

The family of lines on the
Fano threefold V_5

by

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Introduction. A smooth projective algebraic 3-fold V over the field \mathbb{C} is called a Fano 3-fold if the anticanonical divisor $-K_V$ is ample. The integer $g = g(V) = \frac{1}{2} (-K_V)^3$ is called the genus of the Fano 3-fold V . The maximal integer $r \geq 1$ such that $\mathcal{O}(-K_V) \cong H^{\otimes r}$ for some (ample) invertible sheaf $H \in \text{Pic } V$ is called the index of the Fano 3-fold V . Let V be a Fano 3-fold of the index $r = 2$ and the genus $g = 21$ which has the second Betti number $b_2(V) = 1$. Then V can be embedded in \mathbb{P}^6 with degree 5, by the linear system $|H|$, where $\mathcal{O}(-K_V) \cong H^2$ (see Iskovskih [5]). We denote this Fano 3-fold V by V_5 .

V_5 can be also obtained as the section of the Grassmannian $G(2,5) \hookrightarrow \mathbb{P}^9$ of lines in \mathbb{P}^4 by 3 hyperplanes in general position.

There are some other constructions of the Fano 3-fold V_5 (cf. Fujita [1], Mukai-Umemura [9] and Furushima-Nakayama [3]). But so obtained V_5 's are all projective equivalent (cf. [5]).

The remarkable fact on V_5 is that V_5 is a complex analytic compactification of \mathbb{C}^3 which has the second Betti number one (see Problem 28 in Hirzebruch [4]).

Now, in this paper, we will analyze in detail the universal family of lines on V_5 and determine the hyperplane sections

which can be the boundary of \mathbb{C}^3 in V_5 .

In § 1, we will summarize some basic results about V_5 following to Iskovskih [5], Fujita [1] and Peternell-Schneider [6]. In § 2, we will construct a \mathbb{P}^1 -bundle $\mathbb{P}(E)$ over \mathbb{P}^2 , where E is a locally free sheaf of rank 2 on \mathbb{P}^2 , and a finite morphism $\psi: \mathbb{P}(E) \rightarrow V_5 \hookrightarrow \mathbb{P}^6$ of $\mathbb{P}(E)$ onto V_5 applying the results by Mukai-Umemura [9]. Further, we will show that the \mathbb{P}^1 -bundle $\mathbb{P}(E)$ is in fact the universal family of lines on V_5 . In § 3, we will study the boundary of \mathbb{C}^3 in V_5 and the set $\{H \in |O_V(1)|; V_5 \setminus H \cong \mathbb{C}^3\}$.

Acknowledgement. The authors would like to thank Max-Planck-Institut für Mathematik in Bonn, especially, Prof. Dr. Hirzebruch for the hospitality and encouragement.

§ 1. Basic facts on V_5 .

Let $V := V_5$ be a Fano 3-fold of degree 5 in \mathbb{P}^6 (see Introduction) and $\ell \cong \mathbb{P}^1$ is a line on V . Then the normal bundle $N_{\ell|V}$ of ℓ in V can be written as follow:

$$(a) \quad N_{\ell|V} \cong \mathcal{O}_{\ell} \oplus \mathcal{O}_{\ell} \quad , \quad \text{or}$$

$$(b) \quad N_{\ell|V} \cong \mathcal{O}_{\ell}(-1) \oplus \mathcal{O}_{\ell}(1)$$

We will call a line ℓ of the type $(0, 0)$ (resp. $(-1, 1)$) if $N_{\ell|V}$ is of the type (a) (resp. type (b)) above.

Let $\sigma : V' \rightarrow V$ be the blowing up of V along the line ℓ , and put $L' := \sigma^{-1}(\ell)$. Then $L' \cong \mathbb{P}^1 \times \mathbb{P}^1$ if ℓ is of type $(0, 0)$, and $L' \cong \mathbb{F}_2$ if ℓ is of type $(-1, 1)$. Let f_1, f_2 be respectively fibers of the first and second projection of $\mathbb{P}^1 \times \mathbb{P}^1$ onto \mathbb{P}^1 , and let s, f be respectively the negative section and a fiber of \mathbb{F}_2 . Let H be a hyperplane section of V . Since the linear system $|\sigma^*H - L'|$ on V' has no fixed component and no base point and since $h^0(\mathcal{O}(\sigma^*H - L')) = 5$ and $(\sigma^*H - L')^3 = (\sigma^*H - L')^2 \cdot L' = 2$, the linear system $|\sigma^*H - L'|$ defines a birational morphism $\phi := \phi_{|\sigma^*H - L'|} : V' \rightarrow W \hookrightarrow \mathbb{P}^4$ of V' onto a quadric hypersurface W in \mathbb{P}^4 , in particular, $Q := \phi(L')$ is a hyperplane section of W . Let $E := E_{\ell}$ be the ruled surface swept out by lines which intersect

the line ℓ and E' the proper transform of E in V' .

Lemma 1.1 (Iskovskih [5], Fujita [1]). W is a smooth quadric hypersurface in \mathbb{P}^4 and $Y := \varphi(E)$ is a twisted cubic curve contained in Q . In particular, $\varphi: V' \rightarrow W$ is the blowing up of W along the curve Y . Further, we have the following.

(a) If ℓ is of type $(0, 0)$, then $\varphi|_{L'}: L' \xrightarrow{\sim} Q \cong \mathbb{P}^1 \times \mathbb{P}^1$, and $\bar{Y} \sim f_1 + 2f_2$ in L' .

