

Normal Subgroups of Symplectic Groups  
Over Rings

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# Normal Subgroups of Symplectic Groups Over Rings

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**Abstract.** We consider a module with an alternating form over a commutative ring. Under certain conditions, which hold, for example, when the form is non-singular and the module is projective of rank  $\geq 6$  and contains a unimodular vector, we describe all subgroups of the symplectic group which are normalized by symplectic transvections. This generalizes many previous results of Dickson, Abe, Klingenberg, Bak, et al.

**Key words:** normal subgroups, symplectic groups, alternating forms.

## 1. Introduction.

Let  $R$  be a commutative associative ring with 1. For any integer  $n \geq 1$ , let  $\mathrm{Sp}_{2n}R$  be the standard symplectic group and  $\mathrm{Ep}_{2n}R$  its subgroup generated by elementary symplectic matrices [11], [37], [54], [62].

When  $R$  is a field, Dickson [20] proved that  $\mathrm{Sp}_{2n}R = \mathrm{Ep}_{2n}R$  (by the way, the term "symplectic" was coined later, so Dickson wrote about "abelian linear groups  $\mathrm{SA}(2n, R)$ "). Moreover, he showed that this group modulo its center (which consists of  $\pm 1_{2n}$ ) is simple with the following three exceptions:  $R$  consists of 2 elements and  $n = 1$  (in this case  $\mathrm{Sp}_{2n}R = \mathrm{SL}_2R$  is isomorphic to the symmetric group  $S_3$ );  $R$  consists of 3 elements and  $n = 2$  (in this case  $\mathrm{Sp}_{2n}R$  is isomorphic to the alternating group  $A_4$ );  $R$  consists of 2 elements and  $n = 2$  (in this case,  $\mathrm{Sp}_{2n}R = \mathrm{Sp}_4R$  is isomorphic to the symmetric group  $S_6$ ). In all these 3 cases, the commutator subgroup of  $\mathrm{Sp}_{2n}R = \mathrm{Ep}_{2n}R$  is a proper non-central normal subgroup. See also [5], [21], [42] [46] about symplectic groups over fields.

Klingenberg [23] described all normal subgroups of  $\mathrm{Sp}_{2n}R$  for a local ring  $R$  such that the characteristic of the residue field  $R/\mathrm{rad}(R)$  is not 2 and its cardinality is not 3. Abe [1] reduced the conditions on the local ring  $R$  to the following condition: the residue field has more than 3 elements when  $n = 1$  and more than two elements when  $n = 2$ . When  $2R \neq R$ , his answer involves some additive subgroups of  $R$  which are more general than ideals (he called them special submodules associated with ideals; later [3] the result were extended to other rings  $R$ ). See also [13]- [17], [19], [25] [26] [31], [33]-[35], [43], [49]-[53] about  $\mathrm{Sp}_{2n}$  over local, semilocal, and other "zero-dimensional" rings  $R$ .

Mennicke [37] and Bass-Milnor-Serre [11] described all normal subgroups of  $\mathrm{Sp}_{2n}R$  when  $R$  is the ring of integers  $\mathbf{Z}$  or, more generally, a Dedekind ring of arithmetic type and  $n \geq 2$ . Note that the normal subgroup structure of  $\mathrm{Sp}_2R = \mathrm{SL}_2R$  is very different and essentially intractable even when  $R = \mathbf{Z}$  [27] - [30], [39], [40], [38] or another Dedekind ring of arithmetic type with finite  $\mathrm{GL}_1R$  [18], [22], [41], [45].

The normal subgroup structure of  $Sp_{2n}R$  for any  $R$  with "infinite"  $n$  was studied in [4], [9], [32], [44], [61]. Bak [6] announced a description of all subgroups of  $Sp_{2n}R$  when  $n \geq 3$  and is greater than a certain dimension of  $R$ ; see [7] for proofs.

Kopeiko [24] showed that  $Ep_{2n}R$  is normal in  $Sp_{2n}R$  for any  $R$  when  $n \geq 2$ . Later this was rediscovered in part by Taddei [47].

Using localization and patching, a complete description of all subgroups  $H$  of  $Sp_{2n}R$  which are normalized by  $Ep_{2n}R$ , was obtained in [58] in general context of Chavallway groups, provided that  $n \geq 2$ ,  $R$  has no residue fields of 2 elements in the case  $n = 2$ , and

(1) for every element  $z$  of  $R$  there are  $r, s$  in  $R$  such that  $z = 2rz + sz^2$ .

The condition (1) is necessary for the standard description of those  $H$ 's in terms of ideals of  $R$ , as can be seen from the case of local ring  $R$  (see [1], [3]). It was claimed in [58] that without the condition (1), a complete description of  $H$ 's is possible in more general terms. This was proved by Abe [2].

Here we improve on Abo's result extending it to symplectic groups of alternating forms  $F$  on  $R$ -modules  $V$ . Our proofs here use localization and patching. The approach to description of normal subgroups was introduced in [57] for general linear groups  $GL_n R$ ,  $n \geq 3$ . Later it was used for orthogonal [60] and Chevalley [2], [46], [58] groups.

As a departure from the setting of [6], [7], [9], our  $R$ -module  $V$  need not be finitely generated or projective, and our alternating form  $F$  need not be non-singular. Instead of non-singularity, we impose another condition which is equivalent to non-singularity in the case of a finitely generated projective  $V$ .

Singular  $F$  on a finitely generated free  $V$  over local and semilocal rings  $R$  was studied in [13]-[16], [43]. The answer involves tableaux of ideals.

## 2. Statement of results

A *alternating form*  $F$  on an  $R$ -module  $V$  is a bilinear form  $F$  on  $V$  such that  $F(v, v) = 0$  for all  $v$  in  $V$ . We do not require that  $F = Q - Q^T$ , i.e.  $F(u, v) = Q(u, v) - Q(v, u)$  for all  $u, v$  in  $V$ , where  $Q$  is a bilinear form on  $V$ , although such a form  $Q$  exists when  $V$  is projective. Note that any alternating form  $F$  is skew-symmetric, i.e.  $F(u, v) = -F(v, u)$  for all  $u, v$  in  $V$ .

The symplectic group  $\text{Sp}_F R$  is the group of all automorphisms of the  $R$ -module  $V$  which preserve an alternating form  $F$ . Let  $\text{Gp}_F R$  denote the group of all automorphisms which multiply the form by a unit of  $R$ .

For every  $e, u$  in  $V$  such that  $F(e, u) = 0$  and any  $x$  in  $R$  we define (following [56])  $\tau(e, u, x)$  in  $\text{Sp}_F R$  by

$$\tau(e, u, x)v = v + uF(e, v) + eF(u, v) + exF(e, v).$$

An element  $v$  of  $V$  is called  *$F$ -unimodular* if  $F(V, v) = R$ , i.e.  $F(u, v) = 1$  for some  $u$  in  $V$ . The elements  $\tau(e, u, x)$  as above with unimodular  $e$  are called *symplectic transvections*. We denote by  $\text{Ep}_F R$  the subgroup of  $\text{Sp}_F R$  generated by all symplectic transvection. Clearly (see (14) below)  $\text{Ep}_F R$  is normal in  $\text{Gp}_F R$ . Here we give another description of  $\text{Ep}_F R$ , where a *hyperbolic pair* means a pair  $u, v$  of vectors with  $F(u, v) = 1$ .

**PROPOSITION 2.** The group  $\text{Ep}_F R$  coincides with the subgroup of  $\text{Sp}_F R$  generated by all elements  $\tau(e, 0, r)$ , where  $r \in R$  and  $e \in V$  is either  $F$ -unimodular or orthogonal to a hyperbolic pair in  $V$ .

The main goal of this paper is to describe all subgroups  $H$  of  $\text{Gp}_F R$  normalized by  $\text{Ep}_F R$ . It is much easier to describe the centralizer of  $\text{Ep}_F R$ . If  $\text{Ep}_F R$  is trivial, its centralizer in  $\text{Gp}_F R$  is  $\text{Gp}_F R$ . Otherwise, i.e. when an  $F$ -unimodular vector in  $V$  exists, i.e. the Witt index of  $F$  is at least 1, we will show in Section 3 below that the centralizer consists of all scalar automorphisms of  $V$ :

PROPOSITION 3. If  $V$  contains an  $F$ -unimodular vector, then the centralizer of  $\text{Ep}_F R$  in  $\text{Gp}_F R$  consists of all scalar automorphisms of  $V$ , and hence coincides with the center of  $\text{Gp}_F R$ .

We define a *symplectic ideal* of  $R$  as a pair  $(A, B)$ , where  $A$  is an ideal of  $R$  and  $B$  is an additive subgroup of  $A$  such that  $r^2 b, 2a, a^2 r \in B$  for all  $r$  in  $R$ ,  $b$  in  $B$ , and  $a$  in  $A$ .

Note that the condition (1) above is equivalent to the following:  $B = A$  for every symplectic ideal  $(A, B)$  of  $R$ . Under different names, our symplectic ideals appeared first in [1], and then in [2]) [3], [6], [7], [9], [10], [12], [31], [54], [56].

Given any symplectic ideal  $(A, B)$  of  $R$  and any vector  $e$  in  $V$ , we define  $T(e; A, B)$  as the subgroup of  $\text{Ep}_F R$  generated by all  $\tau(e, 0, b)$  with  $b$  in  $B$  and by all  $\tau(e, ua, 0)$  with  $a$  in  $A$  and  $u$  in  $V$  such that  $F(e, u) = 0$ . It is easy to check (see the identity (12) below) that  $T(e; A, B)$  consists of all  $\tau(e, u, r)$  with  $u \in e^\perp A$ ,  $r \in |u|$ , where  $e^\perp = \{v \in V : F(e, v) = 0\}$  is the orthogonal complement of  $e$  in  $V$  and where the map  $| : VA \rightarrow A/B$  is defined by

$$|\sum_{1 \leq i \leq n} v_i a_i| = B + \sum_{1 \leq i < j \leq n} F(v_i a_i, v_j a_j), \text{ where } v_i \in V, a_i \in A.$$

It is easy to check that this is well-defined, i.e.  $|v| \in A/B$  does not depend on choice of presentation  $v = \sum v_i a_i$ .

Let  $\text{Ep}_F(A, B)$  denote the subgroup of  $\text{Ep}_F R$  generated by all  $T(e; A, B)$ , where  $e$  ranges over all  $F$ -unimodular vectors in  $V$ . Clearly,  $\text{Ep}_F(A, B)$  is a normal subgroup of  $\text{Sp}_F R$ , and  $\text{Ep}_F(R, R) = \text{Ep}_F R$ .

THEOREM 4. Assume that  $\dim(F \bmod P) \geq 4$  for every maximal ideal  $P$  of  $R$ . Let  $e_1, e_2$  be vectors in  $V$  with  $F(e_1, e_2) = 1$ . Then the group  $\text{Ep}_F R$  is generated by its subgroups  $T(e_1, R, R)$  and  $T(e_2, R, R)$ . Moreover, for any symplectic ideal  $(A, B)$  of  $R$ , the group  $\text{Ep}_F(A, B)$  coincides with the normal subgroup of  $\text{Ep}_F R$  generated by  $T(e_1, R, R)$ .

The condition  $\dim(F \bmod P) \geq 2m$  (used in Theorem 4 with  $m = 2$ ) means that there are vectors  $v_i$  in  $V$  such that the matrix  $(F(v_i, v_j))_{1 \leq i, j \leq 2m}$  over  $R$  is invertible modulo  $P$ . Since  $F$  is alternating, this number  $2m$  must be even. In the case of a non-singular  $F$ , the condition is equivalent to  $\dim_{R/P} V/VP \geq 2m$ .

