

ANALYSIS ON THE CROWN DOMAIN

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1. Introduction

Our concern is with harmonic analysis on a Riemannian symmetric space

$$X_{\mathbb{R}} = G_{\mathbb{R}}/K_{\mathbb{R}}$$

of the noncompact type. Here $G_{\mathbb{R}}$ denotes a connected reductive algebraic group and $K_{\mathbb{R}}$ is a maximal compact subgroup thereof.

Given a $K_{\mathbb{R}}$ -spherical irreducible unitary representation (π, \mathcal{H}_{π}) of $G_{\mathbb{R}}$ with $K_{\mathbb{R}}$ -fixed ray $\mathcal{H}_{\pi}^{K_{\mathbb{R}}\text{-fix}} = \mathbb{C}v_K$, we obtain an $G_{\mathbb{R}}$ -equivariant continuous map

$$i_{\pi} : X_{\mathbb{R}} \rightarrow \mathcal{H}_{\pi}, \quad gK_{\mathbb{R}} \mapsto \pi(g)v_K.$$

We assume that $\pi \neq \mathbf{1}$ is non-trivial and then i_{π} is injective. The map i_{π} is analytic, hence admits holomorphic extension to a maximal $G_{\mathbb{R}}$ -neighborhood Ξ_{π} of $X_{\mathbb{R}}$ in $X_{\mathbb{C}} = G_{\mathbb{C}}/K_{\mathbb{C}}$. It is a remarkable fact that Ξ_{π} is independent of the choice of $\pi \neq \mathbf{1}$ ([29],[30], [32]) and hence defines a natural domain Ξ in $X_{\mathbb{C}}$, referred to as the *crown domain*. A result in this paper determines the precise growth rate of $\|i_{\pi}\|$ when approaching the boundary of Ξ .

We have to clarify of what we understand by the term "approaching the boundary". The crown domain admits a natural Shilov-type boundary [18], referred to as the distinguished boundary $\partial_d \Xi$ of Ξ . In a first step we give a simple description of $\partial_d \Xi$ in terms of the affine Weyl group, hereby extending and unifying results from [18]. At this point it is relevant that the $G_{\mathbb{R}}$ -equivalence classes in $\partial_d \Xi$ are described by a finite union of Weyl group orbits.

Given a distinguished boundary point $z \in \partial_d \Xi$ and $(z_n) \subset \Xi$ a sequence converging radially to z we are interested in the growth of $\|i_{\pi}(z_n)\|$ in terms of $\text{dist}(z_n, z)$. We determine

- For fixed z_n , sufficiently close to z , optimal lower exponential bounds for $\|i_{\pi}(z_n)\|$ in terms of the parameter of π and $\text{dist}(z_n, z)$;
- For fixed π , the precise blow up rate of $\|i_{\pi}(z_n)\|$ for $z_n \rightarrow z$ in terms of $\text{dist}(z_n, z)$.

We use these results to prove estimates for Maaß automorphic forms. For example, a theorem of Langlands asserts that cuspidal automorphic forms are of rapid decay. An unpublished theorem of J. Bernstein goes beyond and asserts exponential decay. This is established in this paper. The basic idea of proof goes back to J. Bernstein and our contribution lies in a incorporation of geometric methods. In particular, we show that the crown domain admits a natural parametrization by unipotent $G_{\mathbb{R}}$ -orbits which makes Bernstein's ideas work out efficiently.

As a byproduct of our investigations we obtain a complex geometric classification of the different series of representations of the group $G = \mathrm{Sl}(2, \mathbb{R})$ which is worthwhile to mention (cf. Theorem 4.7 below).

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2. The complex crown and its distinguished boundary

This section is divided into two parts. First we recall definition and basic properties of the complex crown Ξ of a Riemannian symmetric space X (see [30] for a comprehensive account). Second we shall unify and extend results from [18] on the distinguished boundary of Ξ .

2.1. The complex crown

Let G be a connected, real semisimple, noncompact Lie group. Write \mathfrak{g} for the Lie algebra of G and denote by $\mathfrak{g}_{\mathbb{C}}$ its complexification. We fix a maximal compact subalgebra $\mathfrak{k} \subset \mathfrak{g}$ and set $K = \exp(\mathfrak{k})$.

Let us denote by $G_{\mathbb{C}}$ the universal complexification of G and by $\iota : G \rightarrow G_{\mathbb{C}}$ the homomorphism sitting over the injection $\mathfrak{g} \hookrightarrow \mathfrak{g}_{\mathbb{C}}$. Write $K_{\mathbb{C}}$ for the analytic subgroup of $G_{\mathbb{C}}$ corresponding to $\mathfrak{k}_{\mathbb{C}}$.

Our concern is with the Riemannian symmetric space $X = G/K$. The complex symmetric space $X_{\mathbb{C}} = G_{\mathbb{C}}/K_{\mathbb{C}}$ naturally acts as a complexification of X and the assignment $gK \mapsto \iota(g)K_{\mathbb{C}}$ identifies X as a totally real submanifold of $X_{\mathbb{C}}$ in a G -equivariant way. We denote the base point $eK_{\mathbb{C}}$ of $X_{\mathbb{C}}$ by x_0 .

Remark 2.1. Let $\mathfrak{g} = \mathfrak{g}_1 \times \dots \times \mathfrak{g}_l$ be the factorization of \mathfrak{g} in simple Lie algebras and let $\mathfrak{k} = \mathfrak{k}_1 \times \dots \times \mathfrak{k}_l$ be the associated splitting for \mathfrak{k} . Denote by G_j, K_j the analytic subgroups of G corresponding to $\mathfrak{g}_j, \mathfrak{k}_j$. Then with $X_j = G_j/K_j$ there is the equivariant isomorphism

$$X \simeq X_1 \times \dots \times X_l.$$

In a similar manner (and obvious notation)

$$X_{\mathbb{C}} \simeq X_{1,\mathbb{C}} \times \dots \times X_{l,\mathbb{C}}.$$

In the light of the discussion in Remark 2.1 it is no loss of generality to assume henceforth that \mathfrak{g} is simple.

Let $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ be the Cartan decomposition associated to the choice of \mathfrak{k} , and choose \mathfrak{a} a maximal abelian subspace in \mathfrak{p} . The *complex crown* Ξ of X is by definition

$$(2.1) \quad \Xi = G \exp(i\pi\Omega/2).x_0 \subset X_{\mathbb{C}},$$

where $\Omega \subset \mathfrak{a}$ is given by

$$(2.2) \quad \Omega = \{Y \in \mathfrak{a} \mid \text{spec}(\text{ad}Y) \subset]-1, 1[\}.$$

According to [1] Ξ is a G -invariant open subdomain of $X_{\mathbb{C}}$ with the G -action proper. Actually Ξ is Stein (see [30] and the references therein). Let us point out that Ξ is independent of the choice of the flat \mathfrak{a} and therefore naturally attached to X .

The set Ω can be described in terms of the restricted root system $\Sigma = \Sigma(\mathfrak{g}, \mathfrak{a})$ as follows:

$$(2.3) \quad \Omega = \{Y \in \mathfrak{a} \mid |\alpha(Y)| < 1 \forall \alpha \in \Sigma\}.$$

In particular we see that $\overline{\Omega}$ is a compact W -invariant polyhedron. Here W , as usual, denotes the Weyl group of Σ .

Remark 2.2. (*Realization in the tangent bundle*) Set $\Omega^K = \frac{\pi}{2} \text{Ad}(K)\Omega$. As Ω is an open W -invariant convex subset of \mathfrak{a} , Kostant's linear convexity theorem implies that $\Omega^K \subset \mathfrak{p}$ is an open K -invariant convex subset of \mathfrak{p} . Write $TX = G \times_K \mathfrak{p}$ for the tangent bundle of X . Notice that G acts properly on TX and that $G \times_K \Omega^K$ is a contractible G -equivariant subset of TX (base and fiber are contractible). In [1] it was shown that the map

$$(2.4) \quad G \times_K \Omega^K \rightarrow \Xi, \quad [g, Y] \mapsto g \exp(iY).x_0$$

is a G -equivariant diffeomorphism. In particular, G acts properly on Ξ and Ξ is contractible.

In the sequel we write $\mathfrak{t} = i\mathfrak{a}$ and let $T = \exp \mathfrak{t}$ be the corresponding torus in $G_{\mathbb{C}}$. Notice that $T_{\mathbb{C}} = A_{\mathbb{C}} = AT$ with $A = \exp \mathfrak{a}$. We will also use the notation $T_{\Omega} = \exp(i\pi\Omega/2)$.

Remark 2.3. (*The boundary of Ξ*)

(i) (*Semisimple boundary part*) The topological boundary $\partial\Xi$ is a complicated union of G -orbits. This is because not all G -orbits in $\partial\Xi$ meet $T.x_0$. Those who do make up the semisimple (or elliptic) part of the boundary $\partial_s\Xi = G \exp(i\pi\partial\Omega/2).x_0$ of Ξ (see [1, 30]). Equivalently, $\partial_s\Xi$ describes the closed G -orbits in $\partial\Xi$. One knows that each G -orbit in $\partial\Xi$ has a unique semisimple orbit in its closure [14], but a satisfactory general description of $\partial\Xi$ is still missing.

(ii) (*Properness*) The polyhedron Ω is maximal with regard to proper G -action, i.e. there does not exist a larger connected subset $\tilde{\Omega} \supset \Omega$ such that G would act properly on $G \exp(i\pi\tilde{\Omega}/2).x_0$ (cf. [1]). We mention that G -stabilizers of points in $\exp(i\pi\partial\Omega/2).x_0$ are noncompact subgroups [1].

(iii) (*Dependence on the analytical nature of G*) It follows from (2.4) that Ξ is homeomorphic to $\mathfrak{p} \times \Omega^K$. It means in particular that Ξ only depends on $(\mathfrak{g}, \mathfrak{k})$ and not on the various possible choices for the connected group G with Lie algebra \mathfrak{g} . However, the situation becomes different once we start to consider the boundary $\partial\Xi$ of the crown in $X_{\mathbb{C}}$. It turns out that $\partial\Xi$ is sensitive with regard to the analytic nature of G . We will comment more on that when we will discuss collapsing of boundary orbits below.

2.2. Distinguished and miniscule boundary of the crown

The distinguished boundary $\partial_d\Xi$ of the crown, introduced in [18], is defined by

$$\partial_d\Xi = G \exp(i\pi\partial_e\Omega/2).x_0 \subset \partial\Xi$$

where $\partial_e\Omega$ is the (finite set) of extreme points of the compact polyhedron $\bar{\Omega}$. Let us recall that distinguished boundary plays the rôle of a noncompact Shilov-type boundary of Ξ ; one has the following elementary result.

Proposition 2.4. ([18]) *Let f be a holomorphic function on Ξ which extends to a bounded continuous function on $\bar{\Xi}$. Then*

$$(2.5) \quad \sup_{x \in \Xi} (|f(x)|) = \sup_{x \in \partial_d(\Xi)} (|f(x)|).$$

In [18] a complete characterization of those crowns Ξ was given which admit symmetric spaces as components in $\partial_d\Xi$. Cases relevant for [18] are those with Σ not of type E_8, G_2 or F_4 .

The objective of this section is to give a uniform approach to $\partial_d\Xi$ in the general case. Our first result is a description of $\partial_e\Omega$ in terms of structure theory which is stunningly simple (cf. Theorem 2.6 below).

We will define the *miniscule part* of the distinguished boundary and tie it with the results of [18]. After that we classify the non-symmetric boundary components of $\partial_d \Xi$. Finally we discuss collapsing of distinguished boundary orbits.

Write $\Sigma^l = \{\alpha : 2\alpha \notin \Sigma\}$ for the reduced subsystem of unmultipliable roots. It is clear that Σ^l completely describes Ω , i.e.,

$$(2.6) \quad \Omega = \{Y \in \mathfrak{a} \mid |\alpha(Y)| < 1, \forall \alpha \in \Sigma^l\}.$$

Therefore it is no loss of generality to assume $\Sigma = \Sigma^l$ for the following considerations. Fix a basis for Σ , say $\Pi = \{\alpha_1, \dots, \alpha_n\}$, and write $C \subset \mathfrak{a}$ for the closure of the associated Weyl chamber. Let β be the highest root corresponding to Π and

$$\beta = k_1\alpha_1 + \dots + k_n\alpha_n$$

its expansion in the simple roots (hence $k_i \in \mathbb{Z}_{>0}$). We record the obvious relation

$$(2.7) \quad \overline{\Omega} \cap C = \{Y \in C : \beta(Y) \leq 1\}.$$

It means that $\overline{\Omega} \cap C$ is a fundamental domain for the affine Weyl group $W^{\text{aff}} = W \ltimes Q$ with $Q = \text{span}_{\mathbb{Z}} \Sigma^\vee$ the coroot lattice in \mathfrak{a} .

Define $\omega_i \in \mathfrak{a}$ by $\alpha_j(\omega_i) = \delta_{ij}$. It is straightforward from (2.7) that

$$(2.8) \quad \partial_e \Omega \cap C \subset \{\omega_1/k_1, \dots, \omega_n/k_n\}$$

and so $\partial_e \Omega \subset W \cdot \{\omega_1/k_1, \dots, \omega_n/k_n\}$ (cf. [18], Lemma 3.17).

In general the inclusion in (2.8) is proper and we have to determine which ω_i/k_i actually occur. The key observation is contained in Lemma 2.5 below.

We need some terminology. Let $(V, (\cdot, \cdot))$ be an Euclidean space and $W = W_F$ be a Weyl group of finite type. We shall assume that $W \subset O(V)$ and that the action is effective, i.e. $V = \text{span}_{\mathbb{R}} F$. For a subset $P \subset F$ let $W_P < W$ be the corresponding parabolic subgroup. As before

$$C = \{v \in V : (v, \alpha) \geq 0 \forall \alpha \in F\}$$

denotes the closure of the Weyl chamber. A closed cone $\Gamma \subset V$ will be called non-degenerate if its edge $E(\Gamma) = \Gamma \cap -\Gamma$ is zero.

Lemma 2.5. *Let $W = W_F$ be a Weyl group of finite type acting effectively on an Euclidean space V . Let C be the closure of the corresponding Weyl chamber. Then the following statements are equivalent:*

- (i) W is irreducible.
- (ii) $W_P.C$ is non-degenerate for all proper subsets $P \subsetneq F$.
- (iii) $W_P.C$ is non-degenerate for a maximal proper subset $P \subsetneq F$.

Proof. (i) \Rightarrow (ii): If $P = \emptyset$, then $W_P.C = C$ is non-degenerate. So let us henceforth assume that $P \neq \emptyset$. Denote by $V_{\text{fix}} = \{v \in V \mid (\forall w \in W_P) w(v) = v\}$ the space of W_P -fixed points. Then

$$V = V_{\text{fix}} \oplus V_{\text{eff}}$$

with $V_{\text{eff}} = \text{span}_{\mathbb{R}} P = V_{\text{fix}}^{\perp}$ the effective part for the W_P -action. We note that $C \cap V_{\text{fix}} \neq \{0\}$ and fix a non-zero element ω in this intersection.

Assume that W is irreducible. According to [26], Ch. IV, Exc. 8, one has $(x, y) > 0$ for all $x, y \in C \setminus \{0\}$. In particular $\omega|_{C \setminus \{0\}} > 0$. As ω is W_P -fixed, it follows that $\omega|_{W_P.C \setminus \{0\}} > 0$ and consequently $W_P.C$ is non-degenerate.

(ii) \Rightarrow (iii) is clear, moving on to (iii) \Rightarrow (i): We argue by contradiction and assume that W is reducible. Then there exist splittings $W = W_1 \times W_2$, $V = V_1 \times V_2$, $F = F_1 \amalg F_2$ with W_1 irreducible, $F_1 \subset P$ and $V_1, V_2 \neq \{0\}$. But then $V_1 \subset W_P.C$ and $W_P.C$ is degenerate. \square

Let us now return to our initial setting with the irreducible reduced system $\Sigma = \Sigma(\mathfrak{g}, \mathfrak{a})$. Write $D = D(W)$ for the associated Dynkin diagram and $D^* = D(W^{\text{aff}})$ for its extension.

Theorem 2.6. *Let \mathfrak{g} be a simple Lie algebra and $\Sigma = \Sigma(\mathfrak{g}, \mathfrak{a})$ the associated irreducible (and w.l.o.g. reduced) root system. Then for all $1 \leq i \leq n$ the following statements are equivalent:*

- (i) $\omega_i/k_i \in \partial_e \Omega$.
- (ii) $D^* - \{\alpha_i\}$ is connected.

Proof. Fix $1 \leq i \leq n$ and denote the stabilizer of ω_i/n_i in W^{aff} by $W^{(i)}$. Notice that $W^{(i)} \simeq W(D^* - \{\alpha_i\})$ is a Weyl group of finite type. Let us denote by $C^{(i)}$ the associated closed Weyl chamber.

Locally around ω_i/k_i the convex set $C \cap \overline{\Omega}$ looks like the closed positive chamber $C^{(i)}$. Moreover, $\overline{\Omega}$ looks locally at ω_i/k_i like $W_P.C^{(i)}$. Apply Lemma 2.5 \square

Let us call ω_i *miniscule* if $k_i = 1$. Notice that $\omega_i \in \partial \Omega$. Let us denote the union of all W -orbits through miniscule ω_i by $\partial_m \Omega$ and refer to it as the *miniscule part* of $\partial \Omega$. Similarly we define the *miniscule boundary* of Ξ by

$$(2.9) \quad \partial_m \Xi = G \exp(i\partial_m \Omega / \pi).x_0.$$

Proposition 2.7. *One has*

$$(2.10) \quad \partial_m \Omega \subset \partial_e \Omega$$

and in particular $\partial_m \Xi \subset \partial_d \Xi$.

Proof. Let $A = (a_{ij})$ (with $i, j \in \{0, 1, \dots, n\}$) be the generalized Cartan matrix associated with the extended Dynkin diagram D^* . We consider A as a matrix with respect to the bases $\{\alpha_0, \alpha_1, \dots, \alpha_n\}$ of affine simple roots. By elementary theory of generalized Cartan matrices (cf. [27, Theorem 4.8]) the one-dimensional kernel of A is generated by a unique positive, primitive element δ in the affine root lattice, namely $\delta = \alpha_0 + \beta$. In other words, if we put $k_0 = 1$ then for each j : $2k_j + \sum_{i \neq j} a_{ji}k_i = 0$. Hence if ω_j is minuscule (i.e. $k_j = 1$) then either α_j is an end point in D^* (i.e. has only one neighbor in D^*) or else α_j has precisely two neighbors α_i, α_l with $k_i = k_l = 1$. But in this last case D^* must be (by an easy inductive argument) a circular graph. We conclude in both cases that $D^* - \{\alpha_j\}$ is connected as desired. \square

For later reference and convenience to the reader we list $\partial_e\Omega$ and $\partial_m\Omega$. Theorem 2.6 and the tables of [6] yield:

Distinguished and minuscule boundary of Ω		
Σ	$\partial_e\Omega \cap C$	$\partial_m\Omega \cap C$
A_n	$\omega_1, \dots, \omega_n$	$\omega_1, \dots, \omega_n$
B_n ($n \geq 3$)	$\omega_1, \omega_n/2$	ω_1
C_n, BC_n	ω_n	ω_n
D_n ($n \geq 4$)	$\omega_1, \omega_{n-1}, \omega_n$	$\omega_1, \omega_{n-1}, \omega_n$
E_6	ω_1, ω_6	ω_1, ω_6
E_7	$\omega_2/2, \omega_7$	ω_7
E_8	$\omega_1/2, \omega_2/3$	\emptyset
F_4	$\omega_4/2$	\emptyset
G_2	$\omega_1/3$	\emptyset

Remark 2.8. (*Correcting literature*) The first named author would like to take the opportunity and to point out an error in [18] regarding $\partial_e\Omega$ for the E_7 -case. Due to a computational mistake the W -orbit through $\omega_2/2$ was missed.

For a point $\omega_j/k_j \in \partial\Omega$ set

$$z_j = \exp(i\pi\omega_j/2k_j).x_0 \in \partial_d\Xi$$

and denote by H_j the stabilizer of G in z_j . We already remarked earlier that H_j is a noncompact subgroup. Let us denote by \mathfrak{h}_j its Lie algebra. Our next objective is to classify the stabilizer algebras \mathfrak{h}_j for those z_j which appear in $\partial_d\Xi$.

Write $F = A_{\mathbb{C}} \cap K_{\mathbb{C}} = T \cap K$ and notice that F is a finite two group. We will often identify $A_{\mathbb{C}}.x_0$ with $A_{\mathbb{C}}/F$ and remark that elements $z \in A_{\mathbb{C}}.x_0$ have well defined squares $z^2 \in A_{\mathbb{C}}$. For each $1 \leq j \leq n$ let

us define the centralizer subgroup

$$G_j = Z(z_j^4) = \{g \in G \mid z_j^4 g z_j^{-4} = g\}$$

and denote by \mathfrak{g}_j its Lie algebra.

Lemma 2.9. *Let $1 \leq j \leq n$. Then the following assertions hold:*

- (i) $\mathfrak{g}_j = \mathfrak{g}$ if and only if ω_j is miniscule.
- (ii) \mathfrak{g}_j is a 3-graded reductive Lie algebra

$$(2.11) \quad \mathfrak{g}_j = \mathfrak{g}_{j,-} + \mathfrak{g}_{j,0} + \mathfrak{g}_{j,+}$$

where $\mathfrak{g}_{j,\pm} = \{Y \in \mathfrak{g} \mid [\omega_j, Y] = \pm k_j Y\}$ and $\mathfrak{g}_{j,0} = \{Y \in \mathfrak{g} \mid [\omega_j, Y] = 0\}$.

Proof. Associated to ω_j is the standard grading

$$(2.12) \quad \mathfrak{g} = \sum_{l=-k_j}^{k_j} \mathfrak{g}_l$$

with $\mathfrak{g}_l = \{Y \in \mathfrak{g} \mid [\omega_j, Y] = lY\}$. Notice that $\text{Ad}(z_j^4)$ acts on \mathfrak{g}_j as the scalar $e^{2il\pi/k_j}$. The assertions of the lemma follow with $\mathfrak{g}_{j,\pm} = \mathfrak{g}_{\pm k_j}$. \square

Let us denote by θ the Cartan involution of $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$. Observe that $Y \in \mathfrak{g}$ belongs to \mathfrak{h}_j if and only if $\text{Ad}(z_j^{-1})(Y) \in \mathfrak{k}_{\mathbb{C}}$, in other words

$$(2.13) \quad \mathfrak{h}_j = \{Y \in \mathfrak{g} \mid \text{Ad}(z_j^2)(\theta Y) = Y\},$$

(cf. [18], Lemma 3.4). We reveal the structure of \mathfrak{h}_j .

Lemma 2.10. *Let $1 \leq j \leq n$. Then \mathfrak{h}_j is θ -stable subalgebra of \mathfrak{g}_j . Moreover, its Cartan decomposition is given by*

$$\mathfrak{h}_j = \mathfrak{g}_{0,j}^{\theta} + (\mathfrak{g}_{j,-} + \mathfrak{g}_{j,+})^{-\theta}.$$

Proof. Recall the grading $\mathfrak{g} = \sum_{l=-k_j}^{k_j} \mathfrak{g}_l$ from (2.12). Then for each $0 \leq l \leq k_j$ the operator $\text{Ad}(z_j^2) \circ \theta$ leaves $(\mathfrak{g}_l + \mathfrak{g}_{-l})_{\mathbb{C}}$ stable; explicitly

$$(Y_l, Y_{-l}) \mapsto (e^{il\pi/k_j} \theta(Y_{-l}), e^{-il\pi/k_j} \theta(Y_l)) \quad (Y_l, Y_{-l}) \in (\mathfrak{g}_l + \mathfrak{g}_{-l})_{\mathbb{C}}.$$

Hence $(\text{Ad}(z_j^2) \circ \theta)(\mathfrak{g}_l + \mathfrak{g}_{-l}) \cap \mathfrak{g} \neq \{0\}$ precisely for $l = 0, k_j$. The assertions of the lemma follow. \square

Corollary 2.11. *Let $1 \leq j \leq n$. Then $\dim \mathfrak{h}_j \leq \dim \mathfrak{k}$ with equality precisely if ω_j is miniscule.*

As a consequence of Lemma 2.9 and Lemma 2.10 we can extend [18], Theorem 3.26 (2).

Theorem 2.12. *For a boundary orbit $G.z_j \subset \partial\Xi$ the following statements are equivalent.*

- (i) ω_j is miniscule.
- (ii) $\dim \mathfrak{h}_j = \dim \mathfrak{k}$.
- (iii) $\text{Ad}(z_j^{-1})(\mathfrak{h}_j)_{\mathbb{C}} = \mathfrak{k}_{\mathbb{C}}$.
- (iv) \mathfrak{h}_j is a symmetric subalgebra of \mathfrak{g} .
- (v) $G.z_j$ is a totally real submanifold of $X_{\mathbb{C}}$.
- (vi) $G.z_j$ is a totally real submanifold of $X_{\mathbb{C}}$ of maximal dimension.

Proof. (i) \iff (ii): Corollary 2.11.

(ii) \iff (iii): $\text{Ad}(z_j^{-1})\mathfrak{h}_j \subset \mathfrak{k}_{\mathbb{C}}$ holds for all $1 \leq j \leq n$ by the definition of \mathfrak{h}_j .

(i) \iff (iv): If ω_j is miniscule, then $\mathfrak{g}_j = \mathfrak{g}$ by Lemma 2.9(i). In particular $\tau_j = \text{Ad}(z_j^2) \circ \theta$ defines an involution and \mathfrak{h}_j being the τ_j -fixed point set is symmetric.

(iv) \implies (i): Notice that \mathfrak{g}_j is a reductive subalgebra properly containing \mathfrak{h}_j . Now if \mathfrak{h}_j is symmetric, then it is a maximally reductive proper subalgebra of \mathfrak{g} . Thus $\mathfrak{g} = \mathfrak{g}_j$, i.e. ω_j is miniscule.

(v) \implies (ii): If $G.z_j$ is totally real, then $\dim_{\mathbb{R}} G.z_j \leq \dim_{\mathbb{R}} X$. The latter inequality rewrites as $\dim \mathfrak{h}_j \geq \dim \mathfrak{k}$. Because of $\dim \mathfrak{h}_j \leq \dim \mathfrak{k}$ in all cases, it follows that $\dim \mathfrak{h}_j = \dim \mathfrak{k}$.

(vi) \implies (v) is clear.

(ii) \implies (vi): (ii) implies that $\dim_{\mathbb{R}} G.z_j = \dim_{\mathbb{R}} X$. It remains to show that $G.z_j$ is totally real. By G -homogeneity, it is sufficient to show that $T_{z_j}(G.z_j)$ is totally real in $T_{z_j}(X_{\mathbb{C}})$. The assignment $Y \mapsto \left. \frac{d}{dt} \right|_{t=0} \exp(tY).z_j$ identifies $\mathfrak{g}_{\mathbb{C}}/\text{Ad}(z_j)\mathfrak{k}_{\mathbb{C}}$ with $T_{z_j}(X_{\mathbb{C}})$. Now observe that $\mathfrak{g}_{\mathbb{C}}/\text{Ad}(z_j)\mathfrak{k}_{\mathbb{C}} = \mathfrak{g}_{\mathbb{C}}/\mathfrak{h}_{\mathbb{C}}$ by the equivalence of (ii) and (iii). Thus all we have to show is that $\mathfrak{g} + \mathfrak{h}_{\mathbb{C}}/\mathfrak{h}_{\mathbb{C}}$ is totally real in $\mathfrak{g}_{\mathbb{C}}/\mathfrak{h}_{\mathbb{C}}$, which is apparent. \square

Remark 2.13. *Suppose that ω_j is miniscule. Then $\mathfrak{g} = \mathfrak{g}_{j,-} + \mathfrak{g}_{j,0} + \mathfrak{g}_{j,+}$ is a 3-graduation and $\tau_j = \text{Ad}(z_j^2) \circ \theta$ is an involution with fixed point algebra \mathfrak{h}_j . In other words $(\mathfrak{g}, \mathfrak{h}_j)$ is a noncompactly causal (NCC) symmetric pair. Moreover all (NCC) symmetric pairs arise in this fashion.*

For the concrete classification of the \mathfrak{h}_j in the miniscule case we refer to [18], Th. 3.25.

We wish to complete the classification of $\partial_d\Xi$ by listing all the non-miniscule cases. The most degenerate situation might deserve special attention.

Example 2.14. *(The distinguished boundary of G_2)* Let us consider the case of $\mathfrak{g} = G_2$. We use the terminology of [6]. With $\Pi = \{\alpha_1, \alpha_2\}$ the positive roots list as

$$\alpha_1, \alpha_2, \alpha_1 + \alpha_2, 2\alpha_1 + \alpha_2, 3\alpha_1 + \alpha_2, 3\alpha_1 + 2\alpha_2.$$

We have $\partial_e \Omega = W.\omega_1/3$. Hence

$$\begin{aligned} \mathfrak{g}_{1,0} &= \mathfrak{a} + \mathfrak{g}^{\alpha_2} + \mathfrak{g}^{-\alpha_2} \simeq \mathfrak{sl}(2, \mathbb{R}) \times \mathbb{R} \\ \mathfrak{g}_{1,1} &= \mathfrak{g}^{3\alpha_1 + \alpha_2} + \mathfrak{g}^{3\alpha_1 + 2\alpha_2} \simeq \mathbb{R}^2 \\ \mathfrak{g}_{1,-1} &= \mathfrak{g}^{-3\alpha_1 - \alpha_2} + \mathfrak{g}^{-3\alpha_1 - 2\alpha_2} \simeq \mathbb{R}^2 \end{aligned}$$

and so $\mathfrak{g}_1 \simeq \mathfrak{sl}(3, \mathbb{R})$. Finally Lemma 2.10 implies $\mathfrak{h}_1 \simeq \mathfrak{sl}(2, \mathbb{R})$.

Let z_j be a non-miniscule boundary points. A glance at the table above shows that $k_j = 2$ except for G_2 and one case in E_8 . Thus $\mathfrak{g} = \sum_{j=-k_j}^{k_j} \mathfrak{g}_j$ is a 5-grading for most of the cases.

Combining Lemma 2.10 and Lemma 2.9 with Kaneyuki's classification of the even part of 5-graded Lie algebras [28] we arrive at the following two lists. For the exceptional cases we use [28], Table I, II.

Exceptional non-miniscule cases					
\mathfrak{g}	Σ	j	$\mathfrak{g}_{0,j}$	\mathfrak{g}_j	\mathfrak{h}_j
$E_{6(2)}$	F_4	4	$\mathfrak{so}(3, 5) \times \mathbb{R} \times i\mathbb{R}$	$\mathfrak{so}(4, 6) \times i\mathbb{R}$	$\mathfrak{so}(1, 3) \times \mathfrak{so}(1, 5) \times i\mathbb{R}$
$E_{6(-14)}$	BC_2	2	$\mathfrak{so}(1, 7) \times \mathbb{R} \times i\mathbb{R}$	$\mathfrak{so}(2, 8) \times i\mathbb{R}$	$\mathfrak{so}(1, 7) \times \mathbb{R} \times i\mathbb{R}$
$E_{7(7)}$	E_7	2	$\mathfrak{sl}(7, \mathbb{R}) \times \mathbb{R}$	$\mathfrak{sl}(8, \mathbb{R})$	$\mathfrak{so}(1, 7)$
$E_{7(-5)}$	F_4	4	$\mathfrak{so}(3, 7) \times \mathfrak{su}(2) \times \mathbb{R}$	$\mathfrak{so}(4, 8) \times \mathfrak{su}(2)$	$\mathfrak{so}(1, 3) \times \mathfrak{so}(1, 7) \times \mathfrak{su}(2)$
$E_{8(8)}$	E_8	1	$\mathfrak{so}(7, 7) \times \mathbb{R}$	$\mathfrak{so}(8, 8)$	$\mathfrak{so}(1, 7) \times \mathfrak{so}(1, 7)$
$E_{8(8)}$	E_8	2	$\mathfrak{sl}(8, \mathbb{R}) \times \mathbb{R}$	$\mathfrak{sl}(9, \mathbb{R})$	$\mathfrak{so}(1, 8)$
$E_{8(-24)}$	F_4	4	$\mathfrak{so}(3, 11) \times \mathbb{R}$	$\mathfrak{so}(4, 12)$	$\mathfrak{so}(1, 3) \times \mathfrak{so}(1, 11)$
$F_{4(4)}$	F_4	4	$\mathfrak{so}(3, 4) \times \mathbb{R}$	$\mathfrak{so}(4, 5)$	$\mathfrak{so}(1, 3) \times \mathfrak{so}(1, 4)$
$F_{4(-20)}$	BC_1	1	$\mathfrak{so}(7) \times \mathbb{R}$	$\mathfrak{so}(1, 8)$	$\mathfrak{so}(1, 7)$
G_2	G_2	1	$\mathfrak{sl}(2, \mathbb{R}) \times \mathbb{R}$	$\mathfrak{sl}(3, \mathbb{R})$	$\mathfrak{so}(1, 2)$
$E_7^{\mathbb{C}}$	E_7	2	$\mathfrak{sl}(7, \mathbb{C}) \times \mathbb{C}$	$\mathfrak{sl}(8, \mathbb{C})$	$\mathfrak{su}(1, 7)$
$E_8^{\mathbb{C}}$	E_8	1	$\mathfrak{so}(14, \mathbb{C}) \times \mathbb{C}$	$\mathfrak{so}(16, \mathbb{C})$	$\mathfrak{so}(2, 14)$
$E_8^{\mathbb{C}}$	E_8	2	$\mathfrak{sl}(8, \mathbb{C}) \times \mathbb{C}$	$\mathfrak{sl}(9, \mathbb{C})$	$\mathfrak{su}(1, 8)$
$F_4^{\mathbb{C}}$	F_4	4	$\mathfrak{so}(7, \mathbb{C}) \times \mathbb{C}$	$\mathfrak{so}(9, \mathbb{C})$	$\mathfrak{so}(2, 7)$
$G_2^{\mathbb{C}}$	G_2	1	$\mathfrak{sl}(2, \mathbb{C}) \times \mathbb{C}$	$\mathfrak{sl}(3, \mathbb{C})$	$\mathfrak{su}(1, 2)$

For the classical cases we apply [28], Th. 3.2, and note that the first two cases below were already contained in [18], Th. 3.25.

Classical non-miniscale cases				
\mathfrak{g}	Σ	j	\mathfrak{g}_j	\mathfrak{h}_j
$\mathfrak{so}(p, q)$ ($3 \leq p < q$)	B_p	p	$\mathfrak{so}(p, p) \times \mathfrak{so}(q-p)$	$\mathfrak{so}(p, \mathbb{C}) \times \mathfrak{so}(q-p)$
$\mathfrak{so}(2n+1, \mathbb{C})$ ($n \geq 3$)	B_n	n	$\mathfrak{so}(2n, \mathbb{C})$	$\mathfrak{so}^*(2n)$
$\mathfrak{su}(p, q)$ ($p < q$)	BC_p	p	$\mathfrak{su}(p, p) \times \mathfrak{su}(q-p)$	$\mathfrak{sl}(p, \mathbb{C}) \times \mathbb{R} \times \mathfrak{su}(q-p)$
$\mathfrak{sp}(p, q)$ ($p < q$)	BC_p	p	$\mathfrak{sp}(p, p) \times \mathfrak{sp}(q-p)$	$\mathfrak{sl}(p, \mathbb{H}) \times \mathbb{R} \times \mathfrak{sp}(q-p)$
$\mathfrak{so}^*(2n)$ ($n \geq 5$, odd)	$BC_{[n/2]}$	$[n/2]$	$\mathfrak{so}^*(2n-2)$	$\mathfrak{sl}((n-1)/2, \mathbb{H}) \times \mathbb{R}$

We conclude this section with a discussion of collapsing of boundary orbits. Let $z_j, z_l \in \partial_d \Xi$ with $j \neq l$. If $G_{\mathbb{C}}$ is simply connected and $G \subset G_{\mathbb{C}}$, then $G.z_j \neq G.z_l$, i.e. $G.z_j \cap G.z_l = \emptyset$ (cf. [18], Th. 3.6). In the general case it might happen that $G.z_j = G.z_l$ and we say that ω_j/k_j and ω_l/k_l *collapse* in $\partial_d \Xi$. Collapsing appears when there exists outer automorphisms. We refrain from developing a general theory but would like to mention some important examples.

Example 2.15. (a) Let $G = \mathrm{PSl}(n, \mathbb{K})$ for $\mathbb{K} = \mathbb{R}, \mathbb{C}, \mathbb{H}$. Then ω_j and ω_l collapse precisely for $j+l = n$.

(b) Let $G = \mathrm{SO}(n, n)$ for $n \geq 4$. Then ω_{n-1} and ω_n collapse.

3. New features of $G = \mathrm{Sl}(2, \mathbb{R})$

This section is devoted to the crown domain associated to the basic group $G = \mathrm{Sl}(2, \mathbb{R})$. It is divided into two parts. In the first half we give a description of the full boundary $\partial \Xi$ as a cone bundle over the affine symmetric space $G/H = \mathrm{Sl}(2, \mathbb{R})/\mathrm{SO}(1, 1)$. In the second part we give a novel description of the crown as a union of unipotent G -orbits. Later, via means of appropriate $\mathrm{Sl}(2, \mathbb{R})$ -reduction, we will use the material collected there for our discussion of cusp forms and proper action.