(b) If ℓ is of type $(-1, 1)$, then $\varphi|_{L'}: L' \rightarrow Q = \mathbb{Q}_0^2$ (a quadric cone) is the contraction of the negative section s of $L' \cong \mathbb{F}_2$, and $\bar{Y} \sim s + 3f$ in L' .

In (a) and (b), we denote the proper transform of $Y \hookrightarrow Q$ in L' by \bar{Y} .

Corollary 1.1. (a) If ℓ is of type $(0, 0)$, then $E' \cong \mathbb{F}_1$. (b) If ℓ is of type $(-1, 1)$, then $E' \cong \mathbb{F}_3$.

Proof. Let $N_{Y|W}$ be the normal bundle of Y in W . Then $N_{Y|W} \cong \mathcal{O}_Y(3) \oplus \mathcal{O}_Y(4)$ if ℓ is of the type $(0, 0)$, and $N_{Y|W} \cong \mathcal{O}_Y(2) \oplus \mathcal{O}_Y(5)$ if Y is of type $(-1, 1)$.

Q.E.D.

Corollary 1.2. (a) If ℓ is of type $(0, 0)$, then there

are two points $q_1 \neq q_2$ of ℓ such that (i) there are two lines in V through the point q_i ($i = 1, 2$), and (ii) there are three lines in V through every point q of $\ell \setminus \{q_1, q_2\}$.

(b) If ℓ is of type $(-1, 1)$, there is exactly one point q_0 of ℓ such that (i) ℓ is the unique line in V through the point q_0 , and (ii) there are two lines in V through every point q of $\ell \setminus \{q_0\}$.

Proof. (a) Let $p_2 : Q \cong \mathbb{P}^1 \times \mathbb{P}^1 \rightarrow \mathbb{P}^1$ be the projection onto the second component. Since $\bar{Y} \sim f_1 + 2f_2$, $p_2|_Y : Y \rightarrow \mathbb{P}^1$ is a double cover over \mathbb{P}^1 . Thus there are two branched point $b_1 \neq b_2$ in \mathbb{P}^1 . We put $q_i := \sigma \circ (\varphi|_{L_i})^{-1} \circ (p_2|_Y)^{-1}(b_i)$ ($i = 1, 2$). Then $\ell = \sigma(\bar{Y})$ and $\ell_i := \sigma(\varphi^{-1}(p_2^{-1}(b_i)))$ ($i = 1, 2$) are two lines through the point q_i for each i . For $b \in \mathbb{P}^1 \setminus \{b_1, b_2\}$, $\ell = \sigma(\bar{Y})$ and $\sigma(\varphi^{-1}(p_2^{-1}(b)))$ are three lines through the point $q \in \ell \setminus \{q_1, q_2\}$, since $p_2^{-1}(b)$ consists of two different points. This proves (a).

(b) We put $q_0 := \sigma(\bar{Y} \cap s) \in \ell$. Then $\ell = \sigma(\bar{Y}) = \sigma(s)$ is the unique line through the point $q_0 \in \ell$. For $y \in Y \setminus \varphi(s)$, $\ell = \sigma(\bar{Y})$ and $\sigma(\varphi^{-1}(y))$ are two lines through a point of $\ell \setminus \{q_0\}$. This proves (b).

Q.E.D.

Corollary 1.3 (Peternell-Schneider [6]). Let E be a non-normal hyperplane section of V_5 . Then the singular locus

of E is a line ℓ on V , in particular, E is a ruled surface swept out by lines which intersect the line ℓ . Further $V - E \cong \mathbb{C}^3$ if and only if the line ℓ is of type $(-1, 1)$.

Proof. By lemma (3.35) in Mori [8], the non-normal locus of E is a line ℓ on V . Since $h^0(\mathcal{O}_V(1) \otimes I_\ell^2) = 1$ and $\text{Pic } V \cong \mathbb{Z}$, the linear system $|\mathcal{O}_V(1) \otimes I_\ell^2|$ consists of E , where I_ℓ is the ideal sheaf of ℓ . By Lemma 1, ℓ must be the singular locus of E . Assume ℓ is of type $(0, 0)$. Then, by Lemma 1, $V - E \cong \{(x, y, z, u) \in \mathbb{C}^4 ; x^2 + y^2 + z^2 + u^2 = 1\} \neq \mathbb{C}^3$.

Q.E.D.

§ 2. Construction of the universal family.

1. Let $(x : y)$, $(u : v)$ be respectively homogeneous coordinates of the first factor and the second factor of $S := \mathbb{P}^1 \times \mathbb{P}^1$. Let us consider the diagonal $SL(2; \mathbb{C})$ - action on S , namely, for $\sigma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2 := SL(2; \mathbb{C})$,

$$\begin{cases} x^\sigma = ax + by \\ y^\sigma = cx + dy \end{cases}, \quad \begin{cases} u^\sigma = au + bv \\ v^\sigma = cu + dv \end{cases}.$$

Let $\tau : S \rightarrow \mathbb{P}^2$ be the double covering of \mathbb{P}^2 given by

$$\begin{cases} \tau^*X_0 = x \otimes u \\ \tau^*X_1 = \frac{1}{2} (x \otimes v + y \otimes u) \\ \tau^*X_2 = y \otimes v \end{cases}$$

where $(X_0 : X_1 : X_2)$ be a homogeneous coordinate on \mathbb{P}^2 . We can also define SL_2 - action on \mathbb{P}^2 as follow:

$$\begin{cases} X_0^\sigma = a^2X_0 + 2abX_1 + b^2X_2 \\ X_1^\sigma = acX_0 + (ad + bc)X_1 + bdX_2 \\ X_2^\sigma = c^2X_0 + 2cdX_1 + d^2X_2 \end{cases}$$

for $\sigma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2$.

