1, T)$ .

(4.7) Recall that an algebraic curve  $A \subset P_3$  is said to be *full* if it does not lie on any hyperplane and furthermore that such curves necessarily have degree at least 3. There is a natural correspondence between full curves in  $P_3$  and full curves  $P_3^*$  which for  $A \subset P_3$  is given by associating to each smooth point  $\alpha \in A$  the hyperplane that intersects  $A$  at  $\alpha$  with multiplicity at least 3; that hyperplane is said to *osculate*  $A$  at  $\alpha$ . This determines a birational map between  $A$  and a dual curve  $A^* \subset P_3^*$ :

**Proposition.** Let  $n: \tilde{A} \rightarrow A \subset P_3$  be the normalization and let  $n^*: \tilde{A} \rightarrow A^* \subset P_3^*$  denote the map induced by osculation. Then  $(\tilde{A}, n^*)$  gives the normalization of  $A^*$  and  $n^{**} = n$ .

For further details see [G-H], [Ha].

(4.8) **Lemma.** Suppose that  $A \subset P_3$  is full.

(i) If  $A$  lies on  $\mathcal{E}(Q_1)$  then at  $\alpha \in A$ , which does not lie in the branch locus of the projection to  $Q_1$  or equal  $v$ , the osculating section is cut out by the hyperplane that osculates  $A$  at  $\alpha$ .

(ii) Suppose that  $A' = A - (A \cap v^*)$  is a null curve in  $P_3^* - v^*$  (with respect to  $C(\tilde{Q}_1)$ ). For a smooth point  $\alpha \in A'$ , the hyperplane that osculates  $A'$  at  $\alpha$  gives the value of the Gauss transform there viewed as a map to  $\mathcal{E}(Q_1)$ .

**Proof.** (i) is immediate. To prove (ii) let  $\xi$  be a local coordinate on  $\tilde{A}$  with  $n(\xi_0) = \alpha$  and recall that  $\Gamma_n(\xi_0)$  is the affine null plane that passes through  $\alpha$  with null direction

$\gamma_n(\xi_0)$ . Generically, neither  $n'$  nor  $n''$  equals zero so suppose that this is true at  $\xi_0$ . Since  $(n', n') = 0$  implies that  $(n'', n'') = 0$ , the polar  $\gamma_n(\xi_0)^0 = \text{span}_{\mathbb{C}}\{n'(\xi_0), n''(\xi_0)\}$ . Expanding  $n$  at  $\xi_0$  it is clear that  $u(\xi) - u(\xi_0)$  intersects  $\gamma_n(\xi_0)^0$  with multiplicity at least 3 and therefore the closure of  $\Gamma_n(\xi_0)$  in  $\mathbb{P}_3^*$  in the hyperplane that osculates  $A$  at  $\alpha$ .

**Remarks.** (i) The fullness of  $A$  ensures in (i) that  $A$  does not lie on a global section or a fibre of  $T$  and in (ii) that the Gauss map is non-constant. (Recall that these are degenerate cases.)

(ii) Observe that a section hyperosculates iff the corresponding hyperplane hyperosculates.

(4.9) It is now clear that for a full curve  $A \subset \mathbb{P}_3^*$ ,  $A'$  is null in  $\mathbb{P}_3^* - v^*$  iff  $A^* \subset \mathcal{S}(Q_1)$ : accordingly we say that  $A$  is *null* (with respect to  $\tilde{Q}_1$ ) if  $A^* \subset \mathcal{S}(Q_1)$ , i.e. the hyperplanes that osculate  $A$  are null.

The next result describes the Lie–Hitchin correspondence extrinsically.

**Theorem.** Osculation determines a correspondence between full curves on  $\mathcal{S}(Q_1) \subset \mathbb{P}_3$  and full curves in  $\mathbb{P}_3^*$  that are null with respect to  $\tilde{Q}_1$ . Furthermore, the obvious dual statement holds.

(4.10) We now reformulate Theorem 4.9 intrinsically; however, note that in doing so we break the inherent symmetry of the above statement.

