Roots of knotted graphs and orbifolds

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1 Introduction

Let G be a graph (1-dimensional CW complex) in a compact 3-manifold M. Following [1], we will apply to the pair (M, G) certain simplification moves as long as possible. What we get is a *root* of (M, G). Our main result is that for any pair (M, G) the root exists and is unique. Similar results hold for graphs with colored edges and for 3-orbifolds, which can be viewed as graphs with specific colorings. This generalizes the main result of [4]. For the case $G = \emptyset$ (when we are in the situation of the Milnor prime decomposition theorem for 3-manifolds) we suggest a simple proof that the irreducible summands are determined uniquely. We begin our exposition with considering this partial case, since the proof of the main results follows the same lines.

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2 Roots of manifolds without graphs

Definition 2.1. *Let S be a sphere in the interior of a compact 3-manifold M. Then the* compression move *of M along S consists in compressing S to a point and cutting the resulting singular manifold along that point.*

The image of S under the compression move consists of two points. Of course, the same result can be obtained by cutting M along S and filling by balls the two copies of S appearing under the

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cut. If S is trivial (i.e. bounds a ball), then the compression of M along S produces a copy of M and a 3-sphere.

Definition 2.2. *A 3-manifold R is called a* root *of a 3-manifold M if R is irreducible (i.e. contains no nontrivial spheres) and can be obtained from M by successive compressions along spheres.*

Remark 2.3. *Performing compressions along spheres $S_1, S_2, \ldots$, we will always assume that each next sphere lies away from the two-point images of the previous spheres. Therefore, we may think of $S_1, S_2, \ldots$ as being contained in M.*

Theorem 2.4. *For any compact 3-manifold M the root exists and is unique up to homeomorphisms and removing connected components homeomorphic to S^3.*

We postpone the proof to Section 2.2.

2.1 Kneser's finiteness

Lemma 2.5. *For any compact 3-manifold M there exists a constant C_0 such that any sequence of compression moves along nontrivial spheres consists of no more than C_0 moves.*

Proof. We follow the original proof of H. Kneser [2] (with minor modifications). Let us take $C_0 = \beta_1 + 10t$, where β_1 is the dimension over Z_2 of $H_1(M; Z_2)$ and t is the total number of tetrahedra in a triangulation T of M. Let $n > C_0$.

STEP 1. Suppose $S_1, \ldots S_n \subset M$ are disjoint spheres such that all successive compressions along them are nontrivial. These spheres decompose M into parts called *chambers* such that each S_i corresponds to two boundary spheres S_i^+, S_i^- of the chambers. It may happen that both S_i^+, S_i^- belong to the same chamber. Then we remove S_i from the sequence $S_1, \ldots, S_n$ and renumber the remaining spheres. Doing so as long as possible, we get a shorter sequence $S_1, \ldots, S_m$. Since the total number of removed spheres does not exceed β_1, we have $m > 10t$. Of course, all successive compressions along $S_1, \ldots S_m$ remain nontrivial. Our profit is that now no chamber approaches to a sphere from both sides. It follows that the following property is true.

(*) *No chamber of the sequence is a punctured ball.*

(Otherwise the compression along the last boundary sphere of a punctured ball chamber would be trivial).

STEP 2. We claim that there exists another sequence $S'_1, \dots, S'_m$ consisting of the same number of disjoint spheres such that the new spheres possess property (*) and are normal with respect to T. The following two observations are crucial for the proof.

1. Any sphere inside a punctured ball decomposes it into two punctured balls.
2. If a manifold contains a nonseparating sphere, then it is not a punctured ball.

Let D be a compressing disc for a sphere $S_k, 1 \leq k \leq m$, such that $D \cap (\cap_{i=1}^m S_i) = \partial D \subset S_k$. Denote by S'_k, S''_k two spheres obtained by compressing S_k along D. Let us replace S_k by either S'_k or S''_k. It follows easily from the above observations that at least one of the sequences thus obtained satisfies (*). To prove the claim, it suffices to recall that any collection of disjoint nontrivial spheres can be normalized by such replacements and isotopies.

