

One Remark About Brückner- Vostokov Explicit Reciprocity Law

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0. Introduction.

Let K be a local complete discrete valuation field of characteristic 0 with a finite residue field k of characteristic $p \geq 3$. Assume that K contains a primitive root of unity of degree p^M , $M \geq 1$, and denote by

$$(\ , \) : K^* \times K^* \longrightarrow \mu_{p^M}(K)$$

a pairing given by the Hilbert symbol. Fix a primitive root ζ of degree p^M in K . If $u, v \in K^*$, let $c(u, v) \in \mathbb{Z} \bmod p^M \mathbb{Z}$ be such that $(u, v) = \zeta^{c(u, v)}$. The explicit reciprocity law (ERL) gives a formula for the value of $c(u, v)$ in terms of expressions of u and v as power series in a uniformizer π of K . First results in this direction were obtained by Artin and Hasse, [A-H28], for the field $\mathbb{Q}_p(\zeta)$. A different approach to the problem to find general ERL belongs to I.R.Shafarevich, [Sh50]. His arguments were based on a deep analogy between algebraic numbers and functions on Riemann surfaces. The main idea of Shafarevich was to define an analog $\langle \ , \ \rangle$ of the Hilbert symbol in a ring of formal power series in one variable X , to prove its independence of the choice of the variable X and to obtain the value of the Hilbert symbol by specialization $X \mapsto \pi$. Proceeding in the same way S.Vostokov, [Vo78], obtained explicit simple expression for $c(u, v)$ (c.f. also [Br64], [Br79]).

The higher r^{th} ($r \geq 1$) analogs of the Artin-Hasse ERL for the field $\mathbb{Q}_p(\zeta)$ were constructed by Coates and Wiles, [Wi78] (the classical ERL appears for $r = 1$). Bloch and Kato, [B-K90], found relation between higher Coates-Wiles ERL and cohomology of Fontaine-Messing. E.de Shalit, [dSh], gave a simple proof of Bloch-Kato ERL from Coates-Wiles ERL in the case $r = 1$. In a recent paper J.-M.Fontaine, [Fo94], deduced Bloch-Kato ERL from Witt ERL for local fields of characteristic p . His arguments use the Fontaine-Wintenberger functor "field of norms", which give a relation between local fields in characteristic p and characteristic 0. This functor depends on the choice of some infinite local field \tilde{K} with increasing ramification, and Fontaine uses p -cyclotomic extension of \mathbb{Q}_p . So, one can combine arguments of Fontaine and of E.de Shalit to deduce the classical Coates-Wiles ERL (in characteristic 0) from Witt ERL (in characteristic p) by the above choice of the field of norms functor. A different way to obtain this implication for extensions of degree p was given by E.de Shalit, [dSh92]. His arguments are based on the relation between local fields in characteristics p and 0, given by P.Deligne, [De84].

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To the best of the author's knowledge the opportunity to prove the general ERL in the form of Brückner-Vostokov from Witt ERL has not been yet systematically investigated (the simplest case of the problem: $M = 1, K = \mathbb{Q}_p(\zeta)$, was done in [DR89]). In this paper we give a proof of this implication. We use Fontaine's (resp., E.de Shalit's) way to relate Witt-Artin-Schreier (resp., Kummer) theory to Fontaine-Messing theory for $r = 1$. In fact, the only difference with Fontaine's approach is another choice of the field of norms functor. This functor corresponds to the extension $\tilde{K} = K(\{\pi^{1/p^n} | n \geq 0\})$, where π is a fixed uniformizer of the field K . The answer is given by the natural specialisation of the symbol defined for a power series ring in one variable X_π . Though this symbol coincides with the symbol introduced in [Vo78] we can't explain its independence of the choice of a uniformizer in a power series ring (for different π these power series rings "live in different worlds"). We also restrict our attention to the study of values of the Hilbert symbol only on principal units of the field K . General formula can be easily derived from this study using explicit constructions of p^M -primary elements, [Vo78, n.4].

1. Preliminaries.

Let K be a local field from introduction with fixed uniformizer π .

1.1. Functor "field of norms" \mathcal{X} .

Consider an extension \tilde{K} of K given by $\tilde{K} = \bigcup_{n \geq 0} K(n)$, where $K(0) = K$, $K(n) = K(n-1)(\pi_n)$, $\pi_n^p = \pi_{n-1}$ for $n \geq 1$ and $\pi_0 = \pi$.

\tilde{K} is an APF-extension in terminology of [Wtb] and, therefore, it defines the field of norms functor \mathcal{X} . This functor is defined on the category of algebraic extensions of the field \tilde{K} , $\mathcal{X}(\tilde{K}) = \mathcal{K}$ is a complete discrete valuation field of characteristic p with the same residue field k , and \mathcal{X} induces an equivalence of the category of algebraic extensions of \tilde{K} and of the category of separable extensions of the field \mathcal{K} . In particular, if we choose an algebraic closure \bar{K} of K , then one can use identifications $\mathcal{X}(\bar{K}) = \mathcal{K}_{\text{sep}}$ and

$$\mathcal{G} = \text{Gal}(\mathcal{K}_{\text{sep}}/\mathcal{K}) = \text{Gal}(\bar{K}/\tilde{K}) \subset \Gamma = \text{Gal}(\bar{K}/K).$$

If \tilde{E} is a finite extension of \tilde{K} , then the multiplicative group \mathcal{E}^* of nonzero elements of the field $\mathcal{E} = \mathcal{X}(\tilde{E})$ is equal to a projective limit of groups E^* , where $K \subset E \subset \tilde{E}$, $(E : K) < \infty$ and transition morphisms are induced by norm maps N_{E_1/E_2} , where $K \subset E_2 \subset E_1 \subset \tilde{E}$ and $(E_1 : K) < \infty$. The sequence $\{\pi_n\}_{K(n)}$ gives the uniformizer of the field \mathcal{K} . The field of constants of \mathcal{K} consists of sequences $\{\hat{\alpha}^{p^{-n}}\}_{K(n)}$ (where $\hat{\alpha} \in K$ is Teichmüller representative of $\alpha \in k$) and can be identified with k by the map $\alpha \mapsto \{\hat{\alpha}^{p^{-n}}\}$. So, if we denote the above uniformizer of \mathcal{K} by \tilde{t}_0 , then \mathcal{K} is identified with the quotient field $k((\tilde{t}_0))$ of the power series ring $k[[\tilde{t}_0]]$.

