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THE LANGLANDS-SHAHIDI METHOD OVER FUNCTION FIELDS: THE RAMANUJAN CONJECTURE AND THE RIEMANN HYPOTHESIS FOR THE UNITARY GROUPS

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INTRODUCTION

We make the Langlands-Shahidi method available over function fields. The method was almost single handedly developed by Shahidi in the case of number fields. Previously, the $\mathcal{LS}$ method in characteristic p was only available for the split classical groups.

Let $\mathbf{G}$ be a connected reductive group defined over a function field k. Let $\mathbf{P} = \mathbf{MN}$ be a maximal parabolic subgroup of $\mathbf{G}$ and let ${}^LG$ denote its Langlands dual group. The $\mathcal{LS}$ method allows us to study automorphic L-functions arising from the adjoint action $r = \oplus r_i$ of ${}^LM$ on ${}^L\mathfrak{n}$, where ${}^L\mathfrak{n}$ is the Lie algebra of the unipotent radical ${}^LN$ on the dual side. Let π be any globally generic cuspidal automorphic representation of $\mathbf{M}(\mathbb{A}_k)$. The Langlands-Shahidi method provides a definition of L-functions and root numbers

$$L(s, \pi, r_i) \text{ and } \varepsilon(s, \pi, r_i), \ s \in \mathbb{C}.$$

We obtain a system of γ-factors, L-functions and ε-factors at every place v of k. Let $\psi = \otimes_v \psi_v = k\backslash\mathbb{A}_k \to \mathbb{C}^\times$ be a character, where $\mathbb{A}_k$ is the ring of adèles. Then we have

$$\gamma(s, \pi_v, r_{i.v}, \psi_v), \ L(s, \pi_v, r_{i,v}) \text{ and } \varepsilon(s, \pi_{i,v}, r_{i,v}, \psi_v).$$

The connection is made via the global functional equation

$$L(s, \pi, r_i) = \varepsilon(s, \pi, r_i) L(1 - s, \tilde{\pi}, r_i).$$

We define local factors over any non-archimedean local field F of characteristic p via the Langlands-Shahidi local coefficient. In this setting, we take π to be any generic representation of $\mathbf{G}(F)$ and ψ an additive character of F. The local coefficient

$$C_\psi(s, \pi, \tilde{w}_0)$$

is obtained via intertwining operators and multiplicity one for Whittaker models. A system of Weyl group element representatives $\tilde{w}$ is chosen together with Haar measures, and we study the behavior of the local coefficient when these vary (Proposition 2.2).

We begin locally and establish a local to global result that allows us to lift any supercuspidal generic representation π_0 to a globally generic cuspidal automorphic representation π with controlled ramification at all other places (Theorem 3.1).

Globally, there is a connection to Langlands theory of Eisenstein series over function fields [15, 41]. This enables us to prove the crude functional equation involving the Langlands-Shahidi local coefficient and partial L-functions (Theorem 4.2).

A recursive argument that is already present in Arthur's work, using endoscopic groups, in addition to Shahidi [45], allows us to produce individual functional equations for each r_i (Proposition 5.4). The main theorem of the Langlands-Shahidi method, Theorem 5.1, concerns the existence of a system of γ-factors, L-functions and root numbers. These are uniquely characterized by a list of axioms. An inspiring list of axioms for γ-factors can be found in [30]. Work on the uniqueness of Rankin-Selberg L-functions [20], led us to extend the characterization in a natural way to include L-functions and ε-factors beginning with the classical groups in [33]. Our local to global result allows us to reduce the number of required axioms.

The second part of the article is devoted to the unitary groups. We extend the $\mathcal{LS}$ method, to include the study of products of globally generic representations of two unitary groups. We have a main theorem on extended γ-factors, L-functions and root numbers (Theorem 7.3). The induction step of the $\mathcal{LS}$ method for the unitary groups gives the case of Asai and twisted Asai L-functions studied in [19, 34].

Based on our previous work on the classical groups over function fields and the guidance of the work of Kim and Krishnamurthy in the case of number fields [24, 25], we establish stable Base Change for globally generic representations. This approach is possible by combining the Langlands-Shahidi method and the Converse Theorem of Cogdell and Piatetski-Shapiro [7]. Over number fields, functoriality for the classical groups was established for globally generic representations by Cogdell, Kim, Piatetski-Shapiro and Shahidi [9]. The work of Arthur establishes the general case for not necessarily generic representations in [1]. Mok addresses the endoscopic classification for the unitary groups in [39]. Over function fields, there is the ongoing work of V. Lafforgue, who establishes a Langlands correspondence from a connected reductive group to the Galois side [27]. In contrast, in our approach we work purely with techniques from Automorphic Forms and Representation Theory of $\mathfrak{p}$-adic groups.

Given a cuspidal automorphic representation π of a unitary group U_N, we construct a candidate admissible representation Π for the Base Change to $\mathrm{Res}\,\mathrm{GL}_N$. For suitable twists by cuspidal automorphic representations τ of GL_m, we have that $L(s, \Pi \times \tau) = L(s, \pi \times \tau)$. The Converse Theorem requires that these L-functions be *nice*. Over a function field k with field of constants $\mathbb{F}_q$, this means they are rational on q^{-s} and satisfy the global functional equation. The required rationality property is established for all Langlands-Shahidi L-functions in [35]. The global functional equation is contained § 5.3. In this manner, we are able to establish the existence of a weak Base Change to $\mathrm{Res}\,\mathrm{GL}_N$ (Theorem 8.5).

Let K/k be a separable quadratic extension of global function fields. We strengthen the weak Base Change of Theorem 8.5 in such a way that we it is compatible with local Base Change at every place v of k. This proves stable Base Change, Theorem 9.11, for globally generic representations of unitary groups:

$$\left\{ \begin{array}{c} \text{globally generic cupsidal} \\ \text{automorphic representations} \\ \pi \text{ of } \mathrm{U}_N(\mathbb{A}_k) \end{array} \right\} \xrightarrow{\mathrm{BC}} \left\{ \begin{array}{c} \text{automorphic representations} \\ \Pi = \Pi_1 \boxplus \cdots \boxplus \Pi_d \\ \text{of } \mathrm{GL}_N(\mathbb{A}_K) \end{array} \right\}$$

We provide three important applications: the local Langlands functorial lift or local Base Change from generic representations of U_N to $\mathrm{Res}\,\mathrm{GL}_N$ (Theorem 9.10); the Ramanujan conjecture (Theorem 10.3); and, the Riemann Hypothesis (Theorem 10.1) for our automorphic L-functions.

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1. The Langlands-Shahidi local coefficient

1.1. **Notation.** Throughout the article we let F denote a non-archimedean local field of characteristic p. The ring of integers is denoted by $\mathcal{O}_F$ and a fixed uniformizer by ϖ_F. Given an algebraic group $\mathbf{H}$, we let H denote its group of rational points, e.g., $H = \mathbf{H}(F)$.

Let $\mathbf{G}$ be a quasi-split connected reductive group scheme. Let $\mathbf{B} = \mathbf{TU}$ be a fixed Borel subgroup of $\mathbf{G}$ with maximal torus $\mathbf{T}$ and unipotent radical $\mathbf{U}$. Parabolic subgroups $\mathbf{P}$ of $\mathbf{G}$ will be standard, i.e., $\mathbf{P} \supset \mathbf{B}$. We write $\mathbf{P} = \mathbf{MN}$, where $\mathbf{M}$ is the corresponding Levi subgroup and $\mathbf{N}$ its unipotent radical. Let Σ denote the roots of $\mathbf{G}$ with respect to the split component $\mathbf{T}_s$ of $\mathbf{T}$ and Δ the simple roots. Let Σ_r denote the reduced roots. The positive roots are denoted Σ^+ and the negative roots Σ^-, and similarly for Σ_r^+ and Σ_r^-. The fixed borel $\mathbf{B}$ corresponds to a pinning of the roots with simple roots Δ. Standard parabolic subgroups are then in correspondence with subsets $\theta \subset \Delta$; $\theta \leftrightarrow \mathbf{P}_\theta$. The opposite of a parabolic $\mathbf{P}_\theta$ and its unipotent radical $\mathbf{N}_\theta$ are denoted by $\mathbf{P}_\theta^-$ and $\mathbf{N}_\theta^-$, respectively.

Given the choice of Borel there is a Chevalley-Steinberg system. To each $\alpha \in \Sigma^+$ there is a subgroup $\mathbf{N}_\alpha$ of $\mathbf{U}$, stemming from the Bruhat-Tits theory of a not necessarily reduced root system. Given smooth characters $\psi_\alpha : N_\alpha/N_{2\alpha} \to \mathbb{C}^\times$, $\alpha \in \Delta$, we can construct a character of U via

$$\mathbf{U} \twoheadrightarrow \mathbf{U}/ \prod_{\alpha \in \Sigma^+ - \Delta} \mathbf{N}_\alpha \cong \prod_{\alpha \in \Delta} \mathbf{N}_\alpha/\mathbf{N}_{2\alpha} \tag{1.1}$$

and taking $\psi = \prod_{\alpha \in \Delta} \psi_\alpha$.

The character ψ is called non-degenerate if each ψ_α is non-trivial. We often begin with a non-trivial smooth character $\psi : F \to \mathbb{C}^\times$. When this is the case, unless stated otherwise, it is understood that the character ψ of U is obtained from the additive character ψ of F by setting $\prod_{\alpha \in \Delta} \psi$ in (1.1).

1.2. Fix a non-degenerate character $\psi : U \to \mathbb{C}^\times$ and consider ψ as a one dimensional representation on U. Recall that an irreducible admissible representation π of G is called ψ-generic if there exists an embedding

$$\pi \hookrightarrow \mathrm{Ind}_U^G(\psi).$$

This is called a Whittaker model of π. More precisely, if V is the space of π then for every $v \in V$ there is a Whittaker functional $W_v : G \to \mathbb{C}$ with the property

$$W_v(u) = \psi(u) W_v(e), \text{ for } u \in U.$$

It is the multiplicity one result of Shalika [47] which states that the Whittaker model of a representation π is unique, if it exists. Hence, up to a constant, there is a unique functional

$$\lambda : V \to \mathbb{C}$$

satisfying

$$\lambda(\pi(u)v) = \psi(u)\lambda(v).$$

We have that

$$W_v(g) = \lambda(\pi(g)v), \text{ for } g \in G.$$

Given $\theta \subset \Delta$ let $\mathbf{P}_\theta = \mathbf{M}_\theta \mathbf{N}_\theta$ be the associated standard parabolic. Let $\mathbf{A}_\theta$ be the torus $(\cap_{\alpha \in \Delta} \ker(\alpha))^\circ$, so that $\mathbf{M}_\theta$ is the centralizer of $\mathbf{A}_\theta$ in $\mathbf{G}$. Let $X(\mathbf{M}_\theta)$ be the group of rational characters of $\mathbf{M}_\theta$, and let

$$\mathfrak{a}_{\theta,\mathbb{C}}^* = X(\mathbf{M}_\theta) \otimes \mathbb{C}.$$

There is the set of cocharacters $X^\vee(\mathbf{M}_\theta)$. And there is a pairing $\langle \cdot, \cdot \rangle : X(\mathbf{M}_\theta) \times X^\vee(\mathbf{M}_\theta) \to \mathbb{Z}$, which assigns a coroot $\alpha^\vee$ to every root α.

Let $X_{\mathrm{nr}}(\mathbf{M}_\theta)$ be the group of unramified characters of $\mathbf{M}_\theta$. It is a complex algebraic variety and we have $X_{\mathrm{nr}}(\mathbf{M}_\theta) \cong (\mathbb{C}^\times)^d$, with $d = \dim_{\mathbb{R}}(\mathfrak{a}_\theta^*)$. To see this, for every rational character $\chi \in X(\mathbf{M}_\theta)$ there is an unramified character $q^{\langle \chi, H_\theta(\cdot) \rangle} \in X_{\mathrm{nr}}(\mathbf{M}_\theta)$, where

$$q^{\langle \chi, H_\theta(m) \rangle} = |\chi(m)|_F \,.$$

This last relation can be extended to $\mathfrak{a}_{\theta,\mathbb{C}}^*$ by setting

$$q^{\langle s \otimes \chi, H_\theta(m) \rangle} = |\chi(m)|_F^s \,, \ s \in \mathbb{C}.$$

We thus have a surjection

$$\mathfrak{a}_{\theta,\mathbb{C}}^* \twoheadrightarrow X_{\mathrm{nr}}(\mathbf{M}_\theta). \tag{1.2}$$

Recall that, given a parabolic $\mathbf{P}_\theta$, the modulus character is given by

$$\delta_\theta(p) = q^{\langle \rho_\theta, H_\theta(m) \rangle}, \ p = mn \in P_\theta = MN,$$

where ρ_θ is half the sum of the positive roots in θ.

In [38] the variable appearing in the corresponding Eisenstein series ranges over the elements of $X_{\mathrm{nr}}(\mathbf{M}_\theta)$. Already in Tate's thesis [51], the variable ranges over the quasi-characters of GL_1. The surjection (1.2) allows one to use complex variables. In particular, our L-functions will be functions of a complex variable. For this we start by looking at a maximal parabolic subgroup $\mathbf{P} = \mathbf{MN}$ of $\mathbf{G}$. In this case, there is a simple root α such that $\mathbf{P} = \mathbf{P}_\theta$, where $\theta = \Delta - \{\alpha\}$. We fix a particular element $\tilde{\alpha} \in \mathfrak{a}_{\theta,\mathbb{C}}^*$ defined by

$$\tilde{\alpha} = \langle \rho_\theta, \alpha^\vee \rangle^{-1} \rho_\theta. \tag{1.3}$$

For general parabolics $\mathbf{P}_\theta$ we can reduce properties of L-functions to maximal parabolics via multiplicativity (Property (iv) of Theorem 5.1).

1.3. Induced representations. We make a few conventions concerning parabolic induction that we will use throughout the article. Let (π, V) be a smooth admissible representation of $M = M_\theta$ and let $\nu \in \mathfrak{a}^*_{\theta,\mathbb{C}}$. By parabolic induction, we mean normalized unitary induction

$$\mathrm{ind}_P^G(\pi),$$

where we extend the representation π to $P = MN$ by making it trivial on N. Also, whenever the parabolic subgroup $\mathbf{P}$ and ambient group $\mathbf{G}$ are clear from context, we will simply write

$$\mathrm{Ind}(\pi) = \mathrm{ind}_P^G(\pi).$$

We also incorporate twists by unramified characters. For any $\nu \in \mathfrak{a}^*_{\theta,\mathbb{C}}$, we let

$$\mathrm{I}(\nu, \pi) = \mathrm{ind}_{P_\theta}^G(q_F^{\langle \nu, H_\theta(\cdot)\rangle} \otimes \pi)$$

be the representation with corresponding space $\mathrm{V}(\nu, \pi)$. Finally, if $\mathbf{P}$ is maximal, we write

$$\mathrm{I}(s, \pi) = \mathrm{I}(s\tilde{\alpha}, \pi),\ s \in \mathbb{C},$$

with $\tilde{\alpha}$ as in equation (1.3); its corresponding space is denoted by $\mathrm{V}(s, \pi)$. Furthermore, we write $\mathrm{I}(\pi)$ for $\mathrm{I}(0, \pi)$.

1.4. Local notation. Given a maximal Levi subgroup $\mathbf{M}$ of $\mathbf{G}$, let $\mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathbf{G})$ be the class of triples (F, π, ψ) consisting of: a non-archimedean local field F, with $\mathrm{char}(F) = p$; a generic representation π of $M = \mathbf{M}(F)$; and, a smooth non-trivial additive character $\psi : F \to \mathbb{C}^\times$. When $\mathbf{M}$ and $\mathbf{G}$ are clear from context, we will write $\mathscr{L}_{\mathrm{loc}}(p)$ for $\mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathbf{G})$. We say $(F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p)$ is supercuspidal (resp. discrete series, tempered, principal series) if π is a supercuspidal (resp. discrete series, tempered, principal series) representation.

In this section and the next we revisit the theory of the Langlands-Shahidi local coefficient [44]. Now in characteristic p, we base ourselves in [32, 34]. We normalize Haar measures and choose Weyl group element representatives in the rank one cases, extending the results of [34] to the general case. This includes the case of $p = 2$. In § **??** we will turn towards the subtle issues that arise when gluing these pieces together.

1.5. Let W denote the Weyl group of Σ, which is generated by simple reflections $w_\alpha \in \Delta$. And, let W_θ denote the subgroup of W generated by w_α, $\alpha \in \theta$. We let

$$w_0 = w_l w_{l,\theta}, \tag{1.4}$$

where w_l and $w_{l,\theta}$ are the longest elements of W and W_θ, respectively. Choice of Weyl group element representatives in the normalizer $\mathrm{N}(T_s)$ will be addressed in section 2, in order to match with the semisimple rank one cases of § 1.3. For now, we fix a system of representatives $\mathfrak{W} = \{\tilde{w}_\alpha, d\mu_\alpha\}_{\alpha\in\Delta}$.

There is an intertwining operator

$$\mathrm{A}(\nu, \pi, \tilde{w}_0) : \mathrm{V}(\nu, \pi) \to \mathrm{V}(\tilde{w}_0(\nu), \tilde{w}_0(\pi)),$$

where $\tilde{w}_0(\pi)(x) = \pi(\tilde{w}_0^{-1} x \tilde{w}_0)$. Let $N_{w_0} = U \cap w_0 N_\theta^- w_0^{-1}$, then it is defined via the principal value integral

$$\mathrm{A}(\nu, \pi, \tilde{w}_0)f(g) = \int_{N_{w_0}} f(\tilde{w}_0^{-1} n g)\, dn.$$

With fixed ψ of U, let $\psi_{\tilde{w}_0}$ be the non-degenerate character on the unipotent radical $M_\theta \cap U$ of M_θ defined by

$$\psi_{\tilde{w}_0}(u) = \psi(\tilde{w}_0 u \tilde{w}_0^{-1}),\ u \in M_\theta \cap U. \tag{1.5}$$

This makes ψ and $\psi_{\tilde{w}_0}$ $\tilde{w}_0$-compatible.

Given an irreducible $\psi_{\tilde{w}_0}$-generic representation (π, V) of M_θ, Theorem 2.13 of [35] shows that $\mathrm{I}(\nu,\pi)$, $\nu \in \mathfrak{a}^*_{\theta,\mathbb{C}}$, is ψ-generic and establishes an explicit principal value integral for the resulting Whittaker functional

$$\lambda_\psi(\nu,\pi,\tilde{w}_0) f = \int_{N_{\theta'}} \lambda_{\psi_{\tilde{w}_0}}(f(\tilde{w}_0^{-1} n)) \overline{\psi}(n)\, dn, \tag{1.6}$$

where $\theta' = w_0(\theta)$.

Definition 1.1. *For every $\psi_{\tilde{w}_0}$-generic $(F,\pi,\psi) \in \mathscr{L}_{\mathrm{loc}}(p)$, the Langlands-Shahidi local coefficient $C_\psi(s,\pi,\tilde{w}_0)$ is defined via the equation*

$$\lambda_\psi(s\tilde{\alpha},\pi,\tilde{w}_0) = C_\psi(s,\pi,\tilde{w}_0)\lambda_\psi(s\tilde{w}_0(\tilde{\alpha}),\tilde{w}_0(\pi),\tilde{w}_0)\mathrm{A}(s\tilde{\alpha},\pi,\tilde{w}_0), \tag{1.7}$$

where $s\tilde{\alpha} \in \mathfrak{a}^*_{\theta,\mathbb{C}}$ for every $s \in \mathbb{C}$.

Remark 1.2. *When it is clear from context, we identify $s \in \mathbb{C}$ with the element $s\tilde{\alpha} \in \mathfrak{a}^*_{\theta,\mathbb{C}}$. We thus write $\lambda_\psi(s,\pi,\tilde{w}_0)$ for $\lambda_\psi(s\tilde{\alpha},\pi,\tilde{w}_0)$ and $\mathrm{I}(s,\pi)$ for $\mathrm{I}(s\tilde{\alpha},\pi)$. Similarly, we identify s' with $s\tilde{w}_0(\tilde{\alpha}) \in \mathfrak{a}^*_{\theta',\mathbb{C}}$ and let $\pi' = \tilde{w}_0(\pi)$. Hence, we simply write $\lambda_\psi(s',\pi',\tilde{w}_0)$ instead of $\lambda_\psi(s\tilde{w}_0(\tilde{\alpha}),\tilde{w}_0(\pi),\tilde{w}_0)$.*

Let $(F,\pi,\psi) \in \mathscr{L}_{\mathrm{loc}}(p)$ be $\psi_{\tilde{w}_0}$-generic. From § 2 of [35] we know that $\lambda_\psi(s,\pi,\tilde{w}_0)$ is a polynomial in $\{q_F^s, q_F^{-s}\}$ for a test function $f_s \in \mathrm{I}(s,\pi)$. By Theorem 2.1 of [32], the Langlands-Shahidi local coefficient $C_\psi(s,\pi,\tilde{w}_0)$ is a rational function on q_F^{-s}, independent of the choice of test function.

1.6. Rank one cases. Let F'/F be a separable extension of local fields. Let $\mathbf{G}$ be a connected quasi-split reductive group of rank one defined over F. The derived group is of the following form

$$\mathbf{G}_D = \mathrm{Res}_{F'/F}\mathrm{SL}_2 \text{ or } \mathrm{Res}_{F'/F}\mathrm{SU}_3.$$

Note that given a degree-2 finite étale algebra E over the field F', we consider the semisimple group SU_3 given by the standard Hermitian form h for the unitary group in three variables as in § 4.4.5 of [11]. Given the Borel subgroup $\mathbf{B} = \mathbf{TU}$ of $\mathbf{G}$, the group $\mathbf{G}_D$ shares the same unipotent radical $\mathbf{U}$. The F rational points of the maximal torus $\mathbf{T}_D$ are given by

$$T_D = \left\{ (\mathrm{diag}(t,t^{-1}) \,|\, t \in F'^\times \right\},$$

in the former case, and by

$$T_D = \left\{ (\mathrm{diag}(z,\bar{z}z^{-1},\bar{z}^{-1}) \,|\, z \in E^\times \right\}$$

in the latter case.

We now fix Weyl group element representatives and Haar measures. In these cases, Δ is a singleton $\{\alpha\}$, and we note that the root system of SU_3 is not reduced. If $\mathbf{G}_D = \mathrm{Res}_{F'/F}\mathrm{SL}_2$, we set

$$\tilde{w}_\alpha = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \tag{1.8}$$

and, if $\mathbf{G}_D = \mathrm{Res}_{F'/F}\mathrm{SU}_3$, we set

$$\tilde{w}_\alpha = \begin{pmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{pmatrix}. \tag{1.9}$$

Given a fixed non-trivial character $\psi : F \to \mathbb{C}^\times$, we then obtain a self dual Haar measure $d\mu_\psi$ of F, as in equation (1.1) of [34]. In particular, for SL_2 we have the unipotent radical $\mathbf{N}_\alpha$, which is isomorphic to the the unique additive abelian group $\mathbf{G}_a$ of rank 1. Here, we fix the Haar measure $d\mu_\psi$ on $G_a \cong F$. Given a separable extension F'/F, we extend ψ to a character of F' via the trace, i.e., $\psi_{F'} = \psi \circ \mathrm{Tr}_{F'/F}$. We also have a self dual Haar measure $\mu_{\psi_{F'}}$ on $\mathbf{G}_a(F') \cong F'$.

Given a degree-2 finite étale algebra E over the field F, assume we are in the case $\mathbf{G}_D = \mathrm{SU}_3$. The unipotent radical is now $\mathbf{N} = \mathbf{N}_\alpha \mathbf{N}_{2\alpha}$, with $\mathbf{N}_\alpha$ and $\mathbf{N}_{2\alpha}$ the one parameter groups associated to the non-reduced positive roots. We use the trace to obtain a character $\psi_E : E \to \mathbb{C}^\times$ from ψ. We then fix measures $d\mu_\psi$ and $d\mu_{\psi_E}$, which are made precise in § 3 of [34] for N_α and $N_{2\alpha}$. We then extend to the case $\mathbf{G}_D = \mathrm{Res}_{F'/F}\mathrm{SU}_3$ by taking $\psi_{F'} = \psi \circ \mathrm{Tr}_{F'/F}$ and $\psi_E = \psi_{F'} \circ \mathrm{Tr}_{E/F'}$. Furthermore, we have corresponding self dual Haar measures $d\mu_{\psi_{F'}}$ and $d\mu_{\psi_E}$ for $\mathrm{Res}_{F'/F}\mathbf{N}_\alpha(F) \cong \mathbf{N}_\alpha(F')$ and $\mathrm{Res}_{F'/F}\mathbf{N}_{2\alpha}(F) \cong \mathbf{N}_{2\alpha}(E)$.

We also have the Langlands factors $\lambda(F'/F, \psi)$ defined in [29]. Let $\mathcal{W}_{F'}$ and $\mathcal{W}_F$ be the Weil groups of F' and F, respectively. Recall that if ρ is an n-dimensional semisimple smooth representation of $\mathcal{W}_{F'}$, then

$$\lambda(F'/F, \psi)^n = \frac{\varepsilon(s, \mathrm{Ind}_{\mathcal{W}_{F'}}^{\mathcal{W}_F} \rho, \psi)}{\varepsilon(s, \rho, \psi_{F'})}.$$

On the right hand side we have Galois ε-factors, see Chapter 7 of [5] for further properties. Given a degree-2 finite étale algebra E over F', the factor $\lambda(E/F', \psi)$ and the character $\eta_{E/F'}$ have the meaning of equation (1.8) of [34].

The next result addresses the compatibility of the Langlands-Shahidi local coefficient with the abelian γ-factors of Tate's thesis [51]. It is essentially Propostion 3.2 of [34], which includes the case of $\mathrm{char}(F) = 2$.

Proposition 1.3. *Let* $\mathbf{G}$ *be a quasi-split connected reductive group defined over* F *whose derived group* $\mathbf{G}_D$ *is either* $\mathrm{Res}_{F'/F}\mathrm{SL}_2$ *or* $\mathrm{Res}_{F'/F}\mathrm{SU}_3$. *Let* $(F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{T}, \mathbf{G})$.