3.1. Corner view

We change perspective. Instead of regarding the crown from the base point x_0 as a thickening of X , we may view Ξ from a corner point z_j as a domain bordered by the homogeneous space G/H_j . The advantage of this perspective is that it leads to a simple characterization of the full boundary $\partial \Xi$ of Ξ .

We will give a detailed discussion of the boundary of the complex crown when $G = \mathrm{Sl}(2, \mathbb{R})$. As Ξ is attached to X , and so independent of the specific global structure of G , we may replace $\mathrm{Sl}(2, \mathbb{R})$ by $G = \mathrm{SO}_e(1, 2)$. We regard $K = \mathrm{SO}(2, \mathbb{R})$ as a maximal compact subgroup of G under the standard lower right corner embedding.

Let us define a quadratic form \square on \mathbb{C}^3 by

$$\square(\mathbf{z}) = z_0^2 - z_1^2 - z_2^2, \quad \mathbf{z} = (z_0, z_1, z_2)^T \in \mathbb{C}^3.$$

With \square we declare real and complex hyperboloids by

$$X = \{\mathbf{x} = (x_0, x_1, x_2)^T \in \mathbb{R}^3 \mid \square(\mathbf{x}) = 1, x_0 > 0\}$$

and

$$X_{\mathbb{C}} = \{\mathbf{z} = (z_0, z_1, z_2)^T \in \mathbb{C}^3 \mid \square(\mathbf{z}) = 1\}.$$

We notice that mapping

$$G_{\mathbb{C}}/K_{\mathbb{C}} \rightarrow X_{\mathbb{C}}, \quad gK_{\mathbb{C}} \mapsto g \cdot \mathbf{x}_0 \quad (\mathbf{x}_0 = (1, 0, 0))$$

is diffeomorphic and that X is identified with G/K .

At this point it is useful to introduce coordinates on $\mathfrak{g} = \mathfrak{so}(1, 2)$.

We set

$$\mathbf{e}_1 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad \mathbf{e}_2 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \mathbf{e}_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix}.$$

We notice that $\mathfrak{k} = \mathbb{R}\mathbf{e}_3$, $\mathfrak{p} = \mathbb{R}\mathbf{e}_1 + \mathbb{R}\mathbf{e}_2$ and make our choice of the flat piece $\mathfrak{a} = \mathbb{R}\mathbf{e}_1$. Then $\Omega = (-1, 1)\mathbf{e}_1$, $\Xi = G \exp(i(-\pi/2, \pi/2)\mathbf{e}_1) \cdot \mathbf{x}_0$ and we obtain Gindikin's favorite model of the crown

$$\Xi = \{\mathbf{z} = \mathbf{x} + i\mathbf{y} \in X_{\mathbb{C}} \mid x_0 > 0, \square(\mathbf{x}) > 0\}.$$

It follows that the boundary of Ξ is given by

$$(3.1) \quad \partial\Xi = \partial_s\Xi \amalg \partial_n\Xi$$

with semisimple part

$$(3.2) \quad \partial_s\Xi = \{i\mathbf{y} \in i\mathbb{R}^3 \mid \square(\mathbf{y}) = -1\}$$

and nilpotent part

$$(3.3) \quad \partial_n\Xi = \{\mathbf{z} = \mathbf{x} + i\mathbf{y} \in X_{\mathbb{C}} \mid x_0 > 0, \square(\mathbf{x}) = 0\}.$$

Notice that $\mathbf{z}_1 = \exp(i\pi/2\mathbf{e}_1) \cdot \mathbf{x}_0 = (0, 0, i)^T$ and that the stabilizer of \mathbf{z}_1 in G is the symmetric subgroup $H = \mathrm{SO}_e(1, 1)$, sitting inside of G as the upper left corner block. Hence

$$(3.4) \quad \partial_s\Xi = \partial_d\Xi = G \cdot \mathbf{z}_1 \simeq G/H$$

Write τ for the involution on G with fixed point set H and let $\mathfrak{g} = \mathfrak{h} + \mathfrak{q}$ the corresponding τ -eigenspace decomposition. Clearly, $\mathfrak{h} = \mathbb{R}\mathbf{e}_2$ and $\mathfrak{q} = \mathfrak{a} + \mathfrak{k} = \mathbb{R}\mathbf{e}_1 + \mathbb{R}\mathbf{e}_3$. Notice that \mathfrak{q} breaks as an \mathfrak{h} -module into two pieces

$$\mathfrak{q} = \mathfrak{q}^+ + \mathfrak{q}^-$$

with

$$\mathfrak{q}^\pm = \{Y \in \mathfrak{q} \mid [e_2, Y] = \pm Y\} = \mathbb{R}(\mathbf{e}_1 \pm \mathbf{e}_2).$$

Let us define the H -stable pair of half lines

$$\mathcal{C} = \mathbb{R}_{\geq 0}(\mathbf{e}_1 + \mathbf{e}_3) \amalg \mathbb{R}_{\geq 0}(\mathbf{e}_1 - \mathbf{e}_3)$$

in $\mathfrak{q} = \mathfrak{q}^+ + \mathfrak{q}^-$. We remark that \mathcal{C} is the boundary of the H -invariant open cone

$$\mathcal{W} = \text{Ad}(H)(\mathbb{R}_{> 0}\mathbf{e}_1) = \mathbb{R}_{> 0}(\mathbf{e}_1 + \mathbf{e}_3) + \mathbb{R}_{> 0}(\mathbf{e}_1 - \mathbf{e}_3).$$

Recall that the tangent bundle $T(G/H)$ naturally identifies with $G \times_H \mathfrak{q}$ and let us mention that $G \times_H \mathcal{C}$ is a G -invariant subset thereof.

Theorem 3.1. *For $G = \text{SO}_e(1, 2)$, the mapping*

$$b : G \times_H \mathcal{C} \rightarrow \partial\Xi, \quad [g, Y] \mapsto g \exp(-iY) \cdot \mathbf{z}_1$$

is a G -equivariant homeomorphism.

Proof. It is of course clear that the map is equivariant and continuous. We move to surjectivity. For $s \in \mathbb{R}$,

$$\begin{aligned} \exp(is(\mathbf{e}_1 + \mathbf{e}_3)) &= \begin{pmatrix} 1 - s^2/2 & s^2/2 & is \\ -s^2/2 & 1 + s^2/2 & is \\ is & -is & 1 \end{pmatrix}, \\ \exp(is(\mathbf{e}_1 - \mathbf{e}_3)) &= \begin{pmatrix} 1 - s^2/2 & -s^2/2 & is \\ s^2/2 & 1 + s^2/2 & -is \\ is & is & 1 \end{pmatrix}. \end{aligned}$$

Therefore,

$$(3.5) \quad b([\mathbf{1}, s(\mathbf{e}_1 \pm \mathbf{e}_3)]) = \exp(-is(\mathbf{e}_1 \pm \mathbf{e}_3)) \cdot \mathbf{z}_1 = (s, \pm s, i)^T.$$

From (3.1) - (3.3),

$$(3.6) \quad \partial\Xi = G \cdot \{(s, \pm s, i)^T \mid s \geq 0\}$$

and surjectivity is forced by (3.5) and G -equivariance.

Next, we prove that b is one-to-one. By G -equivariance, all we have to show is that

$$(3.7) \quad b([g, s(\mathbf{e}_1 \pm \mathbf{e}_3)]) = b([\mathbf{1}, t(\mathbf{e}_1 \pm \mathbf{e}_3)])$$

for some $g \in G$ and $s, t \geq 0$, forces $g \in H$ and $\text{Ad}(g)(s(\mathbf{e}_1 \pm \mathbf{e}_3)) = t(\mathbf{e}_1 \pm \mathbf{e}_3)$. We write (3.7) out and see

$$(3.8) \quad g \cdot (s, \pm s, i)^T = (t, \pm t, i)^T.$$

We take imaginary parts of this identity and deduce that $g(0, 0, i)^T = (0, 0, i)^T = \mathbf{z}_1$, i.e. $g = h \in H$. With this information we go back in (3.8), take the real part and get $h(s, \pm s, 0)^T = (t, \pm t, 0)^T$. We observe

that the latter means $\text{Ad}(h)(s(\mathbf{e}_1 \pm \mathbf{e}_3)) = t(\mathbf{e}_1 \pm \mathbf{e}_3)$ and end the proof of injectivity.

Finally we mention that b is an open mapping and this finishes the proof. \square

Corollary 3.2. *For $G = \text{SO}_e(1, 2)$ one has*

$$\pi_1(\partial\Xi) = \pi_1(G/H) = \mathbb{Z}.$$

3.2. Unipotent parametrization

We give now a novel description of the crown as a union of unipotent G -orbits.

If not stated otherwise, $G = \text{Sl}(2, \mathbb{R})$. The standard choices of coordinates are

$$\mathfrak{a} = \mathbb{R} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \text{and} \quad \mathfrak{n} = \mathbb{R} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

and we observe that $\Omega = (-1/2, 1/2) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$.

The key observation is contained in the following lemma.

Lemma 3.3. *Let $G = \text{Sl}(2, \mathbb{R})$. For all $0 \leq |t| < \pi/4$, $t \in \mathbb{R}$, one has the identity*

$$(3.9) \quad G \begin{pmatrix} 1 & i \sin 2t \\ 0 & 1 \end{pmatrix} \cdot x_0 = G \begin{pmatrix} e^{it} & 0 \\ 0 & e^{-it} \end{pmatrix} \cdot x_0.$$

Proof. For the proof it is convenient to switch to the hyperbolic model and replace G by $\text{SO}_e(1, 2)$. As before, we choose $\mathfrak{a} = \mathbb{R}\mathbf{e}_1$. We come to our choice of \mathfrak{n} . For $z \in \mathbb{C}$ let

$$n_z = \begin{pmatrix} 1 + \frac{1}{2}z^2 & z & -\frac{1}{2}z^2 \\ z & 1 & -z \\ \frac{1}{2}z^2 & z & 1 - \frac{1}{2}z^2 \end{pmatrix}$$

and

$$N_{\mathbb{C}} = \{n_z \mid z \in \mathbb{C}\}.$$

Further for $t \in \mathbb{R}$ with $|t| < \frac{\pi}{2}$ we set

$$a_t = \begin{pmatrix} \cos t & 0 & -i \sin t \\ 0 & 1 & 0 \\ -i \sin t & 0 & \cos t \end{pmatrix} \in \exp(i\Omega).$$

The statement of the lemma translates into the assertion

$$(3.10) \quad G n_{i \sin t} \cdot \mathbf{x}_0 = G a_t \cdot \mathbf{x}_0.$$

Clearly, it suffices to prove that

$$a_t \cdot \mathbf{x}_0 = (\cos t, 0, -i \sin t)^T \in G n_{i \sin t} \cdot \mathbf{x}_0.$$

Now let $k \in K$ and $b \in A$ be elements which we write as

$$k = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & \sin \theta \\ 0 & -\sin \theta & \cos \theta \end{pmatrix} \quad \text{and} \quad b = \begin{pmatrix} \cosh r & 0 & \sinh r \\ 0 & 1 & 0 \\ \sinh r & 0 & \cosh r \end{pmatrix}$$

for real numbers r, θ . For $y \in \mathbb{R}$, a simple computation yields that

$$k b n_{iy} \cdot \mathbf{x}_0 = \begin{pmatrix} \cosh r(1 - \frac{1}{2}y^2) - \frac{1}{2}y^2 \sinh r \\ iy \cos \theta + \sin \theta(\sinh r(1 - \frac{1}{2}y^2) - \frac{1}{2}y^2 \cosh r) \\ -iy \sin \theta + \cos \theta(\sinh r(1 - \frac{1}{2}y^2) - \frac{1}{2}y^2 \cosh r) \end{pmatrix}.$$

Now we make the choice of $\theta = \frac{\pi}{2}$ which gives us that

$$k b n_{iy} \cdot \mathbf{x}_0 = \begin{pmatrix} \cosh r(1 - \frac{1}{2}y^2) - \frac{1}{2}y^2 \sinh r \\ \sinh r(1 - \frac{1}{2}y^2) - \frac{1}{2}y^2 \cosh r \\ -iy \end{pmatrix}.$$

As $y = \sin t$ we only have to verify that we can choose r such that $\sinh r(1 - \frac{1}{2}y^2) - \frac{1}{2}y^2 \cosh r = 0$. But this is equivalent to

$$\tanh r = \frac{\frac{1}{2}y^2}{1 - \frac{1}{2}y^2}.$$

In view of $-1 < y = \sin t < 1$, the right hand side is smaller than one and we can solve for r . \square

Let us define a domain in \mathfrak{n}

$$\Lambda = \left\{ \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \in \mathfrak{n} \mid x \in \mathbb{R}, |x| < 1 \right\}.$$

A remarkable consequence of the preceding Lemma is the following result which we will establish in full generality later on.

Theorem 3.4. *For $G = \text{Sl}(2, \mathbb{R})$ one has*

$$\Xi = G \exp(i\Lambda) \cdot x_0.$$

Remark 3.5. (a) *It is not a priori clear that $G \exp(i\Lambda) \cdot x_0$ is open in $X_{\mathbb{C}}$. This is because of the fact that the natural map*

$$G \times \mathfrak{n} \rightarrow X_{\mathbb{C}}, \quad (g, Y) \mapsto g \exp(iY) \cdot x_0$$

has singular differential at $(g, 0)$, $g \in G$.

(b) Lemma 3.3 allows us to give a characterization of Ξ as a fiber bundle related to the nilcone. Write $\mathcal{N} \subset \mathfrak{g}$ for the cone of nilpotent elements in \mathfrak{g} and note that $\mathcal{N} = \text{Ad}(K)\mathfrak{n}$. Define a subset of Λ by

$$\Lambda^+ = \left\{ \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \mid x \in \mathfrak{n}, 0 \leq x < 1 \right\}.$$

and put $\mathcal{N}^+ = \text{Ad}(K)\Lambda^+$. Then it follows from Lemma 3.3 that the mapping

$$G \times_K \mathcal{N}^+ \rightarrow \Xi, \quad [g, Y] \mapsto g \exp(iY).x_0$$

is a homeomorphism.

While it is not possible to enlarge Ξ to a larger domain in hyperbolic directions, i.e. beyond Ω , the situation is quite different for unipotent elements.

Lemma 3.6. *The differential of the mapping*

$$G \times \mathfrak{n} \rightarrow X_{\mathbb{C}}, \quad (g, Y) \mapsto g \exp(iY).x_0$$

is invertible at all points $(g, Y) \in G \times \mathfrak{n}$ with $Y \neq 0$.

Proof. By G -equivariance of the map, it will be sufficient to show that the map is submersive at all points $(\mathbf{1}, Y)$ with $Y \neq 0$. This assertion in turn translates into the identity

$$e^{-i\text{ad}Y} \mathfrak{g} + i\mathfrak{n} + \mathfrak{k}_{\mathbb{C}} = \mathfrak{g}_{\mathbb{C}}$$

which is satisfied whenever $Y \neq 0$. □

For $a < b$ we define an open subset of \mathfrak{n} by

$$\Lambda_{a,b} = \left\{ \begin{pmatrix} 0 & x \\ 0 & 0 \end{pmatrix} \in \mathfrak{n} \mid x \in \mathbb{R}, a < x < b \right\}$$

and declare G -invariant connected subsets of $X_{\mathbb{C}}$ by

$$\Xi_{a,b} = G \exp(i\Lambda_{a,b}).x_0.$$

Of further interest for us is the limiting object for $a \rightarrow \infty, b \rightarrow -\infty$,

$$\Xi_N = G \exp(i\mathfrak{n}).x_0 = GN_{\mathbb{C}}.x_0.$$

Lemma 3.7. *For all $a < b$, the sets $\Xi_{a,b}$ are open. In particular Ξ_N is open.*

Proof. If $0 \notin (a, b)$, then the assertion follows from Lemma 3.6. Thus we may assume that $0 \in (a, b)$. Suppose first that $|a|, |b| \leq 1$. Then by Lemma 3.3 there exists a symmetric, i.e. $W = \mathbb{Z}_2$ -invariant, interval

$\Omega_{a,b} \subset \Omega$ such that $\Xi_{a,b} = G \exp(i\Omega_{a,b}).x_0$. The latter set is open by Remark 2.2. Finally assume that $a > 1$ or $b < -1$. Then

$$\Xi_{a,b} = \Xi_{\max\{b,-1\}, \min\{1,a\}} \cup G \exp(i\Lambda_{a,b} \setminus \{0\}).x_0$$

is the union of two open sets (use Lemma 3.6 for the second term) and we conclude the proof of the lemma. \square

We exhibit the structure of the domain Ξ_N . For that it is useful to move to the hyperboloid picture with $G = \text{SO}_e(1,2)$. Define the horocycle space of X by

$$\text{Hor}(X) = \{\xi \in \mathbb{R}^3 \mid \square(\xi) = 0, \xi \neq 0\}$$

and notice that the map

$$G/N \rightarrow \text{Hor}(X), \quad gN \mapsto g.\xi_0$$

with $\xi_0 = (1, 0, 1)^T$ is a diffeomorphism. Let us denote by $\mathbf{z} \cdot \mathbf{w} = \sum_{i=1}^3 z_i w_i$ the complex bilinear form obtained from polarizing \square .

Proposition 3.8. *For the domain Ξ_N the following assertions hold:*

- (i) $\Xi_N = \{\mathbf{z} \in X_{\mathbb{C}} \mid \mathbf{z} \cdot \xi = 1 \text{ for some } \xi \in \text{Hor}(X)\}$.
- (ii) $\Xi_N = X_{\mathbb{C}} - \partial_d \Xi = X_{\mathbb{C}} - G/H$.
- (iii) *For all $z \in \Xi_N$, the G -stabilizer*

$$G_z = \{g \in G \mid g.z = z\}$$

is a compact subgroup of G .

Proof. (i) We only have to notice that

$$N_{\mathbb{C}}.x_0 = \{\mathbf{z} \in X_{\mathbb{C}} \mid \mathbf{z} \cdot \xi_0 = 1\}.$$

(ii) We use the characterization of Ξ_N from (i). We have to show that

$$(3.11) \quad \Xi_N = \{\mathbf{z} = \mathbf{x} + i\mathbf{y} \in X_{\mathbb{C}} \mid \mathbf{x} \neq 0\}.$$

For elements $z \in X_{\mathbb{C}}$ we will distinguish three cases: $\square(\mathbf{x}) > 0$, $\square(\mathbf{x}) < 0$ and $\square(\mathbf{x}) = 0$. Before we do our case by case analysis let us mention the fact that elements $\mathbf{z} = \mathbf{x} + i\mathbf{y} \in \mathbb{C}^3$ belong to $X_{\mathbb{C}}$ precisely when

$$\square(\mathbf{x}) - \square(\mathbf{y}) = 1 \quad \text{and} \quad \mathbf{x} \cdot \mathbf{y} = 0.$$

Case 1: $\square(\mathbf{x}) > 0$. We claim that the G -orbit through \mathbf{z} has a representative of the type $\mathbf{z} = (x_0, iy_1, 0)^T$. In fact, as $\square(\mathbf{x}) > 0$, the G -orbit through \mathbf{x} has a representative $(x_0, 0, 0)^T$. From $\mathbf{x} \cdot \mathbf{y} = 0$ we then conclude that $\mathbf{y} = (0, y_1, y_2)^T$. Further we may alter \mathbf{y} by the stabilizer

$K = \text{SO}(2, \mathbb{R})$ of \mathbf{x} . Thus we may assume that $\mathbf{y} = (0, y_1, 0)^T$. But then $\mathbf{z} \cdot \xi = 1$ for $\xi = (1/x_0, 0, 1/x_0)^T \in \text{Hor}(X)$. In particular

$$\{z \in X_{\mathbb{C}} \mid \square(\mathbf{x}) > 0\} \subset \Xi_N.$$

Case 2: $\square(\mathbf{x}) < 0$. We claim that the G -orbit through \mathbf{z} has a representative of the type $\mathbf{z} = (0, iy_1, x_2)^T$. Indeed, as $\square(\mathbf{x}) < 0$, we may assume that $\mathbf{x} = (0, 0, x_2)^T$. Orthogonality $\mathbf{x} \cdot \mathbf{y} = 0$ then implies that $\mathbf{y} = (y_0, y_1, 0)^T$. Notice that

$$\square(\mathbf{y}) = y_0^2 - y_1^2 = -1 - x_2^2 < 0.$$

It is allowed to change \mathbf{y} by displacements of $H = \text{SO}(1, 1)$, the stabilizer of \mathbf{x} . As H acts transitively on all connected component of the level sets of $y_0^2 - y_1^2$, it is no loss of generality to assume that $\mathbf{y} = (0, y_1, 0)^T$. But then $\mathbf{z} \cdot \xi = 1$ for $\xi = (1/x_2, 0, -1/x_2)^T \in \text{Hor}(X)$ and we conclude that

$$\{z \in X_{\mathbb{C}} \mid \square(\mathbf{x}) < 0\} \subset \Xi_N.$$

Case 3: $\square(\mathbf{x}) = 0$ and $\mathbf{x} \neq 0$. We assert that the G -orbit through \mathbf{z} has a representative of the type $\mathbf{z} = (1 + iy_0, 1 + iy_0, \pm 1)^T$. Namely, as $\square(\mathbf{x}) = 0$ and $\mathbf{x} \neq 0$, the G -orbit through \mathbf{x} contains the element $\mathbf{x} = (1, 1, 0)^T$. Then $\mathbf{x} \cdot \mathbf{y} = 0$ and $\square(\mathbf{y}) = -1$ force $\mathbf{y} = (y_0, y_0, \pm 1)$. Hence we can choose \mathbf{z} of the asserted form. But then $\xi = (\frac{y_0^2+1}{2}, \frac{y_0^2-1}{2}, \mp y_0)^T \in \text{Hor}(X)$ with $\mathbf{z} \cdot \xi = 1$.

Finally, we observe that elements of the type $\mathbf{z} = i\mathbf{y}$ cannot belong to Ξ_N bu (i).

(iii) Notice that $g \cdot \mathbf{z} = \mathbf{z}$ means that $g \cdot \mathbf{x} = \mathbf{x}$ and $g \cdot \mathbf{y} = \mathbf{y}$. We analyse the three cases in (ii). If $\square(\mathbf{x}) > 0$, then $G \cdot \mathbf{x} \simeq X$ and $G_{\mathbf{x}}$ is compact. If $\square(\mathbf{x}) < 0$, then we may assume that $\mathbf{z} = (0, iy_1, x_2)^T$. Hence $g \cdot \mathbf{x} = \mathbf{x}$ forces $g \in H$ and then $g \cdot \mathbf{y} = \mathbf{y}$ yields $g = \mathbf{1}$. Finally if $\square(\mathbf{x}) = 0$ and $\mathbf{x} \neq 0$, then our choice of \mathbf{z} can be $\mathbf{z} = (1 + iy_0, 1 + iy_0, \pm 1)^T$. Then $g \cdot \mathbf{x} = \mathbf{x}$ implies that g is unipotent while $g \cdot \mathbf{y} = \mathbf{y}$ forces g to be hyperbolic. Hence $g = \mathbf{1}$ in this case also. \square

The statement in Proposition 3.8 (iii) suggest that the G -action on $\Xi_{a,b}$ should be proper. However, this is not always the case as our next result shows. For that

Proposition 3.9. *Suppose that $(a, b) \cap (-1, 1) \neq \emptyset$. Then the following assertions hold:*

- (i) *If $\max\{|a|, |b|\} \leq 1$, then the G -action on $\Xi_{a,b}$ is proper.*
- (ii) *If $\min\{|a|, |b|\} > 1$, then the G -action on $\Xi_{a,b}$ is not proper.*

Proof. If $|a|, |b| \leq 1$, then $\Xi_{a,b} \subset \Xi$ and as the G -action is proper on Ξ , the same holds for $\Xi_{a,b}$. We move to (ii). Assume now that $|a| > 1$ and $|b| > 1$. Then $\Xi_{a,b}$ contains both elements $\mathbf{w}_+ = n_i \cdot \mathbf{x}_0$ and $\mathbf{w}_- = n_{-i} \cdot \mathbf{x}_0$. We note that

$$\mathbf{w}_+ := \begin{pmatrix} 1/2 \\ i \\ -1/2 \end{pmatrix} = n_i \cdot \mathbf{x}_0 = \begin{pmatrix} 1/2 & i & 1/2 \\ i & 1 & -i \\ -1/2 & i & 1/2 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}.$$

For $n \in \mathbb{N}$ we define elements $\mathbf{z}_n \in X_{\mathbb{C}}$ by

$$\mathbf{z}_n = (1/2, 0, -1/2 + e^{-n})^T + i(0, \sqrt{3/4 + (e^{-n} - 1/2)^2}, 0)^T.$$

Notice that $\lim_{n \rightarrow \infty} \mathbf{z}_n = \mathbf{w}_+$. Hence there exists an $n_0 \in \mathbb{N}$ such that $\mathbf{z}_n \in \Xi_{a,b}$ for all $n \geq n_0$. Now set

$$b_n = \begin{pmatrix} \cosh n & 0 & \sinh n \\ 0 & 1 & 0 \\ \sinh n & 0 & \cosh n \end{pmatrix} \in A.$$

Note that eigenvectors of b_n are

$$\mathbf{f}_1 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \quad \mathbf{f}_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \quad \mathbf{f}_3 = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

with eigenvalues e^n , 1 and e^{-n} respectively. Thus

$$\begin{aligned} b_n \cdot \mathbf{z}_n &= b_n \cdot \mathbf{x}_n + i \mathbf{y}_n \\ &= e^n \cdot \frac{x_{0,n} + x_{2,n}}{2} \cdot \mathbf{f}_1 + e^{-n} \cdot \frac{x_{0,n} - x_{2,n}}{2} \cdot \mathbf{f}_2 + \\ &\quad + i \sqrt{3/4 + (e^{-n} - 1/2)^2} \cdot \mathbf{f}_3 \\ &= 1/2 \cdot \mathbf{f}_1 + e^{-n}(1 - e^{-n}/2) \cdot \mathbf{f}_2 + i \sqrt{3/4 + (e^{-n} - 1/2)^2} \cdot \mathbf{f}_3 \end{aligned}$$

and thus $\lim_{n \rightarrow \infty} b_n \cdot \mathbf{z}_n = \mathbf{w}_- \in \Xi_{a,b}$. Hence $(b_n \cdot \mathbf{z}_n)_{n \geq n_0}$ stays in a compact subset of $\Xi_{a,b}$ but with $(b_n)_{n \geq n_0}$ an unbounded sequence. Thus the action of G on $\Xi_{a,b}$ is not proper. \square

We conclude this section with a final result for proper G -action.

Proposition 3.10. *Let $G = \text{Sl}(2, \mathbb{R})$ and let $D \subset X_{\mathbb{C}}$ be a G -invariant domain with $X \subset D$. If the action of G on D is proper, then:*

- (i) $\partial_s \Xi \cap D = \emptyset$.
- (ii) $\partial_n \Xi \not\subset D$.

In particular, if $\partial_n \Xi \cap D = \emptyset$, then $D \subseteq \Xi$.

Proof. Let $X \subset D \subset X_{\mathbb{C}}$ be an open G -invariant domain with proper G -action. Suppose that $D \cap \partial \Xi \neq \emptyset$ and let z be a point thereof. Then $z \notin \partial_d \Xi = G/H$ as H is noncompact and the G -action on D is proper. Hence $z \in \partial_n \Xi$. It follows from Theorem 3.1 that

$$\partial_n \Xi = Gn_i \cdot \mathbf{x}_0 \amalg Gn_{-i} \cdot \mathbf{x}_0.$$

Thus $D \cap \Xi_{a,b} \neq \emptyset$ for some a, b with $\max\{|a|, |b|\} > 1$ – the assertion now follows from the previous proposition. \square

Remark 3.11. *There exist larger G -domains $D \supsetneq \Xi$ with the G -action proper. We provide the recipe for their construction in case of $G = \mathrm{Sl}(2, \mathbb{R})$. Recall that X identifies with the upper halfplane and henceforth we view X in the projective space $\mathbb{P}^1(\mathbb{C})$. Notice that $G_{\mathbb{C}}$ acts on $\mathbb{P}^1(\mathbb{C})$ by fractional linear transformation. Denote by \overline{X} the lower half plane and notice that Ξ is G -isomorphic to $X \times \overline{X}$. In this realization X sits in $\Xi = X \times \overline{X}$ via $z \mapsto (z, \bar{z})$. We view $\Xi \in \mathbb{P}^1(\mathbb{C}) \times \mathbb{P}^1(\mathbb{C})$ and note that*

$$X_{\mathbb{C}} = \{(z, w) \in \mathbb{P}^1(\mathbb{C}) \times \mathbb{P}^1(\mathbb{C}) \mid z \neq w\}.$$

Furthermore

$$\partial_s \Xi = \{(x, y) \in \mathbb{P}^1(\mathbb{R}) \times \mathbb{P}^1(\mathbb{R}) \mid x \neq y\}$$

and

$$\partial_n \Xi = X \times \mathbb{P}^1(\mathbb{R}) \amalg \mathbb{P}^1(\mathbb{R}) \times \overline{X}.$$

In particular we see that

$$D = (X \times \mathbb{P}^1(\mathbb{C})) \cap X_{\mathbb{C}}$$

provides a G -domain in $X_{\mathbb{C}}$ such that

- $\partial_s \Xi \cap D = \emptyset$,
- $\partial_n \Xi \not\subset D$,
- G acts properly on D .

With this picture of Ξ one can easily sharpen Proposition 3.9 to: G acts properly on $\Xi_{a,b}$ if and only if $\min\{|a|, |b|\} \leq 1$.

4. Properness and maximality of holomorphic extension

As we mentioned earlier in Remark 2.3(ii), it was proved in [1], that Ω is maximal with respect to proper G -action. We will refine this result in Theorem 4.1 below. This new geometric fact translates into a maximality assertion for holomorphic extension of representations.

Theorem 4.1. *Let $X \subset D$ be a G -domain in $X_{\mathbb{C}}$ with the G -action proper. Then the following assertions hold:*

- (i) $\partial_s \Xi \cap D = \emptyset$.
- (ii) $\partial_n \Xi \not\subset D$. In particular, if $\partial_n \Xi \cap D = \emptyset$, then $D \subset \Xi$.

Proof. (i) It was shown in [1] that G -stabilizers on $\partial_s \Xi$ are noncompact. Hence the assertion.

(ii) Suppose that $\partial_n \Xi \subset D$ and let z be a point of $\partial_n \Xi$. As D is open we may assume that z is generic in the sense of [14], Section 4.2. It follows from [14], Th. 4.3.5., that there is a subgroup $G_0 \subset G$ which is locally isomorphic to $\mathrm{Sl}(2, \mathbb{R})$ such that the crown Ξ_0 associated to G_0 embeds G_0 -equivariantly into Ξ with $z \in \partial_n \Xi_0$ in addition. As $\partial_n \Xi_0 \subset \partial_n \Xi$ we obtain a contradiction to Proposition 3.10. \square

We turn to applications in representation theory. For that it is convenient to look at the preimage

$$\tilde{\Xi} = G \exp(i\pi/2\Omega) K_{\mathbb{C}}$$

of Ξ in $G_{\mathbb{C}}$.

We let (π, \mathcal{H}) be a unitary irreducible representation of G and write \mathcal{H}_K for the associated Harish-Chandra modul of K -finite vectors. Then, for $v \in \mathcal{H}_K$, it was shown in [29] that the orbit map

$$F_v : G \rightarrow \mathcal{H}, \quad g \mapsto \pi(g)v$$

extends to a G -equivariant holomorphic map $\tilde{\Xi} \rightarrow \mathcal{H}$, also denoted by F_v in the sequel. We wish to show that $\tilde{\Xi}$ is maximal and want to relate this to the properness of the action of G on Ξ . The link is established through the following fact.

Lemma 4.2. *Let (π, \mathcal{H}) be a unitary representation of a reductive group G which does not contain the trivial representation. Then G acts properly on $\mathcal{H} - \{0\}$.*

Proof. Let $C \subset \mathcal{H} - \{0\}$ be a compact subset and $C_G = \{g \in G \mid \pi(g)C \cap C \neq \emptyset\}$. Suppose that C_G is not compact. Then there exists a sequence $(g_n)_{n \in \mathbb{N}}$ in C_G and a sequence $(v_n)_{n \in \mathbb{N}}$ in C such that $\pi(g_n)v_n \in C$ and $\lim_{n \rightarrow \infty} g_n = \infty$. As C is compact we may assume that $\lim_{n \rightarrow \infty} v_n = v$ and $\lim_{n \rightarrow \infty} \pi(g_n)v_n = w$ with $v, w \in C$. We claim that

$$(4.1) \quad \lim_{n \rightarrow \infty} \langle \pi(g_n)v, w \rangle \neq 0.$$

In fact $\|\pi(g_n)v_n - \pi(g_n)v\| = \|v_n - v\| \rightarrow 0$ and thus $\pi(g_n)v \rightarrow w$ as well. As $w \in C$, it follows that $w \neq 0$ and our claim is established.

Finally we observe that (4.1) contradicts the Riemann-Lebesgue lemma for representations which asserts that the matrix coefficient vanishes at infinity. \square

From Lemma 4.2 we deduce the following result.

Theorem 4.3. *Let (π, \mathcal{H}) be an irreducible unitary representation of G which is not trivial. Let $v \in \mathcal{H}_K$, $v \neq 0$, be a K -finite vector. Let \tilde{D} be a maximal $G \times K_{\mathbb{C}}$ -invariant domain in $G_{\mathbb{C}}$ with respect to the property that the orbit map $F_v : G \rightarrow \mathcal{H}$, $g \mapsto \pi(g)v$ extends to a G -equivariant holomorphic map $\tilde{\Xi} \rightarrow \mathcal{H}$. Then G acts properly on $\tilde{D}/K_{\mathbb{C}} \subset X_{\mathbb{C}}$.*

Proof. We argue by contradiction and assume that G does not act properly on $D = \tilde{D}/K_{\mathbb{C}}$. We obtain sequences $(z'_n)_{n \in \mathbb{N}} \subset D$ and $(g_n)_{n \in \mathbb{N}} \subset G$ such that $\lim_{n \rightarrow \infty} z'_n = z' \in D$, $\lim_{n \rightarrow \infty} g_n z'_n = w' \in D$ and $\lim_{n \rightarrow \infty} g_n = \infty$. We select preimages z_n, z and w of z'_n, z' and w' in \tilde{D} . We may assume that $\lim_{n \rightarrow \infty} z_n = z$ and find a sequence $(k_n)_{n \in \mathbb{N}}$ in $K_{\mathbb{C}}$ such that $\lim_{n \rightarrow \infty} g_n z_n k_n = w$.

Before we continue we claim that

$$(4.2) \quad (\forall z \in \tilde{D}) \quad \pi(z)v \neq 0$$

In fact assume $\pi(z)v = 0$ for some $z \in \tilde{D}$. Then $\pi(g)\pi(z)v = 0$ for all $g \in G$. In particular the map $G \rightarrow \mathcal{H}$, $g \mapsto \pi(g)v$ is constantly zero. However this map extends to a holomorphic map to a G -invariant neighborhood in $G_{\mathbb{C}}$. By the identity theorem for holomorphic functions this map has to be zero as well. We obtain a contradiction to $v \neq 0$ and our claim is established.

Write $V = \text{span}\{\pi(K)v\}$ for the finite dimensional space spanned by the K -translates of v . In our next step we claim that

$$(4.3) \quad (\exists c_1, c_2 > 0) \quad c_1 < \|\pi(k_n)v\| < c_2.$$

In fact from

$$\lim_{n \rightarrow \infty} \pi(g_n z_n k_n)v = \pi(w)v \quad \text{and} \quad \|\pi(g_n z_n k_n)v\| = \|\pi(z_n)\pi(k_n)v\|$$

we conclude with (4.2) that there are positive constants $c'_1, c'_2 > 0$ such that $c'_1 < \|\pi(z_n)\pi(k_n)v\| < c'_2$ for all n . We use that $\lim_{n \rightarrow \infty} z_n = z \in \tilde{D}$ to obtain $\pi(z_n)|_V - \pi(z)|_V \rightarrow 0$ and our claim follows.

We define C to be the closure of the sequences $(\pi(z_n k_n)v)_{n \in \mathbb{N}}$ and $(\pi(g_n z_n k_n)v)_{n \in \mathbb{N}}$ in \mathcal{H} . With our previous claims (4.2) and (4.3) we obtain that $C \subset \mathcal{H} - \{0\}$ is a compact subset. But $C_G = \{g \in G \mid$

$\pi(g)C \cap C \neq \emptyset$ contains the unbounded sequence $(g_n)_{n \in \mathbb{N}}$ and hence is not compact - a contradiction to Lemma 4.2. \square

Remark 4.4. Let (π, \mathcal{H}) and $v \in \mathcal{H}$ be as in the theorem. Then we might ask whether the stronger statement

$$\lim_{z \rightarrow \partial \Xi} \|\pi(z)v\| = \infty$$

holds true. For the special case of $v = v_K \in \mathcal{H}_K$ a K -fixed vector this was established in [30], Th. 2.4.