1.2. Shafarevich's basis for K^*/K^{*p^M} .

Let e be the absolute ramification index of K and let $\zeta \in K$ be a fixed primitive root of unity of degree p^M .

Let K^0 be the maximal subextension of K which is unramified over \mathbb{Q}_p . We have the natural identification of the valuation ring of this field with Witt vectors $W(k)$.

Denote by σ the absolute Frobenius automorphism of $W(k)$. For $\alpha \in W(k)$ and an indeterminate X set

$$E(\alpha, X) = \exp\left(\alpha X + \frac{(\sigma\alpha)X^p}{p} + \dots + \frac{(\sigma^n\alpha)X^{p^n}}{p^n} + \dots\right).$$

One has (c.f. [Sh50])

$$E(\alpha, X) \in W(k)[[X]] \text{ for } \alpha \in W(k);$$

$$E(\alpha_1 + \alpha_2, X) = E(\alpha_1, X)E(\alpha_2, X) \text{ for } \alpha_1, \alpha_2 \in W(k);$$

$$E(a\alpha, X) = E(\alpha, X)^a \text{ for } a \in \mathbb{Z}_p.$$

Let $\{\alpha_i\}_{1 \leq i \leq N}$ be a \mathbb{Z}_p -basis of $W(k)$. Then Shafarevich's basis of K^*/K^{*p^M} consists of the uniformizer π , principal units $E(\alpha_i, \pi^a)$, where $1 \leq i \leq N, 1 \leq a < ep/(p-1), (a, p) = 1$, and one additional so-called p^M -primary element. One can make a choice of a p^M -primary element by fixing $\alpha \in W(k)$ such that $\text{Tr}_{K^0/\mathbb{Q}_p} \alpha \in \mathbb{Z}_p^*$. Then by definition

$$E(\alpha) = E(\beta, \xi_0)^{p^M},$$

where $\beta \in W(\bar{k})$ is such that $\sigma\beta - \beta = \alpha$ and $\xi_0 \in O_K$ is such that $E(1, \xi_0) = \zeta$.

One can show that $E(\alpha)$ can be expressed in terms of elements of the ground field K

$$E(\alpha) \equiv \prod_{n \geq 1} E(\sigma^n \alpha, \xi_0^{p^n})^{p^{M-n}} \pmod{K^{*p^M}}.$$

This expression was simplified by Vostokov, [Vo78, n.4].

The basic property of $E(\alpha)$ is that $E(\alpha)^{1/p^M}$ generates unramified extension of K of degree p^M . Therefore, all images of p^M -primary elements in K^*/K^{*p^M} create a cyclic subgroup of order p^M .

1.3. Shafarevich's basis for $\mathcal{K}^*/\mathcal{K}^{*p^M}$.

Here the elements \tilde{t}_0 and $E(\alpha_i, \tilde{t}_0^a)$, where $\{\alpha_i\}_{1 \leq i \leq N}$ is a \mathbb{Z}_p -basis of $W(k)$ and $a \in \mathbb{N}, (a, p) = 1$, give a basis of $\mathcal{K}^*/\mathcal{K}^{*p^M}$.

Let

$$\mathcal{N} : \mathcal{K}^* = \varprojlim K(n)^* \longrightarrow K(0)^* = K^*$$

be a canonical projection.

Lemma.

a) $\mathcal{N}(\tilde{t}_0) = \pi;$

b) if $\alpha \in W(k), a \in \mathbb{N}, (a, p) = 1$, then $\mathcal{N}(E(\alpha, \tilde{t}_0^a)) = E(\alpha, \pi^a).$

Proof.

a) Obviously, $\mathcal{N}(\tilde{t}_0) = \mathcal{N}((\pi_n)_{K(n)}) = \pi.$

b) It is sufficient to check up that for any $n \geq 1$

$$N_{K(n)/K(n-1)}(E(\sigma^{-n}\alpha, \pi_n^a)) = E(\sigma^{-(n-1)}\alpha, \pi_{n-1}^a).$$

This is implied by the following observation

$$\mathrm{Tr}_{K(n)/K(n-1)}(\pi_n^{ap^m}) = \begin{cases} 0, & \text{for } m = 0 \\ p\pi_{n-1}^{ap^{m-1}}, & \text{for } m \geq 1. \end{cases}$$

Corollary.

The group $\mathcal{N}(K^*/K^{*p^M})$ has an index p^M in K^*/K^{*p^M} and is generated by the images of the elements π and $E(\alpha, \pi^a)$, where $\alpha \in W(k)$, $1 \leq a < ep/(p-1)$, $(a, p) = 1$.

Proof.

By the above lemma $\pi \in \mathcal{N}(K^*)$ and all $E(\alpha, \pi^a) \in \mathcal{N}(K^*)$.

So, it is sufficient to show that the index

$$(K^*/K^{*p^M} : \mathcal{N}(K^*/K^{*p^M})) \geq p^M.$$

This follows from the inclusion

$$\mathcal{N}(K^*)K^{*p^M} \subset N_M := N_{K(M)/K}(K(M)^*)$$

and the equality $(K^* : N_M) = p^M$ given by local class field theory.

1.4. Compatibility of class field theories.

By the result of F. Laubie [La], we have a commutative diagramm

$$\begin{array}{ccc} K^* & \xrightarrow{\mathcal{N}} & K^* \\ \psi_{\mathcal{K}} \downarrow & & \downarrow \psi_K \\ \mathcal{G}^{\mathrm{ab}} & \xrightarrow{i_{\mathcal{K}/K}} & \Gamma^{\mathrm{ab}} \end{array}$$

Here $\psi_{\mathcal{K}}$ and ψ_K are reciprocity maps for the fields \mathcal{K} and K respectively, and homomorphism $i_{\mathcal{K}/K}$ appears from the identification $\mathrm{Gal}(\mathcal{K}_{\mathrm{sep}}/\mathcal{K}) = \mathrm{Gal}(\bar{K}/\tilde{K}) \subset \Gamma$ given by the above functor \mathcal{X} .