Then, the morphism τ is SL_2 - linear, that is, $\tau(p^\sigma) = \tau(p)^\sigma$ for $p \in S$ and $\sigma \in \text{SL}_2$. Further, τ is branched along the smooth conic $C := \{X_1^2 = X_0X_2\} = \tau(\Delta)$, where $\Delta := \Delta_{\mathbb{P}^1}$ is the diagonal in $\mathbb{P}^1 \times \mathbb{P}^1 = S$. Let f_i be a fiber of the projection $P_i : S \rightarrow \mathbb{P}^1$ onto i -th factor ($i = 1, 2$) . Let $\pi : M := \mathbb{P}(E) \rightarrow \mathbb{P}^2$ be the \mathbb{P}^1 -bundle over \mathbb{P}^2 associated with the vector bundle $E := \tau_* \mathcal{O}_S(4f_1)$ of rank 2 on \mathbb{P}^2 .

Lemma 2.1. (1) $\det(\pi_* \mathcal{O}_S(kf_1)) \cong \mathcal{O}_{\mathbb{P}^2}(k-1)$ and $c_2(\pi_* \mathcal{O}_S(kf_1)) = \frac{1}{2} k(k-1)$ for all $k \geq 0$.

(2) $E \otimes \mathcal{O}_C \cong \mathcal{O}_{\mathbb{P}^1}(3) \oplus \mathcal{O}_{\mathbb{P}^1}(3)$, where $C = \tau(\Delta)$.

(3) The natural morphism $S \rightarrow M$ corresponding to the homomorphism $\tau^*E \rightarrow \mathcal{O}_S(4f_1)$ is a closed embedding, hence, S can be considered as a divisor on M .

(4) $\mathcal{O}_M(S) \cong \mathcal{O}_E(2) \otimes \pi^* \mathcal{O}_{\mathbb{P}^2}(-2)$, where $\mathcal{O}_E(1)$ is the tautological line bundle on M with respect to E .

(5) $\mathcal{O}_E(1)$ is nef , i.e., E is a semi-positive vector bundle

(6) We put $\mathcal{O}_M(1) := \mathcal{O}_E(1) \otimes \pi^* \mathcal{O}_{\mathbb{P}^2}(1)$. Then

$$\begin{aligned} H^0(M, \mathcal{O}_M(1)) &\cong H^0(S, \mathcal{O}_S(5f_1 + f_2)) \\ &\cong H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(5)) \otimes_{\mathbb{C}} H^0(\mathbb{P}^1, \mathcal{O}_{\mathbb{P}^1}(1)) . \end{aligned}$$

Proof. (1) Let us consider the exact sequence:

$$0 \longrightarrow \tau_* \mathcal{O}_S(kf_1) \longrightarrow \tau_* \mathcal{O}_S((k+1)f_1) \longrightarrow \tau_* \mathcal{O}_{f_1} \longrightarrow 0 .$$

Now $\ell_1 = \tau(f_1)$ is a line on \mathbb{P}^2 and $\mathcal{O}_{\ell_1} \cong \tau_* \mathcal{O}_{f_1}$. Thus,

$$\det(\tau_* \mathcal{O}_S((k+1)f_1)) \cong \det(\tau_* \mathcal{O}_S(kf_1)) \otimes \mathcal{O}(1) \quad \text{and}$$

$$c_2(\tau_* \mathcal{O}_S((k+1)f_1)) = (\det(\tau_* \mathcal{O}_S(kf_1)) \cdot \mathcal{O}(1)) + c_2(\tau_* \mathcal{O}_S(kf_1)) .$$

Since $\tau_* \mathcal{O}_S \cong \mathcal{O} \oplus \mathcal{O}(-1)$, we are done.

(2) Let us consider the following diagram:

$$\begin{array}{ccccccc} & & & & & & 0 \\ & & & & & & \downarrow \\ & & & & & & \mathcal{O}_{\Delta}(3f_1 - f_2) \\ & & & & & & \downarrow \\ & & 0 & & & & \mathcal{O}_{\Delta}(4f_1) \\ & & \downarrow & & & & \downarrow \\ 0 & \longrightarrow & \mathcal{O}_S(2f_1 - 2f_2) & \longrightarrow & \mathcal{O}_S(4f_1) & \longrightarrow & \mathcal{O}_{\Delta}(4f_1) \longrightarrow 0 \\ & & \downarrow & & & & \downarrow \\ 0 & \longrightarrow & \mathcal{O}_S(3f_1 - f_2) & \longrightarrow & \mathcal{O}_S(4f_1) & \longrightarrow & \mathcal{O}_{2\Delta}(4f_1) \longrightarrow 0 \\ & & \downarrow & & & & \downarrow \\ & & \mathcal{O}_{\Delta}(3f_1 - f_2) & & & & 0 \\ & & \downarrow & & & & \\ & & 0 & & & & \end{array}$$

Since $\tau^*C = 2\Delta$, we have $\tau_*O_{2\Delta}(4f_1) \cong E \otimes O_C$ and the exact sequence:

$$0 \longrightarrow \tau_*O_{\Delta}(3f_1 - f_2) \longrightarrow E \otimes O_C \longrightarrow \tau_*O_{\Delta}(4f_1) \longrightarrow 0$$

$$\begin{array}{ccc} \text{iii} & & \text{iii} \\ O_{\mathbb{P}^1}(2) & & O_{\mathbb{P}^1}(4) \end{array}$$