(i) *If* $\mathbf{G}_D = \mathrm{Res}_{F'/F}\mathrm{SL}_2$, *let* χ *be the smooth character of* T_D *given by* $\pi|_{T_D}$. *Then*

$$C_{\psi_{F'}}(s, \pi, \tilde{w}_0) = \gamma(s, \chi, \psi_{F'}).$$

(ii) *If* $\mathbf{G}_D = \mathrm{Res}_{F'/F}\mathrm{SU}_3$, χ *and* ν *be the smooth characters of* $E^\times$ *and* E^1, *respectively, defined via the relation*

$$\pi|_{T_D}(\mathrm{diag}(t, z, \bar{t}^{-1})) = \chi(t)\nu(z).$$

Extend ν *to a character of* $E^\times$ *via Hilbert's theorem 90. Then*

$$C_{\psi_{F'}}(s, \pi, \tilde{w}_0) = \lambda(E/F', \overline{\psi}_{F'})\, \gamma_E(s, \chi\nu, \psi_E)\, \gamma(2s, \eta_{E/F'}\chi|_{F'^\times}, \psi_{F'}).$$

The computations of [34] rely mostly on the unipotent group $\mathbf{U}$, which is independent of the group $\mathbf{G}$. However, there is a difference due to the variation of the maximal torus in the above proposition. For example, all smooth representations π of $\mathrm{SL}_2(F)$ are of the form $\pi(\mathrm{diag}(t, t^{-1})) = \chi(t)$ for a smooth character

χ of $\mathrm{GL}_1(F)$; then $C_\psi(s,\pi,\tilde{w}_0) = \gamma(s,\chi,\psi)$. However, in the case of $\mathrm{GL}_2(F)$ we have $\pi(\mathrm{diga}(t_1,t_2)) = \chi_1(t_1)\chi_2(t_2)$ for smooth characters χ_1 and χ_2 of $\mathrm{GL}_1(F)$; then $C_\psi(s,\pi,\tilde{w}_0) = \gamma(s,\chi_1\chi_2^{-1},\psi)$. Note that the semisimple groups of rank one (check terminology) in the split case, ranging from adjoint type to simply connected, are PGL_2, GL_2 and SL_2. The cases $\mathrm{PGL}_2 \cong \mathrm{SO}_3$ and $\mathrm{SL}_2 = \mathrm{Sp}_2$ are also included in Propostion 3.2 of [*loc.cit.*]. Of particular interest to us in this article are $\mathrm{Res}_{E/F}\mathrm{GL}_2(F) \cong \mathrm{GL}_2(E)$, $\mathrm{U}_2(F)$ and $\mathrm{U}_3(F)$, which arise in connection with the quasi-split unitary groups.

Given an unramified character π of $T = \mathbf{T}(F)$, we have a parameter

$$\phi : \mathcal{W}_F \to {}^L T \tag{1.10}$$

corresponding to π. Let $\mathfrak{u}$ denote the Lie algebra of $\mathbf{U}$ and let r be the adjoint action of ${}^L T$ on ${}^L\mathfrak{u}$. Then r is irreducible if $\mathbf{G}_D = \mathrm{Res}_{F'/F}\mathrm{SL}_2$ and $r = r_1 \oplus r_2$ if $\mathrm{Res}_{F'/F}\mathrm{SU}_3$. As in [23], we normalize Langlands-Shahidi γ-factors in order to have equality with the corresponding Artin factors.

Definition 1.4. *With the notation of Proposition 1.3:*

(i) *If* $\mathbf{G}_D = \mathrm{Res}_{F'/F}\mathrm{SL}_2$, *let*

$$\gamma(s,\pi,r,\psi) = \lambda(F'/F,\psi)\gamma(s,\chi,\psi_{F'}).$$

(ii) *If* $\mathbf{G}_D = \mathrm{Res}_{F'/F}\mathrm{SU}_3$, *let*

$$\gamma(s,\pi,r_1,\psi) = \lambda(E/F,\psi)\gamma(s,\chi\nu,\psi_E)$$

and

$$\gamma(s,\pi,r_2,\psi) = \lambda(F/F',\psi)\,\gamma(s,\eta_{E/F'}\chi|_{F'^\times},\psi_{F'}).$$

In this way, with ϕ as in (1.10), we have for each i:

$$\gamma(s,\pi,r_i,\psi) = \gamma(s,r_i\circ\phi,\psi).$$

The γ-factors on the right hand side are those defined by Deligne and Langlands [52]. We can then obtain corresponding L-functions and root numbers via γ-factors, see for example § 1 of [34].

2. Weyl group element representatives, Haar measures and unramified principal series

We begin with Langlands lemma. This will help us choose a system of Weyl group element representatives in a way that the local factors agree with the rank one cases of the previous section. We refer to Shahidi's algorithmic proof of [44], for Lemma 2.1 below. In Proposition 2.2, we address the effect of varying the non-degenerate character on the Langlands-Shahidi local coefficient, in addition to changing the system of Weyl group element representatives and Haar measures. We then recall the multiplicativity property of the local coefficient, and we use this to connect with the rank one cases of Proposition 1.3 to the case of unramified principal series.

2.1. Recall that given two subsets θ and θ' of Δ are associate if $W(\theta,\theta') = \{w \in W | w(\theta) = \theta'\}$ is non-empty. Given $w \in W(\theta,\theta')$, define

$$N_w = U \cap wN_\theta^- w^{-1} \quad \overline{N}_w = w^{-1}N_w w.$$

The corresponding Lie algebras are denoted $\mathfrak{n}_w$ and $\overline{\mathfrak{n}}_w$.

Lemma 2.1. *Let $\theta, \theta' \subset \Delta$ are associate and let $w \in W(\theta, \theta')$. Then, there exists a family of subsets $\theta_1, \ldots, \theta_d \subset \Delta$ such that:*

(i) *We begin with $\theta_1 = \theta$ and end with $\theta_d = \theta'$.*

(ii) *For each j, $1 \leq j \leq d-1$, there exists a root $\alpha_j \in \Delta - \theta_j$ such that θ_{j+1} is the conjugate of θ_j in $\Omega_j = \{\alpha_j\} \cup \theta_j$.*

(iii) *Set $w_j = w_{j,\Omega_j} w_{l,\theta_j}$ in $W(\theta_j, \theta_{j+1})$ for $1 \leq j \leq d-1$, then $w = w_{d-1} \cdots w_1$.*

(iv) *If one sets $\dot{w}_1 = w$ and $\dot{w}_{j+1} = w_j w_j^{-1}$ for $1 \leq j \leq d-1$, then $\dot{w}_d = 1$ and*

$$\overline{\mathfrak{n}}_{\dot{w}_j} = \overline{\mathfrak{n}}_{w_j} \oplus \mathrm{Ad}(w_j^{-1}) \overline{\mathfrak{n}}_{\dot{w}_{j+1}}.$$

2.2. For each $\alpha \in \Delta$ there corresponds a group $\mathbf{G}_\alpha$ whose derived group is simply connected semisimple of rank one. We fix an embedding $\mathbf{G}_\alpha \hookrightarrow \mathbf{G}$. A Weyl group element representative $\tilde{w}_\alpha$ is chosen for each w_α and the Haar measure on the unipotent radical N_α are normalized as indicated in § 1.3. We take the corresponding measure on $N_{-\alpha}$ inside G_α. Fix

$$\mathfrak{W} = \{\tilde{w}_\alpha, d\mu_\alpha\}_{\alpha \in \Delta} \tag{2.1}$$

to be this system of Weyl group element representatives in the normalizer of $\mathrm{N}(T_s)$ together with fixed Haar measures on each N_α.

We can apply Lemma 2.1 by taking the Borel subgroup $\mathbf{B}$ of $\mathbf{G}$ for the w_0 as in equation (1.4), i.e., we use $\theta = \emptyset$. In this way, we obtain a decomposition

$$w_0 = \prod_\beta w_{0,\beta}, \tag{2.2}$$

where β is seen as an index for the product ranging through $\beta \in \Sigma_r^+$. For each such $w_{0,\beta}$, there corresponds a simple reflection w_α for some $\alpha \in \Delta$.

From Langlands lemma and equation (2.2) we further obtain a decomposition of N in terms of N'_β, $\beta \in \Sigma_r^+$, where each N'_β corresponds to the unipotent group of N_α of $\mathbf{G}_\alpha$, for some $\alpha \in \Delta$. In this way, the measure on N is fixed by $\mathfrak{W}$ and we denote it by

$$dn = d\mu_N(n). \tag{2.3}$$

The decomposition of (2.2) is not unique. However, the choice $\mathfrak{W}$ of representatives determines a unique $\tilde{w}_0$.

We now summarize several facts known to the experts about the Langlands-Shahidi local coefficient in the following proposition.

Proposition 2.2. *Let $(F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathbf{G})$. Let $\mathfrak{W}' = \{\tilde{w}'_\alpha, d\mu'_\alpha\}_{\alpha \in \Delta}$ be an arbitrary system of Weyl group element representatives and Haar measures. Let $\phi : U \to \mathbb{C}^\times$ be a non-degenerate character and assume that π is $\phi_{\tilde{w}'_0}$-generic. Let $\widetilde{\mathbf{G}}$ be a connected quasi-split reductive group defined over F, sharing the same derived group as $\mathbf{G}$, and with maximal torus $\widetilde{\mathbf{T}} = \mathbf{Z}_{\widetilde{\mathbf{G}}} \mathbf{T}$. Then, there exists an element $x \in \widetilde{T}$ such that the representation π_x, given by*

$$\pi_x(g) = \pi(x^{-1} g x)$$

is $\psi_{\tilde{w}_0}$-generic. And, there exists a constant $a_x(\phi, \mathfrak{W}')$ such that

$$C_\phi(s, \pi, \tilde{w}'_0) = a_x(\phi, \mathfrak{W}') C_\psi(s, \pi_x, \tilde{w}_0).$$

Let $(F, \pi_i, \psi) \in \mathscr{L}(p)$, $i = 1, 2$. If $\pi_1 \cong \pi_2$ and are both $\psi_{\tilde{w}_0}$-generic, then

$$C_\psi(s, \pi_1, \tilde{w}_0) = C_\psi(s, \pi_2, \tilde{w}_0).$$

Proof. The existence of a connected quasi-split reductive group $\widetilde{\mathbf{G}}$ of adjoint type, sharing the same derived group as $\mathbf{G}$, is due thanks to Proposition 5.4 of [46]. Its maximal torus is given by $\widetilde{\mathbf{T}} = \mathbf{Z}_{\widetilde{\mathbf{G}}}\mathbf{T}$. Let $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$, where (π, V) is $\phi_{\tilde{w}_0'}$-generic. Because $\widetilde{\mathbf{G}}$ is of adjoint type, the character ϕ lies on the same orbit as the fixed ψ. Thus, there indeed exists an $x \in \widetilde{T}$ such that π_x is $\psi_{\tilde{w}_0}$-generic.

The system $\mathfrak{W}'$ fixes a measure on N, which we denote by $dn' = d\mu_N'$. Uniqueness of Haar measures gives a constant $b \in \mathbb{C}$ such that $dn = b\, dn'$. Also, notice that we can extend π to a representation of $\widetilde{\mathbf{G}}$ which is trivial on $\mathbf{Z}_{\widetilde{G}}$. And, the restriction of π to T decomposes into irreducible constituents

$$\pi|_T = \oplus \tau_i.$$

Each τ_i, is one dimensional by Schur's lemma. Hence, for any element $y \in \widetilde{T}$ we have $\pi(y) \in \mathbb{C}$.

To work with the local coefficient, take $\varphi \in C_c^\infty(P_\theta w_0 B, V)$ and let $f = f_s = \mathcal{P}_s \varphi$ be as in Lemma 2.6 of [35]. Now, using the system $\mathfrak{W}'$ in the right hand side of the definition (1.7) for $C_\phi(s, \pi, \tilde{w}_0')$, we have

$$\begin{aligned}
&\lambda_\phi(s\tilde{w}_0'(\tilde{\alpha}), \tilde{w}_0'(\pi), \tilde{w}_0')\mathrm{A}(s, \pi, \tilde{w}_0')f \\
&\quad = \int_{N_{\overline{\theta}}} \int_{N_{w_0}} \lambda_{\phi_{\tilde{w}_0'}} \left(f(\tilde{w}_0'^{-1} n_1 \tilde{w}_0'^{-1} n_2) \right) \overline{\phi}(n_2)\, dn_1'\, dn_2'.
\end{aligned}$$

Let $f_x(g) = f(x^{-1}gx)$ for $f \in \mathrm{I}(s, \pi)$, so that $f_x \in \mathrm{I}(s, \pi_x)$ is ψ-generic for the $\psi_{\tilde{w}_0}$-generic (π_x, V). Let c_x be the module for the automorphism $n \mapsto x^{-1}nx$. Then, after two changes of variables and an appropriate change in the domain of integration, the above integral is equal to

$$b^2 c_x^2 \int_{N_{\overline{\theta}}} \int_{\tilde{w}_0' N_{w_0} \tilde{w}_0'^{-1}} \lambda_{\psi_{\tilde{w}_0}} \left(f_x(\tilde{w}_0'^{-2} n_1 n_2) \right) \overline{\psi}(n_2)\, dn_1\, dn_2,$$

letting $z = (\tilde{w}_0')^2$, $\tilde{w}_0' = \tilde{w}_0 t^{-1}$ and changing back the domain of integration, we obtain

$$\begin{aligned}
&b^2 c_x^2 c_z \int_{N_{\overline{\theta}}} \int_{N_{w_0}} \lambda_{\psi_{\tilde{w}_0}} \left(f_x(t^2 \tilde{w}_0^{-1} n_1 \tilde{w}_0^{-1} n_2) \right) \overline{\psi}(n_2)\, dn_1\, dn_2 \\
&\quad = b^2 c_x^2 c_z \pi(t^2) \lambda_{\psi_{\tilde{w}_0}}(s\tilde{w}_0(\tilde{\alpha}), \tilde{w}_0(\pi_x), \tilde{w}_0)\mathrm{A}(s, \pi, \tilde{w}_0) f_x.
\end{aligned}$$

Now, working in a similar fashion with the left hand side of equation (1.7) we obtain

$$\lambda_\phi(s\tilde{\alpha}, \pi, \tilde{w}_0')f = b c_x \int_{N_{\overline{\theta}}} \lambda_{\psi_{\tilde{w}_0}} \left(f_x(x \tilde{w}_0'^{-1} x^{-1} \tilde{w}_0' \tilde{w}_0'^{-1} n) \right) \overline{\psi}(n)\, dn.$$

We can always find an $x \in \tilde{T}$ satisfying the above discussion and such that

$$d = x \tilde{w}_0'^{-1} x^{-1} \tilde{w}_0' \in T. \tag{2.4}$$

Alternatively, we can go to the separable closure, as in the discussion following Lemma 3.1 of [45], to obtain an element $x \in \mathbf{T}(F_s)$. To see this, we can reduce to rank one computations to produce the right x. In the case of SL_2, all additive characters are of the form ψ^a and we can take $t = \mathrm{diag}(a, 1) \in \widetilde{T}$ or $t = \mathrm{diag}(a^{1/2}, a^{-1/2}) \in \mathbf{T}(F_s)$. We then have

$$\begin{aligned}\lambda_\phi(s\tilde{\alpha},\pi,\tilde{w}_0')f &= bc_x\pi(d)\int_{N_{\overline{\theta}}}\lambda_{\psi_{\tilde{w}_0}}\left(f_x(t\tilde{w}_0^{-1}n)\right)\overline{\psi}(n)\,dn\\ &= bc_x\pi(dt)\lambda_\psi(s,\pi,\tilde{w}_0)f_x.\end{aligned}$$

In this way, we finally arrive at the desired constant

$$a_x(\phi,\mathfrak{W}')=\frac{\pi(dt^{-1})}{bc_xc_z}.$$

To conclude, we notice that if $(F,\pi_i,\psi)\in\mathscr{L}(p)$, $i=1,\,2$, have $\pi_1\cong\pi_2$ and are both $\psi_{\tilde{w}_0}$-generic, then Proposition 3.1 of [44] gives

$$C_\psi(s,\pi_1,\tilde{w}_0)=C_\psi(s,\pi_2,\tilde{w}_0).$$

□

2.3. Multiplicativity of the local coefficient. Shahidi's algorithm allows us to obtain a block based version of Langlands' lemma from the Corollary to Lemma 2.1.2 of [44]. We summarize the necessary results in this section. More precisely, let $\mathbf{P}=\mathbf{MN}$ be the maximal parabolic associated to the simple root $\alpha\in\Delta$, i.e., $\mathbf{P}=\mathbf{P}_\theta$ for $\theta=\Delta-\{\alpha\}$. We have the Weyl group element w_0 of equation (1.4). Consider a subset $\theta_0\subset\theta$ and its corresponding parabolic subgroup $\mathbf{P}_{\theta_0}$ with maximal Levi $\mathbf{M}_{\theta_0}$ and unipotent radical $\mathbf{N}_{\theta_0}$.

Let $\Sigma(\theta_0)$ be the roots of $(\mathbf{P}_{\theta_0},\mathbf{A}_{\theta_0})$. In order to be more precise, let $\Sigma^+(\mathbf{A}_{\theta_0},\mathbf{M}_{\theta_0})$ be the positive roots with respect to the maximal split torus $\mathbf{A}_{\theta_0}$ in the center of $\mathbf{M}_{\theta_0}$. We say that $\alpha,\beta\in\Sigma^+-\Sigma^+(\mathbf{A}_{\theta_0},\mathbf{M}_{\theta_0})$ are $\mathbf{A}_{\theta_0}$-equivalent if $\beta|_{A_{\theta_0}}=\alpha|_{A_{\theta_0}}$. Then

$$\Sigma^+(\theta_0)=\left(\Sigma^+-\Sigma^+(\mathbf{A}_{\theta_0},\mathbf{M}_{\theta_0})\right)/\sim.$$

Let $\Sigma_r^+(\theta_0)$ be the block reduced roots in $\Sigma^+(\theta_0)$. With the notation of Langlands' lemma, take $\theta_0'=w(\theta_0)$ and set

$$\Sigma_r(\theta_0,w)=\left\{[\beta]\in\Sigma_r^+(\theta_0)|w(\beta)\in\Sigma^-\right\}.$$

We have that

$$[\beta_i]=w_1^{-1}\cdots w_{j-1}^{-1}([\alpha_j]),\ 1\leq j\leq n-1, \tag{2.5}$$

are all distinct in $\Sigma_r(\theta_0,w)$ and all $[\beta]\in\Sigma_r(\theta_0,w)$ are obtained in this way.

$$\overline{N}_{\dot{w}_j}=\mathrm{Ad}(w_j^{-1})\overline{N}_{\dot{w}_{j+1}}\rtimes\overline{N}_{w_j}. \tag{2.6}$$

We now obtain a block based decomposition

$$w_0=\prod_j w_{0,j}. \tag{2.7}$$

In addition, the unipotent group $\mathbf{N}_{w_0}$ decomposes into a product via successive applications of equation (2.6). Namely

$$\mathbf{N}_{w_0}=\prod_j\mathbf{N}_{0,j}. \tag{2.8}$$

where each $\mathbf{N}_{0,j}$ is a block unipotent subgroup of $\mathbf{G}$ corresponding to a finite subset Σ_j of $\Sigma_r(\theta_0,w_0)$. In this way, we can partition the block set of roots into a disjoint union

$$\Sigma_r(\theta_0,w_0)=\bigcup_i\Sigma_i. \tag{2.9}$$

Explicitly

$$\overline{\mathbf{N}}_{0,j} = \mathrm{Ad}(w_1^{-1} \cdots w_{j-1}^{-1})\overline{\mathbf{N}}_{w_j}, \tag{2.10}$$

which gives a block unipotent $\mathbf{N}_j$ of $\mathbf{G}$. For each j we have an embedding

$$\mathbf{G}_j \hookrightarrow \mathbf{G}$$

of connected quasi-split reductive groups. Each constitutent of this decomposition of N_{w_0} is isomorphic to a block unipotent subgroup N_{w_j} of $\mathbf{M}_j$. The reductive group $\mathbf{M}_j$ has root system θ_j and is a maximal Levi subgroup of the reductive group $\mathbf{G}_j$ with root system Ω_j. We have $\mathbf{P}_j = \mathbf{M}_j \mathbf{N}_{w_j}$, a maximal parabolic subgroup of $\mathbf{G}_j$. Notice that each $\mathbf{M}_j$ corresponds to a simple root α_j of $\mathbf{G}_j$. We obtain from π a representation π_j of M_j. We let

$$\tilde{\alpha}_j = \left\langle \rho_{P_j}, \alpha_j^\vee \right\rangle^{-1} \rho_{P_j}.$$

We note that each w_j in equation (2.7) is of the form

$$w_j = w_{l,\mathbf{G}_j} w_{l,\mathbf{M}_j}. \tag{2.11}$$

Additionally, each w_j decomposes into a product of Weyl group elements corresponding to simple roots $\alpha \in \Delta$. While these decompositions are again not unique, the choice $\mathfrak{W}$ of representatives fixes a unique $\tilde{w}_j$, independently of the decomposition of w_j.

For each $[\beta] \in \Sigma_r^+(\theta_0, w_0)$, we let

$$i_{[\beta]} = \langle \tilde{\alpha}, \beta^\vee \rangle.$$

Since $\theta_0 \subset \theta$, we have that the values of $i_{[\beta]}$ range among the integer values

$$i = \langle \tilde{\alpha}, \gamma^\vee \rangle, \ 1 \leq i \leq m_r,$$

where $[\gamma] \in \Sigma_r^+(\theta, w_0)$. Let

$$a_j = \min \left\{ i_{[\beta]} | [\beta] \in \Sigma_j \right\},$$

where the Σ_i are as in (2.9). The following is Proposition 3.2.1 of [44].

Proposition 2.3. *Let $(F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathbf{G})$ and assume π is obtained via parabolic induction from a generic represetation π_0 of $\mathbf{M}_{\theta_0}$*

$$\pi \hookrightarrow \mathrm{ind}_{P_{\theta_0}}^{M_\theta} \pi_0.$$

Then, with the notation of Langlands' lemma, we have

$$C_\psi(s\tilde{\alpha}, \pi, \tilde{w}_0) = \prod_j C_\psi(a_j s \tilde{\alpha}_j, \pi_j, \tilde{w}_j).$$

2.4. L-groups and the adjoint representation. Given our connected reductive quasi-split group $\mathbf{G}$ over a non-archimedean local field or a global function field, it is also a group over its separable algebraic closure. Let $\mathcal{W}$ be the corresponding Weil group. The pinning of the roots determines a based root datum $\Psi_0 = (X^*, \Delta, X_*, \Delta^\vee)$. The dual root datum $\Psi_0^\vee = (X_*, \Delta^\vee, X^*, \Delta)$ determines the Chevalley group ${}^L G^\circ$ over $\mathbb{C}$. Then the L-group of $\mathbf{G}$ is the semidirect product

$${}^L G = {}^L G^\circ \rtimes \mathcal{W},$$

with details given in [3]. The base root datum $\Psi^\vee$ fixes a borel subgroup ${}^L B$, and we have all standard parabolic subgroups of the form ${}^L P = {}^L P^\circ \rtimes \mathcal{W}$. The Levi subgroup of ${}^LP$ is of the form ${}^LM = {}^LM^\circ \rtimes \mathcal{W}$, while the unipotent radical is given by ${}^LN = {}^LN^\circ$.

Let $r : {}^LM \to \mathrm{End}({}^L\mathfrak{n})$ be the adjoint representation of ${}^LM$ on the Lie algebra ${}^L\mathfrak{n}$ of ${}^LN$. It decomposes into irreducible components

$$r = \oplus_{i=1}^{m_r} r_i.$$

The r_i's are ordered according to nilpotency class. More specifically, consider the Eigenspaces of ${}^LM^\circ$ given by

$${}^L\mathfrak{n}_i = \left\{ X_{\beta^\vee} \in {}^L\mathfrak{n} | \left\langle \tilde{\alpha}, \beta \right\rangle = i \right\},\ 1 \leq i \leq m_r.$$

Then each r_i is a representation of the complex vector space ${}^L\mathfrak{n}_i$.

2.5. Unramified principal series. Let $(F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathbf{G})$ be such that π has an Iwahori fixed vector. From Proposition 2.2, we can assume π is ψ_{w_0}-generic and w_0-compatible with ψ; the choice of Weyl group element representatives and Haar measures $\mathfrak{W}$ being fixed. With the multiplicativity of the local coefficient, we can proceed as in § 2 of [23] and § 3 of [45], and reduce to the problem to the rank one cases of § 1.3. We now proceed to state the main result.

For every root $\beta \in \Sigma_r(\emptyset, w_0)$, we have as in § 2.2 a corresponding rank one group $\mathbf{G}_\alpha$. Let $\Sigma_r(w_0, \mathrm{SL}_2)$ denote the set consisting of $\alpha \in \Sigma_r(\emptyset, w_0)$ such that $\mathbf{G}_\alpha$ is as in case (i) of Proposition 1.3. Similarly, let $\Sigma_r(w_0, \mathrm{SU}_3)$ consist of $\alpha \in \Sigma_r(\emptyset, w_0)$ such that $\mathbf{G}_\alpha$ is as in the corresponding case (ii). Let

$$\lambda(\psi, w_0) = \prod_{\alpha \in \Sigma_r(w_0, \mathrm{SL}_2)} \lambda(F'_\alpha/F, \psi) \prod_{\alpha \in \Sigma_r(w_0, \mathrm{SU}_3)} \lambda(E_\alpha/F, \psi)^2 \lambda(F'_\alpha/F, \psi)^{-1}$$

Partitioning each of the sets Σ_i of equation (2.9) arising in this setting further by setting $\Sigma_i = \Sigma_i(\mathrm{SL}_2) \cup \Sigma_i(\mathrm{SU}_3)$ we can define $\lambda_i(\psi, w_0)$ appropriately, so that

$$\lambda(\psi, w_0) = \prod_i \lambda_i(\psi, w_0).$$

Proposition 2.4. *Let $(F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathbf{G})$ be such that π has an Iwahori fixed vector. Then*

$$C_\psi(s, \pi, \tilde{w}_0) = \lambda(\psi, w_0)^{-1} \prod_{i=1}^{m_r} \gamma(is, \pi, r_i, \psi).$$

Let $\phi : \mathcal{W}'_F \to {}^LM$ be the parameter of the Weil-Deligne group corresponding to π. Then

$$\prod_{i=1}^{m_r} \gamma(is, \pi, r_i, \psi) = \prod_{i=1}^{m_r} \gamma(is, r_i \circ \phi, \psi),$$

where on the right hand side we have the Artin γ-factors defined by Delinge and Langlands [51].

3. A local to global result

Proposition 3.1 below is a generalization to quasi-split reductive groups of the local to global result of Henniart-Lomelí [20]. This is done in a way that is compatible with generic representations. Our result can be seen as a function field counterpart of Shahidi's number field Propostion 5.1 of [45], which is in turn a subtle refinement of a result of Henniart and Vignéras [17, 53].

