Virtual cohomology of the moduli space of curves in the unstable range

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0. - Introduction

Let \mathcal{M}_g denote the moduli space of smooth, projective curves of genus $g \geq 2$. The cohomology space $H^i(\mathcal{M}_g)$, for $i < \frac{g}{2}$, is independent of g; according to conjectures of Mumford [5] it should be represented by tautological classes which, in particular, are Tate classes (for the natural action of $\operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$, taking étale cohomology). On the other hand, it is known that for g >> 0, \mathcal{M}_g is of general type and, in particular, carries many holomorphic sections of the pluricanonical bundle.

Harris and Mumford [6] have asked whether (for large g) \mathcal{M}_g carried holomorphic forms of degree g, 2g - 1 or 3g - 3: these degrees are suggested by the allowed degrees for holomorphic forms on the space \mathcal{A}_g of principally polarized Abelian varieties ([9]) and its coverings. In this paper we will answer the question, but only, unfortunately, in a virtual fashion.

Write $\mathcal{M}_g = \Gamma_g \setminus \mathfrak{T}_g$, where \mathfrak{T}_g is the Teichmüller space, and Γ_g the Teichmüller group. There is a natural map $\Gamma_g \to \operatorname{Sp}(g, \mathbb{Z})$ given by the action of Γ_g on the cohomology of the "universal" curve of genus g. Let $\Gamma_g(N)$ be the inverse image in Γ_g of the full level N subgroup $\Gamma(N)$ in $\Gamma = \operatorname{Sp}(g, \mathbb{Z})$. Thus $\Gamma_g/\Gamma_g(N) \cong \operatorname{Sp}(g, \mathbb{Z}/N\mathbb{Z})$ since $\Gamma_g \to \Gamma$ is surjective.

Denote by $\mathcal{M}_g(N)$ the quotient $\Gamma_g(N) \setminus \mathfrak{I}_g$, a Galois covering of \mathcal{M}_g with group $\operatorname{Sp}(g, \mathbb{Z}/N\mathbb{Z})/(\pm 1)$. We will prove :

THEOREM 1. — For fixed g, and N sufficiently large,

 $H^{0}(\mathcal{M}_{q}(N), \Omega^{i}) \neq 0 \text{ for } i = g, \ 2g - 1, \ 3g - 3,$

assuming moreover that g > 3 (if i = 2g - 1) and g > 5 (if i = 3g - 3).

Our proof relies on a method developed in an earlier paper [2] and applied there to the restriction of holomorphic cohomology classes to subvarieties of Shimura varieties. We use it here to study the restriction to \mathcal{M}_g (via the Torelli embedding) of holomorphic cohomology classes on \mathcal{A}_g . A simple differential computation implies that this restriction is (virtually) injective. The theorem follows from existence results for holomorphic forms on \mathcal{A}_g ; the precise theorem we use is due to Li [4].

Note that according to Weissauer [9], that are no holomorphic forms on \mathcal{A}_g in degrees g, 2g-1, 3g-3, at least for g >> 0. Thus it may be natural to expect the same of \mathcal{M}_g (rather than its coverings!).

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1. - Differential calculus

Let \mathcal{M}_g denote the moduli space of smooth, projective curves of genus $g \geq 2$. We use the transcendental realization of \mathcal{M}_g as $\Gamma_g \backslash \mathfrak{T}_g$, where \mathfrak{T}_g , the Teichmüller space, is a bounded, contractible, holomorphically convex domain in \mathbb{C}^{3g-3} . The Torelli map twhich to a curve C associates its Jacobian is an injection of \mathcal{M}_g into \mathcal{A}_g , the space of principally polarized Abelian varieties of genus g.

The associated map $\Gamma_g \to \Gamma := \operatorname{Sp}(g, \mathbb{Z})$ is surjective, and we define $\Gamma_g(N)$ as the inverse image in Γ_g of the full level N subgroup

(1.1)
$$\Gamma(N) = \{\gamma \in \Gamma : \gamma \equiv 1[N]\}$$

in Γ . We will consider the associated map

(1.2)
$$t(N): \mathcal{M}_g(N) = \Gamma_g(N) \backslash \mathfrak{T}_g \to \mathcal{A}_g(N)$$

with $\mathcal{A}_g(N)$ the space of principally polarized Abelian varieties with full level N structure. We view $\mathcal{A}_g(N)$ as the quotient $\Gamma(N) \setminus \mathcal{H}_g$, where \mathcal{H}_g is the Siegel upper-half space. We will denote by G the Q-group $\operatorname{Sp}(g)$; thus $G(\mathbb{R})$ acts on \mathcal{H}_g .