STEP 3. Let $S'_1, \dots, S'_m$ be disjoint normal spheres satisfying (*). They cross each tetrahedron of T along triangle and quadrilateral pieces called *patches*. Let us call a patch *black*, if it does not lie between two parallel patches of the same type. Each tetrahedron contains at most 10 black patches: at most 8 triangle patches and at most 2 quadrilateral ones. Since $m > 10t$, at least one of the spheres is *white*, i.e. contains no black patches. Let C be a chamber such that ∂C contains a white sphere and a non-white sphere. Then C crosses each tetrahedron along some number of prisms of the type $P \times I$, where P is a triangle or a quadrilateral. Since the patches $P \times \{0, 1\}$ belong to different spheres, C is homeomorphic to $S^2 \times I$. This contradicts to our assumption that $S'_1, \dots, S'_m$ satisfy (*). ∎

2.2 Proof of Theorem 2.4

Definition 2.6. *The* compression complexity $\mathbf{c}(M)$ *of a compact 3-manifold M is the maximal possible number of successive compressions of M along nontrivial spheres.*

Lemma 2.5 shows that $\mathbf{c}(M)$ is well-defined. Also, it follows from the definition that compressions of M along nontrivial spheres strictly decrease $\mathbf{c}(M)$.

Proof. (Of Theorem 2.4) To prove the existence, we compress M along nontrivial spheres as long as possible. Since each compression strictly decreases the complexity (which is a nonnegative number), the process is finite and the final manifold is a root.

To prove the uniqueness, assume the converse: suppose that there exists a compact 3-manifold having two different roots. Among all such manifolds we choose a manifold M having minimal compression complexity. Then there exist two sequences of compressions of M along nontrivial spheres producing two different roots. Let the first sequence begin with compression along a sphere S while the second along a sphere S'.

Step 1. Suppose that S, S' are disjoint. Denote by $M_S, M_{S'}$ the manifolds obtained by compressing M along S, S', respectively. Let N be obtained by compressing M_S along S'. Of course, compression of $M_{S'}$ along S also gives N. Therefore, M_S and $M_{S'}$ have a common root. Indeed, one can take any root of N. On the other hand, inequalities $\mathbf{c}(M_S) < \mathbf{c}(M)$, $\mathbf{c}(M_{S'}) < \mathbf{c}(M)$, and the inductive assumption tell us that M_S and $M_{S'}$ have unique roots. It follows that these roots coincide, which contradicts to our assumption that they are different.

Step 2. Suppose that $S \cap S'$ is nonempty. Using an innermost circle argument, we compress S along discs contained in S' as long as possible. This procedure transforms S into a collection of spheres which intersect neither S nor S'. At least one of those spheres (denote it by Σ) is nontrivial. Let us apply Step 1 twice, to the two pairs of disjoint nontrivial spheres S, Σ and Σ, S'. Clearly, for at least one case we get a contradiction. ∎

3 Roots of knotted graphs

Now we will consider pairs of the type (M, G), where M is a compact 3-manifolds and G an arbitrary graph (compact one-dimensional polyhedron) in M.

3.1 Admissible spheres, compressions, and roots

Definition 3.1. *A 2-sphere S in (M, G) is called* admissible *if $S \cap G$ consists of no more than three transverse crossing points.*

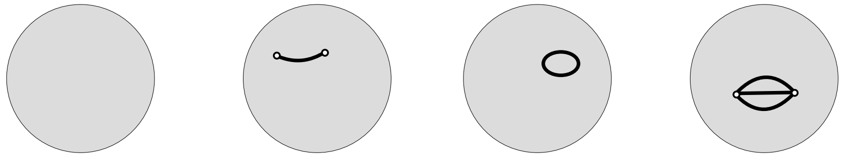

Figure 1: Trivial pairs

We always assume that an admissible sphere is contained in the interior of the manifold.

