

Polynomial invariants for 4-manifolds  
of type  $(1,n)$  and a calculation for  $S^2 \times S^2$

by

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Introduction

In this article we lay out a framework for some formulations of differential invariants for certain 4-manifolds and then calculate an example for the product manifold  $S^2 \times S^2$ . To be more precise, let  $X$  be a smooth compact simply-connected oriented 4-manifold with  $b_2^+(X) = 1$ . For integers  $k > 0$ , denote  $\mathcal{C}_X^k$  the set of connected components of the positive cone  $\Omega_X$  in  $H^2(X; \mathbb{R})$  after dividing by the system of walls  $\bigcup_{1 \leq \ell \leq k} W_\ell$ , where

$$W_\ell = \bigcup \{ \langle e \rangle^\perp \subset H^2(X; \mathbb{R}) \mid e \cdot e = -\ell ; e \in H^2(X; \mathbb{Z}) \} .$$

Write simply  $\mathcal{C}_X$  for  $\mathcal{C}_X^1$ . In [D3] Donaldson introduces a differential invariant

$$\Gamma_X : \mathcal{C}_X \longrightarrow H^2(X; \mathbb{Z})$$

using Yang-Mills moduli spaces associated to an  $SU(2)$ -bundle  $P \longrightarrow X$  with

$c_2(P) = 1$  . Here we work with a larger second Chern class  $c_2(P) = k \geq 2$  and define assignments

$$\Gamma_X^k : \mathcal{E}_X^k \longrightarrow \text{Sym}^{4k-3}(\mathbb{H}^2(X; \mathbb{Z}))$$

in the same spirit. This will be explained in § 1. We then apply this framework to the manifold  $S^2 \times S^2$  and determine  $\Gamma_{S^2 \times S^2}^2$  in § 2. In order to state the result, observe first

$$\mathbb{H}^2(S^2 \times S^2, \mathbb{R}) \simeq \{a_1 h_1 + a_2 h_2 \mid a_1, a_2 \in \mathbb{R}\}$$

is spanned by two (integral) generators  $h_1, h_2$  over  $\mathbb{R}$  while  $\mathcal{E}_{S^2 \times S^2}^2$  is a set consisting of regions

$$C_+ = \{a_1 > a_2 > 0\} , \quad C_- = \{a_2 > a_1 > 0\}$$

together with  $-C_+, -C_-$  as elements. 3

Theorem The assignment

$$\Gamma_{S^2 \times S^2}^2 : \mathcal{E}_{S^2 \times S^2}^2 \longrightarrow \text{Sym}^5(\mathbb{H}^2(S^2 \times S^2; \mathbb{Z}))$$

is given by

$$\Gamma_{S^2 \times S^2}^2(C_+) = h_1^5 - 5(h_1^4 h_2) + 10(h_1^3 h_2^2) \quad \text{and}$$

$$\Gamma_{S^2 \times S^2}^2(C_-) = h_2^5 - 5(h_1 h_2^4) + 10(h_1^2 h_2^3)$$

where  $(h_1^{\ell_1} h_2^{\ell_2})$  denotes the symmetrization of  $h_1^{\ell_1} h_2^{\ell_2}$  in  $(H^2(S^2 \times S^2; \mathbb{Z}))^{\otimes 5}$  for positive integers  $\ell_1, \ell_2$ .

This will be proved by arguments in algebraic geometry. I should emphasize the polynomials  $\Gamma_{S^2 \times S^2}^2(C_+)$ ,  $\Gamma_{S^2 \times S^2}^2(C_-)$  as described above are not Donaldson polynomials and quite on the contrary they reflect the construction of such polynomials depends upon the metrics as  $b_2^+(S^2 \times S^2) = 1$ . Moreover, in contrast to a result of [FMM], these two polynomials are not polynomials on the intersection form and the canonical class of a quadric surface  $Q$  realizing  $S^2 \times S^2$ .

In [K] one can find similar discussion for differential invariants concerning  $SO(3)$ -bundles. Other useful information relevant to our work can also be found in [FM1], [FM2].

