



**Max Planck Institute for Mathematics  
California Institute of Technology**



# Complex Chern-Simons theory

Arbeitstagung, 27 May 2013



## Interview with Sir Michael Atiyah on **math**, **physics** and **fun**

What makes a mathematics problem fun for you?

The main thing that interests me in mathematics always is the interconnection between different parts of mathematics, the fact that one problem may have half a dozen different ways of being looked at in different subjects, a bit of algebra, a bit of geometry, a bit of topology. It's this interaction and bridges that interest me.



In this talk, I will explain a connection (motivated from physics) between three seemingly unrelated subjects:

- Quantum and homological invariants of knots and links
- Classical geometry of Higgs bundle moduli spaces
- "Quantum symplectic geometry", Fukaya category, enumerative invariants, ...

# Chern-Simons gauge theory

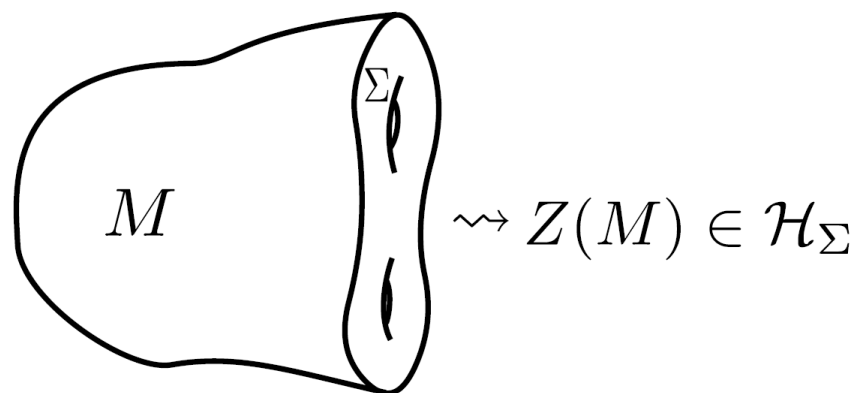
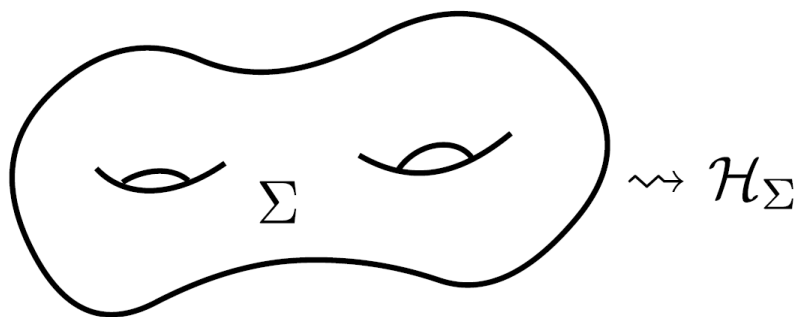
$$S = \int_M \text{Tr} (A \wedge dA + \frac{2}{3} A \wedge A \wedge A)$$

- non-abelian interacting gauge theory (TQFT)
- has a long history ...
- has many applications ...
  - to condensed matter physics
  - to string theory
  - to low dimensional topology
  - to quantum information



# Cutting and Gluing

closed 3-manifold $M$	$\rightsquigarrow$	number $Z(M)$
closed 2-manifold $\Sigma$	$\rightsquigarrow$	vector space $Z(\Sigma)$
closed 1-manifold $S^1$	$\rightsquigarrow$	category $Z(S^1)$
point $p$	$\rightsquigarrow$	2-category $Z(p)$ .

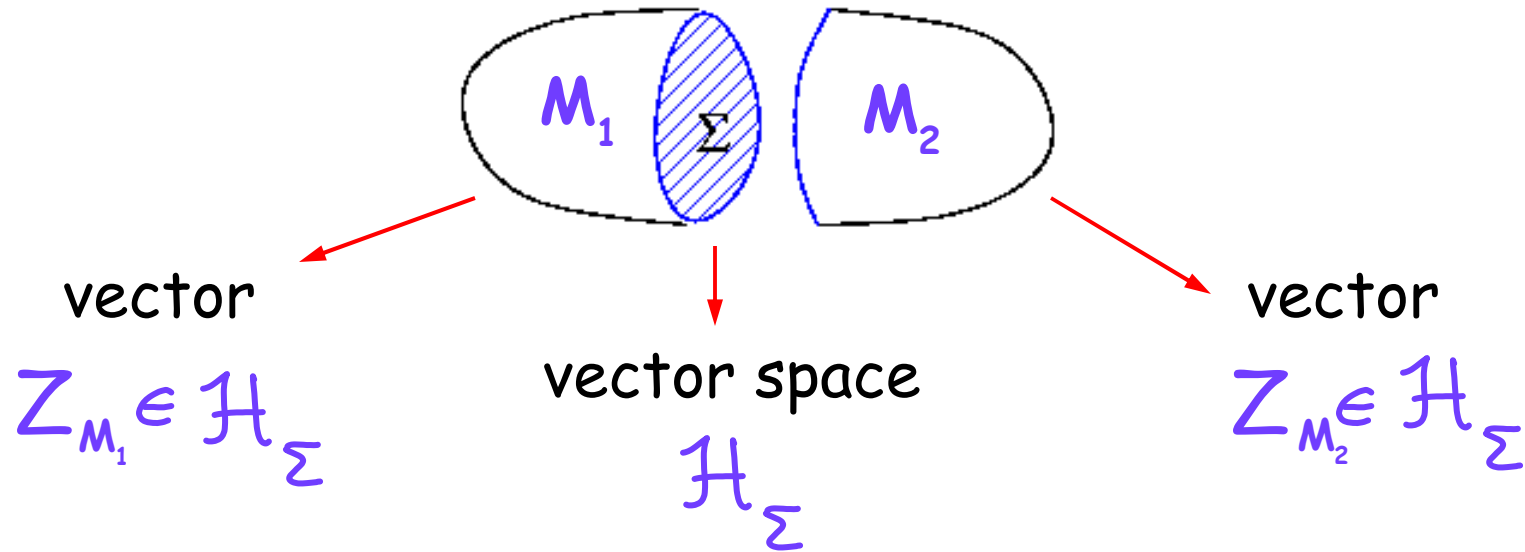


see Kenji Ueno's talk

# Cutting and Gluing

In three-dimensional TQFT:

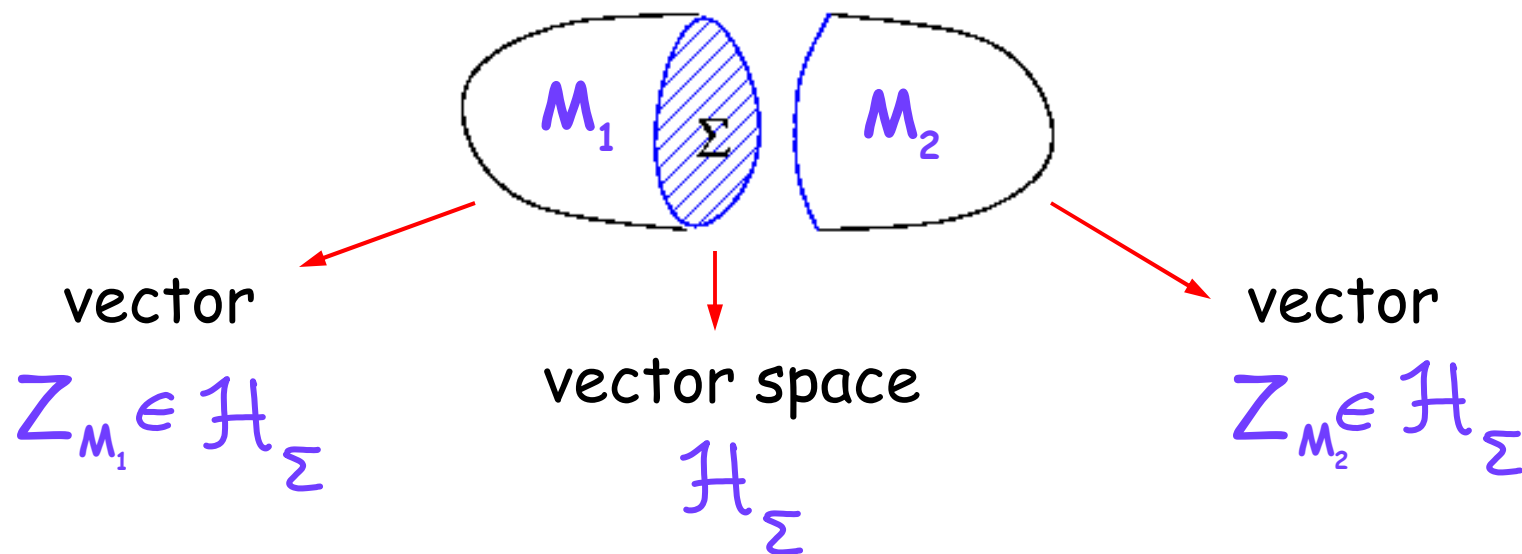
M. Atiyah, G. Segal



# Cutting and Gluing

In three-dimensional TQFT:

M. Atiyah, G. Segal

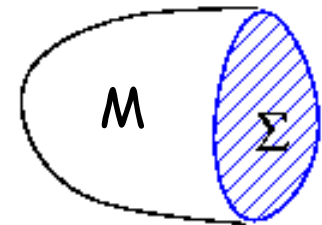


$$M = M_1 \cup M_2 \quad \longrightarrow \quad Z(M) = \langle Z_{M_1} | Z_{M_2} \rangle$$

# Chern-Simons gauge theory

$$S = \int_M \text{Tr} (A \wedge dA + \frac{2}{3} A \wedge A \wedge A)$$

$M$  = 3-manifold (possibly with boundary)



$$Z(M) = \int \mathcal{D}A e^{-\frac{S}{\hbar}}$$

"quantum invariant" of  $M$

[N.Reshetikhin, V.Turaev]

[E.Witten]

- depends on the choice of the gauge group
- depends on the "coupling constant"  $\hbar$

$$q = e^{\hbar}$$



# Gauge Group

$G$  = (simple) compact Lie group  $SU(2)$

- $\mathfrak{H}_\Sigma$  finite-dimensional
- unitary representations *discrete*

$G_c$  = complexification of  $G$   $SL(2, \mathbb{C})$

- $\mathfrak{H}_\Sigma$  infinite-dimensional
- unitary representations *continuous*

# Gauge Group

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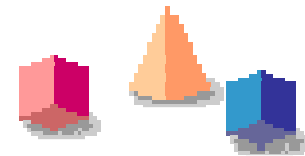
➔ state sum model for  $Z(M)$



$G_c$  = complexification of  $G$

- $\mathcal{H}_\Sigma$  infinite-dimensional
- unitary representations *continuous*

➔ state **integral** model for  $Z(M)$



# The role of $q$



compact  $G$  :  $q = \text{root of } 1$

complex  $G_{\mathbb{C}}$  :  $q \in \mathbb{C}$

*modularity?*

(cf.  $q = \exp(2\pi i \tau)$   $\tau \rightarrow -\frac{1}{\tau}$ )

- Surprising hidden symmetry:

$$G \rightarrow {}^L G \quad \hbar \rightarrow {}^L \hbar = -\frac{4\pi^2}{\hbar}$$

# The role of $q$

Galois  
representations  
of  $G$

$U(N)$   
 $SO(2N)$   
 $SO(2N+1)$   
 $E_6$   
 $E_8$



automorphic  
representations  
of  ${}^L G$

$U(N)$   
 $SO(2N)$   
 $Sp(2N)$   
 $E_6/Z_3$   
 $E_8$



Robert Langlands

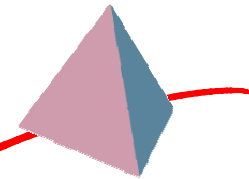
- Surprising hidden symmetry:

$$G \rightarrow {}^L G \quad \hbar \rightarrow {}^L \hbar = -\frac{4\pi^2}{\hbar}$$

# Computing $G_{\mathbb{C}}$ partition functions

• Dehn surgeries

• triangulations



quantum dilogarithm

$$Z^{CS}(M; \hbar) = \int_{C_\rho} \prod_{j=1}^N \Phi_{\hbar}(\Delta_j)^{\pm 1} \prod_{i=1}^{N-b_0(\Sigma)} \frac{dp_i}{\sqrt{4\pi\hbar}}$$

choice of contour

$$\stackrel{\hbar \rightarrow 0}{\sim} \exp \left( \frac{1}{\hbar} S_0 + S_1 + \hbar S_2 + \dots \right)$$