The dimension condition in the Theorem 3 is necessary. Without this condition, the first conclusion would give that  $E_2R = Ep_2R$  is normal in  $GL_2R = Gp_2R$ , which is not true in general [18]. However  $E_2R$  is normal in  $GL_2R$  when  $E_2R = SL_2R$  (which is the case under the first Bass stable range condition [8] and for some other rings [55]) or  $R$  is a topological ring with  $GL_1R$  open in  $R$  [59].

We define  $Gp_F(A, B)$  to be the set of all  $g$  in  $Gp_FR$  such that there is  $\alpha \in GL_1R$  and  $c \in R$  such that  $(c^2 - \alpha)R \subset B$ ,  $F(gu, gv) = \alpha F(u, v)$ ,  $gv - vc \in VA$  and  $F(vc, gv) + B = |gv - vc|$  for all  $u, v \in V$ . It is easy to check that  $Gp_F(A, B)$  is a normal subgroup of  $Gp_F(R, R) = Gp_FR$ . The group  $Gp_F(0, 0)$  is the group of scalar automorphisms of  $V$ .

For any two subgroups  $H_1$  and  $H_2$  of a group  $G$  we denote by  $[H_1, H_2]$  the subgroup of  $G$  generated by all commutators  $[h_1, h_2] = h_1h_2h_1^{-1}h_2^{-1}$  with  $h_1$  in  $H_1$  and  $h_2$  in  $H_2$ . It is easy to check that  $[H_1, H_2]$  is normalized by both  $H_1$  and  $H_2$ . THEOREM 5. Assume that  $V$  contains an  $F$ -unimodular vector, that  $\dim(F \text{ mod } P) \geq 4$  for every maximal ideals  $P$  of  $R$ , and that  $\dim(F \text{ mod } P) \geq 6$  for every ideal  $P$  of index 2 in  $R$ . Then  $Ep_FR$  is generated by its subgroups  $\tau(e, 0, R)$ , where  $e$  ranges over all  $F$ -unimodular vectors  $e$  in  $V$ . Moreover, for any symplectic ideal  $(A, B)$  of  $R$ ,  $Gp_F(A, B)$  is the centralizer of  $Ep_FR$  in  $Gp_FR$  modulo  $Ep_F(A, B)$ , i.e. it consists of all  $g$  in  $Gp_FR$  such that  $[g, Ep_FR] \subset Ep_F(A, B)$ . COROLLARY 6. Under the conditions of Theorem 5, for any symplectic ideal  $(A, B)$  of  $R$ , every subgroup  $H$  of  $Gp_F(A, B)$  containing  $Ep_F(A, B)$  is normalized by  $Ep_FR$ . Moreover, for any symplectic transvection  $g$  in  $Gp_FR$  and any  $h$  in  $H$  the commutator  $[g, h]$  is product of symplectic transvections in  $H$ .

Indeed, by Theorem 5,  $[Ep_FR, H] \subset [Ep_FR, Gp_F(A, B)] \subset Ep_F(A, B) \subset H$ .

THEOREM 7. Under the conditions of Theorem 5,

$Ep_F(A, B) = [Ep_F(A, B), Ep_FR] = [Ep_F(A, B), Sp_FR] = [Gp_F(A, B), Ep_FR]$   
for every symplectic ideal  $(A, B)$  of  $R$ .



Since the group  $\mathrm{Sp}_4\mathbb{Z}/2\mathbb{Z} = \mathrm{Ep}_4\mathbb{Z}/2\mathbb{Z}$  is not perfect, we have to require that the dimension of  $F$  modulo  $P$  is not 4 for any ideal  $P$  of index 2 in  $R$ . Note that the group  $\mathrm{Ep}_2R = E_2R$  is not perfect for small fields and for many other rings  $R$ .

By Corollary 6, every subgroup  $H$  of  $\mathrm{Gp}_F(A, B)$  containing  $\mathrm{Ep}_F(A, B)$  is normalized by  $\mathrm{Ep}_FR$ . We want to prove the converse: for every subgroup  $H$  of  $\mathrm{Gp}_FR$  which is normalized by  $\mathrm{Ep}_FR$  there is a symplectic ideal  $(A, B)$  of  $R$  such that  $\mathrm{Ep}_F(A, B) \subset H \subset \mathrm{Gp}_F(A, B)$ . For this to be true, we will need some conditions on  $F$ , besides the existence of an  $F$ -unimodular vector in  $V$ .

First of all, as we did in Theorem 6, we want to exclude the case when  $V = R^2$ . In the case, there are non-standard normal subgroups of  $\mathrm{Sp}_FR = \mathrm{SL}_2R$  (even for  $R = \mathbb{Z}$  [27], [28], [30], [36], [39], [40], [41] and other small dimensional rings [18], [22], [29], [38]) unless we impose rather severe restrictions on  $R$  [17], [45], [59]. Since the group  $\mathrm{Sp}_4\mathbb{Z}/2\mathbb{Z}$  has a non-standard normal subgroup (its commutator subgroup which is proper subgroup), we have to require that the dimension of  $F$  modulo  $P$  is not 4 for any ideal  $P$  of index 2 in  $R$ .

Finally, we have to impose a condition on  $F$  which is weaker than its non-singularity. Namely, we will assume that  $v \in VF(v, V)$  for every vector  $v$  in  $V$ . That is, for every vector  $v$  there is a finite set of vectors  $u_i, w_i$  in  $V$  such that  $v = \sum w_i F(v, u_i)$ . When  $V$  is finitely generated projective, this condition is equivalent to the condition that  $F$  is non-singular, i.e. the assignment  $u \mapsto F(u, ?)$  gives an bijection  $V \rightarrow \mathrm{Hom}_R(V, R)$ . In general, the condition means that the map  $V/VA \rightarrow \mathrm{Hom}_{R/A}(V/A, R/A)$  is injective for every ideal  $A$  of  $R$ .

Here is the main result of this paper.

**THEOREM 8.** Under the conditions of Theorem 5, assume that  $v \in VF(v, V)$  for every vector  $v$  in  $V$ . Then a subgroup  $H$  of  $\mathrm{Gp}_FR$  is normalized by  $\mathrm{Ep}_FR$  if and only if  $\mathrm{Ep}_F(A, B) \subset H \subset \mathrm{Gp}_F(A, B)$  for a symplectic ideal  $(A, B)$  of  $R$ , and if and only if the commutator  $[g, h]$  is a product of symplectic transvections in  $H$  for every symplectic transvection  $g$  in  $\mathrm{Gp}_FR$  and every  $h$  in  $H$ .

### 3. Proof of Proposition 2

First we list some easy to check relations for  $\tau(e, u, x)$ . Let  $e, u, v$  be in  $V$ ,  $x, y$  in  $R$ , and  $g$  in  $Gp(q, R)$ . Assume that  $F(e, u) = F(e, v) = 0$ . Then:

$$(9) \tau(e, u, x)v = v \text{ when } F(u, v) = 0; \text{ in particular, } \tau(e, u, x)e = e;$$

$$(10) \tau(e, u, x) = \tau(e, uy, xy^2);$$

$$(11) \tau(e, u + ey, x) = \tau(e, u, x + 2y);$$

$$(12) \tau(e, u, 0) = \tau(u, e, 0);$$

$$(13) \tau(e, u, x)\tau(e, v, y) = \tau(e, u + v, x + y + F(u, v));$$

$$\text{in particular, } \tau(e, u, x)^{-1} = \tau(e, -u, -x);$$

(14)  $g\tau(e, u, x)g^{-1} = \tau(ge, gu/\alpha(g), x/\alpha(g))$  for every  $g$  in  $Gp_F R$ , where  $\alpha(g) \in GL_1 R$  is such that  $F(gw, gw') = \alpha(g)F(w, w')$  for all  $w, w'$  in  $V$ ,

in particular,

(15) when  $ge = e$  and  $g \in Sp_F R$  (i.e.  $\alpha(g) = 1$ ), we have  $g\tau(e, u, x)g^{-1} = \tau(e, gu, x)$  and  $[g, \tau(e, u, x)] = \tau(e, gu, x)\tau(e, -u, -x) = \tau(e, gu - u, F(u, gu))$ .

Now we are ready to prove Proposition 2. Let  $H$  be the subgroup of  $Ep_F R$  generated by the subgroups  $\tau(e, 0, R)$ , where  $e$  ranges over all vectors  $e$  in  $V$  which are either  $F$ -unimodular or orthogonal to a hyperbolic pair in  $V$ . Clearly,  $H$  is a normal subgroup of  $Gp_F R$ . We want to prove that  $H = Ep_F R$ .

By the definition of  $Ep_F R$ , it contains  $\tau(e, 0, R)$  for every  $F$ -unimodular vector  $e$  in  $V$ . Let us show that  $Ep_F R \ni \tau(e, 0, r)$  when  $r \in R$  and  $e$  is orthogonal to a hyperbolic pair  $e_1, e_2$  in  $V$ . Indeed,

$$\begin{aligned} \tau(e, 0, r) &= \tau(e, e_1, 0) \tau(e, e_2 r, 0) \tau(e, -e_1 - e_2 r, 0) \\ &= \tau(e_1, e, 0) \tau(e_2, er, 0) \tau(e_1 + e_2 r, -e, 0) \in Ep_F R \end{aligned}$$

by (10), (12), (13), because the vectors  $e_1, e_2$ , and  $e_1 + e_2 r$  are  $F$ -unimodular.

Thus,  $H \subset \text{Ep}_F R$ . Let us show now that  $\text{Ep}_F R \subset H$ .

By the definition of  $\text{Ep}_F R$ , it suffices to show that  $H \supset \tau(e, R, R)$  for any  $F$ -unimodular vector  $e$  in  $V$ , i.e.  $H \ni \tau(e, u, r)$  for an arbitrary symplectic transvection  $\tau(e, u, r)$ , where  $u \in e^\perp$  and  $r \in R$ .

We pick a vector  $e'$  in  $V$  with  $F(e, e') = 1$ , and set  $r' = F(u, e')$ ,  $v = u - er'$ . Then  $u = er' + v$  with  $v$  orthogonal to both  $e$  and  $e'$ . By (11),(13),

$$\tau(e, u, r) = \tau(e, v, 0) \tau(e, 0, r + 2r').$$

So it remains to show that  $\tau(e, v, 0) \in H$ .

By (15),

$$H \ni [\tau(e, 0, 1), \tau(v, e', 0)] = \tau(v, e, -1), \text{ hence}$$

$$H \ni \tau(v, e, -1) \tau(v, 0, 1) = \tau(v, e, 0) = \tau(e, v, 0).$$

#### 4. Proof of Proposition 3

In this section we assume that  $V$  contains an  $F$ -unimodular vector. We fix a hyperbolic pair  $e_1, e_2$  in  $V$ . So  $F(e_1, e_2) = 1$  and  $e_1R + e_2R$  is a hyperbolic plane in  $V$ . Let  $U = (e_1R + e_2R)^\perp$  denote the orthogonal complement of  $e_1R + e_2R$  in  $V$ . So  $V = (e_1R + e_2R) \perp U$ .

LEMMA 16. Under the conditions of Theorem 2, the centralizer of  $T(e_1, R, R)$  in  $\text{Gp}_F R$ , is  $Z_1 \text{Gp}_F(0, 0)$  where  $\text{Gp}_F(0, 0) \subset \text{Gp}_F R$ , is the subgroup of all scalar automorphisms of  $V$  and  $Z_1$  is the center of  $T(e_1, R, R)$ , which consists of  $\tau(e_1, u, x)$  in  $T(e_1, R, R)$ , with  $2F(u, V) = 0$ .