Let ω be a holomorphic *i*-form on $\mathcal{A}_g(N)$, which we view as a form on \mathcal{H}_g invariant under $\Gamma(N)$. If $\gamma \in G(\mathbb{Q})$ is seen as acting by (left) translations on \mathcal{H}_g , $\gamma^*\omega$ is then invariant under $\Gamma(1) \cap \gamma \Gamma(N) \gamma^{-1}$, a congruence subgroup of $\Gamma(1)$ which contains a subgroup $\Gamma(M)$. Thus $\gamma^*\omega$ is a *i*-form on $\mathcal{A}_g(M)$ for some M.

We will say that ω is virtually non-zero along \mathfrak{T}_g if there exists $\gamma \in G(\mathbb{Q})$ such that

(1.3)
$$t(M)^* \gamma^* \omega \neq 0$$

M being of course determined as above by γ . (*)

We denote by Ω^i or Ω^i_X the sheaf of holomorphic *i*-forms on a variety X. On $\mathcal{A}_g(N)$ we have an invariant measure, and we can consider the corresponding spaces of square-integrable forms.

PROPOSITION 1. — Assume $\omega \in H^0(\mathcal{A}_g(N), \Omega^i)$ is square-integrable and non-zero (i = g, 2g - 1, 3g - 3). Then ω is virtually non-zero along \mathfrak{T}_g .

Proof: Suppose, on the contrary, that $t(M)^*\gamma^*\omega = 0$ for all γ and all M such that $\Gamma(1) \cap \gamma \Gamma(N)\gamma^{-1} \supset \Gamma(M)$. In particular, consider the lift \tilde{t} to \mathfrak{T}_q of the Torelli map :

(1.4)
$$\widetilde{t}: \mathfrak{T}_g \to \mathcal{H}_g$$
.

^(*) In [2] we would have termed ω "stably non-vanishing along \mathfrak{T}_g ", but this would be confusing in the present context.

If we view ω as a form on \mathcal{H}_g , $\gamma^*\omega$ then must vanish on $\tilde{t}(\mathfrak{T}_g)$, i.e. : $\tilde{t}^*(\gamma^*\omega) = 0$. For $\gamma \in G(\mathbb{R})$, $\gamma^*\omega$ is a holomorphic *i*-form on \mathcal{H}_g that depends continuously on γ . By continuity we deduce that

(1.5)
$$\widetilde{t}^*(\gamma^*\omega) = 0 , \ \gamma \in G(\mathbb{R}) .$$

Now fix a point $\gamma \in \mathcal{M}_g$ and let $\tilde{C} \in \mathfrak{T}_g$ be a base point above C. Let K be the isotropy subgroup of $\tilde{t}(\tilde{C})$ in $G(\mathbb{R})$, a group conjugate to $U(g) \subset \operatorname{Sp}(g, \mathbb{R})$. Then we have in particular

(1.6)
$$\widetilde{t}^*(k^*\omega_{\widetilde{t}}) = 0 \text{ for all } k \in K$$

where $\widetilde{J} = \widetilde{t}(\widetilde{C})$ lifts the Abelian variety J = t(C), $\omega_{\widetilde{J}}$ is the form ω at the point \widetilde{J} , and $\widetilde{t^*}$ is the obvious map between exterior powers of the cotangent spaces at \widetilde{C} and \widetilde{J} .