For details see e.g. arXiv:0903.2472  
with T.Dimofte, J.Lenells, D.Zagier



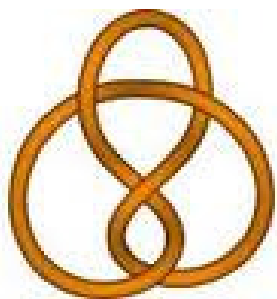
Ray-Singer  
torsion of  $M$

arithmetic of  $M$



# "Looking back"

knot  $K$



[R. Kashaev, 1996]

invariant  $\langle K \rangle_n \in \mathbb{C}$



labeled by a positive integer  $n$

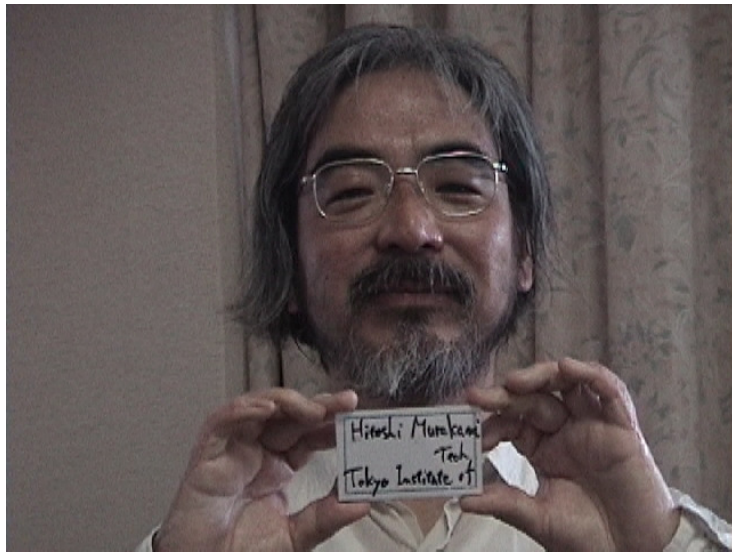
- defined via R-matrix
- **very** hard to compute

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log \langle K \rangle_n = \text{Vol} (S^3 \setminus K)$$

("volume conjecture")

# A first step to understanding the Volume Conjecture

$\langle K \rangle_n = J_n(q)$  colored Jones polynomial  
with  $q = \exp(2\pi i/n)$



Hitoshi Murakami



Jun Murakami (1999)

# Colored Jones polynomial

$$J_2(q) = J(q) = \text{Jones polynomial}$$

- In Chern-Simons TQFT

[E.Witten, 1989]

Wilson loop operator

$$\langle \text{link} \rangle = \text{polynomial in } q$$

R



2-dimn'l representation of  $SU(2)$



# Colored Jones polynomial

$$J_2(q) = J(q) = \text{Jones polynomial}$$

- Skein relations:

$$q^2 \mathcal{J}(\text{crossing}) - q^{-2} \mathcal{J}(\text{crossing}) = (q^{-1} - q) \cdot \mathcal{J}(\text{parallel})$$

$$\mathcal{J}(\text{unknot}) = q^{-1} + q$$

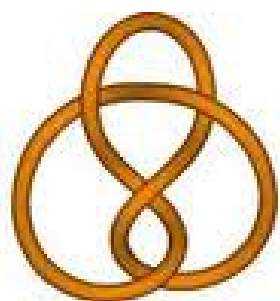
Example:

$$\mathcal{J}(\text{trefoil}) = q + q^3 + q^5 - q^9$$

# Colored Jones polynomial

knot  $K$

$n$ -colored Jones polynomial:



$$J_n(K; q) \in \mathbb{Z}[q, q^{-1}]$$

$R = n$ -dimn'l representation of  $SU(2)$

- "Cabling formula":

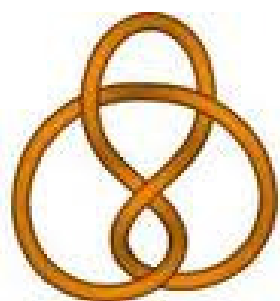
$$J_{\oplus_i R_i}(K; q) = \sum_i J_{R_i}(K; q)$$

$$J_R(K^n; q) = J_{R^{\otimes n}}(K; q),$$

# Colored Jones polynomial

knot  $K$

$n$ -colored Jones polynomial:



$$J_n(K; q) \in \mathbb{Z}[q, q^{-1}]$$

$R = n$ -dimn'l representation of  $SU(2)$

$$J_1(K; q) = 1,$$

$$J_2(K; q) = J(K; q),$$

$$2^{\otimes 2} = 1 \oplus 3 \Rightarrow J_3(K; q) = J(K^2; q) - 1,$$

$$2^{\otimes 3} = 2 \oplus 2 \oplus 4 \Rightarrow J_4(K; q) = J(K^3; q) - 2J(K; q)$$

...

# Volume Conjecture

Murakami & Murakami:

cf.  $\text{Arf}(K) = J(i)$

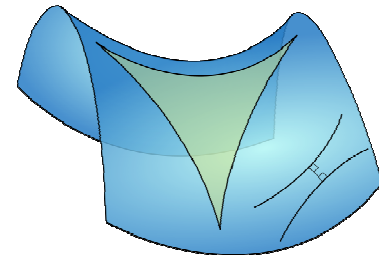
$$\langle K \rangle_n = J_n(K; q = e^{2\pi i/n})$$

$$\lim_{n \rightarrow \infty} \frac{2\pi \log |J_n(K; q = e^{2\pi i/n})|}{n} = \text{Vol}(M)$$

quantum group invariants  
(combinatorics,  
representation theory)



classical hyperbolic  
geometry



# Interpretation in Chern-Simons theory

- analytic continuation of  $SU(2)$  is  $SL(2, \mathbb{C})$

$$\lim_{n \rightarrow \infty} \frac{2\pi \log |J_n(K; q = e^{2\pi i/n})|}{n} = \text{Vol}(M)$$

