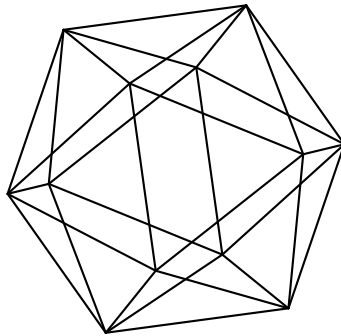


# Max-Planck-Institut für Mathematik Bonn

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# QUANTUM KDV HIERARCHY AND SHIFTED SYMMETRIC FUNCTIONS

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ABSTRACT. We study spectral properties of the quantum Korteweg–de Vries hierarchy defined by Buryak and Rossi. We prove that eigenvalues to first order in the dispersion parameter are given by shifted symmetric functions. The proof is based on the boson-fermion correspondence and an analysis of quartic expressions in fermions. As an application, we obtain a new closed evaluation of certain double Hodge integrals on the moduli spaces of curves. Finally, we provide an explicit formula for the eigenvectors to first order in the dispersion parameter. In particular, we show that its Schur expansion is supported on partitions for which the Hamming distance is minimal.

## 1. INTRODUCTION AND RESULTS

**1.1. Generating functions of double ramification intersection numbers.** The quantum double ramification hierarchy is a remarkable construction by Buryak and Rossi [10], also inspired by the symplectic field theory program of Eliashberg, Givental and Hofer [16], which associates a quantum integrable hierarchy to an arbitrary cohomological field theory [26]. This construction was an important step forward in studying relations between the geometry of moduli spaces of curves and the theory of integrable systems, initiated with Witten’s seminal conjecture [35]. Double ramification hierarchies have been extensively studied in recent years, cf. [4, 5, 8, 9, 6]. In this paper, we are interested in the spectral problem for this hierarchy.

Let  $\tilde{\mathcal{B}}$  be the  $\mathbb{Q}$ -algebra with generators  $\omega_a$  ( $a \in \mathbb{Z}$ ) and  $\hbar$  and commutation relations

$$[\hbar, \omega_a] = 0, \quad [\omega_a, \omega_b] = -a \delta_{a,-b} \hbar \quad (a, b \in \mathbb{Z}), \quad (1.1)$$

where we denote by  $[A, B] = AB - BA$  the commutator. Let us introduce the normal ordering

$$:\omega_{a_1} \dots \omega_{a_n}: = \prod_{a_i \geq 0} \omega_{a_i} \prod_{a_i < 0} \omega_{a_i}. \quad (1.2)$$

In [10], for  $k \geq 0$ , Buryak and Rossi consider generating functions

$$g_k(\epsilon; z) = \sum_{\substack{g, n \geq 0 \\ 2g-1+n > 0}} \frac{\hbar^g}{n!} \sum_{a_1, \dots, a_n \in \mathbb{Z}} I_{g, k; a_1, \dots, a_n} \left( \frac{\epsilon}{\hbar} \right) z^{\sum_{\ell} a_{\ell}} : \omega_{a_1} \dots \omega_{a_n} : \quad (1.3)$$

of intersection numbers

$$I_{g, k; a_1, \dots, a_n}(y) = \int_{\text{DR}_g(-\sum_{\ell} a_{\ell}, a_1, \dots, a_n)} \psi_1^k (1 + y\lambda_1 + y^2\lambda_2 + \dots + y^g\lambda_g) \quad (1.4)$$

of  $\psi$  and  $\lambda$  classes over the double ramification cycle  $\text{DR}_g(-\sum_l a_l, a_1, \dots, a_n)$  in the moduli spaces of curves  $\overline{\mathcal{M}}_{g, n+1}$ . (Here,  $y$  is a formal variable.) Note that  $g + n \leq k + 2$  in the right-hand side of (1.3) for dimensional reasons. For more details, we refer the reader to [7, 10, 11, 23].

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To deal with the infinite sums in the definition (1.3) of these generating functions, we need to work with a completion  $\mathcal{B}$  of  $\tilde{\mathcal{B}}$  (see [10, 16]), whose elements are (formal) power series in  $\omega_{-1}, \omega_{-2}, \dots$  with coefficients which are polynomials in  $\hbar, \omega_0, \omega_1, \omega_2, \dots$ ; the product of two such power series can always be brought into the form of another such power series by use of the commutation relations (1.1). Then,  $g_k(\epsilon; z) \in \mathcal{B}[\epsilon][[z^{\pm 1}]]$ .

*Remark 1.1.* The variables  $\epsilon, \hbar, z$  of the present paper coincide with  $-\epsilon^2, i\hbar, e^{ix}$  in [10].

The results of [10] give interesting properties of these generating functions (generalizing to arbitrary cohomological field theories). In particular, in op. cit. it is shown that  $g_k(\epsilon; z)$  can be computed by an explicit recursion in  $k$  (which we recall in Sec. 2.1 below). Moreover,

$$G_k(\epsilon) = \text{Res}_z g_k(\epsilon; z) \frac{dz}{z} \in \mathcal{B}[\epsilon] \quad (k \geq 0) \quad (1.5)$$

where  $\text{Res}_z \sum_{n \in \mathbb{Z}} A_n z^n dz = A_{-1}$  is the formal residue, commute pairwise, i.e.,

$$[G_k(\epsilon), G_\ell(\epsilon)] = 0 \quad (k, \ell \geq 0). \quad (1.6)$$

The pairwise commuting elements  $G_k(\epsilon)$  form the quantum double ramification hierarchy for the trivial cohomological theory.