3.1. For the remainder of the article k will denote a global function field with field of constants $\mathbb{F}_q$. Let $\mathbb{A}_k$ denote its ring of adèles. Given an algebraic group $\mathbf{H}$ and a place v of k, we write q_v for q_{k_v} and H_v instead of $\mathbf{H}(k_v)$. Similarly with $\mathcal{O}_v$ and ϖ_v.

We globally fix a maximal compact open subgroup $\mathcal{K} = \prod_v \mathcal{K}_v$ of $\mathbf{G}(\mathbb{A}_k)$, where the $\mathcal{K}_v$ range through a fixed set of maximal compact open subgroups of G_v. Each $\mathcal{K}_v$ is special and $\mathcal{K}_v$ is hyperspecial at almost every place. In addition, we can choose each $\mathcal{K}_v$ to be compatible with the decomposition

$$\mathcal{K}_v = (N_v^- \cap \mathcal{K}_v)(M_v \cap \mathcal{K}_v)(N_v \cap \mathcal{K}_v),$$

for every standard parabolic subgroup $\mathbf{P} = \mathbf{MN}$. The group $M \cap \mathcal{K}$ is a maximal compact open subgroup of $M = \mathbf{M}(\mathbb{A}_k)$. Furthermore

$$G = P\mathcal{K}.$$

Proposition 3.1. *Let π_0 be a supercuspidal unitary representation of $\mathbf{G}(F)$, where F is the completion of the global function field k at a place v_0. Then there exist a finite set of places S of k where $\mathbf{G}$ is unramified, containing v_0 and v_∞, together with a cuspidal automorphic representation $\pi = \otimes_v \pi_v$ of $\mathbf{G}(\mathbb{A}_k)$ satisfying the following properties:*

(i) $\pi_{v_0} \cong \pi_0$;
(ii) π_v *is unramified outside of* S;
(iii) π_v, $v \in S - \{v_0, v_\infty\}$, *is a constituent of an unramified principal series;*
(iv) π_{v_∞} *is a constituent of a tamely ramified principal series;*
(v) *if* π_0 *is generic, then* π *is globally generic.*

Proof. We construct a function $f = \otimes_v f_v$ on $\mathbf{G}(\mathbb{A})$ in such a way that the Poincaré series

$$\mathrm{P}f(g) = \sum_{\gamma \in \mathbf{G}(k)} f(\gamma g)$$

is a cuspidal automorphic function.

Let $\mathbf{Z}$ be the center of $\mathbf{G}$. Note that the central character ω_π is unitary. The set S can be assumed to contain v_0 and v_∞. It is possible to construct a global unitary character $\omega : \mathbf{Z}(k)\backslash\mathbf{Z}(\mathbb{A}_k) \to \mathbb{C}^\times$ such that: $\omega_{v_0} = \omega_\pi$, ω_{v_∞} is trivial on $(1 + \mathfrak{p}_\infty) \cap Z_{v_\infty}$; and, ω_v is trivial on $\mathcal{O}_v^\times \cap Z_v$ for every $v \notin \{v_0, v_\infty\}$.

To obtain the desired properties we will choose a large enough finite set of places S of k, containing v_0 and v_∞. Outside of S we consider the characteristic functions

$$f_v = \mathbb{1}_{\mathcal{K}_v}, \ v \notin S.$$

At the place v_0 of k, we let

$$f_{v_0}(g) = \langle \pi(g)x, y \rangle, \ x, y \in V_\pi,$$

be a matrix coefficient of π, where V_π is the space of π. The function f_{v_0} has compact support $\mathcal{C}_{v_0}$ modulo Z_{v_0}.

Let $S' = S - \{v_0, v_\infty\}$. At the places $v \in S'$, consider the Iwahori subgroup $\mathcal{I}_v$ of upper triangular matrices mod $\mathfrak{p}_v$ (thinking in terms of GL_n here). At the place at infinity, we let $\mathcal{I}_{v_\infty}^1$ be the pro-p Iwahori subgroup of unipotent lower triangular matrices mod $\mathfrak{p}_{v_\infty}$. Let

$$f_v = \mathbb{1}_{\mathcal{I}_v}, \ v \in S'$$

and

$$f_{v_\infty} = \mathbb{1}_{\mathcal{I}_{v_\infty}^1}.$$

Twist the global function $f = \otimes f_v$ by ω^{-1}, in order to be able to mod out by the center. Let $\mathbf{H} = \mathbf{G}/\mathbf{Z}$ and set

$$\mathcal{C} = \mathcal{C}_{v_0} \times \prod_{v \notin S} \mathcal{K}_v \times \prod_{v \in S'} \mathcal{I}_v \times \mathcal{I}^1_{v_\infty}.$$

The projection $\mathcal{C}'$ of $\mathcal{C}$ on $\mathbf{H}(\mathbb{A}_k)$ is compact. Hence, $\mathcal{C}' \cap \mathbf{H}(k)$ is finite. Because of this, there exists a constant h, such that the height $\|g\| \leq h$ bounding the entries of $g = (g_{ij}) \in \mathbf{H}(k) \cap \mathcal{C}'$. See § I.2.2 of [38] for the height functions $\|g\|$ and $\|g\|_v$ for elements of $\mathbf{G}(\mathbb{A}_k)$ and G_v, respectively. We then have that

$$g_{ij} \in \mathcal{O}_v, \text{ for } v \in S', \ i > j,$$

and the congruence relations

$$g_{ii} \equiv 1 \bmod \mathfrak{p}_v, \text{ for } v \in S';$$
$$g_{ij} \equiv 0 \bmod \mathfrak{p}_v, \text{ for } v \in S', \ i < j.$$

By choosing S' large enough, we can ensure that $\mathcal{C}' \cap \mathbf{H}(k) \in \mathbf{H}(\mathbb{F}_q)$. The condition at infinity further gives $\mathcal{C}' \cap \mathbf{H}(k) = \{I_n\}$. Now, incorporating the twist by ω, lift the function $f = \otimes f_v$ back to one of $\mathbf{G}(\mathbb{A}_k)$. We then have that

$$\mathrm{P}(f)(g) = f(g), \text{ for } g \in \mathcal{C}.$$

We can now proceed as in p. 4033 of [20] to conclude that $\mathrm{P}f$ belongs to the space $L^2_0(\mathbf{G}, \omega)$ of cuspidal automorphic functions on $\mathbf{G}(k)\backslash\mathbf{G}(\mathbb{A}_k)$ transforming via ω under $\mathbf{Z}(\mathbb{A}_k)$. The resulting cuspidal automorphic representation π of $\mathbf{G}(\mathbb{A}_k)$ is such that: $\pi_{v_0} \cong \pi_0$; π_v has a non-zero fixed vector under $\mathcal{I}_v$ for $v \in S'$; and, π_v has a non-zero fixed vector under $\mathcal{I}^1_{v_\infty}$. The last three paragraphs of the proof of Theorem 3.1 of [*loc. cit.*] are general and can be used to establish property (iii) of our theorem.

Let $\psi : k\backslash\mathbb{A}_k \to \mathbb{C}^\times$ with ψ_v be an additive character which is unramified at every place. We extend ψ to a character of $\mathbf{U}(k)\backslash\mathbf{U}(\mathbb{A}_k)$ via equation (1.1). If π is ψ_{v_0}-generic, we can proceed as in Theorem 2.2 of [53] to show that

$$W_\psi(f)(g) = \int_{\mathbf{U}(k)\backslash\mathbf{U}(\mathbb{A}_k)} f(ng)\overline{\psi}(n)\, dn \neq 0.$$

Hence, the Whittaker model is globalized in this construction.

□

Remark 3.2. *The above proposition admits a modification where property* (*iv*) *is replaced by: (iv)' π_{v_∞} is a level zero supercuspidal representation in the sense of Morris* [40]*. If we begin with a level zero supercuspidal π_0, the above globalization produces a cuspidal automorphic representation π such that π_v arises from an unramified principal series at every $v \neq v_0$.*

4. Partial L-functions and the local coefficient

The Langlands-Shahidi method studies L-functions arising from the adjoint representation $r : {}^LM \to \mathrm{End}({}^L\mathfrak{n})$. It decomposes into irreducible components r_i, $1 \leq i \leq m_r$, as in § 2.4. Locally, let $(F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p)$ be such that π has an

Iwahori fixed vector. Then π corresponds to a conjugacy class $\{A_\pi \rtimes \sigma\}$ in ${}^L M$, where A_π is a semisimple element of ${}^L M^\circ$. Then

$$L(s, \pi, r_i) = \frac{1}{\det(I - r_i(A_\pi \rtimes \sigma) q_F^{-s})},$$

for $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$ unramified, with the notation of § 1.4.

4.1. Global notation. Let $\mathscr{L}_{\rm glob}(p, \mathbf{M}, \mathbf{G})$ be the class of quadruples (k, π, ψ, S) consisting of: a global function field k, with $\mathrm{char}(k) = p$; a globally generic cuspidal automorphic representation $\pi = \otimes_v \pi_v$ of $\mathbf{M}(\mathbb{A}_k)$; a non-trivial character $\psi = \otimes_v \psi_v : k \backslash \mathbb{A}_k \to \mathbb{C}^\times$; and, a finite set of places S where k, π and ψ are unramified. We write $\mathscr{L}_{\rm glob}(p)$ when $\mathbf{M}$ and $\mathbf{G}$ are clear from context.

Given $(k, \pi, \psi, S) \in \mathscr{L}_{\rm glob}(p)$, we have partial L-functions

$$L^S(s, \pi, r_i) = \prod_{v \notin S} L(s, \pi_v, r_{i,v}).$$

They are absolutely convergent for $\Re(s) \gg 0$.

4.2. Fix $(k, \pi, \psi, S) \in \mathscr{L}_{\rm glob}(p)$. From the discussion of § 2.2, the character $\psi = \otimes_v \psi_v$, gives a self-dual Haar measure $d\mu_v$ at every place v of k. We let

$$d\mu = \prod_v d\mu_v.$$

Notice that $d\mu_v(\mathcal{O}_v) = d\mu_v^\times(\mathcal{O}_v^\times) = 1$ for all $v \notin S$. Representatives of Weyl group elements are chosen using Langlands' lemma for $\mathbf{G}(k)$. This globally fixes the system

$$\mathfrak{W} = \{\tilde{w}_\alpha, d\mu_\alpha\}_{\alpha \in \Delta}. \tag{4.1}$$

As in § 2.2, this fixes the Haar measure on $\mathbf{N}(\mathbb{A}_k)$.

We obtain a character of $\mathbf{U}(\mathbb{A}_k)$ via the surjection (1.1) and the fixed character ψ. Given an arbitrary global non-degenerate character χ of $\mathbf{N}(\mathbb{A}_k)$, we obtain a global character $\chi_{\tilde{w}_0}$ of $\mathbf{N}_M(\mathbb{A}_k) = \mathbf{M}(\mathbb{A}_k) \cap \mathbf{N}(\mathbb{A}_k)$ via (1.5) which is $\tilde{w}_0$-compatible with χ. We note that the discussion of Appendix A of [9] is valid also for the case of function fields. In particular, Lemma A.1 of [*loc. cit.*] combined with Proposition 5.4 of [46] give Proposition 4.1 below, which allows us to address the variance of the globally generic character.

Proposition 4.1. *Let $(k, \pi, \psi, S) \in \mathscr{L}_{\rm glob}(p)$. There exists a connected quasi-split reductive group $\widetilde{\mathbf{G}}$ defined over k, sharing the same derived group as $\mathbf{G}$, and with maximal torus $\widetilde{\mathbf{T}} = \mathbf{Z}_{\widetilde{\mathbf{G}}} \mathbf{T}$. Then, there exists an element $x \in \widetilde{T}$ such that the representation π_x, given by*

$$\pi_x(g) = \pi(x^{-1} g x)$$

is $\psi_{\tilde{w}_0}$-generic. Furthermore, we have equality of partial L-functions

$$L^S(s, \pi, r_i) = L^S(s, \pi_x, r_i).$$

4.3. The crude functional equation. We build upon the discussion of § 5 of [32], which is written for split groups.

Theorem 4.2. *Let* $(k, \pi, \psi, S) \in \mathscr{L}_{\mathrm{glob}}(p)$ *be* $\psi_{\tilde{w}_0}$*-generic. Then*

$$\prod_{i=1}^{m_r} L^S(is, \pi, r_i) = \prod_{v \in S} C_\psi(s, \pi_v, \tilde{w}_0) \prod_{i=1}^{m_r} L^S(1 - is, \tilde{\pi}, r_i).$$

Proof. Let π be a globally ψ-generic cuspidal representation of $M = \mathbf{M}(\mathbb{A}_k)$. The irreducible constituents of the globally induced representation of G given by the restricted direct product

$$\mathrm{I}(s, \pi) = \otimes' \mathrm{I}(s, \pi_v),$$

are automorphic representations $\Pi = \otimes' \Pi_v$ of G such that the representation π_v has $\mathcal{K}_v$-fixed vectors for almost all v. The restricted tensor product is taken with respect to functions $f^0_{v,s}$ that are fixed under the action of $\mathcal{K}_v$.

Since π is globally ψ-generic, by definition, there is a cusp form φ in the space of π such that

$$W_{M,\varphi}(m) = \int_{\mathbf{U}_M(K)\backslash \mathbf{U}_M(\mathbb{A}_k)} \varphi(um)\overline{\psi}(u)\, du \neq 0.$$

As in § 5 of [32], it is possible to extend φ to a cuspidal automorphic form $\tilde{\varphi}$ defined on $\mathbf{U}(\mathbb{A}_k)\mathbf{M}(K)\backslash \mathbf{G}(\mathbb{A}_k)$, and define a function Φ_s through $\tilde{\varphi}$ in such a way that the Eisenstein series

$$E(s, \Phi_s, g) = \sum_{\gamma \in \mathbf{P}(k)\backslash \mathbf{G}(k)} \Phi_s(\gamma g)$$

satisfies

$$E_\psi(s, \Phi_s, g, P) = \prod_v \lambda_{\psi_v}(s, \pi_v)(\mathrm{I}(s, \pi_v)(g_v) f_{s,v}), \tag{4.2}$$

with $f_s \in \mathrm{V}(s, \pi)$. Here $E_\psi(s, \Phi_s, g)$ denotes the Fourier coefficient

$$E_\psi(s, \Phi_s, g) = \int_{\mathbf{U}(K)\backslash \mathbf{U}(\mathbb{A}_k)} E(s, \Phi_s, ug)\overline{\psi}(u)\, du.$$

The global intertwining operator $\mathrm{M}(s, \pi)$ is defined by

$$\mathrm{M}(s, \pi, \tilde{w}_0) f(g) = \int_{\mathbf{N}'(\mathbb{A}_k)} f(\tilde{w}_0^{-1} n g)\, dn,$$

where $f \in \mathrm{V}(s, \pi)$ and $\mathbf{N}'$ is the unipotent radical of the standard parabolic $\mathbf{P}'$ with Levi $\mathbf{M}' = w_0 \mathbf{M} w_0^{-1}$. It is the product of local intertwining operators

$$\mathrm{M}(s, \pi, \tilde{w}_0) = \prod_v \mathrm{A}(s, \pi_v, \tilde{w}_0).$$

It is a meromorphic operator, which is rational on q^{-s} (Proposition IV.1.12 of [38]).

We set $s' = s\tilde{w}_0(\tilde{\alpha})$ globally as well as locally, and we use the conventions of Remark 1.2. Now equation (4.2) gives

$$\begin{aligned} &E_\psi(s', \mathrm{M}(s, \pi.\tilde{w}_0)\Phi_s, g, P') \\ &\quad = \prod_v \lambda_{\psi_v}(s', w_0(\pi_v))(\mathrm{I}(s', \pi'_v)(g_v)\mathrm{A}(s, \pi_v, \tilde{w}_0) f_{s,v}). \end{aligned}$$

It is known that the above Eisenstein series, and its Fourier coefficients, are rational functions on q^{-s}. The argument for proving this fact is due to Harder [15].

Fourier coefficients of Eisenstein series satisfy the functional equation:

$$E_\psi(s', \mathrm{M}(s, \pi, \tilde{w}_0)\Phi_s, g, P') = E_\psi(s, \Phi_s, g, P).$$

And, equation (4.2) gives

$$E_\psi(s, \Phi_s, e, P) = \prod_v \lambda_{\psi_v}(s, \pi_v) f_{s,v}$$

$$E_\psi(s', \mathrm{M}(s, \pi, \tilde{w}_0)\Phi_s, e, P') = \prod_v \lambda_{\psi_v}(s', \pi'_v)\mathrm{A}(s, \pi_v, \tilde{w}_0) f_{s,v}.$$

Then, the Casselman-Shalika formula for unramified quasi-split groups, Theorem 5.4 of [6], allows one to compute the Whittaker functional when π_v is unramified:

$$\lambda_{\psi_v}(s, \pi_v) f^0_{s,v} = \prod_{i=1}^{m_r} L(1 + is, \pi_v, r_{i,v})^{-1} f^0_{s,v}(e_v).$$

Also, for $v \notin S$, the intertwining operator gives a function $\mathrm{A}(s, \pi_v, w_0) f^0_{s,v} \in \mathrm{I}(-s, w_0(\pi_v))$ satisfying

$$\mathrm{A}(s, \pi_v, \tilde{w}_0) f^0_{s,v}(e_v) = \prod_{i=1}^{m_r} \frac{L(is, \pi_v, r_{i,v})}{L(1 + is, \pi_v, r_{i,v})} f^0_{s,v}(e_v).$$

This equation is established by means of the multiplicative property of the intertwining operator, which reduces the problem to semisimple rank one cases.

Finally, combining the last five equations together gives

$$\prod_{i=1}^{m_r} L^S(is, \pi, r_i) = \prod_{v \in S} \frac{\lambda_{\psi_v}(s, \pi_v) f_{s,v}}{\lambda_{\psi_v}(s', \pi'_v)\mathrm{A}(s, \pi_v, \tilde{w}_0) f_{s,v}} \prod_{i=1}^{m_r} L^S(1 - is, \tilde{\pi}, r_i).$$

For every $v \in S$, equation (1.7) gives a local coefficient. Thus, we obtain the crude functional equation. □

The following useful corollary is a direct consequence of the proof of the theorem. It provides the connection between Eisenstein series and partial L-functions for globally generic representations.

Corollary 4.3. *Let* $(k, \pi, \psi, S) \in \mathscr{L}_{\mathrm{glob}}(p)$*, then*

$$E_\psi(s, \Phi, g, \mathbf{P}) = \prod_{v \in S} \lambda_{\psi_v}(s, \pi_v) \left(\mathrm{I}(s, \pi_v)(g_v) f_{s,v}\right) \prod_{i=1}^{m_r} L^S(1 + is, \pi, r_i)^{-1}.$$

5. Langlands-Shahidi method over function fields

We now come to the main theorem of the Langlands-Shahidi method over function fields. The corresponding result over number fields can be found in Theorem 3.5 of [45].

Theorem 5.1. *Let* $\mathbf{G}$ *be a connected quasi-split reductive group and* $\mathbf{M} = \mathbf{M}_\theta$ *a maximal Levi subgroup. Let* $r = \oplus r_i$ *be the adjoint action of* ${}^L M$ *on* ${}^L\mathfrak{n}$*. There exists a system of rational* γ*-factors, L-functions and* ε*-factors on* $\mathscr{L}_{\mathrm{loc}}(p)$*. They are uniquely determined by the following properties:*

(i) (Naturality). *Let* $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$. *Let* $\eta : F' \to F$ *be an isomorphism of non-archimedean local fields and let* $(F', \pi', \psi') \in \mathscr{L}_{loc}(p)$ *be the triple obtained via* η. *Then*

$$\gamma(s, \pi, r_i, \psi) = \gamma(s, \pi', r_i, \psi').$$

(ii) (Isomorphism). *Let* $(F, \pi_j, \psi) \in \mathscr{L}_{\rm loc}(p)$, $j = 1, 2$. *If* $\pi_1 \cong \pi_2$, *then*

$$\gamma(s, \pi_1, r_i, \psi) = \gamma(s, \pi_2, r_i, \psi).$$

(iii) (Compatibility with Artin factors). *Let* $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$ *be such that* π *has an Iwahori fixed vector. Let* $\sigma : \mathcal{W}'_F \to {}^L M$ *be the Langlands parameter corresponding to* π. *Then*

$$\gamma(s, \pi, r_i, \psi) = \gamma(s, r_i \circ \sigma, \psi).$$

(iv) (Multiplicativity). *Let* $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p, \mathbf{M}, \mathbf{G})$*be such that*

$$\pi \hookrightarrow {\rm ind}_{P_{\theta_0}}^M (\pi_0),$$

where π_0 *is a generic representation of* M_{θ_0}, *with* $\theta_0 \subset \theta$. *Suppose* $(F, \pi_j, \psi) \in \mathscr{L}_{\rm loc}(p, \mathbf{M}_j, \mathbf{G}_j)$, *where the* π_j *are those of Proposition 2.3. With* Σ_i *as in* (2.9) *we have*

$$\gamma(s, \pi, r_i, \psi) = \prod_{j \in \Sigma_i} \gamma(s, \pi_j, r_{i,j}, \psi).$$

(v) (Dependence on ψ). *Let* $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$. *For* $a \in F^\times$, *let* $\psi^a : F \to \mathbb{C}^\times$ *be the character given by* $\psi^a(x) = \psi(ax)$. *Then, there is an* h_i *such that*

$$\gamma(s, \pi, r_i, \psi^a) = \omega_\pi(a)^{h_i} \, |a|_F^{n_i(s - \frac{1}{2})} \cdot \gamma(s, \pi, r_i, \psi),$$

where $n_i = \dim {}^L \mathfrak{n}_i$.

(vi) (Functional equation). *Let* $(k, \pi, \psi, S) \in \mathscr{L}_{\rm glob}(p)$. *Then*

$$L^S(s, \pi, r_i) = \prod_{v \in S} \gamma(s, \pi, r_i, \psi) L^S(s, \tilde{\pi}, r_i).$$

(vii) (Tempered L-functions). *For* $(F, \pi, \psi) \in \mathscr{L}_{loc}(p)$ *tempered, let* $P_{\pi, r_i}(t)$ *be the unique polynomial with* $P_{\pi, r_i}(0) = 1$ *and such that* $P_{\pi, r_i}(q_F^{-s})$ *is the numerator of* $\gamma(s, \pi, r_i, \psi)$. *Then*

$$L(s, \pi, r_i) = \frac{1}{P_{\pi, r_i}(q_F^{-s})}$$

is holomorphic and non-zero for $\Re(s) > 0$.

(viii) (Tempered ε-factors). *Let* $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$ *be tempered, then*

$$\varepsilon(s, \pi, r_i, \psi) = \gamma(s, \pi, r_i, \psi) \frac{L(s, \pi, r_i)}{L(1 - s, \tilde{\pi}, r_i)}$$

is a monomial in q_F^{-s}.

(ix) (Twists by unramified characters). *Let* $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$, *then*

$$L(s + s_0, \pi, r_i) = L(s, q_F^{\langle s_0 \tilde{\alpha}, H_\theta(\cdot) \rangle} \otimes \pi, r_i),$$
$$\varepsilon(s + s_0, \pi, r_i, \psi) = \varepsilon(s, q_F^{\langle s_0 \tilde{\alpha}, H_\theta(\cdot) \rangle} \otimes \pi, r_i, \psi).$$

(x) (Langlands' classification). *Let* $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p, \mathbf{M}, \mathbf{G})$. *Let* π_0 *be a tempered generic representation of* $M_0 = M_{\theta_0}$ *and* χ *a character of* $A_0 = A_{\theta_0}$ *which is in the Langlands' situation. Suppose* π *is the Langlands' quotient of the representation*

$$\xi = {\rm Ind}(\pi_{0,\chi}),$$

with $\pi_{0,\chi} = \pi_0 \cdot \chi$. *Suppose* $(F, \pi_j, \psi) \in \mathscr{L}_{\rm loc}(p, \mathbf{M}_j, \mathbf{G}_j)$ *are quasi-tempered, where the* π_j *are obtained via Langlands' lemma and equation* (2.9). *Then*

$$L(s, \pi, r_i) = \prod_{j \in \Sigma_i} L(s, \pi_j, r_{i,j}),$$

$$\varepsilon(s, \pi, r_i, \psi) = \prod_{j \in \Sigma_i} \varepsilon(s, \pi_j, r_{i,j}, \psi).$$

5.1. Local properties of Langlands-Shahidi L-functions. Thanks to Shahidi [45], the next two results are consequences of our theory of L-functions on $\mathscr{L}_{\rm loc}(p)$.

Proposition 5.2. *Let* $(F, \pi, \psi) \in \mathscr{L}(p)$ *be supercuspidal. If* $i > 2$, *then* $L(s, \pi, r_i) = 1$. *Also, the following are equivalent:*

(i) *The product* $L(s, \pi, r_1)L(2s, \pi, r_2)$ *has a pole at* $s = 0$.
(ii) *For one and only one* $i = 1$ *or* $i = 2$, *the* L*-function* $L(s, \pi, r_i)$ *has a pole at* $s = 0$.
(iii) *The representation* ${\rm Ind}(\pi)$ *is irreducible and* $w_0(\pi) \cong \pi$.

Theorem 5.3. *Let* $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$ *be unitary supercuspidal. With the equivalent conditions of the previous proposition, choose* $i = 1$ *or* $i = 2$, *to be such that* $L(s, \pi, r_i)$ *has a pole at* $s = 0$, *then*

(i) *For* $0 < s < 1/i$, *the representation* ${\rm I}(s, \pi)$ *is irreducible and in the complementary series.*
(ii) *The representation* ${\rm I}(1/i, \pi)$ *is reducible with a unique generic subrepresentation which is in the discrete series. Its Langlands quotient is never generic. It is a pre-unitary non-tempered representation.*
(iii) *For* $s > 1/i$, *the representations* ${\rm I}(s, \pi)$ *are always irreducible and never in the complimentary series.*

If $w_0(\pi) \cong \pi$ *and* ${\rm I}(\pi)$ *is reducible, then no* ${\rm I}(s, \pi)$, $s > 0$, *is pre-unitary; they are all irreducible.*

5.2. Proof of Theorem 5.1. The crude functional equation of Theorem 4.2, together with Proposition 2.4, points us towards the definition of γ-factors. Indeed, let $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$ be such that π is ψ_{w_0}-generic. Then we recursively define γ-factors via the equation

$$C_\psi(s, \pi, \tilde{w}_0) = \prod_{i=1}^{m_r} \lambda_i(\psi, w_0)^{-1} \gamma(is, \pi, r_i, \psi) \tag{5.1}$$

and Proposition 5.4 below. For arbitrary $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$, they are defined with the aid of Proposition 2.2. From Theorem 2.1 of [32] and Theorem 2.13 of [35], it follows that $\gamma(s, \pi, r_i, \psi) \in \mathbb{C}(q_F^{-s})$.