Let $E(M)$ and $\mathcal{E}(M)$ be maximal abelian extensions of exponent p^M of the fields K and \mathcal{K} in \bar{K} and $\mathcal{K}_{\mathrm{sep}} = \mathcal{X}(\bar{K})$, respectively. Then we have

$$\mathcal{E}(M) \supset \mathcal{X}(E(M)\tilde{K}) \supset \mathcal{K},$$

$$E(M) \cap \tilde{K} = K(M),$$

$$\mathrm{Gal}(\mathcal{X}(E(M)\tilde{K})/\mathcal{K}) = \mathrm{Gal}(E(M)/K(M)).$$

It follows now from Corollary of n.1 that

$$i_{\mathcal{K}/K}(\mathcal{G}^{\mathrm{ab}}/\mathcal{G}^{\mathrm{ab}p^M}) = \mathrm{Gal}(E(M)/K(M)).$$

1.5. *Explicit reciprocity law in characteristic p* , [Wtt].

The uniformizer \tilde{t}_0 of the field \mathcal{K} gives p -basis for any separable extension \mathcal{E} over \mathcal{K} . Therefore (c.f. [Ab93]), one can define a compatible on $\mathcal{E} \subset \mathcal{K}_{\text{sep}}$ and $M \geq 1$ system of liftings $O_M(\mathcal{E})$ of the fields \mathcal{E} modulo p^M ($O_M(\mathcal{E})$ is a flat $\mathbb{Z}/p^M\mathbb{Z}$ -algebra such that $O_M(\mathcal{E})/pO_M(\mathcal{E}) = \mathcal{E}$).

Fix an element $\tilde{t} \in O_M(\mathcal{K})$ such that $\tilde{t} \bmod p = \tilde{t}_0$ and define (the unique) lifting

$$\sigma : O_M(\mathcal{K}) \longrightarrow O_M(\mathcal{K})$$

of the absolute Frobenius of \mathcal{K} by the condition $\sigma\tilde{t} = \tilde{t}^p$. Then for any $\mathcal{E} \subset \mathcal{K}_{\text{sep}}$ there exists only one lifting $\sigma_{\mathcal{E}}$ of the absolute Frobenius of the field \mathcal{E} to $O_M(\mathcal{E})$ which is compatible with the above chosen lifting σ . We use the same symbol σ for all these liftings $\sigma_{\mathcal{E}}$.

Let $f \in O_M(\mathcal{K})$, $g \in \mathcal{K}^*$ and $(f, g) \in W_M(\mathbb{F}_p)$ be a pairing given (as usually) as follows

$$\tau T - T = (f, g),$$

where $T \in O_M(\mathcal{K}_{\text{sep}})$, $\sigma T - T = f$ and $\tau = \psi_{\mathcal{K}}(g) \in \mathcal{G}^{\text{ab}}$.

Witt explicit reciprocity law is given by the formula

$$(f, g) = \text{Tr}(\text{Res } f \frac{d\hat{g}}{\hat{g}}),$$

where $\text{Tr} : W_M(k) \longrightarrow W_M(\mathbb{F}_p)$ is induced by the trace map of the extension k/\mathbb{F}_p and $\hat{g} \in O_M(\mathcal{K})$ is the image of $g \in \mathcal{K}^*$ under the multiplicative morphism $\mathcal{K}^* \longrightarrow O_M(\mathcal{K})^*$ given by the formulae

$$\tilde{t}_0 \mapsto \tilde{t}, E(\alpha, \tilde{t}_0) \mapsto E(\alpha, \tilde{t}),$$

where $\alpha \in W(k)$.

1.6. *Fontaine's ring R* , c.f. [Fo82].

R is a complete valuation ring of characteristic p and consists of sequences $(x^{(n)})_{n \geq 0}$, where all $x^{(n)}$ are in the valuation ring $O_{\mathbb{C}_p}$ of the completion \mathbb{C}_p of the field \bar{K} and $x^{(n+1)p} = x^{(n)}$ for all $n \geq 0$. If $(x^{(n)})_{n \geq 0}, (y^{(n)})_{n \geq 0} \in R$, then

$$(x^{(n)})_{n \geq 0} + (y^{(n)})_{n \geq 0} = (z^{(n)})_{n \geq 0}$$

$$(x^{(n)})_{n \geq 0} (y^{(n)})_{n \geq 0} = (w^{(n)})_{n \geq 0},$$

where $(z^{(n)})_{n \geq 0} = \lim_{m \rightarrow \infty} (x^{(n+m)} + y^{(n+m)})p^m$ and $w^{(n)} = x^{(n)}y^{(n)}$.

Valuation v_R on R is given by $v_R((x^{(n)})_{n \geq 0}) = v(x^{(0)})$, where v is the valuation on $O_{\mathbb{C}_p}$ normalized by $v(p) = 1$. Residue fields of $O_{\mathbb{C}_p}$ and R are identified by the correspondence

$$\alpha \mapsto (\hat{\alpha}^{p^{-n}})_{n \geq 0},$$

where $\hat{\alpha}$ is Teichmüller representative of $\alpha \in \bar{k}$.

Let R_0 be the quotient field of the ring R . Clearly, R and R_0 are Γ -modules.

There exists a natural injection $j : \mathcal{K}_{\text{sep}} \longrightarrow R_0$, c.f. [Wtb83]. Recall briefly its construction. Let $[\tilde{E} : \tilde{K}] < \infty$, E_{tr} be the maximal tamely ramified extension of K in \tilde{E} , \mathcal{F}_n be a family of fields E , such that $E_{\text{tr}} \subset E \subset \tilde{E}$, $[E : E_{\text{tr}}]$ is finite and equivalent to 0 modulo p^n . If $a = (a_E)_{E \in \mathcal{F}_n} \in \varprojlim_{E \in \mathcal{F}_n} E^* = \mathcal{E}^*$, then

$$j(a) = (j(a)^{(n)})_{n \geq 0}, \text{ where } j(a)^{(n)} = \lim_{E \in \mathcal{F}_n} a_E^{p^{-n}[E:E_{\text{tr}}]}.$$

One has $j(\tilde{t}_0) = (\pi_n)_{K(n)}$ and j is compatible with given above identifications of the residue fields of $\mathcal{K}_{\text{sep}} = \mathcal{X}(\tilde{K})$ and of R with the residue field of K .