Definition 3.2. *An admissible sphere S in (M, G) is called* compressible *if there is a disc $D \subset M$ such that $D \cap S = \partial D$, $D \cap G = \emptyset$, and each of the two discs bounded by ∂D on S intersects G. Otherwise S is* incompressible.

Definition 3.3. *An incompressible sphere S in (M, G) is called* trivial *if it bounds a ball $V \subset M$ such that the pair $(V, V \cap G)$ is homeomorphic to the pair $(Con(S^2), Con(X))$, where $X \subset S^2$ consists of ≤ 3 points and Con is the cone. An incompressible nontrivial sphere is called* essential.

Definition 3.4. *Let S be an incompressible sphere in (M, G). Then the* compression move *of (M, G) along S consist in compressing S to a point and cutting the resulting singular manifold along that point.*

Equivalently, the compression along S can be described as cutting (M, G) along S and taking disjoint cones over $(S_\pm, S_\pm \cap G)$, where $S_\pm$ are two copies of S appearing under the cut.

If (M', G') is obtained from (M, G) by compression along S, we write $(M', G') = (M_S, G_S)$. Note that the image of S under this compression consists of two points in M_S. We will call them *stars*. The stars lie in G_S if and only if $S \cap G \neq \emptyset$.

Definition 3.5. *A pair (M, G) is called* trivial *if M is S^3 and G is either empty, or a simple arc, or an unknotted circle, or an unknotted theta-curve (by an unknotted theta-curve we mean a graph $\Theta \subset S^3$ such that Θ is contained in a disc $D \subset S^3$ and consists of two vertices joined by three edges). See Fig. 1*

Any trivial pair (M, G) is composed from two copies of a pair $(Con(S^2), Con(X))$, where $X \subset S^2$ consists of ≤ 3 points.

Definition 3.6. *A pair (R, H) is called a* root *of a pair (M, G) if:*

1. (R,H) *can be obtained from* (M,G) *by successive compressions along incompressible spheres and removing trivial components;*

2. (R,H) *contains no essential spheres.*

Remark 3.7. *Performing successive compressions along incompressible spheres* $S_1, S_2, \ldots$, *we will always assume that each next sphere* S_k *in the pair* (M_k, G_k) *obtained from* $(M_0, G_0) = (M,G)$ *by compressing along the first* $k-1$ *spheres lies away from the stars (point images of* $S_1, \ldots, S_{k-1}$ *under compressions). Therefore, we may think of* $S_1, S_2, \ldots$ *as being contained in* (M,G).

Theorem 3.8. *For any pair* (M,G) *the root exists and is unique up to homeomorphisms and removing trivial pairs.*

We prove the existence at the end of Section 4 and get the uniqueness is a corollary of our main theorem on the uniqueness of *efficient roots*, see Corollary 5.7.

3.2 Behavior of spheres with respect to compressions

We will call a subset Y of (M,G) *clean* if $Y \cap G = \emptyset$. Otherwise Y is *dirty*.

Lemma 3.9. *Let* S, S' *be disjoint admissible spheres in* (M,G) *such that* S *is incompressible. Then* S' *is incompressible in* (M,G) *if and only if* S' *is incompressible in* (M_S, G_S).

Proof. Suppose S' is compressible in (M,G) with compressing disc D. We decrease the number $\#(D \cap S)$ of intersection circles as follows. First, we choose a disc $D' \subset D$ bounded by an innermost circle of $D \cap S \subset D$. Since S is incompressible, $\partial D'$ bounds a clean disc $E \subset S$. Then we take a disc $E' \subset E$ bounded by an innermost circle of $E \cap D \subset E$. Compressing D along E', we get a new compressing disc D for S' with a smaller number $\#(D \cap S)$. Doing so, we get a compressing disc D which is disjoint from S and thus survives the compression of (M,G) along S. It follows that S' remains compressible. The proof in the other direction is evident, since we can always assume that the compressing disc $D \subset (M_S, G_S)$ is away from the stars of S. ∎

Lemma 3.10. *Let* S, S' *be disjoint incompressible spheres in* (M,G) *such that* S' *is essential in* (M_S, G_S). *Then* S' *is essential in* (M,G).