Blowing up the vertex of  $\mathcal{S}(Q_1)$  gives the Hirzebruch surface  $S_2 \cong \mathbb{P}(T \oplus \mathcal{O})$ , which is a rational ruled surface and the minimal smooth compactification of  $T$ : for details and notation see § 4.3 of [G–H].  $\mathbb{P}_3^*$  is thus identified with the linear system  $|E_0|$  on  $S_2$ :

$|E_0| \cong H^0(\mathbb{P}_1, T) \cup \{\text{reducible divisors}\}$ , where the reducible divisors are of the form  $E_\omega + C_1 + C_2$  and result from blowing up hyperplane intersections of  $\mathcal{C}(Q_1)$  that pass through  $v$ .  $Q_1$  determines a distinguished irreducible element of  $|E_0|$  and nullity is defined in  $|E_0|$  with respect to that curve in the obvious way: note that the null divisors at infinity are divisors of the form  $E_\omega + 2C$ .

We say that an algebraic curve  $A \subset S_2$  is *full* if it blows down to a full curve  $\beta(A) \subset \mathcal{C}(Q_1)$ . For a full curve  $A \subset S_2$ , with normalization  $(\tilde{A}, n)$ , osculation is defined on  $\tilde{A}$  via  $\beta(A)$ . Thus 4.9 gives

**Corollary.** There exists a natural correspondence between full algebraic curves on  $S_2$  and full algebraic null curves in  $|E_0|$ .

**Remarks.** (i) Compactifying  $T$  to  $S_2$  the Gauss transform extends over the poles of a null meromorphic curve in  $\mathbb{C}^3$ .

(ii) This result simply reformulates Weierstrass' observation that in 1.2,  $\Omega$  is a meromorphic curve iff  $f$  is an algebraic function.

(iii) For  $n: \tilde{A} \rightarrow A \subset S_2$ , the Gauss map of  $n^*$  is given by  $\gamma_{n^*} = \pi|_A \circ n$ , where  $\pi: S_2 \rightarrow \mathbb{P}_1$  is projection.

(4.11) We now consider, in more detail, osculation of  $n: \tilde{A} \rightarrow A \subset S_2$  at points in the branch locus of  $\pi|_A$  and at points on  $E_\omega$ .

A null curve in  $\mathbb{P}_3^*$  intersects  $v^*$ , the hyperplane at infinity, inside  $\tilde{Q}_1$ . Consequently, if the hyperplane that osculates  $\beta(A)$  at  $\beta \circ n(\xi)$  passes through  $v$  it is null and hence  $A$  osculates the fibre through  $n(\xi)$ . In terms of divisors:  $n^*(\xi) = E_\omega + 2C_{\pi \circ n(\xi)}$ .

If  $n(\xi)$  lies on  $E_\omega$  then  $\beta \circ n(\xi) = v$  and the osculating hyperplane lies tangent to  $\mathcal{C}(Q_1)$  along  $\pi \circ n(\xi)$ , therefore  $n^*(\xi) = E_\omega + 2C_{\pi \circ n(\xi)}$  and in particular  $n^*$  is never finite on  $E_\omega$ .

If  $\beta \circ n(\xi)$  is a singular point on  $\beta(A)$ , finiteness of  $n^*(\xi)$  depends on the nature of the singularity there. This can be made precise as follows.  $A$  can be described locally in  $S_2$  in the form  $n(\xi) = (\gamma_{n^*}(\xi), h(\xi))$ , where  $h$  is a meromorphic function. Suppose that  $\xi$ , a local coordinate on  $U \subset \tilde{A}$ , is centred at  $\xi_0$  and such that  $\gamma_{n^*}(\xi) = \xi^q$ ; furthermore suppose that  $\zeta$  is centred at  $\gamma_{n^*}(\xi_0)$ . Since  $h$  is meromorphic there are Puiseux series representations of  $n(U)$  in the vicinity of  $n(\xi_0)$ :

$$h \circ \gamma_{n^*}^{-1}(\zeta) = \sum_{m=p}^{\omega} a_m \zeta^{m/q}, \text{ where } p \in \mathbb{Z}.$$

Write  $n^* = (n_1^*, n_2^*, n_3^*) : \tilde{A} \rightarrow \mathbb{C}^3 \cup v^*$ . It follows from 1.2 that:

$p/q > 2$  implies that  $n^*(\xi_0)$  is finite, in which case  $\xi_0$ , for  $q \geq 2$ , is simply a branch point of the Gauss map of the curve in  $\mathbb{C}^3$ ;

$1 < p/q < 2$  implies that  $n_1^*(\xi_0) = n_2^*(\xi_0) = \omega$ ,  $n_3^*(\xi_0) < \omega$ . In this case the corresponding end of the associated minimal surface in  $\mathbb{R}^3$  is asymptotic to an affine plane;

$p/q < 1$  implies that  $n_k^*(\xi_0) = \omega$ ,  $k = 1, 2, 3$ .