Let $\tau \in \Gamma = \text{Gal}(\tilde{K}/K)$. Then $\tau \tilde{K}$ gives the field of norms functor which we denote by \mathcal{X}_τ . Clearly, τ defines isomorphism of fields $\mathcal{X}(\tilde{K}) = \mathcal{K}_{\text{sep}}$ and $\mathcal{X}_\tau(\tilde{K})$, which we denote by the same symbol τ . Let $j_\tau : \mathcal{X}_\tau(\tilde{K}) \longrightarrow R_0$ be embedding analogous to the above embedding j . Then j and j_τ are compatible with the natural action of Γ on R_0 , i.e. the following diagramm is commutative

$$\begin{array}{ccc} \mathcal{K}_{\text{sep}} & \xrightarrow{j} & R_0 \\ \tau \downarrow & & \downarrow \tau \\ \mathcal{X}_\tau(\tilde{K}) & \xrightarrow{j_\tau} & R_0 \end{array}$$

1.7. *Ideal* $J \subset W(R)$.

1.7.1. Let

$$u = \sum_{n \geq 0} p^n [u_n] \in W(R),$$

where $[u_n]$ are Teichmuller representatives of $u_n \in R$ for all $n \geq 0$. Then the correspondence

$$u \mapsto \sum_{n \geq 0} p^n u_n^{(0)}$$

defines an epimorphism of rings $\gamma : W(R) \longrightarrow O_{\mathbf{C}_p}$ and $\text{Ker } \gamma = J$ is a principal ideal, c.f. [Fo82].

Let

$$l(X) = X + \frac{X^p}{p} + \cdots + \frac{X^{p^n}}{p^n} + \cdots \in \mathbb{Q}_p[[X]]$$

be the Lubin-Tate logarithm. By the Hazewinkel functional equation lemma, [Ha78], the power series $\psi(X) = l^{-1}(\log(1+X))$ is in $\mathbb{Z}_p[[X]]$.

Fix an element $\varepsilon = (\varepsilon^{(n)})_{n \geq 0} \in R$ such that $\varepsilon^{(M)} = \zeta$.

We have, c.f. [Ab90, n.1.7]

a) $\sigma([\varepsilon] - 1) = \psi([\varepsilon]^p - 1) = [p]_G(\psi)([\varepsilon] - 1)$, where $[p]_G$ is the endomorphism of multiplication by p in the formal group G with logarithm $l(X)$.

b) There exists $s \in W(R)$ such that

$$\psi([\varepsilon] - 1) = s\psi([\varepsilon]^{1/p} - 1)$$

and this element s generates the ideal J .

1.7.2. Set $j(w) = \sigma w/w^p$ for $w \in W(R)^*$.

Then $j(w) \in 1 + pW(R)$ and we have the following lemma, c.f. [dSh]

Lemma. *The sequence of (multiplicative) groups*

$$1 \longrightarrow [\varepsilon]^{\mathbb{Z}_p} \longrightarrow 1 + J \xrightarrow{j} 1 + pW(R) \longrightarrow 1$$

is exact.

Proof.

We repeat arguments of E. de Shalit. Nontrivial part of the proof is surjectivity of j . If $w_1 \in 1 + pW(R)$, then there exists $w \in 1 + W(R)$ such that $j(w) = w_1$ (it can be proved by successive approximations). Then one can take $u \in R$, such that $\gamma([u]) = \gamma(w)$, and check up that $wu^{-1} \in 1 + J$ and $j(wu^{-1}) = w_1$.

1.8. *Fontaine's ring* B_{cris}^+ .

1.8.1. B_{cris}^+ is a p -adic completion of the divided power envelope of $W(R)$ (in $W(R) \otimes \mathbb{Q}_p$) with respect to the ideal $\text{Ker } \gamma = sW(R)$. For an integral $i \geq 0$ denote by $\text{Fil}^i B_{\text{cris}}^+$ a decreasing filtration of B_{cris}^+ by its closed ideals, generated by all $s^n/n!$, where $n \geq i$. One has the natural structure of a continuous Γ -module on B_{cris}^+ . The Frobenius σ on R induces Frobenius morphism on B_{cris}^+ , which we denote also by σ .

Let $B_{\text{cris},M}^+ = B_{\text{cris}}^+/p^M B_{\text{cris}}^+$ and $\text{Fil}^1 B_{\text{cris},M}^+$ be the image of $\text{Fil}^1 B_{\text{cris}}^+$ in $B_{\text{cris},M}^+$. One has

$$\text{Fil}^1 B_{\text{cris}}^+ \cap p^M B_{\text{cris}}^+ = p^M \text{Fil}^1 B_{\text{cris}}^+.$$

Indeed, $\text{Fil}^1 B_{\text{cris}}^+ + W(R) = B_{\text{cris}}^+$ and $\text{Fil}^1 B_{\text{cris}}^+ \cap W(R) = J$. Therefore,

$$\begin{aligned} \text{Fil}^1 B_{\text{cris}}^+ \cap p^M B_{\text{cris}}^+ &= p^M \text{Fil}^1 B_{\text{cris}}^+ + \text{Fil}^1 B_{\text{cris}}^+ \cap p^M W(R) \subset \\ &\subset p^M \text{Fil}^1 B_{\text{cris}}^+ + J \cap p^M W(R) = p^M \text{Fil}^1 B_{\text{cris}}^+. \end{aligned}$$

It follows now that the exponential $\exp(x) = \sum_{n \geq 0} x^n/n!$ defines a bijection

$$\exp : \text{Fil}^1 B_{\text{cris},M}^+ \longrightarrow (1 + \text{Fil}^1 B_{\text{cris},M}^+)^*$$

and the morphism $\sigma/p : \text{Fil}^1 B_{\text{cris}}^+ \longrightarrow B_{\text{cris}}^+$ induces morphism

$$\frac{1}{p} \sigma : \text{Fil}^1 B_{\text{cris},M}^+ \longrightarrow B_{\text{cris},M}^+.$$