Denote by $V = V(\omega, \tilde{J})$ the K-span of the vector $\omega_{\tilde{J}} \in \Lambda^{i} T^{*}_{\tilde{I}}(\mathcal{H}_{g})$: we then have

Lemma 1. — $\widetilde{t}^{\star}_{\widetilde{C}}(V) = 0.$

Note that $T^*_{\widetilde{J}}(\mathcal{H}_g) \cong T^*_J(\mathcal{A}_g)$ and $T^*_{\widetilde{C}}(\mathfrak{I}_g) \cong T^*_C(\mathcal{M}_g)$. We now describe the map $T^*_{\widetilde{C}}(\mathfrak{I}_g) \to T^*_{\widetilde{J}}(\mathcal{H}_g)$ through these identifications. Thus we are interested in the natural map

(1.7)
$$t^*: T^*_J(\mathcal{A}_g) \to T^*_C(\mathcal{M}_g)$$

where J is the Jacobian variety of C.

Now both tangent spaces are described by deformation theory; for the Abelian variety we have

(1.8)
$$T_J^*(\mathcal{A}_g) \cong \operatorname{Sym}^2 H^0(J, \Omega).$$

Assume that C is not hyperelliptic : then $t(\mathcal{M}_g)$ is non-singular at t(C) and its cotangent space is canonically described as

(1.9)
$$T^*_C(\mathcal{M}g) = H^0(C, \otimes^2 \Omega^1_C) ,$$

the space of quadratic differentials on C. We have a canonical isomorphism $H^0(C, \Omega_C) = H^0(J, \Omega_J)$ and we want to describe t^* using these isomorphisms. Thus we get a map $t^* : \operatorname{Sym}^2 H^0(C, \Omega) \to H^0(C, \otimes^2 \Omega^1)$ which, according to Andreotti and Mayer, [1] (see also Mumford [6, p. 88] is simply obtained by associating to symmetric tensors the corresponding quadratic differentials.

We now turn to the representation-theoretic interpretation of Lemma 1. Recall that holomorphic, L^2 g-forms on $\Gamma \setminus \mathcal{H}_g$, $\Gamma \subset \Gamma(1)$ being a congruence subgroup, correspond bijectively to submodules of $L^2_{\text{dis}}(\Gamma \setminus G(\mathbb{R}))$ isomorphic to a certain representation A_q ,

where $q \subset \text{Lie } G(\mathbb{R}) \otimes \mathbb{C} = \mathfrak{sp}(g, \mathbb{C})$ is a parabolic subalgebra stable by a Cartan involution. This is due to Parthasarathy, Kumaresan and Vogan-Zuckerman; for a precise description of the correspondence in our context see [2], especially § 3C. We will use the notions contained in this paper without further comment.

We can realize Sp(g) as the group

(1.10)
$$\left\{g = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in U(g,g) : {}^{t}g \begin{pmatrix} 0 & 1_{g} \\ -1g & 0 \end{pmatrix} g = \begin{pmatrix} 0 & 1_{g} \\ -1g & 0 \end{pmatrix}\right\}$$

Then $K = \left\{ \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix} : D = {}^{t}A^{-1}, A \in U(g) \right\}$. We have the Cartan decomposition $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p} + \oplus \mathfrak{p}_{-}, \mathfrak{p}_{+}$ being the holomorphic tangent space at the fixed point (here \widetilde{J}) associated to K. Then

(1.11)
$$\mathfrak{p}_{+} = \left\{ \begin{pmatrix} 0 & B \\ 0 & 0 \end{pmatrix} : {}^{t}B = B, B \in M_{n}(\mathbb{C}) \right\}.$$

Thus \mathfrak{p}_+ is naturally identified to $\operatorname{Sym}^2(\mathbb{C}^g)$. The form $\omega_{\widetilde{J}}$ is an element of $\Lambda^i \mathfrak{p}_+^*$. We now assume temporarily that i = g. Then \mathfrak{q} is the parabolic subalgebra associated to

$$(1.12) x = \sqrt{-1} \begin{pmatrix} x_1 & & & \\ 0 & & & \\ & \ddots & & & \\ & & 0 & & \\ & & -x_1 & & \\ & & & 0 & \\ & & & & 0 \\ & & & & 0 \end{pmatrix} \in \operatorname{Lie}_{\mathbb{R}} K, x_1 \neq 0 \ .$$

Let $(e_1, \ldots e_g)$ be the natural basis of \mathbb{C}^g , and let $V(\mathfrak{q})$ be the K-span of the vector