**Example 1.2.** When  $k = 0$ , only terms with  $(g, n) = (0, 2), (1, 1)$ , or  $(1, 0)$  contribute to the sum (1.3). These terms are readily computed (cf. [7, 10, 23]) and we have

$$g_0(\epsilon; z) = \frac{1}{2} \sum_{a_1, a_2 \in \mathbb{Z}} : \omega_{a_1} \omega_{a_2} : z^{a_1 + a_2} + \frac{\epsilon}{24} \sum_{a \in \mathbb{Z}} a^2 \omega_a z^a - \frac{\hbar}{24}. \quad (1.7)$$

Similarly,

$$\begin{aligned} g_1(\epsilon; z) = & \frac{1}{6} \sum_{a_1, a_2, a_3 \in \mathbb{Z}} : \omega_{a_1} \omega_{a_2} \omega_{a_3} : z^{a_1 + a_2 + a_3} + \frac{\epsilon}{24} \sum_{a_1, a_2 \in \mathbb{Z}} \frac{a_1^2 + a_2^2}{2} : \omega_{a_1} \omega_{a_2} : z^{a_1 + a_2} \\ & + \frac{\epsilon^2}{1152} \sum_{a \in \mathbb{Z}} a^4 \omega_a z^a + \frac{\hbar}{24} \sum_{a \in \mathbb{Z}} (a^2 - 1) \omega_a z^a + \frac{\epsilon \hbar}{2880}. \end{aligned}$$

*Remark 1.3* (Quantum KdV). In the classical limit,  $\hbar = 0$  and the variables  $\omega_a$  ( $a \in \mathbb{Z}$ ) form a commutative algebra. Then,  $G_k^{\text{cl}}(\epsilon) = \lim_{\hbar \rightarrow 0} G_k(\epsilon)$  are the conserved quantities of the classical Korteweg–de Vries (KdV) hierarchy, cf. [7] ( $G_1^{\text{cl}}$  being the KdV Hamiltonian). We have  $\{G_k^{\text{cl}}(\epsilon), G_\ell^{\text{cl}}(\epsilon)\} = 0$ , where the Poisson bracket is defined by  $\{\omega_a, \omega_b\} = i a \delta_{a, -b}$ , which is the *first* Poisson structure of the KdV hierarchy (in Fourier coordinates). Hence, the quantum double ramification hierarchy described above solves the quantization problem for the KdV hierarchy by explicitly providing an  $\hbar$ -deformation of the classical KdV conserved quantities which form a commuting family of quantum conserved charges, which we call the *quantum KdV hierarchy*. We direct the reader to [10, Section 2] and [15, Section 1] and references therein for more details.

It is worth noting that the classical KdV hierarchy also admits a *second* Poisson structure, whose quantization involves the Virasoro algebra and has been extensively studied in conformal field theory after the impetus of the seminal work by Bazhanov, Lukyanov, and Zamolodchikov [2].

**1.2. Spectral problem.** Consider the representation  $\rho_c : \mathcal{B} \rightarrow \text{End } \mathbb{B}$ , where  $\mathbb{B} = \mathbb{Q}[\mathbf{p}]$ ,  $\mathbf{p} = (p_1, p_2, \dots)$ , defined by

$$\rho_c(\hbar) \phi = \phi, \quad \rho_c(\omega_a) \phi = \begin{cases} p_a \phi, & \text{if } a > 0, \\ c \phi, & \text{if } a = 0, \\ -a \frac{\partial \phi}{\partial p_{-a}}, & \text{if } a < 0, \end{cases} \quad (\phi \in \mathcal{B}, a \in \mathbb{Z}). \quad (1.8)$$

depending on an arbitrary rational number  $c$ .

*Remark 1.4.* We could let  $\hbar$  act by multiplication by an arbitrary nonzero constant. Without loss of generality, we set this constant to 1, as we can always reduce to this case by rescaling the variables  $p_k$ .

We obtain the family of commuting *quantum KdV Hamiltonian operators* on  $\mathbf{B}$

$$\widehat{G}_k(\epsilon, c) = \rho_c(G_k(\epsilon)) \quad (k \geq 0), \quad (1.9)$$

polynomially depending on  $\epsilon$  and  $c$ . It is readily checked that these operators preserve the grading  $\mathbf{B} = \bigoplus_{n \geq 0} \mathbf{B}_n$  induced by assigning weight  $k$  to the variable  $p_k$ .