- constant negative curvature metric on  $M$   $\longrightarrow$  flat  $SL(2, \mathbb{C})$  connection on  $M = S^3 \setminus K$

$$R_{ij} = -2g_{ij}$$

$$dA + A \wedge A = 0$$

# Large Color Limit

Moral:

$$\lim_{n \rightarrow \infty} \left( \text{SU}(2) \text{ Chern-Simons} \right) \simeq \text{SL}(2, \mathbb{C}) \text{ Chern-Simons}$$

Classical limit  $q = \exp(2\pi i/n) \rightarrow 1$

- leads to many generalizations...

$$q = e^{\hbar} \rightarrow 1, \quad n \rightarrow \infty, \quad q^n = (x) \text{ (fixed)}$$

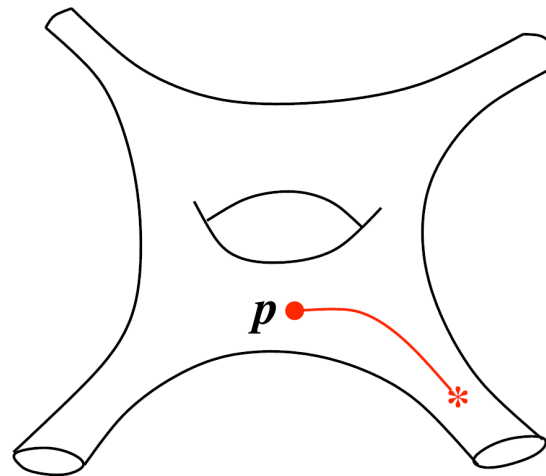
# Knots and Algebraic Curves

Generalized Volume Conjecture:

$$J_n(K; q = e^{\hbar}) \underset{\hbar \rightarrow 0}{\overset{n \rightarrow \infty}{\sim}} \exp \left( \frac{1}{\hbar} \int \log y \frac{dx}{x} + \dots \right)$$

where

$$x = q^n = \text{fixed}$$



planar algebraic  
curve

$$A(x, y) = 0$$

# Classical A-polynomial

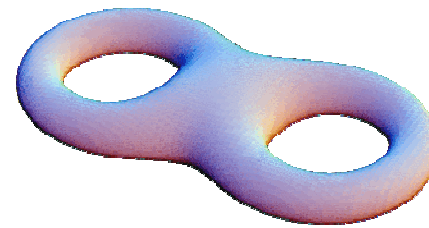
[D.Cooper, M.Culler, H.Gillet, D.Long, P.B.Shalen]

$M$  = 3-manifold  
with a toral boundary,  
*e.g.* a knot complement



representation  
variety:

planar algebraic curve:



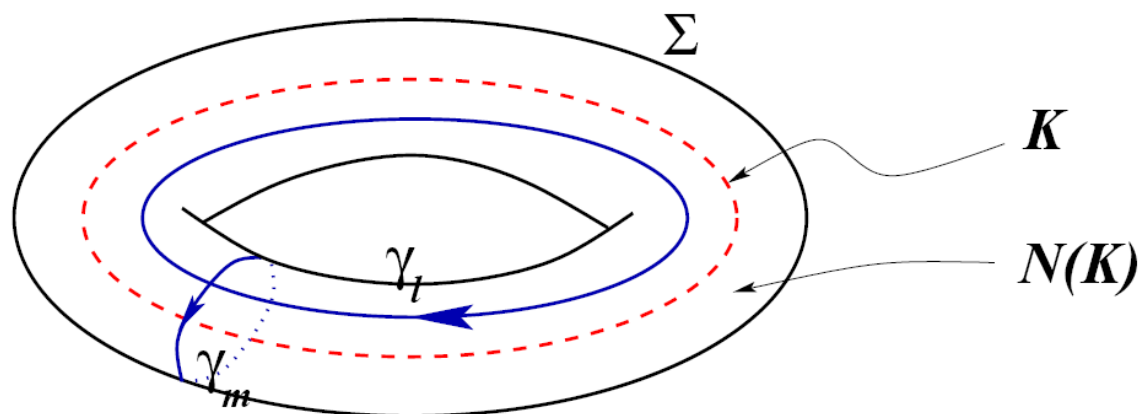
A-polynomial  
of a knot  $K$

$$\left\{ (x, y) \in \mathbb{C}^* \times \mathbb{C}^* \mid \underline{A(x, y) = 0} \right\}$$

$$\rho: \pi_1(M) \rightarrow SL(2, \mathbb{C})$$



Consider, for a example, a knot complement:



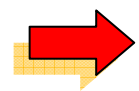
$$\rho(\gamma_l) = \begin{pmatrix} y & * \\ 0 & y^{-1} \end{pmatrix}, \quad \rho(\gamma_m) = \begin{pmatrix} x & * \\ 0 & x^{-1} \end{pmatrix}$$

$$\pi_1 = \langle a, b \mid a b a = b a b \rangle$$

$$\left\{ \begin{array}{l} m = a \\ \ell = b a^2 b a^{-4} \end{array} \right. \Rightarrow A(x, y) = (y - 1)(y + x^3)$$

# Properties of the A-polynomial

$H_1(M) \cong \mathbb{Z}$  for any knot complement



$$A(x,y) = (y-1) ( \dots )$$

Abelian  
representations

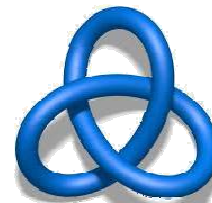
non-Abelian  
representations

- If  $K$  is a hyperbolic knot, then  $A(x,y) \neq y-1$ .
- If  $K$  is a knot in a homology sphere, then the A-polynomial involves only even powers of  $x$ .