(1.13)
$$e(\mathfrak{q}) = e_1^2 \wedge e_1 e_2 \wedge \cdots \wedge e_1 e_g \in \Lambda^g \mathfrak{p}_+$$

This space is irreducible, and occurs exactly once in $\Lambda^{g}\mathfrak{p}_{+}$. If $\omega_{\widetilde{J}} \neq 0$, the K-span of $\omega_{\widetilde{J}}$ is then the dual space of $V(\mathfrak{q})$ in $\Lambda^{g}\mathfrak{p}_{+}^{*}$ (see [2,§2]). Let (e_{i}^{*}) be the dual basis.

LEMMA 2. — Assume $\omega_{\widetilde{J}} \neq 0$. Then $V = V(\omega, \widetilde{J})$ contains $e^*(\mathfrak{q}) = (e_1^*)^2 \wedge e_1^* e_2^* \wedge \cdots \wedge e_1^* e_g^*$.

This is clear by multiplicity one, since $e^*(q)$ is dual to e(q).

We now consider the restriction map $\tilde{t}^*_{\widetilde{C}}$. Note that in our identifications the space \mathbb{C}^g used to describe \mathfrak{p}_+ is naturally identified with the holomorphic tangent space $T_0(J)$; the vectors e^*_i are then differential forms, on J, which form an orthonormal basis of $H^0(J,\Omega_J)$

for the scalar product given by the canonical polarization. By lemmas 1 and 2, if $\omega_{\tilde{j}} \neq 0$, we must have $\tilde{t}^*_{\tilde{C}}(e^*(\mathfrak{g})) = 0$. By (1.8), (1.9) and the description of the restriction map following (1.9), we deduce that

(1.14)
$$(e_1^*)^2 \wedge \cdots \wedge e_1^* e_g^* = 0$$
,

an identity in $\Lambda^g H^0(C, \otimes^2 \Omega^1)$. Consequently the quadratic differentials $(e_1^*)^2, (e_1^*e_2^*), \ldots, e_1^*e_g^*$ are linearly dependent. This yields a relation

(1.15)
$$e_1^* \left(\sum_{i=1}^g \alpha_i e_i^* \right) = 0$$

between differentials on C, which clearly implies that $\sum_{i=1}^{y} \alpha_i e_i^* = 0$, a contradiction.

We have proved that if $\gamma^*\omega$ vanishes for all $\gamma \in \mathcal{A}(\mathbb{Q})$ when restricted to (the suitable covering of) \mathcal{M}_g , then $\omega_{\widetilde{J}} = 0$ if \widetilde{J} is the lift of the Jacobian variety of a non-hyperelliptic curve : thus by density $\omega_{\widetilde{J}} = 0$ for all Jacobian lifts. We many apply this conclusion to $\delta^*\omega$ for $\delta \in G(\mathbb{Q})$: the conclusion is that $\delta^*\omega$ vanishes on $\widetilde{t(\mathcal{M}_g)}$ for any $\delta \in G(\mathbb{Q})$. This is then true, by continuity, for any $\delta \in G(\mathbb{R})$ and ω must vanish : this proves Proposition 1 for i = g.

We now extend the proof to the other allowable degrees. Note that \mathcal{A}_g can have holomorphic cohomology only in degrees $i = \frac{g(g+1)}{2} - \frac{x(x+1)}{2} = hg - \frac{h(h-1)}{2}$, where h + x = g, $0 \ge h \ge g$. (See Weissauer [9], as well as Parthasarathy [7] or [2, § 3C] for L^2 -holomorphic forms). In particular the only relevant degrees for restriction to \mathcal{M}_g are g, 2g - 1 and 3g - 3. We consider the cases where i = 2g - 1 or 3g - 3. According to [2, § 3C] the corresponding L^2 -forms on \mathcal{A}_g are associated to representations \mathcal{A}_q of $G(\mathbb{R})$ of the following types. We keep the notations recalled above (1.10) for Lie algebras, and refer the reader to [2] for the description of the Vogan-Zuckerman theory in this context.