In this paper, we continue the study of the spectral problem for such a family of commuting operators on  $\mathbf{B}$ . Rossi [33] (see also the work of Pogrebkov [31]) showed that  $\widehat{G}_k(0; c)$  is quadratic in fermions under the boson-fermion correspondence, which we will recall in Section 3.3. Dubrovin then showed that, when  $\epsilon = 0$ , a basis of common eigenvectors is given by Schur functions. Namely, [15, Corollary 2.4]

$$\widehat{G}_k(0; c) s_\lambda = E_k^{[0]}(\lambda; c) s_\lambda \quad (k \geq 0), \quad (1.10)$$

where the Schur functions  $s_\lambda = s_\lambda(\mathbf{p})$  are labeled by partitions  $\lambda = (\lambda_1, \dots, \lambda_r) \in \mathcal{P}$  and are defined by (cf. [27])

$$s_\lambda(\mathbf{p}) = \det(h_{\lambda_i - i + j}(\mathbf{p}))_{i,j=1}^r, \quad \sum_{\ell \in \mathbb{Z}} h_\ell(\mathbf{p}) y^\ell = \exp\left(\sum_{\ell \geq 1} \frac{p_\ell}{\ell} y^\ell\right). \quad (1.11)$$

Moreover, Dubrovin showed that the eigenvalue in (1.10) is a *shifted symmetric* function on partitions, that is

$$E_k^{[0]}(\lambda; c) = \sum_{j=0}^{k+2} \frac{c^{k+2-j}}{(k+2-j)!} Q_j(\lambda), \quad (1.12)$$

where the functions  $Q_k : \mathcal{P} \rightarrow \mathbb{Q}$  are given by  $Q_0(\lambda) = 1$  and

$$Q_k(\lambda) = \frac{1}{(k-1)!} \sum_{i \geq 1} \left[ (\lambda_i - i + \frac{1}{2})^{k-1} - (-i + \frac{1}{2})^{k-1} \right] + \beta_k \quad (k \geq 1) \quad (1.13)$$

with

$$\sum_{k \geq 0} \beta_k y^k = \frac{y/2}{\sinh(y/2)}. \quad (1.14)$$

Equivalently,  $\beta_k = \frac{1}{k!} \left( \frac{1}{2^{k-1}} - 1 \right) B_k$ , where  $B_k$  denotes the  $k$ th Bernoulli number.

*Remark 1.5.* The algebra of shifted symmetric functions is a deformation of the algebra of symmetric functions, for which the natural generators are the  $Q_k$ . A vector space basis is given by the central characters of the symmetric group. Shifted symmetric functions appear in asymptotic representation theory [22, 25, 32] and in enumerative geometry, e.g., in the Hurwitz/Gromov–Witten theory of curves [14, 17, 18, 19, 29, 30], and in computations of volumes and Siegel–Veech constants of the moduli space of flat surfaces [12, 13, 18]. For the latter, a remarkable relation to quasimodular forms [3, 36] is crucial, which will be recalled below (cf. Theorem 1.12).

Furthermore, it was shown in [34] that the commuting Hamiltonians  $\widehat{G}_k$  admit a common basis of eigenvectors deforming the Schur polynomials. That is, for all partitions  $\lambda$  of size  $|\lambda| = \sum_i \lambda_i$  there exist unique power series  $r_\lambda(\mathbf{p}; \epsilon) \in \mathbf{B}_{|\lambda|}[[\epsilon]]$  satisfying

$$\widehat{G}_k(\epsilon, c) r_\lambda(\mathbf{p}; \epsilon) = E_k(\lambda; \epsilon, c) r_\lambda(\mathbf{p}; \epsilon) \quad (1.15)$$

such that

$$r_\lambda(\mathbf{p}; \epsilon) = s_\lambda + \sum_{m \geq 1} \epsilon^m r_\lambda^{[m]}(\mathbf{p}), \quad (1.16)$$

$$E_k(\lambda; \epsilon, c) = E_k^{[0]}(\lambda; c) + \sum_{m \geq 1} \epsilon^m E_k^{[m]}(\lambda; c) \quad (1.17)$$

and

$$\langle s_\lambda, r_\lambda^{[m]} \rangle = 0 \quad (m \geq 1), \quad (1.18)$$

where the standard scalar product on  $\mathbf{B}$  is given by  $\langle s_\lambda(\mathbf{p}), s_\mu(\mathbf{p}) \rangle = \delta_{\lambda, \mu}$ .

**1.3. Results.** Our first result gives expresses the eigenvalue  $E_k^{[1]}(\lambda; c)$  as a shifted symmetric function in the partition  $\lambda$ .

**Theorem 1.6.** *We have*

$$E_k^{[1]}(\cdot; c) = \frac{1}{24} \sum_{\ell=0}^k \frac{c^\ell}{\ell!} \left( 2Q_2 Q_{k-\ell} + (k-\ell)(k-\ell+3)Q_{k+3-\ell} \right) \quad (1.19)$$

where the shifted symmetric function  $Q_k$  is defined by (1.13).

The proof is given in Section 4 and employs the boson-fermion correspondence. A challenging aspect of this proof is the fact that the linear part in  $\epsilon$  of the quantum KdV Hamiltonian operators are quartic expressions in fermions, rather than simpler quadratic expression, cf. [15, 33].

We obtain the following application.