**Remarks.** (i) These differences may be viewed as follows. If  $n(\xi_0) \in E_\omega$  then, at  $\xi_0$ ,  $n^*$  osculates  $v^*$ , the hyperplane at infinity of  $\mathbb{C}^3$ . However, if  $n(\xi_0) \notin E_\omega$  then, at  $\xi_0$ ,  $n^*$  osculates a null hyperplane in  $\mathbb{C}^3 \cup v^*$ . For  $0 < p/q < 2$ ,  $n$  osculates the fibre through  $n(\xi_0)$ , therefore  $n^*$  osculates the null hyperplane determined by  $n(\xi_0)$  at infinity.  $p/q > 2$  implies that  $n^*(\xi_0) \in \mathbb{C}^3$  and osculation occurs there.

Clearly, the most significant difference between  $0 < p/q < 1$  and  $1 < p/q < 2$  concerns the second order behaviour of the curve at  $n(\xi_0)$ , it is this that determines the difference in the growth behaviour at the end.

(ii) It is clear that if a smooth point of  $A$  lies in the branch locus of  $\pi|_A$  then  $A$  osculates the fibre there.

(iii) Points of self-intersection on  $A$  contribute to the asymptotic structure of  $A^*$  only if a component there osculates the fibre.

(iv) The above trichotomy was known in the last century, see [D].

### §5. Moduli for Null Curves

(5.1) A null meromorphic curve  $\Omega : M \rightarrow \mathbb{C}^3$  extends over its poles to give a null curve  $\tilde{\Omega} : \tilde{M} \rightarrow \mathbb{P}_3$ . Now,  $\tilde{\Omega}$  factors through  $\tilde{A}$ , the normalization of  $A = \tilde{\Omega}(\tilde{M})$  and hence the natural data associated to  $\Omega(M)$ , and the accompanying minimal surface  $\text{Re } \Omega(M)$ , derives from  $\tilde{A}$ . The total Gaussian curvature, genus, branching and number of ends should be calculated there since this removes superfluous ramification in the parameterization of the image. (E.g. this gives a sharper form of Ossermann's inequality in the presence of branching.)

Corollary 4.10 gives the following diagram

$$\tilde{\Omega} : \tilde{M} \rightarrow \tilde{A} \begin{array}{l} \nearrow \pi \rightarrow A \\ \searrow \pi^* \rightarrow A^* \end{array}$$

where  $A^*$  is the corresponding Gauss transform on  $S_2$ . This suggests that natural moduli for null meromorphic curves in  $\mathbb{C}^3$  are given, not by fixing a parameter domain  $M$  and varying  $\Omega$ , but rather by the moduli of the corresponding curves on  $S_2$  where there is no nullity constraint to satisfy.

(5.2)  $A^*$  is an irreducible algebraic curve on  $S_2$  and is determined up to linear equivalence by the intersection numbers  $A^* \cdot E_0$  and  $A^* \cdot C$ , which yield natural numerical data associated to  $A$ :

$A^* \cdot C = k$  gives the degree of  $\pi|_{A^*}$  and therefore equals the degree of the Gauss map of  $n$ ;

$A^* \cdot E_0 = c$  is the *class* of  $A$ , it counts (with multiplicity) the number of hyperplanes osculating  $A$  that pass through a point of  $\mathbb{P}_3$ .

$A^*$  lies in the complete linear system  $|kE_0 + (c-2k)C|$ , since  $A^*$  is full it does not equal  $E_0$  or a fibre and hence its irreducibility implies that  $k > 0$  and  $c \geq 2k$ .

The linear systems  $|aE_0 + bC|$  on  $S_2$ , with  $a > 0$ ,  $b \geq 0$ , provide natural compactifications of the moduli spaces of null meromorphic curves in  $\mathbb{C}^3$ . The reducible divisors in such a system correspond to (possibly degenerate) limits of sequences of null meromorphic curves, see §6 for some simple examples.

**Remarks.** (i) Two curves on  $S_2$  are linearly equivalent iff they are homologous and hence these moduli spaces may be viewed as homology classes of algebraic curves on  $S_2$ , see [G–H].

(ii) There is no natural scale for the complex vector space  $|E_0| - \{\text{reducible divisors}\}$ , only a null cone. However, fixing one gives a (branched) metric, induced by  $n$ , on the complement in  $\tilde{A}$  of the set of poles of  $n$ . The total Gaussian curvature of this metric is

independent of the choice of scale and is well-known to be equal to  $-4\pi \deg(\gamma_n)$ , [0] . This gives another interpretation of  $A \cdot C$ .