$$(1.16)$$
 $i = 2g - 1$



$$\mathfrak{q} \cap \mathfrak{p}_{+} = \langle e_{1}^{2}, e_{1}e_{2}, \dots, e_{1}e_{g}, e_{2}^{2}, e_{2}e_{3}, \dots e_{2}e_{g} \rangle$$
$$e(\mathfrak{q}) = e_{1}^{2} \wedge e_{1}e_{2} \wedge \dots \wedge e_{1}e_{g} \wedge e_{2}^{2} \wedge e_{2}e_{3} \wedge \dots \wedge e_{2}e_{g} ,$$

We now resume the previous analysis. As in the case of i = g we want to check that $\tilde{t}_{\widetilde{C}}^*(e^*(\mathfrak{q})) \neq 0$, where now $e(\mathfrak{q}) = (e_1^*)^2 \wedge e_1^* e_2^* \wedge \cdots \wedge e_2^* e_g^*$. To prove this we must show that the associated quadratic differentials on C are linearly independent. This amounts to :

LEMMA 3. — Assume C sufficiently general. Then there exists an orthonormal basis (ω_i) of $H^0(C,\Omega)$ such that the quadratic differentials $\omega_1^2, \omega_1\omega_2, \ldots, \omega_1\omega_3, \ldots, \omega_2\omega_g$ are linearly independent.

This follows from Petri's 1922 paper [8]; we rely on Mumford's exposition in [6, Lecture 1]. Assume C is not hyperelliptic. Choose g points $x_1, \ldots x_g \in C$ in general position. Then we can take a dual basis (ω_i) , with $(\omega_i)_{x_i} \neq 0$ and $(\omega_i)_{x_j} = 0$ $(j \neq i)$. Petri (and Mumford) then show that the quadratic differentials $\omega_1^2, \omega_1\omega_2, \ldots, \omega_1\omega_g, \omega_2^2, \ldots, \omega_2\omega_g$ are linearly independent.

To complete the argument, we have to show that this can be ensured with the ω_i an orthonormal basis. However this now follows from Gram-Schmid orthonormalization. (We need an orthonormal basis to ensure that the ω_i are a dual basis of the basis e_i used in Lemma 2, without further linear algebra).

i = 3q - 3, q > 3

Now assume

(1.17)

The same argument now shows that proposition 1 follows from

LEMMA 4. — For C sufficiently general in \mathcal{M}_g and $\omega_1, \ldots, \omega_g$ a suitable orthonormal basis, the quadratic differentials $\omega_1^2, \omega_1 \omega_2, \ldots, \omega_1 \omega_g, \omega_2^2, \omega_2 \omega_3, \ldots, \omega_2 \omega_g, \omega_3^2, \ldots, \omega_3 \omega_g$ are linearly independent.

Proof (*) : We may forget the orthogonality condition since it can be ensured by orthonormalization. Thus we want to show that for a generic basis of $H = H^0(C, \Omega)$ the indicated quadratic differentials are independent. Start with differentials $\omega_1, \ldots, \omega_g$ satisfying Petri's conditions (cf. after lemma 3, and [6, p. 18]). Then [6, p. 18-19] the differentials

(1.18)
$$\omega_1^2, \omega_1 \omega_2, \dots, \omega_1 \omega_g, \omega_2^2, \dots, \omega_2 \omega_g, \omega_3^2, \omega_4^2, \dots, \omega_g^2$$

are linearly independent. On the other hand, the differentials $\omega_i \omega_j$ $(i \neq j, i, j \geq 3)$ are then linear combinations of the $\omega_1 \omega_i$ and $\omega_2 \omega_i$ (ibid., p. 19). Now take the new basis obtained by replacing ω_3 by $\omega'_3 = \omega_3 + \lambda_4 \omega_4 + \cdots + \lambda_g \omega_g$. The space V generated by the (2g-1) first differentials in (1.18) does not change. Modulo V, we now have

(1.19)
$$(\omega'_3)^2 = \omega_3^2 + \lambda_4^2 \omega_4 + \dots + \lambda_g^2 \omega_g^2$$

$$\omega'_{3}\omega_{4} = \lambda_{4}\omega_{4}$$
$$\vdots$$
$$\omega'_{3}\omega_{g} = \lambda_{g}\omega_{g}^{2}.$$

For $\lambda = (\lambda_4, \ldots, \lambda_g)$ nearly 0 and $\lambda_i \neq 0$, $(\omega_1, \omega_2, \omega'_3, \ldots, \omega_g)$ is indeed a basis of H while (1.19) shows that $(\omega'_3)^2, \ldots, \omega'_3 \omega_g$ is a basis for thhe quadratic differentials mod V. This implies the lemma, and the proof of Proposition 1.