# Properties of the A-polynomial

- A-polynomial can distinguish mirror knots:

$$A(x,y) = 0 \quad \xleftrightarrow{\text{parity}} \quad A(x^{-1},y) = 0$$

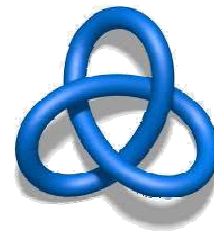
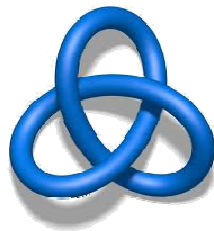


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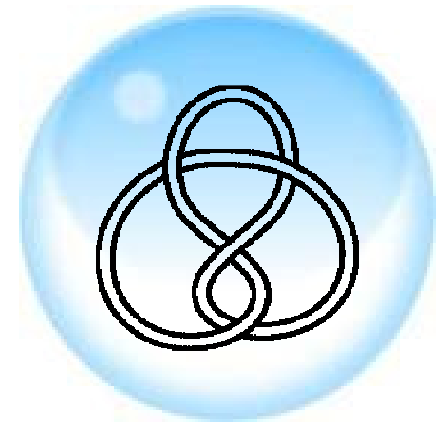
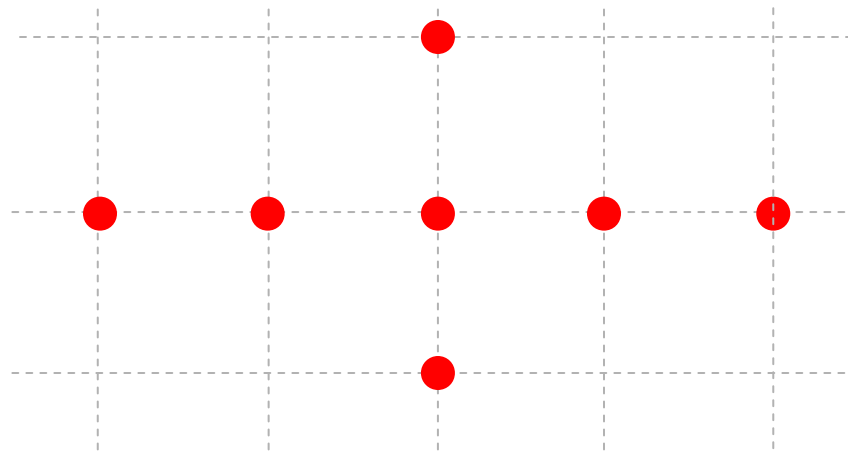
- The A-polynomial is reciprocal:

$$A(x,y) \sim A(x^{-1},y^{-1})$$

- The A-polynomial has integer coefficients

# Properties of the A-polynomial

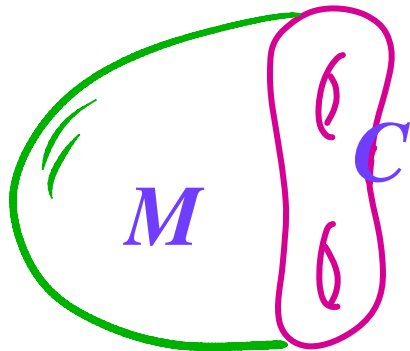
- The A-polynomial is tempered, *i.e.* the faces of the Newton polygon of  $A(x,y)$  define cyclotomic polynomials in one variable:



- The slopes of the sides of the Newton polygon of  $A(x,y)$  are boundary slopes of incompressible surfaces in  $\mathcal{M}$ .

# Branes in Hitchin moduli space

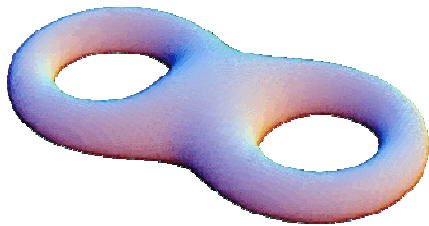
$M$  = 3-manifold with boundary  $C$  (= genus- $g$  Riemann surface)



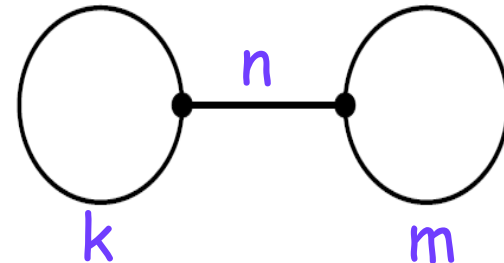
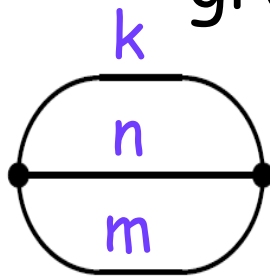
Example:  $g=1$   
knot complement



Example:  $g=2$

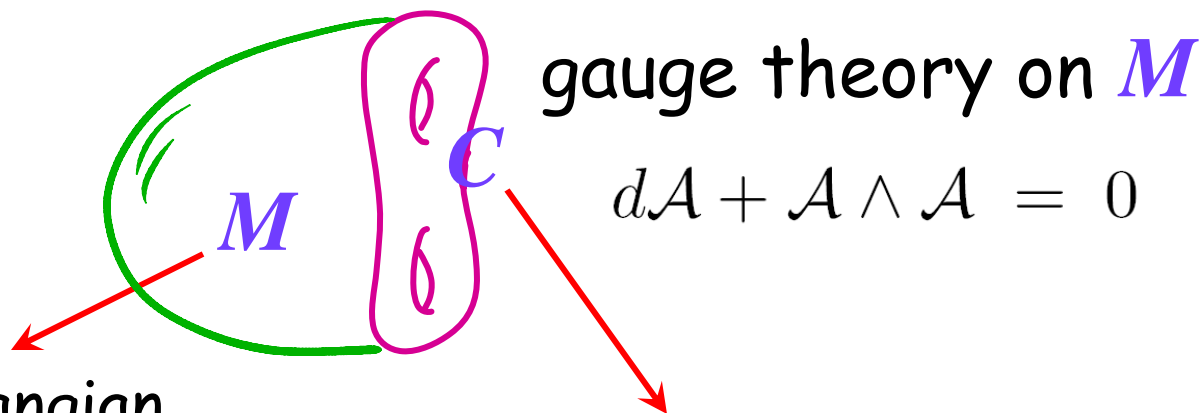


graph complement



# Branes in Hitchin moduli space

$M$  = 3-manifold with boundary  $C$  (= genus- $g$  Riemann surface)