4.1. Domains of holomorphy for the unitary dual of $G = \mathrm{Sl}(2, \mathbb{R})$

Let now $G = \mathrm{Sl}(2, \mathbb{R})$. With the coordinates of Remark 3.11 we have

$$X_{\mathbb{C}} = (\mathbb{P}^1(\mathbb{C}) \times \mathbb{P}^1(\mathbb{C}) \setminus \mathrm{diag}(\mathbb{P}^1(\mathbb{C}))),$$

$$\Xi = X \times \overline{X},$$

where X denotes the upper and \overline{X} the lower halfplane. Then there are two interesting G -domains in $X_{\mathbb{C}}$ which contain Ξ . These are:

- $S^+ = (\mathbb{P}^1(\mathbb{C}) \times \overline{X}) \cap X_{\mathbb{C}}$,
- $S^- = (X \times \mathbb{P}^1(\mathbb{C})) \cap X_{\mathbb{C}}$.

Proposition 4.5. *The following assertions hold:*

- (i) $S^+ = G \exp(i\Lambda_{(-1, \infty)}) \cdot x_0$,
- (ii) $S^- = G \exp(i\Lambda_{(-\infty, 1)}) \cdot x_0$.

In particular, S^{\pm} are maximal G -domains in $X_{\mathbb{C}}$ on which G acts properly.

Proof. The first two assertions come down to a very elementary computation; the last one follows from Proposition 3.10. \square

Remark 4.6. *As \mathfrak{g} is of Hermitian type, the $\mathfrak{k}_{\mathbb{C}}$ -module $\mathfrak{p}_{\mathbb{C}}$ splits into two inequivalent subspaces $\mathfrak{p}_{\mathbb{C}} = \mathfrak{p}^+ \oplus \mathfrak{p}^-$ with*

$$\mathfrak{p}^{\pm} = \mathbb{C} \cdot \begin{pmatrix} 1 & \pm i \\ \pm i & 1 \end{pmatrix}.$$

Set $P^{\pm} = \exp(\mathfrak{p}^{\pm})$. Then the preimages \tilde{S}^{\pm} of S^{\pm} in $G_{\mathbb{C}}$ are given by

- $\tilde{S}^+ = GK_{\mathbb{C}}P^+$,
- $\tilde{S}^- = GK_{\mathbb{C}}P^-$.

We obtain the following result.

Theorem 4.7. *Let (π, \mathcal{H}) be an irreducible unitary representation of $G = \mathrm{Sl}(2, \mathbb{R})$. Let $v \in \mathcal{H}_K$ be a non-zero vector and $f_v : G \rightarrow \mathcal{H}$, $g \mapsto \pi(g)v$ the corresponding orbit map. Then the domains of holomorphy of f_v are given by:*

- (i) $G_{\mathbb{C}}$, if π is trivial.
- (ii) \tilde{S}^+ , if π is a highest weight representation, i.e. belongs to the holomorphic discrete series.
- (iii) \tilde{S}^- , if π is a lowest weight representation, i.e. belongs to the anti-holomorphic discrete series.
- (iv) $\tilde{\Xi}$, if π is non of the above, i.e. a unitarizable principal series.

Proof. (i) is clear.

(ii) If \mathcal{H}_K is a highest weight module, then all its vectors are $K_{\mathbb{C}}P^+$ -finite. Hence $\tilde{S}^+ = GK_{\mathbb{C}}P^+$ lies in the domain of holomorphy of f_v . By the preceding Proposition \tilde{S}^+ is maximal for proper action and the assertion follows from Theorem 4.3.

(iii) Analogous to (ii).

(iv) For $\tilde{\Xi}$ to be contained in the domain of holomorphy we refer to the general result of [30], Th. 1.1. If π is K -spherical, then $\tilde{\Xi}$ is indeed maximal as it follows from [32], Th. 5.1 and Remark (4.8) below. Finally, the case of non-spherical principal series is similar to the spherical case (the same proof as in [32] applies). \square

Remark 4.8. *In the proof of Th. 5.1 in [32] there is an inaccuracy which we wish to correct here. Actually we have to address the proof of the key result Th. 5.4 in [32]: it asserts for $G = \mathrm{Sl}(2, \mathbb{R})$ that a spherical function with imaginary parameter blows up at the boundary of Ξ . Now $\partial\Xi = \partial_s\Xi \amalg \partial_n\Xi$. The arguments given for the blow-up at the semisimple boundary $\partial_s\Xi$ are fine; the ones for the blow-up at $\partial_n\Xi$ are not correct and should be modified. With the notation of [32] we*

have for $a_r = \begin{pmatrix} r & 0 \\ 0 & \frac{1}{r} \end{pmatrix} \in A$, $r > 0$, and $-1 < t < 1$ that

$$P \left(a_r \begin{pmatrix} 1 & it \\ 0 & 1 \end{pmatrix} .x_0 \right) = r^2 + \frac{1}{r^2} - t^2 r^2.$$

In particular, if $|t| > 1$, then there would exist a sequence $r_n \rightarrow r_0$ such that $P \left(a_{r_t} \begin{pmatrix} 1 & it \\ 0 & 1 \end{pmatrix} \right) \rightarrow -2^+$. Now we can use the argument given in the proof of Th. 5.4 in [32].

Secondly, let us mention that Th. 5.1 and Th. 5.4 are true for all positive definite spherical functions $\neq \mathbf{1}$, not only for those with imaginary parameters as stated – the argument is literally the same.

Remark 4.9. *Theorem 4.7 says that there are different domains of holomorphy for different series of representations. We expect an analogous result for arbitrary semisimple Lie groups and intend to return to this topic elsewhere. Let us mention that the crown is maximal for the class of non-trivial spherical unitary representations of G by [32], Th. 5.4 and the remark above.*

5. Holomorphic extension of spherical functions

This section is a short essay on spherical functions on X which highlights their natural holomorphic extension to the crown Ξ .

As always some notations upfront. For $\alpha \in \Sigma$ write \mathfrak{g}^α for the corresponding root space. Choose a positive system Σ^+ and define $\mathfrak{n} = \sum_{\alpha \in \Sigma^+} \mathfrak{g}^\alpha$. Set $N = \exp \mathfrak{n}$. The Iwasawa decomposition $G = NAK$ yields the analytic diffeomorphism

$$(5.1) \quad N \times A \xrightarrow{\cong} X, \quad (n, a) \rightarrow na.x_0.$$

In particular, every $x \in X$ can be uniquely written as $x = n(x)a(x).x_0$ with $n(x) \in N$ and $a(x) \in A$ both depending analytically on x .

Let $N_{\mathbb{C}} = \exp \mathfrak{n}_{\mathbb{C}}$. If we complexify the Iwasawa decomposition of X we obtain a Zariski open subset $N_{\mathbb{C}}A_{\mathbb{C}}.x_0 \subsetneq X_{\mathbb{C}}$ which contains the crown, i.e.

$$(5.2) \quad \Xi \subset N_{\mathbb{C}}A_{\mathbb{C}}.x_0$$

(see [29] for classical groups and [25] (with correction [14]) as well as [36] in general). Let us mention that $\Omega \subset \mathfrak{a}$ is a maximal domain for the inclusion (5.2) to hold, i.e. $G \exp(i\pi\tilde{\Omega}/2) \not\subset N_{\mathbb{C}}A_{\mathbb{C}}.x_0$ for any domain $\tilde{\Omega} \subset \mathfrak{a}$ strictly containing Ω (cf. [2] and [30], Th. 2.4 with proof).

Define the finite 2-group $F = A_{\mathbb{C}} \cap K_{\mathbb{C}} = T \cap K$ and record that the map

$$N_{\mathbb{C}} \times A_{\mathbb{C}}/F \xrightarrow{\cong} N_{\mathbb{C}}A_{\mathbb{C}}.x_0, \quad (n, aF) \mapsto na.x_0$$

is biholomorphic. It follows that each element $z \in N_{\mathbb{C}}A_{\mathbb{C}}.x_0$ can be uniquely expressed as $z = n_{\mathbb{C}}(z)a_{\mathbb{C}}(z).x_0$ with $n_{\mathbb{C}}(z) \in N_{\mathbb{C}}$ and $a_{\mathbb{C}}(z) \in A_{\mathbb{C}}/F$ both holomorphic in z . One obtains an N -invariant holomorphic assignment

$$(5.3) \quad a_{\mathbb{C}} : \Xi \rightarrow A_{\mathbb{C}}/F$$

We have already remarked that Ξ is contractible and this yields that $a_{\mathbb{C}}$ lifts to a holomorphic map $\Xi \rightarrow A_{\mathbb{C}}$, as well denoted by $a_{\mathbb{C}}$, such that $a_{\mathbb{C}}(x_0) = e$. Likewise there is a holomorphic logarithm $\log a_{\mathbb{C}} : \Xi \rightarrow \mathfrak{a}_{\mathbb{C}}$ extending $\log a : X \rightarrow \mathfrak{a}$. In particular, for all $\lambda \in \mathfrak{a}_{\mathbb{C}}^*$ we can define the holomorphic λ -power of $a_{\mathbb{C}}$ by

$$a_{\mathbb{C}}(z)^{\lambda} = e^{\lambda(\log a_{\mathbb{C}}(z))} \quad (z \in \Xi)$$

We would like to mention the complex convexity theorem ([19], [31]) which states that

$$(5.4) \quad \text{Im } \log a_{\mathbb{C}}(G \exp(iY).x_0) = \text{co}(W.Y) \quad (Y \in \pi\Omega/2)$$

with $\text{co}(\cdot)$ denoting the convex hull of (\cdot) . As a consequence we obtain a refinement of the inclusion (5.2):

$$(5.5) \quad \Xi \subset N_{\mathbb{C}}AT_{\Omega}.x_0$$

For $\alpha \in \Sigma$ let us define $m_{\alpha} = \dim \mathfrak{g}^{\alpha}$ and note that the multiplicity assignment $\alpha \mapsto m_{\alpha}$ is W -invariant. As usual we set $\rho = \frac{1}{2} \sum_{\alpha \in \Sigma^+} m_{\alpha} \alpha$. Motivated by our previous discussion we define the spherical function with parameter $\lambda \in \mathfrak{a}_{\mathbb{C}}^*$ ab initio as a holomorphic function on Ξ :

$$(5.6) \quad \phi_{\lambda}(z) = \int_K a_{\mathbb{C}}(kz)^{\rho+i\lambda} dk \quad (z \in \Xi)$$

Of later relevance for us will be the doubling formula for spherical functions ([29], Th. 4.2). For the convenience of the reader we briefly recall the short argument. We translate the inclusion (5.2) into representation theory: Using the compact realization of a spherical minimal principal series module $(\pi_{\lambda}, \mathcal{H}_{\lambda})$ one shows that the orbit map of a spherical vector $v_{\lambda} \in \mathcal{H}_{\lambda}$

$$(5.7) \quad F : X \rightarrow \mathcal{H}_{\lambda}, \quad x \rightarrow \pi_{\lambda}(x)v_{\lambda}$$

extends to a holomorphic map

$$(5.8) \quad F : \Xi \rightarrow \mathcal{H}_{\lambda} \quad z \rightarrow \pi_{\lambda}(z)v_{\lambda}$$

see [29], Prop. 4.1. This allows us to express the spherical function ϕ_{λ} as a holomorphic matrix coefficient $\phi_{\lambda}(z) = \langle v_{\lambda}, \pi_{\lambda}(z)v_{\lambda} \rangle$ for $z \in \Xi$ (where we have adopted the physicist's convention that sesquilinear pairings are linear on the right hand side, and anti-linear on the left hand side).

Now let $z \in AT_{\Omega}$ such that $z^2 \in AT_{\Omega}$ and observe that

$$\phi_{\lambda}(z^2) = \langle \pi_{\lambda}^*(\overline{z^{-1}})v_{\lambda}, \pi_{\lambda}(z)v_{\lambda} \rangle$$

with π_{λ}^* the conjugate contragredient representation. It follows that $\phi_{\lambda}|_{AT_{\Omega}}$ extends to a holomorphic function on AT_{Ω}^2 . In particular we see from this formula in the case of the unitary spherical minimal principal

series $\lambda \in \mathfrak{a}^*$ that the function ϕ_λ is positive on T_Ω^2 , and that for all $x = gt.x_0 \in \Xi$ (recall the notation $v_\lambda^x := \pi_\lambda(x)v_\lambda$):

$$(5.9) \quad \begin{aligned} \langle v_\lambda^x, v_\lambda^x \rangle &= \phi_\lambda(t^2) \\ &= \int_K |a_{\mathbb{C}}(kt)^{2(\rho+i\lambda)}| dk. \end{aligned}$$

6. Sharp uniform lower bound for holomorphically extended orbit maps of spherical representations

Given a non-trivial unitary spherical representation (π, \mathcal{H}) of G with normalized K -spherical vector v_K we wish to control the norm of the holomorphically extended orbit map

$$F_\pi : \Xi \rightarrow \mathbb{C}, \quad z \mapsto \pi(z)v_K$$

in two aspects:

- For $z \in \Xi$ sufficiently close to $\partial_d \Xi$ we are aiming to give optimal lower bounds for $\|F_\pi(z)\|$ uniform in the representation parameter $\lambda(\pi) \in \mathfrak{a}_{\mathbb{C}}^*$;
- For fixed π we are looking for optimal upper bounds of $\|F_\pi(z)\|$ for z approaching the distinguished boundary.

In view of the fundamental identity (5.9) we can translate the problems above into growth behaviour of analytically continued spherical functions. In this section and the next we will address these two aspects. We begin with the uniform lower bounds.

Fix a distinguished boundary point $t = z_j = \exp(i\pi\omega/2k_j).x_0$ of the crown domain. For $0 < \epsilon < 1$ set

$$t_\epsilon = \exp(i(1 - \epsilon)\pi\omega/2k_j).x_0.$$

The objective of this section is to provide sharp lower estimates for $\phi_\lambda(t_\epsilon^2)$ which are uniform in ϵ and $\lambda \in \mathfrak{a}^*$. Our approach is based on the doubling identity (5.9) which implies that

$$(6.1) \quad \phi_\lambda(t_\epsilon^2) \geq \int_U |a_{\mathbb{C}}(kt_\epsilon)^{2(\rho+i\lambda)}| dk$$

where U is any neighborhood of $e \in K$. It turns out that the desired estimate will depend on the nature of the distinguished boundary point $t = z_j$, in particular whether z_j is miniscule or not. We will treat the miniscule case first and later reduce the general case to the miniscule situation.

If $t = z_j$ is miniscule, then $G = Z(t^4)$ by Lemma 2.9, i.e. t^4 is central. The following lemma, especially seen in the context of (5.4), is quite remarkable.

Lemma 6.1. *Let $t = z_j$ be a miniscule boundary point and U a connected and simply connected compact neighborhood of $e \in K$ such that $Ut \subset N_{\mathbb{C}}A_{\mathbb{C}}.x_0$. Then for all $k \in U$ the middle projection $a_{\mathbb{C}}(kt) \in A_{\mathbb{C}}$ is well defined and we have $a_{\mathbb{C}}(kt) = r(kt)t$ with $r(kt) \in A$ continuously depending on k .*

Proof. The assertion of the lemma is local and thus it is no loss of generality to assume that $G \subset G_{\mathbb{C}}$ with $G_{\mathbb{C}}$ simply connected. In particular the Cartan involution $\theta : G \rightarrow G$ extends to a holomorphic involution on $G_{\mathbb{C}}$, again denoted by θ . We notice that $G_{\mathbb{C}}^{\theta} = K_{\mathbb{C}}$. Likewise $G_{\mathbb{C}}$ admits a complex conjugation $g \mapsto \bar{g}$ with respect to G .

Fix $k \in K$. Then $kt = nak'$ for some $n \in N_{\mathbb{C}}$, $a \in A_{\mathbb{C}}$ and $k' \in K_{\mathbb{C}}$. Define $x := kt\theta(kt)^{-1}$ and note that

$$(6.2) \quad x = kt^2k^{-1} = na^2\theta(n)^{-1}.$$

On the other hand, as t^4 is central,

$$(6.3) \quad t^{-4}x = kt^{-2}k^{-1} = \overline{kt^2k^{-1}} = \bar{x} = \overline{na^2\theta(n)^{-1}}.$$

Combining the information of (6.2) and (6.3) yields

$$t^4\overline{na^2\theta(n)^{-1}} = x = na^2\theta(n)^{-1}.$$

Once more we use the fact t^4 is central and obtain

$$t^4\overline{a^2} = \underbrace{(\overline{n^{-1}n})}_{\in N_{\mathbb{C}}} \underbrace{a^2 \theta(n^{-1}\overline{n})}_{\in \theta(N_{\mathbb{C}})}.$$

Bruhat implies that $\overline{n} = n$. Consequently $t^4 = a^2\overline{a}^{-2}$, and this forces $a = r(tk)t$ for some $r(tk) \in A$. \square

Choose $0 < \epsilon_0 < 1$ small enough such that $Ut_{\epsilon} \subset N_{\mathbb{C}}A_{\mathbb{C}}.x_0$ for all $\epsilon \in (0, \epsilon_0)$. In particular $a_{\mathbb{C}}(kt_{\epsilon})$ is well defined for all $k \in U$ and $\epsilon \in (0, \epsilon_0)$. As $a_{\mathbb{C}}(kt) \in At$ for all $k \in U$ by the lemma, linear Taylor approximation yields that there are balls $B_r, B_{r'}$ in \mathfrak{a} centered at 0 with radii $r, r' > 0$ such that

$$(6.4) \quad a_{\mathbb{C}}(kt_{\epsilon}) \in t \exp(B_r) \exp(i\epsilon B_{r'})$$

for all $k \in U$ and $\epsilon \in (0, \epsilon_0)$. Thus it follows that there exists a constant $c > 0$ such that for all $\lambda \in \mathfrak{a}^*$, $k \in U$ and $\epsilon \in (0, \epsilon_0)$ the estimate

$$(6.5) \quad |a_{\mathbb{C}}(kt_{\epsilon})^{2(\rho+i\lambda)}| \geq ce^{\lambda(\pi\omega_j) - r'\epsilon|\lambda|}$$

holds for some $r'' \geq r'$.

Proposition 6.2. *Let $t = z_j = \exp(i\pi\omega_j/2).x_0$ be a miniscule boundary point of T_Ω . Then there exist constants $\epsilon_0 \in (0, 1)$ and $R > 0$, $C > 0$ such that*

$$(6.6) \quad \phi_\lambda(t_\epsilon^2) \geq C \max_{w \in W} e^{\pi\lambda(w\omega_j)(1-R\epsilon)},$$

for all $\lambda \in \mathfrak{a}^*$ and $\epsilon \in (0, \epsilon_0)$.

Proof. According to Harish-Chandra one has $\phi_\lambda = \phi_{w\lambda}$ for all λ . Thus it is no loss of generality to assume that $\lambda(\omega_j) = \max_{w \in W} \lambda(w\omega_j)$. We notice that $\|\lambda\| := \max_{w \in W} \lambda(w\omega_j)$ defines a norm on \mathfrak{a}^* . Hence, by the equivalence of norms on Euclidean spaces, there exist a constant $d > 0$ such that $\|\cdot\| \leq d\|\cdot\|$. Now the the assertion follows from (6.5) and the basic lower estimate (6.1). \square

Let us now turn to the general case where $t = z_j = \exp(i\pi\omega_j/2k_j).x_0$ is an arbitrary extremal boundary point of T_Ω . We recall the groups $G_j = Z(t^4)$ with Lie algebra \mathfrak{g}_j . The main result of this section is:

Theorem 6.3. *Let $t = \exp(i\pi\omega/2k_j)$ be an extremal boundary point of T_Ω . Then there exist constants $\epsilon_0 \in (0, 1)$ and $R > 0$, $C > 0$ such that*

$$(6.7) \quad \phi_\lambda(t_\epsilon^2) \geq C \epsilon^{(\dim \mathfrak{g} - \dim \mathfrak{g}_j)/4} \max_{w \in W} e^{\pi\lambda(w\omega)(1-R\epsilon)}$$

for all $\lambda \in \mathfrak{a}$, and for all $\epsilon \in (0, \epsilon_0)$.

Proof. First, for ω_j miniscule one has $\mathfrak{g}_j = \mathfrak{g}$ and the assertion follows from Proposition 6.2 above. The general case will be reduced to this situation.

We begin with some remarks on the reductive Lie algebra \mathfrak{g}_j . Recall that \mathfrak{g}_j is θ -stable and hence $\mathfrak{g}_j = \mathfrak{k}_j + \mathfrak{p}_j$ with $\mathfrak{k}_j = \mathfrak{k} \cap \mathfrak{g}_j$ and $\mathfrak{p}_j = \mathfrak{p} \cap \mathfrak{g}_j$. By definition $\mathfrak{a} \subset \mathfrak{g}_j$ and hence \mathfrak{a} is maximal abelian in \mathfrak{p}_j . Let $\Sigma_j = \Sigma(\mathfrak{g}_j, \mathfrak{a})$ be the corresponding reduced root system and $\Omega_j \subset \mathfrak{a}$ the associated polyhedron. A quick look at our classification of the \mathfrak{g}_j 's shows that \mathfrak{g}_j is simple modulo a compact ideal. Hence Σ_j is irreducible and ω_j/k_j becomes a miniscule boundary point of Ω_j .

Write \mathfrak{k}_j^\perp for the orthogonal complement to \mathfrak{k}_j in \mathfrak{k} with respect to the Cartan-Killing form of \mathfrak{g} . Let V_j, V_j' be small balls around 0 in $\mathfrak{k}_j, \mathfrak{k}_j^\perp$ such that the map

$$V_j \times V_j' \rightarrow K, \quad (v, v') \mapsto \exp(v) \exp(v')$$

is a diffeomorphism. Set $U_j = \exp(V_j)$, $U_j' = \exp(V_j')$ and define $U = U_j U_j'$. Then U is a connected and simply connected neighborhood of

e in K . We assume that $Ut \subset N_{\mathbb{C}}A_{\mathbb{C}}.x_0$ and choose $\epsilon_0 > 0$ such that $Ut_{\epsilon} \subset N_{\mathbb{C}}A_{\mathbb{C}}.x_0$ for $\epsilon \in (0, \epsilon_0)$ holds in addition.

Our previous discussion combined with Lemma 6.1 implies that

$$(6.8) \quad a_{\mathbb{C}}(kt) \in r(kt)t \quad \forall k \in U'_j$$

and $r(kt) \in A$ depending continuously on k . Next consider the map $\psi : U \rightarrow A_{\mathbb{C}}, k \mapsto a_{\mathbb{C}}(kt)$. We claim that

$$(6.9) \quad d\psi(e) = 0.$$

In fact, this is well known, and follows from $\text{pr}_{\mathfrak{a}_{\mathbb{C}}}(\text{Ad}(t^{-1})\mathfrak{k}) = \{0\}$ with $\text{pr}_{\mathfrak{a}_{\mathbb{C}}} : \mathfrak{g}_{\mathbb{C}} \rightarrow \mathfrak{a}_{\mathbb{C}}$ the linear projection along $\mathfrak{n}_{\mathbb{C}} + \mathfrak{k}_{\mathbb{C}}$.

Using the information of (6.8) and (6.9), linear Taylor approximation yields constants $r, r' > 0$ such that

$$(6.10) \quad a_{\mathbb{C}}(\exp(v)\exp(v')t_{\epsilon}) \in t \exp(B_r) \exp(i(\epsilon + \|v'\|^2)B_{r'})$$

for all $(v, v') \in V_j \times V'_j$ and $\epsilon \in (0, \epsilon_0)$. It follows from equation (6.1) that there exists a constant $c > 0$ such that

$$(6.11) \quad \phi_{\lambda}(t_{\epsilon}^2) \geq c \int_{V_j} \int_{V'_j} |a_{\mathbb{C}}(\exp(v)\exp(v')t_{\epsilon})^{2(\rho+i\lambda)}| \, dv dv'$$

for all $\lambda \in \mathfrak{a}^*$ and $\epsilon \in (0, \epsilon_0)$. Thus if we choose V'_j to be ball of radius $\sqrt{\epsilon}$, then (6.10) and (6.11) yield constants $r'', c' > 0$ such that

$$\phi_{\lambda}(t_{\epsilon}^2) \geq c' \epsilon^{(\dim \mathfrak{k}_j^{\perp})/2} e^{\lambda(\pi\omega_j/k_j) - r''\epsilon|\lambda|}$$

for all $\lambda \in \mathfrak{a}^*$ and $\epsilon \in (0, \epsilon)$. We observe that $\dim \mathfrak{g} - \dim \mathfrak{g}_j = 2 \dim \mathfrak{k}_j^{\perp}$ and finish the proof with the same argument for Proposition 6.2 before.

□

Remark 6.4. *We consider the lower estimate in Theorem 6.3 is optimal. It is for the following reason: the crucial point in the above argumentation was the fact that $d\psi(e) = 0$, to be very precise it was the fact $d(\text{Im log } \psi)(e)|_{\mathfrak{k}_j^{\perp}} = 0$ which entered. This is actually the best one can hope for as the second derivative $d^2(\text{Im log } \psi)(e)$ is already non-degenerate on $\mathfrak{k}_j^{\perp} \times \mathfrak{k}_j^{\perp}$. In fact, fix $\lambda \in \mathfrak{a}^*$ regular, and set $F_{\lambda} = \lambda \circ \text{Im log } \psi$. Then [12], pp. 343–346, implies*

$$d^2 F_{\lambda}(e)(Z, W) = -\frac{1}{2} \sum_{\alpha \in \Sigma^+} \langle \alpha, \lambda \rangle \text{Im}(1 - t^{-2\alpha}) \langle Z_{\alpha}, W_{\alpha} \rangle \quad (Z, W \in \mathfrak{k})$$

where Z_{α} , resp. W_{α} is the orthogonal projection of Z , resp. W , onto $\mathfrak{k} \cap (\mathfrak{g}^{\alpha} + \mathfrak{g}^{-\alpha})$. In particular if $\alpha \in \Sigma \setminus \Sigma_j$, then $\text{Im}(1 - t^{-2\alpha}) \neq 0$. It follows that $d^2 F_{\lambda}(e)$ and hence $d^2(\text{Im log } \psi)(e)$ is non-degenerate on $\mathfrak{k}_j^{\perp} \times \mathfrak{k}_j^{\perp}$.

7. Sharp upper bound for holomorphically extended orbit maps of spherical representations

In this section we consider the problem to give an upper estimate for the square norm of the holomorphic extension of the orbit map $\Xi \ni x \rightarrow v^x \in \mathcal{H}$. Recall from (5.9) that

$$(7.1) \quad (v_{i\lambda}^x, v_{i\lambda}^x) = \phi_{i\lambda}^X(t^2 \cdot x_0)$$

if $x = gt \cdot x_0 \in \Xi$ with $g \in G$ and $t \in T_\Omega$. Here ϕ_μ^X denotes the elementary spherical function on X with spectral parameter $\mu \in \mathfrak{a}_\mathbb{C}^*$. Therefore we concentrate on the question of estimating the singular behaviour of the holomorphic extension of the restriction of the elementary spherical function $\phi_\mu^X|_A$ to $A_\mathbb{C} \supset A$ when we approach $t^2 \cdot x_0 \in T \cdot x_0 \subset A_\mathbb{C} \cdot x_0$ where $t = t(\eta) = \exp(i\pi\eta/2)$ with $\eta = \omega_j/k_j \in \mathfrak{a}_\mathbb{C}$ an extremal boundary point of Ω in $\Omega \cap C$. Thus we are interested in the singular expansion in ϵ of the pull-back of (the holomorphic continuation of) spherical functions ϕ_μ via the embedding $\mathbb{D}^\times \ni \epsilon \rightarrow A_\mathbb{C} \cdot x_0$ of a small punctured disk $\mathbb{D}_r^\times = \{\epsilon \in \mathbb{C} \mid 0 < |\epsilon| < r\}$ given by $\epsilon \rightarrow t_\epsilon^2 \cdot x_0$. For μ fixed the restriction of ϕ_μ^X has a convergent logarithmic singular expansion at $\epsilon = 0$. This means that there exists a finite set $S \subset \mathbb{C} \times \mathbb{Z}_{\geq 0}$ such that if $(s, l), (s', l') \in S$ then $s - s' \notin \mathbb{Z} \setminus \{0\}$ and such that we have a unique decomposition (for ϵ varying in any sector $S_{r, \theta_1, \theta_2} = \{\epsilon \in \mathbb{D}_r^\times \mid \theta_1 < \arg(\epsilon) < \theta_2\}$ of \mathbb{D}_r^\times) of the form

$$(7.2) \quad \phi_\mu^X(t_\epsilon^2 \cdot x_0) = \sum_{(s, l) \in S} \epsilon^s \log^l \epsilon f_{s, l}(\epsilon)$$

where each $f_{s, l}$ is holomorphic on \mathbb{D}_r and such that $f_{s, l}(0) \neq 0$.

The projection of the set S on the first factor \mathbb{C} is called the set of exponents of the pull back of ϕ_μ^X to \mathbb{D}_r^\times . In our case this set will always belong to \mathbb{R} . The minimum of this set is denoted by $s_{\eta, \mu}^X$ and is called the *leading exponent* of the singular expansion of the pull back of ϕ_μ^X to \mathbb{D}_r^\times . We call the largest $l \in \mathbb{Z}_{\geq 0}$ such that the $(s_{\eta, \mu}^X, l) \in S$ the *logarithmic degeneracy* of the leading exponent.

So our problem boils down to the determination of the leading exponent $s_{\eta, \mu}^X$ at $\epsilon = 0$ of the pull back of ϕ_μ^X on the distinguished embedded punctured disk given above, and its logarithmic degeneracy. In the Appendix 13 we define an appropriate notion of the exponent of a regular holonomic system of differential equations and using the basic properties of these exponents we compute the exponents of ϕ_μ at the extremal boundary points η of $\Omega \cap C$ for ϕ_μ a solution of a more general system of differential equations, namely the system of hypergeometric equations associated with the root system Σ . This system of equations is

a parameter deformation of the system of equations for the restriction for the elementary spherical functions ϕ_μ^X to $A_{\mathbb{C}}.x_0$. This deformation is an essential ingredient for the computations of the exponents. These results imply the following:

Theorem 7.1. *We use the notations as introduced above. Consider the functions $s_\eta(m)$ and $d_\eta(m)$ of the multiplicity parameters $m = (m_\alpha)$ as listed in the table in Theorem 7.9. Suppose that the Riemannian symmetric space X has root system Σ and root multiplicity parameters $m^X = (m_\alpha^X)$, then we put $s_\eta^X := s_\eta(m^X)$ and $d_\eta^X = d_\eta(m^X)$.*

For all $\mu \in \mathfrak{a}_{\mathbb{C}}^$ we have $s_{\eta,\mu}^X \geq s_\eta^X$, and if $s_{\eta,\mu}^X = s_\eta^X$ then d_η^X is an upper bound for the logarithmic degeneracy of $s_{\eta,\mu}^X$.*

We postpone the proof of this theorem in the general case to the Appendix 13. For the complex cases (i.e. when X is a Riemannian symmetric space of type IV) the proof will be given below.

As an immediate consequence of theorem 7.1 we have:

Theorem 7.2. *We use the notations as introduced above. Given an extremal boundary point $\eta = \omega_j/k_j$ of Ω we consider $t_\epsilon = \exp(i\pi\eta/2) \in A_{\mathbb{C}}$. Fix $-\pi < \theta_1 < \theta_2 < \pi$. Let $\mu \in \mathfrak{a}_{\mathbb{C}}^*$, then there exist constants $r > 0$, $C > 0$ such that for all $\epsilon \in S_{r,\theta_1,\theta_2}$:*

$$(7.3) \quad |\phi_\mu^X(t_\epsilon^2.x_0)| \leq C\epsilon^{s_\eta^X} |\log(\epsilon)|^{d_\eta^X}$$

where $s_\eta^X = s_\eta(m^X)$ and $d_\eta^X = d_\eta(m^X)$ for the functions s_η and d_η listed in Theorem 7.9.

For later applications it is useful to have a slightly weaker but more handy version of the estimate above. Let us define

$$(7.4) \quad s^X := \max_{\eta} s_\eta^X \quad \text{and} \quad d^X := \max_{\eta: s_\eta^X = s^X} d_\eta^X.$$

The theorem above combined with the maximum principle of holomorphic functions then yields:

Theorem 7.3. *For each $\mu \in \mathfrak{a}_{\mathbb{C}}^*$ there exists a constant $C = C(\mu) > 0$ such that for all $Y \in \partial\Omega$ and $0 < \epsilon < 1$*

$$(7.5) \quad |\phi_\mu^X(\exp(i(1-\epsilon)\pi Y).x_0)| \leq C\epsilon^{s^X} |\log(\epsilon)|^{d^X}.$$

Since Ξ is not sensitive to the analytic nature of G (Remark 2.3(iii)) it suffices to do the analysis in the situation where $G_{\mathbb{C}}$ is simply connected. In addition we assume the restricted root system Σ of X to be irreducible. Recall that twice the character lattice of $A_{\mathbb{C}}$ is equal

to the weight lattice of the restricted root system Σ^l . The categorical quotient $W \backslash A_{\mathbb{C}}$ (as well as $W \backslash A_{\mathbb{C}}/F$) is affine space.

There is one special case which can be treated by direct methods, and this is the complex case. In fact, it is both instructive and useful to consider this case first before going to the general case which is treated in the Appendix 13.

7.0.1. *The complex case.* We first consider the complex case $X = G/U$ where G is the connected simply connected complex simple group and U its maximal compact subgroup. In this case the restricted root system Σ of X is equal to twice the root system of G , and all root multiplicities are equal to 2. We introduce the Weyl denominator δ on $A_{\mathbb{C}}/F$ by

$$(7.6) \quad \delta(a) = \prod_{\alpha \in \Sigma_+} (\alpha(a) - \alpha(a)^{-1})$$

and we denote by $A_{\mathbb{C}}^{\text{reg}}/F$ the complement of the set $\delta = 0$ in $A_{\mathbb{C}}/F$. The algebra \mathcal{R}_X of radial parts of invariant differential operators on X consists of the differential operators on $A_{\mathbb{C}}^{\text{reg}}/F$ of the form

$$(7.7) \quad \mathcal{R}_X = \{ \delta^{-1} \circ \partial(p) \circ \delta \mid p \in \mathbb{C}[\mathfrak{a}^*]^W \}$$

The Harish-Chandra isomorphism $\gamma_X : \mathcal{R}_X \rightarrow \mathbb{C}[\mathfrak{a}^*]^W$ is given by $\gamma_X(\delta^{-1} \circ \partial(p) \circ \delta) = \partial(p)$. Now $\phi_{\mu}^X|_{A_{\mathbb{C}}}$ satisfies the following system of eigenfunction equations

$$(7.8) \quad D\phi = \gamma_X(D)(\mu)\phi, \quad \forall D \in \mathcal{R}_X$$

This is a W -equivariant system of differential equations on $A_{\mathbb{C}}^{\text{reg}}/F$. It is also equivariant for the action of the 2-group $F = A_{\mathbb{C}} \cap U$. Therefore we can view (7.8) as a system of differential equations on $W \backslash A_{\mathbb{C}}/F - \{d = 0\}$, where $d = \delta^2$ is the discriminant of W , viewed as a polynomial on $W \backslash A_{\mathbb{C}}/F$. We call \mathcal{L}_X the sheaf of local solutions of (7.8).

A general local solution to this set of equations is of the form

$$(7.9) \quad \phi = \delta^{-1}\psi$$

where ψ is a local solution of the constant coefficient system

$$(7.10) \quad \partial(p)\psi = p(\mu)\psi, \quad \forall p \in \mathbb{C}[\mathfrak{a}^*]^W$$

Let $\exp : \mathfrak{a}_{\mathbb{C}} \rightarrow A_{\mathbb{C}}$ denote the exponential map such that $\exp(2\pi i X) = 1$ iff $X \in Q(\Sigma^{\vee})$. Consider the covering map

$$(7.11) \quad \pi : \mathfrak{a}_{\mathbb{C}}^{\text{reg}} \rightarrow W \backslash A_{\mathbb{C}}^{\text{reg}}/F$$

where $\mathfrak{a}_{\mathbb{C}}^{\text{reg}}$ is the complement in $\mathfrak{a}_{\mathbb{C}}$ of the set of affine root hyperplanes, the zero sets of the affine roots $a = \alpha - n$ (with $\alpha \in \Sigma$ and $n \in \mathbb{Z}$), and

π is given by $\pi(X) = W \exp(\pi i X) F$. The following proposition is well known.