The next result is present in Arthur's work, using endoscopy groups, in addition to Shahidi [45]. In particular, the discussion in § 4 of [*loc. cit.*] can be adapted to our situation. We here present a straight forward proof of the induction step.

Proposition 5.4. *Let* $(\mathbf{M}, \mathbf{G})$ *be a pair consisting of a connected quasi-split reductive group and* $\mathbf{M} = \mathbf{M}_\theta$ *a maximal Levi subgroup. Let* $r = \oplus_{i=1}^{m_r} r_i$ *be the adjoint action of* ${}^L M$ *on* ${}^L\mathfrak{n}$*. For each* $i > 1$*, there exists a pair* $(\mathbf{M}_i, \mathbf{G}_i)$ *such that the corresponding adjoint action of* ${}^L M_i$ *on* ${}^L\mathfrak{n}_i$ *decomposes as*

$$r' = \bigoplus_{j=1}^{m_r'} r_j' \text{ with } m_r' < m_r,$$

and $r_i = r_1'$*.*

Proof. To construct the pair $(\mathbf{M}_i, \mathbf{G}_i)$, we begin by taking $\mathbf{M}_i = \mathbf{M}$. For the group $\mathbf{G}_i$, we look at the unipotent subgroup

$$\mathbf{N}_{w_0}' = \prod_{l \in \Sigma'} \mathbf{N}_{0,l},$$

obtained from a subproduct of equaiton (2.8), where Σ' is the resulting indexing set. Each one unipotent group $\mathbf{N}_{0,l}$ corresponds to a block set of roots $[\beta] \in \Sigma_r^+(\theta, w_0)$. We take only groups $\mathbf{N}_{0,l}$ in the product corresponding to a $[\beta] \in \Sigma_r^+(\theta, w_0)$ such that

$$\langle \tilde{\alpha}, \beta \rangle \geq i, \ \beta \in [\beta].$$

We then set

$$\mathbf{N}_i = w_0^{-1} \mathbf{N}_{w_0} w_0.$$

For the group $\mathbf{G}_i$ we take the reductive group generated by $\mathbf{M}_i$, $\mathbf{N}_i$ and $\mathbf{N}_i^-$. The pair $(\mathbf{M}_i, \mathbf{G}_i)$ has

$$r' = \bigoplus_{j=i}^{m_r} r_j'.$$

After rearranging, we obtain the form of the proposition. □

With the above definition of γ-factors based on equation (5.1), Properties (i) and (ii) can be readily verified. The inductive argument on the adjoint action together with Proposition 2.4 give Property (iii). Multiplicativity of the local coefficient, Proposition 2.3, leads towards Property (iv).

For Property (v), given the two characters ψ and ψ^a, $a \in F^\times$, we use Proposition 2.2 to examine the variation of π from being $\psi_{\tilde{w}_0}^a$-generic to π_x which is $\psi_{\tilde{w}_0}$-generic for a suitable x. For this we go through the discussion following Lemma 3.1 of [45] to obtain $x \in \mathbf{T}(F_s)$ as in equation (2.4) and such that $d \in Z_M$. In fact, d is identified with a power of a. In this case we have

$$C_\psi(s, \pi, \tilde{w}_0) = a_x(\psi^a, \mathfrak{W}) C_{\psi^a}(s, \pi_x, \tilde{w}_0),$$

where $a_x(\psi^a, \mathfrak{W}) = \omega_\pi(a)^h \left\| a \right\|_F^{n(s-\frac{1}{2})}$. The recursive definition of γ-factors, allows us to obtain integers h_i and n_i for each $1 \leq i \leq m_r$. Holomorphy of tempered L-functions is proved in [16], the discussion there is valid in characteristic p.

Furthermore, we obtain individual functional equations for each of the γ-factors. Namely, this reasoning proves Property (vi) for $(k, \pi, \psi, S) \in \mathscr{L}_{\mathrm{glob}}(p)$ with $\psi_{\tilde{w}_0}$-generic π. Note we define γ-factors in a way that they are compatible with the functional equation for a χ-generic π with the help of Propositions 2.2 and 4.1.

Before continuing, we record the following important property of γ-factors:

(xi) (Local functional equation) *Let* $(F, \pi_0, \psi_0) \in \mathscr{L}_{\rm loc}(p)$, *then*

$$\gamma(s, \pi_0, r_i, \psi_0)\gamma(1 - s, \tilde{\pi}_0, r_i, \overline{\psi}_0) = 1.$$

To prove this property, we start with a local triple $(F, \pi_0, \psi_0) \in \mathscr{L}_{\rm loc}(p)$. Proposition 4.1 allows us to globalize π_0 into a globally generic representation $\pi = \otimes_v \pi_v$, with $\pi_{v_0} \cong \pi_0$ and π_v unramified outside a finite set of places S of k. We take a global character $\psi : k\backslash\mathbb{A}_k \to \mathbb{C}^\times$. The character ψ_0, using Property (v) if necessary, can be assumed to be ψ_{v_0}. Applying Property (vi) twice to $(k, \pi, \psi, S) \in \mathscr{L}_{\rm glob}(p)$, we obtain

$$\prod_{v \in S} \gamma(s, \pi_v, r_{i,v}, \psi_v)\gamma(1 - s, \tilde{\pi}_v, r_{i,v}, \overline{\psi}_v) = 1. \tag{5.2}$$

For each $v \in S - \{v_0, v_\infty\}$, the representation π_v is unramified and we have the local functional equation for the corresponding Artin γ-factors. At the place v_∞, we still obtain a generic constituent of a tamely ramified principal series

$$\pi_{v_\infty} \hookrightarrow {\rm Ind}(\chi_\infty),$$

with χ_∞ a tamely ramified character of $\mathbf{T}(k_{v_\infty})$. Property (iv) gives

$$\gamma(s, \pi_{v_\infty}, r_{i,v}, \psi_{v_\infty}) = \prod_{j \in \Sigma_i} \gamma(s, \pi_{j,v_\infty}, r_{i,j,v_\infty}, \psi_{v_\infty}). \tag{5.3}$$

Each γ-factor on the right hand side of the product is then obtained from (i) or (ii) of Proposition 1.3. The resulting abelian γ-factors are known to satisfy a functional equation as in Tate's thesis. Hence, we also have the local functional equation for v_∞. From the product of (5.2) we can thus conclude Property (xi) at the place v_0 as desired.

Property (viii), sates the relation connecting Langlands-Shahidi local factors. We show that ε-factors are well defined for tempered representations. Let $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$ be tempered. Let $P_{\pi,r_i}(z)$ and $P_{\tilde{\pi},r_i}(z)$ the polynomials of Property (vii) with $z = q_F^{-s}$ and write

$$\gamma(s, \pi, r_i, \psi) = e_{1,\psi}(z)\frac{P_{\pi,r_i}(z)}{Q_{\pi,r_i}(z)} \text{ and } \gamma(s, \tilde{\pi}, r_i, \psi) = e_{2,\psi}(z)\frac{P_{\tilde{\pi},r_i}(z)}{Q_{\tilde{\pi},r_i}(z)},$$

where $e_{1,\psi}(z)$ and $e_{2,\psi}(z)$ are monomials in z. From Property (xi), we have

$$Q_{\pi,r_i}(z)Q_{\tilde{\pi},r_i}(q_F^{-1}z^{-1}) = e_{1,\psi}(z)e_{2,\overline{\psi}}(q_F^{-1}z^{-1})P_{\pi,r_i}(z)P_{\tilde{\pi},r_i}(q_F^{-1}z^{-1}).$$

Property (viii) implies that the Laurent polynomials $P_{\pi,r_i}(z)$ and $P_{\tilde{\pi},r_i}(q_F z^{-1})$ have no zeros on $\Re(s) < 0$ and $\Re(s) > 1$, respectively. Then, up to a monomial in $z^{\pm 1}$, we have $L(s, \pi, r_i) = Q_{\tilde{\pi},r_i}(q_F z^{-1})^{-1}$ and $L(1 - s, \tilde{\pi}, r_i) = Q_{\pi,r_i}(z)^{-1}$. Hence, $\varepsilon(s, \pi, r_i, \psi)$ is a monomial in q_F^{-s}.

Property (ix) follows from the definitions for $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$ tempered, and the fact that

$$\mathrm{I}(s + s_0, \pi) = {\rm ind}_{P_\theta}^G(q_F^{\langle s\tilde{\alpha} + s_0\tilde{\alpha}, H_M(\cdot)\rangle} \otimes \pi).$$

To proceed to the general $(F, \pi, \psi) \in \mathscr{L}_{\rm loc}(p)$, we use Langlands' classification. More precisely, π is a representation of M_θ and we let $\theta_0 \subset \theta$. Let π_0 be a tempered generic representation of $M_0 = M_{\theta_0}$ and χ a character of $A_0 = A_{\theta_0}$ which is in the Langlands' situation [4, 48]. Then π is the Langlands' quotient of the representation

$$\xi = {\rm Ind}(\pi_{0,\chi}),$$

with $\pi_{0,\chi} = \pi_0 \cdot \chi$.

From Proposition 2.3 we obtain $(F, \pi_j, \psi) \in \mathscr{L}_{\rm loc}(p, \mathbf{M}_j, \mathbf{G}_j)$. Each π_j is quasi-tempered. Property (ix) allows us to define $L(s, \pi_j, r_{i,j})$ and $\varepsilon(s, \pi_j, r_{i,j}, \psi)$. We then let

$$L(s, \pi, r_i) = \prod_{j \in \Sigma_i} L(s, \pi_j, r_{i,j}),$$
$$\varepsilon(s, \pi, r_i, \psi) = \prod_{j \in \Sigma_i} \varepsilon(s, \pi_j, r_{i,j}, \psi)$$

be the definition of L-functions and root numbers. This concludes the existence part of Theorem 5.1.

For uniqueness, we start with a local triple $(F, \pi_0, \psi_0) \in \mathscr{L}_{\rm loc}(p)$. We globalize π_0 into a globally generic representation $\pi = \otimes_v \pi_v$ via Proposition 4.1. We have a global character $\psi = \otimes \psi_v$, where by Property (v) if necessary, we can assume $\psi_{v_0} = \psi_0$. Notice that partial L-functions are uniquely determined. Hence, the functional equations gives a uniquely determined product

$$\prod_{v \in S} \gamma(s, \pi_v, r_{i,v}, \psi_v).$$

For each $v \in S - \{v_0, v_\infty\}$ we can use Proposition 2.4. At v_∞ equation (5.3) above reduces $\gamma(s, \pi_{v_\infty}, r_{i,v_\infty}, \psi_{v_\infty})$ to a product of uniquely determined abelian γ-factors. Tempered L-functions and ε-factors are uniquely determined by Properties (vii) and (viii). Then in general by Properties (ix) and (x). □

5.3. Functional equation. Given $(k, \pi, \psi, S) \in \mathscr{L}_{\rm glob}(p)$, we define

$$L(s, \pi, r_i) = \prod_v L(s, \pi_v, r_{i,v}) \text{ and } \varepsilon(s, \pi, r_i) = \prod_v \varepsilon(s, \pi_v, r_{i,v}, \psi_v).$$

The global functional equation for Langlands-Shahidi L-functions is now a direct consequence of the existence of a system of γ-factors, L-functions and ε-factors together with Property (vi) of Theorem 5.1.

(xii) (Functional equation) *Let* $(k, \pi, \psi, S) \in \mathscr{L}_{\rm glob}(p)$, *then*

$$L(s, \pi, r_i) = \varepsilon(s, \pi, r_i) L(1 - s, \tilde{\pi}, r_i).$$

6. The quasi-split unitary groups and the Langlands-Shahidi method

We study generic L-functions $L(s, \pi \times \tau)$ in the case of a representation π of a unitary group and τ of a general linear group. For this, we go through the induction step of the Langlands-Shahidi method, which gives the case of Asai L-functions [19]. The case of $L(s, \pi \times \tau)$ for representations of two unitary groups will be established in §§ 7-10.

6.1. Definitions. Let K be a degree-2 finite étale algebra over a field k with non-trivial involution θ. We write $\bar{x} = \theta(x)$, for $x \in K$, and extend conjugation to elements $g = (g_{i,j})$ of $\mathrm{GL}_n(K)$, i.e., $\bar{g} = (\bar{g}_{i,j})$. We fix the following hermitian forms:

$$h_{2n+1}(x, y) = \sum_{i=1}^{2n} \bar{x}_i y_{2n+2-i} - \bar{x}_{n+1} y_{n+1}, \quad x, y \in K^{2n+1},$$
$$h_{2n}(x, y) = \sum_{i=1}^{n} \bar{x}_i y_{2n+1-i} - \sum_{i=1}^{n} \bar{x}_{2n+1-i} y_i, \quad x, y \in K^{2n}.$$

Let $N = 2n+1$ or $2n$. We then have odd or even quasi-split unitary groups of rank n whose group of k-rational points is given by

$$\mathrm{U}_N(k) = \{g \in \mathrm{GL}_N(K) \,|\, h_N(gx, gy) = h_N(x, y)\}.$$

These conventions for odd and even unitary groups U_{2n+1} and U_{2n} are in accordance with those made in [11, 19, 34].

In particular, we have the two main cases to which every degree-2 finite étale algebra is isomorphic: if K is the separable algebra $k \times k$, we have $\theta(x) = \theta(x_1, x_2) = (x_2, x_1) = \bar{x}$ and $\mathrm{N}_{K/k}(x_1, x_2) = x_1 x_2$; and, if K/k is a separable quadratic extension, we have $\mathrm{Gal}(K/k) = \{1, \theta\}$ and $\mathrm{N}_{K/k}(x) = x\bar{x}$. Notice that

$$\mathrm{U}_1(k) = K^1 = \ker(\mathrm{N}_{K/k}),$$

where in the separable algebra case we embed $k \hookrightarrow K$ via $k = \{(x_1, x_2) \in K | x_1 = x_2\}$ and $k^\times \hookrightarrow K^\times$ via $k^\times = \left\{(x_1, x_2) \in K | x_1 = x_2^{-1}\right\} = \mathrm{U}_1(k)$. In these two cases we have that Hilbert's theorem 90 gives us a continuous surjection

$$\mathfrak{h} : K^\times \twoheadrightarrow K^1, \ x \mapsto x\bar{x}^{-1}. \tag{6.1}$$

Throughout this article we let $\mathbf{G}_n$ be either restriction of scalars of a general linear group or a quasi-split unitary group of rank n. We think of $\mathbf{G}_n$ as a functor taking degree-2 finite étale algebras with involution, K over k, to either $\mathrm{Res}_{K/k}\mathrm{GL}_n$ or a unitary group U_{2n+1}, U_{2n} defined over k.

Notice that in the case of the separable algebra $K = k \times k$, we have

$$\mathrm{U}_N(k) \cong \mathrm{GL}_N(k) \text{ and } \mathrm{Res}_{K/k}\mathrm{GL}_N(k) \cong \mathrm{GL}_N(k) \times \mathrm{GL}_N(k).$$

6.2. L-groups. Let K/k be a separable quadratic extension of global function fields. Let $\mathbf{G}_n$ be a unitary group of rank n. Let $N = 2n+1$ or $2n$, according to the unitary group being odd or even. Then, the L-group of $\mathbf{G}_n = \mathrm{U}_N$ has connected component ${}^L G_n^\circ = \mathrm{GL}_N(\mathbb{C})$. The L-group itself is given by the semidirect product

$${}^L G_n = \mathrm{GL}_N(\mathbb{C}) \rtimes \mathcal{W}_k.$$

To describe the action of the Weil group, let Φ_n be the $n \times n$ matrix with ij-entries $(\delta_{i,n-j+1})$. If $N = 2n+1$, we let

$$J_N = \begin{pmatrix} & & \Phi_n \\ & 1 & \\ -\Phi_n & & \end{pmatrix},$$

and, if $N = 2n$, we let

$$J_N = \begin{pmatrix} & \Phi_n \\ -\Phi_n & \end{pmatrix}.$$

Then, the Weil group $\mathcal{W}_k$ acts on ${}^L G_n$ through the quotient $\mathcal{W}_k/\mathcal{W}_K \cong \mathrm{Gal}(K/k) = \{1, \theta\}$ via the outer automorphism

$$\theta(g) = J_N^{-1} {}^t g^{-1} J_N.$$

The Langlands Base Change lift that we will obtain is from the unitary groups to the restriction of scalars group $\mathbf{H}_N = \mathrm{Res}_{K/k}\mathrm{GL}_N$. Its corresponding L-group is given by

$${}^L H_N = \mathrm{GL}_N(\mathbb{C}) \times \mathrm{GL}_N(\mathbb{C}) \rtimes \mathcal{W}_k,$$

where the Weil group $\mathcal{W}_k$ acts on $\mathrm{GL}_N(\mathbb{C}) \times \mathrm{GL}_N(\mathbb{C})$ through the quotient $\mathcal{W}_k/\mathcal{W}_K \cong \mathrm{Gal}(K/k) = \{1, \theta\}$ via

$$\theta(g_1 \times g_2) = g_2 \times g_1.$$

6.3. Asai L-functions (even case). The induction step in the Langlands-Shahidi method for the unitary groups can be seen in the when $\mathbf{M}$ is a Siegel Levi subgroup. The even case, when $(E/F, \tau, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathrm{U}_{2n})$, is thoroughly studied in [19, 34].

Assume first that E/F is a quadratic extension of non-archimedean local fields. In this case, τ is a representation of $M \cong \mathrm{GL}_n(E)$ and the adjoint representation r of ${}^L M$ on ${}^L\mathfrak{n}$ is irreducible. More precisely, let $r_{\mathcal{A}}$ be the Asai representation

$$r_{\mathcal{A}} : {}^L\mathrm{Res}_{E/F}\mathrm{GL}_n \to \mathrm{GL}_{n^2}(\mathbb{C}),$$

given by

$$r_{\mathcal{A}}(x, y, 1) = x \otimes y, \text{ and } r_{\mathcal{A}}(x, y, \theta) = y \otimes x.$$

We thus have for $(E/F, \tau, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathrm{U}_{2n})$, that Theorem 5.1 gives

$$\gamma(s, \tau, r, \psi) = \gamma(s, \tau, r_{\mathcal{A}}, \psi).$$

And, similarly we have Asai L-functions $L(s, \pi, r_{\mathcal{A}})$ and root numbers $\varepsilon(s, \pi, r_{\mathcal{A}}, \psi)$.

Now, assume E is the degree-2 finite étale algebra $F \times F$, we have for $(E/F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathrm{U}_{2n})$ that $\pi = \pi_1 \otimes \pi_2$ is a representation of $M = \mathrm{GL}_n(F) \times \mathrm{GL}_n(F)$. Then, Proposition 4.5 of [34], together with a local-to-global argument, gives that

$$\gamma(s, \pi, r_{\mathcal{A}}, \psi) = \gamma(s, \pi_1 \times \pi_2, \psi),$$

a Rankin-Selberg γ-factor. And, similarly for the corresponding L-functions and root numbers.

Asai local factors obtained via the Langlands-Shahidi method are indeed the correct ones. Theorem 3.1 of [19] establishes their compatibility with the local Langlands correspondence [31]:

Theorem 6.1 (Henniart-Lomelí). *Let $(E/F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathrm{U}_{2n})$, with E/F a quadratic extension of non-archimedean local fields. Let σ be the Weil-Deligne representation of $\mathcal{W}_E$ corresponding to π via the local Langlands correspondence. Then*

$$\gamma(s, \pi, r_{\mathcal{A}}, \psi) = \gamma_F^{\mathrm{Gal}}(s, {}^{\otimes}\mathrm{I}(\sigma), \psi).$$

Here, ${}^{\otimes}\mathrm{I}(\sigma)$ denotes the representation of $\mathcal{W}_F$ obtained from σ via tensor induction and the Galois γ-factors on the right hand side are those of Deligne and Langlands. Local L-functions and root numbers satisfy

$$L(s, \pi, r_{\mathcal{A}}) = L(s, {}^{\otimes}\mathrm{I}(\sigma)),$$
$$\varepsilon(s, \pi, r_{\mathcal{A}}, \psi) = \varepsilon(s, {}^{\otimes}\mathrm{I}(\sigma), \psi).$$

Remark 6.2. *Since we are in the case of* GL_n*, the results of this section hold when π is a smooth representation, and not just generic* [19]*. Furthermore, the Rankin-Selberg products of* GL_m *and* GL_n *that appear in this article arise in the context of the Langlands-Shahidi method in positive characteristic. These are equivalent to those obtained via the integral representation of* [21] *(see* [20]*).*

6.4. Asai L-functions (odd case). The case $(E/F, \pi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathrm{U}_{2n+1})$, with E/F a quadratic extension of non-archimedean local fields, has $M \cong \mathrm{GL}_n(E) \times E^1$ and $r = r_1 \oplus r_2$. In this case π is of the form $\tau \otimes \nu$, where ν is a character of

E^1, and we extend ν to a smooth representation of $\mathrm{GL}_1(E)$ via Hilbert's theorem 90. Then, from Theorem 5.1, we have

$$\gamma(s,\pi,r_1,\psi) = \gamma(s,\tau\times\nu,\psi_E),$$
$$\gamma(s,\pi,r_2,\psi) = \gamma(s,\tau\otimes\eta_{E/F},r_{\mathcal{A}},\psi).$$

Where the former γ-factor is a Rankin-Selber product of $\mathrm{GL}_n(E)$ and $\mathrm{GL}_1(E)$, while the latter is a twisted Asai γ-factor. And, similarly for the local L-functions $L(s,\pi,r_i)$ and root numbers $\varepsilon(s,\pi,r_i,\psi)$, $1\le i\le 2$. This result in characteristic p is given by Theorem' 6.4 of [34] and the unramified case is proved ab initio in Proposition 4.5 there without any restriction on p.

Furthermore, the case $E = F\times F$ is also discussed in [34]. To interpret this case correctly, let ν be the character of E obtained from a character $\nu_0 : F^\times \to \mathbb{C}$ and Hilbert's theorem 90 (6.1). Then π is of the form $\tau\otimes\nu$, with $\tau=\tau_1\otimes\tau_2$ and each τ_i a representation of $\mathrm{GL}_n(F)$. We thus obtain

$$\gamma(s,\pi,r_1,\psi) = \gamma(s,\tau_1\times\nu_0^{-1},\psi)\gamma(s,\tau_2\times\nu_0,\psi),$$
$$\gamma(s,\pi,r_2,\psi) = \gamma(s,\tau_1\times\tau_2,\psi).$$

Each factor on the right hand side is a Rankin-Selberg γ-factor. In particular, the unramified case in this setting can be found in Theorem 4.5 of [*loc. cit.*]. The above equality can be obtained by combining Theorems 4.5 and Theorem' 6.4 of [*loc. cit.*] together with a local to global argument.

Proposition 6.3. *Given $(E/F,\pi,\psi)\in\mathscr{L}_{\mathrm{loc}}(p,\mathrm{GL}_n,\mathbf{G}_n)$, let π^θ be the representation of $\mathrm{GL}_n(E)$ given by $\pi^\theta(x)=\pi(\bar{x})$. Then*

$$\gamma(s,\pi,r_{\mathcal{A}},\psi) = \gamma(s,\pi^\theta,r_{\mathcal{A}},\psi), \tag{6.2}$$
$$\gamma(s,\pi\otimes\eta_{E/F},r_{\mathcal{A}},\psi) = \gamma(s,\pi^\theta\otimes\eta_{E/F},r_{\mathcal{A}},\psi), \tag{6.3}$$

and we have the following equation involving Rankin-Selberg and Asai γ-factors

$$\gamma(s,\pi\times\pi^\theta,\psi_E) = \gamma(s,\pi,r_{\mathcal{A}},\psi)\gamma(s,\pi\otimes\eta_{E/F},r_{\mathcal{A}},\psi). \tag{6.4}$$

Furthermore, the same equations hold for the corresponding L-functions and ε-factors.

Proof. Let σ be the n-dimensional ℓ-adic Frob-semisimple Weil-Deligne representation of $\mathcal{W}_E$ corresponding to π via the local Langlands correspondence. Then, σ^θ corresponds to π^θ. And, from the definition of tensor induction (see [12]) we have that ${}^{\otimes}\mathrm{I}(\sigma)\cong{}^{\otimes}\mathrm{I}(\sigma^\theta)$. Artin L-functions and root numbers remain the same for equivalent Weil-Deligne representations, thus

$$\gamma(s,{}^{\otimes}\mathrm{I}(\sigma),\psi) = \gamma(s,{}^{\otimes}\mathrm{I}(\sigma^\theta),\psi).$$

Hence, by Theorem 6.1, the first equation of the Proposition follows.

The second equation, involving twisted Asai factors, follows from the first and the third. To prove equation (6.4), we first use multiplicativity of γ-factors to establish it for principal series representations. Then, in general, via the local-to-global technique [19, 20]. □

6.5. Products of GL_m and U_N. When the maximal Levi subgroup $\mathbf{M}$ is not a Siegel Levi and we have a quadratic extension E/F of non-archimedean local fields, the adjoint representation always has two irreducible components $r = r_1 \oplus r_2$. In this case, take $(E/F, \xi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathbf{G}_l)$ in Theorem 5.1, we then have that $\mathbf{M} \cong \mathrm{GL}_m \times \mathbf{G}_n$ where $\mathbf{G}_l$ and $\mathbf{G}_n$ are unitary groups of the same parity. Also, ξ is of the form $\tau \otimes \tilde{\pi}$ with τ and π representations of $\mathrm{GL}_m(E)$ and G_n, respectively.

We then have

$$\gamma(s, \xi, r_1, \psi) = \gamma(s, \tau \times \pi, \psi),$$

the Rankin-Selberg γ-factor of τ and π. For the second γ-factor we obtain Asai γ-factors

$$\gamma(s, \xi, r_2, \psi) = \begin{cases} \gamma(s, \tau, r_{\mathcal{A}}, \psi) & \text{if } N = 2n \\ \gamma(s, \tau \otimes \eta_{E/F}, r_{\mathcal{A}}, \psi) & \text{if } N = 2n+1 \end{cases}.$$

And, similarly for the L-functions $L(s, \xi, r_i)$ and root numbers $\varepsilon(s, \xi, r_i, \psi)$, $1 \leq i \leq 2$.

Now, assume $E = F \times F$. Let $(E/F, \xi, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{M}, \mathbf{G}_l)$, so that $\mathbf{G}_l \cong \mathrm{U}_L \cong \mathrm{GL}_L$ and $\mathbf{M} \cong \mathrm{GL}_m \times \mathrm{U}_N \times \mathrm{GL}_m$, $l = m+n$, with L and N of the same parity. The representation ξ is of the form $\tau_1 \otimes \pi \otimes \tau_2$. Then we obtain the following equations involving Rankin-Selberg products

$$\gamma(s, \xi, r_1, \psi) = \gamma(s, \tau_1 \times \tilde{\pi}, \psi)\gamma(s, \tau_2 \times \pi, \psi)$$

and

$$\gamma(s, \xi, r_2, \psi) = \gamma(s, \tau_1 \times \tau_2, \psi).$$

And, similarly for the corresponding L-functions and root numbers.

