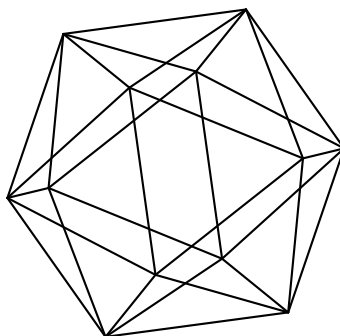


# Max-Planck-Institut für Mathematik Bonn

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# SURJECTIVE SEPARATING MAPS ON NONCOMMUTATIVE $L^p$ -SPACES

CHRISTIAN LE MERDY AND SAFOURA ZADEH

ABSTRACT. Let  $1 \leq p < \infty$  and let  $T: L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  be a bounded map between noncommutative  $L^p$ -spaces. If  $T$  is bijective and separating (i.e., for any  $x, y \in L^p(\mathcal{M})$  such that  $x^*y = xy^* = 0$ , we have  $T(x)^*T(y) = T(x)T(y)^* = 0$ ), we prove the existence of decompositions  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2$ ,  $\mathcal{N} = \mathcal{N}_1 \overset{\infty}{\oplus} \mathcal{N}_2$  and maps  $T_1: L^p(\mathcal{M}_1) \rightarrow L^p(\mathcal{N}_1)$ ,  $T_2: L^p(\mathcal{M}_2) \rightarrow L^p(\mathcal{N}_2)$ , such that  $T = T_1 + T_2$ ,  $T_1$  has a direct Yeadon type factorization and  $T_2$  has an anti-direct Yeadon type factorization. We further show that  $T^{-1}$  is separating in this case. Next we prove that for any  $1 \leq p < \infty$  (resp. any  $1 \leq p \neq 2 < \infty$ ), a surjective separating map  $T: L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  is  $S^1$ -bounded (resp. completely bounded) if and only if there exists a decomposition  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2$  such that  $T|_{L^p(\mathcal{M}_1)}$  has a direct Yeadon type factorization and  $\mathcal{M}_2$  is subhomogeneous.

## 1. INTRODUCTION

This paper deals with separating maps between noncommutative  $L^p$ -spaces,  $1 \leq p < \infty$ . These operators were investigated recently in [1, 4, 5], to which we refer for background, motivation and historical facts. Recall that a bounded map  $T: L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  between two noncommutative  $L^p$ -spaces is called separating if for any  $x, y \in L^p(\mathcal{M})$ , the condition  $x^*y = xy^* = 0$  implies that  $T(x)^*T(y) = T(x)T(y)^* = 0$ . It was shown in [4, Proposition 3.11] and [1, Theorem 3.3 & Remark 3.4] that  $T: L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  is separating if and only if there exist a  $w^*$ -continuous Jordan homomorphism  $J: \mathcal{M} \rightarrow \mathcal{N}$ , a positive operator  $B$  affiliated with  $\mathcal{N}$  and commuting with the range of  $J$ , as well as a partial isometry  $w \in \mathcal{N}$  such that  $w^*w = s(B) = J(1)$  and

$$T(x) = wBJ(x), \quad (x \in \mathcal{M} \cap L^p(\mathcal{M})).$$

Such a factorization (which is necessarily unique) is called a Yeadon type factorization in [4, 5]. We further say that  $T$  admits a direct Yeadon type factorization if the Jordan homomorphism  $J$  in this factorization is a  $*$ -homomorphism. It is proved in [5, Proposition 4.4] and [1, Theorem 3.6] that any separating map  $T: L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  with a direct Yeadon type factorization is necessarily completely bounded. It is also proved in [5, Proposition 4.5] that any such map is  $S^1$ -bounded (see Section 2 below for the definition). The main purpose of the present paper is to establish a form of converse of these results for surjective maps. More precisely, we prove the following characterizations.

**Theorem.** Let  $1 \leq p < \infty$ , let  $\mathcal{M}, \mathcal{N}$  be semifinite von Neumann algebras and let  $T: L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  be a surjective separating map. The following are equivalent :

- (i)  $T$  is  $S^1$ -bounded;

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*Key words and phrases.* von Neumann algebras, noncommutative  $L^p$ -spaces, separating maps, operator spaces.

- (ii) There exists a direct sum decomposition  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2$  such that the restriction of  $T$  to  $L^p(\mathcal{M}_1)$  has a direct Yeadon type factorization and  $\mathcal{M}_2$  is subhomogeneous.

Moreover if  $p \neq 2$ , then (ii) is also equivalent to :

- (iii)  $T$  is completely bounded.

These results will be proved in Section 4. We also provide an example showing that the surjectivity assumption cannot be dropped. In section 3, we establish a general decomposition result for bijective separating maps which plays a key role in the above characterization results. We prove in passing that the inverse of any bijective separating map is separating as well. Section 2 is preparatory.

## 2. BACKGROUND

In this section we recall some necessary background on semifinite noncommutative  $L^p$ -spaces and subhomogeneous von Neumann algebras.

Let  $\mathcal{M}$  be a semifinite von Neumann algebra with a normal semifinite faithful trace  $\tau_{\mathcal{M}}$ . Assume that  $\mathcal{M} \subset B(\mathcal{H})$  acts on some Hilbert space  $\mathcal{H}$ . Let  $L^0(\mathcal{M})$  denote the  $*$ -algebra of all closed densely defined (possibly unbounded) operators on  $\mathcal{H}$ , which are  $\tau_{\mathcal{M}}$ -measurable. Then for any  $1 \leq p < \infty$ , the noncommutative  $L^p$ -space associated with  $\mathcal{M}$  can be defined as

$$L^p(\mathcal{M}) := \{x \in L^0(\mathcal{M}) : \tau_{\mathcal{M}}(|x|^p) < \infty\}.$$

We set  $\|x\|_p := \tau_{\mathcal{M}}(|x|^p)^{\frac{1}{p}}$  for any  $x \in L^p(\mathcal{M})$ . Then  $L^p(\mathcal{M})$  equipped with  $\|\cdot\|_p$  is a Banach space. The reader may consult [3, 8, 12] and the references therein for details and further properties.

We let  $S^p$ ,  $1 \leq p < \infty$ , denote the noncommutative  $L^p$ -space built upon  $B(\ell^2)$  with its usual trace; this is in fact the Schatten  $p$ -class of operators on  $\ell^2$ . For any  $m \geq 1$ , we let  $S_m^p$  denote the Schatten  $p$ -class of  $m \times m$  matrices. Whenever  $E$  is an operator space, we let  $S_m^p[E]$  denote the  $E$ -valued Schatten space introduced in [6, Chapter1].

Recall that we may identify  $L^p(\mathcal{M} \otimes M_m)$  with  $L^p(\mathcal{M}) \otimes S_m^p$  in a natural way. Let  $\mathcal{N}$  be, possibly, another semifinite von Neumann algebra. We say that an operator  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  is completely bounded if there exists a constant  $K \geq 0$  such that

$$\|T \otimes I_{S_m^p} : L^p(\mathcal{M} \otimes M_m) \rightarrow L^p(\mathcal{N} \otimes M_m)\| \leq K,$$

for any  $m \geq 1$ . In this case, the completely bounded norm of  $T$  is the smallest such uniform bound and is denoted by  $\|T\|_{cb}$ . We further say that  $T$  is a complete isometry if  $T \otimes I_{S_m^p}$  is an isometry for any  $m \geq 1$ .

In [5, Section 3], we introduced  $S^1$ -valued noncommutative  $L^p$ -spaces, which naturally extend previous constructions from [2, 6]. We recall this definition here.