*Proof.* Let  $g$  be in  $\text{Gp}_F R$  and commute with each element of  $T(e_1, R, R)$ . In particular,  $g\tau(e_1, 0, 1) = \tau(e_1, 0, 1)g$ , hence  $g\tau(e_1, 0, 1)e_2 = \tau(e_1, 0, 1)ge_2$ , i.e.  $ge_2 + ge_1 = ge_2 + e_1F(e_1, ge_2)$ , i.e.  $ge_1 = e_1F(e_1, ge_2)$ . Since the vector  $ge_1$  is  $F$ -unimodular, it follows that  $F(e_1, ge_2)R = R$ . Replacing  $g$  by its scalar multiple  $gF(e_1, ge_2)^{-1}$ , we can assume that  $ge_1 = e_1$ . Since  $F(ge_1, ge_2) = 1$ , the vector  $ge_2$  has the form  $ge_2 = e_2 + e_1c + w$  with  $c \in R$  and  $w \in U$ . So  $ge_2 = \tau(e_1, w, c)e_2$ . Set now  $h = \tau(e_1, w, c)^{-1}g$ . Then  $he_1 = e_1$  and  $he_2 = e_2$ , hence  $hU = U$ . The equality  $g\tau(e_1, u, x)g^{-1} = \tau(e_1, u, x)$  for an arbitrary  $\tau(e_1, u, x)$  in  $T(e_1, R, R)$ , with  $u$  in  $U$  takes the form

$\tau(e_1, hu, x + 2F(w, hu)) = \tau(e_1, u, x)$ , hence  $h = 1$ ,  $g = \tau(e_1, w, c)$ , and  $2F(w, U) = 0$ . Thus,  $g$  (after it was multiplied by a scalar) belongs to the center of  $T(e_1, R, R)$ . Lemma 13 is proved.

*Remark.* The intersection of  $\text{Gp}_F(0, 0)$  and  $Z_1$  is trivial.

*Notation.* For any vectors  $e, e'$  in  $V$ , let  $E(e, e'; R)$  denote the subgroup of  $\text{Sp}_F R$  generated by  $T(e, R, R)$  and  $T(e', R, R)$ .

**COROLLARY 17.** The centralizer of  $E(e_1, e_2; R)$  in  $\text{Gp}_F R$  coincides with the group  $\text{Gp}_F(0,0)$  of scalar automorphisms of  $V$ . In particular,  $\text{Gp}_F(0,0)$  is exactly the center of  $\text{Gp}_F R$ .

*Proof.* Let  $g \in \text{Gp}_F R$  commute with every element of  $T(e_1, R, R)$  and  $T(e_2, R, R)$ . By Lemma 13,  $g \in T(e_1, R, R) \text{Gp}_F(0,0) \cap T(e_2, R, R) \text{Gp}_F(0,0) = \text{Gp}_F(0,0)$ . (Since  $ge_2 \in e_2 R$ , the  $T(e_1, A, A)$ -component of  $g$  is 1, so  $g \in \text{Gp}_F(0,0)$ , i.e.  $g$  is multiplication by an invertible scalar on  $V$ .)

*Remark.* Corollary 17 contains Proposition 2, because  $E(e_1, e_2; R) \subset \text{Ep}_F R$ .

**THEOREM 18.** Assume that  $V$  contains an  $F$ -unimodular vector. Let  $(A, B)$  be a symplectic ideal of  $R$  and  $g \in \text{Gp}_F R$ . If  $[g, \text{Ep}_F R] \in \text{Gp}_F(A, B)$ , then  $g \in \text{Gp}_F(A, B)$ .

*Proof.* Applying Proposition 2 to  $R/A, V/VA$ , and  $F \pmod{A}$  instead of  $R, V$ , and  $F$  and using that the map  $\text{Ep}_F(R) \rightarrow \text{Ep}_F(R/A)$  is onto, we conclude that  $g$  is a scalar modulo  $A$ , i.e. there is  $c \in R$  such that  $gv - cv \in VA$  for all  $v \in V$ . In particular  $c^2 - \alpha(g) \in A$ , where  $\alpha(g) = F(ge_1, ge_2) \in \text{GL}_1 R$  is such that  $F(gu, gv) = \alpha(g) F(u, v)$  for all  $u, v \in V$ .

We claim now that  $(c^2 - \alpha(g))R \subset B$  and that  $F(e_1 c, ge_1) + B = |ge_1 - e_1 c|$ .

To prove this, we write  $ge_1 = e_1 x + e_2 y' + w$  with  $x = F(ge_1, e_2)$ ,  $y' = F(e_1, ge_2)$ , and  $w \in U$ . We have  $x - c \in A, y' \in A, w \in UA$ . Now we pick  $x' \in R$  such that  $xx' - 1 \in A$  and  $z \in |wx'|$ . We set  $g' = \tau(e_2, wc', z)$  with  $\tau(e_2, wc', z) \in \text{Ep}_F(A, B)$ . We have  $g'e_1 = \tau(e_2, wc', z)ge_1 = \tau(e_2, wc', z)(e_1 x + e_2 y' + w) = e_1 x + e_2 y + wa$  with  $a = 1 - xx' \in A$  and  $y = y' - z \in A$ .

Our claim takes the following form:  $(x^2 - \alpha(g))R \subset B$  and that  $xy \in B$ .

For an arbitrary  $r$  in  $R$  we set  $h = [g', \tau(e_1, 0, r)] \in \text{Gp}_F(A, B)$ . Then

$$\begin{aligned} he_2 &= \tau(g'e_1, 0, r/\alpha(g))(e_2 - e_1r) = e_2 - e_1r + g'e_1 F(g'e_1, e_2 - e_1r)r/\alpha(g) \\ &= e_2(1 + rxy/\alpha(g) + r^2y^2/\alpha(g)) + e_1(rx^2/\alpha(g)) - r + r^2xy/\alpha(g) + war(x + ry)/\alpha(g). \end{aligned}$$

Since  $Ry^2 \subset B$ , the equality  $|he_2 - e_2| = F(he_2, e_2) + B$  takes the form  $rx^2/\alpha(g) - r \in B$ , i.e.  $r(x^2 - \alpha(g)) \in B$ .

We have proved that  $(x^2 - \alpha(g))R \subset B$  which is equivalent to  $(c^2 - \alpha(g))R \subset B$  because  $x - c \in A$ .

Now we consider  $h^{-1}e_2 = [\tau(e_1, 0, r), g']e_2 = \tau(e_1, 0, r)\tau(g'e_1, 0, -r/\alpha(g))e_2$

$$\begin{aligned} &= \tau(e_1, 0, r)(e_2 - g'e_1 F(g'e_1, e_2)r/\alpha(g)) = e_2 - g'e_1rx/\alpha(g) + e_1F(e_1, e_2 - g'e_1rx/\alpha(g))r \\ &= e_2(1 - rxy/\alpha(g)) + e_1(r - rx^2/\alpha(g) - xyr^2/\alpha(g)) - warx/\alpha(g). \end{aligned}$$

Since  $Ry^2 \subset B$  and  $(1 - x^2/\alpha(g))R \subset B$ , the equality  $|h^{-1}e_2 - e_2| = F(h^{-1}e_2, e_2) + B$  takes the form  $xyr^2/\alpha(g) \in B$ . Setting  $r = x$ , we obtain that  $xy \in B$ .

Thus, our claim is proved. Similarly,  $F(ec, ge) + B = |ge - ec|$  for every  $F$ -unimodular vector  $e$  in  $V$ . Note that  $V$  is spanned by  $F$ -unimodular vectors. Namely,  $v = e_1s + e_2t + w = e_1 + e_2t + w + e_1(s - 1)$  for an arbitrary vector  $v$  in  $V$ , where  $s, t \in R$ ,  $w \in U$ , and vectors  $e_1 + e_2t + w$  and  $e_1$  are  $F$ -unimodular. So  $F(ec, ge) + B = |ge - ec|$  for every vector  $e$  in  $V$ . Thus, we have proved that  $g \in \text{Gp}_F(A, B)$ .

*Remark.* Theorem 18 with  $A = 0$  implies Proposition 2.

## 5. Proof of Theorem 4

Let  $e_1, e_2$  and  $U = (e_1R + e_2R)^\perp$  be as defined before Lemma 16. For any symplectic ideal  $(A, B)$  of  $R$  and any two vectors  $e, e'$  in  $V$ , let  $E(e, e'; R, A, B)$  denote the normal subgroup of  $E(e, e'; R)$  (see the notation before Corollary 17) generated by  $T(e; A, B)$  and  $T(e', A, B)$ . In particular,  $E(e, e'; R, R, R) = E(e, e'; R)$

We want to prove that  $E(e_1, e_2; R, A, B) = \text{Ep}_F(A, B)$ , i.e. that  $E(e_1, e_2; R, A, B)$  does not depend on choice of a hyperbolic pair  $e_1, e_2$  under the conditions of Theorem 4. LEMMA 19. *For any symplectic ideal  $(A, B)$  of  $R$ , any two vectors  $e, e' \in V$ , and any vector  $e'' \in V$  orthogonal to  $e, e'$  we have  $E(e, e'; R, A, B) \supset T(e'', As^2, Bs^2)$ , where  $s = F(e, e')$ .*

*Proof.* Let  $\tau(e'', uas^2, bs^2) \in T(e'', As^2, Bs^2)$ , where  $u \in V, F(e'', u) = 0, a \in A, b \in B$ . We have to prove that  $\tau(e'', uas^2, bs^2) \in E(e, e'; R, A, B)$ .

*Case 1:*  $u = 0$ . Then  $\tau(e'', uas^2, bs^2) = \tau(e'', uas^2, bs^2) = \tau(e'', 0, bs^2) = \tau(e'', -ebs, bs^2) \tau(e'', ebs, 0) \in E(e, e'; R, A, B)$ , because  $\tau(e'', -ebs, bs^2) = [\tau(e, 0, -b), \tau(e'', e', 0)] \in E(e, e'; R, A, B)$ , where  $\tau(e'', e', 0) = \tau(e', e'', 0) \in T(e', R, R)$  by (12), and  $\tau(e'', ebs, 0) = \tau(e, e''bs, 0) \in T(e; A, B)$  also by (12).

*General case.* Set  $r = F(e, u) \in R, r' = F(e', u) \in R$  and  $w = us - e'r + er'$ . Then  $w$  is orthogonal to  $e, e'$ , and  $e''$ .

By (13),  $\tau(e''t, uas^2, bs^2) = \tau(e'', uas^2, bs^2)$

$= \tau(e'', was, 0) \tau(e'', e'ars, 0) \tau(e'', ear's, 0) \tau(e'', 0, b's^2)$ , where  $b' = b + rr'sa^2 \in B$ .

By (12),  $\tau(e'', e'ars, 0) \in T(e'; Ax, Bx) \subset E(e, e'; R, A, B)$  and

$\tau(e'', ear's, 0) \in T(e; Ax, Bx) \subset E(e, e'; R, A, B)$ .

By Case 1,  $\tau(e, 0, b's^2) \in E(e, e'; R, A, B)$ .

Moreover  $\tau(e'', was, 0) = [\tau(e, wa, 0), \tau(e'', e', 0)] \in E(e, e'; R, A, B)$ , because

$\tau(e'', e', 0) = \tau(e', -e'', 0) \in T(e', R, R)$  by (12).

Thus,  $\tau(e''t, uas^2, bs^2) \in E(e, e'; R, A, B)$ .

COROLLARY 20. *For any symplectic ideal  $(A, B)$  of  $R$ , any two vectors  $e, e' \in V$ , and any two vectors  $w, w' \in V$  orthogonal to  $e, e'$  we have  $E(e, e'; R, A, B) \supset E(ws^2, w's^2; R, A, B)$ , where  $s = F(e, e')$ .*

*Proof.* We have to prove that  $ghg^{-1} \in E(e, e'; R, A, B)$  whenever  $g \in E(ws^2, w's^2, R)$  and  $h \in T(ws^2, A, B) \cup T(w's^2, A, B)$ . By Lemma 19,  $h \in E(e, e'; R, A, B)$  and  $g \in E(e, e'; R, R, R) = E(e, e'; R)$ . So,  $ghg^{-1} \in E(e, e'; R, A, B)$ .