Lagrangian  
submanifold

hyper-Kähler manifold

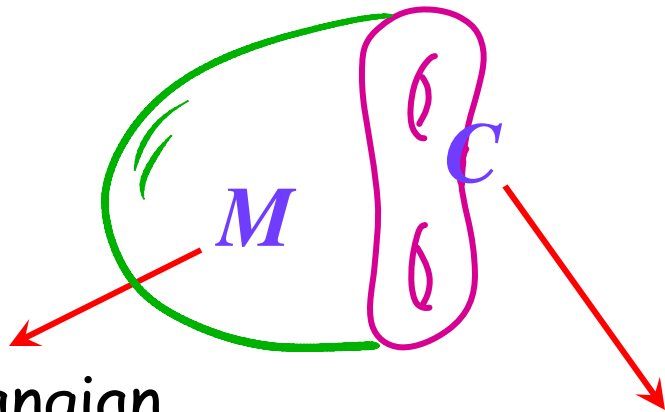


$$\mathcal{M}_{\text{flat}}(G_{\mathbb{C}}, M) \subset \mathcal{M}_{\text{flat}}(G_{\mathbb{C}}, C) \cong \mathcal{M}_H(G, C)$$

with respect to  $\Omega_J = \omega_K + i\omega_I = \frac{1}{4\pi^2\hbar} \int_C \text{Tr} \delta A \wedge \delta A$

# Branes in Hitchin moduli space

$M$  = 3-manifold with boundary  $C$  (= genus- $g$  Riemann surface)



Lagrangian  
submanifold

hyper-Kähler manifold



$$\mathcal{M}_{\text{flat}}(G_{\mathbb{C}}, M) \subset \mathcal{M}_{\text{flat}}(G_{\mathbb{C}}, C) \cong \mathcal{M}_H(G, C)$$

with respect to  $\Omega_J = \omega_K + i\omega_I \Rightarrow (A, B, A)$  brane !



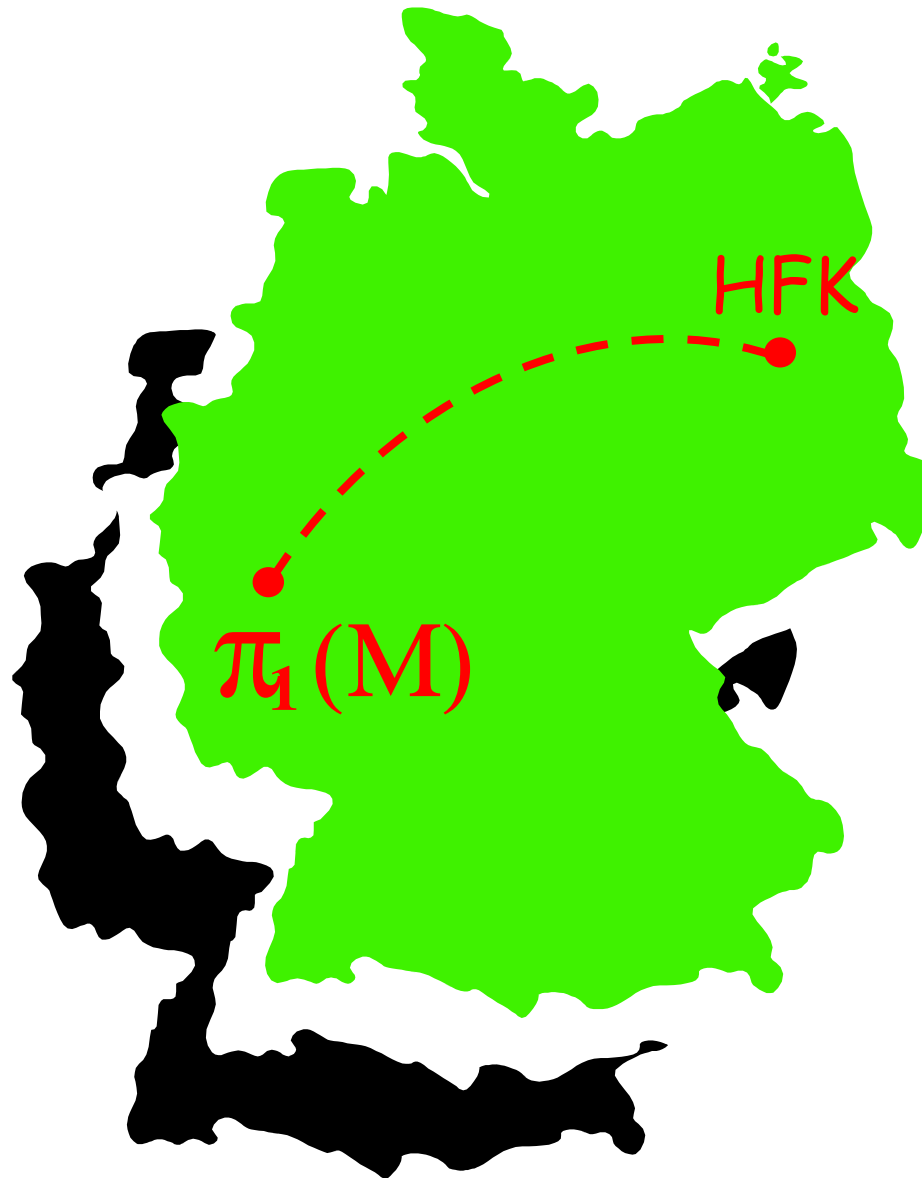
# Lessons

- A-polynomial as a limit shape (in large **color** limit)
- the A-polynomial curve should be viewed as a **holomorphic Lagrangian** submanifold (as opposed to a complex equation) in moduli space of Higgs bundles
- its quantization with **symplectic** form  $\frac{dy}{y} \wedge \frac{dx}{x}$  leads to an interesting wave function
- has all the attributes to be an analog of the **Seiberg-Witten curve** for knots and 3-manifolds
- Generalizations!