(5.3) The genus of a generic null meromorphic curve of class  $c$  and with total curvature  $-4\pi k$  is readily obtained: since  $S_2$  is smooth and  $|kE_0 + (c-2k)C|$  is base point free, Bertini's theorem implies that the generic element is smooth and the adjunction formula gives  $g = k(c-k) - c + 1$ .

**Remarks.** (i) In general, singularities of  $A^*$  will contribute to this formula to lower  $g$ , see [G–H].

(ii) The Gauss map of a generic null meromorphic curve does not possess branch points off the set of poles of the curve since from 4.1 the osculating hyperplane is finite at a branch of the Gauss transform only if the Gauss transform is singular there.

(5.4) On  $S_2$  there is the linear equivalence  $E_\omega \sim E_0 - 2C$ . This gives  $A^* \cdot E_\omega = c - 2k$  : if  $k = 1$ , in which case  $A^*$  is simply a global meromorphic section of  $T$ , this is (6) of § 234 in [D]. Clearly,  $A^* \cdot E_\omega$  measures that part of the end structure of  $A$  that arises from  $E_\omega$ .

The total number of poles of  $A$ , counted with multiplicity, equals  $d$ , the degree of  $A$  as a curve in  $\mathbb{P}_3$ , since it gives the intersection number of  $A$  with the hyperplane at infinity. We would like to compute  $d$  from data on  $A^*$ . Blowing down  $A^*$  to  $\beta(A^*) \subset \mathcal{S}(Q_1)$  there is the Plücker formula:

$$d - 2d_1 + d^* = 2g - 2 + \beta_1,$$

where  $d^* = \text{degree of } A^* \text{ as a curve in } \mathbb{P}_3$  and therefore equals  $c$ ;  $d_1 = \text{degree of the}$

first associate curve  $n_1 : \tilde{X} \rightarrow G(2, \mathbb{C}^4)$ ;  $g$  = genus of  $\tilde{X}$  and  $\beta_1$  = total branching of  $n_1$  : see [G-H] for details.

### §6. Examples

(6.1) Let  $p, q \in \mathbb{N}$  be coprime with  $p+q \geq 3$ .  $\mathcal{C}_{p,q}$ , the curve on  $S_2$  obtained by completing the curve in  $\mathbb{C}^2$  given by  $\eta^q = \zeta^p$ , is irreducible and rational and its normalization is given by extending  $u \mapsto (u^q, u^p)$ . Osculation of  $\mathcal{C}_{p,q}$  yields a non-constant null meromorphic curve in  $\mathbb{C}^3$ . Differentiation of  $f(u^q) = u^p$  and substitution into 1.2 gives the following global formulae:

$$\Omega_1^{p,q}(u) = \frac{p}{2q} \left[ \frac{p}{q} - 1 \right] u^{p-2q} - \left[ 1 - \frac{3p}{2q} + \frac{p^2}{2q^2} \right] u^p$$

$$\Omega_2^{p,q}(u) = \frac{ip}{2q} \left[ \frac{p}{q} - 1 \right] u^{p-2q} + i \left[ 1 - \frac{3p}{2q} + \frac{p^2}{2q^2} \right] u^p$$

$$\Omega_3^{p,q}(u) = \frac{p}{q} \left[ \frac{p}{q} - 2 \right] u^{p-q}.$$

For  $p > 2q$  this curve is defined on  $\mathbb{C}$  and  $\mathbb{C}^*$  otherwise.  $\phi^{p,q} = \text{Re}(\Omega^{p,q})$  is a complete branched minimal surface in  $\mathbb{R}^3$  with total Gaussian curvature  $-4\pi q$ .

The branch points on  $\mathcal{C}_{p,q}$  sit over 0 and  $\infty$  on  $\mathbb{P}_1$ ; the branch point over  $\infty$  always corresponds to an end of  $\phi^{p,q}$ . If  $p < 2q$  then the branch point over 0 gives an end and the surface has 2 ends. If  $p > 2q$  then  $\phi^{p,q}(0)$  is finite and for  $q > 1$ ,  $u = 0$  is a branch point of the Gauss map. Since  $\mathcal{C}_{p,q}$  is described in  $(\omega, \mu \frac{d}{d\omega})$ -coordinates on  $T$ ,



where  $\omega = 1/\zeta$ , by the curve  $\mu^q = (-1)^q \omega^{2q-p}$ , observe that  $p > 2q$  iff  $\mathcal{E}_{p,q}$  intersects  $E_\omega$ . So having only one end forces greater growth there.