**Corollary 1.7.** *We have the following explicit evaluation of double Hodge intersection numbers on the moduli spaces of curves:*

$$\int_{\mathcal{M}_{g,1}} \lambda_1 \lambda_g \psi_1^{2g-3} = \frac{(-1)^g}{24} \left( 2g(2g-3)\beta_{2g} - \frac{1}{12}\beta_{2g-2} \right) \quad (g \geq 2), \quad (1.20)$$

where  $\beta_k$  is defined by (1.14).

For our second result, we focus on the eigenvectors in (1.15), also to first order in  $\epsilon$ . We show that  $\langle r_\lambda^{[1]}, s_\mu \rangle \neq 0$  if and only if the Hamming distance  $d$ , given by (5.1), of the Frobenius coordinates of two partitions  $\lambda$  and  $\mu$  is exactly 2 (which is the minimal distance for two partitions of the same integers). If this is the case,  $\lambda$  can be obtained from  $\mu$ , in exactly two ways, by removing a border strip  $\gamma_i$  and adding a border strip  $\gamma'_i$  ( $i = 1, 2$ , with  $|\gamma_i| = |\gamma'_i|$ ,  $\gamma_1 \subset \gamma_2$ ,  $\gamma'_1 \subset \gamma'_2$ ). (More detailed explanations and proofs are given in Sections 3 and 5.)

**Theorem 1.8.** *For all partitions  $\lambda$*

$$r_\lambda^{[1]}(\mathbf{p}) = \frac{1}{12} \sum_{d(\lambda, \mu)=2} w_{\lambda, \mu} (-1)^{\text{ht}(\gamma_1) + \text{ht}(\gamma'_1)} \left( \frac{|\gamma_1|}{|\gamma_2|} - \frac{|\gamma_2|}{|\gamma_1|} \right) s_\mu(\mathbf{p}). \quad (1.21)$$

Here  $w_{\lambda, \mu} = 1$  if  $\lambda_i > \mu_i$  for the smallest  $i \geq 1$  such that  $\lambda_i \neq \mu_i$ , and  $w_{\lambda, \mu} = -1$  otherwise. Moreover,  $\text{ht}(\gamma)$  and  $|\gamma|$  are, respectively, the height and size of a border strip  $\gamma$ .

**1.4. Further comments and conjectures.** We denote by  $\Lambda^* = \mathbb{Q}[Q_2, Q_3, \dots]$  the algebra of shifted symmetric functions on partitions. The expressions for  $E_k^{[0]}(\lambda; c)$  in (1.12) and for  $E_k^{[1]}(\lambda; c)$  in (1.19) prompt a conjecture, suggested to us by Don Zagier and already

stated in [21]. Namely, we conjecture that  $E_k^{[m]}(\lambda; c)$  belongs to  $\Lambda^*[c]$ . More precisely, by assigning weight  $k$  to  $Q_k$  and weight 1 to  $c$  the algebra  $\Lambda^*[c] = \bigoplus_{n \geq 0} \Lambda^*[c]_n$  becomes a graded algebra, and we conjecture that  $E_k^{[m]}(\lambda; c)$  is of homogeneous weight  $k + 2 + m$  in  $\Lambda^*[c]$  for all  $k, m \geq 0$ . Based on extensive numerical verification, which we report in Appendix A, we formulate the following refined version of that conjecture.

**Conjecture 1.9.** *For each integer  $D \geq 0$  and each partition  $\nu$ , there exists a polynomial  $f_{D,\nu}$  with rational coefficients of degree  $2D$  such that*

$$E_k(\cdot; \epsilon, 0) = \sum_{\substack{\nu \in \mathcal{P} \\ D \geq 0}} \epsilon^{D+|\nu|} f_{D,\nu}(k) Q_{k+D+2-\ell(\nu)} \prod_i Q_{\nu_i+1}. \quad (1.22)$$

*Remark 1.10.* Note that by Lemma 2.3, we have  $E_k(\lambda; \epsilon, c) = \sum_{\ell=0}^k \frac{c^\ell}{\ell!} E_{k-\ell}(\lambda; \epsilon, 0)$ , such that the restriction to  $c = 0$  in this conjecture does not imply any loss of generality.

**Example 1.11.** *We have*

$$f_{0,\emptyset}(k) = 1, \quad f_{1,\emptyset}(k) = \frac{1}{24}k(k+3) \quad \text{and} \quad f_{0,(1)}(k) = \frac{1}{12}, \quad (1.23)$$

and with these polynomials we recover Dubrovin's result (1.12), as well as Theorem 1.6.

See Appendix A for a table of such polynomials, which give a conjectural expression for  $E_k^{[m]}$  up to  $m \leq 5$ . For example, we conjecture

$$E_k^{[2]}(\cdot; 0) = \frac{1}{24^2} \left( \frac{1}{2} Q_3 Q_{k+1} + \frac{1}{2} Q_2^2 Q_k + \frac{1}{2} (k^2 + 2k - 1) Q_2 Q_{k+2} + \frac{1}{6} k(k+4)(3k^2 + 16k + 17) Q_{k+4} \right). \quad (1.24)$$

We plan to provide an explicit construction for the polynomials  $f_{D,\nu}$  in a future publication in collaboration with Don Zagier.