To show that $E \otimes O_C \cong O_{\mathbb{P}^1}(3) \oplus O_{\mathbb{P}^1}(3)$, it is enough to prove that

$$H^0(C, (E \otimes O_C) \otimes O_{\mathbb{P}^1}(-4)) \cong H^0(O_{2\Delta}(2f_1 - 2f_2)) = 0.$$

By the above diagram, we have the exact sequences:

$$0 \longrightarrow P_2^*O_S(-4f_2) \xrightarrow{\varphi} P_2^*O_S(2f_1 - 2f_2) \longrightarrow P_2^*O_{2\Delta}(2f_1 - 2f_2) \longrightarrow 0,$$

$$\begin{array}{ccc} \text{iii} & & \text{iii} \\ O_{\mathbb{P}^1}(-4) & & O_{\mathbb{P}^1}(-2)^{\oplus 3} \end{array}$$

and

$$0 \longrightarrow P_2^*O_{\Delta}(f_1 - 3f_2) \longrightarrow P_2^*O_{2\Delta}(2f_1 - 2f_2) \longrightarrow P_2^*O_{\Delta}(2f_1 - 2f_2) \longrightarrow 0.$$

$$\begin{array}{ccc} \text{iii} & & \text{iii} \\ O_{\mathbb{P}^1}(-2) & & O_{\mathbb{P}^1} \end{array}$$

Hence $P_{2*}O_{2\Delta}(2f_1 - 2f_2)$ is locally free and the dual homomorphism $\varphi^* : O_{\mathbb{P}^1}(2)^{\oplus 3} \rightarrow O_{\mathbb{P}^1}(4)$ is surjective. Therefore φ^* is obtained from the natural surjection $H^0(\mathbb{P}^1, O(2)) \otimes O_{\mathbb{P}^1} \rightarrow O_{\mathbb{P}^1}(2)$ by tensoring $O_{\mathbb{P}^1}(2)$. Thus we have $P_{2*}O_{2\Delta}(2f_1 - 2f_2) \cong O_{\mathbb{P}^1}(-1) \oplus O_{\mathbb{P}^1}(-1)$. Therefore we have $H^0(O_{2\Delta}(2f_1 - 2f_2)) = 0$.

(3) It is enough to show that the natural homomorphism $\text{Sym}^k E \rightarrow \tau_* O_S(4kf_1)$ is surjective for $k \gg 0$. Since τ is finite morphism, $\tau_* O_S(4kf_1) \otimes \tau_* O_S(4f_1) \rightarrow \tau_* O_S(4(k+1)f_1)$ is always surjective. Thus we are done.

(4) Since $\tau : S \rightarrow \mathbb{P}^2$ is a double covering, there is a line bundle L on \mathbb{P}^2 such that $O_E(2) \otimes O_M(-S) \cong \pi^* L$. By the exact sequence:

$$0 \rightarrow \pi^* L \rightarrow O_E(2) \rightarrow O_E(2) \otimes O_S \cong O_S(8f_1) \rightarrow 0,$$

we have $\det(\text{Sym}^2 E) \cong L \otimes \det(\tau_* O_S(8f_1))$. Therefore, by (1), $L \cong O_{\mathbb{P}^2}(2)$, hence, $O_M(S) \cong O_E(2) \otimes \tau^* O_{\mathbb{P}^2}(-2)$.

(5) We put $D := \pi^{-1}(C)$. Then, by (2), $D \cong \mathbb{P}^1 \times \mathbb{P}^1$ and $O_E(1) \otimes O_D \cong O_D(s_1 + 3s_2)$, where s_2 is a fiber of $D \rightarrow C$ and s_1 is a fiber of another projection $D \rightarrow \mathbb{P}^1$. By (4), we have $O_E(2) \cong O_M(S+D)$. Assume that there is an irreducible curve γ on M such that $(O_E(1) \cdot \gamma) < 0$. Then, $\gamma \subseteq D$ or $\gamma \subseteq S$. Since $O_E(1) \otimes O_S \cong O_S(4f_1)$ and $O_E(1) \otimes O_D \cong O_D(s_1 + 3s_2)$, this is a contradiction.

(6) By the exact sequence

$$\begin{array}{ccccccc}
 0 & \longrightarrow & \mathcal{O}_M(1) \otimes \mathcal{O}_M(-S) & \longrightarrow & \mathcal{O}_M(1) & \longrightarrow & \mathcal{O}_M(1) \otimes \mathcal{O}_S \longrightarrow 0, \\
 & & \cong & & & & \cong \\
 & & \mathcal{O}_E(-1) \otimes \pi^* \mathcal{O}_{\mathbb{P}^2}(3) & & & & \mathcal{O}_S(5f_1 + f_2)
 \end{array}$$

we have $\pi_* \mathcal{O}_M(1) \cong \tau_* \mathcal{O}_S(5f_1 + f_2)$. Therefore $H^0(M, \mathcal{O}_M(1)) \cong H^0(S, \mathcal{O}_S(5f_1 + f_2))$.

Q.E.D.

Remark 2.1. There is a SL_2 - action on $(M, \mathcal{O}_M(1))$ compatible to $\tau: S \rightarrow \mathbb{P}^2$. The last isomorphism in (6) is an isomorphism as a SL_2 - module.