Proof. By Lemma 3.9, S' is incompressible in (M, G). Suppose that S' is trivial in (M, G). Then S either does not intersect the ball V bounded by S' in (M, G) or is a trivial sphere inside V. In both cases S' remains trivial in (M_S, G_S), a contradiction. ∎

4 Existence of a root

Lemma 4.1. *Suppose that (M, G) contains no clean essential spheres, i.e. that the manifold $M \setminus G$ is irreducible. Then there is a constant C_1 depending only on (M, G) such that any sequence of compression moves along essential spheres consists of no more than C_1 moves.*

Proof. We choose a triangulation T of (M, G) such that G is the union of edges and vertices of T. Let $C_1 = 10t$, where t is the number of tetrahedra in T. Consider a sequence $S_1, \dots S_n \subset (M, G)$ of $n > C_1$ disjoint spheres such that each sphere S_k is essential in the pair (M_k, G_k) obtained by compressing $(M_0, G_0) = (M, G)$ along $S_1, \dots, S_{k-1}$. It follows from Lemma 3.10 that the spheres are essential in (M, G) and not parallel one to another.

We claim that there is a homeomorphism $h\colon (M, G) \to (M, G)$ such that all spheres $h(S_i), 1 \le i \le n$, are normal. Indeed, the usual normalization procedure (see, for example, [3]) is a superposition of moves of two types. The first move is a compression of a sphere along a disc inside a triangle face or inside a tetrahedron. The second move consists in shifting a portion of S_i along a disc $D \subset M$ such that the following holds.

1. The intersection of D with the union of all spheres is an arc in $\partial D \cap S_i$.
2. The intersection of D with the edges is the complementary arc of ∂D contained in the interior of an edge e.

Since $M \setminus G$ is irreducible, all moves of the first type can be realized by isotopies of (M, G). The same is true for the moves of the second type, since e cannot lie in G (otherwise S_i would be trivial). The terminal homeomorphism of the normalization isotopy composed of the above moves is the required h. To prove the lemma, it suffices to apply the same argument as in Step 3 of the proof of Lemma 2.5: since $n > 10t$, there are two spheres $h(S_i), h(S_j)$ such that they bound $S^2 \times I$. This contradicts to our assumption that all compressions are essential. ∎

Lemma 4.2. *For any pair (M, G) there exists a constant C such that any sequence of compression moves along essential spheres consists of no more than C moves.*

Proof. Let $S_1, \ldots S_n \subset (M, G)$ be the given compression spheres. We may assume that the pair obtained by compressions along all of them admits no further compressions along clean essential spheres. Otherwise we extend the sequence of compression moves by new compressions along clean essential spheres until getting a pair with irreducible graph complement.

For any $k, 1 \leq k \leq n+1$, we denote by (M_k, G_k) the pair obtained from $(M_0, G_0) = (M, G)$ by compressions along the spheres $S_1, \ldots, S_{k-1}$. Denote also by (M'_k, G'_k) the pair obtained from (M_k, G_k) by additional compressions along all remaining clean spheres from the sequence $S_1, \ldots S_n$. Then (M'_k, G'_k) contains no clean essential spheres. It is convenient to locate the set X of *clean stars* (the images under compressions of all clean essential spheres from $S_1, \ldots S_n$). Then X consists of no more than $2C_0$ points, where $C_0 = C_0(M, G)$ is the constant from Lemma 2.5 for a compact 3-manifold whose interior is $M \setminus G$. We may think of X as being contained in all (M'_k, G'_k).