For  $1 \leq p < \infty$ , the  $S^1$ -valued noncommutative  $L^p$ -space,  $L^p(\mathcal{M}; S^1)$ , is the space of all infinite matrices  $[x_{ij}]_{i,j \geq 1}$  in  $L^p(\mathcal{M})$  for which there exist families  $(a_{ik})_{i,k \geq 1}$  and  $(b_{kj})_{k,j \geq 1}$  in  $L^{2p}(\mathcal{M})$  such that  $\sum_{i,k} a_{ik} a_{ik}^*$  and  $\sum_{k,j} b_{kj}^* b_{kj}$  converge in  $L^p(\mathcal{M})$  and for all  $i, j \geq 1$ ,

$$x_{ij} = \sum_{k=1}^{\infty} a_{ik} b_{kj}.$$

We equip  $L^p(\mathcal{M}; S^1)$  with the following norm

$$(1) \quad \|[x_{ij}]\|_{L^p(\mathcal{M}; S^1)} = \inf \left\{ \left\| \sum_{i,k=1}^{\infty} a_{ik} a_{ik}^* \right\|_p^{\frac{1}{2}} \left\| \sum_{k,j=1}^{\infty} b_{kj}^* b_{kj} \right\|_p^{\frac{1}{2}} \right\},$$

where the infimum is taken over all families  $(a_{ik})_{i,k \geq 1}$  and  $(b_{kj})_{k,j \geq 1}$  as above. The space  $L^p(\mathcal{M}; S^1)$  endowed with this norm is a Banach space.

For any integer  $m \geq 1$ , we let  $L^p(\mathcal{M}; S_m^1)$  be the subspace of  $L^p(\mathcal{M}; S^1)$  of matrices  $[x_{ij}]_{i,j \geq 1}$  with support in  $\{1, \dots, m\}^2$ .

Following [5, Definition 3.8], we say that a bounded operator  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  is  $S^1$ -bounded if there exists a constant  $K \geq 0$  such that

$$\|T \otimes I_{S_m^1} : L^p(\mathcal{M}; S_m^1) \rightarrow L^p(\mathcal{N}; S_m^1)\| \leq K,$$

for any  $m \geq 1$ . In this case, the  $S^1$ -bounded norm of  $T$  is the smallest such uniform bounded and is denoted by  $\|T\|_{S^1}$ . We further say that  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  is an  $S^1$ -isometry if for each  $m \geq 1$ ,  $T \otimes I_{S_m^1}$  is an isometry.

We proved in [5] that for any  $n \geq 1$ ,  $L^p(\mathcal{M}_n; S_m^1) = S_n^p[S_m^1]$  isometrically. Further, if  $\mathcal{M}, \mathcal{N}$  are hyperfinite, then  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  is  $S^1$ -bounded if and only if it is regular in the sense of [7].

We note that any direct sum  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2$  induces isometric identifications  $L^p(\mathcal{M}) = L^p(\mathcal{M}_1) \overset{p}{\oplus} L^p(\mathcal{M}_2)$  and  $L^p(\mathcal{M}; S^1) = L^p(\mathcal{M}_1; S^1) \overset{p}{\oplus} L^p(\mathcal{M}_2; S^1)$  (see [5, Lemma 5.2] for the last identification).

Recall that a  $C^*$ -algebra  $\mathcal{A}$  is called subhomogeneous of degree  $\leq N$  if all irreducible representations of  $\mathcal{A}$  are of maximum dimension  $N$ . If  $\mathcal{A}$  is subhomogeneous of degree  $\leq N$ , for some  $N$ , we simply say that  $\mathcal{A}$  is subhomogeneous. It is well-known (see for example [9, Theorem 7.1.1]) that  $\mathcal{M}$  is a subhomogeneous von Neumann algebra of degree  $\leq N$  if and only if there exist  $r \geq 1$ , integers  $1 \leq n_1 \leq n_2 \leq \dots \leq n_r \leq N$  and abelian von Neumann algebras  $L^\infty(\Omega_1), \dots, L^\infty(\Omega_r)$  such that

$$(2) \quad \mathcal{M} \simeq \overset{\infty}{\oplus}_{1 \leq j \leq r} L^\infty(\Omega_j; M_{n_j}).$$

If a von Neumann algebra  $\mathcal{M}$  is not subhomogeneous of degree  $\leq N$ , it is well-known that there is a non zero  $*$ -homomorphism  $\gamma : M_{N+1} \rightarrow \mathcal{M}$ . Lemma 2.1 below makes this more explicit in the semifinite case.

**Lemma 2.1.** *Let  $\mathcal{M}$  be a semifinite von Neumann algebra and let  $N \geq 1$ . If  $\mathcal{M}$  is not subhomogeneous of degree  $\leq N$ , then there is a complete isometry from  $S_{N+1}^p$  into  $L^p(\mathcal{M})$  that is also an  $S^1$ -isometry.*

*Proof.* Let  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2$  be the direct sum decomposition of  $\mathcal{M}$  into a type I summand  $\mathcal{M}_1$  and a type II summand  $\mathcal{M}_2$  (see e.g. [11, Section 5]).

Assume that  $\mathcal{M}_2 \neq \{0\}$ . Following the same lines as in [5, Lemma 2.3], there is a projection  $e$  in  $\mathcal{M}_2$ , a trace preserving von Neumann algebra identification

$$(3) \quad \mathcal{M}_2 \simeq M_{N+1} \overline{\otimes} (e\mathcal{M}_2e)$$

and a finite trace projection  $\varepsilon$  in  $e\mathcal{M}_2e$  such that the mapping

$$\gamma : M_{N+1} \rightarrow \mathcal{M}_2 \subset \mathcal{M}; \quad \gamma(a) = a \otimes \varepsilon$$

is a non zero  $*$ -homomorphism taking values in  $L^1(\mathcal{M})$ , and therefore  $L^p(\mathcal{M})$ .

For every  $[a_{ij}]_{1 \leq i, j \leq m}$  in  $S_{N+1}^p \otimes S_m^p$  we have that

$$\|[a_{ij} \otimes \varepsilon]\|_{L^p(\mathcal{M}_2 \otimes M_m)} = \|\varepsilon\|_p \|[a_{ij}]\|_{L^p(M_{N+1} \otimes M_m)},$$

and therefore  $\|\varepsilon\|_p^{-1}\gamma$  is a complete isometry from  $S_{N+1}^p$  into  $L^p(\mathcal{M})$ . By [5, Lemma 5.1],

$$\|[a_{ij} \otimes \varepsilon]\|_{L^p(\mathcal{M}_2; S_m^1)} = \|\varepsilon\|_p \|[a_{ij}]\|_{S_{N+1}^p[S_m^1]},$$

and therefore  $\|\varepsilon\|_p^{-1}\gamma$  is also an  $S^1$ -isometry from  $S_{N+1}^p$  into  $L^p(\mathcal{M})$ .

If  $\mathcal{M}_2 = \{0\}$ , then  $\mathcal{M}$  is of type I. Since  $\mathcal{M}$  is not subhomogeneous of degree  $\leq N$ , it follows from [11, Theorem V.1.27] that there exist a Hilbert space  $\mathcal{H}$  with  $\dim(\mathcal{H}) \geq N+1$  and an abelian von Neumann algebra  $W$  such that  $\mathcal{M}$  contains  $B(\mathcal{H}) \overline{\otimes} W$  as a summand. Using this summand instead of (3) and arguing as above we obtain the result in this case as well.  $\square$

### 3. BIJECTIVE SEPARATING MAPS AND THEIR INVERSES

The goal of this section is to provide a decomposition for bijective separating maps that facilitates their study. We apply this decomposition to show that the inverse of a bijective separating map is separating as well.