2. Let us consider the subvector space $L \subseteq H^0(S, \mathcal{O}_S(5f_1 + f_2))$ generated by the following 7 elements (cf. Lemma (1.6) in [9]):

$$\left\{ \begin{array}{l}
 e_0 := x^5 \otimes u \\
 e_1 := x^4 y \otimes u + \frac{1}{5} x^5 \otimes v \\
 e_2 := x^3 y^2 \otimes u + \frac{1}{2} x^4 y \otimes v \\
 e_3 := x^2 y^3 \otimes u + x^3 y^2 \otimes v \\
 e_4 := \frac{1}{2} xy^4 \otimes u + x^2 y^3 \otimes v \\
 e_5 := \frac{1}{2} y^5 \otimes u + xy^4 \otimes v \\
 e_6 := y^5 \otimes v
 \end{array} \right.$$

Then L is a SL_2 - invariant subspace. By the isomorphism $H^0(M, \mathcal{O}_M(1)) \cong H^0(S, \mathcal{O}_S(5f_1 + f_2))$, L can be considered as a subspace of $H^0(M, \mathcal{O}_M(1))$.

Lemma 2.2. (1) The homomorphism $L \otimes \mathcal{O}_M \rightarrow \mathcal{O}_M(1)$ is surjective. Especially, we have a morphism $\psi : M \rightarrow \mathbb{P}(L) \cong \mathbb{P}^6$, which is SL_2 - linear.

(2) The image $V := \psi(M)$ is isomorphic to the Fano 3-fold V_5 of degree 5 in \mathbb{P}^6 .

Proof. (1) We have only to show that $g : L \otimes \mathcal{O}_{\mathbb{P}^2} \rightarrow E \otimes \mathcal{O}_{\mathbb{P}^2}(1)$ is surjective. Since SL_2 acts on g , the support of $\text{Coker}(g)$ is SL_2 - invariant. Now SL_2 acts on \mathbb{P}^2 with two orbits $\mathbb{P}^2 \setminus C$ and C . First, take a point $p \in \mathbb{P}^2 \setminus C$. Then $g \otimes \mathbb{C}(p) : L \rightarrow (E \otimes \mathcal{O}_{\mathbb{P}^2}(1)) \otimes \mathbb{C}(p)$ is described as follow:

Let $\alpha : L \otimes \mathcal{O}_S \rightarrow \mathcal{O}_S(5f_1 + f_2)$ be the natural homomorphism and let $\alpha(q) : L \rightarrow \mathcal{O}_S(5f_1 + f_2) \otimes \mathbb{C}(q) \cong \mathbb{C}$ be the evaluation map for $q \in S$. Then $g \otimes \mathbb{C}(p) : L \rightarrow \mathbb{C}^{\oplus 2}$ is nothing but $\alpha(q_1) \oplus \alpha(q_2) : L \rightarrow \mathbb{C}^{\oplus 2}$, where $\{q_1, q_2\} := \psi^{-1}(p)$. For example, take a point $p = (0 : 1 : 0) \in \mathbb{P}^2$. Then $q_1 = ((1 : 0), (0 : 1))$ and $q_2 = ((0 : 1), (1 : 0))$ in $S = \mathbb{P}^1 \times \mathbb{P}^1$. Then the calculation is as follow:

$$\begin{cases} \alpha_1(e_0) = \alpha_1(e_2) = \dots = \alpha_1(e_6) = 0, & \alpha_1(e_1) = \frac{1}{5} \\ \alpha_2(e_0) = \dots = \alpha_2(e_4) = \alpha_2(e_6) = 0, & \alpha_2(e_5) = \frac{1}{5} \end{cases}$$

where $\alpha_1 := \alpha_1(q_1)$, $\alpha_2 := \alpha_2(q_2)$.

Therefore $g \otimes \mathbb{C}(p)$ is surjective for any $p \in \mathbb{P}^2 \setminus C$.

Next take $p := (1 : 0 : 0) \in C$, $q = ((1 : 0) , (1 : 0)) \in S$.

Let $z_1 = \frac{y}{x}$, $z_2 = \frac{v}{u}$ be the local coordinate around q . Then

$m_p^0 S = (z_1 + z_2 , z_1 \cdot z_2) \subseteq m_q$. The evaluation map

$g \otimes \mathbb{C}(p) : L \longrightarrow \mathbb{C}^{\oplus 2}$ is now the composition

$$\beta : L \longrightarrow L \otimes \mathcal{O}_S \longrightarrow \mathcal{O}_S / m_p^0 \mathcal{O}_S \cong \mathbb{C}1 \oplus \mathbb{C}\bar{z}_1 .$$

Since we have isomorphisms

$$\begin{array}{ccc} \mathcal{O}_S(f_1)_q \cong \mathcal{O}_{S,q} & & \mathcal{O}_S(f_2)_q \cong \mathcal{O}_{S,q} \\ \downarrow & & \downarrow \\ x & \longmapsto & 1 \\ & & u \longmapsto 1 \\ & & \\ y & \longmapsto & 0 \\ & & v \longmapsto 0 \end{array} ,$$

$\beta : g \otimes \mathbb{C}(p)$ is calculated by evaluating $x = u = 1$ and $y = \bar{z}_1 = -v = -\bar{z}_2$. Therefore $\beta(e_0) = 1$, $\beta(e_1) = \frac{4}{5} \bar{z}_1$, $\beta(e_2) = 0$, $\beta(e_3) = 0$, $\beta(e_4) = 0$, $\beta(e_5) = 0$, $\beta(e_6) = 0$. Thus $g \otimes \mathbb{C}(p)$ is surjective for any $p \in C$.