Proposition 7.4. *The space of solutions of (7.8) on a nonempty open ball $U \subset W \backslash A_{\mathbb{C}}^{\text{reg}} / F$ consists of holomorphic functions and has dimension $|W|$ (independent of μ). Let $V \subset \pi^{-1}(U)$ be a connected component. The pull back of a local solution of (7.8) on U via $\pi|_V$ extends to a global holomorphic function on $\mathfrak{a}_{\mathbb{C}}^{\text{reg}}$.*

Proof. We use the general form (7.9) of the local solutions. By a well known theorem of Steinberg [46] the global solution space of (7.10) on $\mathfrak{a}_{\mathbb{C}}$ has dimension equal to $|W|$ (independent of μ) and consists of entire functions. On the other hand the left ideal in the ring of differential operators with holomorphic coefficients on $\mathfrak{a}_{\mathbb{C}}$ generated by the operators $\partial(p) - p(\lambda)$ (with $p \in \mathbb{C}[\mathfrak{a}^*]^W$) is cofinite, a complement being generated by constant coefficient operators $\partial(q)$ where q is running over a set of polynomials representing a basis of the coinvariant algebra. Hence the local solution space is at most of dimension $|W|$. The proposition follows. \square

Corollary 7.5. *The system (7.8) is holonomic of rank $|W|$. Upon choosing a base point $p \in \mathfrak{a}_{\mathbb{C}}^{\text{reg}}$ we may view the monodromy representation as a representation of the group of deck transformations of the covering map π , which is the affine Weyl group $W^a = W \rtimes Q(\Sigma^{\vee})$ acting on $\mathfrak{a}_{\mathbb{C}}$. The restriction of the monodromy representation to W is equivalent to the regular representation.*

Proof. All is clear except for the last assertion. By equation (7.9) it is enough to know this for the space of solutions of (7.10). This is well known, and follows from the case $\mu \in \mathfrak{a}_{\mathbb{C}}^{\text{reg}}$ by rigidity of characters of a finite group. \square

Notice that the center of the group ring of W^a is $\mathbb{C}[Q(\Sigma^{\vee})]^W$. Thus the central characters of irreducible representations of W^a correspond to W -orbits of points of the complex algebraic torus

$$(7.12) \quad T^L = \mathfrak{a}_{\mathbb{C}}^* / P(\Sigma)$$

whose exponential map we will denote by \exp^L (i.e. $(\exp^L(\mu) = 1$ iff $\mu \in P(\Sigma)$). Then T^L is the dual torus of $A_{\mathbb{C}}$.

Proposition 7.6. *The monodromy representation of (7.8) has central character $W \exp^L(\mu) \in W \backslash T^L$ (observe that this central character is unitary iff $\mu \in \mathfrak{a}^*$ is real). The monodromy representation is irreducible iff $\exp^L(\mu)$ has trivial isotropy for the action of W on T^L .*

Proof. The monodromy representation clearly has central character $W \exp^L \mu$, and dimension $|W|$ by Proposition 7.4. The last assertion follows easily from the Mackey induction procedure. \square

The spherical function $\phi_\mu^X|_{A_{\mathbb{C}}}$ is a special solution of (7.8), which can be characterized by saying that it is a nonzero W -fixed vector in the monodromy representation. By the above corollary the space of W -fixed vectors is one-dimensional. Looking at (7.9) we see that $\phi_\mu^X|_{A_{\mathbb{C}}}$ is of the form $\delta^{-1}\psi$ where ψ is a W -skew solution (say on $\mathfrak{a}_{\mathbb{C}}$) of (7.10). Then ψ is divisible by $\prod_{\alpha \in \Sigma_+} \alpha$, and thus $\phi_\mu^X|_{A_{\mathbb{C}}}$ extends to a holomorphic solution on AT_Ω^2 .

We continue the discussion by considering $\phi_\mu^X|_{A_{\mathbb{C}}}$ via π as a holomorphic function on $i\mathfrak{a} + \Omega$ of the form $\delta^{-1}\psi$ with ψ a W -skew solution of (7.10). Let $eta = \omega_i/k_i$ be as before. We denote by W_η the isotropy group of η in W , and by W_η^a the isotropy group of η in the affine Weyl group W^a .

Lemma 7.7. *The natural homomorphism $W^a \rightarrow W$ restricts to an isomorphism from W_η^a onto the isotropy group $W_{t(\eta)^2F} \subset W$ of $t(\eta)^2F = \exp(i\pi\eta)F$ for the action of W on $A_{\mathbb{C}}/F$. In particular $W_{t(\eta)^2F} = W$ if $\eta \in \partial(\Omega)$ is miniscule.*

Proof. The injectivity is clear. The isomorphism of complex algebraic tori $A_{\mathbb{C}}/F \approx A_{\mathbb{C}}$ given by $aF \rightarrow a^2$ is W -equivariant. Therefore $W_{t(\eta)^2F}$ is equal to the isotropy group of $W_{t(\eta)^4}$ for the action of W on $A_{\mathbb{C}}$. Since $A_{\mathbb{C}}$ has the weight lattice $P(\Sigma)$ as its character lattice the group $W_{t(\eta)^4}$ is generated by reflections (by a well known result of Steinberg [47]). Now suppose that $s = s_\alpha \in W_{t(\eta)^4}$ is a reflection. But $s \in W_{t(\eta)^4} \iff s(\eta) - \eta \in Q(\Sigma^\vee) \iff \alpha(\eta) = n \in \mathbb{Z}$. Hence the affine reflection $s_{\alpha-n}$ satisfies $s_{\alpha-n} \in W_\eta^a$ and is mapped to s , proving the surjectivity. \square

Let $\Sigma_{+,\eta}^a$ be the set of positive affine roots which vanish on η , and let $\Sigma_{+,\eta} = \Sigma_{+,\eta}^a \cap \Sigma$. Then the Dynkin diagram of $\Sigma_{+,\eta}^a$ is $D^* - \{\alpha_\eta\}$ where α_η is the unique simple root of Σ such that $\alpha_\eta(\eta) \neq 0$, and $\Sigma_\eta \subset \Sigma_\eta^a$ is the maximal standard parabolic subsystem in which we delete α_0 from the set of simple roots of Σ_η^a .

In a small neighborhood of the extremal boundary point η of Ω we consider the Taylor expansion of ψ . The lowest homogeneous term $h_{\eta,\mu}$ of ψ at η is a W -harmonic polynomial which is W_η skew, and $\phi_\mu^X|_{A_{\mathbb{C}}} \circ \pi$ can be uniquely expressed in the form

$$(7.13) \quad \phi_\mu^X|_{A_{\mathbb{C}}} \circ \pi = \left(\prod_{a \in \Sigma_{\eta,+}^a} a \right)^{-1} (h_{\eta,\mu} + \text{higher order terms at } \eta)$$

Since $h_{\eta,\mu}$ is divisible by $\prod_{\alpha \in \Sigma_{\eta,+}} \alpha$ we see that in this case the leading exponent $s_{\eta,\mu}^X$ satisfies

$$(7.14) \quad s_{\eta,\mu}^X \geq s_{\eta}^X := -|\Sigma_{\eta,+}^a - \Sigma_{\eta,+}|$$

For μ in an open, dense subset of $\mathfrak{a}_{\mathbb{C}}^*$ this bound is sharp. The bound is sharp if $\mu = i\lambda$ with $\lambda \in \mathfrak{a}^*$. It is not so easy to describe the function $\mu \rightarrow s_{\eta,\mu}^X$ exactly. We observe that this function is upper semi-continuous.

The above analysis can not be used directly in general, since the spherical functions do not have a simple factorization formula like (7.9) in general. For our later use it is helpful to describe the above result in terms of the monodromy representation. By the above we see that $h_{\eta,\mu}$ belongs to space of W -harmonic polynomials which transform by the sign representation under the action of W_{η} . This means that h_{η} is a W -harmonic polynomial in the direct sum of the isotypical components of the irreducible characters of W_{η}^a which are induced from the sign representation \det_{η} of W_{η} . Therefore the homogeneous degree of h_{η} at η is at least equal to the harmonic birthday of the irreducible character (the leading character) $\tilde{\sigma}_{\eta} \in \text{Irr}(W_{\eta}^a)$ given by the truncated induction

$$(7.15) \quad \tilde{\sigma}_{\eta} \in \text{Irr}(W_{\eta}^a) = j_{W_{\eta}^a}^{W_{\eta}}(\det_{\eta})$$

It follows by truncated induction (see [8, Section 11.2]) that the harmonic birthday of this irreducible character is equal to $|\Sigma_{\eta,+}|$, and that this representation has multiplicity 1 in this degree. Moreover, the same is true in the space of W -harmonic polynomials.

Proposition 7.8. *Assume we are in the complex case, so X is a Riemannian symmetric space of type IV with restricted root system Σ . Let $\eta \in \partial_e \Omega \cap \mathbb{C}$ be an extremal boundary point of Ω as before, and define $-s_{\eta}^X := |\Sigma_{\eta,+}^a - \Sigma_{\eta,+}|$ as the number of roots α in Σ_+ with $\alpha(\eta) = 1$. Let $s_{\eta,\mu}^X$ be the leading exponent at $z = t(\eta)^2 \cdot x_0$ in the sense of (7.2). For all $\mu \in \mathfrak{a}_{\mathbb{C}}^*$ the logarithmic degeneracy of the leading exponent $s_{\eta,\mu}^X$ is 0. For all $\mu \in \mathfrak{a}_{\mathbb{C}}^*$ we have $s_{\eta,\mu}^X \geq s_{\eta}^X$. For μ in a dense open set of $\mathfrak{a}_{\mathbb{C}}^*$ containing $i\mathfrak{a}^*$ this inequality is an equality (cf. Theorem 7.9).*

Theorem 7.9. *In the table below we have used the numbering of the extremal boundary points $\eta_j = \omega_j/k_j \in \partial\Omega$ corresponding to the distinguished boundary orbits as in the table after Proposition 2.7. The table displays lower bounds for the leading exponents of the holomorphically extended elementary spherical functions at the extremal points η_j in the sense of (7.2). In the case where this lower bound is attained the*

table displays the corresponding logarithmic degeneracy and a leading character (leading characters are explained in Appendix 13).

The convention for the root multiplicities is as follows. We use $m_1 \geq 1$ for the root multiplicity of a long root α (or simply m if Σ is reduced and simply laced). The multiplicity of half a long root in Σ is denoted by $m_{1/2} \geq 0$ (i.e. we view C_n as the special case of BC_n where $m_{1/2} = 0$). The multiplicity of inmultiplicable roots $\beta \in \Sigma$ (i.e. $2\beta \notin \Sigma$) which are not long roots is denoted by $m_2 \geq 1$ (if such roots exist).

The leading character $\sigma_\eta \in \text{Irr } W_\eta^a$, the leading exponent s_η and its degeneracy d_η					
Σ	η	Σ_η^a	σ_η	s_η	$d_\eta = 1$ iff
$A_{2r}(r \geq 1)$	$\omega_j (j \leq r)$	A_{2r}	$(2r - j + 1, j)$	$j(1 - (2r + 2 - j)m/2)$	
$A_{2r-1}(r \geq 2)$	$\omega_j (j \leq r)$	A_{2r-1}	$(2r - j, j)$	$j(1 - (2r + 1 - j)m/2)$	$m = 1 \& j = r$
$B_l(l \geq 3)$	ω_1	B_l	$(l - 1, 1)$	$1 - (l - 1)m_1 - m_2$	
B_3	$\omega_3/2$	A_3	$(3, 1)$	$1 - 2m_1$	
$B_{2r}(r \geq 2)$	$\omega_{2r}/2$	D_{2r}	(r, r)	$r(1 - rm_1)$	
$B_{2r+1}(r \geq 2)$	$\omega_{2r+1}/2$	D_{2r+1}	$(r + 1, r)$	$r(1 - (r + 1)m_1)$	
BC_1	ω_1	A_1	1^2	$1 - m_1$	$m_1 = 1$
$BC_{2r}(r \geq 1)$	ω_{2r}	C_{2r}	(r, r)	$r(1 - rm_2 - m_1)$	
$BC_{2r+1}(r \geq 1)$	ω_{2r+1}	C_{2r+1}	$(r, r + 1)$	$(r + 1)(1 - rm_2 - m_1)$	$m_1 = 1$
$D_l(l \geq 4)$	ω_1	D_l	$((l - 1, 1), -)$	$2 - lm$	$m = 1$
$D_{2r}(r \geq 2)$	ω_{2r}	D_{2r}	$(r, r)'$	$r(1 - rm)$	
$D_{2r+1}(r \geq 2)$	ω_{2r+1}	D_{2r+1}	$(r + 1, r)$	$r(1 - (r + 1)m)$	
E_6	ω_1	E_6	$\phi_{20,2}$	$2 - 9m$	
E_7	ω_7	E_7	$\phi_{21,3}$	$3 - 15m$	$m = 1$
	$\omega_2/2$	A_7	$(7, 1)$	$1 - 4m$	
E_8	$\omega_1/2$	D_8	$((7, 1), -)$	$2 - 8m$	$m = 1$
	$\omega_2/3$	A_8	$(8, 1)$	$1 - 9/2m$	
F_4	$\omega_4/2$	B_4	$(3, 1)$	$1 - 3m_1 - m_2$	
G_2	$\omega_1/3$	A_2	$(2, 1)$	$1 - 3/2m_1$	

The proof of the table and these facts is given in the Appendix Section 13. In the parameter family of hypergeometric functions $\phi_{\mu,m}$ (cf. Appendix 13) with real multiplicities m the indicated lower bounds are sharp generically in m , provided that m satisfies the inequalities $1 \leq m_1 \leq m_2$. The leading character is independent of m in this cone (hence only depends on the geometry of Ω at the extremal point η).

8. Unipotent model for the crown domain

In this section we give a new geometrical characterization of the crown by unipotent G -orbits. To begin with we define a connected G -subset of $X_{\mathbb{C}}$ by

$$\Xi_N = G \exp(i\mathfrak{n}).x_0 = GN_{\mathbb{C}}.x_0.$$

For $G = \mathrm{Sl}(2, \mathbb{R})$ we have shown that Ξ_N is an open subset, but in the general case this is not clear to us. The next lemma contains the crucial information.

Lemma 8.1. $\Xi \subset \Xi_N$.

Proof. Let $Y \in \pi\Omega/2$. We recall the complex convexity theorem (5.4)

$$\mathrm{Im} \log a_{\mathbb{C}}(K \exp(iY).x_0) = \mathrm{co}(W.Y).$$

In particular, there exists a $k \in K$ such that $\mathrm{Im} \log a_{\mathbb{C}}(k \exp(iY).x_0) = 0$, or, in other words,

$$k \exp(iY) \in N_{\mathbb{C}}AK_{\mathbb{C}} = AN_{\mathbb{C}}K_{\mathbb{C}}.$$

We conclude that $G \exp(iY) \subset GN_{\mathbb{C}}K_{\mathbb{C}}$ and then $G \exp(i\Omega) \subset GN_{\mathbb{C}}K_{\mathbb{C}}$, i.e. $\Xi \subset \Xi_N$. \square

Let us define a domain $\Lambda \subset \mathfrak{n}$ by

$$\Lambda = \{Y \in \mathfrak{n} \mid \exp(iY).x_0 \in \Xi\}_0$$

where $\{\cdot\}_0$ stands for the connected component of $\{\cdot\}$ containing 0. As Ξ is open, it is clear that Λ is open as well.

Lemma 8.2. *Suppose that $\Omega_0 \subset \Omega$ is a compact subset. Then the set*

$$\Lambda_0 = \{Y \in \mathfrak{n} \mid \exp(iY).x_0 \in G \exp(i\Omega_0).x_0\}$$

is compact in \mathfrak{n} .

Proof. Let $Y \in \Lambda_0$. Then $\exp(iY) = g \exp(iZ).x_0$ for some $g \in G$ and $Z \in \Omega_0$. With $g = n^{-1}a^{-1}k$ for $n \in N$, $a \in A$ and $k \in K$ we obtain that

$$(8.1) \quad k \exp(iZ).x_0 = an \exp(iY).x_0.$$

We recall that $\Xi \subset A_{\mathbb{C}}N_{\mathbb{C}}.x_0$ and that there is a well defined holomorphic projection

$$\tilde{n} : A_{\mathbb{C}}N_{\mathbb{C}}.x_0 \rightarrow N_{\mathbb{C}}.$$

Further we note that the map

$$N \times \mathfrak{n} \rightarrow N_{\mathbb{C}}, \quad (n, Y) \mapsto n \exp(iY)$$

is a diffeomorphism. In particular $N \setminus N_{\mathbb{C}} \simeq \mathfrak{n}$ under a homeomorphic map ψ . Consider the continuous map

$$f = \psi \circ \tilde{n} : A_{\mathbb{C}}N_{\mathbb{C}}.x_0 \rightarrow \mathfrak{n}$$

and note that (8.1) shows that $f(k \exp(iZ).x_0) = Y$. Therefore

$$\Lambda_0 = f(K \exp(i\Omega_0).x_0).$$

Since $K \exp(i\Omega_0).x_0$ is compact and f is continuous, the assertion of the lemma follows. \square

We arrive at the main result of this section.

Theorem 8.3. $\Xi = G \exp(i\Lambda).x_0$.

Proof. We argue by contradiction. Suppose that the assertion is false. Then there exists $Z \in \Omega$ such that $\exp(iZ).x_0 \notin G \exp(i\Lambda).x_0$ and sequences $(Z_n)_{n \in \mathbb{N}} \subset \Omega$, $(g_n)_{n \in \mathbb{N}} \subset G$ and $(Y_n)_{n \in \mathbb{N}} \subset \Lambda$ such that $Z_n \rightarrow Z$ and $\exp(iZ_n).x_0 = g_n \exp(iY_n).x_0$. Let $\Omega_0 \subset \Omega$ be a compact subset of Ω with $(Z_n)_{n \in \mathbb{N}} \subset \Omega_0$. Then $\exp(iY_n).x_0 \in G \exp(i\Omega_0).x_0$ and we conclude from Lemma 8.2 that $(Y_n)_{n \in \mathbb{N}}$ is a bounded sequence in \mathfrak{n} . W.l.o.g. we may assume that $Y_n \rightarrow Y \in \mathfrak{n}$. As Ω_0 is compact, the set $G \exp(i\Omega_0).x_0$ is closed in $X_{\mathbb{C}}$ (cf. Remark 2.2) and thus $\exp(iY).x_0 \in G \exp(i\Omega_0).x_0 \subset \Xi$. Hence $Y \in \Lambda$. Because G acts properly on Ξ we conclude that $(g_n)_{n \in \mathbb{N}}$ is bounded and it is no loss of generality to assume that $\lim_{n \rightarrow \infty} g_n = g$. But then $\exp(iZ).x_0 = g \exp(iY).x_0 \in G \exp(i\Lambda).x_0$, a contradiction. \square

Remark 8.4. *The determination of the precise shape of Λ is a difficult problem, especially for higher rank groups. Generally one might ask: Is Λ always bounded? Is Λ convex?*

Recall the fact that $G \exp(iZ).x_0 = G \exp(iZ').x_0$ for $Z, Z' \in \pi\Omega/2$ means that $WZ = WZ'$. Thus we obtain a well defined map

$$p : \Lambda \rightarrow \Omega/W$$

via $G \exp(iY).x_0 = G \exp(i\pi p(Y)/2).x_0$ for $Y \in \Lambda$. The following would be interesting to know: What are the fibers of the map p ? What are the pre-images of the extreme points? Is there an expressible relationship between Y and $p(Y)$?

8.1. The case of real rank one

In this subsection we will determine the precise shape of Λ for groups G with real rank one. We begin with a criterion which will allow us explicit computations.

Lemma 8.5. *Suppose that G has real rank one. Then*

$$\Lambda = \{Y \in \mathfrak{n} \mid N \exp(iY).x_0 \subset \overline{N}_{\mathbb{C}} A_{\mathbb{C}}.x_0\}_0$$

with $\{\cdot\}_0$ denoting the connected component of $\{\cdot\}$ containing 0.

Proof. Set

$$\Lambda_1 = \{Y \in \mathfrak{n} \mid N \exp(iY).x_0 \subset \overline{N}_{\mathbb{C}}A_{\mathbb{C}}.x_0\}_0$$

and note that

$$(8.2) \quad \Lambda_1 = \{Y \in \mathfrak{n} \mid \exp(iY).x_0 \subset \bigcap_{n \in N} n\overline{N}_{\mathbb{C}}A_{\mathbb{C}}.x_0\}_0.$$

We recall the fundamental fact on (general) complex crowns that

$$\Xi = \left[\bigcap_{g \in G} g\overline{N}_{\mathbb{C}}A_{\mathbb{C}}.x_0 \right]_0$$

with $[\cdot]_0$ denoting the connected component of $[\cdot]$ containing x_0 . We are now going to use the fact that G has real rank one. In particular $W = \{1, w\} = \mathbb{Z}_2$ and the Bruhat decomposition of G reads $G = NMAN\overline{N} \cup wMAN\overline{N}$. Hence

$$\Xi = \left[\bigcap_{n \in N} n\overline{N}_{\mathbb{C}}A_{\mathbb{C}}.x_0 \cap N_{\mathbb{C}}A_{\mathbb{C}}.x_0 \right]_0.$$

As a result (8.2) translates into

$$\Lambda_1 = \{Y \in \mathfrak{n} \mid \exp(iY) \in \Xi\}_0 = \Lambda$$

and the proof is complete. \square

We introduce coordinates on $\mathfrak{n} = \mathfrak{g}^{\alpha} + \mathfrak{g}^{2\alpha}$. As usual we write $p = \dim \mathfrak{g}^{\alpha}$ and $q = \dim \mathfrak{g}^{2\alpha}$ and let $c = \frac{1}{4(p+4q)}$. We endow \mathfrak{n} with the inner product $\langle Y_1, Y_2 \rangle = -\kappa(Y_1, \theta(Y_2))$ where κ denotes the Cartan-Killing form of \mathfrak{g} . For $z \in \Xi$ we write in the sequel $a_{\mathbb{C}}(z)$ for the $A_{\mathbb{C}}$ -part of z in the Iwasawa decomposition $\overline{N}_{\mathbb{C}}A_{\mathbb{C}}.x_0$. For $Y \in \mathfrak{g}^{\alpha}, Z \in \mathfrak{g}^{2\alpha}$ we recall the formula

$$(8.3) \quad a_{\mathbb{C}}(\exp(Y + Z).x_0)^{\rho} = \left[(1 + c\|Y\|^2)^2 + 4c\|Z\|^2 \right]^{\frac{p+2q}{4}}.$$

The complex linear extension of $\langle \cdot, \cdot \rangle$ to $\mathfrak{n}_{\mathbb{C}}$ shall be denoted by the same symbol. We obtain the following criterion for Λ .

Lemma 8.6. *If G has real rank one, then*

$$\Lambda = \{(Y, Z) \in \mathfrak{g}^{\alpha} + \mathfrak{g}^{2\alpha} \mid (\forall (Y', Z') \in \mathfrak{n}) \quad (1 + c\langle Y' + iY, Y' + iY \rangle)^2 + 4c\langle Z' + iZ + i1/2[Y', Y], Z' + iZ + i1/2[Y', Y] \rangle \neq 0\}_0.$$

Proof. A standard argument (see [29], Lemma 1.6) combined with Lemma 8.5 yields that

$$\Lambda = \{Y \in \mathfrak{n} \mid (\forall n \in N) a_{\mathbb{C}}(n \exp(iY)) \text{ is defined}\}_0.$$

Now for $n = \exp(Y' + Z') \in N$ with $(Y', Z') \in \mathfrak{n}$ and $(Y, Z) \in \Lambda$ one has

$$n \exp(i(Y + Z)) = \exp(Y' + iY + Z' + i(Z + 1/2[Y', Y]))$$

and the assertion follows in view of the explicit formula (8.3). \square

We use the criterion in Lemma 8.6 to determine Λ explicitly. However, this is not so easy as it looks in the beginning. We shall begin with two important special cases and start with the Lorentz groups $G = \text{SO}_e(1, p+1)$ where $q = 0$.

Lemma 8.7. *Assume that G is locally $\text{SO}_e(1, p+1)$. Then $c = \frac{1}{4p}$ and*

$$\Lambda = \{Y \in \mathfrak{n} = \mathbb{R}^p \mid c\|Y\|^2 < 1\}.$$

Proof. In view of the previous lemma we have to look at the connected component of those $Y \in \mathfrak{n}$ such that

$$1 + c\langle Y' + iY, Y' + iY \rangle = 1 + c(\|Y'\|^2 - \|Y\|^2 - 2i\langle Y, Y' \rangle) \neq 0$$

for all $Y' \in \mathfrak{n}$. The assertion follows. \square

Next we consider the case of the group $G = \text{SU}(2, 1)$. Here $p = 2$ and $q = 1$ and so $c = \frac{1}{24}$. Define matrix elements

$$X_\alpha = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad Y_\alpha = \begin{pmatrix} 0 & i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \quad X_{2\alpha} = \frac{1}{2} \begin{pmatrix} i & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & -i \end{pmatrix}$$

and note that

$$\mathfrak{g}^\alpha = \mathbb{R}X_\alpha + \mathbb{R}Y_\alpha \quad \text{and} \quad \mathfrak{g}^{2\alpha} = \mathbb{R}X_{2\alpha}.$$

We record the commutator relation $[X_\alpha, Y_\alpha] = 4X_{2\alpha}$ and the orthogonality relation $\langle X_\alpha, Y_\alpha \rangle = 0$. Finally we need that $\|X_\alpha\|^2 = \|Y_\alpha\|^2 = \frac{1}{c}$ and $\|X_{2\alpha}\|^2 = \frac{1}{4c}$.

Lemma 8.8. *For G locally $\text{SU}(2, 1)$ one has*

$$\begin{aligned} \Lambda &= \{xX_\alpha + yY_\alpha + zX_{2\alpha} \in \mathfrak{n} \mid 2(x^2 + y^2) + |z| < 1\} \\ &= \{(Y, Z) \in \mathfrak{n} \mid 2c\|Y\|^2 + 2\sqrt{c}\|Z\| < 1\}. \end{aligned}$$

Proof. We want to determine those $Y \in \mathfrak{n}$ which belong to Λ . By the M -invariance of Λ we may restrict our attention to elements of the form $Y = xX_\alpha + zX_{2\alpha} \in \Lambda$. We have to find the connected component of those x, z such that

$$(1 + c\langle (u + ix)X_\alpha + vY_\alpha, (u + ix)X_\alpha + vY_\alpha \rangle)^2 + 4c\langle (w + iz)X_{2\alpha} + \frac{1}{2}ixv[Y_\alpha, X_\alpha], (w + iz)X_{2\alpha} + \frac{1}{2}ixv[Y_\alpha, X_\alpha] \rangle = 0$$

has no solution for $u, v, w \in \mathbb{R}$. We employ the preceedingly collected material on commutators, orthogonality and norms and obtain the equivalent version

$$(1 + (u + ix)^2 + v^2)^2 + (w + i(z + 2xv))^2 = 0$$

for $u, v, w \in \mathbb{R}$. However, this is equivalent to

$$1 + (u + ix)^2 + v^2 = \pm i(w + i(z + 2xv)) = \pm iw \mp (z + 2xv).$$

Comparing real and imaginary part yields the system of equations

$$(1 - x^2 \pm 2z) + u^2 + v^2 = \mp 2xv \\ 2ux = \pm w.$$

We can always choose w so that the second equation is satisfied. Hence we look for x, z such that the quadratic equation in v

$$v^2 - 2xv + (1 - x^2 \pm z + u^2) = 0.$$

has no solution for all u . Clearly we can take $u = 0$ and assume $\pm z = -|z|$. We are left with analyzing the discriminant

$$4x^2 - 4(1 - x^2 - |z|) < 0.$$

This inequality translates into $2x^2 + |z| < 1$ and concludes the proof of the lemma. \square

Remark 8.9. Consider the domain

$$\tilde{\Lambda} = \{Y \in \mathfrak{n} \mid \exp(iY).x_0 \in \overline{N}_{\mathbb{C}}A_{\mathbb{C}}.x_0\}_0.$$

It is clear that $\Lambda \subset \tilde{\Lambda}$ and it is easy to determine $\tilde{\Lambda}$ explicitly:

$$\tilde{\Lambda} = \{(Y, Z) \in \mathfrak{n} \mid (1 - c\|Y\|^2)^2 - 4c\|Z\|^2 > 0\} \\ = \{(Y, Z) \in \mathfrak{n} \mid c\|Y\|^2 + 2\sqrt{c}\|Z\| < 1\}.$$

Now for $q = 0$ we have seen that $\tilde{\Lambda} = \Lambda$. However, as our previous analysis of the $SU(2, 1)$ -case shows, one has $\Lambda \neq \tilde{\Lambda}$ in general.

Before we come to the determination of Λ for all rank one cases some remarks concerning the nature of the constant $c = \frac{1}{4(p+4q)}$ are appropriate.

Remark 8.10. *Let \mathfrak{g} be of real rank one. Let $E \in \mathfrak{g}^\alpha$, resp. $E \in \mathfrak{g}^{2\alpha}$ and set $F = \theta(E)$, $H = [E, F]$. If we assume that $\{H, E, F\}$ is an $\mathfrak{sl}(2)$ -triple, i.e. $[H, E] = 2E$ and $[H, F] = -2F$, then elementary $\mathfrak{sl}(2)$ -representation theory gives $\|E\|^2 = \frac{1}{c}$ if $E \in \mathfrak{g}^\alpha$ and $\|E\|^2 = \frac{1}{4c}$ if $E \in \mathfrak{g}^{2\alpha}$.*

Theorem 8.11. *Let G be a simple Lie group of real rank one.*

(i) *If $q = 0$, then*

$$\Lambda = \{Y \in \mathfrak{n} \mid c\|Y\|^2 < 1\} .$$

(ii) *If $q > 0$, then*

$$\Lambda = \{(Y, Z) \in \mathfrak{n} \mid 2c\|Y\|^2 + 2\sqrt{c}\|Z\| < 1\} .$$

Proof. (i) Lemma 8.7.

(ii) Set

$$\tilde{\Lambda} = \{(Y, Z) \in \mathfrak{n} \mid 2c\|Y\|^2 + 2\sqrt{c}\|Z\| < 1\} .$$

We first show that $\tilde{\Lambda} \subset \Lambda$. Let $(Y, Z) \in \tilde{\Lambda}$, $Y \neq 0$ and $Z \neq 0$. Consider the Lie algebra \mathfrak{g}_0 generated by $Y, \theta(Y), Z, \theta(Z)$. Standard structure theory says that $\mathfrak{g}_0 \simeq \mathfrak{su}(2, 1)$. Choose $E_\alpha \in \mathbb{R}Y$ and $E_{2\alpha} \in \mathbb{R}Z$ such that $\{[E_\alpha, \theta(E_\alpha)], E_\alpha, \theta(E_\alpha)\}$ as well as $\{[E_{2\alpha}, \theta(E_{2\alpha})], E_{2\alpha}, \theta(E_{2\alpha})\}$ are $\mathfrak{sl}(2)$ -triples. Let $y, z \in \mathbb{R}$ such that $Y = yE_\alpha$ and $Z = zE_{2\alpha}$. In view of the previous Remark 8.10 the condition $2c\|Y\|^2 + 2\sqrt{c}\|Z\| < 1$ is equivalent to $2y^2 + |z| < 1$. But this is just the condition for $\exp(i(Y+Z)).x_0$ to be contained in the crown domain Ξ_0 for the group $G_0 = \langle \exp \mathfrak{g}_0 \rangle < G$ (see Lemma 8.8). Now, with the obvious notation, we have $\Omega = \Omega_0$ and so $\Xi_0 \subset \Xi$. This concludes the proof of $\tilde{\Lambda} \subset \Lambda$.

It remains to verify that $\Lambda \subset \tilde{\Lambda}$. For that it is sufficient to show the following: if $(Y, Z) \in \partial\tilde{\Lambda}$, then $(Y, Z) \notin \Lambda$. Let also $(Y, Z) \in \partial\tilde{\Lambda}$ with $(Y, Z) \in \Lambda$ and let \mathfrak{g}_0 as before. Note that $\exp(i(Y+Z)).x_0 \in \partial\Xi_0$. As $\Xi_0 \subset \Xi$ is closed and $\exp(i(Y+Z)).x_0 \in \Xi$, there would exist G_0 -domain $\Xi'_0 \subset X_{0,\mathbb{C}}$, properly containing Ξ_0 , and on which G_0 acts properly. But this contradicts Theorem 4.1. \square

8.2. The case where Ξ is a Hermitian symmetric space

It can happen that Ξ allows additional symmetries, i.e. the group of holomorphic automorphisms is strictly larger than G . For example,

when $X = G/K$ is a Hermitian symmetric space, then Ξ is biholomorphic to $X \times \overline{X}$ where \overline{X} denotes X endowed with the opposite complex structure (see [30], Th. 7.7). In this example $\Xi = X \times \overline{X}$ is again a Hermitian symmetric space and $\text{Aut}(\Xi) = G \times G$ is twice the size of $G = \text{Aut}(X)$. In [30], Th. 7.8, one can find a classification of all those cases where Ξ is a Hermitian symmetric space for a larger group S . For all these cases it turns out that there is an interesting subset $\Lambda^+ \subset \Lambda$ such that $\Xi = G \exp(i\Lambda^+).x_0$, and moreover, it is possible to give a precise relation between unipotent G -orbits through $\exp(i\Lambda^+).x_0$ and the elliptic G -orbits through $\exp(i\Omega).x_0$. As the case of the symplectic group is of special interest, in particular for later applications to automorphic forms, and as it is always good to have a illustrating example, we shall begin with a discussion for this group.

8.2.1. *The symplectic group.* In this section $G = \text{Sp}(n, \mathbb{R})$ for $n \geq 1$. Let us denote by $\text{Sym}(n, \mathbb{R})$, resp. $M_+(n, \mathbb{R})$, the symmetric, resp. strictly upper triangular, matrices in $M(n, \mathbb{R})$. Our choices of \mathfrak{a} and \mathfrak{n} shall be

$$\mathfrak{a} = \{\text{diag}(t_1, \dots, t_n, -t_1, \dots, -t_n) \mid t_i \in \mathbb{R}\}$$

and

$$\mathfrak{n} = \left\{ \begin{pmatrix} Y & Z \\ 0 & -Y^T \end{pmatrix} \mid Z \in \text{Sym}(n, \mathbb{R}), Y \in M_+(n, \mathbb{R}) \right\}.$$

Of special interest is an abelian subalgebra of \mathfrak{n}

$$\mathfrak{n}^+ = \left\{ \begin{pmatrix} 0 & Z \\ 0 & 0 \end{pmatrix} \mid Z \in \text{Sym}(n, \mathbb{R}) \right\},.$$

We recall that the maximal compact subgroup $K < G$ is isomorphic to $U(n)$ and that $X = G/K$ admits a natural realization as a Siegel upper halfplane:

$$X = \text{Sym}(n, \mathbb{R}) + i\text{Sym}^+(n, \mathbb{R}) \subset \text{Sym}(n, \mathbb{C})$$

where $\text{Sym}^+(n, \mathbb{R})$ denotes the positive definite symmetric matrices. The action of G on X is given by generalized fractional transformations:

if $g = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in G$ and $Z \in X$, then

$$g(Z) = (AZ + B)(CZ + D)^{-1}.$$

Notice that the base point x_0 with stabilizer K becomes $x_0 = iI_n$ with I_n the identity matrix. The natural realization of \overline{X} is the lower half plane

$$\overline{X} = \text{Sym}(n, \mathbb{R}) - i\text{Sym}^+(n, \mathbb{R}).$$

In the sequel we view X inside of $X \times \overline{X}$ as a totally real submanifold via the embedding

$$X \hookrightarrow X \times \overline{X}, \quad Z \mapsto (Z, \overline{Z})$$

where \overline{Z} denotes the complex conjugation in $\text{Sym}(n, \mathbb{C})$ with respect to the real form $\text{Sym}(n, \mathbb{R})$. As we remarked earlier, Ξ is naturally biholomorphic to $X \times \overline{X}$. Let us now consider a domain in \mathfrak{n}^+

$$\Lambda^+ = \{Y \in \mathfrak{n}^+ \mid \exp(iY).x_0 \in \Xi\}_0.$$

Theorem 8.12. *For $G = \text{Sp}(n, \mathbb{R})$ the following assertions hold:*

(i) *If $\|\cdot\|$ denotes the operator norm on $M(n, \mathbb{R})$, then*

$$\Lambda^+ = \left\{ \begin{pmatrix} 0 & Z \\ 0 & 0 \end{pmatrix} \in \mathfrak{n}^+ \mid \|Z\| < 1 \right\}.$$

(ii) *With $\Lambda^{++} = \Lambda \cap \text{diag}(n, \mathbb{R})$ one has*

$$(a) \quad \Lambda^{++} = \{\text{diag}(t_1, \dots, t_n) \in \mathfrak{n}^+ \mid |t_i| < 1\}$$

$$(b) \quad \Lambda^+ = \text{Ad } K_0(\Lambda^{++}) \text{ with } K_0 = \text{SO}(n, \mathbb{R}) < K.$$

(iii) $\Xi = G \exp(i\Lambda^+).x_0 = G \exp(i\Lambda^{++}).x_0$.

Proof. (i) Let $Z \in \text{Sym}(n, \mathbb{R})$ and $\tilde{Z} = \begin{pmatrix} 0 & Z \\ 0 & 0 \end{pmatrix}$ the corresponding element in \mathfrak{n}^+ . Then

$$\exp(i\tilde{Z}) = \begin{pmatrix} I_n & iZ \\ 0 & I_n \end{pmatrix}$$

and accordingly

$$\exp(i\tilde{Z}).x_0 = \exp(i\tilde{Z})(iI_n, -iI_n) = (i(I_n + Z), -i(I_n - Z)).$$

Therefore $\exp(i\tilde{Z}).x_0 \in X \times \overline{X}$ if and only if $I_n + Z \in \text{Sym}^+(n, \mathbb{R})$ and $I_n - Z \in \text{Sym}^+(n, \mathbb{R})$. Clearly, this is equivalent to $\|Z\| < 1$ and the proof of (i) is finished.

(ii) This is immediate from (i).