First we recall some terminologies and results that we will use. A Jordan homomorphism between von Neumann algebras  $\mathcal{M}$  and  $\mathcal{N}$  is a linear map  $J : \mathcal{M} \rightarrow \mathcal{N}$  such that

$$J(x^*) = J(x)^* \quad \text{and} \quad J(xy + yx) = J(x)J(y) + J(y)J(x)$$

for all  $x$  and  $y$  in  $\mathcal{M}$ . It is plain that  $*$ -homomorphisms and anti- $*$ -homomorphisms are Jordan homomorphisms. In fact, every Jordan homomorphism is a sum of a  $*$ -homomorphism and an anti- $*$ -homomorphism, as we recall here.

Let  $J : \mathcal{M} \rightarrow \mathcal{N}$  be a Jordan homomorphism and let  $\mathcal{D} \subset \mathcal{N}$  be the  $w^*$ -closed  $C^*$ -algebra generated by  $J(\mathcal{M})$ . Then  $J(1)$  is the unit of  $\mathcal{D}$ . By e.g. [10, Theorem 3.3], there exist projections  $e$  and  $f$  in the center of  $\mathcal{D}$  such that  $e + f = J(1)$ ,  $x \mapsto J(x)e$  is a  $*$ -homomorphism, and  $x \mapsto J(x)f$  is an anti- $*$ -homomorphism. Let  $\mathcal{N}_1 = e\mathcal{N}e$  and  $\mathcal{N}_2 = f\mathcal{N}f$ . Define  $\pi : \mathcal{M} \rightarrow \mathcal{N}_1$  and  $\sigma : \mathcal{M} \rightarrow \mathcal{N}_2$  by  $\pi(x) = J(x)e$  and  $\sigma(x) = J(x)f$ , for all  $x \in \mathcal{M}$ . Then  $J$  is valued in  $\mathcal{N}_1 \overset{\infty}{\oplus} \mathcal{N}_2$  and  $J(x) = \pi(x) + \sigma(x)$ , for all  $x \in \mathcal{M}$ .

Assume that  $\mathcal{M}$  and  $\mathcal{N}$  are semifinite von Neumann algebras and let  $1 \leq p < \infty$ . In [4], inspired by Yeadon's fundamental description of isometries between noncommutative  $L^p$ -spaces, we say that a bounded operator  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  has a Yeadon type factorization if there exist a  $w^*$ -continuous Jordan homomorphism  $J : \mathcal{M} \rightarrow \mathcal{N}$ , a partial isometry  $w \in \mathcal{N}$ , and a positive operator  $B$  affiliated with  $\mathcal{N}$ , which satisfy the following conditions:

- (a)  $w^*w = J(1) = s(B)$ , the support projection of  $B$ ;
- (b) every spectral projection of  $B$  commutes with  $J(x)$ , for all  $x \in \mathcal{M}$ ;
- (c)  $T(x) = wBJ(x)$  for all  $x \in \mathcal{M} \cap L^p(\mathcal{M})$ .

We call  $(w, B, J)$  the Yeadon triple associated with  $T$ . This triple is unique. Following [5], if  $J$  is a  $*$ -homomorphism (respectively, anti- $*$ -homomorphism), we say that  $T$  has a direct (respectively, anti-direct) Yeadon type factorization.

Following [4], we say that a bounded operator  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  is separating if for every  $x, y \in L^p(\mathcal{M})$  such that  $x^*y = xy^* = 0$ , we have that  $T(x)^*T(y) = T(x)T(y)^* = 0$ . The following characterization has a fundamental role in the study of separating maps.

**Theorem 3.1.** ([1, Theorem 3.3], [4, Theorem 3.5]) *A bounded operator  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  admits a Yeadon type factorization if and only if it is separating.*

It is easy to see that for a separating map  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  with Yeadon triple  $(w, B, J)$ , we have that

$$(4) \quad T(z^*) = wT(z)^*w \quad (z \in L^p(\mathcal{M})).$$

Also, if  $T$  has a direct (respectively, anti-direct) Yeadon type factorization, we get that

$$(5) \quad T(zm) = T(z)J(m) \quad (\text{respectively, } T(mz) = T(z)J(m)),$$

for every  $z \in L^p(\mathcal{M})$  and  $m \in \mathcal{M}$ .

**Remark 3.2.** Let  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  be a separating map with Yeadon triple  $(w, B, J)$ . We observe that if  $T$  is surjective, then  $w$  is a unitary. Indeed on the one hand, we see that  $T$  is valued in  $wL^p(\mathcal{N})$ . Since  $ww^*w = w$ , this implies that  $T$  is valued in  $ww^*L^p(\mathcal{N})$ . Hence, if  $T$  is surjective, we have  $ww^*L^p(\mathcal{N}) = L^p(\mathcal{N})$ , which implies that  $ww^* = 1$ . On the other hand,  $T(x) = T(x)J(1)$ , for any  $x \in L^p(\mathcal{M})$ . Hence,  $T$  is valued in  $L^p(\mathcal{N})J(1)$ . Hence, if  $T$  is surjective, we have  $L^p(\mathcal{N})J(1) = L^p(\mathcal{N})$ , which implies  $w^*w = J(1) = 1$ .

**Proposition 3.3.** *Let  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  be a separating map that is bijective. Then there exist direct sum decompositions*

$$\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2, \quad \text{and} \quad \mathcal{N} = \mathcal{N}_1 \overset{\infty}{\oplus} \mathcal{N}_2,$$

and bounded bijective separating maps  $T_1 : L^p(\mathcal{M}_1) \rightarrow L^p(\mathcal{N}_1)$  with a direct Yeadon type factorization and  $T_2 : L^p(\mathcal{M}_2) \rightarrow L^p(\mathcal{N}_2)$  with an anti-direct Yeadon type factorization such that  $T = T_1 + T_2$ .

*Proof.* Assume that  $w = 1$ . Consider a decomposition for  $J$ , induced by central projections  $e$  and  $f$ , as recalled above. As detailed in [5, Remark 4.3], this induces a decomposition  $\mathcal{N} = \mathcal{N}_1 \overset{\infty}{\oplus} \mathcal{N}_2$  and separating maps

$$T_1 : L^p(\mathcal{M}) \longrightarrow L^p(\mathcal{N}_1), \quad T_1(x) = T(x)e,$$

with Yeadon triple  $(e, Be, \pi)$ , and hence a direct Yeadon type factorization, and

$$T_2 : L^p(\mathcal{M}) \longrightarrow L^p(\mathcal{N}_2), \quad T_2(x) = T(x)f,$$

with Yeadon triple  $(f, Bf, \sigma)$ , and hence an anti-direct Yeadon type factorization, such that  $T = T_1 + T_2$ .