Let us decompose the set $S_1, \ldots S_n$ into three subsets U, V, W as follows:

1. $S_k \in U$ if S_k is clean.
2. $S_k \in V$ if S_k, considered as a sphere in (M'_k, G'_k), is an essential sphere (necessarily dirty).
3. $S_k \in W$ if S_k is a trivial dirty sphere in (M'_k, G'_k).

Now we estimate the numbers $\#U, \#V, \#W$ of spheres in U, V, W. Of course, $\#U \leq C_0$ and $\#V \leq C_1$, where C_0 is as above and $C_1 = C_1(M_0, G'_0)$ is the constant from Lemma 4.1. Let us prove that $\#W \leq 2C_0$. Indeed, the compression along each sphere $S_k \subset W$ transforms (M'_k, G'_k) into a copy of (M'_k, G'_k) and a trivial pair (V_k, Γ_k) containing some number w_k of clean stars. It is easy to see that (V_k, Γ_k) admits no more than w_k compressions along essential spheres, and all these spheres are in W. Since the total number of clean stars does not exceed $2C_0$, we get $\#W \leq 2C_0$. Combining these estimates, we get $n \leq C = 3C_0 + C_1$. ∎

Corollary 4.3. *Any pair (M, G) has a root.*

Proof. We apply to (M, G) all possible essential compressions as long as possible. By Lemma 4.2 we stop. ∎

5 Efficient roots

One of the advantages of roots introduced above is a flexibility of their construction: each next compression can be performed along any essential sphere. We pay for that by the non-uniqueness. Indeed, roots of (M, G) can differ by their trivial connected components. *Efficient roots* introduced in Section 5.2 are free from that shortcoming.

5.1 Efficient systems

Definition 5.1. *A system $\mathcal{S} = S_1 \cup \ldots \cup S_n$ of disjoint incompressible spheres in (M, G) is called* efficient *if the following holds:*

(1) compressions along all the spheres give a root of (M, G);

(2) any sphere $S_k, 1 \leq k \leq n$, is essential in the pair $(M_{\mathcal{S}\setminus S_k}, G_{\mathcal{S}\setminus S_k})$ obtained from (M, G) by compressions along all spheres $S_i, 1 \leq i \leq n$, except S_k.

Evidently, efficient systems exist; to get one, one may construct a system satisfying (1) and merely throw away one after another all spheres not satisfying (2). Having an efficient system, one can get another one by the following moves.

1. Let $a \subset (M, G)$ be a clean simple arc which joins a sphere S_i with a clean sphere $S_j, i \neq j$, and has no common points with $\mathcal{S}$ except its ends. Then the boundary ∂N of a regular neighborhood $N(S_i \cup a \cup S_j)$ consists of a copy of S_i, a copy of S_j, and an interior connected sum $S_i \# S_j$ of S_i and S_j. The move consists in replacing S_i by $S_i \# S_j$.

2. The same, but with the following modifications:

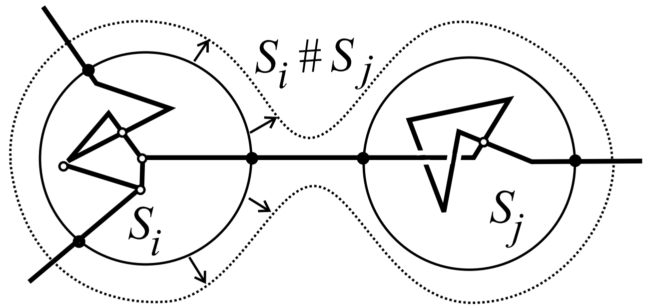

Figure 2: Spherical sliding

i) a is a simple subarc of G such that all vertices of G contained in a have valence two, and

ii) S_j crosses G in two points.