LEMMA 21. Let  $P$  be a maximal ideal of  $R$ . Suppose that  $\dim(F \bmod P) \geq 4$ . Let  $e, e' \in V$  and  $F(e, e') \in S = R \setminus P$ . Then there is  $s \in S$  such that  $E(e_1, e_2; R, A, B) \supset T(e; As^2, Bs^2)$  for all symplectic ideals  $(A, B)$  of  $R$ .

*Proof.* We write  $e = v + u$  with  $v \in e_1R + e_2R$  and  $u \in U$ .

If  $F(U, u)$  intersects  $S$ , then we find  $v$  in  $U$  with  $F(u, v) = s_0 \in S$ . By Corollary 20,  $E(e_1, e_2; R, A, B) \supset E(u, v; R, A, B)$  and  $E(u, v; R, A, B) \supset T(e; As_0^2, Bs_0^2)$ . So

$$E(e_1, e_2; R, A, B) \supset T(e; As^2, Bs^2) \text{ with } s = s_0.$$

If  $F(U, u)$  does not intersect  $S$ , i.e.  $F(U, u) = F(V, u) \subset P$  then  $F(V, v)$  intersects  $S$ . We find a vector  $v'$  in  $e_1R + e_2R$  with  $F(v, v') = s_1 \in S$ , and a pair  $w, w' \in U$  with  $F(w, w') = s_2 \in S$ . By Corollary 20,

$$E(e_1, e_2; R, A, B) \supset E(w, w'; R, A, B) \supset E(vs_2^2, v's_2^2; R, A, B). \text{ By Lemma 19,}$$

$$E(vs_2^2, v's_2^2; R, A, B) \supset T(e; As_2^2s_1^8, Bs_2^2s_1^8).$$

$$\text{So } E(e_1, e_2; R, A, B) \supset T(e; As^2, Bs^2) \text{ with } s = s_2s_1^4 \in S.$$

Now we can complete our proof of Theorem 4. We have to prove that  $\tau(e, ua, b) \in E(e_1, e_2; R, A, B)$  for any  $F$ -unimodular vector  $e \in V$ , any vector  $u \in V$  orthogonal to  $e$ , any  $a \in A$ , and any  $b \in B$ . By Lemma 21, for every maximal ideal  $P$  of  $R$  there is  $s \in R$  outside  $P$  such that  $E(e_1, e_2; R, A, B) \supset \tau(e, uaRs^2, 0)$ . Writing 1 as a linear combination of those  $s^2$ , we obtain an element of  $E(e_1, e_2; R, A, B)$  of the form  $\tau(e, ua, ra^2)$  with  $r \in R$ .

It remains to show that  $\tau(e, 0, b') \in E(e_1, e_2; R, A, B)$  with  $b' = b - ra^2 \in B$ . By Lemma 21, for every maximal ideal  $P$  of  $R$  there is  $s \in R$  outside  $P$  such that  $\tau(e, 0, b'r^2s^2) \in E(e_1, e_2; R, A, B)$  for all  $r \in R$ . Writing 1 as the square of a linear combination of those  $s$ , and using that  $E(e_1, e_2; R, A, B) \supset \tau(e, eb'R, 0) = \tau(e, 0, 2b'R)$ , we obtain that  $\tau(e, 0, b') \in E(e_1, e_2; R, A, B)$ .



## 6. Proof of Theorem 5

To prove the first conclusion of the theorem we need only the following condition:  $\dim(F \bmod P) \geq 6$  for every maximal ideal  $P$  of  $R$  of index 2. We denote by  $H$  the subgroup of  $\text{Ep}_F R$  generated by its subgroups  $\tau(e, 0, R)$ , where  $e$  ranges over all  $F$ -unimodular vectors  $e$  in  $V$ . Clearly,  $H$  is a normal subgroup of  $\text{Gp}_F R$ . We want to prove that  $H = \text{Ep}_F R$ . By the definition of  $\text{Ep}_F R$ , it suffices to show that  $H$  contains an arbitrary symplectic transvection  $\tau(e, u, r)$ .

We pick a vector  $e'$  in  $V$  with  $F(e, e') = 1$ , and set  $U' = (eR + e'R)^\perp$ ,  $r' = F(u, e')$ ,  $v = u - er'$ . Then  $u = er' + v$  with  $v$  orthogonal to both  $e$  and  $e'$ . By (11),(13),

$$\tau(e, u, r) = \tau(e, v, 0) \tau(e, 0, r + 2r').$$

So it remains to show that  $\tau(e, v, 0) \in H$ . It suffices to show that for every maximal ideal  $P$  of  $R$  there is  $s \in S = R \setminus P$  such that  $\tau(e, U's, 0) \subset H$ .

If  $\text{card}(R/P) \neq 2$ , then we pick  $t_0 \in R$  such that  $t_0^2 - t_0 = s \in S$ . By (15),  $H \ni [\tau(e, 0, t'), \tau(v, e't, 0)] = \tau(v, et', -t'^2) = f(t, t')$  for all  $t, t' \in R$  and all  $v \in U'$ , hence

$$\begin{aligned} H &\ni f(t_0, 1)^{-1} f(1, t_0^2) = \tau(v, e(t_0^2 - t_0), 0) \\ &= \tau(v, es, 0) = \tau(e, vs, 0). \end{aligned}$$

If  $\text{card}(R/P) = 2$ , then we use the condition of the theorem and pick two orthogonal pairs  $(v, v')$ ,  $(w, w')$  in  $U'$  with  $s_1 = F(v, v') \in S$  and  $s_2 = F(w, w') \in S$ .

We have  $H \ni [\tau(e, 0, 1), \tau(v, e', 0)] = \tau(v, e, -1)$ , hence

$$H \ni [\tau(e', -w', 0), \tau(v, e, -1)] = \tau(v, w', 0), \text{ and } H \ni [\tau(w, et, 0), \tau(v, w', 0)] = \tau(v, ets_2, 0) = \tau(e, vs_2, 0) \text{ for all } t \text{ in } R.$$

Thus,  $\tau(e, vs_2R, 0) \subset H$ . For an arbitrary  $u' \in U'$  we have  $u's_1 = vx + u''$  with  $x = F(u', v')$  and  $F(u'', v) = 0$ . We have

$$\begin{aligned} \tau(e, u's_2s_1, 0) &= [\tau(u'', -v', 0), \tau(e, vs_2, 0)] \in H, \text{ hence} \\ \tau(e, u's, 0) &= \tau(e, u's_2s_1^2, 0) = \tau(e, vxs_2s_1, 0) \tau(e, u's_2s_1, 0) \in H \text{ with } s = s_2s_1^2 \in S = R \setminus P. \end{aligned}$$

The first half of Theorem 5 is proved. Now we have the second half to prove.

By Theorem 3, we have only the inclusion  $[\text{Gp}_F(A, B), \text{Ep}_F R] \subset \text{Ep}_F(A, B)$  to prove. Note that both  $\text{Gp}_F(A, B)$  and  $\text{Ep}_F R$  normalize  $\text{Ep}_F(A, B)$ .

By the first conclusion of the theorem, it suffices to show that  $[\text{Gp}_F(A, B), \tau(e, 0, R)] \subset \text{Ep}_F(A, B)$  for any  $F$ -unimodular vector  $e$  in  $V$ . In other words, we want to prove that the subgroups  $\text{Gp}_F(A, B)$  and  $\tau(e, 0, R)$  commute modulo  $\text{Ep}_F(A, B)$ .

It suffices to show that for every maximal ideal  $P$  of  $R$  and any  $g$  in  $\text{Gp}_F(A, B)$  there is  $s \in S = R \setminus P$  such that  $[g, \tau(e, 0, Rs)] \subset \text{Ep}_F(A, B)$ .

We will prove this using only the following condition:  $\dim(F \bmod P) \geq 4$ .

*Case 1:* there is  $w, w'$  in  $V$  orthogonal to both  $e$  and  $ge$  and such that  $F(w, w') = s \in S = R \setminus P$ . Let  $\alpha \in \text{GL}_1 R$  and  $c \in R$  be such that  $(c^2 - \alpha)R \subset B$ ,  $F(gu, gv) = \alpha F(u, v)$ ,  $gv - vc \in VA$  and  $F(v, gv) + B = |gv - vc|$  for all  $u, v \in V$ . For any  $r$  in  $R$  we write

$$\tau(ec, 0, rs) = \tau(ec, w, 0) \tau(ec, w'r, 0) \tau(ec, -w - w'r, 0)$$

$$= \tau(w, ec, 0) \tau(w', ecr, 0) \tau(w + w'r, -ec, 0)$$

$$\text{and } \tau(ge, 0, rs) = \tau(ge, w, 0) \tau(ge, w'r, 0) \tau(ge, -w - w'r, 0)$$

$$= \tau(w, ge, 0) \tau(w', ger, 0) \tau(w + w'r, -ge, 0), \text{ hence}$$

$$\tau(ge, 0, rs) \tau(ec, 0, rs)^{-1}$$

$$= \tau(w, ge, 0) \tau(w', ger, 0) \tau(w + w'r, -ge, 0) (\tau(w, ec, 0) \tau(w', ecr, 0) \tau(w + w'r, -ec, 0))^{-1}$$

$$= h_1 (g_2 h_2 g_2^{-1}) (g_3 h_3 g_3^{-1}), \text{ where}$$

$$h_3 = \tau(w + w'r, -ge, 0) \tau(w + w'r, -ec, 0)^{-1} = \tau(w + w'r, ec - ge, -F(ge, ec)) \in \text{Ep}_F(A, B),$$

$$g_3 = \tau(w, ec, 0) \tau(w', ecr, 0) \in \text{Ep}_F R,$$

$$h_2 = \tau(w', ger, 0) \tau(w', ecr, 0)^{-1} = \tau(w', ger - ecr, -F(ger, ecr)) \in \text{Ep}_F(A, B),$$

$$g_2 = \tau(w, ge, 0) \in \text{Ep}_F R,$$

$$\text{and } h_1 = \tau(w, ge, 0) \tau(w, ec, 0)^{-1} = \tau(w, ge - ec, -F(ge, ec)) \in \text{Ep}_F(A, B).$$

So  $\tau(ge, 0, rs) \tau(ec, 0, rs)^{-1} \in \text{Ep}_F(A, B)$ , hence  $[g, \tau(e, 0, \alpha rs)]$   
 $= g \tau(e, 0, \alpha rs) g^{-1} \tau(e, 0, \alpha rs)^{-1} = \tau(ge, 0, rs) \tau(e, 0, \alpha rs)^{-1}$   
 $= \tau(ge, 0, rs) \tau(ec, 0, rs)^{-1} (\tau(e, 0, rs(c^2 - \alpha))) \in \text{Ep}_F(A, B)$  for all  $r$  in  $R$ .  
 Thus,  $[g, \tau(e, 0, Rs)] \subset \text{Ep}_F(A, B)$ .

*General case.* We pick a vector  $e' \in V$  such that  $F(e, e') = 1$  and write  $ge = ex + e'y + u$  with  $x = F(ge, e')$ ,  $y = F(e, ge) \in R$ ,  $u \in U = (Re + Re')^\perp$ . Since  $g \in \text{Gp}_F(A, B)$ , we have  $(x^2 - \alpha(g))R \subset B$ ,  $y \in A$ ,  $u \in UA$ , and  $xy + B = |u|$ .