Let  $\mathcal{M}_1 := \ker(\sigma)$  and  $\mathcal{M}_2 := \ker(\pi)$ . Since  $\mathcal{M}_1$  and  $\mathcal{M}_2$  are  $w^*$ -closed ideals of  $\mathcal{M}$ , there exist central projections  $\alpha, \beta \in \mathcal{M}$  such that  $\mathcal{M}_1 = \alpha\mathcal{M}$ , and  $\mathcal{M}_2 = \beta\mathcal{M}$ . Set  $\mathcal{M}_3 := (1 - \alpha)(1 - \beta)\mathcal{M}$ . Note that  $\alpha\beta \in \ker(\sigma) \cap \ker(\pi)$ , and therefore  $J(\alpha\beta) = 0$ . Since  $T$  is one-to-one, by [4, Remark 3.14(a)],  $J$  is one-to-one and therefore we must have that  $\alpha\beta = 0$ . Hence,

$$1 = \alpha + \beta + (1 - \alpha)(1 - \beta).$$

Consequently,  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2 \overset{\infty}{\oplus} \mathcal{M}_3$ , and so we have the decomposition

$$L^p(\mathcal{M}) = L^p(\mathcal{M}_1) \overset{p}{\oplus} L^p(\mathcal{M}_2) \overset{p}{\oplus} L^p(\mathcal{M}_3).$$

The result will follow if we can show that

$$L^p(\mathcal{M}_1) = \ker(T_2), \quad L^p(\mathcal{M}_2) = \ker(T_1) \quad \text{and} \quad \mathcal{M}_3 = \{0\}.$$

To see that  $L^p(\mathcal{M}_1) \subseteq \ker(T_2)$ , let  $x \in \mathcal{M}_1 \cap L^p(\mathcal{M}_1)$ , then

$$T_2(x) = B\sigma(x) = 0.$$

Hence,  $\mathcal{M}_1 \cap L^p(\mathcal{M}_1) \subset \ker(T_2)$  and therefore  $L^p(\mathcal{M}_1) \subset \ker(T_2)$ . Now suppose that  $x$  belongs to  $\ker(T_2)$ . For any  $n \geq 1$ , let  $p_n = \chi_{[-n,n]}(|x^*|)$ , the projection associated with the indicator function of  $[-n, n]$  in the Borel functional calculus of  $|x^*|$ , and  $x_n := p_n x$ . Then, using (5), we have that

$$T_2(x_n) = T_2(x)\sigma(p_n) = 0.$$

Hence,  $B\sigma(x_n) = 0$ . Since  $s(B) = 1$ , this implies that  $\sigma(x_n) = 0$ , that is  $x_n$  is in  $\mathcal{M}_1$ . Now because  $x_n \rightarrow x$  in  $L^p(\mathcal{M})$ , we obtain that  $x$  belongs to  $L^p(\mathcal{M}_1)$ . Hence,

$$L^p(\mathcal{M}_1) = \ker(T_2).$$

Similarly, we can show that  $L^p(\mathcal{M}_2) = \ker(T_2)$ .

Finally, we show that  $\mathcal{M}_3 = \{0\}$ . Let  $x \in L^p(\mathcal{M})$ . By surjectivity of  $T$ , there is  $y$  in  $L^p(\mathcal{M})$  such that  $T(y) = T_1(x)$ . Writing  $T(y) = T_1(y) + T_2(y)$ , we obtain that  $T_1(x - y) = 0$  and  $T_2(y) = 0$ , that is  $x - y$  belongs to  $\ker(T_1) = L^p(\mathcal{M}_2)$  and  $y$  belongs to  $\ker(T_2) = L^p(\mathcal{M}_1)$ , thus  $x$  belongs to  $L^p(\mathcal{M}_1) \overset{p}{\oplus} L^p(\mathcal{M}_2)$ . Hence,  $\mathcal{M}_3 = \{0\}$ . This completes the proof in the case  $w = 1$ .

In the general case, consider the map  $\tilde{T} := w^*T(\cdot)$ , which takes any  $x \in \mathcal{M} \cap L^p(\mathcal{M})$  to  $BJ(x)$ . By Remark 3.2,  $\tilde{T}$  is also a bijective separating map. Its Yeadon triple is  $(1, B, J)$ . We may apply the above decomposition to the map  $\tilde{T}$  to obtain decompositions  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2$ ,  $\mathcal{N} = \mathcal{N}_1 \overset{\infty}{\oplus} \mathcal{N}_2$  and bounded bijective separating maps  $\tilde{T}_1 : L^p(\mathcal{M}_1) \rightarrow L^p(\mathcal{N}_1)$  with a direct Yeadon type factorization and  $\tilde{T}_2 : L^p(\mathcal{M}_2) \rightarrow L^p(\mathcal{N}_2)$  with an anti-direct Yeadon type factorization such that  $\tilde{T} = \tilde{T}_1 + \tilde{T}_2$ . Since  $w\tilde{T} = T$ , we obtain the result.  $\square$

**Proposition 3.4.** *Suppose that  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  is a bijective separating map, then*

- (i)  $T^{-1} : L^p(\mathcal{N}) \rightarrow L^p(\mathcal{M})$  is separating.
- (ii) If  $J : \mathcal{M} \rightarrow \mathcal{N}$  is the Jordan homomorphism associated with  $T$ , then  $J$  is invertible and  $J^{-1} : \mathcal{N} \rightarrow \mathcal{M}$  is the Jordan homomorphism associated with  $T^{-1}$ .

*Proof.* Using the decomposition given in Proposition 3.3, it is enough to show parts (i) and (ii) for a bijective separating map with a direct Yeadon type factorization. So, throughout the proof we assume that this is the case. Note that by Remark 3.2,  $J(1) = 1$ .

(i) Suppose that  $a, b \in L^p(\mathcal{N})$  such that  $a^*b = ab^* = 0$ . We show that  $T^{-1}(a)^*T^{-1}(b) = T^{-1}(a)T^{-1}(b)^* = 0$ . Let  $x = T^{-1}(a)$  and  $y = T^{-1}(b)$ . Set  $p_n := \chi_{[-n,n]}(|y|)$ , for any



$n \geq 1$ . We have that

$$\begin{aligned}
T(x^*yp_n)B &= T(x^*)J(y p_n)B && \text{by (5)} \\
&= T(x^*)w^*T(y p_n) \\
&= wT(x)^*T(y p_n) && \text{by (4)} \\
&= wT(x)^*T(y)J(p_n) && \text{by (5)} \\
&= wa^*bJ(p_n) = 0.
\end{aligned}$$

Since  $s(B) = J(1) = 1$ , we obtain  $T(x^*yp_n) = 0$ . Because  $T$  is one-to-one, we have that  $x^*yp_n = 0$ . Now, since  $yp_n \rightarrow y$ , we get that  $x^*y = 0$ . A similar argument using  $ab^* = 0$  implies that  $xy^* = 0$ . Hence  $T^{-1}$  must be separating.

(ii) By part (i),  $T^{-1}$  is separating. We let  $J'$  denote the Jordan homomorphism of its Yeadon triple. Let  $e \in \mathcal{N}$  be a projection with finite trace. For any  $y \in e\mathcal{N}e$ , we have that  $T^{-1}(y) = T^{-1}(e)J'(y)$ . Applying (5), we deduce that

$$y = TT^{-1}(y) = T(T^{-1}(e)J'(y)) = TT^{-1}(e)JJ'(y) = eJJ'(y).$$

Using the  $w^*$ -continuity of  $J$  and  $J'$ , and the  $w^*$ -density of the union of the  $e\mathcal{N}e$ , for  $\tau_{\mathcal{N}}(e) < \infty$ , we deduce that  $y = JJ'(y)$  for any  $y \in \mathcal{N}$ . By [4, Remark 3.14(a)], since  $T$  is one-to-one,  $J$  must be one-to-one. Hence,  $J$  is invertible with  $J^{-1} = J'$ .  $\square$

**Remark 3.5.** Part (ii) of Proposition 3.4 shows that a separating invertible map  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  admits a direct Yeadon type factorization if and only if  $T^{-1}$  does.