Both moves are called *spherical slidings.* See Fig. 2.

Definition 5.2. *Two efficient system in (M,G) are* equivalent *if one system can be transformed to the other by a sequence of spherical slidings and an isotopy of (M,G).*

Lemma 5.3. *Let S be an essential sphere in a pair (U,Γ) such that the pair (U_S,Γ_S) obtained by compressing (U,Γ) along S is a root, i.e. contains no essential spheres anymore. Suppose that $D\subset (U,\Gamma)$, $D\cap S=\partial D$ is a compressing disc for S crossing Γ in no more than one transverse point. Additionally we assume that either D is clean or both discs D',D'' into which ∂D decomposes S are dirty. Denote by X',X'' two spheres in (U,Γ) obtained by compressing S along D. Then there is an isotopy $(U,\Gamma)\to(U,\Gamma)$ taking S either to X' or to X''.*

Proof. Case 1. Suppose that D is clean. Since S is incompressible, at least one of the spheres X',X'' (let X') is also clean. Recall that (U_S,Γ_S) contains no essential spheres. Therefore, X' bounds in (U_S,Γ_S) a clean ball V. We denote by a,a' two stars (images of S in (U_S,Γ_S)).

Suppose that V, considered as a ball in (U_S,Γ_S), contains neither of the two stars a,a'. Then we can use V for constructing an isotopy of S to X''.

Suppose now that V contains either a or a', but not both. Then the region between X' and S in (U,Γ) is homeomorphic to $S^2\times I$,

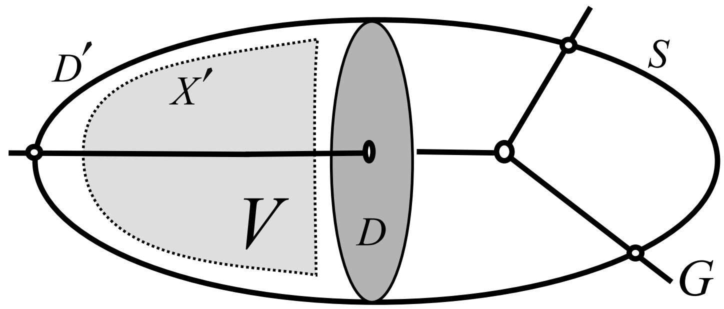

Figure 3: X' bounds a trivial pair $(V, V \cap G)$.

which assures us that S is isotopic to X'.

At last, suppose that V contains both a, a'. Then X'' is also clean and thus bounds a clean ball $W \subset (U_S, \Gamma_S)$. If W contains neither a nor a', or contains only one of them, then the same arguments show that S is isotopic to X' or to X''. The case when W contains both a, a' is impossible, since otherwise X', X'' were parallel and hence S were trivial.

Case 2. Suppose that D crosses Γ in one point. By assumption, both discs D', D'' are dirty. At least one of them (let D') crosses Γ in one point. Since S is incompressible, so is X'. Then the same argument as in Case 1 shows that X' bounds in (U_S, Γ_S) a ball V such that $V \cap \Gamma$ is an unknotted arc, see Fig. 3. As above, we denote by a, a' two stars (the images of S in (U_S, Γ_S)). Contrary to Case 1, they are points of Γ of valence two or three, depending on the number of points in $S \cap \Gamma$. Suppose that V, considered as a ball in (U_S, Γ_S), contains either no stars a, a' or only one of them. Then we can use V for constructing an isotopy of (U, Γ) taking S to X' or X''. If V contains both a, a', then X'' is also incompressible, crosses Γ in two points and thus bounds a ball $W \subset (U_S, \Gamma_S)$ such that $W \cap \Gamma$ is an unknotted arc. If W contains neither a nor a', or contains only one of them, then the same arguments show that S is isotopic to X' or to X''. The case when W contains both a, a' is impossible, since otherwise X', X'' were parallel and hence S were trivial. ∎

Theorem 5.4. *Any two efficient systems in (M, G) are equivalent.*

Proof. Let $\mathcal{S}$, $\mathcal{S}'$ be two efficient systems in (M, G). Our first goal is to replace each system by an equivalent one such that the new systems are disjoint.