1.8.2. Let $\psi([\varepsilon] - 1), s \in W(R)$ be the elements from n.1.7. Then

a) $\psi([\varepsilon] - 1)^{p-1}/p \in \text{Fil}^1 B_{\text{cris}}^+$ and is a topologically nilpotent element of this ring, c.f. [Ab90, n.1.5].

b) In the ring B_{cris}^+ we have $\sigma s = p\eta_1$, where

$$\eta_1 \in 1 + \frac{\psi([\varepsilon] - 1)^{p-1}}{p} W(R) \left[\left[\frac{\psi([\varepsilon] - 1)^{p-1}}{p} \right] \right] \subset B_{\text{cris}}^+$$

(and therefore η_1 is invertible in B_{cris}^+), c.f. [Ab90, n.1.8].

c) Let S_M be the ideal of $B_{\text{cris},M}^+$ generated by $\psi([\varepsilon] - 1)^{p-1}/p$. Then σ/p induces a nilpotent endomorphism of the \mathbb{Z}_p -module S_M .

Indeed,

$$\sigma\psi = [p]_G(\psi) = \psi^p + p\psi + \sum_{i \geq 2} c_i p \psi^i,$$

where $\psi = \psi([\varepsilon] - 1)$. This expression gives

$$\frac{1}{p}\sigma \left(\frac{\psi^{p-1}}{p} \right) = \left(\frac{\psi^{p-1}}{p} \right)^2 w' + \left(\frac{\psi^{p-1}}{p} \right) w'',$$

where $w' \in W(R)$ and $w'' \in pW(R)$. This formula implies the above statement c).

1.8.3. Let $[\varepsilon] \in W(R)$ be the element from n.1.7. Then, c.f. [Fo82], $\log[\varepsilon] \in \text{Fil}^1 B_{\text{cris}}^+$ and

$$\{m \in \text{Fil}^1 B_{\text{cris}}^+ \mid \sigma m = pm\} = \mathbb{Z}_p \log[\varepsilon].$$

From this it follows now that

- a) $\{m \in \text{Fil}^1 B_{\text{cris},M}^+ \mid \sigma m/p = m\} = W_M(\mathbb{F}_p) \log[\varepsilon] \subset B_{\text{cris},M}^+$;
- b) If $a \in \mathbb{Z}_p$, then $[\varepsilon]^a \in 1 + p^M B_{\text{cris}}^+ \Leftrightarrow a \equiv 0 \pmod{p^M}$.

2. General explicit reciprocity law.

We use all previous notation. In particular, K is a complete discrete valuation field of characteristic 0 with a finite residue field k of characteristic p , fixed uniformizer π and primitive root ζ of degree p^M , $M \geq 1$. If $u, v \in K$, then (u, v) is the Hilbert symbol given by the formula

$$(u, v) = \psi_K(v)(u_1)u_1^{-1},$$

where $\psi_K : K^* \rightarrow \Gamma^{\text{ab}}$ is the reciprocity map of local class field theory and $u_1 \in \bar{K}$, $u_1^{p^M} = u$.

2.1. Mostly essential part of description of the Hilbert symbol of the field K is related to its values on principal units of the form $E(\alpha, \pi^a)$, where $\alpha \in W(k)$, $a \in \mathbb{N}$, $(a, p) = 1$.

The explicit reciprocity law in the form of Vostokov, [Vo78], gives these values in the form

$$(E(\alpha, \pi^a), E(\beta, \pi^b)) = \zeta^{c(\alpha, \beta, a, b)},$$

where $\alpha, \beta \in W(k)$, $a, b \in \mathbb{N}$, $(ab, p) = 1$ and

$$(*) \quad c(\alpha, \beta, a, b) = \frac{1}{2} \text{Tr}_{K^0/\mathbb{Q}_p} \left\{ \text{Res} \left[\frac{\alpha\beta(b-a)X^{a+b-1} dX}{H(X)^{p^M} - 1} \right] \right\}$$

Here K^0 is the maximal subextension of K , which is unramified over \mathbb{Q}_p , Tr is the trace map, $H(X) \in W(k)[[X]]$ is such that $H(\pi) = \zeta$ and Res is the residue at $X = 0$.

Remarks.

a) Vostokov and Brückner use a different definition of the Hilbert symbol, where Kummer theory and reciprocity map are applied to the second and the first arguments, respectively. So, our expression for $c(\alpha, \beta, a, b)$ has the opposite sign compared with the expression given by the Brückner-Vostokov formula, because of the skew-symmetry of the Hilbert symbol.

b) We use slightly modified expression, which can be obtained from Vostokov's or Brückner's formula by skew-symmetrization. Other simplifications are related to the assumption $(ab, p) = 1$ and to the special properties of the power series $H(X)^{p^M} - 1$ (c.f. n. 2.4 a,b below).

2.2 Reformulation of (*).

Let \mathcal{X} be the "field of norms" functor from n.1.4, $\mathcal{K} = \mathcal{X}(\tilde{K})$, \tilde{t}_0 be the uniformizer of \mathcal{K} constructed in n.1.4, $O_M(\mathcal{K})$ be the lifting of \mathcal{K} modulo p^M chosen in n.1.5, $\tilde{t} \in O_M(\mathcal{K})$ be such that $\sigma\tilde{t} = \tilde{t}^p$ and $\tilde{t} \bmod p = \tilde{t}_0$. Clearly, $O_M(\mathcal{K})$ can be identified with the $W_M(k)$ -algebra of Laurent series in the variable \tilde{t} .

Denote by $\mathcal{U}_{\mathcal{K}}$ the group of principal units of the field \mathcal{K} . Any $u \in \mathcal{U}_{\mathcal{K}}$ can be uniquely expressed in the form

$$u = \prod_{\substack{a \in \mathbb{N} \\ (a,p)=1}} E(\alpha_a, \tilde{t}^a),$$

where $\alpha_a = \alpha_a(u) \in W(k)$, $a \in \mathbb{N}$, $(a, p) = 1$.

The correspondence

$$u \mapsto \sum_{\substack{a \in \mathbb{N} \\ (a,p)=1}} \alpha_a(u) \tilde{t}^a \bmod p^M$$

defines the homomorphism $L : \mathcal{U}_{\mathcal{K}} \rightarrow O_M(\mathcal{K})$. Clearly, $\text{Ker } L = \mathcal{U}_{\mathcal{K}}^{p^M}$, $\text{Im } L \subset W_M(k)[[\tilde{t}]]$.