(iii) It is enough to show that $\Xi = G \exp(i\Lambda^{++}).x_0$ and for that it suffices to verify that $\exp(i\Omega).x_0 \subset G \exp(i\Lambda^{++}).x_0$. Now, as Σ is of type C_n , the domain Ω is a cube

$$\Omega = \{\text{diag}(t_1, \dots, t_n, -t_1, \dots, -t_n) \mid |t_i| < \frac{\pi}{4}\}.$$

Let us write E_{ij} for the elementary matrices in $M(2n, \mathbb{R})$. Then for each $1 \leq j \leq n$ we define an $\mathfrak{sl}(2)$ -subalgebra \mathfrak{g}_j by

$$\mathfrak{g}_j = \text{span}_{\mathbb{R}}\{E_{jj} - E_{j+n, j+n}, E_{j, j+n}, E_{n+j, j}\}.$$

We note that the \mathfrak{g}_j pairwise commute and so $\mathfrak{g}_0 = \mathfrak{g}_1 \oplus \dots \oplus \mathfrak{g}_n$ is a subalgebra of \mathfrak{g} which contains \mathfrak{a} . Now we are in the situation to use

$\mathfrak{sl}(2)$ -reduction and the assertion becomes a consequence of Lemma 3.3.

□

For later reference we wish to make the last part of the above theorem more precise. For $\mathbf{z} = (z_1, \dots, z_n) \in \mathbb{C}^n$ let us define a matrix in $N_{\mathbb{C}}^+$

$$n_{\mathbf{z}} = \begin{pmatrix} I_n & \text{diag}(\mathbf{z}) \\ 0 & I_n \end{pmatrix}.$$

Moreover if $\mathbf{z} \in (\mathbb{C}^*)^n$, then we set

$$a_{\mathbf{z}} = \text{diag}(z_1, \dots, z_n, z_1^{-1}, \dots, z_n^{-1}) \in A_{\mathbb{C}}.$$

In the course of the proof of Theorem 8.12 (iii) we have shown the following:

Lemma 8.13. *Let $\mathbf{t} = (t_1, \dots, t_n) \in \mathbb{R}^n$ with $|t_i| < \frac{\pi}{4}$. Set $\mathbf{e}^{it} = (e^{it_1}, \dots, e^{it_n})$ and $\mathbf{sin}(2\mathbf{t}) = (\sin 2t_1, \dots, \sin 2t_n)$. Then*

$$Gn_{\mathbf{sin}(2\mathbf{t})}.x_0 = Ga_{\mathbf{e}^{it}}.x_0.$$

8.2.2. *The general case of Hermitian Ξ .* In this subsection we will assume that Ξ is a Hermitian symmetric space for an overgroup $S \supset G$. From a technical point of view it is however better to work with an alternative characterization, namely (cf. [30], Th. 7.8) Σ is of type C_n or BC_n for $n \geq 2$ or $\mathfrak{g} = \mathfrak{so}(1, k)$ with $k \geq 2$ for the rank one cases.

If Σ is of type C_n or BC_n , then

$$\Sigma = \{\pm\gamma_i \pm \gamma_j \mid 1 \leq i, j \leq n\} \setminus \{0\} \cup \{\pm\frac{1}{2}\gamma_i : 1 \leq i \leq n\}$$

with the second set on the right to be considered not present in the C_n -case. As a positive system of Σ we choose

$$\Sigma^+ = \{\gamma_i \pm \gamma_j \mid 1 \leq i \leq j \leq n\} \setminus \{0\} \cup \{\frac{1}{2}\gamma_i : 1 \leq i \leq n\}.$$

Further we consider the A_{n-1} -subsystem

$$\Sigma_0 = \{\pm\gamma_i \mp \gamma_j \mid 1 \leq i \neq j \leq n\}$$

and set

$$\Sigma^{++} = \Sigma^+ \cap (\Sigma^+ \setminus \Sigma_0) \quad \text{and} \quad \Sigma^{--} = -\Sigma^{++}.$$

Next we define subalgebras of \mathfrak{g} by

$$\mathfrak{n}^+ = \bigoplus_{\alpha \in \Sigma^{++}} \mathfrak{g}^{\alpha}, \quad \mathfrak{n}^- = \bigoplus_{\alpha \in \Sigma^{--}} \mathfrak{g}^{\alpha} \quad \text{and} \quad \mathfrak{g}(0) = \mathfrak{a} + \mathfrak{m} + \bigoplus_{\alpha \in \Sigma_0} \mathfrak{g}^{\alpha}.$$

We note that \mathfrak{n}^+ is a subalgebra of \mathfrak{n} and that

$$\mathfrak{g} = \mathfrak{n}^- + \mathfrak{g}(0) + \mathfrak{n}^+$$

is a direct decomposition with $[\mathfrak{g}(0), \mathfrak{n}^\pm] \subset \mathfrak{n}^\pm$. Define elements $T_j \in \mathfrak{a}$ by the requirement $\gamma_i(T_j) = \delta_{ij}$ and note that

$$\Omega = \left[\bigoplus_{j=1}^n \right] - \frac{\pi}{4}, \frac{\pi}{4} \left[T_j \right].$$

Now for an element $Y_j \in \mathfrak{g}^{2\gamma_j}$ we find $E_j \in \mathbb{R}Y_j$, unique up to sign, such that $\{T_j, E_j, \theta(E_j)\}$ form an $\mathfrak{sl}(2)$ -triple. Define $y_j \in \mathbb{R}$ by $Y_j = y_j E_j$. With that we can define an open ball in $\bigoplus_{j=1}^n \mathfrak{g}^{2\gamma_j}$ by

$$\Lambda^{++} = \left\{ Y = \sum_{j=1}^n Y_j \in \bigoplus_{j=1}^n \mathfrak{g}^{2\gamma_j} \mid |y_j| < 1 \right\}$$

Further write $\mathfrak{k}(0) = \mathfrak{g}(0) \cap \mathfrak{k}$ and set $K(0) = \exp \mathfrak{k}(0)$. Finally we define a subset of \mathfrak{n}^+ by

$$\Lambda^+ = \text{Ad } K_0(\Lambda^{++}).$$

Remark 8.14. Define an abelian subspace of \mathfrak{n}^+ by $\mathfrak{n}^{++} = \bigoplus_{\alpha \in \Sigma^{++} \cap C_n} \mathfrak{g}^\alpha$. Then Λ^+ is a bounded convex domain in \mathfrak{n}^{++} .

Theorem 8.15. If Ξ is a Hermitian symmetric space for an overgroup $S \supset G$, then the following assertions hold.

- (i) If $T = \sum_{j=1}^n t_j T_j \in \Omega$, and $\{T_j, E_j, \theta(E_j)\}$ is any $\mathfrak{sl}(2)$ -triple with $E_j \in \mathfrak{g}^{2\gamma_j}$, then

$$G \exp \left(i \sum_{j=1}^n \sin(2t_j) E_j \right) .x_0 = G \exp \left(i \sum_{j=1}^n t_j T_j \right) .x_0.$$

- (ii) $\Xi = G \exp(i\Lambda^+) .x_0 = G \exp(i\Lambda^{++}) .x_0$.

Proof. (i) We define subalgebras of \mathfrak{g} which are isomorphic to $\mathfrak{sl}(2, \mathbb{R})$ by $\mathfrak{g}_j = \mathbb{R}T_j \oplus \mathbb{R}E_j \oplus \mathbb{R}\theta(E_j)$. The \mathfrak{g}_j 's commute in \mathfrak{g} and so $\mathfrak{g}_0 = \mathfrak{g}_1 \oplus \dots \oplus \mathfrak{g}_n$ defines a subalgebra of \mathfrak{g} . In view of Lemma 3.3, the assertion now follows by $\mathfrak{sl}(2)$ -reduction.

(ii) This is a consequence of (i). \square

Remark 8.16. If \mathfrak{g} is Hermitian and of tube type, then Λ^+ is a bounded open convex set in \mathfrak{n}^+ and

$$\Lambda^+ = \{Z \in \mathfrak{n}^+ \mid \exp(iZ) .x_0 \in \Xi\}_0.$$

This can be proved as in the $\text{Sp}(n)$ -case by employing the machinery of Jordan algebras.

8.3. Some partial results for the special linear groups

In this subsection we exclusively deal with $G = \mathrm{Sl}(n, \mathbb{R})$. To determine the exact shape of Λ for $n \geq 3$ seems to be very challenging; already the case of $n = 3$ appears to be very intricate. Instead we will exhibit a fairly large cube-domain inside of Λ ; further we will estimate the corresponding hyperbolic parameterization.

In order to perform reasonably efficient computations we use the matrix model for $X_{\mathbb{C}}$. Let us denote by $\mathrm{Sym}(n, \mathbb{C})_{\det=1}$ the affine variety of complex symmetric matrices with unit determinat. The map

$$X_{\mathbb{C}} = \mathrm{Sl}(n, \mathbb{C})/\mathrm{SO}(n, \mathbb{C}) \rightarrow \mathrm{Sym}(n, \mathbb{C})_{\det=1}, \quad gK_{\mathbb{C}} \mapsto gg^t$$

is an isomorphism. Within this model for $X_{\mathbb{C}}$, the Riemannian symmetric space X identifies with $\mathrm{Sym}(n, \mathbb{R})_{\det=1}^+$, the determinant one section in the cone of positive definite symmetric matrices. Now the crown domain Ξ contains the determinant one cut Ξ_0 of the tube domain, i.e.

$$\Xi_0 = \{Z \in X_{\mathbb{C}} \mid \mathrm{Re} Z \gg 0\}.$$

As usual we write $E_{ij} = (\delta_{ki}\delta_{lj})_{ij}$ for the elementary matrices. We choose N to be the group of unipotent upper trinangular matrices and consider the mapping

$$m : \mathbb{C}^{n-1} \rightarrow N_{\mathbb{C}}, \quad (z_1, \dots, z_{n-1}) \mapsto \exp(z_1 E_{12}) \cdot \dots \cdot \exp(z_{n-1} E_{n-1n}).$$

In matrix notation m is given by

$$m(z_1, \dots, z_{n-1}) = \begin{pmatrix} 1 & z_1 & & & \\ & 1 & z_2 & & \\ & & \ddots & \ddots & \\ & & & 1 & z_{n-1} \\ & & & & 1 \end{pmatrix}.$$

We define a subset of $N_{\mathbb{C}}$ by

$$\mathcal{N}^+ = m \left(i \prod_{j=1}^{n-1} (-1, 1) \right)$$

and claim:

Proposition 8.17. $\mathcal{N}^+ \cdot x_0 \subset \Xi_0$. In particular $GN^+ \cdot x_0 \subset \Xi_0 \subset \Xi$.

Proof. This is an elementary matrix computation. Let $(t_1, \dots, t_{n-1}) \in \mathbb{R}^{n-1}$, $|t_i| < 1$ and set

$$n = \begin{pmatrix} 1 & it_1 & & & \\ & 1 & it_2 & & \\ & & \ddots & \ddots & \\ & & & 1 & it_{n-1} \\ & & & & 1 \end{pmatrix}.$$

One has to verify that $\operatorname{Re}(nn^t) \gg 0$. A straightforward calculation yields

$$nn^t = \begin{pmatrix} 1 & it_1 & & & \\ it_1 & 1 - t_1^2 & it_2 & & \\ & it_2 & \ddots & \ddots & \\ & & \ddots & 1 - t_{n-2}^2 & it_{n-1} \\ & & & it_{n-1} & 1 - t_{n-1}^2 \end{pmatrix}.$$

Therefore

$$\operatorname{Re}(nn^t) = \begin{pmatrix} 1 & & & & \\ & 1 - t_1^2 & & & \\ & & \ddots & & \\ & & & & \\ & & & & 1 - t_{n-1}^2 \end{pmatrix} \gg 0$$

□

Next we discuss hyperbolic parametrization for elements in \mathcal{N}^+ . Here our results are somewhat partial but perhaps still interesting. For what follows we are indebted to Philip Foth. For $t \in \mathbb{R}$ with $|t| < 1$ we consider the element

$$z(t) = m(i(t), \dots, t) = \begin{pmatrix} 1 & it & & & \\ & 1 & it & & \\ & & \ddots & \ddots & \\ & & & 1 & it \\ & & & & 1 \end{pmatrix}.$$

We wish to estimate the element $a(t) \in \exp(i\pi\Omega/2)$ for which

$$Gz(t) \cdot x_0 = Ga(t) \cdot x_0$$

holds. The result is as follows.

Proposition 8.18. *Let $G = \mathrm{Sl}(n, \mathbb{R})$. Fix $t \in \mathbb{R}$, $|t| < 1$ and set $z(t) = m(i(t), \dots, t)$. Then $Gz(t) \cdot x_0 = Ga(t) \cdot x_0$ with*

$$a(t) = \mathrm{diag}(e^{i\phi_1(t)}, \dots, e^{i\phi_n(t)}), \quad \mathrm{diag}(\phi_1(t), \dots, \phi_n(t)) \in \pi\Omega/2$$

and

$$(8.4) \quad |\phi_j(t)| \leq \left| \frac{1}{2} \tan^{-1} \left(\frac{2t}{1-t^2} \right) \right| \quad (1 \leq j \leq n).$$

Proof. We proceed indirectly and use the complex convexity theorem (5.4). For $k \in K$ we have to show that the components of $\mathrm{Im} \log a_{\mathbb{C}}(kz(t))$ satisfy the estimate (8.4). To compute $a_{\mathbb{C}}(kz(t))$ we write the corresponding matrix identity out:

$$\begin{aligned} & \underbrace{\begin{pmatrix} 1 & * & \dots & \dots & * \\ & 1 & * & \dots & * \\ & & \ddots & \ddots & \vdots \\ & & & 1 & * \\ & & & & 1 \end{pmatrix}}_{\in \mathcal{N}_{\mathbb{C}}} \cdot \underbrace{\begin{pmatrix} * & \dots & * \\ * & \dots & * \\ \vdots & \vdots & \vdots \\ * & \dots & * \\ k_1 & \dots & k_n \end{pmatrix}}_{\in K} \cdot \underbrace{\begin{pmatrix} 1 & it & & & \\ & 1 & it & & \\ & & \ddots & \ddots & \\ & & & 1 & it \\ & & & & 1 \end{pmatrix}}_{=z(t)} = \\ & = \underbrace{\begin{pmatrix} a_{\mathbb{C},1}(t) & & & & \\ & a_{\mathbb{C},2}(t) & & & \\ & & \ddots & & \\ & & & a_{\mathbb{C},n}(t) & \\ & & & & \end{pmatrix}}_{\in A \exp(i\pi\Omega/2)} \cdot \underbrace{\begin{pmatrix} * & \dots & * \\ * & \dots & * \\ \vdots & \vdots & \vdots \\ k'_1 & \dots & k'_n \end{pmatrix}}_{\in K_{\mathbb{C}}}. \end{aligned}$$

We match the bottom rows and arrive at:

$$(k_1, itk_1 + k_2, itk_2 + k_3, \dots, itk_{n_1} + k_n) = a_{\mathbb{C},n}(t)(k'_1, \dots, k'_n).$$

We square the entries and sum them up:

$$1 - t^2(k_1^2 + \dots + k_{n-1}^2) + 2it(k_1k_2 + \dots + k_{n-1}k_n) = a_{\mathbb{C},n}(t)^2.$$

The result is

$$|\phi_n(t)| = \left| \frac{1}{2} \tan^{-1} \left(\frac{2t(k_1k_2 + \dots + k_{n-1}k_n)}{1 - t^2(k_1^2 + \dots + k_{n-1}^2)} \right) \right|.$$

Finally we use the estimates $k_1^2 + \dots + k_{n-1}^2 \leq 1$ and $k_1k_2 + \dots + k_{n-1}k_n \leq 1$ and obtain that

$$|\phi_n(t)| = \left| \frac{1}{2} \tan^{-1} \left(\frac{2t}{1-t^2} \right) \right|.$$

This proves (8.4) for the last entry. The general case follows by Weyl group invariance. \square

Remark 8.19. *One can show that $z(t) \cdot x_0 \in \Xi$ precisely for $|t| < 1$.*

9. Exponential decay of Maaß cusp forms I: The example of $G = \mathrm{Sl}(2, \mathbb{R})$

It is a result obtained by Langlands that cuspidal automorphic forms are of rapid decay. But actually more is true and the decay is of exponential type. The purpose of this section is to give an introduction to this circle of problems with a solid discussion of the case of $G = \mathrm{Sl}(2, \mathbb{R})$. We will restrict our attention to Maaß cusp forms and to the modular group $\Gamma = \mathrm{Sl}(2, \mathbb{Z})$ in order to keep the exposition basic. It is possible to verify the exponential decay by our explicit knowledge of the Whittaker functions in this case. This will be presented first. In general however, concrete knowledge of the Whittaker functions is not available and an alternative approach is needed. It was Joseph Bernstein who came up with the idea to use analytic continuation to obtain exponential decay. We shall present his ideas in the geometric framework which we developed in the preceding section.

9.1. Concrete approach

For the rest of this section we let $G = \mathrm{Sl}(2, \mathbb{R})$ and keep our choices including notation from Section 3. In the sequel we will identify $X = G/K$ with the upper half plane, i.e. $X = \{z \in \mathbb{C} \mid \mathrm{Im} z > 0\}$ and G acting by fractional linear transformations:

$$g(z) = \frac{az + b}{cz + d} \quad \text{for } g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in G, z \in X.$$

In these coordinates the base point x_0 is the imaginary unit $x_0 = i$ and the Iwasawa decomposition states that the map

$$N \times A \rightarrow X, \quad (n_x, a_y) \mapsto n_x a_y(i) = x + iy,$$

where

$$n_x = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \in N \quad \text{and} \quad a_y = \begin{pmatrix} \sqrt{y} & 0 \\ 0 & \frac{1}{\sqrt{y}} \end{pmatrix} \in A$$

with $x \in \mathbb{R}, y > 0$, is a diffeomorphism. The Laplace-Beltrami operator of X is given by $\Delta = -y^2(\partial_x^2 + \partial_y^2)$ and we note that $\mathbb{D}(X) = \mathbb{C}[\Delta]$.

We make a simpler choice for a lattice $\Gamma < G$, namely $\Gamma = \mathrm{Sl}(2, \mathbb{Z})$ the modular group. Then by a *Maaß automorphic form* we understand an analytic function $\phi : X \rightarrow \mathbb{C}$ such that

- ϕ is Γ -invariant
- ϕ is an eigenfunction for $\mathbb{D}(X)$, i.e. there exists $\lambda \in \mathbb{C}$ such that $\Delta\phi = \lambda(1 - \lambda)\phi$.
- ϕ is of moderate growth, i.e. there exists $\alpha \in \mathbb{R}$ such that

$$|\phi(x + iy)| \ll y^\alpha \quad (y > 1).$$

Moreover, a Maaß automorphic form is called a *cusp form* if

$$\int_{N \cap \Gamma \backslash N} \phi(nz) \, dn = 0 \quad \text{for all } z \in X.$$

Note that $N \cap \Gamma = \begin{pmatrix} 1 & \mathbb{Z} \\ 0 & 1 \end{pmatrix}$ so that $N \cap \Gamma \backslash N \simeq \mathbb{Z} \backslash \mathbb{R}$ is a circle. In our special case the results of Langlands reads as follows.

Theorem 9.1. *Maaß cusp forms ϕ are of rapid decay, i.e.*

$$|\phi(x + iy)| \ll y^\alpha \quad (y > 1)$$

for any $\alpha \in \mathbb{R}$.

However, more is true and we can state:

Theorem 9.2. *Maaß cusp forms ϕ are of exponential decay, i.e. there is a constant $C > 0$ such that*

$$|\phi(x + iy)| \leq C e^{-2\pi y} \quad (y > 1).$$

Before we prove this theorem, we will recall the Whittaker expansion of a Maaß cusp form: If ϕ is a Maaß cusp form with $\Delta\phi = \lambda(1 - \lambda)\phi$, then

$$(9.1) \quad \phi(x + iy) = \sum_{n \in \mathbb{Z}^\times} a_n \sqrt{|y|} K_\nu(2\pi|n|y) e^{2\pi i n x}$$

where K_ν is the McDonald Bessel function

$$K_\nu(y) = \frac{1}{2} \int_0^\infty e^{-y(t + \frac{1}{t})/2} t^\nu \frac{dt}{t} \quad (y > 0)$$

with parameter $\lambda = \frac{1}{2} + \nu$ and the a_n are complex numbers satisfying the Hecke bound

$$(9.2) \quad |a_n| \ll |n|^{\frac{1}{2}}.$$

As a final piece of information we need the asymptotic expansion of the Bessel function

$$(9.3) \quad K_\nu(y) \sim \left(\frac{\pi}{2y}\right)^{\frac{1}{2}} e^{-y} \cos(\nu\pi).$$

We can now prove Theorem 9.2.

Proof. We plug the estimates (9.2) and (9.3) in the Fourier expansion (9.1) and use the convention that C denotes a positive constant whose actual value may change from line to line: for y large we obtain

$$\begin{aligned} |\phi(x + iy)| &\leq \sum_{n \neq 0} |a_n| \sqrt{y} \cdot |K_\nu(2\pi|n|y)| \\ &\leq C \sum_{n \neq 0} |n|^{\frac{1}{2}} \sqrt{y} \left(\frac{\pi}{2\pi|n|y}\right)^{\frac{1}{2}} e^{-2\pi|n|y} \\ &\leq C \sum_{n \neq 0} e^{-2\pi|n|y} \\ &\leq C \frac{e^{-2\pi y}}{1 - e^{-2\pi y}} \\ &\leq C e^{-2\pi y}. \end{aligned}$$

□

9.2. The method of analytic continuation

We now present an alternative approach to Theorem 9.2, essentially due to J. Bernstein, which uses the method of analytic continuation. The final result is slightly weaker than the optimal estimate in Theorem 9.2, but this will be balanced by the conceptionality of the approach.

Let ϕ be a Maaß cusp form. Let us fix $y > 0$ and consider the 1-periodic function

$$F_y : \mathbb{R} \rightarrow \mathbb{C}, \quad u \mapsto \phi(n_u a_y(i)) = \phi(u + iy).$$

This function being smooth and periodic admits a Fourier expansion

$$F_y(u) = \sum_{n \neq 0} A_n(y) e^{2\pi i n x}.$$

Here, $A_n(y)$ are complex numbers depending on y . Now observe that

$$n_u a_y = a_y a_y^{-1} n_u a_y = a_y n_{u/y}$$

and so

$$F_y(u) = \phi(a_y n_{u/y} \cdot x_0).$$

As ϕ is a $\mathbb{D}(X)$ -eigenfunction, it admits a holomorphic continuation to Ξ and thus it follows from Lemma 3.3 and Theorem 3.4 that F_y admits a holomorphic continuation to the strip domain

$$S_y = \{w = u + iv \in \mathbb{C} \mid |v| < y\}.$$

Let now $\epsilon > 0$, ϵ small. Then, for $n > 0$, we proceed with Cauchy

$$\begin{aligned} A_n(y) &= \int_0^1 F_y(u - i(1 - \epsilon)y) e^{-2\pi i n(u - i(1 - \epsilon)y)} du \\ &= e^{-2\pi n(1 - \epsilon)y} \int_0^1 F_y(u - i(1 - \epsilon)y) e^{-2\pi i n u} du \\ &= e^{-2\pi n(1 - \epsilon)y} \int_0^1 \phi(a_y n_{u/y} n_{-i(1 - \epsilon)} \cdot x_0) e^{-2\pi i n u} du. \end{aligned}$$

Thus we get, for all $\epsilon > 0$ and $n \neq 0$ the inequality

$$(9.4) \quad |A_n(y)| \leq e^{-2\pi |n|y(1 - \epsilon)} \sup_{\Gamma g \in \Gamma \backslash G} |\phi(\Gamma g n_{\pm i(1 - \epsilon)} \cdot x_0)|$$

We need an estimate.

Lemma 9.3. *Let ϕ be a Maaß cusp form. Then there exists a constant C only depending on λ such that for all $0 < \epsilon < 1$*

$$\sup_{\Gamma g \in \Gamma \backslash G} |\phi(\Gamma g n_{i(1 - \epsilon)} \cdot x_0)| \leq C |\log \epsilon|^{\frac{1}{2}}$$

Proof. Let $-\pi/4 < t_\epsilon < \pi/4$ be such that $\pm(1 - \epsilon) = \sin 2t_\epsilon$. Then, by Lemma 3.3 we have $G n_{\pm i(1 - \epsilon)} \cdot x_0 = G a_\epsilon \cdot x_0$ with $a_\epsilon = \begin{pmatrix} e^{it_\epsilon} & 0 \\ 0 & e^{-it_\epsilon} \end{pmatrix}$. Now note that $t_\epsilon \approx \pi/4 - \sqrt{2\epsilon}$ and thus [29], Th. 5.1 and Th. 6.17, give that

$$\sup_{\Gamma g \in \Gamma \backslash G} |\phi(g a_\epsilon \cdot x_0)| \leq C |\log \epsilon|^{\frac{1}{2}}.$$

This concludes the proof of the lemma. \square

We use the estimates in Lemma 9.3 in (9.4) and get

$$(9.5) \quad |A_n(y)| \leq C e^{-2\pi |n|y(1 - \epsilon)} |\log \epsilon|^{\frac{1}{2}},$$

and specializing to $\epsilon = 1/y$ gives that

$$(9.6) \quad |A_n(y)| \leq C e^{-2\pi |n|(y-1)} (\log y)^{\frac{1}{2}}.$$

This in turn yields for $y > 2$ that

$$\begin{aligned} |\phi(iy)| &= |F_y(0)| \leq \sum_{n \neq 0} |A_n(y)| \\ &\leq C(\log y)^{\frac{1}{2}} \sum_{n \neq 0} e^{-2\pi|n|(y-1)} \\ &\leq C(\log y)^{\frac{1}{2}} \cdot e^{-2\pi y} \end{aligned}$$

It is clear, that we can replace F_y by $F_y(\cdot + x)$ for any $x \in \mathbb{R}$ without altering the estimate. Thus we have proved:

Theorem 9.4. *Let ϕ be a Maaß cusp form. Then there exists a constant $C > 0$, only depending on λ , such that*

$$|\phi(x + iy)| \leq C(\log y)^{\frac{1}{2}} \cdot e^{-2\pi y} \quad (y > 2).$$

Remark 9.5. *It is not too hard to make the constant in the theorem precise. We will do this in the next section when we give a general discussion of the rank one cases.*

10. Exponential decay of Maaß cusp forms II: the rank one cases

The example of $G = \mathrm{Sl}(2, \mathbb{R})$ admits a straightforward generalization to all rank one cases and this will be outlined below. Throughout this section we let G be of real rank one, i.e. $\dim \mathfrak{a} = 1$. We fix a noncompact lattice $\Gamma < G$ and call a parabolic subgroup MAN *cuspidal* for Γ if $\Gamma \cap N$ is a lattice in $N \cap \Gamma$. Notice that this implies that $\Gamma \cap Z(N)$ is a lattice in $Z(N)$ where $Z(N)$ is the center of N . Recall the constant $c = \frac{1}{4(p+4q)}$ and let $d = \frac{1}{\sqrt{c}}$ if $q = 0$ and $d = \frac{1}{2\sqrt{c}}$ otherwise. We define the *period* r_Γ of Γ to be the positive number

$$r_\Gamma = \frac{1}{d} \min\{\|\log \gamma\| : \gamma \in Z(N) \cap \Gamma, \gamma \neq \mathbf{1}, N \text{ cuspidal}\}.$$

We fix now MAN and $E' \in \log(Z(N) \cap \Gamma)$, $E' \neq 0$, such that $\|E'\|$ is minimal for all possible choices of N . Then $\|E'\| = dr_\Gamma$.

Next let $E \in \mathbb{R}^+ E'$ be such that for $F = \theta(E)$ and $H = [E, F]$ the set $\{H, E, F\}$ forms an $\mathfrak{sl}(2)$ -triple. Recall from Remark 8.10 that $\|E\| = d$ so that

$$(10.1) \quad E' = r_\Gamma E.$$

For $y > 1$ we set $a_y = \exp(\log y \cdot H/2) \in A$.

We fix a Maaß cusp form ϕ for Γ , fix $n \in N$ and $y > 1$ and consider the function

$$F_{n,y} : \mathbb{R} \rightarrow \mathbb{C}, \quad u \mapsto \phi(\exp(uE)na_y.x_0).$$

From the relation (10.1), it follows that $F_{n,y}$ is periodic with period r_Γ . Thus $F_{n,y}$ admits a Fourier expansion

$$F_{n,y}(u) = \sum_{k \in \mathbb{Z}^\times} A_k(n, y) e^{\frac{2\pi i k}{r_\Gamma} u}.$$

As $\exp(uE) \in Z(N)$ we notice next that

$$\exp(uE)na_y = na_y \exp(u/yE)$$

and we conclude with Theorem 8.11 that $F_{n,y}$ extends a holomorphic function on the strip domain $S_y = \{u+iv \in \mathbb{C} \mid |v| < y\}$. For $0 < \epsilon < 1$ we obtain, as in the previous section, the coefficient estimate

$$(10.2) \quad |A_k(n, y)| \leq e^{-\frac{2\pi y(1-\epsilon)}{r_\Gamma}} \sup_{\Gamma g \in \Gamma \backslash G} |\phi(\Gamma g \exp(i(1-\epsilon)E).x_0)|.$$

From now on we make the slightly restrictive assumption that ϕ corresponds to a spherical principal series representation π_λ with $\lambda \in i\mathfrak{a}^*$. Often we will identify $i\mathfrak{a}^*$ with $i\mathbb{R}$ via $\lambda = \lambda \cdot \rho$.

Lemma 10.1. *Let ϕ be a Maaß cusp form associated to π_λ . Then for all $0 < \epsilon < 1$ the following estimate holds*

$$(10.3) \quad \sup_{\Gamma g \in \Gamma \backslash G} |\phi(\Gamma g \exp(i(1-\epsilon)E).x_0)| \leq C(\lambda) \begin{cases} |\log \epsilon|^{\frac{1}{2}} & \text{if } p = 1 \text{ and } q = 0 \\ \epsilon^{\frac{1-p}{4}} & \text{if } p > 1 \text{ and } q = 0 \\ |\log \epsilon|^{\frac{1}{2}} & \text{if } q = 1 \\ \epsilon^{\frac{1-q}{4}} & \text{if } q > 1 \end{cases}$$

where

$$(10.4) \quad C(\lambda) = C \cdot e^{\frac{\pi}{2}|\lambda|} (|\lambda| + 1)^{1+[\dim X/2]}$$

and $C > 0$ a constant independent of λ .

Proof. In first order approximation we have $G \exp(i(1-\epsilon)E).x_0 = G \exp(i(\pi/4 - 2\sqrt{\epsilon})H).x_0$ as in the proof of Lemma 9.3. Now for fixed λ , the assertion follows from [29], Th. 5.1 (or alternatively from our table in Theorem 7.9) and Th. 6.17. The precise shape of the constant $C(\lambda)$ is found by tracing the proofs in [29]. \square

Finally, specializing to $y = \frac{1}{\epsilon}$ in (10.2) we obtain from Lemma (10.1) the following result:

Theorem 10.2. *Let ϕ be a Maaß cusp form associated to π_λ with $\lambda \in \mathfrak{ia}^*$. Then, for all $n \in N$ and $y > 2$ the following estimate holds:*

$$(10.5) \quad \sup_{n \in N} |\phi(na_y \cdot x_0)| \leq C(\lambda) e^{-\frac{2\pi y}{r_\Gamma}} \begin{cases} (\log y)^{\frac{1}{2}} & \text{if } p = 1 \text{ and } q = 0 \\ y^{\frac{p-1}{4}} & \text{if } p > 1 \text{ and } q = 0 \\ (\log y)^{\frac{1}{2}} & \text{if } q = 1 \\ y^{\frac{q-1}{4}} & \text{if } q > 1 \end{cases}.$$

11. Exponential decay of Maaß cusp forms III: the higher rank cases

Throughout this section we denote by G a simple Lie group and by $\Gamma < G$ a noncompact lattice. We say that a parabolic subgroup $P = MAN$ is *cuspidal* if $\Gamma_N = \Gamma \cap N$ is a lattice in N .

Definition 11.1. *A Γ -invariant smooth $\mathbb{D}(X)$ -eigenfunction on X is called a weak Maaß automorphic form. A weakly automorphic Maaß form is called a Maaß cusp form if it is bounded and*

$$\int_{\Gamma_N \backslash N} f(\Gamma_N n g) d(\Gamma_N n) = 0$$

for all $g \in G$ and all proper cuspidal parabolic subgroups $P = MAN$.

Remark 11.2. *The crucial fact for us is that all $\mathbb{D}(X)$ -eigenfunctions on X extend holomorphically to Ξ (cf. [30], Th. 1.1). Hence all weak Maaß automorphic forms extend to holomorphic functions on Ξ . If moreover Γ is torsion free, then Γ acts properly on Ξ (as the G -action is proper) and we can form the quotient $\Gamma \backslash \Xi$ in the category of complex manifolds. Thus Maaß forms have $\Gamma \backslash \Xi$ as their natural domain of definition.*

For the rest of this section we let $P = MAN$ be a minimal parabolic subgroup which is cuspidal. In addition we make the following

assumption: For each root $\alpha \in \Sigma^+$ the group $\Gamma \cap \exp(\mathfrak{g}^\alpha)$ is a lattice in $\exp(\mathfrak{g}^\alpha)$.

For each $\alpha \in \Sigma^+$ and $E_\alpha \in \mathfrak{g}^\alpha$ we set $F_\alpha = \theta(E_\alpha)$ and $H_\alpha = [E_\alpha, F_\alpha]$. We always normalize E_α in such a way that $\{E_\alpha, F_\alpha, H_\alpha\}$ forms an $\mathfrak{sl}(2)$ -triplet.

For $\alpha \in \Pi$ we define an ideal in Σ by

$$\Sigma_\alpha = \left\{ \beta = \sum_{\gamma \in \Pi} n_\gamma \gamma \mid n_\alpha > 0 \right\} \subset \Sigma^+,$$

and write $\mathfrak{u}_\alpha = \bigoplus_{\beta \in \Sigma_\alpha} \mathfrak{g}^\beta$ for the corresponding ideal in \mathfrak{n} . We set $U_\alpha = \exp(\mathfrak{u}_\alpha)$ and notice that U_α is the nilradical of the cuspidal parabolic subgroup P_α attached to $\alpha \in \Pi$.

Associated to $\alpha \in \Pi$ we define positive constants

$$r_{\alpha, \Gamma} = \max_{\beta \in \Sigma_\alpha} \min \{ c > 0 \mid \exp(cE_\beta) \in \Gamma, E_\beta \in \mathfrak{g}^\beta \text{ normalized} \},$$

and

$$c_\alpha = \min \left\{ c > 0 \mid \frac{c}{2} H_\beta \in \partial\Omega, \beta \in \Sigma_\alpha \right\}.$$

Remark 11.3. *The relevance of the number c_α is the following: it is the maximal number such that $\exp(itE_\beta).x_0 \in \Xi$ for all $0 \leq t < c_\alpha$ and $\beta \in \Sigma_\alpha$.*

For a subgroup $U < N$ with $\Gamma_U \backslash U$ and compact we define the *constant term* of a function $f \in C^\infty(\Gamma_N \backslash G)$ with respect to U as

$$\pi_U f(Ug) = \int_{U_N \backslash U} f(\Gamma_N ug) d(U_N u).$$

Note that $\pi_U f \in C^\infty(\Gamma_N U \backslash G)$. For $U = U_\alpha$ we use the simplifying notation $\pi_\alpha = \pi_{U_\alpha}$ for the constant term with respect to U_α .

We can now state the holomorphic analogue of the Main Lemma (Lemma 10) in [21].

Lemma 11.4. (Main Lemma) *Let $\alpha \in \Pi$ and $0 < \epsilon < 1$. Let $f \in C^\infty(\Gamma_N \backslash G)$ such that f admits a holomorphic continuation to $\Gamma_N \backslash \tilde{\Xi}$. Then there exists a constant $C_\alpha > 0$, only depending on α , such that*

$$|(f - \pi_\alpha f)(\Gamma_N a)| \leq C_\alpha e^{-\frac{a^\alpha(1-\epsilon)}{r_{\alpha, \Gamma}}} \cdot \sup_{\substack{g \in G \\ \beta \in \Sigma_\alpha}} |f(\Gamma_N g \exp(i(1-\epsilon)c_\alpha E_\beta))|$$

for all $a \in A^+$.