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§ 1. The definition of  $\Gamma_X^k$

In this section we explain the construction of the polynomial  $\Gamma_X^k(C)$  in  $\text{Sym}^{4k-3}(H^2(X; \mathbb{Z}))$  associated to a chamber  $C \in \mathcal{C}_X^k$  in general. To begin with, let  $P$  be an  $SU(2)$ -bundle over  $X$  with  $c_2(P) = k$  and  $\mathcal{A}$  be the space of connections on  $P$ . The gauge group  $\mathcal{G} = \text{Aut } P$  acts on  $\mathcal{A}$  preserving anti-self-dual (ASD) connections and we denote by

$$M_k(m) = \{A \in \mathcal{A} \mid *_m F(A) = -F(A)\} / \mathcal{G}$$

the moduli space of ASD connections on  $P$  relative to a Riemannian metric  $m$  on  $X$ . In general  $M_k(m)$  is a smooth oriented manifold of (real) dimension  $8k-6$  assuming  $b_2^+(X) = 1$ . Associated to any given metric  $m$  on  $X$ , there is an  $L_2$ -normalized self-dual harmonic 2-form  $\omega_m$  which is unique up to a sign. A choice of  $\omega_m$  determines a standard orientation of  $M_k(m)$  and we write  $M_k(\omega_m)$  for  $M_k(m)$  with such an assigned orientation understood. In this convention  $M_k(-\omega_m)$  has the opposite orientation compared with  $M_k(\omega_m)$ .

Given any smooth oriented real surface  $\Sigma \subset X$  we can define a line bundle over  $\mathcal{A}$  by assigning to each connection  $A \in \mathcal{A}$  the complex line

$$\mathcal{L}_\Sigma(A) = \Lambda^{\max}(\ker \not\partial_{A|_\Sigma})^* \otimes \Lambda^{\max}(\text{coker } \not\partial_{A|_\Sigma})$$

where  $\not\partial_{A|_\Sigma}$  denotes the Dirac operator coupled with  $A|_\Sigma$ . If the metric  $m$  on  $X$  is

sufficiently general, such assignments factor through the gauge group action and descend to the manifold  $M_k(m)$  defining a line bundle  $\mathcal{L}_\Sigma \longrightarrow M_k(m)$  provided the surfaces  $\Sigma$  are suitably chosen. In this situation we consider the zero sets  $V_\Sigma \cap M_k(m)$  for certain transversal sections of  $\mathcal{L}_\Sigma$  and by working with  $4k-3$  such surfaces as a whole we obtain transversal intersections  $V_{\Sigma_1} \cap \dots \cap V_{\Sigma_{4k-3}} \cap M_k(m)$  consisting of points as elements. Apart from the (special) case  $k = 1$ , one can arrange such intersections to be compact assuming  $b_2^+(X) = 1$  (cf. [D5] lemma (3.1)). Indeed, this is the case should one work with sufficiently general metrics  $m$  on  $X$  so that all moduli spaces  $M_1(m), \dots, M_{k-1}(m)$  in addition to  $M_k(m)$  are smooth manifolds of formal dimension containing no  $U(1)$ -reduction. For this purpose one is to assume  $[\omega_m]$  lies in some chamber  $C \in \mathcal{C}_X^k$  in order that it does not meet the system of walls  $\bigcup_{1 \leq \ell \leq k} W_\ell$ . In such cases, we can define a symmetric multi-linear map

$$q_{k,X}(\omega_m) : \underbrace{H_2(X; \mathbb{Z}) \times \dots \times H_2(X; \mathbb{Z})}_{4k-3 \text{ times}} \longrightarrow \mathbb{Z}$$

using assignments

$$([\Sigma_1], \dots, [\Sigma_{4k-3}]) \longmapsto \text{the algebraic sum of a transversal intersection} \\ V_{\Sigma_1} \cap \dots \cap V_{\Sigma_{4k-3}} \cap M_k(\omega_m) .$$