Set  $h = \tau(e', ux/\alpha(g), xy/\alpha(g))$ . Then  $hge = ex + e'ya + ua$ , where  $a = 1 - x^2/\alpha(g)$ ,  $aR = (x^2 - \alpha(g))R \subset B$ . Note that  $xy/\alpha(g) - xy(x/\alpha(g))^2 = axy/\alpha(g) \in B$ , hence  $h \in \text{Ep}_F(A, B)$ . Since  $ge = ex + e'y + u$  is  $F$ -unimodular and  $a - 1 \in xR$ , we can find  $u' \in U$  and  $r \in R$  such that  $y' = y + F(u', u)a + rx \in S$ . Set  $h' = \tau(e', u'a, ra)h \in \text{Ep}_F(A, B)$ . Then  $hge = ex + e'ay' + ua - u'a$ .

Now we pick  $v, v'$  in  $U$  with  $F(v, v') \in S$  and set  $w = vy' + eF(u - u', v)$ ,  $w' = v'y' + eF(u - u', v')$ . Then  $F(w, w') = F(v, v')y'^2 \in S$  and  $F(e, w) = F(e, w') = F(hge, w) = F(hge, w') = 0$ . By Case 1.  $[hg, \tau(e, 0, Rs)] \subset \text{Ep}_F(A, B)$  for some  $s \in S$ , hence

$$[g, \tau(e, 0, Rs)] \subset \text{Ep}_F(A, B).$$

## 7. Proof of Theorem 7

By Theorem 5, it suffices to prove that  $\text{Ep}_F(A, B) \subset [\text{Ep}_F(A, B), \text{Ep}_F R]$ , i.e.  $T(e_1, A, B) \subset [\text{Ep}_F(A, B), \text{Ep}_F R]$ , i.e.  $\tau(e_1, uax, b) \in [\text{Ep}_F(A, B), \text{Ep}_F R]$  for all  $u \in U = (e_1 R + e_2 R)^\perp$ ,  $a \in A$ , and  $b \in B$ , where  $e_1, e_2$  is a hyperbolic pair in  $V$ .

LEMMA 22. Under the condition of Theorem 4, for any maximal ideal  $P$  of  $R$  there is  $s \in S = R \setminus P$  such that  $\tau(e_1, uas, 2sa' + bs^2) \in [\text{Ep}_F(A, B), \text{Ep}_F R]$  for all  $a, a'$  in  $A$  and  $b$  in  $B$ .

*Proof.* We pick vectors  $e_3, e_4 \in U$  such that  $s_0 = F(e_3, e_4) \in S$ .

*Case 1:*  $a = b = 0$ . Then

$$\tau(e_1, uas, 2sa' + bs^2) = \tau(e_1, 0, 2sa')$$

$$= [\tau(e_1, e_3 a', 0), \tau(e_1, e_4, 0)] \in [\text{Ep}_F(A, B), \text{Ep}_F R] \quad \text{for } s = s_0 = F(e_3, e_4) \in S.$$

*Case 2:*  $a' = b = 0$  and the image  $\pi(u)$  of  $u$  in  $U_P$  is  $F_P$ -unimodular. We pick  $v \in U$  such that  $s' = F(u, v) \in S$ .

If  $\text{card}(R/P) \neq 2$ , then we pick  $r$  in  $R$  with  $r - r^2 \in S$  and set  $f(y, t)$

$$= \tau(e_1, uasty, -y(as't)^2) = [\tau(u, 0, y), \tau(e_1, vat, 0)] \in [\text{Ep}_F(A, B), \text{Ep}_F R] \quad \text{for any } r, t \text{ in}$$

$R$ , where  $\tau(u, 0, r) \in \text{Ep}_F R$  by Lemma 19 with  $x = 1$ . Now

$$f(1, r) f(r^2, 1)^{-1} = \tau(e_1, uas'(r - r^2), 0) \in [\text{Ep}_F(A, B), \text{Ep}_F R].$$

So we are done with  $s = s'(r - r^2) \in S$ .

If  $\text{card}(R/P) = 2$ , then  $\dim(F \bmod P) \geq 6$  by the condition of Theorem 5. So we can find  $e, e'$  in  $U$  orthogonal to  $u, v$  so that  $F(e, e') \in S$ . Although  $e$  need not be  $F$ -unimodular,  $\tau(e, u, 0) \in \text{Ep}_F R$  by Lemma 19 with  $x = 1$ . So

$$\tau(e_1, uas, 0) = [\tau(e, u, 0), \tau(e_1, e'a, 0)] \in [\text{Ep}_F(A, B), \text{Ep}_F R] \quad \text{for any } a \in A, \text{ where } s = F(e, e') \in S.$$

Case 3:  $u = 0$  and  $a' = 0$ . Then  $[\text{Ep}_F(A, B), \text{Ep}_F R] \ni$

$$[\tau(e_3, 0b), \tau(e_1, e_4, 0)] = \tau(e_1, e_3bs_0, -bs_0^2) \text{ for all } b \in B.$$

On the other hand, by Case 2 there is  $s_1 \in S$  such that  $[\text{Ep}_F(A, B), \text{Ep}_F R] \ni \tau(e_1, e_3bs_1, 0)$  for all  $b \in B$ . So for  $s = s_0s_1$  we obtain that  $[\text{Ep}_F(A, B), \text{Ep}_F R] \ni$

$$\tau(e_1, e_3bs, 0)\tau(e_1, e_3bs, -bs^2)^{-1} = \tau(e_1, 0, bs^2) \text{ for all } b \in B.$$

*General case.* We write  $us_0 = e_3t + e_4t' + w = e_3 + e_4t' + w + e_3(t-1)$  with  $t = F(u, e_4)$ ,  $t' = F(e_3, u) \in R$  and  $w \in U$  orthogonal to both  $e_3$  and  $e_4$ . Then:

$$\tau(e_1, 0, 2s_0a') \in [\text{Ep}_F(A, B), \text{Ep}_F R] \text{ for all } a' \text{ in } A \text{ by Case 1;}$$

$\tau(e_1, (e_3 + e_4t' + w)as_1, 0) \in [\text{Ep}_F(A, B), \text{Ep}_F R]$  for all  $a \in A$  for a suitable  $s_1 \in S$  by Case 2;

$$\tau(e_1, e_3(t-1)as_2, 0) \in [\text{Ep}_F(A, B), \text{Ep}_F R] \text{ for all } a \in A \text{ with a suitable } s_2 \in S \text{ by Case 2;}$$

$$[\text{Ep}_F(A, B), \text{Ep}_F R] \ni \tau(e_1, 0, bs_3^2) \text{ for all } b \in B \text{ with a suitable } s_3 \text{ in } S.$$

So for  $s' = s_1s_2s_3 \in S$  and  $s = s_0s_1s_2s_3 \in S$  we obtain that  $\tau(e_1, uas, 2sa' + bs^2)$

$$= \tau(e_1, 0, 2sa') \tau(e_1, (e_3 + e_4t' + w)as', 0) \tau(e_1, e_3(t-1)ass', 0) \tau(e_1, 0, bs^2 + t'(t-1)a^2ss')$$

$\in [\text{Ep}_F(A, B), \text{Ep}_F R]$  for all  $a, a'$  in  $A$  and  $b$  in  $B$ .

Lemma 22 is proved. Now, for fixed  $u, a, b$ , we set

$$Y_1 = \{r \in R : \tau(e_1, uar, 0) \in [\text{Ep}_F(A, B), \text{Ep}_F R]\},$$

$$Y_2 = \{r \in R : \tau(e_1, 0, 2ra') \in [\text{Ep}_F(A, B), \text{Ep}_F R]\},$$

$$Y_3 = \{r \in R : \tau(e_1, 0, b3^2) \in [\text{Ep}_F(A, B), \text{Ep}_F R]\}.$$

By Lemma 22, each  $Y_i$  contains  $Rs$  for an element  $s$  outside an arbitrary maximal ideal  $P$  of  $R$ . Clearly,  $Y_1$  and  $Y_2$  are additive subgroups of  $R$ . So  $Y_1 = Y_2 = R$ . Now it is clear that  $Y_3$  is an additive subgroups of  $R$ , hence  $Y_3 = R$ .

$$\text{Therefore, } \tau(e_1, uas, 2sa' + bs^2) = \tau(e_1, uar, 0) \tau(e_1, 0, 2ra') \tau(e_1, 0, b3^2)$$

$$\in [\text{Ep}_F(A, B), \text{Ep}_F R].$$

### 8. Proof of Theorem 8

In this section we assume that there are vectors  $e_1, e_2$  in  $V$  with  $F(e_1, e_2) = 1$ . As above, we set  $U = (e_1R + e_2R)^\perp$ .

Let  $H$  be a subgroup of  $\text{Gp}_F R$  normalized by  $\text{Ep}_F R$ . Denote by  $A$  the ideal of  $R$  generated by all  $F(U, u)$ , where  $u \in U$  and  $\tau(e_1, u, r) \in H$  for some  $r$  in  $R$  (depending on  $u$ ). Let  $B$  be the set of all  $b \in R$  such that  $\tau(e_1, 0, b) \in H$ . Clearly,  $B$  is an additive subgroup of  $R$ .

LEMMA 23.  $2A \subset B$ .

*Proof.* It suffices to show that  $2F(u, v) \in B$  whenever  $u, v \in U, r \in R$ , and  $\tau(e_1, u, r) \in H$ . We have  $H \supset [H, \text{Ep}_F R] \ni [\tau(e_1, u, r), \tau(e_1, v, 0)] = \tau(e_1, 0, 2F(u, v))$ , hence  $2F(u, v) \in B$  by the definition of  $B$ .

LEMMA 24. Suppose that  $\dim(U \bmod P) \geq 2$  for every maximal ideal  $P$  of  $R$ . Then  $B \subset A$ .

*Proof.* The dimension condition means that 1 can be written as a sum of elements  $F(u, v)$  with  $u, v$  in  $U$ . So it suffices to produce  $\tau(e_1, vbF(u, v), *)$  in  $H$  for arbitrary  $u, v$  in  $U$  and  $b$  in  $B$ . We have  $H \supset [H, \text{Ep}_F R] \ni$

$$\begin{aligned} & [\tau(e_2, v, 0), \tau(e_1, 0, b)] = [\tau(e_1, 0, -b), \tau(v, e_2, 0)] \\ & = \tau(v, e_2 - e_1 b, 0) \tau(v, -e_2, 0) = \tau(v, -e_1 b, -b), \text{ hence} \\ & H \ni [\tau(e_1, u, 0), \tau(v, -e_1 b, -b)] = \tau(e_1, u, 0) \tau(e_1, -\tau(v, -e_1 b, -b) u, 0) \\ & = \tau(e_1, u, 0) \tau(e_1, -u + e_1 F(v, u) + vbF(v, u), 0) = \tau(e_1, vbF(v, u), -bF(v, u)^2). \end{aligned}$$

LEMMA 25. Under the condition of Lemma 24, for any  $w \in U$  and any  $a \in A$  there is  $t \in R$  such that  $\tau(e_1, wa, t) \in H$ .

*Proof.* It suffices to consider the case  $a = F(u, v)$ , where  $u, v \in U, r \in R, \tau(e_1, u, r) \in H$ . Set

$$Y = \{s \in R : \tau(e_1, was, t) \in H \text{ for some } t \in R\}.$$

We want to prove that  $Y \ni 1$ . Since  $Y$  is an additive subgroup of  $R$ , it suffices to show that  $Y \supset Rs$  for an element  $s$  of  $R$  outside an arbitrary maximal ideal  $P$  of  $R$ .

We pick  $e, e'$  in  $V$  with  $F(e, e') = s_0$  in  $S = R \setminus P$ . We write  $ws_0 = ez + e'z' + w'$  with  $z = F(w, e')$ ,  $z' = F(e, w)$ ,  $w'$  orthogonal to  $e, e'$ . Similarly, we write  $us_0 = ex + e'x' + u'$  and  $vs_0 = ey + e'y' + v'$  with  $u'$  and  $v'$  orthogonal to  $e, e'$ . Note that  $F(us_0, vs_0) = as_0^2 = yz' - zy' + F(u', v')$ .