In fact, since we also have  $1 < p/q < 2$  iff  $0 < 2 - p/q < 1$  and  $0 < p/q < 1$  iff  $1 < 2 - p/q < 2$ , the growth behaviour at  $u = 0$  is coupled in a simple way to that at  $u = \omega$ .

For  $p > 2q + 1$ ,  $u = 0$  is a branch point in the metric since  $qu^{q-1}f'''(u^q) \sim u^{p-2q-1}$ , however the surface is immersed for  $p \leq 2q + 1$ . The surfaces with  $p = 2q + 1$  may be of special interest; for  $q = 1$  this is Enneper's surface.

(6.2) Let  $\rho$  be the Weierstrass  $\rho$ -function associated with a lattice  $\Lambda \subset \mathbb{C}$  and  $g_2, g_3$  be the usual constants derived from the Eisenstein series for  $\Lambda$ . The curve  $\eta^2 = 4\zeta^3 - g_2\zeta - g_3$  in  $\mathbb{C}^2$  completes in  $\mathbb{T}$  to a smooth elliptic curve  $\mathcal{E}$ , which lies in the linear system  $|2E_0|$ .  $(\rho, \rho') : \mathbb{C}/\Lambda - \{0\} \rightarrow \mathbb{C}^2$  extends to give a parameterization of  $\mathcal{E}$ .

Since  $\mathcal{E} \cdot E_0 = 4$  and  $\mathcal{E} \cdot C = 2$ , osculation of  $\mathcal{E}$  gives a null meromorphic curve  $\Omega : \mathcal{E} \rightarrow \mathbb{C}^3 \cup \mathbb{P}_2$  of class 4 and with total Gaussian curvature  $-8\pi$ . The map  $\pi|_{\mathcal{E}}$  has 4 branch points and since  $\mathcal{E}$  is smooth they each give rise to a pole of  $\Omega$ .

As a curve on  $\mathcal{E}(Q_1)$ ,  $\mathcal{E}$  is embedded in  $\mathbb{P}_3$  and has degree 4. It follows from Hurwitz's theorem (see Ex. 4.6 [Ha]) that there are 16 points of hyperosculation on  $\mathcal{E}$  (These are distinct because  $\deg \mathcal{E} = 4$  means that any point of  $\mathcal{E}$  can count at most 4 times in the intersection with a hyperplane.) Each of the 4 branch points of  $\pi|_{\mathcal{E}}$  is a point of hyperosculation: for, the osculating hyperplane at a branch point  $b \in \mathcal{E}$  lies tangent to  $\mathcal{E}(Q_1)$  along the fibre through  $b$  and hence it intersects  $\mathcal{E}$  only at  $b$  and so it must intersect there with multiplicity 4. Consequently it follows from Remark 3.9 (i) that there

are 12 zeros in the metric induced by  $\Omega$  on  $\mathcal{X}$  - {poles of  $\Omega$ }.

Recall that  $\rho'(z) = 0$  for  $z = \omega_1/2, \omega_2/2, \omega_3/2$  where  $\{\omega_1, \omega_2\}$  is a basis for  $\Lambda$  and  $\omega_3 = \omega_1 + \omega_2$ . Differentiation of the equation  $f \circ \rho = \rho'$  together with some elementary calculations yields the following global formulae for

$$\Omega : \mathbb{C}/\Lambda - \{0, \omega_1/2, \omega_2/2, \omega_3/2\} \rightarrow \mathbb{C}^3:$$

$$\Omega_1 = \frac{\{2\rho^6 + 3(2+g_2)\rho^4 + 8g_3\rho^3 - 3g_2(1 + g_2/8)\rho^2 - 3g_3(2 + g_2/2)\rho - (g_2^2/8 + g_3^2)\}}{(\rho')^3}$$

$$\Omega_2 = i \frac{\{-2\rho^6 + 3(2 - g_2)\rho^4 - 8g_3\rho^3 - 3g_2(1 - g_2/8)\rho^2 - 3g_3(2 - g_2/2)\rho - (g_2^2/8 - g_3^2)\}}{(\rho')^3}$$

$$\Omega_3 = \frac{\{-12\rho^5 + 2g_2\rho^3 - 6g_3\rho^2 - 3/4g_2^2\rho - g_2g_3/2\}}{(\rho')^3}.$$

(6.3) There is a natural real structure  $\tau : T \rightarrow T$  given in coordinates by  $\tau(\zeta, \eta) = (-1/\bar{\zeta}, \bar{\eta}/\zeta^2)$  which, viewing  $T$  as the set of oriented lines in  $\mathbb{R}^3$ , simply reverses the orientation along lines [H1]. For  $\sigma_z \in H^0 \cong \mathbb{C}^3$  (via  $(z_1, z_2, z_3)$ -coordinates, see Remark 2.3 (ii)), it is easy to see that  $\tau \circ \sigma_z = \sigma_{\bar{z}}$ .