7. Extended Langlands-Shahidi local factors for the unitary groups

Let $\mathbf{G}_1$ be either a quasi-split unitary group U_N or the group $\mathrm{Res}\,\mathrm{GL}_N$. In the case of $\mathbf{G}_1 = \mathrm{U}_N$, it is of rank n, where we write $N = 2n+1$ or $2n$ according to wether the unitary group is odd or even. Similarly, we let $\mathbf{G}_2$ be either a unitary group of rank m or $\mathrm{Res}\,\mathrm{GL}_M$.

We interpret $\mathrm{Res}\,\mathrm{GL}_N$ as a functor, taking a quadratic extension E/F to the group scheme $\mathrm{Res}_{E/F}\mathrm{GL}_N$. Also, U_N takes E/F to the quasi-split reductive group scheme $\mathrm{U}(h_N)$, where h_N is the standard hermitian form of § 6.1. In order to emphasize the underlying quadratic extension, and the extended case of a system of γ-factors, L-functions and root numbers for products of two unitary groups, we modify the notation of Sections 1.4 and 4.1 accordingly. Also, given the involution θ of the quadratic extension E/F and a character $\eta : \mathrm{GL}_1(E) \to \mathbb{C}^\times$, denote by $\eta^\theta : \mathrm{GL}_1(E) \to \mathbb{C}^\times$ the character given by $\eta^\theta(x) = \eta(\bar{x})$.

In section § 7.3 below we treat the case of a separable quadratic algebra $E = F \times F$. This extends the local theory to all degree-2 finite étale algebras E over the archimedean local field F.

7.1. Local notation. Let $\mathscr{L}_{\mathrm{loc}}(p, \mathbf{G}_1, \mathbf{G}_2)$ be the class of quadruples $(E/F, \pi, \tau, \psi)$ consisting of: a non-archimedean local field F, with $\mathrm{char}(F) = p$; a degree-2 finite étale algebra E over F; generic representations π of G_1 and τ of G_2; and, a smooth non-trivial additive character $\psi : F \to \mathbb{C}^\times$.

We construct a character $\psi_E : E^\times \to \mathbb{C}^\times$ from the character ψ of F via the trace, i.e., $\psi_E = \psi \circ \mathrm{Tr}_{E/F}$. When $\mathbf{G}_1$ and $\mathbf{G}_2$ are clear from context, we will simply write $\mathscr{L}_{\mathrm{loc}}(p)$ for $\mathscr{L}_{\mathrm{loc}}(p, \mathbf{G}_1, \mathbf{G}_2)$. We say $(E/F, \pi, \tau, \psi) \in \mathscr{L}_{\mathrm{loc}}(p)$ is supercuspidal (resp.

discrete series, tempered, principal series) if both π and τ are supercuspidal (resp. discrete series, tempered, principal series) representations. We let q_F denote the cardinality of the residue field of F.

7.2. Global notation. Let $\mathscr{L}_{\rm glob}(p, \mathbf{G}_1, \mathbf{G}_2)$ be the class of quintuples (k, π, τ, ψ, S) consisting of: a separable quadratic extension of global function fields K/k, with $\mathrm{char}(k) = p$; globally generic cuspidal automorphic representations $\pi = \otimes_v \pi_v$ of $\mathbf{G}_1(\mathbb{A}_k)$ and $\tau = \otimes_v \tau_v$ of $\mathbf{G}_2(\mathbb{A}_k)$; a non-trivial character $\psi = \otimes_v \psi_v : k\backslash\mathbb{A}_k \to \mathbb{C}^\times$; and, a finite set of places S where k, π and ψ are unramified.

We write $\mathscr{L}_{\rm glob}(p)$ when $\mathbf{G}_1$ and $\mathbf{G}_2$ are undestood. We let q be the cardinality of the field of constants of k. And, for every place v of k, we let q_v be the cardinality of the residue field of k_v.

Let $(K/k, \pi, \tau, \psi, S) \in \mathscr{L}_{\rm glob}(p)$. Then we have partial L-functions

$$L^S(s, \pi \times \tau) = \prod_{v \notin S} L(s, \pi_v \times \tau_v).$$

The case of a place v in k, which splits in K_v leads to the case of a separable algebra and we write $K_v = k_v \times k_v$.

7.3. The case of a separable algebra. Let $E = F \times F$, then we have the following possibilities for Langlands-Shahidi γ-factors:

(i) Let $(E/F, \pi, \tau, \psi) \in \mathscr{L}_{\rm loc}(p, \mathrm{U}_M, \mathrm{U}_N)$. Then π is a representation of $\mathrm{GL}_M(F)$ and τ one of $\mathrm{GL}_N(F)$. The local functorial lift of π to $\mathbf{H}_M(F)$ obtained from

$$\mathbf{G}_m(F) = \mathrm{U}_M(F) = \mathrm{GL}_M(F) \rightsquigarrow \mathbf{H}_M(F) = \mathrm{GL}_M(F) \times \mathrm{GL}_M(F)$$

is given by $\pi \otimes \tilde{\pi}$. Similarly the local functorial lift of τ to $\mathbf{H}_N(F)$ obtained from

$$\mathbf{G}_n(F) = \mathrm{U}_N(F) = \mathrm{GL}_N(F) \rightsquigarrow \mathbf{H}_N(F) = \mathrm{GL}_N(F) \times \mathrm{GL}_N(F)$$

is given by $\tau \otimes \tilde{\tau}$. Then the Langlands-Shahidi local factors are

$$\begin{aligned} \gamma_{E/F}(s, \pi \times \tau, \psi_E) &= \gamma(s, \pi \times \tau, \psi)\gamma(s, \tilde{\pi} \times \tilde{\tau}, \psi) \\ L_{E/F}(s, \pi \times \tau) &= L(s, \pi \times \tau)L(s, \tilde{\pi} \times \tilde{\tau}) \\ \varepsilon_{E/F}(s, \pi \times \tau, \psi_E) &= \varepsilon(s, \pi \times \tau, \psi)\varepsilon(s, \tilde{\pi} \times \tilde{\tau}, \psi). \end{aligned}$$

(ii) Let $(E/F, \pi, \tau, \psi) \in \mathscr{L}_{\rm loc}(p, \mathrm{U}_M, \mathrm{Res}\,\mathrm{GL}_N)$. Then π is a representation of $\mathrm{GL}_M(F)$ and τ one of $\mathrm{GL}_N(F) \times \mathrm{GL}_N(F)$. The local functorial lift of π to $\mathbf{H}_M(F)$ obtained from

$$\mathbf{G}_m(F) = \mathrm{U}_M(F) = \mathrm{GL}_M(F) \rightsquigarrow \mathbf{H}_M = \mathrm{GL}_M(F) \times \mathrm{GL}_M(F)$$

is given by $\pi \otimes \tilde{\pi}$. Write $\tau = \tau_1 \otimes \tau_2$ as a representation of

$$\mathrm{Res}_{E/F}\mathrm{GL}_N(F) = \mathrm{GL}_N(F) \times \mathrm{GL}_N(F).$$

Then the Langlands-Shahidi local factors are

$$\begin{aligned} \gamma_{E/F}(s, \pi \times \tau, \psi_E) &= \gamma(s, \pi \times \tau_1, \psi)\gamma(s, \tilde{\pi} \times \tau_2, \psi) \\ L_{E/F}(s, \pi \times \tau) &= L(s, \pi \times \tau_1)L(s, \tilde{\pi} \times \tau_2) \\ \varepsilon_{E/F}(s, \pi \times \tau, r, \psi_E) &= \varepsilon(s, \pi \times \tau_1, \psi)\varepsilon(s, \tilde{\pi} \times \tau_2, \psi). \end{aligned}$$

(iii) Let $(E/F, \pi, \tau, \psi) \in \mathscr{L}_{\rm loc}(p, \operatorname{Res} \mathrm{GL}_M, \operatorname{Res} \mathrm{GL}_N)$. Then $\pi = \pi_1 \otimes \pi_2$ is a representation of $\mathrm{GL}_M(F) \times \mathrm{GL}_M(F)$ and $\tau = \tau_1 \otimes \tau_2$ one of $\mathrm{GL}_N(F) \times \mathrm{GL}_N(F)$. Then the Langlands-Shahidi local factors are

$$\gamma_{E/F}(s, \pi \times \tau, \psi_E) = \gamma(s, \pi_1 \times \tau_1, \psi)\gamma(s, \pi_2 \times \tau_2, \psi)$$
$$L_{E/F}(s, \pi \times \tau) = L(s, \pi_1 \times \tau_1)L(s, \pi_2 \times \tau_2)$$
$$\varepsilon_{E/F}(s, \pi \times \tau, r, \psi_E) = \varepsilon(s, \pi_1 \times \tau_1, \psi)\varepsilon(s, \pi_2 \times \tau_2, \psi).$$

Remark 7.1. *We usually drop the subscripts E/F when dealing with Langlands-Shahidi local factors. Hopefully, it is clear from context what we mean by an L-function, and related local factors, at split places of a global function field.*

Remark 7.2. *Let $(K/k, \pi, \tau, \psi, S) \in \mathscr{L}_{\rm glob}(p, \mathbf{G}_1, \mathbf{G}_2)$. Then, at places v of k which are split in K we set $K_v = k_v \times k_v$. It is interesting to note that the theory of the Langlands-Shahidi local coefficient can be treated directly and uniformly for unitary groups defined over a degree-2 finite étale algebra E over a non-archimedean local field F as in* [34]. *Alternatively, one can use the isomorphism $\mathrm{U}_N \cong \mathrm{GL}_N$ in the case of a separable quadratic algebra.*

7.4. Main theorem. In § 6 we showed the existence of a system of γ-factors, L-functions and root numbers on $\mathscr{L}_{\rm loc}(p, \mathbf{G}, \mathrm{GL}_m)$. We now state our main theorem for extended factors, although we complete the proof of existence in § 10.1.

Theorem 7.3. *There* exist *rules γ, L and ε on $\mathscr{L}_{\rm loc}(p)$ which are* uniquely *characterized by the following properties:*

(i) (Naturality). *Let $(E/F, \pi, \tau, \psi) \in \mathscr{L}_{\rm loc}(p)$ and let $\eta : E'/F' \to E/F$ be an isomorphism on local field extensions. Let $(E'/F', \pi', \tau', \psi') \in \mathscr{L}_{\rm loc}(p)$ be the quadruple obtained via η. Then*

$$\gamma(s, \pi \times \tau, \psi_E) = \gamma(s, \pi' \times \tau', \psi'_E).$$

(ii) (Isomorphism). *Let $(E/F, \pi, \tau, \psi)$, $(E/F, \pi', \tau', \psi) \in \mathscr{L}_{\rm loc}(p)$ be such that $\pi \cong \pi'$ and $\tau \cong \tau'$. Then*

$$\gamma(s, \pi \times \tau, \psi_E) = \gamma(s, \pi' \times \tau', \psi_E).$$

(iii) (Compatibility with class field theory). *Let $(E/F, \chi_1, \chi_2, \psi) \in \mathscr{L}_{\rm loc}(p, \mathbf{G}_1, \mathbf{G}_2)$, with $\mathbf{G}_i$ either U_1 or $\operatorname{Res}\mathrm{GL}_1$, for $i = 1$ or 2. In the case of U_1, we extend a character χ_i of $\mathrm{U}_1(F) = E^1$ to one of $\operatorname{Res}_{E/F}\mathrm{GL}_1(F) = \mathrm{GL}_1(E)$ via Hilbert's theorem 90. Then*

$$\gamma(s, \chi_1 \times \chi_2, \psi_E) = \gamma(s, \chi_1\chi_2, \psi_E),$$

where the γ-factors on the right hand side are those of Tate's thesis for $\mathrm{GL}_1(E)$.

(iv) (Multiplicativity). *Let $M = m_1 + \cdots m_d + m_0$ and $N = n_1 + \cdots + n_e + n_0$. For $1 \leq i \leq d$, $1 \leq j \leq e$, let $(E/F, \pi_i, \tau_j, \psi) \in \mathscr{L}_{\rm loc}(p, \operatorname{Res}\mathrm{GL}_{m_i}, \operatorname{Res}\mathrm{GL}_{n_j})$. Take $\mathbf{G}_{1,0}$ and $\mathbf{G}_{2,0}$ be of the same kind as $\mathbf{G}_1$ and $\mathbf{G}_2$, and let $(E/F, \pi_0, \tau_0, \psi) \in \mathscr{L}_{\rm loc}(p, \mathbf{G}_{1,0}, \mathbf{G}_{2,0})$. In the case of either $\mathbf{G}_{1,0}$ or $\mathbf{G}_{2,0}$ being U_1, we extend the corresponding character π_0 or τ_0 of $\mathrm{U}_1(F) = E^1$ to one of $\operatorname{Res}_{E/F}\mathrm{GL}_1(F) = \mathrm{GL}_1(E)$ via Hilbert's theorem 90. Suppose that*

$$\pi \hookrightarrow \mathrm{ind}_{P_1}^{G_1}(\pi_1 \otimes \cdots \otimes \pi_d \otimes \pi_0)$$

is the generic constituent, where $\mathbf{P}_1$ *is the parabolic subgroup of* $\mathbf{G}_1$ *with Levi* $\mathbf{M}_1 = \prod_{i=1}^d \mathrm{Res}_{E/F}\mathrm{GL}_{m_i} \times \mathbf{G}_{1,0}$*. And let*

$$\tau \hookrightarrow \mathrm{ind}_{P_2}^{G_2}(\tau_1 \otimes \cdots \otimes \tau_e \otimes \tau_0)$$

be the generic constituent, where $\mathbf{P}_2$ *is the parabolic subgroup of* $\mathbf{G}_2$ *with Levi* $\mathbf{M}_2 = \prod_{i=1}^e \mathrm{Res}_{E/F}\mathrm{GL}_{n_i} \times \mathbf{G}_{2,0}$*.*

(iv.a) *If both* $\mathbf{G}_1$ *and* $\mathbf{G}_2$ *are unitary groups, then*

$$\begin{aligned}\gamma(s,\pi\times\tau,\psi_E) = {} & \gamma(s,\pi_0\times\tau_0,\psi_E)\\ & \times \prod_{i=1}^d \gamma(s,\pi_i\times\tau_0,\psi_E)\gamma(s,\tilde{\pi}_i\times\tau_0,\psi_E)\prod_{i=1}^e \gamma(s,\pi_0\times\tau_j,\psi_E)\gamma(s,\pi_0\times\tilde{\tau}_j,\psi_E)\\ & \times \prod_{1\le h\le d, 1\le l\le e} \gamma(s,\pi_h\times\tau_l,\psi_E)\gamma(s,\pi_h\times\tilde{\tau}_l,\psi_E)\gamma(s,\tilde{\pi}_h\times\tilde{\tau}_l,\psi_E)\gamma(s,\tilde{\pi}_h\times\tau_l,\psi_E).\end{aligned}$$

(iv.b) *If* $\mathbf{G}_1 = \mathrm{U}_M$ *and* $\mathbf{G}_2 = \mathrm{Res}\,\mathrm{GL}_N$*, then*

$$\gamma(s,\pi\times\tau,\psi_E) = \prod_{j=1}^e \gamma(s,\pi_0\times\tau_j,\psi_E) \times \prod_{1\le h\le d, 1\le l\le e} \gamma(s,\pi_h\times\tau_l,\psi_E)\gamma(s,\tilde{\pi}_h\times\tau_l,\psi_E).$$

(iv.c) *If* $\mathbf{G}_1 = \mathrm{Res}\,\mathrm{GL}_M$ *and* $\mathbf{G}_2 = \mathrm{Res}\,\mathrm{GL}_N$*, then*

$$\gamma(s,\pi\times\tau,\psi_E) = \prod_{i,j} \gamma(s,\pi_i\times\tau_j,\psi_E).$$

(v) (Dependence on ψ). *Let* $(E/F,\pi,\tau,\psi) \in \mathscr{L}_{\mathrm{loc}}(p)$ *and let* $a \in E^\times$*, then* ψ_E^a *be the character of* E *defined by* $\psi_E^a(x) = \psi_E(ax)$*. Let* ω_π *and* ω_τ *be the central characters of* π *and* τ*. Then*

$$\gamma(s,\pi\times\tau,\psi_E^a) = \omega_\pi(a)^M\omega_\tau(a)^N\,|a|_E^{MN(s-\frac{1}{2})}\,\gamma(s,\pi\times\tau,\psi_E).$$

(vi) (Functional Equation). *Let* $(K/k,\pi,\tau,\psi,S) \in \mathscr{L}_{\mathrm{glob}}(p)$*, then*

$$L^S(s,\pi\times\tau) = \prod_{v\in S}\gamma(s,\pi\times\tau,\psi_v)\,L^S(1-s,\tilde{\pi}\times\tilde{\tau}).$$

At split places v *of* k*, where* $K_v \cong k_v \times k_v$*, the Langlands-Shahidi local factors are the ones of § 7.3.*

(vii) (Tempered L-functions). *For* $(E/F,\pi,\tau,\psi) \in \mathscr{L}_{\mathrm{loc}}(p)$ *tempered, let* $P_{\pi\times\tau}(t)$ *be the polynomial with* $P_{\pi\times\tau}(0) = 1$*, with* $P_{\pi\times\tau}(q_F^{-s})$ *the numerator of* $\gamma(s,\pi\times\tau,\psi_E)$*. Then*

$$L(s,\pi\times\tau) = \frac{1}{P_{\pi\times\tau}(q_F^{-s})}$$

is holomorphic and non-zero for $\mathrm{Re}(s) > 0$*.*

(viii) (Tempered ε-factors). *Let* $(E/F,\pi,\tau,\psi) \in \mathscr{L}_{\mathrm{loc}}(p)$ *be tempered, then*

$$\varepsilon(s,\pi\times\tau,\psi_E) = \gamma(s,\pi\times\tau,\psi_E)\frac{L(s,\pi\times\tau)}{L(1-s,\tilde{\pi}\times\tilde{\tau})}.$$

(ix) (Twists by unramified characters). *Let* $(E/F,\pi,\tau,\psi) \in \mathscr{L}_{\mathrm{loc}}(p,\mathrm{U}_M,\mathrm{Res}\,\mathrm{GL}_N)$*. Then*

$$\begin{aligned}L(s+s_0,\pi\times\tau) &= L(s,\pi\times(\tau\,|\det(\cdot)|_E^{s_0})),\\ \varepsilon(s+s_0,\pi\times\tau,\psi_E) &= \varepsilon(s,\pi\times(\tau\,|\det(\cdot)|_E^{s_0}),\psi_E).\end{aligned}$$

(x) (Langlands classification). *Let* $M = m_1 + \cdots m_d + m_0$ *and* $N = n_1 + \cdots + n_e + n_0$*. For* $1 \leq i \leq d$*,* $1 \leq j \leq e$*, let* $(E/F, \pi_i, \tau_j, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathrm{Res}\,\mathrm{GL}_{m_i}, \mathrm{Res}\,\mathrm{GL}_{n_j})$ *be quasi-tempered. Take* $\mathbf{G}_{1,0}$ *and* $\mathbf{G}_{2,0}$ *be of the same kind as* $\mathbf{G}_1$ *and* $\mathbf{G}_2$*, and let* $(E/F, \pi_0, \tau_0, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathbf{G}_{1,0}, \mathbf{G}_{2,0})$ *be quasi-tempered. In the case of either* $\mathbf{G}_{1,0}$ *or* $\mathbf{G}_{2,0}$ *being* U_1*, we extend the corresponding character* π_0 *or* τ_0 *of* $\mathrm{U}_1(F) = E^1$ *to one of* $\mathrm{Res}_{E/F}\mathrm{GL}_1(F) = \mathrm{GL}_1(E)$ *via Hilbert's theorem 90. Suppose that*

$$\pi \hookrightarrow \mathrm{ind}_{P_1}^{G_1}(\pi_1 \otimes \cdots \otimes \pi_d \otimes \pi_0)$$

is the generic constituent, where $\mathbf{P}_1$ *is the parabolic subgroup of* $\mathbf{G}_1$ *with Levi* $\mathbf{M}_1 = \prod_{i=1}^d \mathrm{Res}\,\mathrm{GL}_{m_i} \times \mathbf{G}_{1,0}$*. And let*

$$\tau \hookrightarrow \mathrm{ind}_{P_2}^{G_2}(\tau_1 \otimes \cdots \otimes \tau_e \otimes \tau_0)$$

be the generic constituent, where $\mathbf{P}_2$ *is the parabolic subgroup of* $\mathbf{G}_2$ *with Levi* $\mathbf{M}_2 = \prod_{i=1}^e \mathrm{Res}\,\mathrm{GL}_{n_i} \times \mathbf{G}_{2,0}$*.*

(x.a) *If both* $\mathbf{G}_1$ *and* $\mathbf{G}_2$ *are unitary groups, then*

$$\begin{aligned} L(s, \pi \times \tau) = {} & L(s, \pi_0 \times \tau_0) \\ & \times \prod_{i=1}^d L(s, \pi_i \times \tau_0) L(s, \tilde{\pi}_i \times \tau_0) \prod_{i=1}^e L(s, \pi_0 \times \tau_j) L(s, \pi_0 \times \tilde{\tau}_j) \\ & \times \prod_{1 \leq h \leq d, 1 \leq l \leq e} L(s, \pi_h \times \tau_l) L(s, \pi_h \times \tilde{\tau}_l) L(s, \tilde{\pi}_h \times \tilde{\tau}_l) L(s, \tilde{\pi}_h \times \tau_l). \end{aligned}$$

$$\begin{aligned} \varepsilon(s, \pi \times \tau, \psi_E) = {} & \varepsilon(s, \pi_0 \times \tau_0, \psi_E) \\ & \times \prod_{i=1}^d \varepsilon(s, \pi_i \times \tau_0, \psi_E) \varepsilon(s, \tilde{\pi}_i \times \tau_0, \psi_E) \prod_{i=1}^e \varepsilon(s, \pi_0 \times \tau_j, \psi_E) \varepsilon(s, \pi_0 \times \tilde{\tau}_j, \psi_E) \\ & \times \prod_{1 \leq h \leq d, 1 \leq l \leq e} \varepsilon(s, \pi_h \times \tau_l, \psi_E) \varepsilon(s, \pi_h \times \tilde{\tau}_l, \psi_E) \varepsilon(s, \tilde{\pi}_h \times \tilde{\tau}_l, \psi_E) \varepsilon(s, \tilde{\pi}_h \times \tau_l, \psi_E). \end{aligned}$$

(x.b) *If* $\mathbf{G}_1 = \mathrm{U}_M$ *and* $\mathbf{G}_2 = \mathrm{Res}\,\mathrm{GL}_N$*, then*

$$L(s, \pi \times \tau) = \prod_{j=1}^e L(s, \pi_0 \times \tau_j) \times \prod_{1 \leq h \leq d, 1 \leq l \leq e} L(s, \pi_h \times \tau_l) L(s, \tilde{\pi}_h \times \tau_l).$$

$$\varepsilon(s, \pi \times \tau, \psi_E) = \prod_{j=1}^e \varepsilon(s, \pi_0 \times \tau_j, \psi_E) \times \prod_{1 \leq h \leq d, 1 \leq l \leq e} \varepsilon(s, \pi_h \times \tau_l, \psi_E) \varepsilon(s, \tilde{\pi}_h \times \tau_l, \psi_E).$$

(x.c) *If* $\mathbf{G}_1 = \mathrm{Res}\,\mathrm{GL}_M$ *and* $\mathbf{G}_2 = \mathrm{Res}\,\mathrm{GL}_N$*, then*

$$L(s, \pi \times \tau) = \prod_{i,j} L(s, \pi_i \times \tau_j).$$

$$\varepsilon(s, \pi \times \tau, \psi_E) = \prod_{i,j} \varepsilon(s, \pi_i \times \tau_j, \psi_E).$$

7.5. Additional properties. We obtain a local functional equation for γ-factors, which is proved using only Properties (i)–(vi) of Theorem 7.3, exactly as in § 4.2 of [33].

(xi) (Local functional equation). *Let* $(E/F, \pi, \tau, \psi) \in \mathscr{L}_{\mathrm{loc}}(p)$*, then*

$$\gamma(s, \pi \times \tau, \psi_E)\gamma(1 - s, \tilde{\pi} \times \tilde{\tau}, \overline{\psi}_E) = 1.$$

We can now define automorphic L-functions and root numbers for $(E/F, \pi, \tau, \psi) \in \mathscr{L}_{\mathrm{glob}}(p)$ by setting

$$L(s, \pi \times \tau) = \prod_v L(s, \pi_v \times \tau_v) \text{ and } \varepsilon(s, \pi \times \tau) = \prod_v \varepsilon(s, \pi_v \times \tau_v, \psi_v).$$

We then have

(xii) (Global functional equation). *Let* $(K/k, \pi, \tau, \psi, S) \in \mathscr{L}_{\mathrm{glob}}(p)$*, then*

$$L(s, \pi \times \tau) = \varepsilon(s, \pi \times \tau)L(1 - s, \tilde{\pi} \times \tilde{\tau}).$$

Stability of γ-factors is proved for p-adic fields in [10]. In [14], we show how to prove stability using only characteristic p techniques.

(xiii) (Stability). *Let* $(E/F, \pi_i, \eta, \psi) \in \mathscr{L}(p, \mathrm{U}_N, \mathrm{Res}\,\mathrm{GL}_1)$*, for* $i = 1, 2$*, be such that the central characters satisfy* $\omega_{\pi_1} = \omega_{\pi_2}$*. Suppose that* η *and* η^θ *are highly ramified, then*

$$\gamma(s, \pi_1 \times \eta, \psi_E) = \gamma(s, \pi_2 \times \eta, \psi_E).$$

7.6. Stable form of local factors. The following Proposition and Corollary, provide a useful stable form for the local factors after twists by highly ramified characters. Its extension to twists involving unramified representations of GL_n is given by Lemma 7.5, which plays an important role in establishing global Base Change.