Supporting evidence for the above conjecture, in addition to Theorem 1.6 and Appendix A, is provided in our previous work [21] through a connection between KdV Hamiltonian operators and quasimodular forms which we briefly review now.

Let us first recall the following theorem of Bloch and Okounkov [3] (see also [36]) concerning the  $q$ -bracket of functions on partitions, given by

$$\langle f \rangle_q = \frac{\sum_{\lambda \in \mathcal{P}} f(\lambda) q^{|\lambda|}}{\sum_{\lambda \in \mathcal{P}} q^{|\lambda|}} \in \mathbb{Q}[[q]] \quad (1.25)$$

for any function  $f : \mathcal{P} \rightarrow \mathbb{Q}$  on the set  $\mathcal{P}$  of all partitions. Write  $\widetilde{M}_k$  for the space of all weight  $k$  quasimodular forms for the full modular group  $\mathrm{SL}_2(\mathbb{Z})$ .

**Theorem 1.12** ([3]). *For any  $f \in \Lambda_k^*$ , we have  $\langle f \rangle_q \in \widetilde{M}_k$ .*

Because of this theorem, the validity of Conjecture 1.9 would imply the quasimodularity of the  $q$ -brackets of the KdV eigenvalues. This has been independently verified in our previous work. We recall the relevant result as it will be useful later on. To this end, we extend the grading on the algebra of quasimodular forms to  $\widetilde{M}[c, \epsilon]$  by assigning weight 1 to  $c$  and weight  $-1$  to  $\epsilon$ .

**Theorem 1.13** ([21]). *For all  $k \geq 0$ , we have  $\langle E_k(\cdot; \epsilon, c) \rangle_q \in \widetilde{M}[c, \epsilon]_{k+2}$ .*

*Remark 1.14.* An intriguing aspect of this result is that the eigenvalues  $E_k(\cdot; \epsilon, 0)$  (taking  $c = 0$  for simplicity) interpolate between the generators  $Q_k$  of the algebra of shifted symmetric functions at  $\epsilon = 0$  and the generators  $S_k$  of the algebra of symmetric functions (namely,  $S_k(\lambda) = -\frac{B_k}{2k} + \sum_i \lambda_i^{k-1}$ ) at  $\epsilon = \infty$  (cf. [21, Appendix A]). Both these algebras of

functions on partitions are *quasimodular algebras*, in the sense of [20], namely,  $q$ -brackets of homogeneous elements are quasimodular forms of pure weight. No other quasimodular algebras are known for the full modular group  $\mathrm{SL}_2(\mathbb{Z})$ . Then, Theorem 1.13 asserts that this  $\epsilon$ -deformation respects quasimodularity.

Finally, given the commutativity (1.6), Conjecture 1.9 would imply the stronger result that for all  $k_1, \dots, k_r \geq 0$ , we have

$$\left\langle \prod_i E_{k_i}(\cdot; \epsilon, c) \right\rangle_q \in \widetilde{M}[c, \epsilon]_{\sum_i (k_i+2)}. \quad (1.26)$$

Note that when  $\epsilon = 0$ , (1.26) coincides with the quasimodularity property first established by Okounkov and Pandharipande [30, Section 5] for the Gromov–Witten theory of an elliptic curve (see also [33]). Then, the conjectural relation (1.26) equation would imply that this quasimodularity property persists under suitable insertion of Hodge classes.

**Outline of the rest of the paper.** In Section 2 and 3 we recall some preliminary material on quantum double ramification hierarchies, partitions, and the boson–fermion correspondence, needed for proving our main theorems. Theorem 1.6 is proven in Section 4. We prove Theorem 1.8 in Section 5.2. Finally, Appendix A contains supplemental data to Conjecture 1.9.

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## 2. QUANTUM DOUBLE RAMIFICATION HIERARCHIES

In this section, we recall several aspects of quantum double ramification hierarchies.

**2.1. Recursion.** We recall the following result from [10, Theorem 3.5] (with small changes due to slightly different normalizations, for which we refer to Remark 1.1). To this end, it is convenient to define

$$g_{-1}(\epsilon; z) = \sum_{a \in \mathbb{Z}} \omega_a z^a. \quad (2.1)$$

**Theorem 2.1** ([10]). *We have*

$$\left(k + 2 + \epsilon \frac{\partial}{\partial \epsilon}\right) z \partial_z g_{k+1}(\epsilon; z) = \frac{1}{\hbar} [g_k(\epsilon; z), G_1(\epsilon)] \quad (k \geq -1) \quad (2.2)$$

and

$$G_1(\epsilon) = \frac{1}{6} \sum_{a_1, a_2 \in \mathbb{Z}} :\omega_{a_1} \omega_{a_2} \omega_{-a_1-a_2}: + \frac{\epsilon}{24} \sum_{a \in \mathbb{Z}} a^2 :\omega_a \omega_{-a}: - \frac{\hbar}{24} \omega_0 + \frac{\epsilon \hbar}{2880}. \quad (2.3)$$



*Remark 2.2.* The formulation in [10, Theorem 3.5] is given in terms of differential polynomials (cf. Section 2.3) and it involves the operator  $D = 2\epsilon\partial_\epsilon + 2\hbar\partial_\hbar + \sum_{k \geq 0} u_k \partial_{u_k}$ , acting on polynomials in  $\mathbf{u} = (u_0, u_1, \dots)$ . We have made use of the homogeneity of  $g_k(\epsilon; z)$  stemming from the dimensional constraint in (1.4) to rewrite the recursion as in (2.2), cf. [34, Equation (4.7)].