Case 1. Suppose that there is a clean disc D in a sphere $S' \subset \mathcal{S}'$ such that ∂D is a circle in a sphere $S \subset \mathcal{S}$ and $D \cap \mathcal{S} = \partial D$. Let us apply Lemma 5.3 to the pair $(U, \Gamma) = (M_{\mathcal{S} \setminus S}, G_{\mathcal{S} \setminus S})$ and S, D as above. We get an isotopy of (U, Γ) which takes S to one of the spheres X', X'' (let to X') obtained by compressing S along D. By construction, $\#(X' \cap \mathcal{S}') < \#(S \cap \mathcal{S}')$. It is easy to see that this isotopy of S in (U, Γ) can be lifted to a composition of isotopies and spherical slidings in (M, G). Each time S passes a star, we get a spherical sliding. It means that a new system obtained by replacing S by X' is equivalent to $\mathcal{S}$.

Case 2. Suppose that all circles in $\mathcal{S} \cap \mathcal{S}'$ which are innermost with respect to $\mathcal{S}$ or to $\mathcal{S}'$ bound in $\mathcal{S}$, respectively, $\mathcal{S}'$ dirty discs. If a sphere from $\mathcal{S}$ or $\mathcal{S}'$ contains at least one circle from $\mathcal{S} \cap \mathcal{S}'$, then it contains at least two innermost discs. Therefore, at least one of the discs crosses G only once, and we can apply Lemma 5.3 again. As in Case 1, this leads us to an equivalent system such that the number of circles in the intersection is decreased.

Doing so as long as possible, we get Case 3.

Case 3. Suppose $\mathcal{S}, \mathcal{S}'$ are disjoint. Our goal is to replace S by an equivalent system such that a sphere of $\mathcal{S}$ coincides with a sphere of $\mathcal{S}'$. Since all spheres of $\mathcal{S}'$ are trivial in $(M_{\mathcal{S}}, G_{\mathcal{S}})$, one can choose an innermost sphere S'. Then S' bounds a ball $V' \subset (M_{\mathcal{S}}, G_{\mathcal{S}})$ containing a star a of at least one sphere S of $\mathcal{S}$. Note that V' cannot contain the other star of S, since otherwise S would be essential in the pair $(M_{\mathcal{S}'}, G_{\mathcal{S}'})$ obtained from (M, G) by compressions along all spheres from $\mathcal{S}$. It follows that the spheres $S' = \partial V'$ and S, considered as spheres in $(M_{\mathcal{S} \setminus S}, G_{\mathcal{S} \setminus S})$ are isotopic (see Fig. 4). Any isotopy of S to S' can be lifted to (M, G) to a composition of isotopies and spherical slidings of S. The new system $\mathcal{S}$ thus obtained will have a common sphere $S = S'$ with $\mathcal{S}'$.

To proceed further, we compress that common sphere and apply the same procedure to the efficient systems $\mathcal{S} \setminus S, \mathcal{S}' \setminus S \subset (M_S, G_S)$. We get another pair of coinciding spheres, compress them, apply the procedure again, and so on. At the end we get systems consisting of the same spheres. ∎