By Lemma 19,  $\tau(e, v', y), \tau(e', 0, cs_0) \in \text{Ep}_F R$  for any  $c$  in  $R$ , so

$$H \supset [\text{Ep}_F R, H] \ni [\tau(e, v', y), \tau(e_1, u, r)] = \tau(e_1, \tau(e, v', y)u, r) \tau(e_1, -u, -r) \\ = \tau(e_1, -eF(u', v') + eyx' + v'x's_0, ?), \text{ hence}$$

$$H \supset [\text{Ep}_F R, H] \ni [\tau(e', 0, cs_0), \tau(e_1, -eF(u', v') + eyx' + v'x's_0, ?)] \\ = \tau(e_1, e'cs_0^2(F(u', v') - yx'), ?).$$

Moreover,  $H \supset [\text{Ep}_F R, H] \ni [\tau(e', 0, 1), \tau(e_1, u, r)] = \tau(e_1, -e'x, ?)$ , hence  $H \supset [\text{Ep}_F R, H] \ni [\tau(e, 0, 1), \tau(e_1, -e'x, ?)] = \tau(e_1, -exs_0, ?)$ , hence  $H \supset [\text{Ep}_F R, H] \ni$

$$[\tau(e', 0, cy), \tau(e_1, -exs_0, ?)] = \tau(e_1, e'cxy's_0^2, ?). \text{ So } H \ni \\ \tau(e_1, e'cs_0^2(F(u', v') - yx'), ?) \tau(e_1, e'cxy's_0^2, ?) = \tau(e_1, e'cs_0^2(F(u', v') - yx' + xy'), ?) = \\ \tau(e_1, e'cas_0^4, ?).$$

Recall that  $c$  here is an arbitrary element of  $R$ . So  $H \ni \tau(e_1, e'c(z's_0 - 1)as_0^4, ?)$ .

By Lemma 19,  $f = \tau(e, w, z) \in \text{Ep}_F R$ . So

$$H \ni f \tau(e_1, e'cas_0^4, ?) f^{-1} = \tau(e_1, fe'cas_0^4, ?).$$

$$\text{Therefore } H \ni \tau(e_1, e'c(z's_0 - 1)as_0^4, ?) \tau(e_1, fe'cas_0^4, ?) \\ = \tau(e_1, (e'(z's_0 - 1) + \tau(e, w, z)e') cas_0^4, ?) = \tau(e_1, wcas_0^6, ?).$$

Thus,  $Y \supset Rs$  with  $s = s_0^6$  in  $S = R \setminus P$ .

**COROLLARY 26.** Under the conditions of Theorem 5,  $(A, B)$  is a symplectic ideal of  $R$ .

*Proof.* Let  $r \in R, a \in A, b \in B$ . By Lemmas 23 and 24,  $2a \in B$  and  $b \in A$ . It remains to prove that  $br^2, ra^2 \in B$ .

To prove that  $ra^2 \in B$ , it suffices to show that for any maximal ideal  $P$  of  $R$  there is  $s \in S = R \setminus P$  such that  $a^2sR \subset B$ .

We pick vectors  $e_3, e_4 \in U$  such that  $s_0 = F(e_3, e_4) \in S$ .

By Lemma 25, for any  $c$  in  $R$  we have  $\tau(e_1, e_4ca, ?) \in H$ . So for any  $d$  in  $R$  we have

$$H \supset [\text{Ep}_F R, H] \ni [\tau(e_3, 0, d), \tau(e_1, e_4ca, ?)] = \tau(e_1, e_3acds_0, -a^2c^2ds_0^2) = f(c, d).$$

So  $H \ni f(c, d)f(1, dc^2)^{-1} = \tau(e_1, e_3a(c-c^2)ds_0, 0)$  and

$$H \ni \tau(e_1, e_3a(c-c^2)ds_0, 0)f(c-c^2, d)^{-1} = \tau(e_1, 0, a^2(c-c^2)^2ds_0^2),$$

i.e.  $a^2(c-c^2)^2ds_0^2 \in B$ .

If  $\text{card}(R/P) \neq 2$ , we can choose  $c$  such that  $c^2 - c$  is in  $S$ , hence  $a^2sR \subset B$  for  $s = (c-c^2)^2s_0^2 \in S$ .

If  $\text{card}(R/P) = 2$ , we pick vectors  $e, e'$  in  $U$  orthogonal to  $e_3, e_4$  and such that  $F(e, e') \in S$ . By Lemma 19,  $\tau(e, e_3d, 0) \in \text{Ep}_F R$ . So  $H \supset [\text{Ep}_F R, H] \ni$

$$[\tau(e, e_3d, 0), \tau(e_1, e-a, ?)] = \tau(e_1, e_3adF(e, e'), 0), \text{ hence}$$

$$H \ni f(1, -dF(e, e'))\tau(e_1, e_3adF(e, e'), 0) = \tau(e_1, 0, a^2dF(e, e')s_0^2),$$

i.e.  $sa^2R \subset B$  for  $s = F(e, e')s_0^2 \in S$ .

We have proved that  $ra^2 \in B$ .

Now we have to prove that  $br^2 \in B$ . Since  $2A \subset B$ , it suffices to show that for any maximal ideal  $P$  of  $R$  there is  $s \in S = R \setminus P$  such that  $br^2s^2 \in B$ .

Let  $e_3$  and  $e_4$  be as above. We have seen that for any  $a \in A$  there is  $s \in S$  such that

$$(27) \tau(e_1, e_3ads, 0) \in H \text{ for all } d \in R.$$

We will use this with  $a, d$  replaced by  $b, r$ . We have

$$H \supset [H, \text{Ep}_F R] \ni [\tau(e_1, 0, b), \tau(e_3, e_2r, 0)] \tau(e_1, e_3brs, 0)$$

$$= \tau(e_3, e_1brs, -br^2s^2)\tau(e_1, e_3brs, 0) = \tau(e_3, 0, -br^2s^2), \text{ hence } H \supset [H, \text{Ep}_F R] \ni$$

$$[\tau(e_3, 0, -br^2s^2), \tau(e_1, e_4, 0)] \tau(e_1, e_3br^2s^2, 0)$$

$$= \tau(e_1, -e_3br^2s^2, br^2s^2) \tau(e_1, e_3br^2s^2, 0)$$

$$= \tau(e_1, 0, br^2s^2).$$

Thus,  $br^2s^2 \in B$ .



COROLLARY 28. Under the conditions of Theorem 5,  $H \supset \text{Ep}_F(A, B)$ ,

*Proof.* By Theorem 4, it suffices to show that  $H \supset T(e_1, A, B)$ . By the definition of  $B$ ,  $H \supset \tau(e_1, 0, B)$ . So it remains to show that  $\tau(e_1, ua, 0) \in H$  for any  $u \in U$  and any  $a \in A$ .

Set  $Y = \{t \in R: \tau(e_1, wa, 0) \in H\}$ . We want to prove that  $1 \in Y$ . Since  $Y$  is closed under addition, it suffices to show that for any maximal ideal  $P$  of  $R$  there is an element  $s' \in S = R \setminus P$  such that  $Rs' \subset Y$ . i.e.  $\tau(e_1, was'r, 0) \in H$  for all  $r$  in  $R$ .

Let  $e_3, e_4 \in U$  and  $s_0 = F(e_3, e_4) \in S$  be as in the proof of Corollary 24 above. We are going to use (26) again. We write  $ws_0 = e_3x + e_4y + w'$  with  $x, y \in R$  and  $w' \in U$  orthogonal to  $e_3, e_4$ . Then  $ws_0^2 = e_3(xs_0 - 1) + e_3 + e_4ys_0 + w's_0 = e_3(xs_0 - 1) + fe_3$ , where  $f = \tau(e_4, -w', -y) \in \text{Ep}_F R$  by Lemma 15.

By (27),  $h_1 = \tau(e_1, e_3(xs_0 - 1)ars, 0) \in H$  and  $h_2 = \tau(e_1, fe_3ars, 0) = \tau(e_1, e_3ars, 0)f^{-1} \in H$  for all  $r$  in  $R$ . Since  $(xs_0 - 1)ys_0a^2r^2s^2 \in Ra^2 \subset B$  by Corollary 24,  $h_3 = \tau(e_1, 0, (xs_0 - 1)ys_0a^2r^2s^2) \in H$ . So  $\tau(e_1, warss_0^2, 0) = h_3h_2h_1 \in H$ , hence  $rss_0^2 = rs' \in Y$  for all  $r \in R$ , where  $s' = ss_0^2 \in H$ . Corollary 28 is proved.

Originally, our definition of  $A, B$  depended on choice of an  $F$ -unimodular vector  $e_1$ . However Corollary 28 shows that in fact it does not depend. We can also state it as follows;  
COROLLARY 29. Under the conditions of Theorem 5,  $\text{Ep}_F(A, B)$  contains all symplectic transvections in  $H$ .

LEMMA 30. Under the conditions of Theorem 5, let  $e \in U, v \in V, r, r' \in R, F(e, v) = 0$ , and  $\tau(e, v, r), \tau(e, 0, r) \in H$ . Then  $F(u, V)r_0 \subset A$  and  $rr_0^4 \in B$  for every  $r_0 \in F(e, V)$ .

*Proof.* We pick a vector  $e' \in V$  such that  $F(e, e') = r_0$ . We have  $H \supset [\text{Ep}_F R, H] \ni [\tau(e, 0, r), \tau(e_1, e't, 0)] = \tau(e_1, ertr_0, -rt^2r_0^2) = f(t)$  for all  $t$  in  $R$ .

By its definition,  $A \supset F(er_0, V) \supset Rrr_0^2$ .

By Corollary 28,  $H \supset \text{Ep}_F(A, B) \ni \tau(e_1, err_0^2, 0)$ . So

$H \ni \tau(e_1, err_0^2, 0)f(r_0)^{-1} = \tau(e_1, 0, rr_0^4)$ . By its definition,  $B \ni rr_0^4$ .

Now we have the inclusion  $F(u, V)r_0 \subset A$  to prove. It suffices to show that for every maximal ideal  $P$  of  $R$  there is  $s \in S = R \setminus P$  such that  $sF(u, V)r_0 \subset A$ .

Pick any  $v' \in V$  and set  $z = F(v, v')$ . We have to prove that  $r_0sz \in A$  for some  $s \in S$  independent on  $v'$ . We write  $v' = e_1x + e_2y + w$  with  $x, y \in R$  and  $w \in U$ . Note that  $F(e, w) = 0$  and  $z = F(v, e_1)x + F(v, e_2)y + F(v, w)$ .

We have:

$$H \ni [\tau(e_1, 0, x), \tau(e, v, r)] = \tau(e, e_1F(e_1, v)x, ?);$$

$$H \ni [\tau(e_2, 0, 1), \tau(e, v, r')] = \tau(e, e_2F(e_2, v), ?), \text{ hence}$$

$$H \ni [\tau(e_1, 0, y), \tau(e, e_2F(e_2, v), ?)] = \tau(e, e_1F(e_2, v)y, ?);$$

$$H \ni [\tau(e_2, w, 0), \tau(e, v, r)] = \tau(e, e_2F(w, v) + wF(e_2, w), ?), \text{ hence}$$

$$H \ni [\tau(e_1, 0, 1), \tau(e, e_2F(w, v) + wF(e_2, w), ?)] = \tau(e, e_1F(w, v), ?).$$

$$\text{So } H \ni \tau(e, e_1F(e_1, v)x, ?) \tau(e, e_1F(e_2, v)y, ?) \tau(e, e_1F(w, v), ?)$$

$$= \tau(e, e_1F(v', v)x, ?) = \tau(e, -e_1z, ?).$$

If  $\text{card}(R/P) \neq 2$ , we pick  $t_0 \in R$  with  $s = t_0^2 - t_0 \in S$ . Then for any  $t, t' \in R$  we have

$$H \ni [\tau(e_2, 0, t), \tau(e, -e_1z, ?)] = \tau(e, -e_2tz, -tz^2), \text{ hence}$$

$$H \ni [\tau(e_1, 0, t'), \tau(e, -e_2tz, -tz^2)] = \tau(e, -e_1t't'z, -t^2t'z^2) = f(t, t'), \text{ and}$$

$$H \ni f(1, t_0^2)f(t_0, 1)^{-1} = \tau(e, e_1sz, 0) = \tau(e_1, esz, 0).$$

Thus,  $szt_0 \in A$  by the definition of  $A$ .