Formula (2.2), together with (2.1) allows us to recursively determine  $g_k(\epsilon; z)$  up to a constant depending on  $\epsilon, \hbar$ , but not on the  $\omega_a$ , for all  $k \geq 0$ , as explained in [10, Section 3.5]. Here, to compute the constant term in  $z$  of  $g_k(\epsilon, z)$  one uses the fact that the coefficients (1.4) in (1.3) are polynomials in  $a_1, \dots, a_n$  (see the next paragraph). Finally, the constants depending only on  $\epsilon$  and  $\hbar$  can be recovered by either the string equation [10, Lemma 3.7]

$$\frac{\partial g_{k+1}(\epsilon; z)}{\partial \omega_0} = g_k(\epsilon; z) \quad (k \geq -1) \quad (2.4)$$

or by invoking the homogeneity expressed by Theorem 1.13.

It will be convenient to write

$$g_k(\epsilon; z) = \sum_{j=0}^{k+1} g_k^{[j]}(z) \left(\frac{\epsilon}{24}\right)^j, \quad G_k(\epsilon) = \sum_{j=0}^k G_k^{[j]} \left(\frac{\epsilon}{24}\right)^j, \quad (k \geq 0) \quad (2.5)$$

(cf. [21, Appendix A]) such that we can express (2.2) as

$$\hbar(k+2+j) z \partial_z g_{k+1}^{[j]}(z) = [g_k^{[j]}(z), G_1^{[0]}] + [g_k^{[j-1]}(z), G_1^{[1]}] \quad (k \geq 0, j \geq 0). \quad (2.6)$$

**2.2. Reduction to  $c = 0$ .** In what follows we set  $c = 0$ , cf. (1.8). This is without loss of generality, as we now show.

**Lemma 2.3.** *We have*

$$\widehat{G}_k(\epsilon, c) = \sum_{\ell=0}^k \frac{c^\ell}{\ell!} \widehat{G}_{k-\ell}(\epsilon, 0) \quad (2.7)$$

*Proof.* By (1.8), for all  $X \in \mathcal{B}$  we have  $\rho_c(X) = \rho_0(e^{c \frac{\partial}{\partial \omega_0}} X)$ . The proof follows by taking  $X = G_k(\epsilon)$  and recalling (2.4).  $\square$

In view of this lemma, Theorem 1.6 is equivalent to the identity

$$E_k^{[1]}(\lambda; 0) = \frac{1}{24} (2Q_2(\lambda) Q_{k+1}(\lambda) + k(k+3) Q_{k+3}(\lambda)). \quad (2.8)$$

**2.3. Differential polynomials formalism.** Finally, even if not needed in what follows, it is worth mentioning that there is an equivalent description of the generating functions  $g_k(\epsilon; z)$  in terms of differential polynomials. To explain it, we first recall that the integral of any tautological class against the double ramification cycle  $\text{DR}_g(-\sum_l a_l, a_1, \dots, a_n)$  is an even polynomial in the variables  $a_i$  of degree at most  $2g$  with rational coefficients, cf. [10, Appendix B]. In particular,  $I_{g,d;a_1, \dots, a_n}(y)$  is an even polynomial in the variables  $a_i$ . Therefore, introducing

$$u_k(z) = \sum_{a \in \mathbb{Z}} a^k \omega_a z^a \quad (k \geq 0), \quad (2.9)$$

(agreeing that  $0^0 = 1$ ) we can define polynomials  $g_k^{\text{poly}}(\epsilon, \hbar; \mathbf{u})$  ( $k \geq 0$ ) in the variables  $\mathbf{u} = (u_0, u_1, \dots)$  with rational coefficients by requiring

$$:g_k^{\text{poly}}(\epsilon, \hbar; \mathbf{u}(z)): = g_k(\epsilon; z) \quad (k \geq 0), \quad (2.10)$$

where  $\mathbf{u}(z) = (u_0(z), u_1(z), \dots)$  and the normal order defined in (1.2) is extended by linearity. Note that  $u_k(z) = (z\partial_z)^k u_0(z)$  and that  $g_k^{\text{poly}}(\epsilon, \hbar; \mathbf{u})$  is even with respect to the grading defining by assigning weight  $k$  to  $u_k$  for  $k \geq 0$  (and weight 0 to  $\hbar$  and  $\epsilon$ ).