Suppose that  $A \subset T$  is  $\tau$ -invariant, for example  $A$  could be the spectral curve of a magnetic monopole [H1]. If  $\sigma_z$  osculates  $A$  at  $\alpha$  then  $\sigma_{\bar{z}}$  osculates  $A$  at  $\tau(\alpha)$ . Consequently,  $\Omega(\tau(\alpha)) = \bar{\Omega}(\alpha)$  and hence  $\phi(\alpha) = \frac{1}{2}(\Omega(\alpha) + \bar{\Omega}(\tau(\alpha)))$  and satisfies  $\phi(\tau(\alpha)) = \bar{\phi}(\alpha)$ . Thus  $\phi$  factors through  $A/\tau$ .

Clearly,  $\alpha$  is a pole of  $\Omega$  iff  $\tau(\alpha)$  is, and  $\alpha$  is a point of hyperosculation iff  $\tau(\alpha)$  is. So if an elliptic curve  $\mathcal{E} \in |2E_0|$  is  $\tau$ -invariant then  $\phi: \mathcal{E}/\tau - \{2 \text{ points}\} \rightarrow \mathbb{R}^3$  gives a complete branched minimal immersion of a Klein bottle into  $\mathbb{R}^3$  with total Gaussian curvature  $-4\pi$ , 6 branch points in the metric and 2 ends.

Remarks (i) Monopole spectral curves are  $\tau$ -invariant and those of charge 2 enjoy (at least)  $\mathbb{Z}_2 \times \mathbb{Z}_2$  symmetry [Hu]. This symmetry is reflected in the geometry of the associated minimal surface. For example  $\eta^2 = 4\zeta(\zeta^2 - 1)$  is invariant under the action of the bundle automorphisms of  $T$  induced by differentiating elements in the following subgroup of  $\text{PGL}(2, \mathbb{C})$ :

$\{\zeta \mapsto \zeta, \zeta \mapsto -\zeta, \zeta \mapsto \zeta^{-1}, \zeta \mapsto -\zeta^{-1}\}$ . This subgroup corresponds to  $\mathbb{Z}_2 \times \mathbb{Z}_2 \subset \text{SO}(3, \mathbb{R})$  given by the rotations through  $\pi$ -degrees about the coordinate axes in  $\mathbb{R}^3$ . This might be exploited in the graphical construction of such surfaces.

(ii) There does not exist a complete non-orientable minimal immersion with total Gaussian curvature  $-4\pi$ , [M]. The branch points of  $\phi$  above contribute to the Chern–Osserman inequality and remove the obstruction.

(iii) Any  $\tau$ -invariant algebraic curve on  $T$  gives rise to a complete non-orientable branched minimal immersion in  $\mathbb{R}^3$ . A familiar example is Henneberg's surface, whose Gauss transform is given by the meromorphic section  $\eta = 1/3(\zeta^{-1} + \zeta^3)$ .

(iv) In order to have an explicit example of a complete branched minimal surface in  $\mathbb{R}^3$  which is genuinely a punctured Klein bottle it remains to check that  $\phi$  constructed from a  $\tau$ -invariant elliptic curve does not factor through  $\mathbb{RP}_2$ .

(v) Note that  $\mathcal{E}_{3,2}$  lies in  $|2E_0|$ . Also, a family of elliptic curves in  $|2E_0|$  may degenerate into a pair of global sections; for example this phenomena is associated with monopole scattering [A–H]. Osculation of a reducible divisor in  $|2E_0|$  gives a pair of points in  $\mathbb{C}^3$ : if the sections are  $\tau$ -invariant then the pair lies in  $\mathbb{R}^3$ .

(vi) It is not hard to see that osculation of the spectral curve of a monopole of charge  $k$  induces a metric whose total Gaussian curvature is  $-4\pi k$ : we discuss this in more detail in [S3].

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