Proof. We follow [21], Ch. I, § 7. We order the roots of Σ_α , say

$$\beta_1 > \beta_2 > \dots > \beta_s,$$

and form ideals of \mathfrak{n} by

$$\mathfrak{n}_i = \bigoplus_{j=1}^i \mathfrak{g}^{\beta_j} \quad (0 \leq i \leq s).$$

Set $U_i = \exp(\mathfrak{n}_i)$. Note that $\Gamma \cap U_i$ is cocompact in U_i by our assumption on Γ . Thus π_{U_i} is defined. Note that $\pi_{U_0} = \text{id}$ and $\pi_{U_s} = \pi_\alpha$. We now verify the stronger statement (cf. [21], Lemma 19):

$$(11.2) \quad f - \pi_{U_j} f \quad \text{satisfies (11.1).}$$

We prove (11.2) by induction, following the arguments for the proof of [21], Lemma 19. The case $i = 0$ is clear. Notice that $\mathfrak{u}_i = \mathfrak{u}_{i-1} + \mathfrak{g}^{\beta_i}$. Choose a basis E_1, \dots, E_p of \mathfrak{g}^{β_i} of normalized elements. We require in addition that $\exp(r_{\alpha, \Gamma} E_j) \in \Gamma_N$. For $0 \leq j \leq p$ we set

$$\mathfrak{v}_j = \mathfrak{u}_i + \bigoplus_{k=1}^j \mathbb{R} E_k \quad \text{and} \quad V_j = \exp(\mathfrak{v}_j).$$

Observe that each V_j is a normal subgroup of N with $\Gamma \cap V_j < V_j$ cocompact. In particular π_{V_j} is defined. Set $\phi_{f,j} = \pi_{V_j} f$ for $0 \leq j \leq p$ and

$$\psi_{f,j} = \phi_{f,j-1} - \phi_{f,j} \quad (1 \leq j \leq p).$$

Then

$$\pi_{U_{i-1}} f - \pi_{U_i} f = \phi_{f,0} - \phi_{f,p} = \sum_{j=1}^p \psi_{f,j}$$

and

$$f - \pi_{U_j} f = \sum_{i=1}^j \pi_{U_{i-1}} f - \pi_{U_i} f$$

imply that it is sufficient to establish for all $1 \leq j \leq p$ (cf. [21], Lemma 20):

$$(11.3) \quad \psi_{f,j} \quad \text{satisfies (11.1).}$$

For that let us fix j and write $\phi_f = \phi_{f,j-1}$, $V = V_j$. So $\phi_f = \pi_V f$. Consider the mapping

$$V_{j-1} \backslash V_j \rightarrow \mathbb{C}, \quad v \mapsto \phi_f(va)$$

and note that this function is left invariant under $\Gamma \cap V_j$. As $\exp(r_{\alpha, \Gamma} E_j) \in \Gamma \cap V_j$ we obtain that

$$\phi_f(a) = \sum_{q \in \mathbb{Z}} \vartheta_{f,q}(a) \quad \text{where} \quad \vartheta_{f,q}(a) = \frac{1}{r_{\alpha, \Gamma}} \int_0^{r_{\alpha, \Gamma}} \phi_f(\exp(tE_j)a) e^{-\frac{2\pi i q t}{r_{\alpha, \Gamma}}} dt.$$

We fix $a \in A_+$, $q \in \mathbb{Z}$ and consider the function

$$F_{f,q}(z) = \phi_f(\exp(zE_j)a) = \phi_f(a \exp(a^{-\beta_j} z E_j))$$

for $z \in \mathbb{R}$. We conclude that $F_{f,q}$ admits a holomorphic continuation to the strip domain

$$S = \{z \in \mathbb{C} \mid |\operatorname{Im} z| < c_\alpha \cdot a^{\beta_j}\}.$$

Thus, as in the preceding two sections, we obtain for $0 < \epsilon < 1$ the estimate

$$|\theta_{f,q}(a)| \leq M_\epsilon \cdot e^{-\frac{2\pi q a^{\beta_j(1-\epsilon)c_\alpha}}{r_{\alpha,\Gamma}}}$$

where

$$M_\epsilon = \sup_{g \in G} |f(\Gamma_N g \exp(i(1-\epsilon)c_\alpha E_j))|.$$

We sum up the geometric series and note that $\vartheta_{f,0} = \phi_{f,j}$ and obtain the desired estimate for $\psi_{f,j} = \phi_f - \phi_{f,j}$. This proves the lemma. \square

This lemma has an immediate consequence the following important result (compare to [21], Corollary to Lemma 10).

Corollary 11.5. (Main Estimate) *Suppose that f is Maaß cusp form. Then there exist a constant $C > 0$, independent from f , such that for all $a \in A^+$*

$$(11.4) \quad |f(\Gamma a)| \leq C \cdot \min_{\alpha \in \Pi} e^{-\frac{2\pi(1-\epsilon)a^\alpha c_\alpha}{r_{\alpha,\Gamma}}} \cdot M_\epsilon$$

with

$$(11.5) \quad M_\epsilon = \sup_{g \in G} \sup_{\substack{\beta \in \Sigma_\alpha \\ \alpha \in \Pi}} |f(\Gamma g \exp(i(1-\epsilon)c_\alpha E_\beta))|.$$

Example 11.6. *It is instructive to consider the following example*

$$G = \operatorname{Sl}(n, \mathbb{R}) \quad \text{and} \quad \Gamma = \operatorname{Sl}(n, \mathbb{Z}).$$

In this situation we have $r_{\alpha,\Gamma} = c_\alpha = 1$ for all α and the estimate in the Corollary becomes

$$|f(\Gamma a)| \leq C \cdot e^{-2\pi(1-\epsilon) \cdot \max_{1 \leq i \leq n-1} \frac{a_i}{a_{i+1}}} \cdot M_\epsilon$$

with

$$M_\epsilon = \sup_{g \in G} \sup_{1 \leq i < j \leq n} |f(\Gamma g \exp(i(1-\epsilon)E_{ij}))|.$$

11.1. Refinements of the Main Estimate

It is possible to do a little bit better as in the Main Estimate once we apply the more refined geometric results from Subsections 8.2.2 and 8.3. To state the inequalities in a more compact form we define

$$r_\Gamma = \sup_\alpha r_{\alpha, \Gamma}.$$

We begin with the Hermitian cases, i.e. where Σ is of type C_n . We restrict our attention to the maximal Siegel parabolic with abelian nilradical and proceed as in Lemma 11.4 going simultaneously in the direction of the strongly orthogonal E_j (cf. the notation in Subsection 8.2.2). Then Theorem 8.15 gives the following result.

Lemma 11.7. (Main Estimate refined – the Hermitian case) *Suppose that Ξ is a Hermitian symmetric space and f is a Maaß cusp form on X . Then, with the notation of Subsection 8.2.2, there exists a constant $C > 0$, independent from f , such that for all $t_i \geq 0$*

$$(11.6) \quad |f(\Gamma \exp(\sum_{j=1}^n t_j T_j))| \leq C \cdot e^{-\frac{2\pi(1-\epsilon)(\sum_{j=1}^n t_j)}{r_\Gamma}} \cdot M_\epsilon$$

with

$$(11.7) \quad M_\epsilon = \sup_{g \in G} \left| f \left(\Gamma g \exp(i(1-\epsilon) \sum_{j=1}^n E_j) \right) \right|.$$

Finally we draw our attention to the case of $G = \mathrm{Sl}(n, \mathbb{R})$ and our fine geometric results in Subsection 8.3. We will state our result for the Whittaker functionals of a Maaß cusp form. It is no loss of generality to assume that N , the group of unipotent upper triangular matrices, is cuspidal for the lattice Γ . Let us fix a unitary character $\chi : N \rightarrow \mathbb{S}^1$. As χ is necessarily trivial on $[N, N]$, it is clear that χ is given by a parameter $\mathbf{m} = (m_1, \dots, m_{n-1}) \in \mathbb{R}^{n-1}$, namely

$$\chi \left(\begin{pmatrix} 1 & t_1 & * & \dots & * \\ & \ddots & \ddots & * & * \\ & & 1 & t_{n-1} & * \\ & & & & 1 \end{pmatrix} \right) = e^{2\pi i \sum_{j=1}^{n-1} t_j m_j}.$$

In the sequel we assume that χ is trivial on Γ_N .

For a cusp form f we then define the *Whittaker function with respect to χ* by

$$W(f, \chi)(g) = \int_{\Gamma_N \backslash N} f(\Gamma n g) \chi(n) d(\Gamma_N n) \quad (g \in G)$$

and note that $W(f, \chi) \in C^\infty(G/N, \chi)$. The obvious application of our standard technique yields:

Lemma 11.8. (Main Estimate refined – Whittaker functionals for the special linear group) *Let $G = \mathrm{Sl}(n, \mathbb{R})$ and f be a Maaß cusp form on X . Then, with the notation of Subsection 8.3, there exists a constant $C > 0$, independent from f , such that for all $a = \mathrm{diag}(a_1, \dots, a_n) \in A^+$*

$$(11.8) \quad |W(f, \chi)(a)| \leq C \cdot e^{-\frac{2\pi(1-\epsilon)}{r_\Gamma} \sum_{j=1}^{n-1} |m_j| \cdot \frac{a_j}{a_{j+1}}} \cdot M_\epsilon$$

with

$$(11.9) \quad M_\epsilon = \sup_{g \in G} |f(\Gamma g z(1 - \epsilon))| .$$

11.2. A Bergman estimate on the local crown domains

To proceed with our estimates on Maaß cusp forms we need to control the quantities

$$M_\epsilon = \sup_{g \in G} |f(\gamma g n_\epsilon)|$$

for certain $n_\epsilon \in N_\mathbb{C}$. In order to do so we estimate M_ϵ against an L^2 -norm, which can be controlled in terms of representation theory.

We state the result.

Proposition 11.9. *Let Γ be a lattice in the semi-simple group G . Fix an element $Z_0 \in \partial\pi\Omega/2$ and a constant $0 < \epsilon < 1$. Let f be a Γ -invariant holomorphic function on Ξ . Then there exists a constant $C > 0$, independent from f , such that for all $g \in G$*

$$|f(\Gamma g \exp(i(1 - \epsilon)Z_0).x_0)| \leq C \cdot \epsilon^{-\dim X + \frac{1}{2}\mathrm{rank} X + \frac{1}{2}} \cdot \sup_{\{Z \in \Omega \mid \|Z - (1 - \epsilon)Z_0\| < \epsilon/2\}} \left(\int_{\Gamma \backslash G} |f(\Gamma g \exp(iZ).x_0)|^2 d(\Gamma g) \right)^{\frac{1}{2}} .$$

Before we start with the proof let us recall the basic Bergman estimate for polydiscs in \mathbb{C}^n . Fix $z_0 \in \mathbb{C}^n$. For $r > 0$ let us define the polydisc centered at z_0 with radius r by

$$P(z_0, r) = \{z \in \mathbb{C}^n \mid \|z - z_0\|_\infty < r\} .$$

One expands a holomorphic function $f \in \mathcal{O}(P(z_0, r))$ in a power series at z_0 , and uses orthogonality of the monomials; the result is the *Bergman estimate*

$$(11.10) \quad |f(z_0)| \leq \frac{1}{\pi^n \cdot r^n} \cdot \|f\|_{L^2(P(z_0, r))}.$$

We turn to the proof of the proposition.

Proof. We normalize the Killing norm $\|\cdot\|$ on \mathfrak{p} such that $\|Z_0\| = 1$. Let $Z_\epsilon = (1 - \epsilon)Z_0$. We define various balls in \mathfrak{p} and $\mathfrak{p}_\mathbb{C}$:

$$\begin{aligned} B_1 &= \{U \in \mathfrak{p} \mid \|U - Z_\epsilon\| < \epsilon/2\}, \\ B_2 &= \{V \in \mathfrak{p} \mid \|V\| < \epsilon/2\}, \\ B &= \{Z = U + iV \mid U \in B_1, V \in B_2\}. \end{aligned}$$

If necessary we may replace ϵ by $c\epsilon$ for some positive constant in the definition of B_1 and henceforth assume that $B_1 \subset \pi\hat{\Omega}/2$. Then it is clear that $\exp(B_2)\exp(B_1).x_0 \subset \Xi$, but what about $\exp(B).x_0$? This is not clear, but after some controlled shrinking we are in good shape:

Lemma 11.10. *There exists $c > 0$ such that for all $0 < \epsilon < 1$*

$$\exp(B_2)\exp(iB_1).x_0 \supset \exp(\{Z = U + iV \in \mathfrak{p}_\mathbb{C} \mid \|Z - Z_\epsilon\| < c\epsilon/2\}).$$

Proof. We remark that $\pi\hat{\Omega}/2$ is compact and that

$$d\exp(iZ) : \mathfrak{p}_\mathbb{C} \rightarrow T_{\exp(iZ).x_0}X_\mathbb{C}$$

is invertible for all $Z \in \pi\hat{\Omega}/2$. In fact, the Jacobian of \exp at iZ is given by

$$|\det d\exp(iZ)| = \left| \prod_{\alpha \in \Sigma^+} \frac{\sinh \alpha(iZ)}{\alpha(iZ)} \right|.$$

The assertion follows from the implicit function theorem. \square

It is no loss of generality to assume that the constant c in the previous lemma is 1. At any rate the previous lemma combined with the Bergman estimate yields

$$(11.11) \quad |\phi(\exp(iZ_\epsilon).x_0)| \leq C \cdot \frac{1}{\epsilon^{\dim X}} \left(\int_{\exp(B_2)\exp(iB_1).x_0} |\phi(z)|^2 dz \right)^{\frac{1}{2}}$$

for a constant $C > 0$ and all functions $\phi \in \mathcal{O}(\Xi)$. Here, dz denotes the Haar measure on $X_\mathbb{C}$.

Next we set $B_{\mathfrak{a},1} = B_1 \cap \mathfrak{a}$ and note that

$$\text{Ad}(K)B_{\mathfrak{a},1} \supseteq B'_1.$$

with $B'_1 = \{U \in \mathfrak{p} \mid \|U - Z_\epsilon\| < c\epsilon/2\}$ for some constant $c > 0$. Again it is no loss of generality to assume that $B'_1 = B_1$. As a consequence we derive from (11.11) that

$$(11.12) \quad |\phi(\exp(iZ_\epsilon).x_0)| \leq C \cdot \frac{1}{\epsilon^{\dim X}} \left(\int_{\Gamma \backslash G \exp(iB_1).x_0} |\phi(z)|^2 dz \right)^{\frac{1}{2}}$$

for all Γ -invariant holomorphic functions ϕ on Ξ . Finally we use the integration formula [30], Prop. 4.6, and obtain with

$$J(Y) = \prod_{\alpha \in \Sigma^+} |\sin 2\alpha(Y)|^{m_\alpha} \quad (Y \in \mathfrak{a})$$

that

$$(11.13) \quad \int_{\Gamma \backslash G \exp(iB_1).x_0} |\phi(z)|^2 dz = \int_{\Gamma \backslash G} \int_{B_{\mathfrak{a},1}} |\phi(\Gamma g \exp(iY).x_0)|^2 \cdot J(Y) d(\Gamma g) dY$$

Notice that $J(Y) \leq 2\epsilon$ as at least one root is going to vanish on Z_ϵ for $\epsilon \rightarrow 0$. Thus after combining (11.12) and (11.13) we obtain for all $g \in G$ that

$$|f(g \exp(iZ_\epsilon).x_0)| \leq C \cdot \epsilon^{-\dim X + \frac{1}{2}(1+\text{rank} X)} \cdot \sup_{Y \in B_{\mathfrak{a},1}} \left(\int_{\Gamma \backslash G} |f(\Gamma g \exp(iY).x_0)|^2 dz \right)^{\frac{1}{2}}$$

for all Γ -invariant $f \in \mathcal{O}(\Xi)$. \square

11.3. Main estimates in final form

In this concluding subsection we put our previously obtained results together in order to obtain final version of our main estimates Corollary 11.5, Lemma 11.7 and Lemma 11.8. The main task is to obtain estimates for the quantities M_ϵ in (11.5), (11.7) and (11.9). We give details for the main case in (11.5), and confine ourselves with stating the analogous results for the remaining two cases. So we wish to control the behaviour of

$$M_\epsilon = \sup_{g \in G} \sup_{\substack{\beta \in \Sigma_\alpha \\ \alpha \in \Pi}} |f(\Gamma g \exp(i(1-\epsilon)c_\alpha E_\beta))|.$$

First we deduce from Lemma 3.3 that

$$(11.14) \quad M_\epsilon \leq \sup_{g \in G} \sup_{Y \in \partial\Omega} |f(\Gamma g \exp(i(1-2\sqrt{\epsilon})\pi Y/2))|.$$

Set

$$r^X := -\dim X + \frac{1}{2}\text{rank}X + \frac{1}{2}.$$

Then it follows from Proposition 11.9 and (11.14) that
(11.15)

$$M_\epsilon \leq C \cdot \epsilon^{r^X/2} \sup_{Y \in \partial\Omega} \cdot \left(\int_{\Gamma \backslash G} |f(\Gamma g \exp(i(1 - c\sqrt{\epsilon})\pi Y/2) \cdot x_0)|^2 d(\Gamma g) \right)^{\frac{1}{2}}$$

for constants $C, c > 0$ only depending on X . Assume that f corresponds to the spherical representation π_μ . Recall the exponents s^X and d^X from (7.4). Now, Theorem 7.3 applies and we arrive at

$$(11.16) \quad M_\epsilon \leq C(\mu) \cdot \epsilon^{r^X/2+s^X/4} |\log \epsilon|^{d^X/2}$$

for a constant $C = C(\mu)$ depending on μ and the geometry of X . If we specialise in Corollary 11.5 to $\epsilon = \min_{\alpha \in \Pi} a^{-\alpha}$ we get from (11.16) the following

Theorem 11.11. (Main Estimate) *Suppose that f is Maaß cusp form corresponding to π_μ . Then there exist a constant $C = C(\mu) > 0$ such that for all $a \in A^+$*

$$(11.17) \quad |f(\Gamma a)| \leq C \cdot \min_{\alpha \in \Pi} e^{-\frac{2\pi\alpha^\alpha c_\alpha}{r_{\alpha, \Gamma}}} \cdot \max_{\alpha \in \Pi} a^{-\alpha(r^X/2+s^X/4)} \cdot |\alpha(\log a)|^{d^X/2}.$$

In similar manner we obtain a more concrete version of Lemma 11.7:

Theorem 11.12. (Main Estimate refined – the Hermitian case) *Suppose that Ξ is a Hermitian symmetric space and f is a Maaß cusp form on X corresponding to π_μ . Then, with the notation of Subsection 8.2.2, there exists a constant $C = C(\mu) > 0$ such that for all $t_i \geq 0$*

$$(11.18) \quad |f(\Gamma \exp(\sum_{j=1}^n t_j T_j))| \leq C \cdot e^{-\frac{2\pi(\sum_{j=1}^n t_j)}{r_\Gamma}} \cdot \left(\sum_{j=1}^n t_j \right)^{-r^X/2-s^X/4}.$$

Finally we state a new version of Lemma 11.8 (for which one also needs to employ the estimate in Proposition 8.18) :

Theorem 11.13. (Main Estimate refined – Whittaker functionals for the special linear group) *Let $G = \text{Sl}(n, \mathbb{R})$ and f be a Maaß cusp form on X corresponding to π_μ . Then there exists a constant $C = C(\mu)$ such*

that for all $a = \text{diag}(a_1, \dots, a_n) \in A^+$

$$|W(f, \chi)(a)| \leq C \cdot e^{-\frac{2\pi}{r\Gamma} (\sum_{j=1}^{n-1} |m_j| \cdot \frac{a_j}{a_{j+1}})} \cdot \left(\sum_{j=1}^{n-1} |m_j| \cdot \frac{a_j}{a_{j+1}} \right)^{-r^X - s^X/2} \\ \cdot \left| \log \left(\sum_{j=1}^{n-1} |m_j| \cdot \frac{a_j}{a_{j+1}} \right) \right|.$$

Remark 11.14. (Some generalizations of the Main Estimates) *Let F either denote a Maaß cusp form f or a Whittaker function $W(f, \chi)$ in case $G = \text{Sl}(n, \mathbb{R})$. The general form of our estimates in Theorems 11.11, 11.12, 11.13, then is*

$$(11.19) \quad (\forall a \in A^+) \quad |F(\Gamma a)| \leq C e^{-\phi(a)} \cdot P(a)$$

where $C > 0$ is a constant only depending on the representation π_μ associated to F ,

$$\phi(a) = \sum_{\alpha \in \Sigma^+} c_\alpha a^\alpha \quad (c_\alpha \geq 0)$$

is a "positive" linear functional and $P(a)$ is a polynomial in the variables $a^\alpha, \alpha(\log a)$ with $\alpha \in \Sigma^+$.

(a) (Extension to a Siegel domain) *We restricted ourselves to estimates on A^+ . However, for certain applications in number theory one needs estimates which are uniform on a Siegel domain*

$$\mathfrak{S}_t = \omega A_t K \quad (t > 1)$$

where $\omega \subset N$ is a fixed compact and $A_t = \{a \in A \mid a^\alpha > t \ \forall \alpha \in \Pi\}$. The version of (11.19) on the whole Siegel domain \mathfrak{S}_t is

$$(11.20) \quad (\forall n \in \omega)(\forall a \in A_t) \quad |F(\Gamma na)| \leq C_t e^{-c_t \phi(a)} \cdot P(a)$$

where $c_t, C_t > 0$ are such that $c_t \rightarrow 1^-$ for $t \rightarrow \infty$. Let us explain how this is derived from (11.19). For the proof of (11.19) we use certain subsets $\Lambda_0 \subset \Lambda$ and applied the fact that F extends to a function on $\Gamma \exp(i \text{Ad}(a)\Lambda_0)a \cdot x_0$; recall, the precise rate of exponential decay was directly linked to the geometry of Λ_0 . If one wants estimates on \mathfrak{S}_t one needs to bring in ω -variables, i.e. we look for maximal subsets $\Lambda_t \subset \Lambda_0$ such that F extends to $\Gamma \exp(i \text{Ad}(a)\Lambda_t)\omega a \cdot x_0$. This is equivalent to the requirement of

$$\exp(i\Lambda_t)a\omega a^{-1} \cdot x_0 \subset \Xi$$

Now $a\omega a^{-1}$ shrinks to $\{\mathbf{1}\}$ for $t \rightarrow \infty$, meaning $\bigcup_{t>0} \Lambda_t = \Lambda_0$.

(b) (Extension to other K -types) *We only considered Maaß cusp forms, i.e. cusp forms associated to a trivial K -type. However, extension to other K -types $\sigma \in \hat{K}$ is straightforward. The result then is*

$$(11.21) \quad (\forall nak \in \mathfrak{S}_t) \quad |F(\Gamma nak)| \leq C_t e^{-c_t \phi(a)} \cdot P_\sigma(a)$$

with $C_t = C_t(\mu, \sigma)$ and $P = P_\sigma$ now depending on σ . The explanation is given in item (c) below.

(c) (Extension to non-spherical representations) *So far we only considered spherical representations $\pi = \pi_\mu$. But estimate (11.21) remains true for an arbitrary irreducible unitary representation π and arbitrary K -types σ . Here is the reason. We can embed π into a principal series representation induced off a minimal parabolic (subrepresentation theorem). One uses the fact that the smooth structures are unique (Casselman-Wallach). Now for a principal series one can look at the corresponding Eisenstein integrals for the K -types (Harish-Chandra) and everything boils down to estimate the spherical function and the sup-norm of a holomorphically extended K -type. The details can be found in the proof of [29], Th. 3.1.*

Remark 11.15. (Quality of the estimates) *The rate of exponential decay given in above three Theorems are sharp. We provide some evidence in the section below. Concerning the polynomial part, one could likely replace r^X by zero. However that would require to prove Conjecture C in [29]; something which is out of reach with currently available techniques.*

Remark 11.16. (Applications to automorphic forms)

(a) (L -functions) *For various reasons one wants to know whether certain automorphic L -functions are meromorphic of finite order. For instance this information is required if one wants to exhibit zero-free regions (in the spirit of de la Vallée Poussin) for those L -functions. We refer to [15], [16], [17] for results in this direction. We wish to point out that our estimates imply that all L -functions with appropriate integral representations are of finite order.*

(b) (Voronoi summation) *Recently Voronoi summation was established for $\mathrm{Gl}(3, \mathbb{R})$, cf. [37]. Shortly after it was extended to $\mathrm{Gl}(n, \mathbb{R})$ in [20]. The key fact in [20] were estimates which are easily implied (and considerably sharpened) by ours on exponential decay.*

12. Final Remarks

12.1. Estimates on Whittaker functionals for $\mathrm{Gl}(n)$ are sharp

We show that the rate of exponential decay for Whittaker functionals for $G = \mathrm{Gl}(n, \mathbb{R})$ proved in Theorem 11.13 is optimal.

To begin with we recall the Whittaker expansion of Piatetski-Shapiro and Shalika for a cuspidal Maaß form of the group $\mathrm{Gl}(n, \mathbb{R})$. For simplicity let us restrict ourselves to the case $n = 3$. Our arithmetic subgroup of choice will be $\Gamma = \mathrm{Gl}(3, \mathbb{Z})$. Let us define subgroups of Γ by

$$\Gamma^2 = \begin{pmatrix} \mathrm{Gl}(2, \mathbb{Z}) & 0 \\ 0 & \mathbf{1} \end{pmatrix} \quad \text{and} \quad \Gamma_N^2 = \Gamma^2 \cap N.$$

In the sequel we use the notation introduced in Subsection 11.1. For χ corresponding to $\mathbf{m} = (1, 1)$ and f a Maaß cusp form we set $W(f) = W(f, \chi)$. For $n_1, n_2 \in \mathbb{N}$ we put

$$W_{n_1, n_2}(f)(z) = W(f) \left(\begin{pmatrix} n_1 n_2 & & \\ & n_1 & \\ & & 1 \end{pmatrix} z \right)$$

where $z \in X = \mathrm{Gl}(3, \mathbb{R})/\mathrm{O}(3, \mathbb{R})$. The Whittaker expansion of f reads as

$$f(z) = \sum_{\gamma \in \Gamma_N^2 \backslash \Gamma^2} \sum_{n_1, n_2 \in \mathbb{N}} \frac{a_{n_1, n_2}}{n_1 n_2} \cdot W_{n_1, n_2}(f)(z)$$

for complex coefficients a_{n_1, n_2} , [45], Th. 5.9. We normalize f such that $a_{1,1} = 1$ and draw our attention to the main result in [7], (10.1), which gives a formula for the Mellin transform of $W(f)$:

(12.1)

$$\begin{aligned} & \int_0^\infty \int_0^\infty W \left(\begin{pmatrix} y_1 y_2 & & \\ & y_1 & \\ & & 1 \end{pmatrix} \right) \cdot y_1^{s_1-1} \cdot y_2^{s_2-1} \frac{dy_1}{y_1} \frac{dy_2}{y_2} = \\ & = \frac{1}{4} \pi^{-s_1-s_2} \cdot \frac{\Gamma\left(\frac{s_1+\alpha}{2}\right) \Gamma\left(\frac{s_1+\beta}{2}\right) \Gamma\left(\frac{s_1+\gamma}{2}\right) \cdot \Gamma\left(\frac{s_2-\alpha}{2}\right) \Gamma\left(\frac{s_2-\beta}{2}\right) \Gamma\left(\frac{s_2-\gamma}{2}\right)}{\Gamma\left(\frac{s_1+s_2}{2}\right)}. \end{aligned}$$

Here s_1, s_2 are sufficiently large real numbers and $\alpha, \beta, \gamma = -\alpha - \beta$ are complex numbers related to the parameter of the principal series representation associated to f (see [7], p. 161). We perform a Stirling approximation of the right hand side (RHS) of (12.1) and obtain

$$(RHS)(s_1, s_2) \sim \frac{1}{4} \pi^{-s_1-s_2} \cdot \sqrt{2\pi}^{-5} \cdot e^{-(s_1+s_2)} \cdot \frac{\left(\frac{s_1}{2}\right)^{3\left(\frac{s_1}{2}-\frac{1}{2}\right)} \left(\frac{s_2}{2}\right)^{3\left(\frac{s_2}{2}-\frac{1}{2}\right)}}{\left(\frac{s_1+s_2}{2}\right)^{\left(\frac{s_1+s_2}{2}-\frac{1}{2}\right)}}.$$

We specialice to $s_1 = s_2 = s$ and get the simpler expression

$$(12.2) \quad (RHS)(s, s) \sim \frac{1}{2} (2\pi)^{-2s+5/2} \cdot e^{-2s} \cdot \frac{s^{2s-1/2}}{2^s}.$$

Similarly, if we keep one variable fixed to be zero we get

$$(12.3) \quad (RHS)(s, 0) = RHS(0, s) \sim C(2\pi)^{-s} \cdot e^{-s} \cdot s^{s-1}.$$

We wish to compare these asymptotics with what we obtain by applying the estimate for $W(f)$ from Theorem 11.13 With $N > 3$ we get

$$(12.4) \quad \left| W(f) \begin{pmatrix} y_1 y_2 & & & \\ & y_1 & & \\ & & & 1 \end{pmatrix} \right| \leq C(1 + y_1^N + y_2^N) e^{-2\pi(y_1+y_2)}.$$

We insert the estimate (12.4) into the left hand side (LHS) of 12.1 and arrive at the inequality

$$(12.5) \quad LHS(s, s) \leq C(2\pi)^{-2s} e^{-2s} (s + N - 1)^{2s+2N-3}$$

and likewise

$$(12.6) \quad LHS(s, 0) \leq C(2\pi)^{-s} e^{-s} (s + N - 1)^{s+N-3/2}.$$

Conjecturally we could even take any $N > 0$ (cf. Remark 11.15). In any case, if we compare (12.6) with (12.3) we see that the exponential decay for the Whittaker functional established in Theorem 11.13 is optimal. In fact, any better exponential decay rater would lead to decrease of $(2\pi)^{-2s}$ to $(2\pi + a)^{-2s}$ for some $a > 0$ on the right of (12.6); this would contradict the asymptotics in (12.3).

12.2. Automorphic holomorphic triple products

We introduce a holomorphic version of triple products and raise some natural questions. The setting here is: G a semisimple noncompact Lie group and $\Gamma < G$ a cocompact lattice. For three automorphic forms ϕ_1, ϕ_2, ϕ_3 one $\Gamma \backslash G$ one forms the automorphic triple product, or automorphic trilinear functional in the terminolgy of J. Bernstein and A. Reznikov,

$$\ell_{\text{aut}}(\phi_1, \phi_2, \phi_3) = \int_{\Gamma \backslash G} \phi_1(\Gamma g) \phi_2(\Gamma g) \phi_3(\Gamma g) d(\Gamma g).$$

Assume now that the ϕ_i are Maaß forms so that the integral defining ℓ_{aut} is effectively over the locally symmetric space $\Gamma \backslash X$. From the general theory we know that the ϕ_i extend to holomorphic functions $\tilde{\phi}_i$ on the local crown domain $\Gamma \backslash \Xi$. For the moment we restrict ourselves to the basic case of $G = \text{Sl}(2, \mathbb{R})$ with comments on the general situation thereafter.

We form the *holomorphic automorphic triple product* by

$$\ell_{\text{aut}}^{\text{hol}}(\phi_1, \phi_2, \phi_3) = \int_{\Gamma \backslash \Xi} \tilde{\phi}_1(\Gamma z) \tilde{\phi}_2(\Gamma z) \tilde{\phi}_3(\Gamma z) d(\Gamma z)$$

where $d(\Gamma z)$ is the measure on $\Gamma \backslash \Xi$ induced from the Haar measure on $X_{\mathbb{C}}$. That $\ell_{\text{aut}}^{\text{hol}}$ is actually defined is content of the next lemma.

Lemma 12.1. *Let $G = \text{Sl}(2, \mathbb{R})$ and $\Gamma < G$ be a cocompact lattice. Let ϕ_1, ϕ_2, ϕ_3 be Maaß automorphic forms. Then the intergral defining $\ell_{\text{aut}}^{\text{hol}}$ converges absolutely.*

Proof. In view of [29], Th. 5.1 and Th. 6.17, there exists a constant $C > 0$ such that we have for all $Y \in \Omega$

$$\sup_{g \in G} |\tilde{\phi}_i(\Gamma g \exp(i\pi Y/2).x_0)| \leq C |\log \cos \alpha(\pi Y/2)|$$

Thus in view of the polar decomposition of the measure Ξ (see [30], Prop. 4.6), we get

$$|\ell_{\text{aut}}^{\text{hol}}(\phi_1, \phi_2, \phi_3)| \leq C^3 \int_0^1 |\log \cos \alpha(\pi Y/2)|^3 \cdot \sin \alpha(\pi Y) dY < \infty$$

and this proves the lemma. \square

Problem 12.2. *Determine the relation between ℓ_{aut} and $\ell_{\text{aut}}^{\text{hol}}$ and explain its significance.*

Remark 12.3. *For a general semisimple Lie group the integrals defining $\ell_{\text{aut}}^{\text{hol}}$ are not absolutely convergent. However, we have some freedom in the choice of the G -invariant measure on Ξ . Under the parametrization map $p : G/M \times \Omega^+ \rightarrow \Xi$ the pull back of the Haar measure dz on Ξ is given by*

$$p^*(dz) = d(gM) \times J(Z)dZ$$

with $J(Z) = \prod_{\alpha \in \Sigma^+} [\sin \alpha(\pi Z)]^{m_\alpha}$ (see [30], Prop. 4.6). For example if we replace J by sufficiently high power J^k , then $\ell_{\text{aut}}^{\text{hol}}$ is defined with

regard to this measure. It is possible to carry out the details using the results obtained in this article.

12.3. Exponential decay of automorphic triple products

With the methods of analytic continuation one can prove exponential decay of automorphic triple products (see [44] and [3] for the first results). To be a more precise, consider a compact locally symmetric space $\Gamma \backslash X$ and fix a Maaß form ϕ . Then for a Maaß form ϕ_π corresponding to an automorphic representation π there is interest in finding the precise exponential decay of

$$|\ell_{\text{aut}}(\phi, \phi_\pi, \overline{\phi_\pi})|$$

in terms of the parameter $\lambda(\pi)$ of π . This was first determined by Sarnak for $G = \text{Sl}(2, \mathbb{C})$ [44] and then by Petridis [43] and Bernstein-Reznikov [3] for $G = \text{Sl}(2, \mathbb{R})$. Optimal bounds for all rank one groups were established in [29]. For higher rank groups, such as $\text{Sl}(n, \mathbb{R})$, partial results were obtained in [29]. These bounds however fail to be optimal in general. The results in this paper combined with the methods of [3] and [29] allow to establish non-trivial (although) non-optimal bounds for the exponential decay of automorphic triple products.

13. Appendix: Leading exponents of holomorphically extended elementary spherical functions

In this appendix we prove Theorem 7.2 and the table of Theorem 7.9 for the asymptotic behaviour of norm of the holomorphic extension of the orbit map $G/K \ni gK \rightarrow \pi(g)v$ of a spherical vector $v \in \mathcal{H}$ in an irreducible spherical representation (H, π) of G when the argument approaches the distinguished boundary of the crown domain Ξ . The key property equation (7.1) translates this to the problem of finding the asymptotic behaviour of certain solutions of a system of differential equations when approaching the singular locus of the system. In the theory of ordinary Fuchsian differential equations this boils down to the study of characteristic exponents at its singular points of \mathbb{P}^1 and their relation to the monodromy of the system. A beautiful application (closely related to our problem in fact, via (5.9)) of this classical theory is the study of the asymptotic behaviour of certain classes of oscillatory integrals via the monodromy of the Gauß-Manin connection of the Milnor fibration of the phase function [35].

In the case of “Fuchsian systems” of differential equations in several complex variables we first need to develop some fundamental facts on exponents and their first properties. In the case of a regular holonomic \mathcal{D} -module of the form \mathcal{D}/\mathcal{J} on \mathbb{C}^n which is \mathcal{O} -coherent on the complement of a *hyperplane arrangement* in \mathbb{C}^n we propose a definition of the set of exponents of local solutions of the system of equations $D\phi = 0$, $\forall D \in \mathcal{J}$ at any point $\eta \in \mathbb{C}^n$. This translates our original problem to that of determining the set of exponents of a special solution to Harish-Chandra’s radial system of differential equations on $A_C.x_0$ (namely the holomorphic extension of the restriction of the elementary spherical function to $A.x_0$) at the extremal boundary points $t(\eta)^2.x_0$ of T_Ω .

What turns this into a successful method is the fact that there exists a well behaved parameter deformation of Harish-Chandra’s radial system of differential equations for which we have rather explicit knowledge of the monodromy representation of its solutions *for generic parameters*. This deformation is the hypergeometric system of differential equations [22],[24],[42]. Its monodromy factors through an affine Hecke algebra, thus bringing the representation theory of affine Hecke algebras into play. In the spirit of the study of the Bessel function equations [40] this leads to the description of the set of all exponents of the hypergeometric system at $t(\eta)^2.x_0$. Using that and the relation between exponents and monodromy (which we will carefully establish below) we can compute the leading exponents of the holomorphically extended hypergeometric function at the points $t(\eta)^2.x_0$.

Specialization of the parameters then leads to the desired lower bounds for the leading exponents of holomorphically extended elementary spherical functions on a Riemannian symmetric space X , leading to the proof of Theorem 7.2 and Theorem 7.9.

One remarkable phenomenon that comes out of these considerations is that the leading exponent of the hypergeometric function at an extremal point $t(\eta)^2.x_0$ is related to a *leading character* σ_η of the isotropy group $W_\eta^a \subset W$ of $t(\eta)^2.x_0$ which depends only on the geometry of Ω locally at the extremal point η , but not on the multiplicity m (if m is real and satisfies certain inequalities which hold for the multiplicity functions of Riemannian symmetric spaces).

13.1. Exponents and hyperplane arrangements

In this subsection we propose a definition of exponents of local Nilsson class functions [4, Chapter 6.4] on the complement of a hyperplane arrangement of \mathbb{C}^n at points $\eta \in \mathbb{C}^n$. The main results are that the

exponents at η are invariant for local monodromy at η and the relation between exponents and monodromy.

Let $\eta \in \mathbb{C}^n$ and let ϕ be a local Nilsson class function at η . By this we mean a multivalued holomorphic function ϕ on the complement $N \setminus Y := N^{\text{reg}}$ of an analytic hypersurface $Y \subset \mathbb{C}^n$ inside a small open ball $N \subset \mathbb{C}^n$ centered at η such that

LN1: ϕ has finite determination order in N^{reg} .