If  $\text{card}(R/P) = 2$ , we invoke the condition of Theorem 5 to find vectors  $e_3, e_4 \in U$  orthogonal to  $e, e'$  with  $s = F(e_3, e_4) \in S$ . Then

$$H \ni [\tau(e_2, e_3, 0), \tau(e, -e_1z, ?)] = \tau(e, -e_3z, 0), \text{ hence}$$

$$H \ni [\tau(e_1, e_4, 0), \tau(e, -e_3z, 0)] = \tau(e, -e_1sz, 0) = \tau(e_1, esz, 0).$$

Thus,  $szr_0 \in A$  by the definition of  $A$ .

LEMMA 31. Under the conditions of Theorem 8, let  $h \in H$  and  $he = ec$  for some  $c \in R$  and an  $F$ -unimodular vector  $e \in V$ . Then  $hv - vc \in VA$  and  $|hv - vc| = F(hv, vc) + B$  for all  $v \in V$ .

*Proof.* Clearly,  $c \in \text{GL}_1 R$ . For any vector  $u$  in  $V$  orthogonal to  $e$  and any scalar  $r$  in  $R$  we have

$$H \ni [h, \tau(e, u, r)] = \tau(e, huc/\alpha(h) - u, rc^2/\alpha(h) - r - F(hu, uc)/\alpha(h)).$$

So (using Lemma 30 and a condition of Theorem 8)  $huc/\alpha(h) - u \in VA$  and

$$|huc/\alpha(h) - u| = rc^2/\alpha(h) - r - F(hu, uc)/\alpha(h) + B \quad \text{for all } u \in e^\perp, \text{ hence (taking } u = 0)$$

$$R(\alpha(h) - c^2) \subset B. \text{ It follows that } hu - uc \in VA \text{ and } |hu - uc| = F(hu, uc) + B \text{ for all } u \in e^\perp.$$

Pick a vector  $e'$  in  $V$  with  $F(e, e') = 1$ . We can write  $h = \tau(e, u, r)h'$ , where  $u \in V' = (eR + e'R)^\perp$ ,  $r \in R$ ,  $h' \in \text{Gp}_F(A, B)$ ,  $h'e = ec$ , and  $h'e' = e'\alpha(h)/c$ ,  $h'v - vc \in VA$  and  $|h'v - vc| = F(h'v, vc) + B$  for all  $v$  in  $V$ .

For any  $w \in V'$  we have  $H \ni [h, \tau(w, 0, 1)]$ , because  $\tau(w, 0, 1) \in \text{Ep}_F R$ , and  $H \ni [h', \tau(w, 0, 1)]$  by Theorem 5. So  $H \ni [\tau(e, u, r), \tau(w, 0, 1)] = \tau(e, u, r) \tau(e, -u - wF(w, u), -r) = \tau(e, -wF(w, u), ?)$ , hence  $wF(w, u) \in VA$ . It follows that that  $u \in VA$ .

Including  $\tau(e, u, r)$  into  $h'$ , where  $r' \in |u|$ , we are reduced to the case  $u = 0$ . In this case,  $h = \tau(e, 0, r)h'$ , and for any vector  $w \in V'$  we have  $H \ni [h, \tau(w, e', 0)]$  and  $H \ni [h', \tau(w, e', 0)]$ , hence  $H \ni [\tau(e, 0, r), \tau(w, e', 0)] = \tau(w, er, -r)$ . By Lemma 30,  $wr \in VA$ . So  $U'r \subset U'A$ , hence  $r \in A$ . Using Lemma 30 again, we conclude that  $r \in B$ . Thus, we can include we can include  $\tau(e, 0, r)$  into  $h'$ , i.e. we are reduced to the case when  $h = h'$ .

LEMMA 32. Under the conditions of Theorem 8, let  $h \in H \cap \text{Sp}_F R$ , and  $hw = w$  for a vector  $w \in V$  which is orthogonal to a hyperbolic pair. Then  $(hv - v)r_0 \in VA$  and  $|(hv - v)r_0|r_0^4 = F(hv, v)r_0^6 + B$  for all  $v \in V$  orthogonal to  $w$  and all  $r_0 \in F(w, V)$ .

*Proof.* We can assume that  $w$  is orthogonal to  $e_1, e_2$  i.e.  $w \in U$ . For any vector  $v$  in  $V$  orthogonal to  $w$  and any scalar  $r$  in  $R$  we have

$$H \ni [h, \tau(w, v, r)] = \tau(w, hv - v, -F(hv, v)).$$

By Lemma 30,  $(hv - v)r_0 \in VA$ . We pick now  $z \in |(hv - v)r_0|$ . Then

$$H \ni \tau(w, (hv - v)r_0, z) \text{ and}$$

$$H \ni \tau(w, -hvr_0 + vr_0, -F(hvr_0, vr_0)), \text{ hence } H \ni \tau(w, 0, z - F(hvr_0, vr_0)).$$

By Lemma 30,  $(z - F(hvr_0, vr_0))r_0^4 \in B$ .

Thus,  $(hv - v)r_0 \in VA$  and  $|(hv - v)r_0|r_0^4 = F(hv, v)r_0^6 + B$  for all  $v \in w^\perp$ .

LEMMA 33. Under the conditions of Theorem 8, assume that  $A = 0$ . Then  $H \subset \text{Gp}_F(A, B) = \text{Gp}_F(0, 0)$ .

*Proof.* Let  $h \in H$ . We write  $he_1 = e_1x + e_2y + u$  with  $x = F(he_1, e_2), y = F(e_1, he_1), u \in U$ . We set

$$h' = [h, \tau(e, 0, 1)] \in H$$

*Case 1:*  $y = 0$ . Then  $h'e_1 = e_1$ . So  $h' = 1$  by Lemma 31 with  $A = 0$ . It follows that  $u = 0$ . So  $he_1 = e_1x$ . By Lemma 31,  $h \in \text{Gp}_F(A, B) = \text{Gp}_F(0, 0)$

*Case 2:*  $y^2 = 0$ . Since  $h'e_1 = e_1 + he_1y$ , we have  $h' \in \text{Gp}_F(A, B) = \text{Gp}_F(0, 0)$  by Case 1. It follows that  $F(h'e_1, e_2) = xy - 1 - x^2 = 0$  and  $ux = 0$ , hence  $x \in \text{GL}_1 R$ , and  $u = 0$ . So  $he_1 = e_1x$ . By Lemma 31,  $h \in \text{Gp}_F(A, B) = \text{Gp}_F(0, 0)$ .

*Case 3:*  $y^3 = 0$ . Since  $h'e_1 = e_1 + he_1y$ , we have  $h' \in \text{Gp}_F(A, B) = \text{Gp}_F(0, 0)$  by Case 2. It follows that  $F(h'e_1, e_2) = xy - 1 - x^2 = 0$  and  $ux = 0$ , hence  $x \in \text{GL}_1 R$ , and  $u = 0$ . So  $he_1 = e_1x$ . By Lemma 31,  $h \in \text{Gp}_F(A, B) = \text{Gp}_F(0, 0)$ .

*Case 4:*  $y^3 \neq 0$ . Then there is a maximal ideal  $P$  of  $R$  such that  $y^3s \neq 0$  for all  $s \in S = R \setminus P$ . We pick a pair  $v, v'$  of vectors in  $U$  with  $r_0 = F(v, v') \in S$ , and set  $w = e_1 F(u, v) + v.y$ . Then  $F(e_1, w) = F(he_1, w) = 0$ ,  $h'w = w$  and  $F(w, V) \ni y^2 r_0 \in Sy^2$ . By Lemma 32,  $(h'e_1 - e_1) y^2 r_0 = 0$ , hence  $y^3 r_0 = 0$  (because  $h'e_1 - e_1 = he_1 r y$ ).

So Case 4 is impossible.

LEMMA 34. Under the conditions of Theorem 8,  $H \subset \text{Gp}_F(A, A)$

*Proof.* We want to prove that the image of  $H$  modulo  $A$  consists of scalar automorphisms of  $R/A$ -module  $V/VA$ . Indeed, otherwise, applying Lemma 33 to this module instead of  $V$ , we would obtain a non-trivial symplectic transvection in the image of  $H$  modulo  $A$ . (We used that the image of  $\text{Ep}_F R$  modulo  $A$  contains all symplectic transvections of  $(V/VA, F \bmod A)$ .)

So  $H$  would contain an element of the form  $\tau(e, u, r)g$ , where  $\tau(e, u, r)$  is a symplectic transvection in  $\text{Ep}_F R$  which is non-trivial modulo  $A$  and where  $g$  is trivial modulo  $A$ , hence  $g \in \text{Gp}_F(A, A)$ . We pick a vector  $e' \in V$  with  $F(e, e') = 1$  and set  $U' = (eR + e'R)^\perp$ . We can assume that  $u \in U'$ .

By Lemma 19,  $\tau(w, 0, 1) \in \text{Ep}_F R$  for any  $w \in U'$ , hence  $[\tau(w, 0, 1), g] \in \text{Ep}_F(A, A)$  by Theorem 5. It follows that  $\tau(e, wF(w, u), ?) = [\tau(w, 0, 1), \tau(e, u, r)] \in H \text{Ep}_F(A, A)$ . By Corollary 29, applied to  $H \text{Ep}_F(A, A)$  instead of  $H$ , we obtain that  $F(w, u) \in A$ . So  $F(U', u) \subset A$ , hence  $u \in UA$ .

Including  $\tau(e, u, 0)$  into  $g$ , we are reduced to the case  $u = 0$ . In this case we have

$\tau(w, -er, ?) = [\tau(w, e', 0), \tau(e, u, r)] \in H \text{Ep}_F(A, A)$ , hence  $rF(w, U) \subset A$  for all  $w \in U'$  by Corollary 29. It follows that  $r \in A$ . This is a contradiction.

LEMMA 35. Under the conditions of Theorem 8, let  $g \in \text{Gp}_F R$  and  $ge_1 = e_1 x + e_2 a' + ua$  with  $u \in UA$ ,  $a, a' \in A$ ,  $x \in R$ , and  $xa' \in B$ . Then  $\tau(ge_1, 0, r)\tau(e_1 x, 0, -r) \in \text{Ep}_F(A, B)$  for all  $r \in R$ .

*Proof.* It suffices to show that for each maximal ideal  $P$  of  $R$  there is  $s \in S = R \setminus P$  such that  $\tau(ge_1, 0, rs)\tau(e_1 x, 0, -rs) \in \text{Ep}_F(A, B)$  for all  $r \in R$ .