If $G \in O_M(\mathcal{K})^*$, define symbol \langle, \rangle_G on the group $\mathcal{U}_{\mathcal{K}}$ with values in $W_M(\mathbb{F}_p)$ by the following formula

$$\langle u, v \rangle_G = \text{Tr} \left\{ \text{Res} \left(\frac{L(u)(dL(v)) - (dL(u))L(v)}{G} \right) \right\}.$$

Here $\text{Tr} : W_M(k) \rightarrow W_M(\mathbb{F}_p)$ induced by the trace of the extension k/\mathbb{F}_p and Res is a residue at $\tilde{t} = 0$.

Similarly to the n. 2.1 introduce $H \in W_M(k)[[\tilde{t}]]$ such that $\hat{H}(\pi) \equiv \zeta \bmod p$, where $\hat{H} \in W(k)[[\tilde{t}]]$, $\hat{H} \bmod p^M = H$, and set $H_M = H^{p^M} - 1$.

Consider the projection, c.f. n.1.3, $\mathcal{N} : \mathcal{U}_{\mathcal{K}} \rightarrow U_K$, where U_K is the group of principal units of the field K . Now the formula (*) of n.2.1 is equivalent to the following statement

Theorem. *If $u, v \in \mathcal{U}_{\mathcal{K}}$, then*

$$(\mathcal{N}(u), \mathcal{N}(v)) = \zeta^{\frac{1}{2} \langle u, v \rangle_{H_M}}.$$

Proof of theorem.

2.3. Let $f \in L(\mathcal{U}_{\mathcal{K}}) \subset O_M(\mathcal{K})$. Consider Witt-Artin-Schreier extension \mathcal{E}_f of \mathcal{K} given by a solution T of the equation

$$(*)_1 \quad \sigma T - T = \frac{f}{H_M}$$

in $O_M(\mathcal{K}_{\text{sep}})$. For any $\tau \in \mathcal{G}$ we have

$$\tau T - T = a_\tau \in W_M(\mathbb{F}_p).$$

Let $u \in \mathcal{U}_{\mathcal{K}}$ be such that $L(u) = f$. Then a solution Z of the equation

$$Z^{p^M} = \mathcal{N}(u)$$

defines Kummer extension of K . This extension does not depend on the choice of u and will be denoted by E_f . For any $\tau \in \Gamma$ we have

$$\frac{\tau Z}{Z} = \zeta^{b_\tau},$$

where all $b_\tau \in \mathbb{Z} \bmod p^M \mathbb{Z}$.

Proposition.

In the above notation for any $\tau \in \mathcal{G} \subset \Gamma$ we have

$$a_\tau = b_\tau \bmod p^M \mathbb{Z}.$$

Remark.

The embedding $\mathcal{G} \subset \Gamma$ is given by the construction of the functor \mathcal{X} , c.f. n.1.4.

2.4. Deduce theorem of n.2.2 from the above proposition.

By bilinearity it is sufficient to consider the case $u = E(\alpha, \tilde{t}_0^a)$, $v = E(\beta, \tilde{t}_0^b)$, where $\alpha, \beta \in W(k)$, $a, b \in \mathbb{N}$, $(ab, p) = 1$.

Consider the equation $(*)_1$ of n.2.3 for $f = L(u) = \alpha \tilde{t}^a$.

Let $\psi_{\mathcal{K}} : \mathcal{K}^* \rightarrow \mathcal{G}^{\text{ab}}$ be the reciprocity map and $\tau \mapsto \psi_{\mathcal{K}}(v)$ under canonical projection $\mathcal{G} \rightarrow \mathcal{G}^{\text{ab}}$.

Then the explicit reciprocity law in characteristic p case, c.f. n.1.5, gives (in notation of n.2.3) that

$$(*) \quad a_\tau = \text{Tr} \left\{ \text{Res} \left[\frac{\alpha \tilde{t}^a}{H_M} \sum_{n \geq 0} b(\sigma^n \beta) \tilde{t}^{p^n b} \right] \frac{d\tilde{t}}{\tilde{t}} \right\}.$$

Remark, that

a) $H_M = H^{p^M} - 1 = G_0(\tilde{t}^p)$ for some power series $G_0 \in W_M(k)[[\tilde{t}]]$, therefore, we can omit all terms of the above formula $(*)$ with $n > 0$.

b) $H_M = G_M(\tilde{t}^{p^M}) + pG_{M-1}(\tilde{t}^{p^{M-1}}) + \dots + p^{M-1}G_1(\tilde{t}^p)$ for some power series $G_1, \dots, G_M \in W_M(k)[[\tilde{t}]]$. This gives skew-symmetry of the right-hand side of (*) as a function of pairs (α, a) and (β, b) . So, one can rewrite the formula (*) in the form

$$a_\tau = \frac{1}{2} \langle u, v \rangle_{H_M}.$$

The commutative diagram of n.1.4 gives $i_{\mathcal{K}/K}(\psi_{\mathcal{K}}(v)) = \psi_K(\mathcal{N}(v))$. Therefore, (in notation of n.2.3)

$$(\mathcal{N}(u), \mathcal{N}(v)) = \zeta^{b_\tau}$$

and our theorem follows from the above proposition.

2.5. Proof of proposition n.2.3.

It is sufficient to treat the case $f = \alpha \tilde{t}^a$, where $\alpha \in W(k)$, $a \in \mathbb{N}$, $(a, p) = 1$. Let T be a solution of the equation

$$(*) \quad \sigma T - T = \frac{\alpha \tilde{t}^a}{H_M}$$

in $O_M(\mathcal{K}_{\text{sep}})$. Then for any $\tau \in \mathcal{G}$

$$\tau T - T = a_\tau = a_\tau(\alpha, a) \in W_M(\mathbb{F}_p).$$

2.5.1. One equivalence.

Consider the embedding $j : \mathcal{K}_{\text{sep}} \rightarrow R_0$ from no.1.6. This j can be prolonged uniquely to the imbedding

$$O_M(j) : O_M(\mathcal{K}_{\text{sep}}) \rightarrow W_M(R_0)$$

which transforms σ to Frobenius morphism of $W_M(R_0)$ (so, we can denote the Frobenius on $W_M(R_0)$ also by σ) and is compatible with the inclusion of Galois groups $\mathcal{G} \subset \Gamma$.