LN2: The pull back of any branch of ϕ via any holomorphic map $j : \mathbb{D} \rightarrow N$ with the property that $j^{-1}(Y) \subset \{0\}$ has moderate growth at $0 \in \mathbb{D}$.

Suppose that j as in LN2 is an embedding such that $j(0) = \eta$. Then the pull back of (any branch of) ϕ via j has a singular expansion at $\epsilon = 0$ (where ϵ denotes the standard coordinate in the unit disk \mathbb{D}) of the form

$$(13.1) \quad \phi(j(\epsilon)) = \sum_{s,l} \epsilon^s \log^l(\epsilon) f_{s,l}(\epsilon),$$

a sum over a finite set of pairs (s, l) with $s \in \mathbb{C}$ and $l \in \mathbb{Z}_{\geq 0}$, such that for each pair (s, l) in this sum the function $f_{s,l}(\epsilon)$ is holomorphic on \mathbb{D}^\times with at most a pole at $\epsilon = 0$. This expansion is obviously not unique, and even if one tries to make it unique by imposing additional requirements one will find that the set S which enters in (13.2) will in general depend on the chosen embedding j and of the chosen branch of ϕ (with respect to local monodromy in N^{reg}) in an essential way. In order to define exponents of ϕ at η we assume from now on the following.

AR: For sufficiently small N we may take $Y = Y^\eta$ to be a linear hyperplane arrangement centered at η .

We call a holomorphic map $i : \mathbb{D}^n \rightarrow N$ a standard coordinate map if

- (i) $i(0, z) = \eta$ for all $z \in \mathbb{D}^{n-1}$.
- (ii) $i(\mathbb{D}^\times \times \mathbb{D}^{n-1}) \subset N^{\text{reg}}$.
- (iii) The lift of the map $i : \mathbb{D}^\times \times \mathbb{D}^{n-1} \rightarrow N^{\text{reg}}$ to the blow-up $X_\eta \rightarrow \mathbb{C}^n$ of N at the point η extends to a coordinate map $i : \mathbb{D}^n \rightarrow X_\eta$ such that $i(\mathbb{D}^n) \cap Z = \emptyset$, where Z denotes the strict transform of $Y \cap N$.

Let i be a standard coordinate map. Choose a base point $p = i(P) \in i(\mathbb{D}^\times \times \mathbb{D}^{n-1})$ and fix a germ ϕ_p of a branch of ϕ at p . Let $C \subset \mathbb{D}^\times$ be a cut disk (the complement in \mathbb{D} of a ray emerging from 0) such that $P \in C \times \mathbb{D}^{n-1}$. The pull back of ϕ_p via i to $P \in C \times \mathbb{D}^{n-1}$ is the germ of a Nilsson class function on $\mathbb{D}^\times \times \mathbb{D}^{n-1}$. Hence we have the following standard result [4, Proposition 4.4.2]:

Proposition 13.1. *There exists a finite set S of pairs (s, l) with $s \in \mathbb{C}$ and $l \in \mathbb{Z}_{\geq 0}$ such that the unique analytic continuation of $i^*(\phi_p)$ to $C \times \mathbb{D}^{n-1}$ admits an expansion of the form*

$$(13.2) \quad \phi_p(i(\epsilon, z')) = \sum_{(s,l) \in S} \epsilon^s \log^l(\epsilon) f_{s,l}(\epsilon, z'),$$

where each $f_{s,l}$ extends meromorphically to $\mathbb{D}^\times \times \mathbb{D}^{n-1}$.

As before this expansion is not unique for obvious reasons but we can rearrange (13.2) in such a way that

- (a) all $f_{s,l}$ extend holomorphically on \mathbb{D}^n ,
- (b) if the pairs (s, l) and (s', l') occur in (13.2) then $s - s'$ is not equal to a nonzero integer, and
- (c) if the pair (s, l) occurs in (13.2) then there exists an $l' \in \mathbb{Z}_{\geq 0}$ such that $f_{s,l'}(0, \cdot) \neq 0$.

That makes the expansion unique.

Definition 13.2. *Let ϕ be a local Nilsson class function at $\eta \in \mathbb{C}^n$ and let $i : \mathbb{D}^\times \times \mathbb{D}^{n-1} \rightarrow N^{\text{reg}}$ be a standard coordinate map. Choose a base point p in the image of i , and choose a germ ϕ_p of a branch of ϕ at p . We define the finite set $E^{\eta, i, p}(\phi_p) \subset \mathbb{C} \cup \{\infty\}$ of exponents of ϕ_p at η as the projection of the finite set $S \subset \mathbb{C} \times \mathbb{Z}_{\geq 0}$ defined above to the first component if $\phi \neq 0$. We put $E^{\eta, i, p}(0) = \{\infty\}$.*

Proposition 13.3. *The set $E^{\eta, i, p}(\phi_p)$ is independent of the choice of i (satisfying the requirements (i), (ii) and (iii) above) and is independent of analytic continuation of ϕ_p within N^{reg} . Hence we may speak about the set of exponents $E^\eta(\phi)$ without referring to a specific branch of ϕ and coordinate map i . If $w : N \rightarrow N$ is a linear automorphism of the hyperplane arrangement Y^η then $E^\eta(\phi^w) = E^\eta(\phi)$.*

Proof. By equation (13.2) it is clear that $E^{\eta, i, p}(\phi_p)$ is independent of analytic continuation of ϕ_p along paths inside $i(\mathbb{D}^\times \times \mathbb{D}^{n-1})$. Suppose that i, i' both satisfy the requirements above, and suppose that $i(\{0\} \times \mathbb{D}^{n-1}) \cap i'(\{0\} \times \mathbb{D}^{n-1}) \neq \emptyset$. Let $V \subset i(\{0\} \times \mathbb{D}^{n-1}) \cap i'(\{0\} \times \mathbb{D}^{n-1}) \subset E$ be a connected contractible open set (where E denotes the exceptional divisor). By the properties of i and i' we have $(i')^{-1}(i(\epsilon, z')) = (\epsilon', w')$ with $\epsilon'(\epsilon, z') = \epsilon h(\epsilon, z')$ where h is holomorphic and nonzero on $i^{-1}(V)$. If we plug this in the expansion (13.2) we see that the exponents defined by i and by i' are equal if we use branches of ϕ on the image of i and on the image of i' which are related by analytic continuation via the connected component of the intersection of the images of i and i' which contains V . By AR we see that that any path in N^{reg} is homotopic to a path which is contained in a finite union of coordinate patches of the

form $i(\mathbb{D}^\times \times \mathbb{D}^{n-1})$. With the above this shows at once that the set of exponents does not depend on the choice of the coordinate map i and is independent for analytic continuation of ϕ_p within N^{reg} . For the last assertion we remark that $i_w = w \circ i$ is also a standard coordinate map, hence $E^\eta(\phi^w) = E^{\eta, i_w, w(p)}((\phi^w)^{w(p)}) = E^{\eta, i, p}(\phi_p) = E^\eta(\phi)$. \square

What lies behind this notion of exponents is the well known “decone construction” on a central hyperplane arrangement. This elementary construction implies that if Y^η is nonempty then N^{reg} is isomorphic to a product

$$(13.3) \quad N^{\text{reg}} \simeq \mathbb{D}^\times \times E^{\text{reg}}$$

Indeed, the restriction of the Hopf fibration $p : \mathbb{C}^n \setminus \{0\} \rightarrow E = \mathbb{P}(\mathbb{C}^n)$ to the complement of one of the hyperplanes H of Y is a trivial fibration since $E \setminus \mathbb{P}(H) \simeq \mathbb{C}^{n-1}$ is contractible. Hence the further restriction of this fibration to N^{reg} is a fortiori trivial. Thus we have a decomposition

$$(13.4) \quad \pi_1(N^{\text{reg}}, p) \simeq \mathbb{Z} \times \pi_1(E^{\text{reg}}, [p])$$

Now let $\mathcal{L} \subset \mathcal{O}(N^{\text{reg}})$ be a local system of finite rank r of germs of Nilsson class function on N^{reg} . We remark that, as a result of LN1, the germs of any local Nilsson class function ϕ on N^{reg} are contained in such a local system.

Definition 13.4. *We denote by T_p^η the monodromy map on $\mathcal{L}_p \simeq \mathbb{C}^r$ which corresponds to analytic continuation along the loop $\gamma_p^\eta : t \rightarrow \exp(2i\pi t)p$. Observe that $[\gamma_p^\eta]$ is a generator of \mathbb{Z} in (13.4).*

In view of (13.4) we may use T_p^η to split the sheaf \mathcal{L} as a direct sum

$$(13.5) \quad \mathcal{L} = \bigoplus_{t \in \mathbb{C}^\times} \mathcal{L}^\eta(t)$$

of generalized eigensheaves of T^η . In view of (13.2) it is clear that if $\phi \neq 0$ then $\phi \in \mathcal{L}_p^\eta(t)$ iff $E^\eta(\phi) = \{s\}$ for some exponent $s \in \mathbb{C}$ such that $t = \exp(2i\pi s)$.

Given $s \in \mathbb{C} \cup \{\infty\}$ we define a subsheaf $F_s^\eta(\mathcal{L}) \subset \mathcal{L}^\eta(\exp(2i\pi s))$ of \mathcal{L} by setting for each $p \in N^{\text{reg}}$:

$$(13.6) \quad F_s^\eta(\mathcal{L})_p = \{\phi \in \mathcal{L}_p \mid E^\eta(\phi) = \{\kappa\} \text{ with } \kappa - s \in \mathbb{Z}_{\geq 0} \cup \{\infty\}\}$$

One checks easily that this is a linear subspace of \mathcal{L}_p . By Proposition 13.3 it is invariant for the parallel transport in the local system \mathcal{L} , hence it defines a subsheaf. Moreover, these subsheaves of \mathcal{L} are invariant for the action of the group of automorphisms of \mathcal{L} which are induced by linear automorphisms of the arrangement Y^η . For each $t \in \mathbb{C}^\times$ the

subsheaves $F_s^\eta(\mathcal{L}) \subset \mathcal{L}$ with $s \in \mathbb{C}$ such that $\exp(2i\pi s) = t$ define a descending filtration

$$(13.7) \quad \cdots \supset F_s^\eta(\mathcal{L}) \supset F_{s+1}^\eta(\mathcal{L}) \supset \cdots$$

of the direct summand $\mathcal{L}^\eta(t)$ of \mathcal{L} .

Definition 13.5. We define a local system $\text{Gr}^\eta(\mathcal{L})$ by

$$(13.8) \quad \text{Gr}^\eta(\mathcal{L}) = \bigoplus_{s \in \mathbb{C}} \text{Gr}_s^\eta(\mathcal{L}), \quad \text{with } \text{Gr}_s^\eta(\mathcal{L}) = F_s^\eta(\mathcal{L})/F_{s+1}^\eta(\mathcal{L})$$

For each $s \in \mathbb{C}$ we define the multiplicity $\text{mult}^\eta(\mathcal{L}, s)$ of s as an exponent at η of the system of \mathcal{L} by

$$(13.9) \quad \text{mult}^\eta(\mathcal{L}, s) = \dim(\text{Gr}_s^\eta(\mathcal{L}))$$

Definition 13.6. The (multi-)set $E^\eta \subset \mathbb{C}$ of exponents of \mathcal{L} at η are the complex numbers $s \in \mathbb{C}$ such that $\text{mult}^\eta(\mathcal{L}, s) > 0$.

Corollary 13.7. The (multi-)set $\exp(2i\pi E^\eta) \subset \mathbb{C}^\times$ is the generalized eigenvalue spectrum of T^η acting on \mathcal{L} .

Example 13.8. Consider for $\mu \in \mathfrak{a}_\mathbb{C}^*$ the sheaf \mathcal{L} of local solutions of the set of equations

$$(13.10) \quad \partial(p)\phi = p(\mu)\phi, \quad \forall p \in \mathbb{C}[\mathfrak{a}_\mathbb{C}^*]^W$$

and let $\eta \in \mathfrak{a}_\mathbb{C}$ be any point. Any local solution ϕ is holomorphic at η and is completely determined by its harmonic derivatives $\partial(q)(\phi)(\eta)$ at η . Hence the set of exponents of \mathcal{L} at η is independent of η and μ , and is equal to the set $0, 1, \dots, |\Sigma_+^l|$ where $\text{mult}^\eta(\mathcal{L}, s) = \dim \text{Harm}_s(W)$, the dimension of the space of W -harmonic polynomials of homogeneous degree s .

Example 13.9. Consider the sheaf \mathcal{L} of local solutions of (7.8). Suppose that $\eta \in \mathfrak{a}_\mathbb{C}^{\text{reg}}$ is a regular point. Again a local solution ϕ of (7.8) near η is holomorphic at η and is completely determined by its harmonic derivatives $\partial(q)(\phi)(\eta)$ at η . Hence the answer is the same as in the previous example.

Example 13.10. Let \mathcal{L} be as in the previous example, but now we take $\eta = i\pi\omega_j/k_j$ as in subsection 2.2. The exponents of \mathcal{L} at η are equal to $-|\Sigma_{\eta,+}^a|, \dots, |\Sigma_+^l| - |\Sigma_{\eta,+}^a|$, and if ϕ_μ denotes the holomorphic extension to $\mathfrak{a} + i\pi\Omega$ of the spherical function, then for generic μ we have $E^\eta(\phi_\mu) = \{|\Sigma_{\eta,+}| - |\Sigma_{\eta,+}^a|\}$ (see Proposition 7.8).

13.2. Harish-Chandra's radial system of differential equations

In this subsection we describe the system of differential equation we are mainly interested in, the radial differential equations for an elementary spherical functions ϕ_μ^X on a Riemannian symmetric space $X = G/K$ restricted to a maximal flat, totally geodesic subspace $A_X = A.x_0 \subset X$.

The elementary spherical function ϕ_μ^X (with $\mu \in \mathfrak{a}_\mathbb{C}^*$) on $X = G/K$ is a K -invariant solution of the G -invariant system of differential equations

$$(13.11) \quad (\Delta - \gamma_X(\Delta)(\mu))\phi = 0 \quad \forall \Delta \in \mathbf{D}(X)$$

where $\mathbf{D}(X)$ denotes the ring of G -invariant differential operators on X , and where $\gamma_X : \mathbf{D}(X) \rightarrow \mathbb{C}[\mathfrak{a}^*]^W$ is the Harish-Chandra isomorphism.

By separation of variables we see that the restriction of ϕ_μ^X to A_X is a W -invariant solution of the system of differential equations

$$(13.12) \quad (D - \gamma_X(D)(\mu))\phi = 0 \quad \forall D \in \mathcal{R}_X$$

on A_X or on its complexification $A_{X,\mathbb{C}} = A_\mathbb{C}.x_0$, where $\mathcal{R}_X \simeq \mathbf{D}(X)$ is the algebra of radial parts of the operators $\Delta \in \mathbf{D}(X)$. Notice that we use the same notation γ_X for the Harish-Chandra isomorphism defined on \mathcal{R}_X .

Let $T_X = T.x_0 \subset A_{X,\mathbb{C}}$ be the compact form of $A_{X,\mathbb{C}}$. It is a maximal flat totally geodesic subspace of a compact dual symmetric space U/K (which is by our choices simply connected). The restrictions to T_X of the zonal spherical functions of U/K are W -invariant simultaneous eigenfunctions of \mathcal{R}_X . Since these zonal polynomials constitute a linear basis of the space of W -invariant Laurent polynomials on $A_{X,\mathbb{C}} = A_\mathbb{C}/F$ this implies that the operators in \mathcal{R}_X descend to *polynomial* differential operators on the complex affine quotient space $W \backslash A_\mathbb{C}/F$.

13.3. The hypergeometric system of differential equations

In this subsection we describe a parameter deformation of the Harish-Chandra system (13.12) of differential equations that we will use to study properties of solutions of (13.12). This parameter family of systems of differential equations is called the system of hypergeometric equations associated with root systems. As was explained, this deformation is an essential ingredient for the computation of the leading exponents (7.2) of the spherical functions at extremal points of T_Ω .

We need to introduce some notations. Let Σ be a (not necessarily reduced) irreducible root system in \mathfrak{a}^* . We consider indeterminates \mathbf{m}_α which are labeled by the W -orbits of the roots $\alpha \in \Sigma$ (in other

words, $\mathbf{m}_\alpha = \mathbf{m}_\beta$ if $W\alpha = W\beta$). Let $\mathbb{C}[\mathbf{m}_\alpha]$ be the complex polynomial algebra over these indeterminates \mathbf{m}_α . If X is a Riemannian symmetric space with restricted root system isomorphic to Σ then $m_\alpha^X \in \mathbb{N}$ denote the root multiplicities of X .

The following result is one of the cornerstones of the theory of hypergeometric functions for root systems.

Theorem 13.11 ([38], [23]). *Let \mathbb{A} denote the Weyl algebra of polynomial differential operators on the complex affine space $W \backslash A_{\mathbb{C}}/F \simeq \mathbb{C}^n$ with coefficients in the polynomial ring $\mathbb{C}[\mathbf{m}_\alpha]$. There exists a unique subalgebra $\mathcal{R} \subset \mathbb{C}[\mathbf{m}_\alpha] \otimes \mathbb{A}$ with the following properties:*

(1) *The algebra \mathcal{R} is isomorphic to the polynomial ring $\mathbb{C}[\mathbf{m}_\alpha][\mathfrak{a}_{\mathbb{C}}^*]^W$ via a Harish-Chandra isomorphism γ of algebras. This isomorphism γ has the characterizing property that any element $D \in \mathcal{R}$ is asymptotically equal to the constant coefficient operator $\gamma(D)(\cdot - \rho(\mathbf{m}))$ on $A_{\mathbb{C}}$ (viewed as an element of the symmetric algebra on $\mathfrak{a}_{\mathbb{C}}$) along regular directions towards infinity in A_+ .*

(2) *If we specialize \mathbf{m} at the multiplicity function m^X for a Riemannian symmetric space $X = G/K$ with restricted root system $\Sigma_X \subset \mathfrak{a}^*$ such that $\Sigma^l = \Sigma_X^l$, such that $A_{\mathbb{C}}$ is the maximal torus of $G_{\mathbb{C}}$, then \mathcal{R} specializes to \mathcal{R}_X and γ to the Harish-Chandra isomorphism γ_X .*

It is remarkable that the theory of Dunkl operators provides a proof of this theorem which is both elementary and simple [23].

Proposition 13.12 ([22],[42], Remark 6.10). *Let \mathbb{D}^{reg} be the ring of algebraic differential operators on the affine variety $A_{\mathbb{C}}^{\text{reg}}/F$. For each multiplicity parameter $m = (m_\alpha)$ and $\mu \in \mathfrak{a}_{\mathbb{C}}^*$ let $\mathcal{I}_{m,\mu} \subset \mathbb{D}^{\text{reg}}$ denote the W -invariant left ideal*

$$(13.13) \quad \mathcal{I}_{m,\mu} := \sum_{D \in \mathcal{R}_m} \mathbb{D}^{\text{reg}}(D - \gamma_m(D)(\mu))$$

Here \mathcal{R}_m is the specialization of \mathcal{R} at m , and γ_m the corresponding Harish-Chandra homomorphism.

Consider the \mathbb{D}^{reg} -module $\mathcal{M}_{\mu,m} = \mathbb{D}^{\text{reg}}/\mathcal{I}_{m,\mu}$ on $A_{\mathbb{C}}^{\text{reg}}/F$. Then in the terminology of Chapter IV, section 7 of [5], $\mathcal{M}_{\mu,m}$ is an algebraic connection on $A_{\mathbb{C}}^{\text{reg}}/F = A_{\mathbb{C}}/F - \{\delta = 0\}$ of rank $|W|$ which is regular. Moreover $\mathcal{M}_{\mu,m}$ is W -equivariant.

Proof. The elements of \mathcal{R}_m are algebraic and the coefficients are known to be regular on $A_{\mathbb{C}}^{\text{reg}}/F$ (the simplest way to see this is to use Dunkl-Cherednik operators [23], [41]). It is known that $\mathcal{M}_{\mu,m}$ is $\mathcal{O}(A_{\mathbb{C}}^{\text{reg}}/F)$ -free of rank $|W|$ by [22], and it is clear that $\mathcal{M}_{\mu,m}$ is W -equivariant. It remains to prove the regularity.

The elements of \mathcal{R}_m descend to the regular part of the adjoint torus $A_{\mathbb{C}}^{\text{adj,reg}}/F$ with character lattice $Q = \mathbb{Z}\Sigma \subset \mathfrak{a}^*$. We view this as an open subset of the toric completion of $A_{\mathbb{C}}^{\text{adj}}/F$ associated with the decomposition of \mathfrak{a}^* in Weyl chambers. This is a projective variety. It clearly suffices to prove the regularity on $A_{\mathbb{C}}^{\text{adj,reg}}/F$.

On $A_{\mathbb{C}}^{\text{adj,reg}}/F$ one can explicitly rewrite the module $\mathcal{M}_{\mu,m}$ as a connection of rank $|W|$ with logarithmic singularities at infinity (see [22]). According to [22] the connection matrix depends polynomially on the parameters μ and m . It remains to show that the connection is also regular singular at the components of the discriminant locus $\delta = 0$. Since the connection depends polynomially on the parameters μ, m it is easy to see that the set of parameters μ, m for which the connection is regular singular is a Zariski-closed set. If $m = 0$ the system is trivially regular singular. If μ is sufficiently generic then the theory of shift operators gives equivalences between the modules $\mathcal{M}_{\mu,m}$ and $\mathcal{M}_{\mu,m'}$ if $m - m'$ belong to the ‘‘lattice of integral shifts’’ (see e.g. [38] or [24]) in the space of multiplicity parameters. The result follows. \square

Remark 13.13. *The element $u = 1 \in \mathcal{M}_{\mu,m}$ is a cyclic vector. Via u the complex vector space of D -module homomorphisms of $\mathcal{M}_{\mu,m}$ to \mathcal{O}_p correspond to the space $\mathcal{L}_p(\mu, m)$ of solutions in \mathcal{O}_p of the W -invariant system of differential equations*

$$(13.14) \quad (D - \gamma_m(D)(\mu))\phi = 0 \quad \forall D \in \mathcal{R}_m$$

on $A_{\mathbb{C}}^{\text{reg}}/F$.

Corollary 13.14. *The local system $\mathcal{L}(\mu, m)$ of germs of solutions of (13.14) is a local system of germs of Nilsson class functions on $\mathfrak{a}_{\mathbb{C}}^{\text{reg}}$. Hence the results of Subsection 13.1 are applicable to $\mathcal{L}(\mu, m)$.*

Proof. By [4, Proposition 4.6.6] it is sufficient to check the moderate growth conditions for solutions of (13.14) on the dense open set of subregular points of $\delta = 0$. Since we can rewrite the system (13.14) as a meromorphic connection on $\mathfrak{a}_{\mathbb{C}}^{\text{reg}}$ which is regular singular along $\delta = 0$ according to Proposition 13.12 this follows from [5, Remark (5.9)] (see also [10]). \square

Let X be a Riemannian symmetric space with maximal flat geodesic subspace $A.x_0$. The holomorphic extension of the restriction to $A.x_0$ of the spherical function ϕ_{μ}^X to $AT_{\Omega}^2.x_0$ is a holomorphic W -invariant solution of (13.12) on $AT_{\Omega}^2.x_0$. This function is the specialization of a holomorphic family (in the parameter m) of solutions of (13.14) by virtue of the following theorem:

Theorem 13.15. ([22],[24],[42]) *There exists an $\epsilon > 0$ such that for all multiplicity parameters $m \in \mathcal{Q}(-\epsilon)$, the space of multiplicity parameters such that $\operatorname{Re}(m_\alpha) \geq -\epsilon \forall \alpha \in \Sigma$, the hypergeometric system (13.14) has a unique solution $\phi_{\mu,m}$, the hypergeometric function, which extends to a W -invariant and holomorphic function on $AT_\Omega^2.x_0$. The function $(t, \mu, m) \rightarrow \phi_{\mu,m}(t)$ is holomorphic on $(AT_\Omega^2.x_0) \times \mathcal{Q}(-\epsilon) \times \mathfrak{a}_\mathbb{C}^*$.*

Recall the covering map $\pi : \mathfrak{a}_\mathbb{C} \rightarrow A_\mathbb{C}/F \simeq A_\mathbb{C}.x_0$ of (7.11) which is given by the exponential map $\pi(X) = \exp(\pi i X)F$. Via this map we will lift the differential equations (13.14) to $\mathfrak{a}_\mathbb{C}^{\text{reg}}$ and work on $\mathfrak{a}_\mathbb{C}$ rather than $A_\mathbb{C}/F$. On this space the system of differential equations (13.14) is invariant for the action of the affine Weyl group $W^a = W \ltimes Q^\vee$. In particular, we will work on the tube domain $i\mathfrak{a} + \Omega \subset \mathfrak{a}_\mathbb{C}$ instead of $AT_\Omega^2/F \subset A_\mathbb{C}/F$ (recall that the logarithm is well defined on AT_Ω^2). It is well known [22] that the spherical system of eigenfunction equations can be cast in the form of an integrable connection on $\mathfrak{a}_\mathbb{C}$ with singularities along the collection of affine hyperplanes $\alpha(H) \in \mathbb{Z}$ (not $\in \pi i\mathbb{Z}$ as in [22], since we have multiplied everything by $(\pi i)^{-1}$).

13.4. The indicial equation

We will show in this subsection that the exponents of the hypergeometric equations (13.14) at $\eta \in \mathfrak{a}_\mathbb{C}$ coincide with the eigenvalues of the residue matrix of a specially chosen integrable connection with simple poles which is equivalent to (13.14). The characteristic equation of the residue matrix has coefficients which are polynomials in the parameters m_α . This equation is called the indicial equation of (13.14) at η .

Let us first construct an explicit standard coordinate map i as used in the definition of the set of exponents. Consider a parametrized line $x \rightarrow \eta + xV_1$ through η , where V_1 is small and chosen in such a way that this line is not contained in the union of the singular affine hyperplanes. We choose coordinates $(z_1 = \epsilon, z_2, \dots, z_n)$ (with $z_1 = \epsilon \in \mathbb{D}^\times$ and for $i > 1$: $z_i \in \mathbb{D}$), which we will often write as $z = (\epsilon, z') \in \mathbb{D}^\times \times \mathbb{D}^{n-1}$ with $z' = (z_2, \dots, z_n)$. First we choose V_2, \dots, V_n in \mathfrak{a} such that $\|V_i\|$ is small for all i , and such that (V_1, V_2, \dots, V_n) is a basis of the real vector space \mathfrak{a} . Then our coordinate map i is given by

$$(13.15) \quad i(\epsilon, z') = \eta + \epsilon(V_1 + \sum_{i \geq 2} z_i V_i) \in \mathfrak{a}.$$

If we lift this coordinate map to the blow-up of $\mathfrak{a}_\mathbb{C}$ at η then the coordinates can be naturally extended to the polydisk \mathbb{D}^n , and this is then a coordinate neighborhood of a regular point of the exceptional divisor E . The intersection of this neighbourhood with E is described

by the equation $z_1 = 0$. The complement of $z_1 = 0$ in \mathbb{D}^n is $\mathbb{D}^\times \times \mathbb{D}^{n-1}$, the ‘‘punctured polydisk’’. The Euler vector field \mathcal{E}^n is given in these coordinates by $z_1 \partial / \partial z_1 = \epsilon \partial / \partial \epsilon$.

Let p be a point in the punctured polydisk $\mathbb{D}^\times \times \mathbb{D}^{n-1}$ and let \mathcal{O}_p denote the ring of holomorphic germs at p . Consider a subspace U^* of dimension $|W|$ of the ring of holomorphic linear partial differential operators on $\mathbb{D}^\times \times \mathbb{D}^{n-1}$ such that at all points $p \in \mathbb{D}^\times \times \mathbb{D}^{n-1}$, the free \mathcal{O}_p -module $\mathcal{O}_p \otimes U^*$ is a complement for the left ideal $\mathcal{I}_{\mu,m}$. We require further that the elements of U^* commute with the Euler vector field \mathcal{E}^n (in other words, they are homogeneous of degree 0), and that $1 \in U^*$. Such linear subspaces U^* exist, for instance one could take as a basis $b_i = \epsilon^{\deg(q_i)} \partial(q_i)$, where q_i runs over a homogeneous basis of W -harmonic polynomials on $\mathfrak{a}_{\mathbb{C}}^*$ with $q_1 = 1$.

We rewrite the differential equations (13.14) (with $\mu \in \mathfrak{a}_{\mathbb{C}}^*$) in connection form with respect to the above basis $\{b_i\}$ and coordinates $\{z_i\}$. We define matrices $A_{\mu,m}^i \in \text{End}_{\mathcal{O}_p}(\mathcal{O}_p \otimes U)$ (where U denotes the dual of U^* , with dual basis b_i^*) which are characterized by the requirement that

$$(13.16) \quad \frac{\partial}{\partial z_i} \circ b_k \in \sum_j (A_{\mu,m}^i)_{jk}^{\text{tr}} b_j + \mathcal{I}_{\mu,m}.$$

As an \mathcal{O}_p -module, the cyclic D -module $(M_{\mu,m}, u)$ is equal to $\mathcal{O}_p \otimes U^* u$, with basis $\overline{b_i} = b_i \cdot u$. Then the desired (flat) connection form of (13.14) is defined on the free \mathcal{O}_p -module $\mathcal{O}_p \otimes U$ by

$$(13.17) \quad \frac{\partial \Phi}{\partial z_i} = A_{\mu,m}^i \Phi \quad (\Phi \in \mathcal{O}_p \otimes U).$$

By construction, if ϕ is a solution of (13.14) then

$$(13.18) \quad \Phi(\phi) := \sum_i b_i(\phi) b_i^*$$

is a solution vector of (13.17). Conversely, if Φ is a solution vector of (13.17) then the first coordinate $\phi = \langle b_1, \Phi \rangle$ is a solution of (13.14). Since the linear map $\phi \rightarrow \Phi = \sum_i b_i(\phi) b_i^*$ is clearly injective we see by a dimension count that these linear maps are inverse isomorphisms between the solution spaces of these two systems of differential equation.

Remark 13.16. *Since the local solution space of an integrable connection at a regular point p can be identified with the fiber of the underlying vector bundle at p , the above gives an isomorphism (depending on p) between the local solution space $\mathcal{L}_p(\mu, m)$ of (13.14) at p and the complex vector space U .*

We claim that the system (13.17) has simple singularities at $\epsilon = 0$. The basis vectors $b_i = \epsilon^{\deg(q_i)} \partial(q_i)$ have homogeneous degree zero and thus belong to the ring \mathcal{D}_0 of holomorphic differential operators on \mathbb{D}^n generated by vector fields tangent to $\epsilon = 0$ (i.e. by $\partial/\partial z_i$ with $i > 1$ and by $\epsilon \partial/\partial \epsilon$). Therefore our claim is easily implied by (also compare to [22, Proposition 3.2]):

Lemma 13.17. *Given $B \in \mathcal{D}_0$ there exists a unique section $u(B)_{\mu,m} = \sum_j u(B)_{\mu,m}^j b_j \in \mathcal{O}(\mathbb{D}^n) \otimes U^*$ such that*

$$(13.19) \quad B \in u(B)_{\mu,m} + \mathcal{I}_{\mu,m}$$

The map $\mathcal{D}_0 \ni B \rightarrow u(B)_{\mu,m}$ is an $\mathcal{O}(\mathbb{D}^n)$ -module morphism which depends polynomially on μ and m . For all $B \in \mathcal{D}_0$, $u(B)_{\mu,m}|_{\{0\} \times \mathbb{D}^{n-1}}$ is independent of μ .

Proof. We use induction on the order d of B . Using the well known theorem that $\mathbb{C}[\mathfrak{a}_{\mathbb{C}}^*]$ is the free $\mathbb{C}[\mathfrak{a}_{\mathbb{C}}^*]^W$ -module generated by the W -harmonic polynomials, we have a unique decomposition

$$(13.20) \quad B = \sum_{i,j} f_{i,j}(\epsilon, z') b_i \epsilon^{d_{i,j}} \partial(p_{i,j})$$

with $p_{i,j} \in \mathbb{C}[\mathfrak{a}_{\mathbb{C}}]^W$ a homogeneous polynomial of degree $d_{i,j}$ such that $d_{i,j} + \deg(b_i) \leq d$, and where $f_{i,j}(\epsilon, z')$ is holomorphic for all i, j . Now $\epsilon^{d_{i,j}} \partial(p_{i,j}) = \epsilon^{d_{i,j}} (D_{p_{i,j}} - \gamma(D_{p_{i,j}})(\mu))$ modulo lower order operators in \mathcal{D}_0 , where we have used the fact that for $p \in \mathbb{C}[\mathfrak{a}_{\mathbb{C}}]^W$ homogeneous, the lowest homogeneous part $h^\eta(D_p)$ at η of $D_p \in \mathcal{R}_m$ contains the highest order term $\partial(p)$ of D_p (see [38]). By the induction hypothesis we conclude the existence $u(B)_{\mu,m}$. Using the independence of the W -harmonic polynomials over the ring $\mathbb{C}[\mathfrak{a}_{\mathbb{C}}^*]^W$ and the induction hypothesis the uniqueness of $u(B)_{\mu,m}$ follows too. By induction and using the fact that the operators D_p depend polynomially on μ and m we conclude that $u(B)_{\mu,m}$ is holomorphic on \mathbb{D}^n and polynomial in μ and m . Since in the induction step μ only occurs via the terms of the form $\epsilon^{d_{i,j}} \gamma(D_{p_{i,j}})(\mu)$ we see that μ does not influence the evaluation at $\epsilon = 0$ of $u(B)_{\mu,m}$. \square

Let R_m be the residue matrix of $A_{\mu,m}^1$ at $z_1 = 0$. By the previous lemma R_m is independent of μ and is polynomial in m . As is well known (cf. [5], Chapter IV, section 4, or [10]) R_m is independent of the coordinate map i . Moreover, let us consider on $V = i(\{0\} \times \mathbb{D}^{n-1})$ the integrable connection defined by the restrictions $B_{\mu,m}^i := A_{\mu,m}^i|_V$ for

$i > 1$. Then the residue R_m is known to be flat for this integrable connection on V . In particular, its characteristic equation is independent of z' .

Theorem 13.18. *The exponents of (13.14) at η are the eigenvalues of the residue matrix R_m of $A_{\mu,m}^1$ at $z_1 = 0$. The characteristic polynomial of R_m is independent of μ and of z' and has polynomial coefficients in the m_α .*

Proof. By changing the basis of the trivial vector bundle (with fiber U) on $i(\mathbb{D}^n)$ by a suitable invertible matrix depending on z' only we may assume that $B_m^i = 0$ on V for all $i > 1$. We denote the finite dimensional complex vector space of sections spanned by this basis of flat sections \mathcal{U} . By the flatness of R_m for the restricted connection on V as above, R_m is constant in this new basis (i.e. independent of z'). Let s be an eigenvalue of R_m , and let v be a generalized R_m -eigenvector with eigenvalue s . Put $u(\epsilon) = \exp(\log(\epsilon)R_m)v = \epsilon^s \exp(\log(\epsilon)(R_m - s \text{Id}_U))v$, and observe that

$$(13.21) \quad q_0^{(s,v)}(\epsilon, z') := \exp(\log(\epsilon)(R_m - s \text{Id}_U))v$$

is a \mathcal{U} -valued polynomial in $\log(\epsilon)$. We denote the series expansion of $\epsilon A_{\mu,m}^1$ in ϵ with respect to a fixed basis of \mathcal{U} by

$$(13.22) \quad \epsilon A_{\mu,m}^1(\epsilon, z') = R_m + \sum_{k>1} \epsilon^k A_{\mu,m,k}^1(z')$$

with $A_{\mu,m,k}^1(z')$ holomorphic for $z' \in \mathbb{D}^{n-1}$. Now we use the following relative version of [48, Ch. IV, §24, Hilfssatz XI]: If $q_i(\epsilon, z')$ ($i < k$) are \mathcal{U} -valued polynomials in $\log(\epsilon)$ of degree $\leq N$ with coefficients in the ring of holomorphic functions on \mathbb{D}^{n-1} , then the equation

$$(13.23) \quad \epsilon \frac{\partial q_k}{\partial \epsilon} + ((s+k) \text{Id}_U - R_m)q_k = \sum_{i=0}^{k-1} A_{\mu,m,k-i}^1(z')q_i$$

has at least one solution q_k which is polynomial in $\log(\epsilon)$ and has coefficients in the ring of holomorphic functions in $z' \in \mathbb{D}^{n-1}$. The solution q_k is unique and has degree $\leq N$ if $(s+k)$ is not an eigenvalue of R_m . In general there exist several solutions q_k which are polynomial in $\log(\epsilon)$ and these solutions are all of degree $\leq N+r$ in $\log(\epsilon)$, where r is the maximal length of a Jordan block of R_m with eigenvalue $(s+k)$.

Given a set $\{q_k^{(s,v)}\}$ of solutions of the recurrence relations (13.23) (with $q_k^{(s,v)}$ polynomial in $\log(\epsilon)$ for all k , and $q_0^{(s,v)}$ given by (13.21)) there exists a convergent (but multivalued) series solution $\Phi^{(s,v)}$ of

(13.17) on $i(\mathbb{D}^\times \times \mathbb{D}^{n-1})$ of the form

$$(13.24) \quad \Phi^{(s,v)}(\epsilon, z') = \epsilon^s \sum_{k \geq 0} \epsilon^k q_k^{(s,v)}(\epsilon, z')$$

(see e.g. [48, Ch. IV, §24, XII]). Notice that the degree of $q_k^{(s,v)}(\epsilon, z')$ ($k \geq 0$) as a polynomial in $\log(\epsilon)$ with coefficients in the ring of holomorphic functions in $z' \in \mathbb{D}^{n-1}$ is uniformly bounded.