Should we write  $\mu([\Sigma])$  for  $c_1(\mathcal{L}_\Sigma)$ , these intersection numbers are given by the natural pairings

$$\langle \mu([\Sigma_1]) \cup \dots \cup \mu([\Sigma_{4k-3}]), [M_k(\omega_m)] \rangle$$

and if we consider

$$q_{k,X}(\omega_m) = \langle \mu^{4k-3}, [M_k(\omega_m)] \rangle$$

an element in  $\text{Sym}^{4k-3}(H^2(X; \mathbb{Z}))$  this construction gives an assignment

$$\begin{array}{ccc} \Gamma_X^k : \mathcal{C}_X^k & \longrightarrow & \text{Sym}^{4k-3}(H^2(X; \mathbb{Z})) \\ C & \longmapsto & q_{k,X}(\omega_m) \end{array}$$

assuming  $[\omega_m] \in C$ . In this way we obtain the definition of the assignment  $\Gamma_X^k$ .

This discussion provides a way of defining differential invariants for  $X$  but for a complete definition one is to describe the (universal) difference between  $\Gamma_X^k(C_1), \Gamma_X^k(C_2)$  for two different chambers  $C_1, C_2 \in \mathcal{C}_X^k$ . Despite not knowing of such comparison formulas in general, we should point out it is still possible to derive as a special case the difference

$$\Gamma_{S^2 \times S^2}^2(C_+) - \Gamma_{S^2 \times S^2}^2(C_-) = (h_1 - h_2)^5$$

directly from Yang–Mills theory. We shall discuss this elsewhere.

§ 2. The calculation of  $\Gamma_{S^2 \times S^2}^2$

In this section we determine the invariant  $\Gamma_{S^2 \times S^2}^2$  for the standard  $S^2 \times S^2$ . For simplicity we write

$$q_+ = \Gamma_{S^2 \times S^2}^2(C_+) \quad \text{and} \quad q_- = \Gamma_{S^2 \times S^2}^2(C_-) .$$

To find  $q_+$  and  $q_-$  we are to use some arguments in algebraic geometry. It is a well-known fact that  $S^2 \times S^2$  can be realized as a complex quadric surface  $Q \simeq \mathbb{P}_1 \times \mathbb{P}_1$  in the complex projective 3-space  $\mathbb{P}_3$  and all the ample line bundles  $H_{r_1, r_2}$  on  $Q$  are of the form  $\mathcal{O}(r_1, r_2) = \text{pr}_1^* \mathcal{O}_{\mathbb{P}_1}(r_1) \otimes \text{pr}_2^* \mathcal{O}_{\mathbb{P}_1}(r_2)$  where  $r_1, r_2$  are strictly positive integers and  $\text{pr}_i$  denotes the projection map from  $Q \simeq \mathbb{P}_1 \times \mathbb{P}_1$  to the  $i$ -th factor for  $i = 1, 2$ . For each ample line bundle  $H_{r_1, r_2}$ , let  $M_{r_1, r_2}$  be the moduli space of  $H_{r_1, r_2}$ -stable 2-bundles  $E$  over  $Q$  with  $\Lambda^2 E \simeq \mathcal{O}_Q$  and  $c_2(E) = 2$ . (In this setting,  $E$  is  $H_{r_1, r_2}$ -stable if  $\mathcal{L} \cdot H_{r_1, r_2} < 0$  for every holomorphic line bundle  $\mathcal{L} \rightarrow Q$  admitting a non-zero bundle map  $\mathcal{L} \rightarrow E$ .) The moduli spaces  $M_{r_1, r_2}$  are smooth and if  $r_1 \neq r_2$  they are naturally identified with Yang-Mills moduli spaces  $M_2(m)$  for compatible Kähler metrics  $m$  on  $Q$  by a theorem of Uhlenbeck and Yau. It follows from the general theory to determine  $q_+$  and  $q_-$  it suffices to pick two moduli spaces  $M_{r_1, r_2}$ , one for  $r_1 > r_2$  and one for  $r_1 < r_2$ . (The case  $r_1 = r_2$  is special.) As we shall see however, the moduli spaces themselves are in fact divided into three kinds, according to the comparison between  $r_1$  and  $r_2$ . This can be summarized as follows.