Let $H \in W(k)[[\tilde{t}]]$ be the power series from n.2.2 and (as earlier) $\varepsilon = (\varepsilon^{(n)})_{n \geq 0} \in R$ be such that $\varepsilon^{(M)} = \zeta$. Clearly, this means that $(\sigma^{-M}\varepsilon)^{(0)} = \zeta$. Therefore, we have the following equivalence in $W_M(R)$

$$\sigma^{-M}[\varepsilon] \equiv H \pmod{\tilde{t}^e W_M(R) + pW_M(R)}.$$

It is easy to see that we have an equality of ideals

$$(\varepsilon - 1)R = \tilde{t}_0^{\frac{ep}{p-1}} R,$$

in the ring R (here e is the absolute ramification index of K). Therefore,

$$\begin{aligned} [\varepsilon] &\equiv H^{p^M} \pmod{([\varepsilon] - 1)^{p^{M-1}(p-1)} W_M(R) + p([\varepsilon] - 1)^{p^{M-2}(p-1)} W_M(R) + \dots} \\ &\quad \dots + p^{M-1}([\varepsilon] - 1)^{(p-1)} W_M(R). \end{aligned}$$

This gives for any $\alpha \in W(k)$ and $a \in \mathbb{N}$

$$\frac{\alpha \tilde{t}^a}{[\varepsilon] - 1} \equiv \frac{\alpha \tilde{t}^a}{H_M} \bmod \left(([\varepsilon] - 1)^{p^{M-1}(p-1)-2} W_M(m_R) + p([\varepsilon] - 1)^{p^{M-2}(p-1)-2} W_M(m_R) + \dots \right. \\ \left. \dots + p^{M-1}([\varepsilon] - 1)^{(p-1)-2} W_M(m_R) \right),$$

where m_R is the maximal ideal in R .

2.5.2. Interpretation of a_τ in $W_M(R_0)$.

Consider an analogue of the above equation $(*_1)$

$$(*_2) \quad \sigma T - T = \frac{\alpha \tilde{t}^a}{[\varepsilon] - 1}$$

in $W_M(R_0)$. The last equivalence of n.2.5.1 gives

$$O_M(j)(T_1) \equiv T_2 \bmod \left(([\varepsilon] - 1)^{p^{M-1}(p-1)-2} W_M(m_R) + p([\varepsilon] - 1)^{p^{M-2}(p-1)-2} W_M(m_R) + \dots \right. \\ \left. \dots + p^{M-1}([\varepsilon] - 1)^{(p-1)-2} W_M(m_R) \right),$$

where T_2 is a solution of the equation $(*_2)$ in $W_M(R_0)$. Therefore, for any solution $T \in W_M(R_0)$ of the equation $(*_2)$ and any $\tau \in \mathcal{G}$ we have

$$\tau T - T = a_\tau,$$

because $W_M(R_0)^{\mathcal{G}} = W_M(\mathbb{F}_p)$.

2.5.3. Interpretation of a_τ in $W_M(R)$.

We use notation of n.1.7.

Remark, that

$$\psi(X) \equiv \widetilde{\log}(1 + X) \bmod X^p \mathbb{Z}_p[[X]],$$

where $\widetilde{\log}(1 + X) = X - X^2/2 + \dots - X_{p-1}/(p-1)$ is the truncated logarithm.

Let T be a solution of the equation

$$(*_3) \quad \sigma T - T = \frac{\alpha \tilde{t}^a}{\psi([\varepsilon] - 1)}$$

in $W_M(R_0)$.

By the above remark

$$\frac{\alpha \tilde{t}^a}{\psi([\varepsilon] - 1)} \equiv \frac{\alpha \tilde{t}^a}{[\varepsilon] - 1} \bmod W_M(m_R).$$

Therefore, T is equivalent modulo $W_M(m_R)$ to some solution of the equation $(*_2)$. This gives

$$\tau T - T = a_\tau$$

for any $\tau \in \mathcal{G}$.

Lemma.

$$T\psi([\varepsilon]^{1/p} - 1) \in W_M(R).$$

Proof.

Clearly, $T_1 = T\psi([\varepsilon]^{1/p} - 1)$ satisfies in $W_M(R_0)$ the equation

$$\sigma T_1 - sT_1 = \alpha \tilde{t}^a.$$

R is integrally closed in R_0 , therefore, $T_1 \bmod p \in R$. Now one can apply induction on M to prove $T_1 \in W_M(R)$.

Let $X_0 = T\psi([\varepsilon] - 1)$. Then $X_0 = sT_1 \in J_M = J \bmod p^M W(R)$ and satisfies the equation

$$(*)_4 \quad \frac{\sigma X}{\sigma s} - X = \alpha \tilde{t}^a$$

in $W_M(R)$.

Clearly, multiplication by $\psi([\varepsilon] - 1)$ defines a one-to-one correspondence between all solutions of the equation $(*)_3$ in $W_M(R_0)$ and all solutions of the equation $(*)_4$ in J_M . Therefore, if $X \in J_M$ satisfies $(*)_4$ and $\tau \in \mathcal{G}$, then

$$\tau X - X = a_\tau \psi([\varepsilon] - 1).$$

2.5.4. Interpretation of a_τ in $B_{\text{cris},M}$.

Consider a morphism

$$\delta_M : W_M(R) \longrightarrow B_{\text{cris},M}^+$$

induced by the natural inclusion $W(R) \subset B_{\text{cris}}^+$.