#### 4. A CHARACTERIZATION OF COMPLETELY/ $S^1$ -BOUNDED SURJECTIVE SEPARATING MAPS

In this section we show that a separating map can always be reduced to a one-to-one separating map and therefore we may confine ourself to the study of separating maps that are surjective rather than bijective. The goal of the section is to provide a characterization for surjective separating maps that are completely bounded (when  $p \neq 2$ ) or  $S^1$ -bounded. We show that the surjectivity assumption is essential.

We require [5, Propositions 4.4 & 4.5] later on in our arguments in this section. We recall the statements for convenience.

**Proposition 4.1.** *Let  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  be a bounded operator with a direct Yeadon type factorization. Then  $T$  is completely bounded and  $\|T\|_{cb} = \|T\|$ .*

**Proposition 4.2.** *Let  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  be a bounded operator with a direct Yeadon type factorization. Then  $T$  is  $S^1$ -bounded and  $\|T\|_{S^1} = \|T\|$ .*

**Lemma 4.3.** *Let  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  be a separating map. Then there exists a direct sum decomposition  $\mathcal{M} = \mathcal{M}_0 \overset{\infty}{\oplus} \widetilde{\mathcal{M}}$  such that  $\ker(T) = L^p(\mathcal{M}_0)$ .*

*Proof.* Let  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  be a separating map and  $J : \mathcal{M} \rightarrow \mathcal{N}$  be the Jordan homomorphism associated with  $T$  via its Yeadon type factorization. Let  $\mathcal{M}_0 := \ker(J)$ . Then  $\mathcal{M}_0$  is an ideal. Since  $J$  is  $w^*$ -continuous,  $\mathcal{M}_0$  is  $w^*$ -closed. Hence we have a direct sum decomposition

$$\mathcal{M} = \mathcal{M}_0 \overset{\infty}{\oplus} \widetilde{\mathcal{M}}.$$

It is clear that  $L^p(\mathcal{M}_0) \subset \ker T$ . Further  $J|_{\widetilde{\mathcal{M}}}$  is one-to-one. By [4, Remark 3.14(a)] this implies that  $T|_{L^p(\widetilde{\mathcal{M}})}$  is one-to-one. This yields the result.  $\square$

For any von Neumann algebra  $\mathcal{M}$ , we let  $\mathcal{M}^{op}$  denote its opposite von Neumann algebra. Recall that the underlying dual Banach space structure and involution on  $\mathcal{M}^{op}$  are the same as on  $\mathcal{M}$  but the product of  $x$  and  $y$  is defined by  $yx$  rather than  $xy$ . Note that the Banach spaces  $L^p(\mathcal{M})$  and  $L^p(\mathcal{M}^{op})$  are the same. It is evident that, for von Neumann algebras  $\mathcal{M}$  and  $\mathcal{N}$ ,  $J : \mathcal{M} \rightarrow \mathcal{N}$  is a  $*$ -homomorphism if and only if

$$J^{op} : \mathcal{M}^{op} \rightarrow \mathcal{N}; \quad x \mapsto J(x),$$

is an anti- $*$ -homomorphism. Hence, a separating map  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  has a direct Yeadon type factorization if and only if

$$T^{op} : L^p(\mathcal{M}^{op}) \rightarrow L^p(\mathcal{N}); \quad x \mapsto T(x),$$

has an anti-direct Yeadon type factorization.

Lemma 4.4 below is the principal ingredient of our characterization theorems. Its proof relies on the relation between the completely bounded norm or  $S^1$ -norm of the identity map

$$I^{op} : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{M}^{op})$$

and the norms of the transformations

$$[x_{ij}]_{1 \leq i, j \leq m} \mapsto [x_{ji}]_{1 \leq i, j \leq m}$$

either on  $L^p(\mathcal{M} \otimes M_m)$  or on  $L^p(\mathcal{M}; S_m^1)$ , in particular in the specific case when  $\mathcal{M} = M_n$ . We will use the fact that for any  $n \geq 1$ , we have  $L^p(M_n \otimes M_m) \simeq S_m^p[S_n^p]$ , isometrically, provided that  $S_n^p$  is equipped with the operator space structure given in [6].

Let  $t_m$  denote the transposition map on scalar  $m \times m$  matrices. Assume that  $\mathcal{M}$  is semifinite. The map

$$I_{\mathcal{M}^{op}} \otimes t_m : \mathcal{M}^{op} \otimes M_m \rightarrow \mathcal{M}^{op} \otimes M_m^{op}$$

is a trace preserving  $*$ -homomorphism, and so

$$I_{L^p(\mathcal{M}^{op})} \otimes t_m : L^p(\mathcal{M}^{op} \otimes M_m) \longrightarrow L^p(\mathcal{M}^{op} \otimes M_m^{op})$$

is an isometry. Moreover  $\mathcal{M}^{op} \otimes M_m^{op} = (\mathcal{M} \otimes M_m)^{op}$ , hence  $L^p(\mathcal{M}^{op} \otimes M_m^{op}) = L^p(\mathcal{M} \otimes M_m)$  isometrically. For any  $[x_{ij}]_{1 \leq i, j \leq m}$  in  $L^p(\mathcal{M}) \otimes S_m^p$ , since  $I_{L^p(\mathcal{M}^{op})} \otimes t_m$  maps  $[x_{ij}]$  to  $[x_{ji}]$ , we get that

$$(6) \quad \|[x_{ij}]\|_{L^p(\mathcal{M}^{op} \otimes M_m)} = \|[x_{ji}]\|_{L^p(\mathcal{M} \otimes M_m)}.$$

We now show that similarly, for any  $[x_{ij}]_{1 \leq i, j \leq m}$  in  $L^p(\mathcal{M}) \otimes S_m^1$ ,

$$(7) \quad \|[x_{ij}]\|_{L^p(\mathcal{M}^{op}; S_m^1)} = \|[x_{ji}]\|_{L^p(\mathcal{M}; S_m^1)}.$$

To verify the identity (7), assume that  $\|[x_{ij}]\|_{L^p(\mathcal{M}^{op}; S_m^1)} < 1$ . Taking into account the opposite product and (1), we can write

$$x_{ij} = \sum_k b_{kj} a_{ik}$$

for some  $a_{ik}, b_{kj}$  in  $L^{2p}(\mathcal{M})$  such that  $\sum_{i,k} a_{ik}^* a_{ik}$  and  $\sum_{k,j} b_{kj} b_{kj}^*$  have norm  $< 1$  in  $L^p(\mathcal{M})$ . This exactly means that  $\|[x_{ji}]\|_{L^p(\mathcal{M}; S_m^1)} < 1$ . This shows the inequality  $\geq$  in (7). Reversing the argument we find the other inequality.