(2) Let $h_0, h_1, \dots, h_6 \in L^\vee$ be the dual basis of $\{e_0, e_1, \dots, e_6\}$. Since $\mathbb{P}(L) \cong L^\vee \setminus \{0\} / \mathbb{C}^*$, we denote the point of $\mathbb{P}(L)$ corresponding to $\sum_{j=0}^6 \lambda_j h_j \in L^\vee \setminus \{0\}$ by $[\sum_{j=0}^6 \lambda_j h_j]$.

If $\psi(M)$ contains the point $[h_1 - h_5] \in \mathbb{P}(L)$, then $\psi(M)$ contains the SL_2 -orbit $SL_2[h_1 - h_5]$ and its closure $\overline{SL_2[h_1 - h_5]}$. On the other hand, we know that the closure $\overline{SL_2[h_1 - h_5]}$ is isomorphic to V_5 by [§ 3, 7]. Here $h_1 - h_5$ corresponds to $f_6(x, y) = xy(x^4 - y^4)$ in their notation. Therefore we have only to show that $\psi(M)$ contains $[h_1 - h_5] \in \mathbb{P}(L)$. Let $P := (0 : 1 : 0) \in \mathbb{P}^2$. Then by (1), the evaluation map $g \otimes \mathbb{C}(p) : L \rightarrow \mathbb{C} \oplus \mathbb{C}$ with $(g \otimes \mathbb{C}(p))(e_1) = (\frac{1}{5}, 0)$, $(g \otimes \mathbb{C}(p))(e_5) = (0, \frac{1}{5})$, and $(g \otimes \mathbb{C}(p))(e_j) = (0, 0)$ ($j \neq 1, 5$). Therefore the point $q \in \pi^{-1}(p) \cong \mathbb{P}^1$ corresponding to the linear function $\mathbb{C} \oplus \mathbb{C} \ni (a, b) \mapsto a - b \in \mathbb{C}$ is mapped to $[h_1 - h_5]$ by ψ .

Q.E.D.

Remark 2.2. (1) By Lemma (1.5) in [8], $V := \psi(M)$ has three SL_2 -orbits $\psi(M) \setminus \psi(S)$, $\psi(S) \setminus \psi(\Delta_{\mathbb{P}^1})$, and $\psi(\Delta_{\mathbb{P}^1})$, in particular, $\psi(\Delta_{\mathbb{P}^1})$ is a smooth rational curve of degree 6 in V .

(2) $\psi|_S : S \rightarrow \psi(S)$ is the same morphism as in Lemma (1.6) in [8]. Especially, $\psi|_S$ is one to one and $\text{Sing } \psi(S) = \psi(\Delta_{\mathbb{P}^1})$, where $\text{Sing } \psi(S)$ is the singular locus of $\psi(S)$.

Let us denote $\psi(S)$ and $\psi(\Delta_{\mathbb{P}^1})$ by B and Σ .

Lemma 2.3. (1) ψ is a finite morphism of degree 3.

(2) ψ is étale outside B

(3) $\psi^*B = S + 2D$, hence ψ is not Galois.

(4) We put $M_t := \pi^{-1}(t)$ for $t \in \mathbb{P}^2$. Then

$\ell_t := \psi(M_t)$ is a line of $V \subseteq \mathbb{P}^6$ and $\psi|_{M_t} : M_t \longrightarrow \ell_t$ is an isomorphism.

(5) For $t_1 \neq t_2 \in \mathbb{P}^2$, we have $\ell_{t_1} \neq \ell_{t_2}$.

(6) Let ℓ be a line in $V \subseteq \mathbb{P}^6$. Then there is a point $t \in \mathbb{P}^2$ such that $\ell = \ell_t$.

Proof. (1) By Lemma (1.1) - (5), $\mathcal{O}_M(1)$ is ample. Therefore ψ is a finite morphism and $\psi^*\mathcal{O}_V(1) \cong \mathcal{O}_M(1)$. Thus $\deg \psi = (\mathcal{O}_M(1))^3 / (\mathcal{O}_V(1))^3 = 15/5 = 3$.

(2) Since $V \setminus B$ is an open orbit of SL_2 , ψ is étale over $V - B$.

(3) Since $(\mathcal{O}_V(1)^2 \cdot B) = (\mathcal{O}_M(1)^2 \cdot S) = (\mathcal{O}_S(5f_1 + f_2))_S^2 = 10$, we have $\mathcal{O}_V(B) \cong \mathcal{O}_V(2)$. Therefore $\mathcal{O}_M(\psi^*B - S) \cong \pi^*_{\mathbb{P}^2} \mathcal{O}_{\mathbb{P}^2}(4)$. Since $\psi^*B - S$ is a SL_2 - invariant effective divisor, its support must be D . Thus $\psi^*B = S + 2D$.

(4) It is clear since $(\psi^*\mathcal{O}_V(1) \cdot M_t) = (\mathcal{O}_M(1) \cdot M_t) = 1$.

(5) Assume that $\ell_{t_1} = \ell_{t_2}$. Since $\psi|_S : S \longrightarrow B$ is one to

one, we have $M_{t_1} \cap S = M_{t_2} \cap S$. Hence $t_1 = t_2$.