According to the property 1.8.2 b) δ_M transforms solutions of the equation $(*)_4$ to solutions $Y \in \text{Fil}^1 B_{\text{cris},M}^+$ of the equivalence

$$(*)_5 \quad \frac{\sigma \tilde{m}}{p} - \tilde{m} \equiv \alpha \tilde{t}^a \bmod S_M,$$

where S_M is the ideal of $B_{\text{cris},M}^+$, generated by $\psi([\varepsilon] - 1)^{p-1}/p$. From the property 1.8.2 c) it follows now that every solution \tilde{m} of the above equivalence $(*)_5$ gives rise to the unique solution $m \in \text{Fil}^1 B_{\text{cris},M}^+$ of the equation

$$(*)_6 \quad \frac{\sigma m}{p} - m = \alpha \tilde{t}^a$$

in $B_{\text{cris},M}^+$, such that $\tilde{m} = m \bmod S_M$. Now the property 1.8.3 a) gives

$$\tau m - m = a_\tau \log[\varepsilon],$$

for any $\tau \in \mathcal{G}$ and arbitrary solution $m \in \text{Fil}^1 B_{\text{cris},M}^+$ of the equation $(*)_6$.

Multiplying (*6) by p and taking an exponential we obtain that for every

$$Y \in (1 + \text{Fil}^1 B_{\text{cris}}^+) \bmod p^{M+1} B_{\text{cris}}^+,$$

satisfying the equality

$$(*)_7 \quad \sigma Y = Y^p \exp(p\alpha \tilde{t}^a)$$

in $B_{\text{cris}, M+1}^+$, one has

$$(\tau Y)Y^{-1} = [\varepsilon]^{a\tau} \bmod p^M B_{\text{cris}}^+.$$

2.5.5. Interpretation of a_τ in $W_{M+1}(R)^*$.

Consider the exact sequence from lemma of n.1.7.2. This sequence gives solvability in $(1+J)^* \bmod p^{M+1}W(R)$ of the equation

$$(*)_8 \quad \sigma Z = Z^p \exp(p\alpha \tilde{t}^a)$$

in $W_{M+1}(R)$ and the cocycle $\{c_\tau \in \mathbb{Z}_p \mid \tau \in \mathcal{G}\}$, such that $\tau Z = Z[\varepsilon]^{c_\tau}$.

Clearly, the imbedding $W(R) \subset B_{\text{cris}}^+$ maps solutions $Z \in (1+J)^* \bmod p^{M+1}W(R)$ of (*8) to solutions $Y \in (1 + \text{Fil}^1 B_{\text{cris}}^+) \bmod p^{M+1} B_{\text{cris}}^+$ of (*7), and, therefore,

$$a_\tau = c_\tau \bmod p^M \mathbb{Z}_p$$

for any $\tau \in \mathcal{G}$.

2.5.6. Relation to Kummer theory.

Let $Z \in (1+J)^* \bmod p^{M+1}W(R)$ be some solution of (*8) in $W_{M+1}(R)$. Then

$$\sigma^M Z = Z^{p^M} \exp(p\sigma^{M-1}(\alpha)\tilde{t}^{ap^{M-1}} + \dots + p^M \alpha \tilde{t}^a)$$

in $W_{M+1}(R)$.

The power series $E(\alpha, X)$ from n.1.2 satisfies the following identity

$$E(\alpha, X)^{p^M} = \exp(p^M \alpha X + p^{M-1} \sigma(\alpha) X^p + \dots + p\sigma^{M-1}(\alpha) X^{p^{M-1}}) E(\sigma^M(\alpha), X^{p^M}).$$

Take $\tilde{t}_1 \in W_{M+1}(R)$, such that $\tilde{t} = \tilde{t}_1 \bmod p^M$, $\sigma \tilde{t}_1 = \tilde{t}_1^p$ and $\tau \tilde{t}_1 = \tilde{t}_1$ for any $\tau \in \mathcal{G}$ (such element \tilde{t}_1 can be constructed similarly to \tilde{t} in the lifting $O_{M+1}(\mathcal{K})$ and then one can take $\tilde{t} = \tilde{t}_1 \bmod p^M$).

Now the above identity gives an equality

$$\sigma^M \left(Z E(\alpha, \tilde{t}_1^a) \right) = \left(Z E(\alpha, \tilde{t}_1^a) \right)^{p^M}.$$

Therefore,

$$Z_1^{p^M} = Z E(\alpha, \tilde{t}_1^a)$$

for $Z_1 = (\sigma^{-M} Z) E(\sigma^{-M} \alpha, \sigma^{-M} \tilde{t}_1^a)$, because σ is bijective on $W_{M+1}(R)$.

For every $\tau \in \mathcal{G}$ we have

$$\tau Z_1(Z_1)^{-1} = [\sigma^{-M} \varepsilon]^{c_\tau}.$$

Consider the homomorphism of rings $\gamma : W(R) \longrightarrow O_{\mathbb{C}_p}$ from n.1.7.1. This γ induces the homomorphism

$$\gamma_{M+1} : W_{M+1}(R) \longrightarrow O_{\bar{K}}/p^{M+1}O_{\bar{K}}$$

and, clearly, $\gamma_{M+1}(Z) = 1 \bmod p^{M+1}O_{\bar{K}}$, $\gamma_{M+1}(E(\alpha, \tilde{t}_1^a)) = \mathcal{N}(E(\alpha, \tilde{t}_1^a)) \bmod p^{M+1}O_{\bar{K}}$, $\gamma_{M+1}(\sigma^{-M} \tilde{t}_1^a) = \pi_M \bmod p^{M+1}O_{\bar{K}}$, c.f. n.1.3, and $\gamma_{M+1}([\sigma^{-M} \varepsilon]) = \zeta \bmod p^{M+1}O_{\bar{K}}$. Therefore, if $W \in O_{\bar{K}}$ be such that $\gamma_{M+1}(Z_1) = W \bmod p^{M+1}O_{\bar{K}}$, then

$$W^{p^M} \equiv E(\alpha, \pi^a) \bmod p^{M+1}O_{\bar{K}}$$

and for any $\tau \in \mathcal{G}$ one has

$$(\tau W)W^{-1} \equiv \zeta^{c_\tau} \bmod p^{M+1}O_{\bar{K}}.$$

Obviously, this implies that Kummer extension

$$Z^{p^M} = \mathcal{N}(u) = E(\alpha, \pi^a)$$

has a cocycle $(\tau Z)Z^{-1} = \zeta^{c_\tau}$. Proposition is proved, because $a_\tau = c_\tau \bmod p^M \mathbb{Z}_p$, c.f. n.2.5.5.

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