Proposition 7.4. *Let* $(E/F, \pi, \eta, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathrm{U}_N, \mathrm{GL}_1)$ *be such that* η *is sufficiently ramified. Let* $\chi_1, \ldots, \chi_n$ *be characters of* $E^\times$ *and let* ν *be a character of* E^1*, which we extend to one of* $E^\times$ *via Hilbert's theorem 90. Assume that*

$$\xi = \mathrm{ind}_B^{G_n}(\chi_1 \otimes \cdots \otimes \chi_n) \quad \text{or} \quad \mathrm{ind}_B^{G_n}(\chi_1 \otimes \cdots \otimes \chi_n \otimes \nu),$$

depending on wether $N = 2n$ *or* $2n + 1$*, has central character* $\omega_\xi = \omega_\pi$*. Then, if* $N = 2n$*, we have*

$$\gamma(s, \pi \times \eta, \psi_E) = \prod_{j=1}^n \gamma(s, \chi_j \eta, \psi_E)\gamma(s, \bar{\chi}^\theta \eta, \psi_E).$$

And, if $N = 2n + 1$*, we have*

$$\gamma(s, \pi \times \eta, \psi_E) = \gamma(s, \nu\eta, \psi_E) \prod_{j=1}^n \gamma(s, \chi_j \eta, \psi_E)\gamma(s, \bar{\chi}^\theta \eta, \psi_E).$$

Proof. Due to stability of γ-factors, we have that

$$\gamma(s, \pi \times \eta, \psi_E) = \gamma(s, \xi \times \eta, \psi_E).$$

Then, we use multiplicativity of γ-factors, i.e., Property (iv) of Theorem 5.1, to obtain the desired stable form. □

Lemma 7.5. *Let $(E/F, \pi, \tau, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathrm{U}_N, \mathrm{GL}_m)$, be such that τ is unramified. Consider a quadruple $(E/F, \Pi, \tau, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathrm{GL}_N, \mathrm{GL}_m)$ such that the central character ω_Π of Π is the character of $E^\times$ obtained from ω_π of E^1 via Hilbert's theorem 90. Then, whenever $\eta : E^\times \to \mathbb{C}^\times$ is highly ramified, we have that*

$$L(s, \pi \times (\tau \cdot \eta)) = L(s, \Pi \times (\tau \cdot \eta)),$$
$$\varepsilon(s, \pi \times (\tau \cdot \eta), \psi_E) = \varepsilon(s, \Pi \times (\tau \cdot \eta), \psi_E),$$
$$\gamma(s, \pi \times (\tau \cdot \eta), \psi_E) = \gamma(s, \Pi \times (\tau \cdot \eta), \psi_E).$$

Proof. The representation τ being unramified is of the form

$$\tau \hookrightarrow \mathrm{Ind}_{B_m}^{\mathrm{GL}_m}(\mu_1, \ldots, \mu_m),$$

where μ_i, $i = 1, \ldots n$, are unramified characters of $\mathrm{GL}_1(E)$. Multiplicativity of γ-factors for the unitary groups gives

$$\gamma(s, \pi \times (\tau \cdot \eta), \psi_E) = \prod_{i=1}^{n} \gamma(s, \pi \times (\chi_i \eta), \psi_E). \tag{7.1}$$

And similarly for Rankin-Selberg products of general linear groups

$$\gamma(s, \Pi \times (\tau \cdot \eta), \psi_E) = \prod_{i=1}^{n} \gamma(s, \Pi \times (\chi_i \eta), \psi_E). \tag{7.2}$$

Now, take ξ to be a principal series representation as in Proposition 7.4

$$\xi = \mathrm{ind}_B^{G_n}(\chi_1 \otimes \cdots \otimes \chi_n) \quad \text{or} \quad \mathrm{ind}_B^{G_n}(\chi_1 \otimes \cdots \otimes \chi_n \otimes \nu),$$

depending on wether $N = 2n$ or $2n + 1$, and such that $\omega_\xi = \omega_\pi$. Then, let

$$\Xi = \mathrm{ind}_B^{\mathrm{GL}_N}(\chi_1 \otimes \cdots \otimes \chi_n \otimes \bar{\chi}_n^\theta \otimes \cdots \otimes \bar{\chi}_1^\theta), \tag{7.3}$$

if $N = 2n$, and let

$$\Xi = \mathrm{ind}_B^{\mathrm{GL}_N}(\chi_1 \otimes \cdots \otimes \chi_n \otimes \nu \otimes \bar{\chi}_n^\theta \otimes \cdots \otimes \bar{\chi}_1^\theta), \tag{7.4}$$

if $N = 2n + 1$. Then, Ξ has $\omega_\Xi = \omega_\Pi$ obtained from ω_π as in the statement of the Proposition. Then, using Proposition 7.4 we have that for each i

$$\begin{aligned} \gamma(s, \pi \times (\chi_i \cdot \eta), \psi_E) &= \gamma(s, \xi \times (\chi_i \cdot \eta), \psi_E) \\ &= \gamma(s, \Xi \times (\chi_i \cdot \eta), \psi_E) \\ &= \gamma(s, \Pi \times (\chi_i \cdot \eta), \psi_E) \end{aligned}$$

Then from equations (7.1) and (7.2), we have the desired equality of γ-factors. The corresponding relations for the L-functions and root numbers can then be proved arguing as in the proof of Lemma 9.3. □

Corollary 7.6. *Let $(E/F, \pi, \eta, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathrm{U}_N, \mathrm{GL}_1)$ be such that η is sufficiently ramified. Let $\chi_1, \ldots, \chi_n$ be characters of $E^\times$ and let ν be a character of E^1, which we extend to one of $E^\times$ via Hilbert's theorem 90. Assume that*

$$\xi = \mathrm{ind}_B^{G_n}(\chi_1 \otimes \cdots \otimes \chi_n) \quad \textit{or} \quad \mathrm{ind}_B^{G_n}(\chi_1 \otimes \cdots \otimes \chi_n \otimes \nu),$$

depending on wether $N = 2n$ or $2n+1$, has central character $\omega_\xi = \omega_\pi$. Then, if $N = 2n$, we have

$$L(s, \pi \times \eta) = \prod_{j=1}^{n} L(s, \chi_j \eta) L(s, \bar{\chi}^\theta \eta),$$

$$\varepsilon(s, \pi \times \eta, \psi_E) = \prod_{j=1}^{n} \varepsilon(s, \chi_j \eta, \psi_E) \varepsilon(s, \bar{\chi}^\theta \eta, \psi_E).$$

And, if $N = 2n+1$, we have

$$L(s, \pi \times \eta) = L(s, \nu\eta) \prod_{j=1}^{n} L(s, \chi_j \eta) L(s, \bar{\chi}^\theta \eta),$$

$$\varepsilon(s, \pi \times \eta, \psi_E) = \varepsilon(s, \nu\eta, \psi_E) \prod_{j=1}^{n} \varepsilon(s, \chi_j \eta, \psi_E) \varepsilon(s, \bar{\chi}^\theta \eta, \psi_E).$$

□

8. The converse theorem and Base Change for the unitary groups

We begin by recalling the converse theorem of Cogdell and Piatetski-Shapiro [7]. In fact, we use a variant in the function field case [43] allowing for twists by a continuous character η (see § 2 of [8]). We then combine the Langlands-Shahidi method with the Converse Theorem and establish what is known as "weak" Base Change for globally generic representations.

8.1. The converse theorem. Fix a finite set of places S of a global function field K, a grössenkaracter $\eta : K^\times \backslash \mathbb{A}_K^\times \to \mathbb{C}^\times$ and an integer N. Let $\mathcal{T}(S; \eta)$ be the set consisting of representations $\tau = \tau_0 \otimes \eta$ of $\mathrm{GL}_n(\mathbb{A}_K)$ such that: n is an integer, $1 \leq n \leq N-1$; τ_0 is a cuspidal automorphic representation; and τ_v is unramified for $v \notin S$.

Theorem 8.1 (Converse Theorem)**.** *Let $\Pi = \otimes \Pi_v$ be an irreducible admissible representation of $\mathrm{GL}_N(\mathbb{A}_K)$ whose central character ω_Π is invariant under $K^\times$ and whose L-function $L(s, \Pi) = \prod_v L(s, \Pi_v)$ is absolutely convergent in some right half-plane. Let S be a finite set of places of K and let $\eta : K^\times \backslash \mathbb{A}_K^\times \to \mathbb{C}^\times$ be a continuous character. Suppose that for every $\tau \in \mathcal{T}(S; \eta)$ the L-function $L(s, \Pi \times \tau)$ is nice. Then, there exists an automorphic representation Π' of $\mathrm{GL}_N(\mathbb{A}_K)$ such that $\Pi_v \cong \Pi'_v$ for all $v \notin S$.*

8.2. Base change for the unitary groups. Let K/k be a separable quadratic extension of global function fields. Let $\mathbb{A}_k$ and $\mathbb{A}_K$ denote the ring of adèles of k and K, respectively. We now turn towards Base Change from $\mathbf{G}_n = \mathrm{U}_N$ to $\mathbf{H}_N = \mathrm{Res}\, \mathrm{GL}_N$. The groups $\mathbf{G}_n$ and $\mathbf{H}_N$ are related via the following homomorphism of L-groups

$$\mathrm{BC} : {}^L G_n = \mathrm{GL}_N(\mathbb{C}) \rtimes \mathcal{W}_k \hookrightarrow {}^L H_N = \mathrm{GL}_N(\mathbb{C}) \times \mathrm{GL}_N(\mathbb{C}) \rtimes \mathcal{W}_k. \tag{8.1}$$

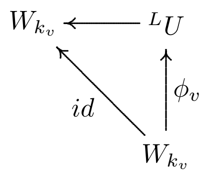
We say that a globally generic cuspidal automorphic representation $\pi = \otimes' \pi_v$ of $\mathbf{G}_n(\mathbb{A}_k)$ has a base change lift $\Pi = \otimes' \Pi_v$ to $\mathbf{H}_N(\mathbb{A}_k) = \mathrm{GL}_N(\mathbb{A}_K)$, if at every place where π_v is unramified, we have that

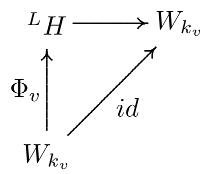
$$L(s, \pi_v) = L(s, \Pi_v).$$

This notion of a Base Change lift is sometimes referred to as a weak lift. However, we will show that it is a strong lift, where we will have equality of L-functions and ε-factors at every place v of k in § 10-11.

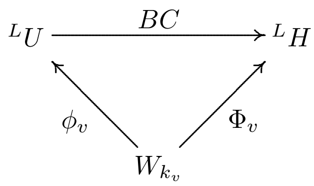
Remark. The base change map or Langlands functorial lift for the unitary groups that is established in this article is known as "stable" base change. There is also an "unstable" base change map. See for example the "stable" and "labile" base change discussion for U_2 of [13].

8.3. Unramified Base Change. Let $\pi = \otimes' \pi_v$ be a globally generic cuspidal automorphic representation of $\mathrm{U}_N(\mathbb{A}_k)$. Fix a place v of k that remains inert in K and such that π_v is unramified. Two unramified L-parameters φ_v and Φ_v given by the following commutative diagrams





are connected via the homomorphism of L-groups



given by the base change map of (8.1).

Each π_v, being uramified, is of the form

$$\pi_v \hookrightarrow \begin{cases} \mathrm{Ind}(\chi_{1,v} \otimes \cdots \chi_{n,v} \otimes \nu_v) & \text{if } N = 2n+1 \\ \mathrm{Ind}(\chi_{1,v} \otimes \cdots \chi_{n,v}) & \text{if } N = 2n \end{cases}, \tag{8.2}$$

with $\chi_{1,v}, \ldots, \chi_{n,v}$, unramified characters of $K_v^\times$. Let ϖ_v be a uniformizer and let

$$\alpha_{i,v} = \chi_{i,v}(\varpi_v), \ i = 1, \ldots, n.$$

Let Frob_v denote the Frobenius element of W_{k_v}. We know that π_v is parametrized by the conjugacy class in ${}^LU$

$$(\phi_v(\mathrm{Frob}_v), w_\theta) = \begin{cases} \mathrm{diag}(\alpha_{1,v}^{\frac{1}{2}}, \ldots, \alpha_{n,v}^{\frac{1}{2}}, 1, \alpha_{n,v}^{-\frac{1}{2}}, \ldots, \alpha_{1,v}^{-\frac{1}{2}}) \rtimes w_\theta & \text{if } N = 2n+1 \\ \mathrm{diag}(\alpha_{1,v}^{\frac{1}{2}}, \ldots, \alpha_{n,v}^{\frac{1}{2}}, \alpha_{n,v}^{-\frac{1}{2}}, \ldots, \alpha_{1,v}^{-\frac{1}{2}}) \rtimes w_\theta & \text{if } N = 2n \end{cases}.$$

Then, from the results of [36], the L-parameter $\Phi_v = \mathrm{BC} \circ \phi_v$ corresponds a semisimple conjugacy class in $\mathrm{GL}_N(\mathbb{C})$ given by

$$\Phi_v(\mathrm{Frob}_v) = \begin{cases} \mathrm{diag}(\alpha_{1,v}, \ldots, \alpha_{n,v}, 1, \alpha_{n,v}^{-1}, \ldots, \alpha_{1,v}^{-1}) & \text{if } N = 2n+1 \\ \mathrm{diag}(\alpha_{1,v}, \ldots, \alpha_{n,v}, \alpha_{n,v}^{-1}, \ldots, \alpha_{1,v}^{-1}) & \text{if } N = 2n \end{cases}.$$

The resulting Satake parameters Φ_v, then uniquely determine an unramified representation Π_v of $\mathrm{Res}_{K_v/k_v}\mathrm{GL}_N(k_v) = \mathrm{GL}_N(K_v)$ of the form

$$\Pi_v \hookrightarrow \begin{cases} \mathrm{Ind}(\chi_{1,v} \otimes \cdots \chi_{n,v} \otimes 1 \otimes \chi_{n,v}^{-1} \otimes \cdots \otimes \chi_{1,v}^{-1}) & \text{if } N = 2n+1 \\ \mathrm{Ind}(\chi_{1,v} \otimes \cdots \chi_{n,v} \otimes \chi_{n,v}^{-1} \otimes \cdots \otimes \chi_{1,v}^{-1}) & \text{if } N = 2n \end{cases}, \tag{8.3}$$

Definition 8.2. *Let v be a place of k that remains inert in K. For every unramified π_v corresponding to ϕ_v we call the representation*

$$\mathrm{BC}(\pi_v) = \Pi_v,$$

corresponding to Φ_v as in (8.3)*, the unramified local Langlands lift or the unramified base change of π_v.*

Let τ_v be any irreducible admissible generic representation of $\mathrm{GL}_m(K_v)$. We know that, given the homomorphism of L-groups BC, we have the following equality of local factors

$$\gamma(s, \pi_v \times \tau_v, \psi_v) = \gamma(s, \Pi_v \times \tau_v, \psi_v)$$
$$L(s, \pi_v \times \tau_v) = L(s, \Pi_v \times \tau_v)$$
$$\varepsilon(s, \pi_v \times \tau_v, \psi_v) = \varepsilon(s, \Pi_v \times \tau_v, \psi_v).$$

8.4. Split Base Change. At split places v of k, we are in the case of a separable algebra, as in § 7.3. The local functorial lift of π_v to $\mathbf{H}_M(k_v)$ obtained from

$$\mathrm{U}_N(k_v) = \mathrm{GL}_N(k_v) \rightsquigarrow \mathbf{H}_N(k_v) = \mathrm{GL}_N(k_v) \times \mathrm{GL}_N(k_v).$$

Definition 8.3. *Fix a place v of k such that $K_v = k_v \times k_v$. Let π_v be an irreducible generic representation of $\mathrm{U}_N(k_v) \cong \mathrm{GL}_N(k_v)$. We call the representation*

$$\mathrm{BC}(\pi_v) = \pi_v \otimes \tilde{\pi}_v \tag{8.4}$$

the split local Langlands lift or the split base change of π_v.

Let $\tau_v = \tau_{1,v} \otimes \tau_{2,v}$ be any irreducible admissible generic representation of $\mathrm{GL}_m(K_v) = \mathrm{GL}_m(k_v) \times \mathrm{GL}_m(k_v)$. Then, from § 7.3, we have the following equality of local factors

$$\gamma(s, \pi_v \times \tau_v, \psi_v) = \gamma(s, \pi_v \times \tau_v, \psi_v)\gamma(s, \tilde{\pi}_v \times \tilde{\tau}_v, \psi_v)$$
$$L(s, \pi_v \times \tau_v) = L(s, \pi_v \times \tau_v)L(s, \tilde{\pi}_v \times \tilde{\tau}_v)$$
$$\varepsilon(s, \pi_v \times \tau_v, \psi_v) = \varepsilon(s, \pi_v \times \tau_v, \psi_v)\varepsilon(s, \tilde{\pi}_v \times \tilde{\tau}_v, \psi_v).$$

8.5. Ramified Base Change. At places v of k where the cuspidal automorphic representation π of $\mathrm{U}_N(\mathbb{A}_k)$ may have ramification, we can use the stable form hinged by Proposition 7.4. In particular we produce a ramified Base Change. Ephemeral, since we will establish the local Langlands lift or local Base Change completely in § 9.

Definition 8.4. *Let $\chi_{1,v}, \ldots, \chi_{n,v}$ be characters of $E^\times$. Let ν_v be a character of E^1, which we extend to one of $E^\times$ via Hilbert's theorem 90. Assume that the representation*

$$\Pi_v = \begin{cases} \mathrm{Ind}(\chi_{1,v} \otimes \cdots \otimes \chi_{n,v} \otimes \nu_v \otimes \chi_{n,v}^{-1} \otimes \cdots \otimes \chi_{1,n}^{-1}) & \textit{if } N = 2n+1 \\ \mathrm{Ind}(\chi_{1,v} \otimes \cdots \otimes \chi_{n,v} \otimes \chi_{n,v}^{-1} \otimes \cdots \otimes \chi_{1,n}^{-1}) & \textit{if } N = 2n \end{cases}$$

has central character $\omega_{\Pi_v} = \omega_{\pi_v}$. Then Π_v is called a ramified local Langlands lift or a ramified Base Change of π_v.

We no longer have equality of local factors for every τ_v of $\mathrm{GL}_m(k_v)$. However, from Lemma 7.5, whenever τ_v is unramified and $\eta : E^\times \to \mathbb{C}^\times$ is a highly ramified character, we have that

$$\begin{aligned}\gamma(s, \pi_v \times (\tau_v \cdot \eta_v), \psi_v) &= \gamma(s, \Pi_v \times (\tau_v \cdot \eta_v), \psi_v)\\ L(s, \pi_v \times (\tau_v \cdot \eta_v)) &= L(s, \Pi_v \times (\tau_v \cdot \eta_v))\\ \varepsilon(s, \pi_v \times (\tau_v \cdot \eta_v), \psi_v) &= \varepsilon(s, \Pi_v \times (\tau_v \cdot \eta_v), \psi_v).\end{aligned}$$

8.6. Weak Base Change. We establish a preliminary version of Base Change for the unitary groups by combining the Langlands-Shahidi method with the Converse Theorem.

Theorem 8.5. *Let $\pi = \otimes' \pi_v$ be a globally generic cuspidal automorphic representation of $\mathrm{U}_N(\mathbb{A}_k)$. There exists a unique globally generic automorphic representation*

$$\mathrm{BC}(\pi) = \Pi$$

of $\mathrm{Res}_{K/k}\mathrm{GL}_N(\mathbb{A}_k) = \mathrm{GL}_N(\mathbb{A}_K)$, which is a weak Base Change lift of π.

Proof. Let $\Pi_v = \mathrm{BC}(\pi_v)$ be the local Base change of Definitions 8.2, 8.3 and 8.4, accordingly. Consider the irreducible admissible representation

$$\Pi = \otimes' \Pi_v$$

of $\mathrm{GL}_N(\mathbb{A}_K)$ whose central character ω_Π has ω_{Π_v} obtained from ω_{π_v} via Hilbert's theorem 90 at every place v of k. By construction, ω_Π is invariant under $K^\times$.

Let S be a finite set of places of k such that π_v is unramified for $v \notin S$. We abuse notation and identify S with the finite set of places of K lying above the places $v \in S$. Then, we have an equality of partial L-functions

$$L^S(s, \Pi) = L^S(s, \pi \times 1).$$

Hence, $L^S(s, \Pi)$ converges absolutely on a right hand plane; and so does $L(s, \Pi)$.

Let τ be a cuspidal automorphic representation of $\mathrm{GL}_m(\mathbb{A}_K)$. Choose a grössenkaracter $\eta = \otimes \eta_v : K^\times \backslash \mathbb{A}_K^\times \to \mathbb{C}^\times$ such that η_v is highly ramified for $v \in S$. Then, letting $\tau' = \tau \otimes \eta$, we have that $(K/k, \pi, \tau', \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{U}_N, \mathrm{GL}_m)$. We now have the following equality of local factors in every case of Definitions 8.2, 8.3 and 8.4:

$$\begin{aligned}L(s, \pi_v \times \tau'_v) &= L(s, \Pi_v \times \tau'_v)\\ \varepsilon(s, \pi_v \times \tau'_v, \psi_v) &= \varepsilon(s, \Pi_v \times \tau'_v, \psi_v).\end{aligned}$$

With η as in Proposition 4.1 of [35], we know that the Langlands-Shahidi L-functions $L(s, \pi \times \tau')$ are polynomials in $\{q^s, q^{-s}\}$. They also satisfy the global functional equation, Theorem 5.1(vi). Thus, they are nice. Then, since

$$L(s, \Pi \times \tau') = L(s, \pi \times \tau') \text{ and } \varepsilon(s, \Pi \times \tau') = \varepsilon(s, \pi \times \tau'),$$

we can conclude that the L-functions $L(s, \Pi \times \tau')$ are nice, as τ' ranges through the set $\mathcal{T}(S; \eta)$. From the Converse Theorem, there now exists an automorphic representation Π' of $\mathrm{GL}_N(\mathbb{A}_K)$ such that $\Pi_v \cong \Pi'_v$ for all $v \notin S$. Then Π' gives a weak Base Change.

Now, from [28], every automorphic form Π of $\mathrm{GL}_N(\mathbb{A}_K)$ arises as a subquotient of the globally induced representation

$$\mathrm{Ind}(\Pi_1 \otimes \cdots \otimes \Pi_d), \tag{8.5}$$

with each Π_i a cuspidal automorphic representation of $\mathrm{GL}_N(\mathbb{A}_K)$. Since every Π_i is cuspidal, they are globally generic. The results on the classification of automorphic representations for general linear groups [22], shows that there exists a unique generic subquotient of (8.5), which we denote by

$$\Pi = \Pi_1 \boxplus \cdots \boxplus \Pi_d.$$

It is this automorphic representation Π which is our desired Base Change, i.e., we let $\mathrm{BC}(\pi) = \pi$. It has the property that at every place w of K where Π_w is unramified, it is generic. Hence, at places where π_v is unramified and $w = v$ remains inert, Π_w is given by the unique generic subquotient of a principal series representation and Π_w agrees with the local Base Change lift of sections § 8.3. At split places it also agrees with that of § 8.4 at almost all places. It thus agrees with Π' at almost all places and is itself a weak Base Change lift. Furthermore, by multiplicity one, any two globally generic automorphic representations of $\mathrm{GL}_N(\mathbb{A}_K)$ that agree at almost every place are equal. Hence Π is uniquely determined. □

9. On local Langlands functoriality and Strong Base Change

In Algebraic Number Theory there is a well known proof of existence for local class field theory from global class field theory. In an analogous fashion, we here prove the existence of the generic local Langlands functorial lift from a unitary group U_N to $\mathrm{Res}\,\mathrm{GL}_N$, i.e., local Base Change. We are guided by the discussion found in [9, 25]. The lift preserves local L-functions and root numbers. In general we refer to [14] for the non-generic case, which is written under certain assumptions; the lift there preserves Plancherel measures.

In § 9.5 we strengthen the "weak" base change map of Theorem 8.5 and prove its compatibility with the local Langlands functorial lift or local base change. Throughout this section, we fix a quadratic extension E/F of non-archimedean local fields of positive characteristic. Given any general linear group $\mathrm{GL}_m(E)$, we let ν denote the unramified character obtained via the determinant, i.e., $\nu = |\det(\cdot)|_E$. Globally, we let K/k denote a separable quadratic extension of function fields.

Definition 9.1. *Let π be a generic representation of $\mathrm{U}_N(F)$. Then, we say that a generic irreducible representation Π of $\mathrm{GL}_N(E)$ is a* local base change lift *of π if for every supercuspidal representation τ of $\mathrm{GL}_m(E)$ we have that*

$$\gamma(s, \pi \times \tau, \psi_E) = \gamma(s, \Pi \times \tau, \psi_E).$$

9.1. Uniqueness of the local base change lift. The previous definition extends to twists by a general irreducible unitary generic representation τ of $\mathrm{GL}_m(E)$, as we show in the next lemma. For this, the clasification of [50] is very useful. It allows us to write

$$\tau = \mathrm{Ind}(\delta_1\nu^{t_1} \otimes \cdots \otimes \delta_d\nu^{t_d} \otimes \delta_{d+1} \otimes \cdots \otimes \delta_{d+k} \otimes \delta_d\nu^{-t_d} \otimes \cdots \otimes \delta_1\nu^{-t_1}), \tag{9.1}$$

where the δ_i's are unitary discrete series representations of $\mathrm{GL}_{n_i}(E)$ and $0 < t_d \leq \cdots \leq t_1 < 1/2$.

Furthermore, from the Zelevinsky classification [54], we know that every unitary discrete series representation δ of $\mathrm{GL}_m(E)$ is obtained from a segment of the form

$$\Delta = \left[\rho\nu^{-\frac{t-1}{2}}, \rho\nu^{\frac{t-1}{2}}\right],$$

where ρ is a supercuspidal representation of $\mathrm{GL}_e(E)$, $e|m$, and t is a positive integer. The representation δ is precisely the generic constituent of

$$\mathrm{Ind}(\rho\nu^{-\frac{t-1}{2}} \otimes \cdots \otimes \rho\nu^{\frac{t-1}{2}}). \tag{9.2}$$

An important result of Henniart [18] allows us to characterize the local Langlands functorial lift by the condition that it preserves local factors.

Lemma 9.2. *Let π be a generic representation of $\mathrm{U}_N(F)$ and suppose there exists Π, a local base change lift to $\mathrm{GL}_N(E)$. Then, for every irreducible unitary generic representation τ of $\mathrm{GL}_m(E)$ we have that*

$$\gamma(s, \pi \times \tau, \psi_E) = \gamma(s, \Pi \times \tau, \psi_E).$$

Furthermore, such a local base change lift Π is unique.