(2.1) Proposition. Associated to a quadric surface there are three spaces  $M_\Delta, M_+, M_-$  such that

$$M_{r_1, r_2} = \begin{cases} M_+ & \text{if } r_1 > r_2 \\ M_\Delta & \text{if } r_1 = r_2 \\ M_- & \text{if } r_1 < r_2 \end{cases} .$$

In addition, we have

$$M_+ = M_\Delta \coprod \mathbb{P}_2^+, \quad M_- = M_\Delta \coprod \mathbb{P}_2^-$$

where  $\mathbb{P}_2^+, \mathbb{P}_2^-$  are two (distinct) copies of the complex projective plane parametrizing respectively non-trivial extensions of the following exact sequences:

$$\begin{aligned} 0 &\longrightarrow \mathcal{O}(1, -1) \longrightarrow E \longrightarrow \mathcal{O}(-1, 1) \longrightarrow 0 \quad \text{and} \\ 0 &\longrightarrow \mathcal{O}(-1, 1) \longrightarrow E \longrightarrow \mathcal{O}(1, -1) \longrightarrow 0 \quad . \end{aligned}$$

This proposition is a special case of the discussions in [M] and therefore we omit the proof here. Now let  $L_i = \text{pr}_i^{-1}(\cdot) \simeq \mathbb{P}_1$  be the fibres of the projection map  $\text{pr}_i$  on  $Q \simeq \mathbb{P}_1 \times \mathbb{P}_1$  for  $i = 1, 2$ . To obtain  $q_+, q_-$  it suffices to establish the following table of evaluations for  $\mu^5$ .

(2.2) Table

Number of		$\langle \mu^5, [M_+] \rangle$	$\langle \mu^5, [M_-] \rangle$
$L_1$ -lines	$L_2$ -lines		
0	5	1	0
1	4	-1	0
2	3	1	0
3	2	0	1
4	1	0	-1
5	0	0	1

We shall only check the column for  $\langle \mu^5, [M_+] \rangle$  as the evaluation for  $\langle \mu^5, [M_-] \rangle$  is similar. Note that  $q_+ = \langle \mu^5, [M_+] \rangle$  in  $\text{Sym}^5(H^2(X; \mathbb{Z}))$ .

Our calculation for  $q_+$  hinges on the fact that a line  $L_i$  on the quadric  $Q$  is a copy of  $\mathbb{P}_1$  and so one can adapt an argument in [D1] to show that the zero sets  $V_{L_i} \cap M_+$  used to define  $q_+$  can be taken to have the following concrete form:

$$(2.3) \quad \{ [E] \in M_+ \mid E|_{L_i} \text{ is not trivial} \} .$$

(It is well-known that holomorphic 2-bundles on a projective line  $\mathbb{P}_1 \simeq L_i$  always split and so we have

$$E|_{L_i} \simeq \mathcal{O}_{L_i}(a) \oplus \mathcal{O}_{L_i}(-a)$$

for some integer  $a \geq 0$ . The condition  $E|_{L_i}$  is not trivial in (2.3) means  $a \neq 0$  in the

splitting of  $E|_{L_i}$ . In this case we say  $L_i$  is a jumping line of the bundle  $E$ .) Suggested by this, it is natural to investigate the splitting behaviour of an element  $[E] \in M_+$  when restricted to a line  $L$  on the quadric  $Q$ . We first observe its splitting type is rather confined.

(2.4) Lemma. For a stable 2-bundle  $E$  over  $Q$  with  $c_1(E) = 0$ ,  $c_2(E) = 2$ , we have either

$$\begin{aligned} E|_L &\simeq \mathcal{O}_L \oplus \mathcal{O}_L && \text{(trivial) or} \\ E|_L &\simeq \mathcal{O}_L(1) \oplus \mathcal{O}_L(-1) && \text{(jumping)} \end{aligned}$$

for all lines  $L$  on  $Q$ .