**Example 2.4.** We have (cf. Example 1.2)

$$g_0^{poly}(\epsilon, \hbar; \mathbf{u}) = \frac{1}{2}u_0^2 + \frac{u_2}{24} - \frac{\hbar}{24}, \quad (2.11)$$

$$g_1^{poly}(\epsilon, \hbar; \mathbf{u}) = \frac{1}{6}u_0^3 + \frac{\epsilon}{24}u_2u_0 + \frac{\epsilon^2}{1152}u_4 + \frac{\hbar}{24}(u_2 - u_0) + \frac{\epsilon\hbar}{2880}. \quad (2.12)$$

### 3. PARTITIONS AND THE BOSON-FERMION CORRESPONDENCE

In this section, we recall some standard notions in the theory of partitions as well as the so-called infinite-wedge formalism and the boson-fermion correspondence.

**3.1. Partitions.** Denote by  $\mathbb{F} = \mathbb{Z} + \frac{1}{2}$  the set of half-integers, and for a set  $X$  write  $X^\pm = \{a \in X \mid \pm a > 0\}$ . As already mentioned, we denote by  $\mathcal{P}$  the set of all partitions. For  $\lambda \in \mathcal{P}$  we denote by  $|\lambda| := \sum_i \lambda_i$  the size of  $\lambda$  and by  $\lambda'$  the transposed partition. Each partition is represented by its Young diagram  $Y_\lambda$ , given by

$$Y_\lambda = \{(x, y) \in \mathbb{Z}^2 \mid 1 \leq y \leq \lambda_x\}. \quad (3.1)$$

We define the *arm-length*  $a(\xi)$ , *leg-length*  $b(\xi)$  and *hook-length*  $h(\xi)$  of  $\xi = (x, y) \in Y_\lambda$  by

$$a(\xi) = \lambda_x - y, \quad b(\xi) = \lambda'_y - x, \quad h(\xi) = a(\xi) + b(\xi) + 1. \quad (3.2)$$

Moreover, given partitions  $\lambda, \sigma$  with  $Y_\sigma \subset Y_\lambda$ , we define a skew Young diagram  $\lambda/\sigma$  by removing the cells of  $Y_\sigma$  from the cells of  $Y_\lambda$ . We call  $\lambda/\sigma$  a *border strip*, if it is connected (through edges of boxes, not only through vertices) and if it does not contain a  $2 \times 2$  block. The *height*  $\text{ht}(\gamma)$  of a border strip  $\gamma$  is the number of rows it touches minus 1. Moreover, the *size*  $|\gamma|$  of a border strip  $\gamma$  is the number of boxes it consists of. Note there is a bijection between cells  $\xi \in Y_\lambda$  and border strips  $\gamma$  contained in  $\lambda$ , such that  $\text{ht}(\gamma) = b(\xi)$  and  $|\gamma| = h(\xi)$ .

The *Frobenius coordinates* of  $\lambda$  are  $(a_1, \dots, a_d \mid b_1, \dots, b_d)$ , where  $a_i = a(i, i)$ ,  $b_i = b(i, i)$  and  $d = \max\{i \geq 1 \mid (i, i) \in Y_\lambda\}$ . Clearly, the Frobenius coordinates of  $\lambda$  uniquely identify the partition  $\lambda$ . We also define the *modified Frobenius coordinates* of  $\lambda$  as

$$c_i = a_i + \frac{1}{2} \in \mathbb{F}^+, \quad c_i^* = -b_i - \frac{1}{2} \in \mathbb{F}^- \quad (i = 1, \dots, d). \quad (3.3)$$

We will often make use of the set  $C_\lambda$  of modified Frobenius coordinates, i.e.,

$$C_\lambda = \{c_i \mid i = 1, \dots, d\} \cup \{c_i^* \mid i = 1, \dots, d\}. \quad (3.4)$$

Observe that  $\sum_{c \in C_\lambda} \text{sgn}(c) c = |\lambda|$ . More generally, the shifted symmetric functions (1.13) equal

$$Q_k(\lambda) = \beta_k + \frac{1}{(k-1)!} \sum_{c \in C_\lambda} \text{sgn}(c) c^{k-1}. \quad (3.5)$$

This follows from assigning the sequence

$$S_\lambda = (\lambda_j - j + \frac{1}{2})_{j=1}^\infty \quad (3.6)$$

to each  $\lambda \in \mathcal{P}$  and observing that  $S_\lambda$  and  $C_\lambda$  are related by

$$\mathbb{F}^+ \cap S_\lambda = C_\lambda^+, \quad \mathbb{F}^- \setminus S_\lambda = C_\lambda^-. \quad (3.7)$$

Denote by  $\mathcal{S}$  the set of all decreasing sequences  $S = (s_i)_{i=1}^\infty$  of half-integers for which  $s_{i+1} = s_i - 1$  for sufficiently large  $i$ , and by  $\mathcal{S}_0 \subset \mathcal{S}$  the subset of those sequences  $S$  for which the finite sets  $\mathbb{F}^+ \cap S$  and  $\mathbb{F}^- \setminus S$  have the same cardinality. Note that the map  $\lambda \rightarrow S_\lambda$  gives a bijection between  $\mathcal{P}$  and  $\mathcal{S}_0$ .