(6) Let ℓ be a line of V . If $\ell \notin B$, then ℓ contains a point $p \in V \setminus B$. By Corollary (2.1) in § 2, we have $\#\{\text{lines through } p\} \leq 3$. Thus by (4), (5) above, $\{\text{lines through } p\} = \{\ell_{t_1}, \ell_{t_2}, \ell_{t_3}\}$, where $\{t_1, t_2, t_3\} = \pi(\psi^{-1}(p))$. Therefore $\ell = \ell_{t_2}$. If $\ell \subseteq B$, then $\ell = \ell_t$ for some $t \in C$, because $\psi|_D : D \rightarrow B$ is one to one by (3) and $\mathcal{O}_M(1) \otimes \mathcal{O}_D \cong \mathcal{O}_D(s_1 + 5s_2)$ by Lemma 2.1 - (2).

Theorem I. The \mathbb{P}^1 -bundle $\pi : M \rightarrow \mathbb{P}^2$ is the universal family of lines on $V = V_5$.

Proof. Let T be the space of lines on V , that is, T is a subscheme of the Grassmanian $G(2,7)$ parametrizing lines of $V \subseteq \mathbb{P}^6$. Since $N_{\ell|V} \cong \mathcal{O} \oplus \mathcal{O}$ or $\mathcal{O}(-1) \oplus \mathcal{O}(1)$ for any line ℓ on V , we have $H^1(\ell, N_{\ell|V}) = 0$ and $H^0(\ell, N_{\ell|V}) \cong \mathbb{C}^2$. Therefore T is smooth surface. By the universal property of T , we have a morphism $\delta : \mathbb{P}^2 \rightarrow T$ corresponding to the family $(\pi, \psi) : M \hookrightarrow \mathbb{P}^2 \times V$. By Lemma (1.3) - (5), (6), δ is one to one surjective. Therefore δ must be isomorphic.

We put $U_n := \{x \in V ; \text{there is at most } n \text{ lines through } x\}$. Then,

Corollary 2.1. $U_3 = V$, $U_2 = B$ and $U_1 = \Sigma$.

§ 3. Compactifications of \mathbb{C}^3

Take any point $t \in \mathbb{C} \xrightarrow{\psi} \mathbb{P}^2$ and put $\ell_t := \psi(\pi^{-1}(t))$. Then ℓ_t is a line of type $(-1, 1)$. Let $\sigma: V' \rightarrow V$ be blowing up of V along the line ℓ_t and \bar{E}_t be the proper transform in V' of the ruled surface E_t swept out by lines which intersect the line ℓ_t . Then, by Lemma 1.1 - (b), we have the birational morphism $\varphi: V' \rightarrow W_t$ of V' onto a smooth quadric hypersurface $W_t \cong \mathbb{Q}^3$ in \mathbb{P}^4 , a quadric cone $Q_t := \varphi(\sigma^{-1}(\ell_t)) \cong \mathbb{Q}_0^2$, and a twisted cubic curve $Y_t := \varphi(\bar{E}_t) \xrightarrow{\psi} Q_t$. Let g_t be the unique generating line of Q_t such that $Y_t \cap g_t = \{v_t\}$, where v_t is the vertex of Q_t . Take any point $v \in g_t \setminus \{v_t\} \cong \mathbb{C}$. Let Q_v be the quadric cone in W_t with the vertex v , and put $H_t^v := \sigma(\varphi^{-1}(Q_v))$.

Then, by (4.3) in [2] and [6] (see also § 1), we have the following

Lemma 3.1. (1) For any $t \in \mathbb{C}$, (V, E_t) is a compactification of \mathbb{C}^3 with the non-normal boundary E_t . Conversely, let (V, H) be a compactification of \mathbb{C}^3 with a non-normal boundary H . Then there is a point $t \in \mathbb{C}$ such that $H = E_t$.

(2) For any $t \in \mathbb{C}$ and any $v \in g_t \setminus \{v_t\} \cong \mathbb{C}$, (V, H_t^v) is a compactification of \mathbb{C}^3 with the normal boundary H_t^v . Conversely, let (V, H) be a compactification of \mathbb{C}^3 with a normal boundary H . Then there is a point $t \in \mathbb{C}$ and a point

$v \in g_t \setminus \{v_t\}$ such that $H = H_t^v$.

Remark 3.1. Let Z_t be the line \mathbb{P}^2 which is tangent to C at the point $t \in C$. Then $E_t = \psi(\pi^{-1}(Z_t))$ and $\pi^{-1}(Z_t) \setminus (s_t \cup \pi^{-1}(t)) \cong E_t \setminus \ell_t$, where s_t is the negative section of $\pi^{-1}(Z_t) \cong \mathbb{F}_3$.

We put

$\Lambda_1 := \{\lambda \in \mathbb{P}^6; H_\lambda \text{ is a non-normal hyperplane section of } V \text{ such that } V \setminus H_\lambda \cong \mathbb{C}^3\}$, and

$\Lambda_2 := \{\lambda \in \mathbb{P}^6; H_\lambda \text{ is a normal hyperplane section of } V \text{ such that } V \setminus H_\lambda \cong \mathbb{C}^3\}$,

where $\mathbb{P}^6 := \mathbb{P}(L)$.

Then we have

Corollary 3.1. $\dim_{\mathbb{C}} \Lambda_1 = 1$ and $\dim_{\mathbb{C}} \Lambda_2 = 2$.

Corollary 3.2. For each $t \in C$, $\{\lambda \in \Lambda_1; \ell_t \subseteq H_\lambda\} = \{\text{one point}\}$ and $\{\lambda \in \Lambda_2; \ell_t \subseteq H_\lambda\} \cong \mathbb{C}$.