Identities (6) and (7), respectively, imply

$$(8) \quad \|I^{op}: L^p(\mathcal{M}) \longrightarrow L^p(\mathcal{M}^{op})\|_{cb} = \sup_{m \geq 1} \|I_{L^p(\mathcal{M})} \otimes t_m: L^p(\mathcal{M} \otimes M_m) \longrightarrow L^p(\mathcal{M} \otimes M_m)\|,$$

and

$$(9) \quad \|I^{op}: L^p(\mathcal{M}) \longrightarrow L^p(\mathcal{M}^{op})\|_{S^1} = \sup_{m \geq 1} \|I_{L^p(\mathcal{M})} \otimes t_m: L^p(\mathcal{M}; S_m^1) \longrightarrow L^p(\mathcal{M}; S_m^1)\|.$$

When  $\mathcal{M} = M_n$ , the above identities can be more specific. In fact, as we show below, we have that for any  $n \geq 1$ ,

$$(10) \quad \|I^{op}: S_n^p \longrightarrow \{S_n^p\}^{op}\|_{cb} = \|t_n: S_n^p \rightarrow S_n^p\|_{cb}$$

and

$$(11) \quad \|I^{op}: S_n^p \longrightarrow \{S_n^p\}^{op}\|_{S^1} = \|t_n: S_n^p \rightarrow S_n^p\|_{S^1}.$$

Using (6) applied to  $\mathcal{M} = M_n$ , to prove (10), it is enough to show that for any  $[x_{ij}]_{1 \leq i, j \leq m}$  in  $S_n^p \otimes S_m^p$ ,

$$(12) \quad \|[t_n(x_{ij})]\|_{S_m^p[S_n^p]} = \|[x_{ji}]\|_{S_m^p[S_n^p]}.$$

This follows from the fact that  $t_m \otimes t_n = t_{nm}$  is an isometry on  $S_m^p[S_n^p] \simeq S_{nm}^p$ , and hence

$$\|(t_m \otimes t_n)[t_n(x_{ij})]\|_{S_m^p[S_n^p]} = \|[t_n(x_{ij})]\|_{S_m^p[S_n^p]}.$$

Since  $(t_m \otimes t_n)[t_n(x_{ij})] = [x_{ji}]$ , this yields (12).

Likewise, using (7) applied to  $\mathcal{M} = M_n$ , to prove (11), it is enough to show that for any  $[x_{ij}]_{1 \leq i, j \leq m}$  in  $S_n^p \otimes S_m^1$ ,

$$(13) \quad \|[t_n(x_{ij})]\|_{S_m^1[S_n^p]} = \|[x_{ji}]\|_{S_m^1[S_n^p]}.$$

Assume that  $\|[t_n(x_{ij})]\|_{S_m^1[S_n^p]} < 1$ . According to (1), we can write

$$t_n(x_{ij}) = \sum_k a_{ik} b_{kj}$$

for some  $a_{ik}, b_{kj}$  in  $S_n^{2p}$  such that  $\sum_{i,k} a_{ik} a_{ik}^*$  and  $\sum_{k,j} b_{kj}^* b_{kj}$  have norm  $< 1$  in  $S_n^p$ . Then we have

$$x_{ij} = \sum_k t_n(a_{ik} b_{kj}) = \sum_k t_n(b_{kj}) t_n(a_{ik}),$$

hence

$$x_{ji} = \sum_k t_n(b_{ki}) t_n(a_{jk}).$$

Further

$$\sum_{k,j} t_n(a_{jk})^* t_n(a_{jk}) = t_n\left(\sum_{j,k} a_{jk} a_{jk}^*\right),$$

and  $t_n$  is an isomerty on  $S_n^p$ . Consequently,  $\sum_{k,j} t_n(a_{kj})^* t_n(a_{jk})$  has norm  $< 1$  in  $S_n^p$ . Similarly,  $\sum_{i,k} t_n(b_{ki}) t_n(b_{ki})^*$  has norm  $< 1$  in  $S_n^p$ . This shows that  $\|[x_{ji}]\|_{S_m^1[S_n^p]} < 1$ . We have thus proved the inequality  $\geq$  in (13). Reversing the argument we find the other inequality.

In the sequel,  $E(x)$  denotes the integer part of  $x$ .

**Lemma 4.4.** *Suppose that  $\mathcal{M}$  is a semifinite von Neumann algebra.*

(i) *If  $\mathcal{M}$  is subhomogeneous of degree  $\leq N$  for some  $N \geq 1$ , then for all  $[x_{ij}] \in M_m \otimes L^p(\mathcal{M})$ ,  $m \geq 1$ , we have that*

$$\|[x_{ji}]\|_{L^p(\mathcal{M} \otimes M_m)} \leq N^{2|1/2-1/p|} \|[x_{ij}]\|_{L^p(\mathcal{M} \otimes M_m)},$$

and

$$\|[x_{ji}]\|_{L^p(\mathcal{M}; S_m^1)} \leq N \|[x_{ij}]\|_{L^p(\mathcal{M}; S_m^1)}.$$

(ii) *Suppose that there exists  $K \geq 1$  such that for all  $[x_{ij}] \in L^p(\mathcal{M}) \otimes S_m^p$ ,  $m \geq 1$ ,*

$$(14) \quad \|[x_{ji}]\|_{L^p(\mathcal{M} \otimes M_m)} \leq K \|[x_{ij}]\|_{L^p(\mathcal{M} \otimes M_m)}.$$

*Then if  $p \neq 2$ ,  $\mathcal{M}$  is subhomogeneous of degree  $\leq N$  with  $N = E\left(K^{\frac{1}{2|1/2-1/p|}}\right)$ .*

(iii) *Suppose that there exists  $K \geq 1$  such that for all  $[x_{ij}] \in L^p(\mathcal{M}) \otimes S_m^p$ ,  $m \geq 1$ ,*

$$(15) \quad \|[x_{ji}]\|_{L^p(\mathcal{M}; S_m^1)} \leq K \|[x_{ij}]\|_{L^p(\mathcal{M}; S_m^1)}.$$

*Then  $\mathcal{M}$  is subhomogeneous of degree  $\leq N$  with  $N = E(K)$ .*

*Proof.* (i) Assume that  $\mathcal{M} = L^\infty(\Omega; M_n)$ . Let  $m \geq 1$  be given. We have that

$$L^p(\mathcal{M} \otimes M_m) \simeq L^p(\Omega; S_m^p[S_n^p]).$$

By Pisier-Fubini Theorem [6, (3.6)],

$$L^p(\mathcal{M}; S_m^1) \simeq L^p(\Omega; S_n^p[S_m^1]).$$

Consequently,

$$(16) \quad \|I_{L^p(\mathcal{M})} \otimes t_m : L^p(\mathcal{M} \otimes M_m) \longrightarrow L^p(\mathcal{M} \otimes M_m)\| = \|t_m \otimes I_{S_n^p} : S_m^p[S_n^p] \longrightarrow S_m^p[S_n^p]\|.$$

and

$$(17) \quad \|I_{L^p(\mathcal{M})} \otimes t_m : L^p(\mathcal{M}; S_m^1) \longrightarrow L^p(\mathcal{M}; S_m^1)\| = \|I_{S_n^p} \otimes t_m : S_n^p[S_m^1] \longrightarrow S_n^p[S_m^1]\|.$$

Applying (8) to both sides of (16), we deduce

$$\|I^{op} : L^p(\mathcal{M}) \longrightarrow L^p(\mathcal{M}^{op})\|_{cb} = \|I^{op} : S_n^p \longrightarrow \{S_n^p\}^{op}\|_{cb},$$

and applying (9) to both sides of (17), we deduce that

$$\|I^{op} : L^p(\mathcal{M}) \longrightarrow L^p(\mathcal{M}^{op})\|_{S^1} = \|I^{op} : S_n^p \longrightarrow \{S_n^p\}^{op}\|_{S^1}.$$