*Case 1:* there is  $w, w'$  in  $V$  orthogonal to both  $e_1$  and  $ge_1$  and such that  $F(w, w') = s \in S = R \setminus P$ . For any  $r$  in  $R$  we write

$$\begin{aligned} \tau(e_1x, 0, rs) &= \tau(e_1x, w, 0) \tau(e_1x, w'r, 0) \tau(e_1x, -w - w'r, 0) \\ &= \tau(w, e_1x, 0) \tau(w', e_1xr, 0) \tau(w + w'r, -e_1x, 0) \text{ and } \tau(ge_1, 0, rs) \\ &= \tau(ge_1, w, 0) \tau(ge_1, w'r, 0) \tau(ge_1, -w - w'r, 0) = \tau(w, ge_1, 0) \tau(w', ge_1r, 0) \tau(w + w'r, -ge_1, 0), \end{aligned}$$

hence  $\tau(ge_1, 0, rs) \tau(e_1x, 0, rs)^{-1}$

$$\begin{aligned} &= \tau(w, ge_1, 0) \tau(w', ge_1r, 0) \tau(w + w'r, -ge_1, 0) (\tau(w, e_1x, 0) \tau(w', e_1xr, 0) \tau(w + w'r, -e_1x, 0))^{-1} \\ &= h_1(g_2h_2g_2^{-1})(g_3h_3g_3^{-1}), \text{ where} \end{aligned}$$

$$h_3 = \tau(w + w'r, -ge_1, 0) \tau(w + w'r, -e_1x, 0)^{-1} = \tau(w + w'r, e_1x - ge_1, -F(ge_1, e_1x)) \in \text{Ep}_F(A, B),$$

$$g_3 = \tau(w, e_1x, 0) \tau(w', e_1xr, 0) \in \text{Ep}_F R,$$

$$h_2 = \tau(w', ge_1r, 0) \tau(w', e_1xr, 0)^{-1} = \tau(w', ge_1r - e_1xr, -F(ge_1r, e_1xr)) \in \text{Ep}_F(A, B),$$

$$g_2 = \tau(w, e_1x, 0) \in \text{Ep}_F R, \text{ and } h_1 = \tau(w, ge_1, 0) \tau(w, e_1x, 0)^{-1}$$

$$= \tau(w, ge_1 - e_1x, -F(ge_1, e_1x)) \in \text{Ep}_F(A, B).$$

$$\text{So } \tau(ge_1, 0, rs) \tau(e_1x, 0, rs)^{-1} \in \text{Ep}_F(A, B).$$

*Case 2:*  $F(V, u)$  intersects  $S$ . Then we can find  $w'$  in  $U$  such that  $F(u, w') = s \in S$  and set  $w = u$ . The vectors  $w, w'$  are orthogonal to both  $e_1$  and  $ge_1$ , so we are done by Case 1.

*Case 3:*  $a' \in S$ . Then we find vectors  $v, v'$  in  $U$  such that  $F(v, v') \in S$  and set  $w = e_1F(u, v) + va'$ ,  $w' = e_1F(u, v') + v'a$ . Then  $F(w, w') = F(v, v')a'^2 \in S$  and the vectors  $w, w'$  are orthogonal to both  $e_1$  and  $ge_1$ , so we are done by Case 1.

*Case 4:*  $x \in S$ . Then we can find  $v \in U$  such that both  $F(v, U)$  and  $F(u - vx, V)$  intersects  $S$ . Set  $g' = \tau(e_2, va, 0)g$ , so  $g'e_1 = e_1x + e_2(a' + F(va, ua)) + (u - vx)a$ . By Case 2, there is  $s_1 \in S = R \setminus P$  such that  $\tau(g'e_1, 0, rs_1)\tau(e_1x, 0, -rs_1) \in \text{Ep}_F(A, B)$  for all  $r \in R$ . Conjugating this by  $\tau(e_2, va, 0)$ , we obtain that  $\tau(ge_1, 0, rs_1)\tau(\tau(e_2, -va, 0)e_1x, 0, -rs_1) \in \text{Ep}_F(A, B)$  for all  $r$  in  $R$ .

On the other hand, we can apply Case 2 to  $g = \tau(e_2, -va, 0)$  and conclude that  $\tau(\tau(e_2, -va, 0)e_1, 0, rs_2)\tau(e_1, 0, -rs_2) \in \text{Ep}_F(A, B)$  for some  $s_2$  in  $S$  and all  $r$  in  $R$ .

$$\text{So } \tau(ge, 0, rs)\tau(ex, 0, -rs) = \tau(ge, 0, rs_1s_2)\tau(ex, 0, -rs_1s_2)$$

$$= (\tau(ge_1, 0, rs_2s_1)\tau(\tau(e_2, -va, 0)e_1x, 0, -rs_2s_1))$$

$$= (\tau(\tau(e_2, -va, 0)e_1, 0, x^2s_1rs_2)\tau(e_1, 0, -x^2s_1rs_2))$$

$$\in \text{Ep}_F(A, B) \text{ for all } r \in R.$$

*General case.* Since  $ge_1$  is  $F$ -unimodular, Cases 2, 3, 4 cover all possibilities.

LEMMA 36. Under the conditions of Theorem 8, let  $e \in V$  be  $F$ -unimodular,  $h \in H$ ,  $c \in R$  and  $hv - vc \in VA$  for all  $v \in V$ . Then

$$(36) \quad (F(he, ec) + t)r^2\alpha(h)^2 + c^2(c^2 - \alpha(h))r \in B \text{ for all } r \in R \text{ and all } t \in |he - ec|.$$

*Proof.* Note that in the presence of a hyperbolic pair  $e, e'$ , the element  $\alpha(h) \in GL_1R$  (such that  $F(hu, hv) = \alpha(h)F(u, v)$  for all  $u, v$  in  $V$ ) is unique and equal to  $F(he, he')$ . By Lemma 34,  $h \in \text{Gp}_F(A, A)$ , i.e. there is  $c \in R$  such that  $gv - vc \in VA$  for all  $v \in V$ . Such an element  $c$  is not unique, but its coset  $c + A$  is unique (under the conditions of Theorem 8),  $c + A \in GL_1R/A$ , and  $c^2 - \alpha(h) \in A$ . Note also the the relation (36) we want to prove does not depend on choice of  $c$  in the coset  $c + A$  or on choice  $t$  in the coset  $|he - ec| \in A/B$ . It suffices to consider the case  $e = e_1$ .

We write  $he_1 = e_1x + e_2y + u$  with  $x = F(he_1, e_2) \in c + A$ ,  $y = F(e_1, he_1) \in A$ ,  $u \in UA$ , where  $U = (Re_1 + Re_2)^\perp$ .

Pick  $z \in |u|$ . Then  $t \equiv (x - c)y + z \pmod{B}$ , hence  $F(he, ec) + t \equiv xy + z \pmod{B}$ .

Since  $c^2 - \alpha(h) \in A$ ,  $a = 1 - xx' \in A$  for  $x' = x/\alpha(h)$ .

Set  $f = \tau(e_2, ux', zx'^2) \in T(e_2, A, B)$ . Then  $fhe_1 = e_1x + e_2y' + ua$  with  $y' = y - zxx'^2 \in A$ . Note that  $R(1 - (xx')^2) = R(2a - a^2) \subset B$ , hence  $F(he, ec) + t \equiv xy + z \equiv xy' \pmod{B}$ . (Recall that  $2A + a^2R \subset B$ .)

Set now  $z' = x'y'(1 + a) \in A$  and  $f' = \tau(e_2, 0, z') \in T(e_2, A, A)$ . Then  $ge_1 = f'fhe_1 = e_1x + e_2z' + ua$ , where  $g = f'fh \in \text{Gp}_F(A, A)$  and  $a' = y'a^2$ , so  $a'R \subset B$ .

By Lemma 35,  $\tau(ge_1, 0, r)\tau(e_1x, 0, -r) \in \text{Ep}_F(A, B)$  for all  $r \in R$ . Note that

$$\begin{aligned} [g, \tau(e_1, 0, \alpha(g)r)] &= \tau(ge_1, 0, r)\tau(e_1, 0, -\alpha(g)r) \\ &= \tau(ge_1, 0, r)\tau(e_1x, 0, -r)\tau(e_1, 0, rx^2 - \alpha(g)r) \\ &\in \text{Ep}_F(A, B)\tau(e_1, 0, r(x^2 - \alpha(g))) \text{ for all } r \text{ in } R. \end{aligned}$$

Since  $h \in H$ ,  $[H, \text{Ep}_F R] \subset H$  and  $f \in \text{Ep}_F(A, B) \subset H$ , it follows that  $k(r) = [f, \tau(e_1, 0, \alpha(g)r)]\tau(e_1, 0, rx^2 - \alpha(g)r) \in H$  for all  $r \in R$ .

Since  $k(r)$  fixes every vector in  $U$ , we can use Lemma 32 and conclude that  $|k(r)e_2 - e_2| = F(k(r)e_2, e_2) + B$ , i.e.  $dd' \in B$ , where

$$k(r)e_2 = e_1d + e_2d', \text{ i.e. } d = F(k(r)e_2, e_2) \text{ and } d' = F(e_1, k(r)e_2).$$

Set  $r' = \alpha(g)r = \alpha(h)r \in R$  and  $r'' = rx^2 - \alpha(g)r = rx^2 - \alpha(h)r \in A$ . Since  $f' = \tau(e_2, 0, z') \in T(e_2, A, A)$ ,

$$k(r) = [f', \tau(e_1, 0, r')] \tau(e_1, 0, r'') = \tau(f'e_1, 0, r') \tau(e_1, 0, r'' - r').$$

$$\begin{aligned} \text{So } k(r)e_2 &= \tau(f'e_1, 0, r')(e_1(r'' - r') + e_2) \\ &= e_1(r'' - r') + e_2 + f'e_1r'F(f'e_1, e_1(r'' - r') + e_2) \\ &= e_1(r'' - r') + e_2 + (e_1 - e_2z')r'(z'(r'' - r') + 1) = e_1d + e_2d' \text{ with } d = r'' + \\ &r'z'(r'' - r') \text{ and } d' = 1 - r'z' - r'z'^2(r'' - r'). \end{aligned}$$

So  $dd' \in -z'r'^2 + r'' + z'^2R \subset z'r'^2 + r'' + B$ , because  $z' \in A$ . Since  $dd' \in B$ , we conclude that  $z'r'^2 + r'' \in B$ . So  $z'r'^2x^2 + r''x^2 \in B$ , i.e.  $x'y'(1+a)r'^2x^2 + r''x^2 \in B$ , i.e.  $y'r'^2x + x^2r'' \in B$

Recall now that  $x - c \in A$ ,  $F(he, ec) + t \equiv xy' \pmod{B}$ ,  $r' = \alpha(h)r$ , and  $r'' = rx^2 - \alpha(h)r$ . Thus, we obtain (36).

Now we can conclude our proof of Theorem 8. Pick  $t_1 \in |he_1 - e_1c|$  and  $t_2 \in |he_2 - e_2c|$ . Then  $t_1 + t_2 + F(he_1 - e_1c, he_2 - e_2c) \in |h(e_1 + e_2) - (e_1 + e_2)c|$ . We apply Lemma 35 to  $e = -e_2$ ,  $e = e_1$ , and  $e = e_1 + e_2$ . Using that  $F(he_1 - e_1c, he_2 - e_2c)$

$$\begin{aligned} &= \alpha(h) + c^2 - F(he_1, e_2c) - F(e_1c, he_2) \\ &= \alpha(h) + c^2 - F(h(e_1 + e_2), (e_1 + e_2)c) + F(he_1, e_1c) + F(he_2, e_2c), \text{ and that} \end{aligned}$$

$2A \subset B$ , we obtain that  $\alpha(h) + c^2 + c^2(c^2 - \alpha(h))r \in B$  for all  $r \in R$ , hence  $c'^2c^2(c^2 - \alpha(h))R \subset B$  for all  $c' \in R$ . Picking  $c'$  such that  $cc' - 1 \in A$ , we conclude that  $(c^2 - \alpha(h))R \subset B$ . Now Lemma 35 gives that  $F(he, ec) + t \in B$  for all  $F$ -unimodular vectors  $e \in V$ . Since  $V$  is spanned by its  $F$ -unimodular vectors, we conclude that  $h \in \text{Gp}_F(A, B)$ .



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