Proof. The argument is a direct consequence of the Riemann-Roch formular. Associated to each  $L_1$ -line there is an exact sequence

$$(2.5) \quad 0 \longrightarrow E(-1,0) \longrightarrow E \longrightarrow E|_{L_1} \longrightarrow 0 .$$

The stability of  $E$  gives  $h^0(E) = 0$  and therefore the corresponding long exact sequence of (2.5) reads

$$0 \longrightarrow H^0(E|_{L_1}) \longrightarrow H^1(E(-1,0)) \longrightarrow H^1(E) \longrightarrow \dots .$$

One checks readily by the Riemann-Roch formula

$$\chi(E(r_1, r_2)) = 2(r_1+1)(r_2+1) - 2$$

that  $h^1(E) = 0$  and  $h^1(E(-1,0)) = 2$ . It follows then

$$h^0(E|_{L_1}) = h^0(\mathcal{O}_{L_1}(a) \oplus \mathcal{O}_{L_1}(-a)) = 2$$

which can possibly happen only when  $a = 0, 1$ . The argument for  $L_2$ -lines is similar and this proves the lemma.

Now we come to count the number of jumping lines a stable bundle  $E \rightarrow Q$  can possibly have. We denote for instance  $H_{r_1 \geq r_2}$  the ample line bundle  $H_{r_1, r_2}$  on  $Q$  if  $r_1 \geq r_2 > 0$ .

(2.6) Lemma. An  $H_{r_1 \geq r_2}$ -stable bundle  $E$  can have at most two jumping lines in the line system  $L_1 = \text{pr}_1^{-1}(\cdot)$ . Similarly, an  $H_{r_1 \leq r_2}$ -stable bundle  $E$  can have at most two jumping lines in the line system  $L_2 = \text{pr}_2^{-1}(\cdot)$ .

As the moduli space  $M_0$  is contained in  $M_+$  and  $M_-$  by proposition (2.1), the following corollary is immediate.

(2.7) Corollary. A bundle  $E \rightarrow Q$  can have at most two jumping lines in each line system of  $Q$  if  $[E] \in M_0$ .

To prove lemma (2.6), we show first for  $[E] \in M_+$  the splitting type  $E|_{L_1}$  is generically trivial. Suppose not, one finds by lemma (2.4)

$$E|_{L_1} \simeq \mathcal{O}_{L_1}(1) \oplus \mathcal{O}_{L_1}(-1)$$

holds uniformly for all  $L_1$  and consequently that

$$E(0,-1)|_{L_1} \simeq \mathcal{O}_{L_1} \oplus \mathcal{O}_{L_1}(-2)$$

on all such lines. Thus  $(pr_1)_* E(0,-1)$  defines a line bundle, say,  $\mathcal{O}_{\mathbb{P}_1}(\ell)$  over the base curve  $\mathbb{P}_1$ . It follows then

$$pr_1^*((pr_1)_* E(0,-1)) \simeq \mathcal{O}(\ell,0)$$

defines a line subbundle of  $E(0,-1)$  fitting into an exact sequence

$$0 \longrightarrow \mathcal{O}(\ell,0) \xrightarrow{\text{ev}} E(0,-1) \longrightarrow \mathcal{O}(-\ell,-2) \longrightarrow 0$$

via the natural evaluation map  $\text{ev}$ . As  $c_2(E(0,-1)) = 2$ , one finds

$$\mathcal{O}(\ell,0) \cdot \mathcal{O}(-\ell,-2) = -2\ell = 2$$

which gives that  $\ell = -1$ . We conclude therefore  $E$  comes from an extension

$$(2.8) \quad 0 \longrightarrow \mathcal{O}(-1,1) \longrightarrow E \longrightarrow \mathcal{O}(1,-1) \longrightarrow 0 .$$

This however contradicts the  $H_{r_1 \geq r_2}$ -stability of  $E$  since

$$H_{r_1 \geq r_2} \cdot \mathcal{O}(-1,1) = r_1 - r_2 \geq 0 .$$

Thus for those  $[E] \in M_+$  the restrictions  $E|_{L_1}$  is generically trivial.