see Zoltan Szabo's talk

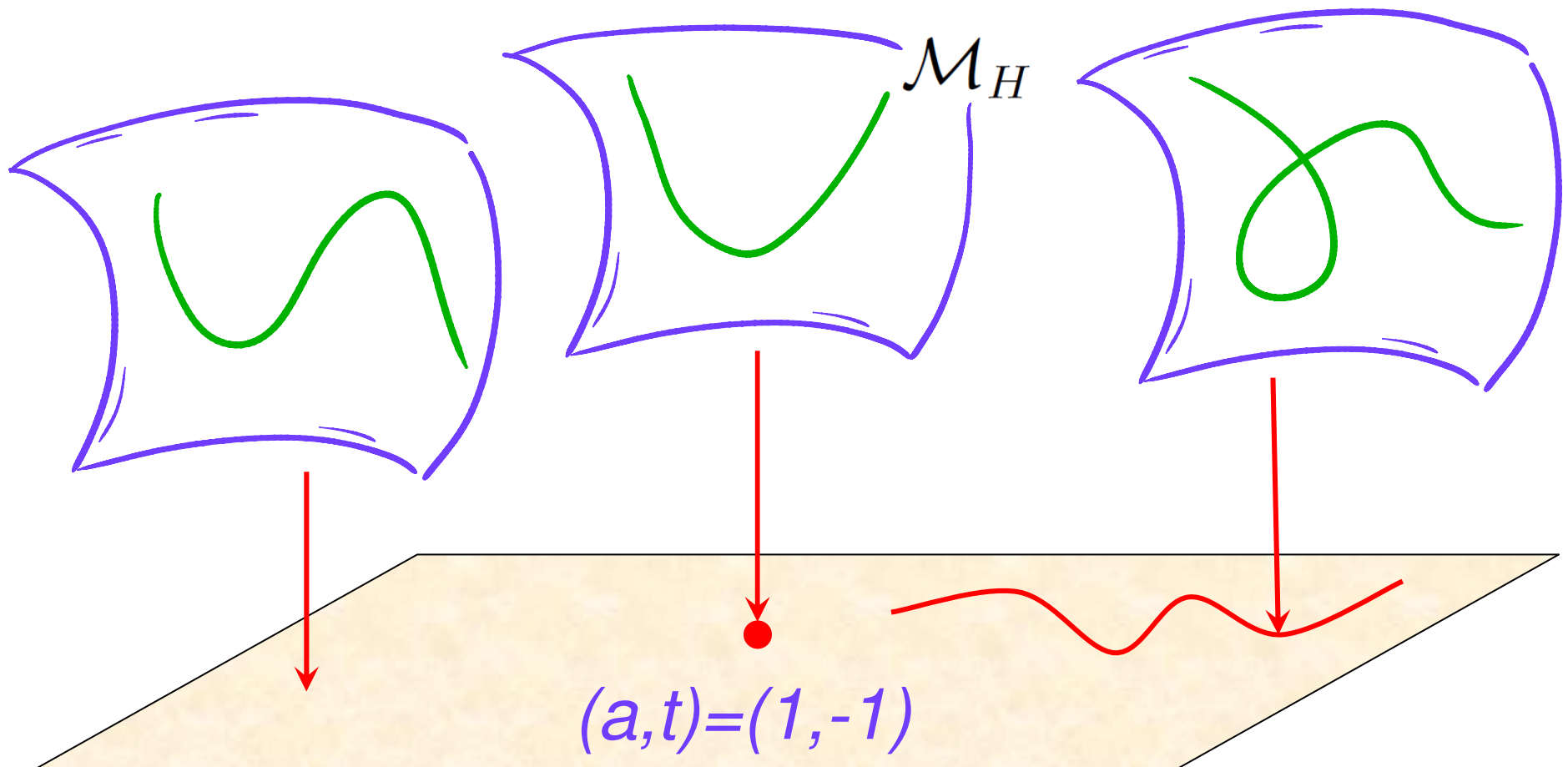


From old to new ...



# "Looking Forward"

- Two commutative deformations:

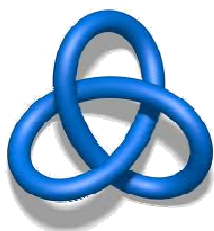


# "Looking Forward"

- Two commutative deformations:

$$A(x,y) \longrightarrow A^{\text{super}}(x,y;a,t)$$

Example:  $A(x,y) = (y-1)(y+x^3)$



$(a,t)$ -deformation

$$y^2 - \frac{a(1 - t^2x + 2t^2(1 + at)x^2 + at^5x^3 + a^2t^6x^4)}{1 + at^3x}y + \frac{a^2t^4(x-1)x^3}{1 + at^3x}$$

# "Looking Forward"

- Two commutative deformations:

$$A(x,y) \longrightarrow A^{\text{super}}(x,y;a,t)$$

- One non-commutative deformation:

$$x, y \rightsquigarrow \hat{x}, \hat{y}$$

$$\Omega_J = \frac{dy}{y} \wedge \frac{dx}{x}$$

$$\hat{y}\hat{x} = (q)\hat{x}\hat{y}$$

$$A^{\text{super}}(x,y;a,t) \longrightarrow \hat{A}^{\text{super}}(\hat{x},\hat{y};a,q,t)$$

# Deformation and Quantization

using  $x = q^n$  and  $\widehat{y}P_n = P_{n+1}$

we obtain the following recursion relation:

$$\widehat{A}^{\text{super}} P_n(\mathbf{a}, q, t) = 0$$

- One non-commutative deformation:

$$x, y \rightsquigarrow \widehat{x}, \widehat{y}$$

$$\Omega_J = \frac{dy}{y} \wedge \frac{dx}{x} \quad \widehat{y}\widehat{x} = (q)\widehat{x}\widehat{y}$$

$$A^{\text{super}}(x, y; \mathbf{a}, t) = 0 \longrightarrow \widehat{A}^{\text{super}}(\widehat{x}, \widehat{y}; \mathbf{a}, q, t) P = 0$$

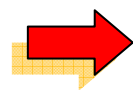
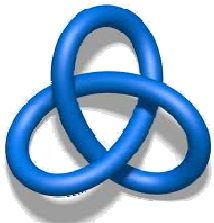
# Deformation and Quantization

using  $x = q^n$  and  $\hat{y}P_n = P_{n+1}$

we obtain the following recursion relation:

$$\hat{A}^{\text{super}} P_n(a, q, t) = 0$$

Example:  $\hat{A}^{\text{super}}(\hat{x}, \hat{y}; a, q, t) = \alpha + \beta\hat{y} + \gamma\hat{y}^2$



$$\alpha P_n + \beta P_{n+1} + \gamma P_{n+2} = 0$$



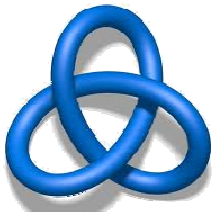
rational functions of  $a, q, x=q^n$ , and  $t$