Now, take a point $t_0 = (1:0:0) \in C$. Then $\ell_{t_0} \hookrightarrow \mathbb{P}^6$ is written as follow:

$$\ell_{t_0} = \{h_2 = h_3 = h_4 = h_5 = h_6 = 0\}$$

(see the proof of Lemma 2.2 - (1)).

Since V is SL_2 - invariant, Λ_1 and Λ_2 are also SL_2 - invariant

By Lemma (1.4) of [9], the 2-dimension SL_2 - orbits are $SL_2x^3y^3$, $SL_2x^4y^2 = SL_2x^2y^4$, $SL_2x^5y = SL_2xy^5$, and $SL_2y^6 = SL_2x^6$ is the only one SL_2 - orbit of dimensional one on \mathbb{P}^6 . Therefore we have $\Lambda_1 = SL_2y^6$. By an easy calculation, we have

$$\{\lambda \in SL_2x^3y^3 ; \ell_{t_0} \subseteq H_\lambda\} \cong \mathbb{C} \cup \mathbb{C},$$

$$\{\lambda \in SL_2x^2y^4 ; \ell_{t_0} \subseteq H_\lambda\} \cong \mathbb{C} \cup \mathbb{C},$$

$$\{\lambda \in SL_2xy^5 ; \ell_{t_0} \subseteq H_\lambda\} \cong \mathbb{C}.$$

Thus, by Corollary 3.2, we must have $\Lambda_2 = SL_2xy^5$. We put $\Lambda := \Lambda_1 \cup \Lambda_2$. Then $\Lambda = \overline{SL_2xy^5}$. Therefore, by Lemma (1.6) of [9], Λ is the image of $\mathbb{P}^1 \times \mathbb{P}^1$ with diagonal SL_2 - operations by a linear system L of bidegree (5,1) on $\mathbb{P}^1 \times \mathbb{P}^1$.

Thus we have

Theorem 3.1. $\Lambda_1 = SL_2y^6$, $\Lambda_2 = SL_2xy^5$ and $\Lambda = \overline{SL_2xy^5}$.

In particular, $\Lambda_1 \cong \mathbb{P}^1$ and $\Lambda_2 \cong \mathbb{P}^1 \times \mathbb{P}^1 \setminus \{\text{diagonal}\}$.

We will show explicitly below that for any $\lambda \in \Lambda$,
 $V \setminus H_\lambda \cong \mathbb{C}^3$.

By p. 505 in [9], $V := V_5 \hookrightarrow \mathbb{P}^6$ can be written as follow:

$$\begin{cases} h_0 h_4 - 4h_1 h_3 + 3h_2^2 = 0 \\ h_0 h_5 - 3h_1 h_4 + 2h_2 h_3 = 0 \\ h_0 h_6 - 9h_2 h_4 + 8h_3^2 = 0 \\ h_1 h_6 - 3h_2 h_5 + 2h_3 h_4 = 0 \\ h_2 h_6 - 4h_3 h_5 + 3h_4^2 = 0 \end{cases},$$

where $(h_0 : h_1 : h_2 : h_3 : h_4 : h_5 : h_6)$ is the homogeneous coordinate of \mathbb{P}^6 .

First, $(0 : 0 : 0 : 0 : 0 : 0 : 1) \in \text{SL}_2 Y^6$. In $V \cap \{h_6 \neq 0\}$, we consider the following coordinate transformation.

$$\begin{cases} x_0 = h_0 - 9h_2 h_4 + 8h_3^2 \\ x_1 = h_1 - 3h_2 h_5 + 3h_3 h_4 \\ x_2 = h_2 - 4h_3 h_5 + 3h_4^2 \\ x_3 = h_3 \\ x_4 = h_4 \\ x_5 = h_5 \end{cases}, \quad h_6 = 1$$

Then we have

$$V \cap \{h_6 \neq 0\} \cong \{x_0 = x_1 = x_2 = 0\} \cong \mathbb{C}^3,$$

and the line $\{h_2 = h_3 = h_4 = h_5 = h_6 = 0\}$ is the singular locus of the boundary $V \cap \{h_6 = 0\}$.

Next, $(0 : 0 : 0 : 0 : 0 : 1 : 0) \in SL_2xy^5$. In $V \cap \{h_5 \neq 0\}$, we consider the coordinate transformation

$$\begin{cases} x_0 = h_0 - 3h_1h_4 + 2h_2h_3 \\ x_1 = h_1 \\ x_2 = 3h_2 - h_1h_6 - 2h_3h_4 \\ x_3 = 4h_3 - h_2h_6 - 3h_4^2 \\ x_4 = h_4 \\ x_6 = h_6 \end{cases}, \quad h_5 = 1.$$

Then we have

$$V \cap \{h_5 \neq 0\} \cong \{x_0 = x_2 = x_3 = 0\} \cong \mathbb{C}^3,$$

and the boundary $V \cap \{h_5 = 0\}$ has a singularity of A_4 -type at the point $(1 : 0 : 0 : 0 : 0 : 0 : 0)$.

Therefore, for any $\lambda \in SL_2y^6$ (resp. SL_2xy^5), H_λ is

non-normal (resp. normal with a rational double point of A_4 -type), and further $V \setminus H_\lambda \cong \mathbb{C}^3$.

Since Λ_1 and Λ_2 are SL_2 -orbits, we have the following

Corollary 3.3 (Peternell-Schneider [6]). Let (V, H) and (V, H') be two compactifications of \mathbb{C}^3 with normal (resp. non-normal) boundaries H and H' . Then there is an automorphism α of V such that $H' = \alpha(H)$.

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