By [5, Lemma 5.3],

$$\|t_n : S_n^p \rightarrow S_n^p\|_{cb} = n^{2|1/p-1/2|} \quad \text{and} \quad \|t_n : S_n^p \rightarrow S_n^p\|_{S^1} = n,$$

hence we obtain by (10) and (11) that

$$\|I^{op} : L^p(\mathcal{M}) \longrightarrow L^p(\mathcal{M}^{op})\|_{cb} = n^{2|1/p-1/2|} \quad \text{and} \quad \|I^{op} : L^p(\mathcal{M}) \longrightarrow L^p(\mathcal{M}^{op})\|_{S^1} = n.$$

When  $\mathcal{M}$  is subhomogeneous of degree  $\leq N$ , there exist  $r \geq 1$ , integers  $1 \leq n_1 \leq n_2 \leq \dots \leq n_r \leq N$  and abelian von Neumann algebras  $L^\infty(\Omega_1), \dots, L^\infty(\Omega_r)$  such that (2) holds. Then for any  $m \geq 1$ , we have that

$$L^p(\mathcal{M} \otimes M_m) \simeq \bigoplus_{1 \leq j \leq r}^p L^p(\Omega_j; S_m^p[S_{n_j}^p]) \quad \text{and} \quad L^p(\mathcal{M}; S_m^1) \simeq \bigoplus_{1 \leq j \leq r}^p L^p(\Omega_j; S_{n_j}^p[S_m^1]).$$

Using our previous argument and direct sums we deduce that

$$\|I^{op} : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{M}^{op})\|_{cb} \leq N^{2|\frac{1}{p}-\frac{1}{2}|} \quad \text{and} \quad \|I^{op} : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{M}^{op})\|_{S^1} \leq N.$$

The result follows from (6) and (7).

(ii) Suppose that  $\mathcal{M}$  is not subhomogeneous of degree  $\leq N = E(K^{\frac{1}{2|1/2-1/p|}})$ . By Lemma 2.1, there exists a complete isometry

$$S_{N+1}^p \hookrightarrow \mathcal{M}.$$

This embedding implies that for any  $m \geq 1$ ,

$$\|t_m \otimes I_{S_{N+1}^p} : S_m^p[S_{N+1}^p] \rightarrow S_m^p[S_{N+1}^p]\| \leq \|I_{L^p(\mathcal{M})} \otimes t_m : L^p(\mathcal{M} \otimes M_m) \rightarrow L^p(\mathcal{M} \otimes M_m)\|.$$

According to (8) and (10), this implies that

$$\|t_{N+1} : S_{N+1}^p \rightarrow S_{N+1}^p\|_{cb} \leq \|I^{op} : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{M}^{op})\|_{cb}.$$

Hence

$$\|I^{op} : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{M}^{op})\|_{cb} \geq (N+1)^{2|\frac{1}{p}-\frac{1}{2}|}.$$

Comparing this with inequality (14) above and applying (6), we get a contradiction.

(iii) The proof is similar to the proof of part (ii).  $\square$

**Proposition 4.5.** *Let  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  be separating. If  $\mathcal{M}$  is subhomogeneous then  $T$  is completely bounded and  $S^1$ -bounded.*

*Proof.* Changing  $T$  to  $w^*T$ , we can assume that  $w = J(1)$ . By [5, Remark 4.3], we can write  $T$  as a sum  $T = T_1 + T_2$  such that  $T_1$  has a direct Yeadon type factorization and  $T_2$  has an anti-direct Yeadon type factorization. By Propositions 4.1 and 4.2,  $T_1$  is completely bounded and  $S^1$ -bounded. Hence it suffices to show that  $T_2$  is completely bounded and  $S^1$ -bounded. Let  $I^{op} : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{M}^{op})$  be the identity map and set  $T_2^{op} =: T_2 \circ I^{op-1}$ . Since  $T_2$  has an anti-direct Yeadon type factorization,  $T_2^{op}$  has a direct Yeadon type factorization. So, by Propositions 4.1 and 4.2,  $T_2^{op}$  is completely bounded and  $S^1$ -bounded. Since  $\mathcal{M}$  is subhomogeneous, part (i) of Lemma 4.4 and its proof show that  $I^{op}$  is completely bounded and  $S^1$ -bounded. By composition, we obtain that  $T_2 = T_2^{op} \circ I^{op}$  is completely bounded and  $S^1$ -bounded.  $\square$

**Proposition 4.6.** *Suppose that  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  is a bijective separating map with an anti-direct Yeadon type factorization.*

- (i) *If  $p \neq 2$  and  $T$  is completely bounded then  $\mathcal{M}$  is subhomogeneous.*
- (ii) *If  $T$  is  $S^1$ -bounded then  $\mathcal{M}$  is subhomogeneous.*

*Proof.* (i) Suppose that  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$ ,  $1 \leq p \neq 2 < \infty$ , is a bijective separating map with an anti-direct Yeadon type factorization. Assume that  $T$  is completely bounded. Let  $I^{op} : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{M}^{op})$  be the identity map and set  $T^{op} =: T \circ I^{op-1}$ . Since  $T$  is bijective with an anti-direct Yeadon type factorization,  $T^{op}$  is bijective with a direct Yeadon type factorization. By part (i) of Proposition 3.4 and Remark 3.5,  $T^{op-1}$  is also separating with a direct Yeadon type factorization. Therefore, by Proposition 4.1,  $T^{op-1}$  is completely bounded. Hence,  $I^{op} =: T^{op-1} \circ T$  is completely bounded. It now follows from part (ii) of Lemma 4.4 and (6) that  $\mathcal{M}$  is subhomogeneous.

(ii) The same argument as in part (i) with  $S^1$ -bounded (norm) replacing completely bounded (norm), Proposition 4.2 replacing Proposition 4.1, part (iii) of Lemma 4.4 replacing its part (ii) and (7) replacing (6) yields the result.  $\square$

**Remark 4.7.** Suppose that  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$ ,  $1 \leq p < \infty$ , is a surjective separating isometry with an anti-direct Yeadon type factorization. The proof of Proposition 4.6 shows that when  $T$  is completely bounded and  $p \neq 2$ ,  $\mathcal{M}$  is subhomogeneous of degree  $\leq E(\|T\|_{cb}^{\frac{1}{2|1/2-1/p|}})$ . When  $T$  is  $S^1$ -bounded,  $\mathcal{M}$  is subhomogeneous of degree  $\leq E(\|T\|_{S^1})$ .

**Theorem 4.8.** *Let  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$ ,  $1 \leq p \neq 2 < \infty$ , be a bounded separating map that is surjective. Then the following are equivalent.*

- (i)  $T$  is completely bounded.
- (ii) There exists a decomposition  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2$  such that  $T|_{L^p(\mathcal{M}_1)}$  has a direct Yeadon type factorization and  $\mathcal{M}_2$  is subhomogeneous.

*Proof.* (i)  $\implies$  (ii) Suppose that  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$ ,  $1 \leq p \neq 2 < \infty$ , is a surjective completely bounded separating map. In view of Lemma 4.3, we may assume  $T$  is bijective.

By Proposition 3.3, there exist decompositions  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2$  and  $\mathcal{N} = \mathcal{N}_1 \overset{\infty}{\oplus} \mathcal{N}_2$  and surjective separating maps  $T_1 : L^p(\mathcal{M}_1) \rightarrow L^p(\mathcal{N}_1)$  and  $T_2 : L^p(\mathcal{M}_2) \rightarrow L^p(\mathcal{N}_2)$  such that  $T_1$  has a direct Yeadon type factorization,  $T_2$  has an anti-direct Yeadon type factorization and  $T = T_1 + T_2$ . Since  $T$  is completely bounded,  $T_2$  is also completely bounded. By part (i) of Proposition 4.6,  $\mathcal{M}_2$  must be subhomogeneous.