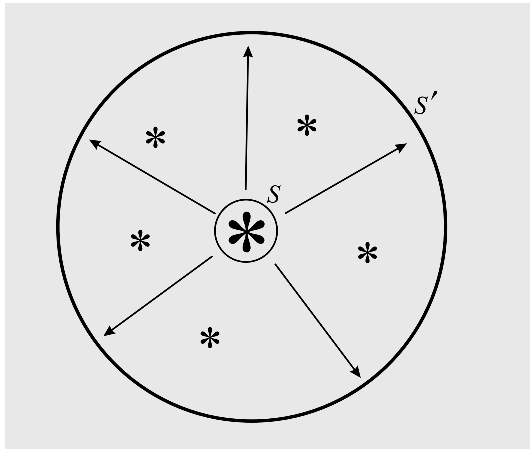

Figure 4: S and S' bound $S^2 \times I$

5.2 Efficient roots

Definition 5.5. *A root of* (M, G) *is* efficient*, if it can be obtained by compressing* (M, G) *along spheres of an efficient system.*

Theorem 5.6. *For any* (M, G) *the efficient root exists and is unique up to homeomorphisms.*

Proof. Evident, since spherical slidings of an efficient system do not affect the corresponding root. ∎

Corollary 5.7. *For any* (M, G) *the root (not necessarily efficient) is unique up to homeomorphisms and removing trivial pairs.*

Proof. Again evident, since any root can be transformed into an efficient one by removing trivial connected components. ∎

6 Colored knotted graphs and orbifolds

Let $\mathcal{C}$ be a set of colors. By a *coloring* of a graph G we mean a map $\varphi\colon E(G) \to \mathcal{C}$, where $E(G)$ is the set of all edges of G.

Definition 6.1. *Let* G_φ *be a colored graph in a 3-manifold* M*. Then the pair* (M, G_φ) *is called* admissible*, if there is no incompressible sphere in* (M, G_φ) *which crosses* G_φ *transversely in two points of different colors.*

It follows from the definition that if (M, G_φ) is admissible, then G_φ has no valence two vertices incident to edges of different colors. We define compressions along admissible spheres, trivial pairs, roots, efficient systems, spherical slidings, and efficient roots just in the same way as for the uncolored case: we simply forget about the colors.

Theorem 6.2. *For any admissible pair (M, G_φ) the root exists and is unique up to color preserving homeomorphisms and removing trivial pairs. Moreover, any two efficient systems in (M, G_φ) are equivalent and thus the efficient root is unique up to color preserving homeomorphisms.*

Proof. The proof is literally the same as for the uncolored case. There are only one place where one should take into account colorings: Case 2 of the proof of Lemma 5.3. Indeed, in this case there appears an incompressible sphere X' such that it crosses G in two points. We need to know that these points have the same colors, and exactly for that purpose one has imposed the restriction that the pair (M, G_φ) must be admissible. ∎

Further generalization of the above result consist in specifying sets of *allowed* single colors, pairs of coinciding colors, and triples of colors. The idea is to allow compressions only along admissible spheres whose intersection with G_φ belongs to one of the specified sets. Again, all proofs, in particular, the proof of the corresponding version of Theorem 6.2, are literally the same with only one exception where we need (M, G_φ) to be admissible. We come naturally to a generalized version of the *orbifold splitting theorem* proved recently by C. Petronio [4].

Recall that a 3-orbifold can be described as a pair (M, G_φ), where all vertices of G_φ have valence 2 or 3 and G_φ is colored by the set $\mathcal{C}$ of all integer numbers greater than 1. If $\partial M \neq \emptyset$, then $G \cap \partial M$ should consist of univalent vertices of G_φ. We allow no single colors and allow all pairs of coinciding colors. The allowed triples are the following: $(2, 2, n), n \geq 2$, and $(2, 3, k), 3 \leq k \leq 5$. See [4] for background. An orbifold (M, G_φ) is called admissible, if it is admissible in the above sense, i.e. if there is no incompressible sphere in (M, G_φ) which crosses G_φ transversely in two points of different colors.

Theorem 6.3. *For any admissible orbifold (M, G_φ) the root exists* *and is unique up to orbifold homeomorphisms and removing trivial pairs. Moreover, any two efficient systems in* (M, G_φ) *are equivalent and thus the efficient root is unique up to orbifold homeomorphisms.*

References

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