Proof. Given an irreducible unitary generic representation τ of $\mathrm{GL}_m(E)$, write τ in the form given by (9.1). Then, multiplicativity of γ-factors gives

$$\begin{aligned}\gamma(s, \pi \times \tau, \psi_E) = &\prod_{i=1}^{k} \gamma(s, \pi \times \delta_{d+i}, \psi_E) \\ &\prod_{j=1}^{d} \gamma(s + t_j, \pi \times \delta_j, \psi_E)\gamma(s - t_j, \pi \times \delta_j, \psi_E).\end{aligned}$$

And, similarly

$$\begin{aligned}\gamma(s, \Pi \times \tau, \psi_E) = &\prod_{i=1}^{k} \gamma(s, \Pi \times \delta_{d+i}, \psi_E) \\ &\prod_{j=1}^{d} \gamma(s + t_j, \Pi \times \delta_j, \psi_E)\gamma(s - t_j, \Pi \times \delta_j, \psi_E).\end{aligned}$$

In this way, we reduce the problem to proving the relation

$$\gamma(s, \pi \times \delta, \psi_E) = \gamma(s, \Pi \times \delta, \psi_E)$$

for discrete series representations δ of $\mathrm{GL}_m(E)$.

Now, we write the representation δ as the generic constituent of

$$\mathrm{Ind}(\rho\nu^{-\frac{t-1}{2}} \otimes \cdots \otimes \rho\nu^{\frac{t-1}{2}}),$$

as in (9.2). Then, using the multiplicativity property of γ-factors, we obtain

$$\begin{aligned}\gamma(s, \pi \times \delta, \psi_E) &= \prod_{l=0}^{t-1} \gamma(s - \frac{t-1}{2} + l, \pi \times \rho, \psi_E) \\ &= \prod_{l=0}^{t-1} \gamma(s - \frac{t-1}{2} + l, \Pi \times \rho, \psi_E) \\ &= \gamma(s, \Pi \times \delta, \psi_E).\end{aligned}$$

This shows that Π satisfies the desired relation involving γ-factors. That Π is unique then follows from Theorem 1.1 of [18]. □

Lemma 9.3. *Let π be a generic representation of $\mathrm{U}_N(F)$ and suppose it has a local Langlands functorial lift Π of $\mathrm{GL}_N(E)$. Then, for every irreducible unitary generic representation τ of $\mathrm{GL}_m(E)$ we have that*

$$L(s, \pi \times \tau) = L(s, \Pi \times \tau)$$
$$\varepsilon(s, \pi \times \tau, \psi_E) = \varepsilon(s, \Pi \times \tau, \psi_E).$$

Proof. As in the previous Lemma, begin by writing the unitary generic representation τ of $\mathrm{GL}_m(E)$ as in (9.1), with discrete series as inducing data. And, write

$$\tau_0 = \mathrm{Ind}(\delta_{d+1} \otimes \cdots \otimes \delta_{d+k}).$$

Then, using Properties (ix) and (x) of Theorem 7.3, we obtain

$$L(s, \pi \times \tau) = L(s, \pi \times \tau_0) \prod_{i=1}^{d} L(s + t_i, \pi \times \delta_i) L(s - t_i, \pi \times \delta_i),$$
$$\varepsilon(s, \pi \times \tau, \psi_E) = \varepsilon(s, \pi \times \tau_0, \psi_E) \prod_{i=1}^{d} \varepsilon(s + t_i, \pi \times \delta_i, \psi_E) \varepsilon(s - t_i, \pi \times \delta_i, \psi_E).$$

Now, for the factors involving τ_0, we use Langlands classification to express π as a Langlands quotient of

$$\mathrm{Ind}(\pi_1 \otimes \cdots \otimes \pi_e \otimes \pi_0).$$

Then π is the generic constituent, where $\mathbf{P}$ is the parabolic subgroup of U_N with Levi $\mathbf{M} = \prod_{i=1}^{d} \mathrm{Res}\, \mathrm{GL}_{m_i} \times \mathrm{U}_{N_0}$ and each π_i is a tempered representation of $\mathrm{GL}_{m_i}(E)$. Now, Properties (ix) and (x) of Theorem 7.3 in this situation directly give

$$L(s, \pi \times \tau_0) = L(s, \pi_0 \times \tau_0) \prod_{i=1}^{e} L(s, \pi_i \times \tau_0),$$
$$\varepsilon(s, \pi \times \tau_0, \psi_E) = \varepsilon(s, \pi_0 \times \tau_0, \psi_E) \prod_{i=1}^{e} \varepsilon(s, \pi_i \times \tau_0, \psi_E).$$

Since all representations involved in the previous two equations are tempered, multiplicativity of γ-factors leads to

$$L(s, \pi \times \tau_0) = \prod_{l=1}^{k} L(s, \pi \times \delta_{d+l}),$$
$$\varepsilon(s, \pi \times \tau_0, \psi_E) = \prod_{l=1}^{k} \varepsilon(s, \pi \times \delta_{d+l}, \psi_E).$$

The properties of [20], for example, can be applied to Rankin-Selberg factors to obtain

$$L(s, \Pi \times \tau) = \prod_{l=1}^{k} L(s, \Pi \times \delta_{d+l}) \prod_{i=1}^{d} L(s + t_i, \pi \times \delta_i) L(s - t_i, \pi \times \delta_i),$$
$$\varepsilon(s, \Pi \times \tau, \psi_E) = \prod_{l=1}^{k} \varepsilon(s, \Pi \times \delta_{d+l}, \psi_E) \prod_{i=1}^{d} \varepsilon(s + t_i, \pi \times \delta_i, \psi_E) \varepsilon(s - t_i, \pi \times \delta_i, \psi_E).$$

Where we reduced to proving

$$L(s, \pi \times \rho) = L(s, \Pi \times \rho)$$
$$\varepsilon(s, \pi \times \rho, \psi_E) = \varepsilon(s, \Pi \times \rho, \psi_E)$$

for discrete series representations ρ of $\mathrm{GL}_m(E)$. Indeed, we now address this case in what follows.

For the irreducible unitary generic representation Π of $\mathrm{GL}_N(E)$, we use (9.1) to write

$$\Pi = \mathrm{Ind}(\xi_1 \nu^{r_1} \otimes \cdots \otimes \xi_f \nu^{r_f} \otimes \xi_{f+1} \otimes \cdots \otimes \xi_{f+h} \otimes \xi_f \nu^{-r_f} \otimes \cdots \otimes \xi_1 \nu^{-r_1}), \tag{9.3}$$

with each ξ_i a discrete series and $0 < r_f \leq \cdots \leq r_1 < 1/2$.

$$\gamma(s, \pi \times \rho, \psi_E) = \prod_{i=1}^{h} \gamma(s, \xi_{f+i} \times \rho, \psi_E)$$
$$\prod_{j=1}^{f} \gamma(s + r_j, \xi_j \times \rho, \psi_E) \gamma(s - r_j, \xi_j \times \rho, \psi_E).$$

Each γ-factor on the right hand side of the previous expression involves discrete series (hence tempered) representations. Thus, each factor has a corresponding L-function and root number via Property (viii) of Theorem 5.1. The product

$$P(q_F^{-s})^{-1} = \prod_{i=1}^{h} L(s, \xi_{f+i} \times \rho) \prod_{j=1}^{f} L(s + r_j, \xi_j \times \rho) L(s - r_j, \xi_j \times \rho).$$

From the Proposition on p. 451 of [21], each $L(s, \xi_i \times \rho)$ has no poles for $\Re(s) > 0$. And, since $r_j < 1/2$, the function $P(q_F^{-s})$ is non-zero for $\Re(s) \geq 1/2$. Now, the product

$$Q(q_F^{-s})^{-1} = \prod_{i=1}^{h} L(1 - s, \tilde{\xi}_{f+i} \times \tilde{\rho}) \prod_{j=1}^{f} L(1 - s - r_j, \tilde{\xi}_j \times \tilde{\rho}) L(1 - s - r_j, \tilde{\xi}_j \times \tilde{\rho})$$

is in turn non-zero for $\Re(s) \leq 1/2$. Then, Property (tempered ε) of Theorem 5.1 gives the relation

$$\gamma(s, \pi \times \rho, \psi_E) \sim \frac{P(q_F^{-s})}{Q(q_F^{-s})},$$

which is an equality up to a monomial in q_F^{-s}. More precisely, the monomial is the root number, which we can decompose as

$$\varepsilon(s, \pi \times \rho, \psi_E) = \prod_{i=1}^{h} \varepsilon(s, \xi_{f+i} \times \rho, \psi_E)$$
$$\prod_{j=1}^{f} \varepsilon(s + r_j, \xi_j \times \rho, \psi_E) \varepsilon(s - r_j, \xi_j \times \rho, \psi_E)$$
$$= \varepsilon(s, \Pi \times \rho, \psi_E).$$

Notice that the regions where $P(q_F^{-s})$ and $Q(q_F^{-s})$ may be zero do not intersect. Hence, there are no cancellations involving the numerator and denominator of $\gamma(s, \pi \times \rho, \psi_E)$. This shows that

$$L(s, \pi \times \rho) = \frac{1}{P(q_F^{-s})} = L(s, \Pi \times \rho),$$

where the second equality follows using the form (9.3) of Π and the multiplicativity property of Rankin-Selberg L-functions. □

Lemma 9.4. *Let π be a tempered generic representation of $\mathrm{U}_N(F)$ and suppose it has a local Langlands functorial lift Π of $\mathrm{GL}_N(E)$. Then, Π is also tempered.*

Proof. We proceed by contradiction. If Π is not tempered, then there is at least one $r_{i_0} > 0$ in the decomposition (9.3) of Π. From the previous lemma, we know that

$$L(s, \pi \times \rho) = L(s, \Pi \times \rho)$$

is valid for any discrete series representation ρ of $\mathrm{GL}_m(E)$. Take $\rho = \tilde{\xi}_{i_0}$. From the holomorphy of tempered L-functions, $L(s, \pi \times \rho)$ has no poles in the region $\Re(s) > 0$. On the other hand

$$L(s, \Pi \times \tilde{\xi}_{i_0}) = \prod_{i=1}^{h} L(s, \xi_{f+i} \times \tilde{\xi}_{i_0}) \prod_{j=1}^{f} L(s + r_j, \xi_j \times \tilde{\xi}_{i_0}) L(s - r_j, \xi_j \times \tilde{\xi}_{i_0})$$

has a pole at $s = r_{i_0}$, due to the term $L(s - r_{i_0}, \xi_{i_0} \times \tilde{\xi}_{i_0})$. And, $L(s, \Pi \times \tilde{\xi}_{i_0})$ inherits this pole, which gives the contradiction. Hence, it must be the case that $f = 0$ in equation (9.3) and we have that

$$\Pi = \mathrm{Ind}(\xi_1 \otimes \cdots \otimes \xi_h)$$

is thus tempered. □

9.2. A global to local result. We prove that global Base Change is compatible with local Base Change. At unramified and split places it is that of §§ 8.3 and 8.4. Furthermore, we make precise the behavior of the central character.

Proposition 9.5. *Given a globally generic cuspidal automorphic representation $\pi = \otimes' \pi_v$ of $\mathrm{U}_N(\mathbb{A}_k)$, let $\mathrm{BC}(\pi) = \Pi = \otimes' \Pi_v$ be the base change lift of Theorem 8.5. Then, for every v we have:*

(i) *Π_v is the uniquely determined local base change of π_v;*
(ii) *Π_v is unitary with central character ω_{Π_v} of $K_v^\times$ obtained from the character ω_{π_v} of K_v^1 via Hilbert's theorem 90.*

Proof. The base change lift $\Pi = \mathrm{BC}(\pi)$, being globally generic, has every local Π_v generic. At every place where π_v is unramified, Π_v is a constituent of an unramified principal series representation by construction. Since Π_v is generic, it has to be the unique generic constituent of the principal series representation. Thus

$$\Pi_v = \mathrm{BC}(\pi_v) \tag{9.4}$$

is the unramified local base change of § 8.3, if v is inert, and that of § 8.4, if v is split.

Fix a place v_0 of k which remains inert in K. We wish to show that

$$\gamma(s, \pi_{v_0} \times \tau_0, \psi_{v_0}) = \gamma(s, \Pi_{v_0} \times \tau_0, \psi_{v_0})$$

for every $(K_{v_0}/k_{v_0}, \pi_{v_0}, \tau_0, \psi_{v_0}) \in \mathscr{L}_{\mathrm{loc}}(p, \mathrm{U}_N, \mathrm{GL}_m)$ with τ_0 supercuspidal. Let S be a finite set of places of k, not containing v_0, such that π_v is unramified for

$v \notin S \cup \{v_0\}$. Via Property (v) of Theorem 5.1, we may assume that ψ_{v_0} is the component of a global additive character $\psi = \otimes \psi_v : K \backslash \mathbb{A}_K \to \mathbb{C}^\times$.

Via Proposition 3.1, there is a cuspidal automorphic representation $\tau = \otimes' \tau_v$ of $\mathrm{GL}_m(\mathbb{A}_K)$ such that $\tau_{v_0} = \tau_0$ and τ_v is unramified for all $v \notin S$. Now, using the Grunwald-Wang theorem of class field theory [2], there exists a grössencharakter $\eta : K^\times \backslash \mathbb{A}_K^\times \to \mathbb{C}^\times$ such that $\eta_{v_0} = 1$ and η_v is highly ramified for all $v \in S$ such that v remains inert in K.

At places $v \in S$, which remain inert in K, we have the stable form of Lemma 7.5. Indeed, after twisting τ_v by the highly ramified character η_v we have

$$\gamma(s, \pi_v \times (\tau_v \cdot \eta_v), \psi_v) = \gamma(s, \Pi_v \times (\tau_v \cdot \eta_v), \psi_v).$$

At split places $v \in S$, we write $\tau_v = \tau_{1,v} \otimes \tau_{2,v}$ as a representation of $\mathrm{Res}_{K_v/k_v} \mathrm{GL}_m(k_v) = \mathrm{GL}_m(k_v) \times \mathrm{GL}_m(k_v)$ with each $\tau_{1,v}$ and $\tau_{2,v}$ supercuspidal. From § 7.3 (ii), the Langlands-Shahidi γ-factors are given by

$$\gamma(s, \pi_v \times \tau_v, \psi_v) = \gamma(s, \pi_v \times \tau_{1,v}, \psi_v) \gamma(s, \tilde{\pi}_v \times \tau_{2,v}, \psi_v),$$

which are compatible with the split Base Change map of § 8.4. Now, consider $\tau' = \tau \otimes \eta$. For $(K/k, \pi, \tau', \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{U}_N, \mathrm{GL}_m)$ we have the global functional equation

$$L^S(s, \pi \times \tau') = \gamma(s, \pi_{v_0} \times \tau_{v_0}, \psi_v) \prod_{v \in S - \{v_0\}} \gamma(s, \pi_v \times (\tau_v \cdot \eta_v), \psi_v) L^S(1 - s, \tilde{\pi} \times \tilde{\tau}'),$$

and for $(K/k, \Pi, \tau', \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{GL}_N, \mathrm{GL}_m)$ the functional equation for Rankin-Selberg products reads

$$L^S(s, \Pi \times \tau') = \gamma(s, \Pi_{v_0} \times \tau_{v_0}, \psi_v) \prod_{v \in S - \{v_0\}} \gamma(s, \Pi_v \times (\tau_v \cdot \eta_v), \psi_v) L^S(1 - s, \tilde{\Pi} \times \tilde{\tau}').$$

At unramified places, it follows from equation (9.4) that local L-functions agree. This gives equality of the corresponding partial L-functions appearing in the above two functional equations. We thus obtain

$$\gamma(s, \pi_{v_0} \times \tau_{v_0}, \psi_{v_0}) = \gamma(s, \Pi_{v_0} \times \tau_{v_0}, \psi_{v_0}).$$

Since our choice of supercuspidal $\tau_0 = \tau_{v_0}$ of $\mathrm{GL}_m(E)$ was arbitrary, we have that Π_0 is a local base change for π_0. Uniqueness follows from Lemma 9.2. Proving property (i) as desired.

Note that the central character $\omega_\pi = \otimes \omega_{\pi_v}$ of π is an automorphic representation of $\mathrm{U}_1(\mathbb{A}_k)$. Now, let $\chi = \otimes \chi_v$ be defined on $\mathrm{GL}_1(\mathbb{A}_K)$ from ω_π via Hilbert's theorem 90. Namely, we let $\mathfrak{h}_v : x_v \mapsto x_v \bar{x}_v^{-1}$ be the continuous map of (6.1) and let

$$\chi_v = \omega_{\pi_v} \circ \mathfrak{h}_v.$$

at every place v of k; we view K_v as a degree-2 finite étale algebra over k_v. Then $\chi : K^\times \backslash \mathbb{A}_K^\times \to \mathbb{C}^\times$ is a grössencharakter. Also, χ and ω_Π are continuous grössencharakters such that χ_v agrees with ω_{Π_v} at every $v \notin S \cup \{v_0\}$. Hence $\chi = \omega_\Pi$. Thus, the central character of Π_{v_0} is $\omega_{\Pi_{v_0}} = \chi_{v_0}$, which is obtained via $\omega_{\pi_{v_0}}$ as in property (iii) of the Proposition. □

Remark 9.6. *Let π_0 be a generic representation of $\mathrm{U}_N(F)$. Suppose there is a globally generic cuspidal automorphic representation $\pi = \otimes' \pi_v$ of $\mathrm{U}_N(\mathbb{A}_k)$ with $\pi_0 = \pi_{v_0}$ at some place v_0 of k, where $k_{v_0} = F$. Then it follows from Proposition 9.5 that it has a uniquely determined local base change $\Pi_0 = \mathrm{BC}(\pi_0)$.*

9.3. Supercuspidal lift. To avoid confusion between local and global notation, from here until the end of § 10, we use π_0 to denote a local representation of a unitary group and Π_0 for its local base change, when it exists. We use $\pi = \otimes' \pi_v$ for a cuspidal automorphic representation of a unitary group and $\Pi = \otimes' \Pi_v$ for its its corresponding global base change.

Proposition 9.7. *Let π_0 be a generic supercuspidal representation of $\mathrm{U}_N(F)$. Then π_0 has a unique local base change*

$$\Pi_0 = \mathrm{BC}(\pi_0)$$

to $\mathrm{GL}_N(E)$. The central character ω_{Π_0} of Π_0 is the character of $E^\times$ obtained from ω_{π_0} of E^1 via Hilbert's theorem 90. Moreover

$$\Pi_0 = \mathrm{Ind}(\Pi_{0,1} \otimes \cdots \otimes \Pi_{0,d}),$$

where each $\Pi_{0,i}$ is a supercuspidal representation of $\mathrm{GL}_{N_i}(E)$ satisfying: $\Pi_{0,i} \cong \widetilde{\Pi}_{0,i}^\theta$; $\Pi_{0,i} \not\cong \Pi_{0,j}$ for $i \neq j$; and

(i) *$L(s, \Pi_{0,i}, r_{\mathcal{A}})$ has a pole at $s = 0$ if N is odd;*
(ii) *$L(s, \Pi_{0,i} \otimes \eta_{E/F}, r_{\mathcal{A}})$ has a pole at $s = 0$ if N is even.*

Proof. Let k be a global function field with $k_{v_0} = F$. From Proposition 3.1, there exists a globally generic cuspidal automorphic representation $\pi = \otimes \pi_v$ of $\mathrm{U}_N(\mathbb{A}_k)$ such that $\pi_0 = \pi_{v_0}$. Then, Remark 9.6 gives the existence of a unique base change $\Pi_0 = \mathrm{BC}(\pi_0)$.

By Lemma 9.4, Π_0 is a unitary tempered representation of $\mathrm{GL}_m(E)$. Hence, we have that

$$\Pi_0 = \mathrm{Ind}(\Pi_{0,1} \otimes \cdots \otimes \Pi_{0,d}),$$

with each $\Pi_{0,i}$ a discrete series representation. Via (9.2), each Π_i is the generic constituent of

$$\mathrm{Ind}(\rho_i \nu^{-\frac{t_i - 1}{2}} \otimes \cdots \otimes \rho_i \nu^{\frac{t_i - 1}{2}}),$$

where ρ_i is a supercuspidal representation of $\mathrm{GL}_{m_i}(E)$, $m_i | m$, and t_i is an integer.

We look at a fixed $\Pi_{0,j}$. Due to the fact that all of the representations involved are tempered, we have

$$L(s, \Pi_0 \times \tilde{\Pi}_{0,j}) = \prod_{i=1}^{d} L(s, \Pi_{0,i} \times \tilde{\Pi}_{0,j}) = \prod_{i=1}^{d} \prod_{l=0}^{t_i} \prod_{k=0}^{t_j} L(s + l + k - \frac{t_i - 1}{2} - \frac{t_j - 1}{2}, \rho_l \times \tilde{\rho}_k).$$

The L-function $L(s + t_j - 1, \rho_j \times \tilde{\rho}_j)$ on the right hand side gives that the product has a pole at $s = 1 - t_j$. Now, via holomorphy of tempered L-functions, we have

$$L(s, \Pi_0 \times \tilde{\Pi}_{0,j}) = L(s, \pi_0 \times \tilde{\Pi}_{0,j}) = \prod_{l=0}^{t_j} L(s + l - \frac{t_j - 1}{2}, \pi \times \tilde{\rho}_l)$$

is holomorphic for $\Re(s) > \dfrac{1 - t_j}{2}$. This contradicts the fact that $L(s, \Pi_0 \times \tilde{\Pi}_{0,j})$ has a pole at $s = 1 - t_j$, unless $t_j = 1$. This forces $\Pi_{0,j} = \rho_j$, in addition to $L(s, \pi_0 \times \tilde{\Pi}_{0,j})$ having a pole at $s = 0$. The argument proves that our fixed $\Pi_{0,j}$ is supercuspidal.

Now, let $\mathbf{P} = \mathbf{MN}$ be the parabolic subgroup of U_{2m+N} with Levi $\mathbf{M} \cong \mathrm{Res}\,\mathrm{GL}_m \times \mathrm{U}_N$. Then Proposition 5.2 tells us that $L(s, \pi_0 \times \tilde{\Pi}_{0,j})$ has a pole at $s = 0$ if and only if

$$\mathrm{ind}_P^{\mathrm{U}_{2m+N}(F)}(\tilde{\Pi}_{0,j} \otimes \tilde{\pi}_0)$$

is irreducible and $\tilde{\Pi}_{0,j} \otimes \tilde{\pi}_0 = w_0(\tilde{\Pi}_{0,j} \otimes \tilde{\pi}_0) \cong \Pi^{\theta}_{0,j} \otimes \tilde{\pi}_0$. This gives, for each j, that $\Pi_{0,j} \cong \tilde{\Pi}^{\theta}_{0,j}$. Furthermore, we have

$$L(s, \pi_0 \times \tilde{\Pi}_{0,j}) = L(s, \Pi_0 \times \tilde{\Pi}_{0,j}) = \prod_{i=0}^{d} L(s, \Pi_{0,i} \times \tilde{\Pi}_{0,j}).$$

Each L-function in the the product of the right hand side has a pole at $s = 0$ whenever $\Pi_{0,i} \cong \Pi_{0,j}$. However, the pole of $L(s, \pi_0 \times \tilde{\Pi}_{0,j})$ at $s = 0$ being simple, forces $\Pi_{0,i} \not\cong \Pi_{0,j}$ for $i \neq j$.

From the fact that $\Pi_{0,i} \cong \tilde{\Pi}^{\theta}_{0,i}$ and Proposition 6.3, we have

$$L(s, \Pi_{0,i} \times \tilde{\Pi}_{0,i}) = L(s, \Pi_{0,i}, r_{\mathcal{A}}) L(s, \Pi_{0,i} \otimes \eta_{E/F}, r_{\mathcal{A}}).$$

Then, one and only one of $L(s, \Pi_{0,i}, r_{\mathcal{A}})$ or $L(s, \Pi_{0,i} \otimes \eta_{E/F}, r_{\mathcal{A}})$ has a simple pole at $s = 0$. With the notation of § 6.5, we have $(E/F, \tilde{\Pi}_{0,i} \otimes \tilde{\pi}_0, \psi_E) \in \mathscr{L}_{\text{loc}}(p, \mathbf{M}, \mathbf{G})$, where $\mathbf{M} = \operatorname{Res} \mathrm{GL}_{m_i} \times \mathrm{U}_N$ and $\mathbf{G} = \mathrm{U}_{2m_i+N}$. By Proposition 5.2, the product

$$L(s, \tilde{\Pi}_{0,i} \otimes \tilde{\pi}_0, r_1) L(2s, \tilde{\Pi}_{0,i}, r_2)$$

has a simple pole at $s = 0$. Using $\Pi_{0,i} \cong \tilde{\Pi}^{\theta}_{0,i}$ and Proposition 6.3, we have that

$$L(s, \Pi_{0,i}, r_2) = L(s, \tilde{\Pi}_{0,i}, r_2) = \begin{cases} L(s, \Pi_{0,i}, r_{\mathcal{A}}) & \text{if } N = 2n \\ L(s, \Pi_{0,i} \otimes \eta_{E/F}, r_{\mathcal{A}}) & \text{if } N = 2n+1 \end{cases}.$$

Since we showed above that $L(s, \pi_0 \times \tilde{\Pi}_{0,i}) = L(s, \tilde{\Pi}_{0,i} \otimes \tilde{\pi}_0, r_1)$ has a simple pole at $s = 0$, then $L(2s, \tilde{\Pi}_{0,i}, r_2)$ cannot. Thus, depending on wether N is even or odd, the other one between $L(s, \Pi_{0,i}, r_{\mathcal{A}})$ and $L(s, \Pi_{0,i} \otimes \eta_{E/F}, r_{\mathcal{A}})$ must have a pole at $s = 0$. □

9.4. Discrete series, tempered representations and Langlands classification. Thanks to the work of Mœglin and Tadić [37], we have the classification of generic discrete series representations for the unitary groups. Their work allows us to obtain a generic discrete series representation ξ of $\mathrm{U}_N(F)$ as a subrepresentation as follows

$$\xi \hookrightarrow \operatorname{Ind}(\delta_1 \otimes \cdots \otimes \delta_d \otimes \delta'_1 \otimes \cdots \delta'_e \otimes \pi_0). \tag{9.5}$$

Here, for $1 \leq i \leq d$ and $1 \leq j \leq e$, we have essentially square integrable representations δ_i of $\mathrm{GL}_{l_i}(E)$ and δ'_j of $\mathrm{GL}_{m_j}(E)$. The representation π_0 is a generic supercuspidal of $\mathrm{U}_{N_0}(F)$, with N_0 of the same parity as N.

We can apply the Zelevinsky classification [54], to the essentially discrete representations of general linear groups appearing in the decomposition (9.5). They are obtained via segments of the form

$$\Delta = \left[\rho\nu^{-b}, \rho\nu^{a}\right],$$

where $a, b \in \frac{1}{2}\mathbb{Z}$, $a > b > 0$, and ρ is a supercuspidal representation of $\mathrm{GL}_f(E)$, $f | l_i$ or m_j, respectively.