Such series expansion is not necessarily unique, but by choosing such a series solutions $\Phi^{(s,v)}$ for a set of pairs (s, v) where s runs through the set of eigenvalues of R_m and for each s , v runs through a basis of the generalized s -eigenspace of R_m then the collection of multivalued solutions $\Phi^{(s,v)}$ on $i(\mathbb{D}^\times \times \mathbb{D}^{n-1})$ constitutes a basis for the space of multivalued solutions of (13.17). On the other hand we have seen above that the flat sections on $i(\mathbb{D}^\times \times \mathbb{D}^{n-1})$ all are of the form $\Phi = \sum_i b_i(\phi) b_i^*$ where $\phi = \langle b_1, \Phi \rangle$ is a solution of (13.14). Hence the set of exponents of (13.14) must coincide with the set of eigenvalues of R_m , counted with multiplicity. \square

As a result of the above theorem the following definition makes sense.

Definition 13.19. *Let $R_{\mathbf{m}} = R_{\mathbf{m}}^\eta$ denote the $|W| \times |W|$ -matrix with coefficients in the ring $\mathbb{C}[\mathbf{m}_\alpha] \otimes \mathcal{O}(\mathbb{D}^{n-1})$ such that $R_m = R_m^\eta$ is the specialization of $R_{\mathbf{m}}^\eta$ at $\mathbf{m} = m$ (this matrix depends on the coordinate map i). We call the characteristic polynomial $I_{\mathbf{m}}^\eta \in \mathbb{C}[\mathbf{m}_\alpha][X]$ of $R_{\mathbf{m}}^\eta$ the “indicial polynomial” of (13.14) at η .*

Corollary 13.20. *The (multi-)set E^η of exponents of (13.14) at η is equal to the (multi-)set of roots of the indicial polynomial $I_{\mathbf{m}}^\eta$ of (13.14) at η .*

13.5. Hecke algebras and exponents

We now bring into play well known results on the monodromy of the system of hypergeometric differential equations. We have quite good control for generic parameters as a consequence of the main result, the fact that this representation of the affine braid group factors through an affine Hecke algebra. We apply these results to prove that the indicial polynomial I^η at η factors completely over the ring of rational polynomials in the indeterminates \mathbf{m}_α with roots that are affine linear functions in the \mathbf{m}_α with half integral coefficients.

By affine Weyl group symmetry we may assume without loss of generality that $\eta \in \overline{\Omega} \cap C$, the fundamental alcove. From now on we will make this assumption.

By Corollary 13.7 the generalized eigenvalue spectrum of $\mathcal{L}_p(\mu, m)$ under the action of T_p^η contains information on the set E^η of exponents of (13.14). Since by 13.4 T_p^η is certainly central in $\Pi_1(N^{\text{reg}}, p)$, the decomposition of $\mathcal{L}_p(\mu, m)$ in indecomposable blocks for the monodromy action of $\Pi_1(N^{\text{reg}}, p)$ on $\mathcal{L}_p(\mu, m)$ refines the decomposition in generalized T_p^η eigenspaces (by virtue of Schur's Lemma).

Therefore we now recall some fundamental facts on the monodromy representation of the fundamental group $\Pi_1(W^a \setminus \mathfrak{a}_{\mathbb{C}}^{\text{reg}}, p)$ (at a regular base point $p \in \mathfrak{a}_{\mathbb{C}}^{\text{reg}}$ in the fundamental alcove $\bar{\Omega} \cap C$) on the local solution space $\mathcal{L}_p = \mathcal{L}_p(\mu, m)$ of (13.14). By a well known result of Looijenga and Van der Lek ([33], also see [22], [24], [42]) the group $\Pi_1(W^a \setminus \mathfrak{a}_{\mathbb{C}}^{\text{reg}}, p)$ is isomorphic to the affine braid group B^a of $W^a = W \times Q(\Sigma^\vee)$, the affine Weyl group of the affine root system $\Sigma^a = \Sigma^l \times \mathbb{Z}$. In order to formulate the result we need to define an affine root multiplicity function m^a on the affine roots in Σ^a as follows. For the affine simple roots $a_0 = 1 - \theta, a_1 = \alpha_1, \dots, a_n = \alpha_n$ we define

$$(13.25) \quad \begin{aligned} m_{a_0}^a &= m_\theta \\ m_{a_i}^a &= m_{\alpha_i} + m_{\alpha_i/2} \end{aligned}$$

and then we extend this to Σ^a by W^a -invariance.

Theorem 13.21. (cf. [22], [24], [42]) *The monodromy action on the W^a -equivariant local system $\mathcal{L}_p(\mu, m)$ on $\mathfrak{a}_{\mathbb{C}}^{\text{reg}}$ factors through an affine Hecke algebra $H(W^a, q^a)$ in the following sense.*

Let q^a be the label function on the affine root system $\Sigma^a = \Sigma^l \times \mathbb{Z}$ defined by $q_b^a = \exp(-i\pi(m_b^a))$ for all $b \in \Sigma^a$. For the simple affine roots a_i we write $q_{a_i}^a := q_i^a$. The monodromy matrices $M_{\mu, m}(b_i)$ ($i = 0, \dots, n$) of the generators b_i of B^a satisfy $(M_{\mu, m}(b_i) - 1)(M_{\mu, m}(b_i) + q_i^a) = 0$. The monodromy representation $M_{\mu, m}$ of $\Pi_1(W^a \setminus \mathfrak{a}_{\mathbb{C}}^{\text{reg}}, p)$ depends analytically on the parameters m and μ .

Recall that W_η^a is the isotropy subgroup of η in W^a , which is a finite reflection group, and let Σ_η^a be the corresponding root system. There is a natural monomorphism $W_\eta^a \rightarrow W$ with image $\tilde{W}_\eta^a \subset W$. We put $N_\eta = [W : \tilde{W}_\eta^a]$ for the index of this subgroup.

Let us denote by $B_\eta^a \subset B^a$ the braid group of W_η^a , which we can identify, by Brieskorn's theorem on the fundamental group of the regular orbit space of a finite reflection group, with the fundamental group of the "local regular orbit space" at η , namely $\Pi_1(W_\eta^a \setminus N^{\text{reg}}, p)$.

Let m_η^a be the restriction of m^a to Σ_η^a , and let q_η^a be corresponding the corresponding root multiplicity function on Σ_η^a . Let \mathcal{Q} denote the

finite dimensional complex vector space of complex multiplicity functions m on (the possibly non-reduced) root system Σ . In a dense, open set $\mathcal{Q}_\eta^{\text{reg}} \subset \mathcal{Q}$ of values of the parameter m , the finite dimensional Hecke algebra $H(W_\eta^a, q_\eta^a)$ (with $q_\eta^a = q(m_\eta^a)$) is a semisimple algebra. If we assume that $m \in \mathcal{Q}_\eta^{\text{reg}}$ then, by Tits' deformation lemma, we can index its set of irreducible modules by \widehat{W}_η^a , the set of irreducible representations of W_η^a . Given $\tau \in \widehat{W}_\eta^a$ and $m \in \mathcal{Q}_\eta^{\text{reg}}$ we will write $\pi_\tau^\eta(q_\eta^a)$ for the corresponding irreducible $H(W_\eta^a, q_\eta^a)$ -module. Upon restriction of the monodromy action of B^a on $\mathcal{L}_p(\mu, m)$ to B_η^a we have:

Corollary 13.22. *Let $q = q(m)$ and $q_\eta^a = q(m_\eta^a)$ for $m \in \mathcal{Q}_\eta^{\text{reg}}$. The monodromy action of $\Pi_1(W_\eta^a \setminus N^{\text{reg}}, p)$ on $\mathcal{L}_p(\mu, m)$ factors through the semisimple finite type Hecke algebra $H(W_\eta^a, q_\eta^a)$, and the local solution space $\mathcal{L}_p(\mu, m)$ decomposes under this action in isotypical components*

$$(13.26) \quad \mathcal{L}_p(\mu, m) = \bigoplus_{\tau \in \widehat{W}_\eta^a} \mathcal{L}_p(\mu, m)(\tau)$$

such that for each $\tau \in \widehat{W}_\eta^a$, $\mathcal{L}_p(\mu, m)(\tau) \simeq K(\tau, m) \otimes \pi_\tau^\eta(q_\eta^a)$ with $\dim(K(\tau, m)) = N_\eta \deg_\tau$ (independent of $m \in \mathcal{Q}_\eta^{\text{reg}}$).

Proof. Using the rigidity of semisimple finite dimensional algebras (Tits' deformation lemma, [8], Proposition 10.11.4) the multiplicity of $\pi_\tau^\eta(q_\eta^a)$ is constant in $(\mu, m) \in \mathfrak{a}_\mathbb{C}^* \times \mathcal{Q}_\eta^{\text{reg}}$. We may therefore compute the multiplicity by evaluating at $(\mu, m) = (0, 0)$. Hence it is equal to the multiplicity of τ in the restriction of the regular representation of W to \widetilde{W}_η^a , which is $N_\eta \deg_\tau$. \square

The following topological observation due to Deligne [11] is crucial for our purpose:

Lemma 13.23. *Let $\beta_p^\eta \in B_\eta^a$ denote the local braid in $\Pi_1(W_\eta^a \setminus N^{\text{reg}}, p)$ which corresponds to a reduced expression of the longest element of W_η^a . Then $(\beta_p^\eta)^2 = [\gamma_p^\eta]$ (see Definition 13.4). In particular this element is central in B_η^a .*

Given $\tau \in \widehat{W}_\eta^a$ we denote by $p_{\eta, \tau}^i$ (with $i = 1, \dots, N_\eta \deg_\tau$) the embedding degrees of τ in the graded vector space of W -harmonic polynomials. We choose these W -harmonic embedding degrees so that $i \rightarrow p_{\eta, \tau}^i$ is a non-decreasing sequence. In particular, $p_{\eta, \tau}^1$ is the ‘‘harmonic birthday’’ of τ in the W -harmonic polynomials.

Theorem 13.24. *Let $\tau \in \widehat{W}_\eta^a$ and let $m \in \mathcal{Q}_\eta^{\text{reg}}$. The multiset $E^\eta(\tau, m)$ of exponents of $\mathcal{L}_p(\mu, m)(\tau)$ consists of the complex numbers*

$$(13.27) \quad s_{\eta, \tau}^i(m) = p_{\eta, \tau}^i - \frac{1}{2}c_{\eta, \tau}(m),$$

where i runs from 1 to $N_\eta \deg_\tau$, each $s_{\eta, \tau}^i(m)$ occurring with multiplicity \deg_τ . Here $c_{\eta, \tau}(m)$ is the affine linear function of the multiplicity parameters m_α with nonnegative integral coefficients defined by (cf. (13.25) for the definition of $m_{\eta, b}^a$):

$$(13.28) \quad c_{\eta, \tau}(m) = \sum_{b \in \Sigma_{\eta, +}^a} \left(1 - \frac{\chi_\tau(s_b)}{\deg_\tau}\right) m_{\eta, b}^a$$

Proof. Since T_p^η is the monodromy action of the (locally) central braid $(b_p^\eta)^2$ (by Lemma 13.23) we see that T_p^η acts trivially in the multiplicity space $K(\tau, m)$ and acts by scalar multiplication in the irreducible representation $\pi_\tau^\eta(q_\eta^a)$ of the Hecke algebra $H(W_\eta^a, q_\eta^a)$ by some scalar C . This C is an element of the ring of Laurent polynomial in the Hecke algebra labels $(q_{\eta, b}^a)^{1/2}$ (with $b \in \Sigma_\eta^a$) since this is the splitting ring of the Hecke algebra. By taking the determinant of T_p^η in $\pi_\tau^\eta(q_\eta^a)$ we find easily that $C^{\deg_\tau} = \exp(-i\pi \deg_\tau c_{\eta, \tau}(m))$. This implies that C is a root of 1 times a monomial in the $(q_{\eta, b}^a)^{\pm 1/2}$. For $(q_{\eta, b}^a)^{1/2} = 1$ we have $C = 1$, hence

$$(13.29) \quad C = \exp(-i\pi c(m))$$

Let \mathcal{N} denote the collection of functions ν on the set $\tau \in \widehat{W}_\eta^a$ which associate to each τ a finite multiset $\nu(\tau) = \{\nu_{\tau, j} \mid j = 1, \dots, N_\eta \deg_\tau^2\}$ of $N_\eta \deg_\tau^2$ integers $\nu_{\tau, j} \in \mathbb{Z}$. By Corollary 13.7 and Corollary 13.20 it follows that for each $m \in \mathcal{Q}_\eta^{\text{reg}}$ the set of roots of the indicial polynomial I_m^η is a multiset of the form $\rho_{\tau, \nu, j}(m) = \nu_{\tau, j} - 1/2c_{\eta, \tau}(m)$ for some $\nu \in \mathcal{N}$. For each $\nu \in \mathcal{N}$ the set $\mathcal{Q}(\nu) \subset \mathcal{Q}$ of multiplicity parameters $m \in \mathcal{Q}$ for which the multiset of roots of I_m^η is equal to the multiset $\{\rho_{\tau, \nu, j}(m)\}$ is Zariski-closed (since I_m^η is a polynomial in m , by Corollary 13.20). Moreover the union of these sets contains $\mathcal{Q}_\eta^{\text{reg}}$. Since \mathcal{N} is countable, Baire's category theorem implies that there must exist at least one $\nu_0 \in \mathcal{N}$ such that the interior (in the analytic topology) of $\mathcal{Q}(\nu_0)$ is nonempty, and hence such that $\mathcal{Q} = \mathcal{Q}(\nu_0)$.

In the situation $m \in \mathcal{Q}_\eta^{\text{reg}}$ we have the splitting in the isotypical components (13.26). It follows that the set $E^\eta(\tau, m)$ consists of the subset $\rho_{\tau, \nu_0, j}(m)$ ($j = 1, \dots, N_\eta \deg_\tau^2$) of roots of the indicial equation. Finally we need to determine $\nu_0(\tau)$. This is resolved by taking $m = 0 \in \mathcal{Q}_\eta^{\text{reg}}$ and comparing to Example 13.8, after making the additional

remark that the monodromy representation $\pi_\tau^\eta(q_\eta^a)$ is by definition equal to τ if $q_\eta^a = 1$. \square

Corollary 13.25. *For $m \in \mathcal{Q}_\eta^{\text{reg}}$ the action of T_p^η is semisimple. In particular, there are no logarithmic terms in the decomposition (13.2) if $m \in \mathcal{Q}_\eta^{\text{reg}}$ and if $\phi_p \in \mathcal{L}_p(\mu, m)$.*

So we conclude this subsection with the following remarkable result:

Corollary 13.26. *The indicial polynomial factorizes as*

$$(13.30) \quad I_m(X) = \prod_{\tau \in \widehat{W}_\eta^a} \prod_{i=1}^{N_\eta \deg_\tau} (X - s_{\eta, \tau}^i(m))^{\deg_\tau}$$

For $m \in \mathcal{Q}^{\text{reg}}$ this factorization is compatible with the decomposition of $\mathcal{L}_p(\mu, m)$ in blocks of the form $\mathcal{L}_p(\mu, m)(\tau)$ as in Corollary 13.22.

13.6. Computation of the leading exponents

Let $\phi_{\mu, m} \in \mathcal{L}_p(\mu, m)$ with $p \in \overline{\Omega} \cap C$ denote the hypergeometric function, the solution of (13.14) whose germ at points of the fundamental alcove $\overline{\Omega} \cap C$ we define by analytic continuation along a path in $\overline{\Omega} \cap C$ of the unique normalized W -invariant solution of (13.14) which extends holomorphically to a neighborhood of $0 \in \mathfrak{a}_\mathbb{C}$.

Corollary 13.27. *By definition, $\phi_{\mu, m}$ extends holomorphically over all finite walls, the walls of C , to a W -invariant function on Ω , the interior of WC . In particular, if $\eta \in \overline{\Omega} \cap C$ and $\theta(\eta) \neq 1$ then $E^\eta(\phi_{\mu, m}) = \{\kappa\}$ with $\kappa \in \mathbb{Z}_{\geq 0}$ (generically $\kappa = 0$, of course).*

We will be interested in this section in the case where $\eta = \omega_j/k_k$ as in Theorem 2.6. In this case we know that Σ_η^a is an irreducible root system. From the definition of $\phi_{\mu, m}$ we see that

Corollary 13.28. *Let $m \in \mathcal{Q}_\eta^{\text{reg}}$. Let $W_\eta \subset W_\eta^a$ be the maximal parabolic subgroup of W_η^a generated by the simple reflections s_i of W which fix η . Then $\phi_{\mu, m} \in \mathcal{L}_p(\mu, m)$ belongs to the subspace $\mathcal{L}_p^\eta(\mu, m)$ defined by*

$$(13.31) \quad \mathcal{L}_p^\eta(\mu, m) := \bigoplus_{\tau \in J_\eta} \mathcal{L}_p(\mu, m)(\tau)$$

where $J_\eta \subset \widehat{W}_\eta^a$ is the subset consisting of irreducible representations which occur in the induction of the trivial representation of W_η to W_η^a .

The above fact restricts the T_p^η -spectrum of $\phi_{\mu,m}$, and thus the set of exponents $E^\eta(\phi_{\mu,m})$, drastically for $m \in \mathcal{Q}^{\text{reg}}$. We assume from now on that m is real valued, which we denote by $m \in \mathcal{Q}(\mathbb{R})$. By Theorem 13.24 the multiset $E^\eta(\phi_{\mu,m})$ consists of real numbers now.

Definition 13.29. *Let $m \in \mathcal{Q}(\mathbb{R})$. We call the smallest element in the the multiset $E^\eta(\phi_{\mu,m})$ the leading exponent of $\phi_{\mu,m}$ at η . The irreducible characters $\tau \in J_\eta \subset W_\eta^a$ affording the leading exponent are called leading characters.*

Theorem 13.30. *If Σ_η^a is reduced and simply laced we denote the root multiplicity by $m = m_1 \geq 1$. In general m_1 denotes the root multiplicity of the longest roots. The multiplicity of half a long root is denoted by $m_{1/2} \geq 0$ (i.e. we consider C_n as the special case of BC_n where $m_{1/2} = 0$; since the geometry of Ω depends on Σ^l only this is allowed). Let $\eta \in \partial\Omega \cap C$ be an extremal boundary point of Ω and assume that $m \in \mathcal{Q}(\mathbb{R})$ is in the cone $\mathcal{C} \in \mathcal{Q}(\mathbb{R})$ defined by the inequalities*

$$(13.32) \quad 1 \leq m_1 \leq m_2$$

(these inequalities are obviously satisfied by the multiplicity function m^X of a Riemannian symmetric space X with restricted root system Σ_X such that $\Sigma_X^l = \Sigma^l$). The leading exponent $s_\eta(m)$ of $\phi_{\mu,m}$ at η satisfies

$$(13.33) \quad s_\eta(m) \geq s_{\eta,\tau}^1(m)$$

where

$$(13.34) \quad \tau = \sigma_\eta = \det_\eta^a \otimes J_{W_\eta^a}(\det_\eta)$$

Here \det_η^a is the determinant representation of W_η^a , and \det_η its restriction to W_η . Moreover, for generic $m \in \mathcal{C}$ the inequality (13.33) is an equality and σ_η is a leading character.

Proof. This is based on a case-by-case analysis. We first assume that $m \in \mathcal{Q}_\eta^{\text{reg}}(\mathbb{R})$ is regular. We compute in all cases the set J_η of irreducible components τ of the induction of the trivial representation of W_η to W_η^a (which is relatively easy, as W_η is a rather large subgroup of W_η^a). In the classical cases we use the Littlewood-Richardson rule, and in the exceptional cases we refer to the character tables in the computer algebra packet CHEVIE. We use below the notations for the irreducible characters as used in [8].

We have luck: if we consider for each $\pi \in J_\eta$ the smallest associated exponent $s_{\eta,\tau}^1(m)$ (using Theorem 13.24) we can simply check that these are indeed all greater than or equal to $s_{\eta,\tau}^1(m)$, where $\tau = \sigma_\eta$ and if $m \in \mathcal{C}$. Recall that $\sigma_\eta \in J_\eta$ was the term which gave the unique

leading exponent in the complex case (see Example 13.10, Proposition 7.8), which corresponds only to one interior point $m^{X^c} \in \mathcal{C}$ of \mathcal{C} . In any case, this surprising fact is enough to prove that for generic $m \in \mathcal{C}$ the value $s_{\eta, \tau}^1(m)$ really is the leading exponent of $\phi_{\mu, m}$ at η by the fact that $\phi_{\mu, m}$ is holomorphic in the parameter m (see Theorem 13.15).

Below will now show these claims in a case-by-case analysis:

Type A_{l-1} ($l \geq 3$): For $\Sigma = A_{l-1}$ all the nodes of the Dynkin diagram are minuscule and thus extremal according to Theorem 2.6. Let ω_j be the j -th node of the Dynkin diagram. By symmetry we may assume without loss of generality that $1 \leq j \leq l/2$. Recall that the irreducible characters χ_λ of S_l are parameterized by the partitions λ of l in such a way that $\chi_l = 1$ and $\chi_{1^l} = \epsilon$ (the determinant representation). We denote the i -th exponent corresponding to χ_λ by $\sigma_\lambda^i(m)$.

By the Littlewood-Richardson rule [34, Section I.9] we have

$$(13.35) \quad \text{Ind}_{S_j \times S_{l-j}}^{S_l} (\chi_j \times \chi_{(l-j)}) = \bigoplus_{0 \leq i \leq j} \chi_{(l-i, i)}$$

and we have that (see [8, Sections 11.2, 11.4]):

$$(13.36) \quad \sigma_{\omega_j} := \epsilon \otimes j_{S_{l-j} \times S_j}^{S_l} (\epsilon_{l-j} \times \epsilon_j) = \chi_{(l-j, j)}$$

Using Theorem 13.24 and standard facts on representations of S^l we find that:

$$(13.37) \quad s_{(l-i, i)}^1(m) = i(1 - (l+1-i)m/2)$$

Under the condition (13.32) (namely $m \geq 1$) we see that among the exponents $s_{(l-i, i)}(m)$ at ω_j (thus with $i \leq j$) indeed

$$(13.38) \quad s_{\omega_j}(m) := s_{(l-j, j)}^1(m) = j(1 - (l+1-j)m/2)$$

is the unique minimal one, unless l is even, $m = 1$ and $j = l/2$. In this last case the two components $(l/2, l/2)$ and $(l/2+1, l/2-1)$ of (13.35) both have the same exponent $l(l-2)/8$.

Type B_l ($l \geq 3$) ($\eta = \omega_1$): Recall that the irreducible characters $\chi_{(\lambda, \mu)}$ of B_l are parametrized by ordered pairs (λ, μ) of partitions of total weight l . Here $\chi_{(l, 0)} = 1$ and $\chi_{(0, 1^l)} = \epsilon$. We have (using the LR rule again for wreath products, see [34, I.Appendix B]):

$$(13.39) \quad \begin{aligned} & \text{Ind}_{B_{l-1}}^{B_l} (\chi_{(l-1, 0)}) \\ &= \text{Ind}_{B_{l-1} \times B_1}^{B_l} (\chi_{(l-1, 0)} \times \chi_{(1, 0)}) + \text{Ind}_{B_{l-1} \times B_1}^{B_l} (\chi_{(l-1, 0)} \times \chi_{(0, 1)}) = \\ &= \chi_{(l, 0)} + \chi_{(l-1, 1)} + \chi_{((l-1, 1), -)} \end{aligned}$$

and thus

$$(13.40) \quad J_{\omega_1} = \{\chi_{(l,0)}, \chi_{(l-1,1)}, \chi_{((l-1,1),-)}\}$$

From [8, Proposition 11.4.2] we find

$$(13.41) \quad \sigma_{\omega_1} := \epsilon_l \otimes J_{B_{l-1}}^{B_l}(\chi_{\epsilon_{l-1}}) = \epsilon_l \otimes \chi_{(1,1^{l-1})} = \chi_{(l-1,1)}$$

and the birthday of $\chi_{(1,1^{l-1})}$ is $|\Sigma(B_{l-1})_+| = (l-1)^2$.

Using Theorem 13.24 and standard results on representations of $W(B_l)$ (e.g. [8, Chapter 11]) we find

$$(13.42) \quad \begin{aligned} s_{(l-1,1)}^1(m_2, m_1) &= 1 - m_2 - (l-1)m_1 \\ s_{((l-1,1),-)}^1(m_2, m_1) &= 2 - lm_1 \end{aligned}$$

Under the condition (13.32) (i.e. if $1 \leq m_1 \leq m_2$) then we see that the first one is indeed always smaller than the second one.

Type $B_l(l \geq 3)$ ($\eta = \omega_l/2$): Not minuscule, with $W_\eta^a = W(D_l)$ and $W_\eta = S_l$, so this reduces to the minuscule case $D_l, \eta = \omega_l$ (with $m = m_1$) if $l \geq 4$, or to $A_3, \eta = \omega_1$ if $l = 3$.

Types $BC_l(l \geq 1)$ and $C_l(l \geq 2)$: We treat these cases together, since the geometry of Ω is the same.

We have one boundary orbit to consider, namely $\eta = \omega_l$, a minuscule case. We have $W_\eta^a = W(C_l)$ and $W_\eta = W(A_{l-1}) = S_l$, with root multiplicities $m_{a_0}^a = m_1$ for the long roots of C_l , and m_2 for the short roots of C_l .

In the construction of [8, Proposition 11.4.2] it is easy to see that the irreducible character $\chi_{(i,l-i)}$ of $W(C_l)$ is realized on the space of polynomials in $\mathbb{C}[x_1, \dots, x_n]$ by the action of $W(C_l)$ on the monomial $x_1 \dots x_{l-i}$ ($i = 0, \dots, l$). Hence this character contains the trivial character of S_l and has dimension $\text{binomial}(l, i)$, and has its birthday in degree $l - i$. By dimension count we find that

$$(13.43) \quad \text{Ind}_{S_l}^{W(C_l)}(\chi_l) = \bigoplus_{i=0}^l \chi_{(i,l-i)}$$

and so

$$(13.44) \quad J_{\omega_l} = \{\chi_{(i,l-i)}\}_{i=0}^l$$

We also see easily from the above realization that

$$(13.45) \quad s_{(i,l-i)}^1(m) = (l-i)(1 - m_1 - im_2)$$

The characters $\epsilon \otimes \chi_{(i,l-i)} = \chi_{(1^{l-i}, 1^i)}$ all contain the sign representation of S_l ($i = 0, \dots, l$); thus together they fill up (multiplicity free) the character of $W(C_l)$ induced from the sign representation of S_l . According to [8, Proposition 11.4.2] the birthday of $\chi_{(1^{l-i}, 1^i)}$ is in degree $|\Sigma(D_{l-1})_+| + |\Sigma(C_i)_+| = l(l-1) + i((2l-1) - 2i)$. We see that the

minimum is attained for $i = r$ if $l = 2r$ or if $l = 2r + 1$. Hence if $l = 2r$ we get

$$(13.46) \quad \sigma_{\omega_{2r}} = \chi_{(r,r)}$$

whereas in the case $l = 2r + 1$ we have

$$(13.47) \quad \sigma_{\omega_{2r+1}} = \chi_{(r,r+1)}$$

Case $l = 2r(r \geq 1)$ even: One checks that

$$(13.48) \quad s_{(i,2r-i)}^1(m) - s_{(r,r)}^1(m) = (r-i)((r-i)m_2 - m_1 + 1)$$

which is strictly positive on \mathcal{C} for $0 \leq i \leq 2r$ and $i \neq r$.

Case $l = 2r + 1(r \geq 0)$ odd: One checks that

$$(13.49) \quad s_{(i,2r-i+1)}^1(m) - s_{(r,r+1)}^1(m) = (r-i)((r-i+1)m_2 - m_1 + 1)$$

For $0 \leq i \leq 2r + 1$ and $i \neq r$ this is nonnegative on \mathcal{C} , and it is zero precisely when $m_1 = 1$ and $i = r + 1$. Observe that this is also true if $r = 0$.

Type $D_l(l \geq 4)$, $\eta = \omega_1$: This is a minuscule case. Recall that the irreducible characters $\chi_{(\lambda,\mu)}$ of D_l are parametrized by unordered pairs (λ, μ) of partitions of total weight l where $\lambda \neq \mu$, and characters $\chi'_{(\lambda,\lambda)}, \chi''_{(\lambda,\lambda)}$ if l is even (weight of λ is $l/2$). The character $\chi_{(\lambda,\mu)}$ is the restriction of the character of $W(B_l)$ with the same label (λ, μ) to $W(D_l)$. This restriction stays irreducible unless $\lambda = \mu$, in which case the character splits as a sum of two irreducible characters which we distinguish by ' and ". Thus $\chi_{(l,0)} = 1$ and $\chi_{(1^l,0)} = \epsilon$.

By restriction of (13.39) to $W(D_l)$ we find

$$(13.50) \quad J_{\omega_1} = \{\chi_{(l,0)}, \chi_{(l-1,1)}, \chi_{((l-1,1),-)}\}$$

From [8, Proposition 11.4.2] we find

$$(13.51) \quad \sigma_{\omega_1} := \epsilon_l \otimes j_{D_{l-1}}^{D_l}(\chi_{\epsilon_{l-1}}) = \epsilon_l \otimes \chi_{((2,1^{l-1}),-)} = \chi_{((l-1,1),-)}$$

where the birthday of $\chi_{((2,1^{l-1}),-)}$ is in $|\Sigma(D_{l-1})_+| = (l-1)(l-2)$.

Using Theorem 13.24 and standard results on representations of $W(D_l)$ (e.g. [8, Chapter 11]) we find (one should compare this to (13.42))

$$\begin{aligned} s_{(l-1,1)}^1(m) &= 1 - (l-1)m \\ s_{((l-1,1),-)}^1(m) &= 2 - lm \end{aligned}$$

Under the condition (13.32) (i.e. if $1 \leq m$) then we see indeed that the second one is smaller than the first one, except in the case $m = 1$ when they coincide.

Type $D_l(l \geq 4)$, $\eta = \omega_l$: This is minuscule too. For the computation of J_{ω_l} we recall the realizations for the characters $\chi_{(l-i,i)}$ as described

in the text above (13.43). We introduce an intertwining operator \mathcal{J} for the restriction of these representations to $W(D_l)$. If $\Omega \subset \{1, \dots, l\}$ we denote by x_Ω the product of the x_i with $i \in \Omega$. We now define $\mathcal{J}(x_\Omega) = x_{\Omega^c}$ and extend by linearity. Then \mathcal{J} is an intertwining isomorphism $\mathcal{J} : \pi_{(\alpha,\beta)}|_{W(D_l)} \rightarrow \pi_{(\beta,\alpha)}|_{W(D_l)}$, and if $\alpha = \beta$ then \mathcal{J} splits $\pi_{(\alpha,\alpha)}|_{W(D_l)}$ in $\pi'_{(\alpha,\alpha)}$ (the $+1$ -eigenspace of \mathcal{J}) and $\pi''_{(\alpha,\alpha)}$ (the -1 -eigenspace of \mathcal{J}). Thus $\pi'_{(\alpha,\alpha)}$ contains the S_l -spherical vector with this convention. Hence if $l = 2r$ then

$$(13.52) \quad J_{\omega_l} = \{\chi'_{(r,r)}\} \cup \{\chi_{(i,2r-i)}\}_{i=r+1}^{2r}$$

and if $l = 2r + 1$ then

$$(13.53) \quad J_{\omega_l} = \{\chi_{(i,2r-i+1)}\}_{i=r+1}^{2r+1}$$

As in the text below (13.43) we find that if $l = 2r + 1$ then

$$(13.54) \quad \sigma_{\omega_{2r+1}} = \chi_{(r+1,r)}$$

whereas if $l = 2r$ then

$$(13.55) \quad \sigma_{\omega_{2r}} = \chi'_{(r,r)}$$

In the odd case $l = 2r + 1$ we thus get the specialization of the result (13.43) for C_l at $m_1 = 0$, namely:

$$(13.56) \quad s_{\chi_{(2r+1-i,i)}}^1(m) = (2r + 1 - i)(1 - im)$$

but this time this has a unique minimal value among J_{ω_l} at $i = r + 1$ (which proves our claim in this case, in view of (13.55)). Hence $s_{\omega_{2r+1}} = r(1 - (r + 1)m)$.

In the even case we need to look more closely at our model for $\chi'_{(r,r)}$ first. The degree of this representation is $\text{binomial}(2r, r)/2 = \text{binomial}(2r - 1, r - 1)$. The dimension of the -1 eigenspace of a reflection is equal to $\text{binomial}(2r - 2, r - 1)/2 = \text{binomial}(2r - 3, r - 2)$. This leads to

$$(13.57) \quad s_{\chi'_{(r,r)}}^1(m) = r(1 - rm)$$

which is still the same answer as we had in C_{2r} when substituting $m_1 = 0$ (cf. (13.45)). Therefore this exponent indeed represents the unique minimal exponent among those associated with the characters in J_{ω_1} proving the claim in this case as well.

Type E_6 , $\eta = \omega_1$: This is minuscule. By the character tables in ‘‘CHEVIE’’ we find that

$$(13.58) \quad J_{\omega_1} = \{\chi_{1,0}, \chi_{6,1}, \chi_{20,2}\}$$

and

$$\begin{aligned} s_{6,1}^1(m) &= 1 - 6m \\ s_{20,2}^1(m) &= 2 - 9m \end{aligned}$$

The second one is the unique minimal exponent, and we check that $s_{20,2}^1(2) = -16 = |\Sigma(D_5)_+| - |\Sigma(E_6)_+|$. In view of Proposition 7.8 this proves the claims in this case.

Type E_7 , $\eta = \omega_7$: This is minuscule. By the character tables in ‘‘CHEVIE’’ we find that

$$(13.59) \quad J_{\omega_1} = \{\chi_{1,0}, \chi_{7,1}, \chi_{27,2}, \chi_{21,3}\}$$

and

$$\begin{aligned} s_{7,1}^1(m) &= 1 - 9m \\ s_{27,2}^1(m) &= 2 - 14m \\ s_{21,3}^1(m) &= 3 - 15m \end{aligned}$$

The last one is the unique minimal exponent, except when $m = 1$ when it coincides with the second one. We check that $s_{21,3}^1(2) = -27 = |\Sigma(E_6)_+| - |\Sigma(E_7)_+|$. In view of Proposition 7.8 this proves the claims.

Type E_7 , $\eta = \omega_2/2$: This is not minuscule, and reduces to the case $(A_7, \eta = \omega_1)$.

Type E_8 , $\eta = \omega_1/2$: This is not minuscule, and reduces to the case (D_8, ω_1) .

Type E_8 , $\eta = \omega_2/3$: This is not minuscule, and reduces to the case (A_8, ω_1) .

Type F_4 : This is not minuscule, and reduces to the case (B_4, ω_1) .

Type G_2 : This is not minuscule, and reduces to the case (A_2, ω_1) . \square

Corollary 13.31. *(of the proof of the previous Theorem) For $m \in \partial\mathcal{C}$ (the boundary of \mathcal{C} there are at most two inequivalent irreducibles $\tau, \pi \in J^\eta$ such that $s_{\eta,\tau}^1(m) = s_{\eta,\pi}^1(m)$). The cases where this occurs are indicated in the last column of the table of Theorem 7.9.*

Corollary 13.32. *Let $m \in \partial\mathcal{C}$ be such that there are two inequivalent irreducible representations $\tau, \pi \in J^\eta$ with coinciding exponents $s_{\eta,\tau}^1(m) = s_{\eta,\pi}^1(m)$. Then the term of (13.2) corresponding to the leading exponent $s_{\eta,\tau}^1(m)$ contains possibly a $\log(\epsilon)$ term of degree at most one. Otherwise the leading term in (13.2) has no logarithmic term.*

Proof. This is an easy consequence of Corollary 13.25 and the fact that $\phi_{\mu,m}$ is holomorphic in m . Indeed, suppose that an expression of the

form (with $s \in \mathbb{R} \setminus \{0\}$ fixed)

$$(13.60) \quad f(m, \epsilon) = a(m, \epsilon) + b(m, \epsilon)\epsilon^{sm}$$

is a local Nilsson class function of $(m, \epsilon) \in \mathbb{D} \times \mathbb{D}^\times$ with $a(m, \epsilon)$, $b(m, \epsilon)$ both holomorphic for $\epsilon \in \mathbb{D}$ for all fixed $m \in \mathbb{D}^\times$. Then analytic continuation around $\epsilon = 0$ implies that

$$(13.61) \quad f'(m, \epsilon) = a(m, \epsilon) + \exp(2i\pi sm)b(m, \epsilon)\epsilon^{sm}$$

is in the local Nilsson class on $\mathbb{D} \times \mathbb{D}^\times$ too. Hence a and b have poles in m of order at most 1 and their residues at $m = 0$ cancel. Now use $\log(\epsilon) = s^{-1} \lim_{m \rightarrow 0} (m^{-1}(\epsilon^{sm} - 1))$. \square

This finishes the proofs of Theorem 7.2 and Theorem 7.9.

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