(ii)  $\implies$  (i) This is a consequence of Propositions 4.1 and 4.5.  $\square$

**Theorem 4.9.** *Let  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$ ,  $1 \leq p < \infty$ , be a separating map that is surjective. Then the following are equivalent.*

- (i)  $T$  is  $S^1$ -bounded.
- (ii) There exists a decomposition  $\mathcal{M} = \mathcal{M}_1 \overset{\infty}{\oplus} \mathcal{M}_2$  such that  $T|_{L^p(\mathcal{M}_1)}$  has a direct Yeadon type factorization and  $\mathcal{M}_2$  is subhomogeneous.

*Proof.* The proof is similar to Theorem 4.8, replacing completely bounded with  $S^1$ -bounded, part (i) of Proposition 4.6 by its part (ii) and Proposition 4.1 by Proposition 4.2.  $\square$

The following example shows the surjectivity assumption in Theorems 4.8 and 4.9 is essential. In fact in this example, on a non-subhomogeneous semifinite von Neumann algebra  $\mathcal{M}$  and for a given  $\varepsilon > 0$ , we construct a separating isometry  $T : L^p(\mathcal{M}) \rightarrow L^p(\mathcal{N})$  such that  $T$  is not surjective,  $\|T\|_{cb} \leq 1 + \varepsilon$ ,  $\|T\|_{S^1} \leq 1 + \varepsilon$  and part (ii) of Theorems 4.8 and 4.9 is not satisfied.

The isometry  $T$  in our example is set up between hyperfinite von Neumann algebras and so  $\|T\|_{cb} \leq \|T\|_{S^1}$  (see [7, Proposition 2.2] and [5, Proposition 3.11]). Therefore, we only need to verify that for such  $T$  we have that  $\|T\|_{S^1} \leq 1 + \varepsilon$ .

**Example 4.10.** Let  $1 < p < \infty$ . Consider the von Neumann algebra

$$\mathcal{M} = \ell^\infty\{M_n\} = \{(x_n)_{n \geq 1} : \forall n \geq 1, x_n \in M_n \text{ and } \sup_{n \geq 1} \|x_n\|_\infty < \infty\},$$

the infinite direct sum of all  $M_n$ ,  $n \geq 1$ . Let  $\mathcal{N} := \mathcal{M} \overset{\infty}{\oplus} \mathcal{M}$ , the direct sum of two copies of  $\mathcal{M}$ . The noncommutative  $L^p$ -space associated with  $\mathcal{M}$  is

$$\ell^p\{S_n^p\} = \{(x_n)_{n \geq 1} : \forall n \geq 1, x_n \in S_n^p \text{ and } \sum_{n \geq 1} \|x_n\|_p^p < \infty\},$$

equipped with the norm

$$\|(x_n)_{n \geq 1}\|_p = \left( \sum_{n=1}^{\infty} \|x_n\|_p^p \right)^{\frac{1}{p}},$$

and so the noncommutative  $L^p$ -space associated with  $\mathcal{N}$  is  $\ell^p\{S_n^p\} \oplus \ell^p\{S_n^p\}$ . Let  $(\beta_n)_{n \geq 1}$  be a sequence in the interval  $(0, 1)$ . We may define two operators

$$T_1: \ell^p\{S_n^p\} \rightarrow \ell^p\{S_n^p\} \quad \text{and} \quad T_2: \ell^p\{S_n^p\} \rightarrow \ell^p\{S_n^p\}$$

by setting

$$T_1((x_n)_{n \geq 1}) = ((1 - \beta_n)^{\frac{1}{p}} x_n)_{n \geq 1} \quad \text{and} \quad T_2((x_n)_{n \geq 1}) = (\beta_n^{\frac{1}{p}} t_n(x_n))_{n \geq 1}$$

for any  $x = (x_n)_{n \geq 1} \in \ell^p\{S_n^p\}$ . Consider

$$T: \ell^p\{S_n^p\} \rightarrow \ell^p\{S_n^p\} \oplus \ell^p\{S_n^p\}, \quad T(x) = (T_1(x), T_2(x)).$$

It is plain that  $T$  is an isometry. Indeed for any  $x = (x_n)_{n \geq 1} \in \ell^p\{S_n^p\}$ , we have

$$\begin{aligned} \|T(x)\|_p^p &= \|T_1(x)\|_p^p + \|T_2(x)\|_p^p \\ &= \sum_{n=1}^{\infty} (1 - \beta_n) \|x_n\|_p^p + \sum_{n=1}^{\infty} \beta_n \|t_n(x_n)\|_p^p = \sum_{n=1}^{\infty} \|x_n\|_p^p = \|x\|_p^p. \end{aligned}$$

Given  $\varepsilon > 0$ , consider the above construction with

$$\beta_n = \frac{(1 + \varepsilon)^p - 1}{n^p - 1}.$$

We show that  $T$  is  $S^1$ -bounded with  $\|T\|_{S^1} \leq 1 + \varepsilon$ . Indeed consider an integer  $m \geq 1$ . We have

$$\ell^p\{S_n^p\}[S_m^1] = \ell^p\{S_n^p[S_m^1]\},$$

and therefore, we also have that

$$\left( \ell^p\{S_n^p\} \oplus \ell^p\{S_n^p\} \right)[S_m^1] = \ell^p\{S_n^p[S_m^1]\} \oplus \ell^p\{S_n^p[S_m^1]\}.$$

Now let  $x = (x_n)_{n \geq 1} \in \ell^p\{S_n^p[S_m^1]\}$  (here each  $x_n$  is an element of  $S_n^p[S_m^1]$ ). Then

$$(I_{S_m^1} \otimes T)(x) = \left( ((1 - \beta_n)^{\frac{1}{p}} x_n)_{n \geq 1}, (\beta_n^{\frac{1}{p}} (t_n \otimes I_{S_m^1})(x_n))_{n \geq 1} \right).$$

Consequently,

$$\begin{aligned} \|(I_{S_m^1} \otimes T)(x)\|_p^p &= \sum_{n=1}^{\infty} (1 - \beta_n) \|x_n\|_{S_n^p[S_m^1]}^p + \sum_{n=1}^{\infty} \beta_n \|(t_n \otimes I_{S_m^1})(x_n)\|_{S_n^p[S_m^1]}^p \\ &\leq \sum_{n=1}^{\infty} (1 - \beta_n) \|x_n\|_{S_n^p[S_m^1]}^p + n^p \beta_n \|x_n\|_{S_n^p[S_m^1]}^p \quad \text{by [5, Lemma 5.3 (ii)]} \\ &\leq (1 + \varepsilon)^p \sum_{n=1}^{\infty} \|x_n\|_{S_n^p[S_m^1]}^p = (1 + \varepsilon)^p \|x\|_p^p. \end{aligned}$$

It is clear that  $T$  is separating and that the Jordan homomorphism  $J: \mathcal{M} \rightarrow \mathcal{N}$  in its Yeadon triple is given by

$$J((x_n)_{n \geq 1}) = ((x_n)_{n \geq 1}, (t_n(x_n))_{n \geq 1}).$$

It follows that whenever  $\mathcal{M}_1$  is a non zero summand of  $\mathcal{M}$ , the Yeadon factorization of the restriction of  $T$  to  $L^p(\mathcal{M}_1)$  is neither direct nor indirect. A fortiori,  $T$  does not satisfy the assertion (ii) of Theorem 4.9.

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