The Mœglin-Tadić classification involves a further refinement of the segments corresponding to each δ_i and δ'_j. More precisely, let $a_i > b_i > 0$ now be integers of the same parity. Then we have

$$\delta_i = \operatorname{Ind}\left(\rho_i \nu^{-\frac{b_i - 1}{2}} \otimes \cdots \otimes \rho_i \nu^{\frac{a_i - 1}{2}}\right), \tag{9.6}$$

where ρ_i is a supercuspidal representation of $\mathrm{GL}_f(E)$, $f|l_i$. Furthermore, we have $\rho_i \cong \tilde{\rho}_i^\theta$. Next, let a'_j be a positive integer. We set $\epsilon_j = 1/2$ if a'_j is even and $\epsilon_j = 1$ if a'_j is odd. Then we have

$$\delta'_j = \mathrm{Ind}\left(\rho'_j \nu^{\epsilon_j} \otimes \cdots \otimes \rho'_j \nu^{\frac{a'_j - 1}{2}}\right), \tag{9.7}$$

with $\rho'_j \cong (\tilde{\rho}'_j)^\theta$ a supercuspidal representation of $\mathrm{GL}_{f'}(E)$, $f'|m_j$. Furthermore, the integer a'_j will be odd if $L(s, \pi_0 \times \rho')$ has a pole at $s = 0$, and a'_j will be even otherwise. This is due to the basic assumption (BA) made in [37] concerning the reducibility of the induced representation $\mathrm{Ind}(\rho'_j \nu^s \otimes \pi_0)$ at $s = 1/2$ or 1, which is addressed in Theorem 5.3.

Proposition 9.8. *Let ξ_0 be a generic discrete series representation of $\mathrm{U}_N(F)$, which we can write as*

$$\xi_0 \hookrightarrow \mathrm{Ind}(\delta_1 \otimes \cdots \otimes \delta_d \otimes \delta'_1 \otimes \cdots \delta'_e \otimes \pi_0) \tag{9.8}$$

with π_0 a generic supercuspidal of $\mathrm{U}_{N_0}(F)$ and δ_i, δ'_j as in (9.5). Then ξ_0 has a uniquely determined base change

$$\Xi_0 = \mathrm{BC}(\xi_0),$$

which is a tempered generic representation of $\mathrm{GL}_N(E)$ satisfying $\Xi_0 \cong \tilde{\Xi}_0^\theta$. The central character ω_{Ξ_0} of Ξ_0 is the character of $E^\times$ obtained from ω_{ξ_0} of E^1 via Hilbert's theorem 90. Moreover, the lift Ξ_0 is the generic constituent of an induced representation:

$$\Xi_0 \hookrightarrow \mathrm{Ind}\left(\delta_1 \otimes \cdots \otimes \delta_d \otimes \delta'_1 \otimes \cdots \otimes \delta'_e \otimes \Pi_0 \otimes \tilde{\delta}'^{\,\theta}_e \otimes \cdots \otimes \tilde{\delta}'^{\,\theta}_1 \otimes \tilde{\delta}^\theta_d \otimes \cdots \otimes \tilde{\delta}^\theta_1\right),$$

The representation Π_0 is the local Langlands functorial lift of π_0 of Proposition 9.7.

Proof. Let ξ_0 be as in the statement of the Proposition, and consider quadruples $(E/F, \xi_0, \rho, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathrm{U}_N, \mathrm{Res}\,\mathrm{GL}_m)$ such that ρ is an arbitrary supercuspidal representation. To π_0 there corresponds a Π_0 via Proposition 9.7. With Ξ_0 as in the Proposition, we have $(E/F, \Xi_0, \rho, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathrm{Res}\,\mathrm{GL}_N, \mathrm{Res}\,\mathrm{GL}_m)$. Then we can use multiplicativity of γ-factors to obtain

$$\begin{aligned}
\gamma(s, \xi_0 \times \rho, \psi_E) &= \gamma(s, \pi_0 \times \rho, \psi_E) \prod_{i=1}^{d} \prod_{j=1}^{e} \gamma(s, \delta_i \times \rho, \psi_E) \gamma(s, \delta'_j \times \rho, \psi_E) \\
&= \gamma(s, \Pi_0 \times \rho, \psi_E) \prod_{i=1}^{d} \prod_{j=1}^{e} \gamma(s, \delta_i \times \rho, \psi_E) \gamma(s, \delta'_j \times \rho, \psi_E) \\
&= \gamma(s, \Xi_0 \times \rho, \psi_E).
\end{aligned}$$

From Lemma 9.2, we have that Ξ_0 is the unique local Langlands lift of ξ_0. It satisfies $\Xi_0 \cong \tilde{\Xi}_0^\theta$ and has the right central character. □

We now turn to the tempered case, which is crucial, since L-functions and ε-factors are defined via γ-factors in this case. The proofs of the remaining two results are now similar to the case of a discrete series, thanks to Lemma 9.2.

Proposition 9.9. *Let τ_0 be a generic tempered representation of $\mathrm{U}_N(F)$, which we can write as*

$$\tau_0 \hookrightarrow \mathrm{Ind}\left(\delta_1 \otimes \cdots \otimes \delta_d \otimes \xi_0\right),$$

with each δ_i a discrete series representation of $\mathrm{GL}_{n_i}(E)$ and ξ_0 is one of $\mathrm{U}_{N_0}(F)$. Then τ_0 has a uniquely determined base change

$$T_0 = \mathrm{BC}(\tau_0),$$

which is a tempered generic representation $\mathrm{GL}_N(E)$ satisfying $T_0 \cong \tilde{T}_0^\theta$. The central character ω_{T_0} obtained from ω_{τ_0} via Hilbert's theorem 90. Specifically, the lift T_0 is of the form

$$T_0 = \mathrm{Ind}\left(\delta_1 \otimes \cdots \otimes \delta_d \otimes \Xi_0 \otimes \tilde{\delta}_d{}^\theta \otimes \cdots \otimes \tilde{\delta}_1^\theta\right).$$

The representation Ξ_0 is the base change lift of Proposition 9.8.

Proof. The proof is now along the lines of Proposition 9.8, where we use multiplicativity of γ-factors and the fact that Ξ_0 is the local Langlands lift of the discrete series ξ_0. This way, we obtain equality of γ-factors to apply Lemma 9.2 and conclude that $T_0 \cong \tilde{T}_0^\theta$ and has the correct central character. □

In general we have the Langlands quotient [4, 48]. The work of Muić on the standard module conjecture [42] helps us to realize a general generic representation π_0 of $\mathrm{U}_N(F)$ as the unique irreducible generic quotient of an induced representation. More precisely, π_0 is the Langlands quotient of

$$\mathrm{Ind}\left(\tau_1' \otimes \cdots \otimes \tau_d' \otimes \tau_0\right), \tag{9.9}$$

with each τ_i' a quasi-tempered representation of $\mathrm{GL}_{n_i}(E)$ and τ_0 a tempered representation of $\mathrm{U}_{N_0}(F)$. We can write $\tau_i' = \tau_{i,0}\nu^{t_i}$ with $\tau_{i,0}$ tempered and the Langlands parameters have $0 \le t_1 \le \cdots \le t_d$.

Theorem 9.10. *Let π_0 be a generic representation of $\mathrm{U}_N(F)$. Write π_0 as the Langlands quotient of*

$$\mathrm{Ind}\left(\tau_1' \otimes \cdots \otimes \tau_d' \otimes \tau_0\right),$$

as in (9.9). Then π_0 has a unique generic local base change

$$\Pi_0 = \mathrm{BC}(\pi_0),$$

which is a generic representation of $\mathrm{GL}_N(E)$ satisfying $\Pi_0 \cong \tilde{\Pi}_0^\theta$. The central character ω_{Π_0} obtained from ω_{π_0} via Hilbert's theorem 90. Specifically, the lift Π_0 is the Langlands quotient of

$$\mathrm{Ind}\left(\tau_1' \otimes \cdots \otimes \tau_d' \otimes T_0 \otimes \tilde{\tau}_d'^\theta \otimes \cdots \otimes \tilde{\tau}_1'^\theta\right),$$

with T_0 the Langlands functorial lift of the tempered representation τ_0. Given $(E/F, \pi_0, \tau, \psi) \in \mathscr{L}(p, \mathrm{U}_N, \mathrm{Res}\,\mathrm{GL}_m)$, we have equality of local factors

$$\gamma(s, \pi_0 \times \tau, \psi_E) = \gamma(s, \Pi_0 \times \tau, \psi_E)$$
$$L(s, \pi_0 \times \tau) = L(s, \Pi_0 \times \tau)$$
$$\varepsilon(s, \pi_0 \times \tau, \psi_E) = \varepsilon(s, \Pi_0 \times \tau, \psi_E).$$

Proof. We reason as in the case of a tempered representation, Proposition 9.9. Equality of local factors follows from the definition of base change and Lemmas 9.2 and 9.3, after incorporating twists by unramified characters. □

Theorem 9.10 summarizes our main local result. Local base change being recursively defined via the tempered, discrete series and supercuspidal cases of Propositions 9.9, 9.8 and 9.7, respectively.

9.5. Strong base change. Base change is now refined in such a way that it agrees with the local functorial lift of Theorem 9.10 at every place. Let

$$\mathfrak{h} : \mathrm{U}_1(\mathbb{A}_k) \to \mathrm{GL}_1(\mathbb{A}_K)$$

be the global reciprocity map such that $\mathfrak{h}_v$ is the map given by Hilbert's Theorem 90 at every place v of k, as in equation (6.1). We also have global twists by the unramified character ν of a general linear group obtained via the determinant, as in the local theory.

Theorem 9.11. *Let π be a cuspidal globally generic automorphic representation of $\mathbf{G}_n(\mathbb{A}_k)$. Then π has a base change to an automorphic representation of $\mathrm{GL}_N(\mathbb{A}_K)$, denoted by*

$$\Pi = \mathrm{BC}(\pi).$$

The central character of Π is given by $\omega_\Pi = \omega_\pi \circ \mathfrak{h}$ and is unitary. Furthermore, $\Pi \cong \tilde{\Pi}^\theta$ and there is an expression as an isobaric sum

$$\Pi = \Pi_1 \boxplus \cdots \boxplus \Pi_d,$$

where each Π_i is a unitary cuspidal automorphic representation of $\mathrm{GL}_{N_i}(\mathbb{A}_K)$ such that $\tilde{\Pi}_i \cong \Pi_i^\theta$ and $\Pi_i \ncong \Pi_j$, for $i \neq j$. At every place v of k, we have that

$$\Pi_v = \mathrm{BC}(\pi_v),$$

the local base change of Theorem 9.10 preserving local factors.

Proof. The weak base change

$$\Pi = \mathrm{BC}(\pi)$$

of Theorem 9.5 is unique with the property that it be generic. By Proposition 9.5, we know that Π has unitary central character

$$\omega_\Pi = \omega_\pi \circ \mathfrak{h}.$$

From the Jacquet-Shalika classification [22], it decomposes as an isobaric sum

$$\Pi = \Pi_1 \boxplus \cdots \boxplus \Pi_d.$$

More precisely, each cuspidal automorphic representation Π_i of $\mathrm{GL}_{N_i}(\mathbb{A}_K)$ can be written in the form

$$\Pi_i = \Xi_i \nu^{t_i},$$

with Ξ_i a unitary cuspidal automorphic representation of $\mathrm{GL}_{N_i}(\mathbb{A}_K)$. Reordering if necessary, we can assume $t_1 \leq \cdots \leq t_d$. We wish to prove that each $t_i = 0$. Notice that if $t_i < 0$ for some i, then there is a $j > i$ such that $t_j > 0$, due to the fact that Π is unitary. Also, we cannot have $t_1 > 0$. To obtain a contradiction, suppose there exists a t_{j_0} which is the smallest with the property $t_{j_0} < 0$.

Consider $(K/k, \pi, \Xi_{j_0}, \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{U}_N, \mathrm{Res}\,\mathrm{GL}_{N_{j_0}})$. Theorem 5.5 of [35] tells us that $L(s, \pi \times \tilde{\Xi}_{j_0})$ is holomorphic for $\Re(s) > 1$. However, if we consider $(K, \Pi, \Xi_{j_0}, \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{Res}\,\mathrm{GL}_N, \mathrm{Res}\,\mathrm{GL}_{N_{j_0}})$, we have that

$$L(s, \Pi \times \tilde{\Xi}_{j_0}) = \prod_{i=1}^{d} L(s + t_i, \Xi_i \times \tilde{\Xi}_{j_0}).$$

And, by Theorem 3.6 of [22] (part II) the L-function

$$L(s, \Xi_{j_0} \times \tilde{\Xi}_{j_0})$$

has a simple pole at $s = 1$. This carries over to a pole at $s = 1 - t_{j_0} > 1$ for

$$L(s, \pi \times \tilde{\Xi}_{j_0}) = L(s, \Pi \times \tilde{\Xi}_{j_0}).$$

This causes a contradiction unless there exists no such t_{j_0}. Hence, all t_i must be zero. This proves that each Π_i is a unitary cuspidal automorphic representation.

We now have that each $\Pi_i = \Xi_i$ and

$$L(s, \Pi \times \tilde{\Pi}_{l_0}) = \prod_{i=1}^{d} L(s, \Pi_i \times \tilde{\Pi}_{l_0}),$$

where l_0 is a fixed ranging from $1 \leq l_0 \leq d$. On the right hand side we have a pole at $s = 1$ every time that $\Pi_{l_0} \cong \Pi_i$, by Proposition 3.6 of [22] part II. However, on the left hand side, from Proposition 5.5 of [35], we have that $L(s, \Pi \times \tilde{\Pi}_{l_0}) = L(s, \pi \times \tilde{\Pi}_{l_0})$ has only a simple pole at $s = 1$. Hence, $\Pi_{l_0} \cong \Pi_i$ can only occur if $l_0 = i$.

The compatibility of global to local base change is addressed by Proposition 9.5 (i). We have that

$$\Pi_v = \mathrm{BC}(\pi_v)$$

is unique and must be given by Theorem 9.10. Notice that $\Pi_v \cong \tilde{\Pi}_v^\theta$ for every $v \notin S$. By multiplicity one for GL_N, we globally have $\Pi \cong \tilde{\Pi}^\theta$.

It remains to show that, for each j, we have $\Pi_j \cong \tilde{\Pi}_j^\theta$. For this, let $\mathrm{T}_j = \Pi_j \otimes \pi$. From Corollary 4.2 of [35], $L(s, \pi \times \tilde{\Pi}_j)$ is a Laurent polynomial if $\tilde{w}_0(\mathrm{T}_j) \ncong \mathrm{T}_j$. In this case, would have no poles. However

$$L(s, \pi \times \tilde{\Pi}_j) = \prod_{i}^{d} L(s, \Pi_i \times \tilde{\Pi}_j)$$

has only a simple pole at $s = 1$, reasoning as before. Thus, it must be the case that $\tilde{w}_0(\mathrm{T}_j) \cong \mathrm{T}_j$, giving the desired $\Pi_j \cong \tilde{\Pi}_j^\theta$. □

10. Ramanujan Conjecture and Riemann Hypothesis

Let K/k be a quadratic extension of global function fields of characteristic p. We can now complete the proof of the existence of extended γ-factors, L-functions and root number in order to include products of two unitary groups. Note that the Base Change map of Theorem 8.5 was strengthened in Theorem 9.11 so that it agrees with the local functorial lift of Theorem 9.10 at every place v of k. In this way, we can prove one of our two main applications for the unitary groups. The Riemann Hypothesis for L-functions associated to products of cuspidal automorphic representations of two general linear groups was proved by Laurent Lafforgue in [26].

Theorem 10.1. *Let γ, L and ε be rules on $\mathscr{L}_{\mathrm{loc}}(p)$ satisfying the axioms of Theorem 7.3. Given $(K/k, \pi, \tau, \psi) \in \mathscr{L}_{\mathrm{glob}}(p)$, define*

$$L(s, \pi \times \tau) = \prod_v L(s, \pi_v \times \tau_v) \quad \text{and} \quad \varepsilon(s, \pi \times \tau, \psi) = \prod_v \varepsilon(s, \pi_v \times \tau_v, \psi_v).$$

Automorphic L-functions on $\mathscr{L}_{\mathrm{glob}}(p)$ satisfy the following:

(i) (Rationality). *$L(s, \pi \times \tau)$ converges absolutely on a right half plane and has a meromorphic continuation to a rational function on q^{-s}.*

(ii) (Functional equation). $L(s, \pi \times \tau) = \varepsilon(s, \pi \times \tau) L(1 - s, \bar{\pi} \times \bar{\tau})$.

(iii) (Riemann Hypothesis). *The zeros of $L(s, \pi \times \tau)$ are contained in the line $\Re(s) = 1/2$.*

10.1. Extended local factors. Let us complete the definition of extended local factors of Theorem 7.3. The case of a unitary group and a general linear group already addressed in § 6. An exposition, within the Langlands-Shahidi method, of the case of two general linear groups can be found in [20]. We now focus on the new case of $\mathbf{G}_1 = \mathrm{U}_M$ and $\mathbf{G}_2 = \mathrm{U}_N$.

Definition 10.2. *Given* $(E/F, \pi_0, \tau_0, \psi) \in \mathscr{L}_{\mathrm{loc}}(p, \mathrm{U}_M, \mathrm{U}_N)$*, let*

$$\Pi_0 = \mathrm{BC}(\pi_0) \textit{ and } T_0 = \mathrm{BC}(\tau_0)$$

be the corresponding base change maps of Theorem 9.10. We define

$$\begin{aligned} \gamma(s, \pi_0 \times \tau_0, \psi_E) &:= \gamma(s, \Pi_0 \times T_0, \psi_E) \\ L(s, \pi_0 \times \tau_0) &:= L(s, \Pi_0 \times T_0) \\ \varepsilon(s, \pi_0 \times \tau_0, \psi_E) &:= \varepsilon(s, \Pi_0 \times T_0, \psi_E). \end{aligned}$$

The defining Properties (vii)–(x) of Theorem 7.3 allow us to construct L-functions and root numbers from γ-factors in the tempered case. This is compatible with the decomposition of π_0 and τ_0 of Theorem 9.10. The rules γ, L and ε then satisfy all of the local properties of Theorem 7.3. The remaining property, the global functional equation, is part (ii) of Theorem 10.1.

10.2. Proof of Theorem 10.1. The case of two general linear groups, i.e., for $(K/k, \pi, \tau, \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{Res}_{K/k}\mathrm{GL}_M, \mathrm{Res}_{K/k}\mathrm{GL}_N)$, is already well understood. Properties (i) and (ii) of Theorem 10.1 are attributed to Piatetski-Shapiro [43]. They can be proved in a self contained way via the Langlands-Shahidi method over function fields, see [20, 34]. The Riemann Hypothesis in this case was proved by Laurent Lafforgue in [26].

The case of $(K/k, \pi, \tau, \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{Res}_{K/k}\mathrm{GL}_m, \mathrm{U}_N)$ is included in Theorem 5.1 by taking $\mathbf{M} = \mathrm{GL}_m \times \mathrm{U}_N$ as a maximal Levi subgroup of $\mathbf{G} = \mathbf{U}_{N+2m}$ and forming the globally generic representation $\tau \otimes \tilde{\pi}$ of $\mathbf{M}(\mathbb{A}_k)$. Property (i) in this situation is Proposition 1.2 of [35]. Property (ii) is the functional equation of § 5.3. To prove the Riemann Hypothesis, we let

$$\mathrm{BC}(\pi) = \Pi = \Pi_1 \boxplus \cdots \boxplus \Pi_d$$

be the base change lift of Theorem 9.11. Then

$$L(s, \pi \times \tau) = L(s, \Pi \times \tau) = \prod_{i=1}^{d} L(s, \Pi_i \times \tau),$$

with each $(K/k, \Pi_i, \tau, \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{Res}_{K/k}\mathrm{GL}_{m_i}, \mathrm{Res}_{K/k}\mathrm{GL}_{n_i})$. This reduces the problem to the Rankin-Selberg case, established by L. Lafforgue.

Given $(K/k, \pi, \tau, \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{U}_M, \mathrm{U}_N)$, let

$$\mathrm{BC}(\pi) = \Pi = \Pi_1 \boxplus \cdots \boxplus \Pi_d \quad \text{and} \quad \mathrm{BC}(\tau) = T = T_1 \boxplus \cdots \boxplus T_e$$

be the base change maps of Theorem 9.11. Then

$$L(s, \pi \times \tau) = L(s, \Pi \times T) = \prod_{i,j} L(s, \Pi_i \times T_j).$$

For each $(K/k, \Pi_i, T_j, \psi) \in \mathscr{L}_{\mathrm{glob}}(p, \mathrm{Res}_{K/k}\mathrm{GL}_{m_i}, \mathrm{Res}_{K/k}\mathrm{GL}_{n_i})$, $1 \leq i \leq d$, $1 \leq j \leq e$, we have rationality, the functional equation

$$L(s, \Pi_i \times T_j) = \varepsilon(s, \Pi_i \times T_i) L(1 - s, \tilde{\Pi}_i \times \tilde{T}_j),$$

and the Riemann Hypothesis. Hence the L-function $L(s, \pi \times \tau)$ also satisfies Properties (i)–(iii) of Theorem 10.1. □

10.3. The Ramanujan Conjecture. Base Change over function fields allows us to transport the Ramanujan conjecture from the unitary groups to GL_N. The Ramanujan conjecture for cuspidal representations of general linear groups, being a theorem of L. Lafforgue [26].

Theorem 10.3. *Let $\pi = \otimes' \pi_v$ be a globally generic cuspidal automorphic representation of $\mathrm{U}_N(\mathbb{A}_k)$. Then every π_v is tempered. Whenever π_v is unramified, its Satake parameters satisfy*

$$|\alpha_{j,v}|_{k_v} = 1, \quad 1 \leq j \leq n.$$

Proof. Fix a place v of k, which remains inert in K. We can write π_v as the generic constituent of

$$\mathrm{Ind}\left(\tau'_{1,v} \otimes \cdots \tau'_{d,v} \otimes \tau_{0,v}\right),$$

as in (9.9), with each $\tau'_{i,v}$ quasi-tempered and $\tau_{0,v}$ tempered. Furthermore, we can write $\tau'_{i,v} = \tau_{i,v} \nu^{t_{i,v}}$ with $\tau_{i,v}$ tempered and Langlands parameters $0 \leq t_{1,v} \leq \cdots \leq t_{d,v}$.

Now, let $\Pi = \mathrm{BC}(\pi)$ be the base change lift of Theorem 9.11. From Theorem 9.11, Π_v is the local Langlands functorial lift of π_v. By Theorem 9.10, Π_v is the generic constituent of

$$\mathrm{Ind}\left(\tau'_{1,v} \otimes \cdots \otimes \tau'_{d,v} \otimes T_{0,v} \otimes \tilde{\tau}'^{\theta}_{d,v} \otimes \cdots \otimes \tilde{\tau}'^{\theta}_{1,v}\right), \tag{10.1}$$

with $T_{0,v}$ the Langlands functorial lift of the tempered representation $\tau_{0,v}$. The representation $T_{0,v}$ is also tempered by Lemma 9.4.

On the other hand, the base change lift can be expressed as an isobaric sum

$$\Pi = \Pi_1 \boxplus \cdots \boxplus \Pi_e,$$

with each Π_i a cuspidal unitary automorphic representation of $\mathrm{GL}_{m_i}(\mathbb{A}_K)$. Hence, Π_v is obtained from

$$\mathrm{Ind}(\Pi_{1,v} \otimes \cdots \otimes \Pi_{e,v}). \tag{10.2}$$

Then, thanks to Théorème VI.10 of [26], each $\Pi_{i,v}$ is tempered.

We then look at a fixed $\tilde{\tau}_{j,v}$ from (10.1), where we now have

$$\begin{aligned} L(s, \pi_v \times \tilde{\tau}_{j,v}) &= L(s, \Pi_v \times \tilde{\tau}_{j,v}) \\ &= L(s, \tau_{0,v} \times \tilde{\tau}_{j,v}) \prod_{i=1}^{d} L(s + t_{i,v}, \tau_{i,v} \times \tilde{\tau}_{j,v}) L(s - t_{i,v}, \tau_{i,v} \times \tilde{\tau}_{j,v}). \end{aligned}$$

The L-function $L(s - t_{j,v}, \tau_{j,v} \times \tilde{\tau}_{j,v})$ appearing on the right hand side has a pole at $s = t_{j,v}$. However, from (10.2) we have

$$L(s, \Pi_v \times \tilde{\tau}_{j,v}) = \prod_{i=1}^{e} L(s, \Pi_{i,v} \times \tilde{\tau}_{j,v}).$$

Notice that each representation involved in the product on the right hand side is tempered. Then each $L(s, \Pi_{i,v} \times \tilde{\tau}_{j,v})$ is holomorphic for $\Re(s) > 0$. Hence, so is $L(s, \Pi_v \times \tilde{\tau}_{j,v})$. This causes a contradiction unless $t_{j,v} = 0$.

If v is split, we have that $\mathrm{BC}(\pi_v) = \pi_v \otimes \tilde{\pi}_v$ from § 8.4. For the places w_1 and w_2 of K lying above v, we have that the representation of $\mathrm{GL}_N(k_v)$

$$\pi_v = \mathrm{Ind}(\Pi_{1,w_1} \otimes \cdots \otimes \Pi_{e,w_1})$$

is tempered. Hence, so is

$$\tilde{\pi}_v = \mathrm{Ind}(\tilde{\Pi}_{1,w_2} \otimes \cdots \otimes \tilde{\Pi}_{e,w_2}).$$

Now, if v is inert and π_v is unramified, we have from § 8.3 that the unramified Base Change $\mathrm{BC}(\pi_v) = \Pi_v$ corresponds to a semisimple conjugacy class given by

$$\Phi_v(\mathrm{Frob}_v) = \begin{cases} \mathrm{diag}(\alpha_{1,v}, \ldots, \alpha_{n,v}, 1, \alpha_{n,v}^{-1}, \ldots, \alpha_{1,v}^{-1}) & \text{if } N = 2n+1 \\ \mathrm{diag}(\alpha_{1,v}, \ldots, \alpha_{n,v}, \alpha_{n,v}^{-1}, \ldots, \alpha_{1,v}^{-1}) & \text{if } N = 2n \end{cases}.$$

Each $\alpha_{j,v}$ or $\alpha_{j,v}^{-1}$ is a Satake parameter for one of the representations $\Pi_{i,v}$, which are unramified. Since we are in the case of $\mathrm{GL}_{m_i}(K_v)$, we conclude that

$$|\alpha_{j,v}|_{k_v} = 1.$$

□

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