# Deformation and Quantization

Let's try to solve this recursion relation with

$$P_n(a, q, t) = 0 \text{ for } n < 1 \quad \text{and} \quad P_1(a, q, t) = 1$$

Example:  $\hat{A}^{\text{super}}(\hat{x}, \hat{y}; a, q, t) = \alpha + \beta\hat{y} + \gamma\hat{y}^2$



$$\Rightarrow \alpha P_n + \beta P_{n+1} + \gamma P_{n+2} = 0$$

↑                    ↑                    ↑  
rational functions of  $a, q, x=q^n$ , and  $t$



# What is $P(a, q, t)$ ?

Let's try to solve this recursion relation with

$$P_n(a, q, t) = 0 \text{ for } n < 1 \quad \text{and} \quad P_1(a, q, t) = 1$$

$n$	$P_n(a, q, t)$
1	1
2	$aq^{-1} + aqt^2 + a^2t^3$
3	$a^2q^{-2} + a^2q(1 + q)t^2 + a^3(1 + q)t^3 + a^2q^4t^4 + a^3q^3(1 + q)t^5 + a^4q^3t^6$
4	$a^3q^{-3} + a^3q(1 + q + q^2)t^2 + a^4(1 + q + q^2)t^3 + a^3q^5(1 + q + q^2)t^4 +$ $+ a^4q^4(1 + q)(1 + q + q^2)t^5 + a^3q^4(a^2 + a^2q + a^2q^2 + q^5)t^6 +$ $+ a^4q^8(1 + q + q^2)t^7 + a^5q^8(1 + q + q^2)t^8 + a^6q^9t^9$

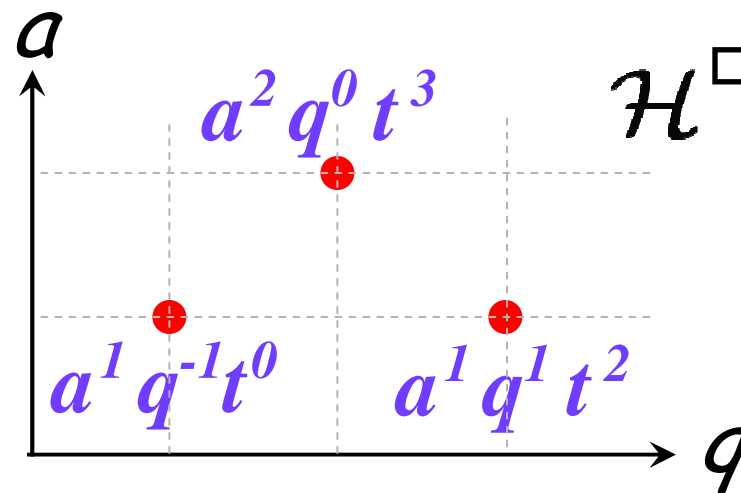
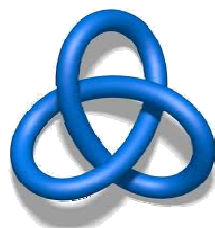
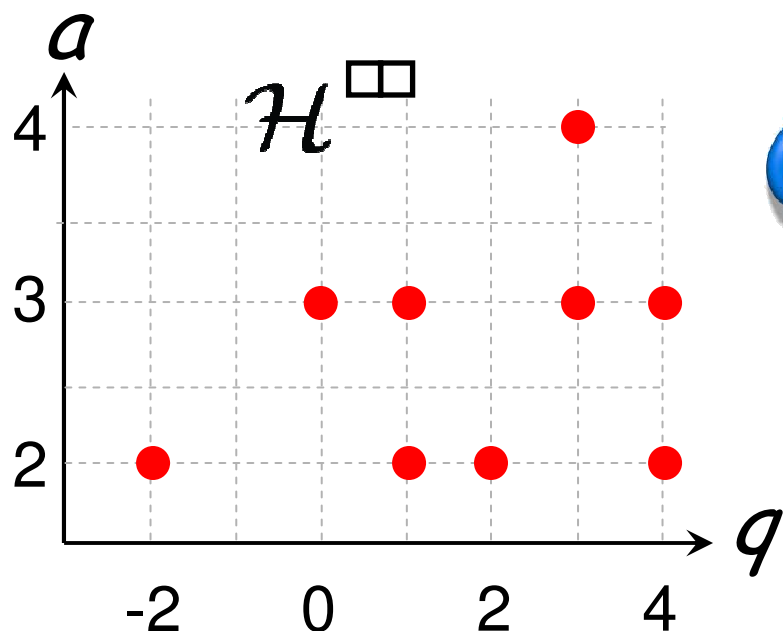
# What is $P(a, q, t)$ ?

Note, all  $P_n(a, q, t)$  involve only positive integer coefficients



$n$	$P_n(a, q, t)$
1	1
2	$aq^{-1} + aqt^2 + a^2t^3$
3	$a^2q^{-2} + a^2q(1 + q)t^2 + a^3(1 + q)t^3 + a^2q^4t^4 + a^3q^3(1 + q)t^5 + a^4q^3t^6$
4	$a^3q^{-3} + a^3q(1 + q + q^2)t^2 + a^4(1 + q + q^2)t^3 + a^3q^5(1 + q + q^2)t^4 +$ $+ a^4q^4(1 + q)(1 + q + q^2)t^5 + a^3q^4(a^2 + a^2q + a^2q^2 + q^5)t^6 +$ $+ a^4q^8(1 + q + q^2)t^7 + a^5q^8(1 + q + q^2)t^8 + a^6q^9t^9$

# Colored HOMFLY homology



$n$	$P_n(a, q, t)$
1	1
2	$aq^{-1} + aqt^2 + a^2t^3$
3	$a^2q^{-2} + a^2q(1 + q)t^2 + a^3(1 + q)t^3 + a^2q^4t^4 + a^3q^3(1 + q)t^5 + a^4q^3t^6$

# Colored Recursions

- colored Jones polynomial  $J_n(q)$ 
  - mathematically well defined for all  $n$
- colored  $sl(2)$  homology
  - mathematical definitions (!) for all  $n$
- colored HOMFLY-PT polynomial  $P_n(a, q)$ 
  - mathematical definition for all  $n$

- colored HOMFLY homology

$$P_n(a, q, t) = P(\mathcal{H} \underbrace{\text{[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]}_{n})$$

- defined for  $n=1$  / conjectured for all  $n$

**MATH**



**The End**

**PHYSICS**