We conclude this paragraph with the remark that the square-integrability condition in Proposition 1 is very likely superfluous. We explain how it could be removed. Let ω be a differential form on \mathcal{A}_g , invariant under a subgroup $\Gamma(N)$, and consider the lifted differential $\tilde{\omega}$ on \mathcal{H}_g . If $x \in \mathcal{H}_g$ and $K = K_x$ is the corresponding isotropy subgroup, we may view ω_x as an element of $\operatorname{Hom}_K(\Lambda^i \mathfrak{p}_x^+, \mathbb{C})$ where \mathfrak{p}_x^+ is the holomorphic tangent space at x. Then in degrees i = g, 2g - 1, 3g - 3, ω_x should lie in the irreducible K_x -module specified by A_q , where \mathfrak{q} is the parabolic subalgebra associated to the degree. This is strongly suggested by Weissauer's result [9] according to which \mathcal{A}_g can have holomorphic cohomology only in the degrees $hg - \frac{h(h-1)}{2}$ ($0 \leq h \leq g$) allowed by the holomorphic parabolic subalgebras A_q , cf. before Lemma 3. Then the previous arguments apply to prove Proposition 1. A stronger statement (which should also be true) is that the space generated by $\tilde{\omega}$ under $G(\mathbb{R})$ is of type A_q . We leave this to the interested reader.

^(*) We thank D. Perrin and A. Beauville for indicating to us the proof of this lemma.

2. — Existence of cohomology on $\mathcal{A}_q(N)$

In order to apply proposition 1, we still need to show the existence of the corresponding classes on $\mathcal{A}_{q}(N)$. If we did not impose an L²-condition, (see the discussion at the end of the previous paragraph), we could, in a lot of cases, simply quote a result of Weissauer [10] :

THEOREM 2 (Weissauer). —

- (i) $H^0(\mathcal{A}_q(4), \Omega^g) \neq 0$ and $H^0(\mathcal{A}_q(4), \Omega^{3g-3}) \neq 0$ if q is even
- (ii) $H^0(\mathcal{A}_q(4), \Omega^{2g-1}) \neq 0$ if q is odd.

However these differential forms are not cuspidal, and there seems to be no reason to assume that they are square-integrable. We want to prove the existence of L^2 -forms of type A_q , for the representations A_q described in §1 (associated to i = g, 2g - 1, 3g - 3). For this we simply rely on a recent theorem of J.-S. Li. Using the theory of theta-series he proves the following result.

Denote by A_i the irreducible representation of G with holomorphic cohomology in degree i (i = g, 2g - 1, 3g - 3). We denote by mult $(A_i, L^2(\Gamma \setminus G))$ the multiplicity of A_i in the discrete part of the L^2 -space.

THEOREM 3 (Li [4]). — For any sufficiently deep congruence subgroup Γ of $Sp(g, \mathbb{Z})$,

- (i) $\operatorname{mult}(A_q, L^2(\Gamma \setminus G)) > 0$ (for any $g \ge 1$)
- (ii) mult $(A_{2q-1}, L^2(\Gamma \setminus G)) > 0 \ (q > 3)$
- (iii) $\operatorname{mult}(A_{3g-3}, L^2(\Gamma \setminus G)) > 0 \ (g > 5).$

This is theorem 5.8 of [4, p. 209], once the requisite notations are taken into account; note that in [4, formula (54)] the algebra I, the reductive part of the parabolic subalgebra q defining A_q , is isomorphic to $u(\alpha) \times sp(g-\alpha)$ for each of our modules A_i , as follows easily from the description in [2, §3C]. (Here $i = \alpha g - \frac{\alpha(\alpha - 1)}{2}$, so $\alpha = 1, 2, 3$).

This concludes the proof of theorem 1.

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