To determine the number of  $L_1$ -jumping lines an  $H_{r_1 \geq r_2}$ -stable bundle can possibly have, it is easiest to consider  $E$  as a family of holomorphic bundles over a projective line  $\mathbb{P}_1 \simeq L_2$ . In this interpretation, the number of  $L_1$ -jumping lines for  $E$  is exactly the number of elements in the zero set  $V_{L_1} \cap L_2$  described in (2.3). As  $V_{L_1} \cap L_2$  represents the zero set of a (non-trivial) section of  $\mathcal{L}_{L_1} \longrightarrow L_2$  with

$$c_1(\mathcal{L}_{L_1}) = c_2(E)/[L_1] = 2h_1$$

(cf. [D2]), we conclude  $V_{L_1} \cap L_2$  contains at most two points and therefore  $E|_{L_1}$  is non-trivial for at most two  $L_1$ -lines. The argument for  $H_{r_1 \leq r_2}$ -stable bundle  $E$  is similar and this proves the lemma.

One infers easily from this lemma that in table (2.2)

$$(2.9) \quad q_+(L_1^5) = q_+(L_1^4 L_2) = q_+(L_1^3 L_2^2) = 0$$

by using this kind of zero sets  $V_{L_1}(z_i)$  on  $M_+$  associated to three (distinct) lines  $L_1(z_i)$ ,  $i = 1,2,3$ . Indeed, in these situations the number of  $L_1$ -lines we are working with is no less than three and so (2.9) follows if one can show

$$V_{L_1(z_1)} \cap V_{L_1(z_2)} \cap V_{L_1(z_3)} \cap M_+ = \phi .$$

This is however a trivial consequence of lemma (2.6) as no  $H_{r_1 \geq r_2}$ -stable bundle  $E$  can "jump" on three distinct  $L_1$ -lines.

To find remaining evaluations for  $q_+$  we apply the same argument to three (distinct)  $L_2$ -lines. This time we get a non-empty (set) intersection

$$\begin{aligned}
 (2.10) \quad & \left\{ \bigcup_{i=1}^3 V_{L_2(w_i)} \right\} \cap M_+ \\
 &= \left\{ \bigcup_{i=1}^3 V_{L_2(w_i)} \cap M_0 \right\} \perp\!\!\!\perp \left\{ \bigcap_{i=1}^3 V_{L_2(w_i)} \cap \mathbb{P}_2^+ \right\} \quad (\text{by proposition (2.1)}) \\
 &= \bigcap_{i=1}^3 V_{L_2(w_i)} \cap \mathbb{P}_2^+ \quad (\text{by lemma (2.6)}) \\
 &= \mathbb{P}_2^+ .
 \end{aligned}$$

We shall briefly explain in a moment the intersection (2.10) is transversal in general.

Assuming this, we can proceed to determine  $q_+$  in the remaining cases by studying the universal bundle  $\mathcal{E}_+$  over the product space  $\mathbb{P}_2^+ \times Q$  :

$$(2.11) \quad 0 \longrightarrow P_{\mathbb{P}_2^+}^* \mathcal{O}_{\mathbb{P}_2^+}(1) \otimes P_Q^* \mathcal{O}(1,-1) \longrightarrow \mathcal{E}_+ \longrightarrow P_Q^* \mathcal{O}(-1,1) \longrightarrow 0$$

where  $P_{\mathbb{P}_2^+}$  and  $P_Q$  are the obvious projection maps (cf. [R] lemma 2.3).

To show  $q_+(L_1^2 L_2^3) = 1$ , we consider the intersection between  $\mathbb{P}_2^+$  and two (more) zero sets  $V_{L_1}(z_i)$ ,  $i = 1, 2$ . These zero sets on  $\mathbb{P}_2^+$  represent the determinant bundle  $\mathcal{L}_{L_1}$  with

$$c_1(\mathcal{L}_{L_1}) = c_2(\mathcal{E}_+)/[L_1] = h_+ ,$$

where  $h_+$  denotes the standard generator of  $H^2(\mathbb{P}_2^+; \mathbb{Z})$ . It follows up to a sign  $q_+(L_1^2 L_2^3)$  is given by

$$\langle c_2(\mathcal{E}_+)/[L_1(z_1)] \cup c_2(\mathcal{E}_+)/[L_1(z_2)], [\mathbb{P}_2^+] \rangle = h_+ \cdot h_+ = 1 .$$

As the algebraic sum associated to an intersection of five zero sets on  $M_+$  defined by holomorphic sections must be non-negative, we conclude  $\mathbb{P}_2^+$  has its usual complex orientation and therefore  $q_+(L_1^2 L_2^3) = 1$ , as stated in (2.2). Similarly, using

$$c_1(\mathcal{L}_{L_2}) = c_2(\mathcal{E}_+)/[L_2] = -h_+$$

and one derives

$$\begin{aligned} q_+(L_1 L_2^4) &= h_+ \cdot (-h_+) = -1 , \\ q_+(L_2^5) &= (-h_+) \cdot (-h_+) = 1 \end{aligned}$$

as wished.

To see the intersection  $\left\{ \bigcap_{i=1}^3 V_{L_2}(w_i) \right\} \cap M_+ \simeq \mathbb{P}_2^+$  is transversal in general, we



observe first the restriction map

$$r_{L_2} : H^1(\text{End } E) \longrightarrow H^1((\text{End } E)|_{L_2}) \simeq H^1(\mathcal{O}_{L_2}(-2)) \simeq \mathbb{C}$$

is surjective and fits into the following commutative diagram.

(2.12) Diagram.

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^1(E(1,-1)) & \longrightarrow & H^1(\text{End } E) & \longrightarrow & H^1(E(-1,1)) \longrightarrow 0 \\ & & \downarrow & & \downarrow r_{L_2} & & \downarrow \\ & & 0 & \longrightarrow & H^1((\text{End } E)|_{L_2}) & \longrightarrow & H^1(E(-1,1)|_{L_2}) \longrightarrow 0 \\ & & & & \downarrow & & \downarrow \\ & & & & 0 & & 0 \end{array}$$

Thus, for an element  $[E]$  in the zero set  $V_{L_2} \cap M_+$  we are working with, one finds the tangent space  $T_{[E]}(V_{L_2} \cap M_+)$  identifies with  $\text{Ker } r_{L_2}$  in diagram (2.12). Now by tracing diagrams we can show three such tangent spaces meet transversely in  $H^1(\text{End } E)$  for three general  $L_2$ -lines and this will prove the transversality for the intersection (2.10). We leave the detail of this argument to the reader.

Now we wish to explain why  $q_+$ ,  $q_-$  are not polynomials of the intersection form

$$q_Q = h_1 h_2 + h_2 h_1$$

and the canonical class

$$k_Q = -2h_1 - 2h_2$$

on a quadric surface  $Q \simeq S^2 \times S^2$ . Supposing on the contrary  $q_+$ , say, admits such an expression, the coefficient  $a_0$  of  $k_Q^5$  would then be detected by the evaluation  $q_+(L_1^5)$  or  $q_+(L_2^5)$  as the intersection form is zero in either case. A contradiction is immediate since we have  $a_0 \neq 0$  by  $q_+(L_2^5) = 1$  while  $q_+(L_1^5) = 0$  gives  $a_0 = 0$ .

Obviously the failure of  $q_+$ ,  $q_-$  admitting such expressions lies in the fact that the construction of these polynomials depends upon the choice of metrics on  $Q$ . However we can get around this dependence just by averaging, or taking the sum of  $q_+$  and  $q_-$ . Thus, as  $Q$  is a complete intersection, we can apply [FMM] theorem 5 to conclude  $q_+ + q_-$  is a polynomial on  $q_Q$  and  $k_Q$ . Indeed, one can find by a direct calculation

$$q_+ + q_- = -\frac{1}{32} k_Q^5 + \frac{5}{8} (k_Q^3 q_Q) - \frac{15}{4} (k_Q q_Q^2)$$

where the brackets ( ) denote symmetrizations of  $k_Q$  and  $q_Q$ .

References

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