**3.2. Infinite wedge formalism.** Let us recall the formalism of the fermionic Fock space  $\mathbb{F}$ ; for more details, we refer to [1, 28, 30, 32]. Let  $\mathbb{F}$  be the  $\mathbb{Q}$ -vector space consisting of finite linear combinations of formal expressions

$$v_S = \underline{s_1} \wedge \underline{s_2} \wedge \underline{s_3} \wedge \cdots \quad (3.8)$$

associated to  $S = (s_i)_{i=1}^\infty \in \mathcal{S}$ . We endow  $\mathbb{F}$  with the scalar product  $\langle \cdot, \cdot \rangle$  for which the basis  $\{v_S \mid S \in \mathcal{S}\}$  is orthonormal.

For  $k \in \mathbb{F}$  we consider the operator  $\psi_k : \mathbb{F} \rightarrow \mathbb{F}$  defined by

$$\psi_k v_S = \underline{k} \wedge \underline{s_1} \wedge \underline{s_2} \wedge \underline{s_3} \wedge \cdots \quad (3.9)$$

where it is understood that we can put this expression in the canonical form (3.8) by using the skew-symmetry of  $\wedge$ . Namely, the result is zero whenever  $k$  is already in  $S$ , otherwise, we anti-commute  $\underline{k}$  to the right of the  $\underline{s_i}$  such that  $s_i > k$ .

For  $k \in \mathbb{F}$ , let  $\psi_k^*$  be the adjoint of these operators; their action on the basis vectors  $v_S$  is

$$\psi_k^* v_S = \begin{cases} (-1)^{i-1} v_{S \setminus \{s_i\}}, & \text{if } k = s_i \in S, \\ 0, & \text{if } k \notin S. \end{cases} \quad (3.10)$$

The operators  $\psi_k, \psi_k^*$  satisfy the *canonical anticommutation relations*, namely:

$$\psi_k \psi_\ell + \psi_\ell \psi_k = 0, \quad \psi_k^* \psi_\ell^* + \psi_\ell^* \psi_k^* = 0, \quad \psi_k \psi_\ell^* + \psi_\ell^* \psi_k = \delta_{k\ell} \quad (k, \ell \in \mathbb{F}). \quad (3.11)$$

We shall be in particular interested in the *charge zero subspace*  $\mathbb{F}_0$ , spanned by the  $v_S$  with  $S \in \mathcal{S}_0$ . We identify  $v_\lambda$  with  $v_{S_\lambda}$  under the bijection between  $\mathcal{P}$  and  $\mathcal{S}_0$ . In particular,  $v_\emptyset$  is associated with the set  $\{-\frac{1}{2}, -\frac{3}{2}, -\frac{5}{2}, \dots\}$ , and every vector  $v_\lambda$  can be written as

$$v_\lambda = \sigma_\lambda \psi_{c_1} \cdots \psi_{c_d} \psi_{c_d^*}^* \cdots \psi_{c_1^*}^* v_\emptyset, \quad \sigma_\lambda = (-1)^{\sum_{i=1}^d b_i}, \quad (3.12)$$

where  $c_i$  and  $c_i^*$  are the modified Frobenius coordinates of  $\lambda$ , see (3.3), and  $b_i$  are (part of) the Frobenius coordinates of  $\lambda$ . We also have

$$\psi_{-a} v_\emptyset = 0, \quad \psi_a^* v_\emptyset = 0 \quad (a \in \mathbb{F}^+). \quad (3.13)$$

Accordingly, for  $a \in \mathbb{F}^+$ , the operators  $\psi_{-a}, \psi_a^*$  are termed *annihilation operators* and  $\psi_a, \psi_{-a}^*$  are termed *creation operators*.

Next, we recall the *fermionic normal order*  $:-:$ , which is obtained by moving all annihilation operators to the right of creation operators (as if they commuted), inserting a minus sign each time we swap two operators. More explicitly, it is defined recursively by

$$\begin{aligned} :\psi_a \phi_1 \cdots \phi_k: &= \begin{cases} \psi_a : \phi_1 \cdots \phi_k:, & \text{if } a > 0, \\ (-1)^k : \phi_1 \cdots \phi_k: \psi_a, & \text{if } a < 0, \end{cases} \\ :\psi_a^* \phi_1 \cdots \phi_k: &= \begin{cases} (-1)^k : \phi_1 \cdots \phi_k: \psi_a^*, & \text{if } a > 0, \\ \psi_a^* : \phi_1 \cdots \phi_k:, & \text{if } a < 0, \end{cases} \end{aligned} \quad (3.14)$$

where  $\phi_1, \dots, \phi_k \in \{\psi_i \mid i \in \mathbb{F}\} \cup \{\psi_i^* \mid i \in \mathbb{F}\}$ . Note in particular that

$$:\phi_{\sigma(1)} \cdots \phi_{\sigma(k)}: = \text{sgn}(\sigma) : \phi_1 \cdots \phi_k:, \quad (3.15)$$

where  $\phi_i$  are as above and  $\sigma$  is a permutation of  $\{1, \dots, k\}$ . Finally, the formula (cf. [1, Section 2.4])

$$\phi_1 : \phi_2 \cdots \phi_k: = : \phi_1 \phi_2 \cdots \phi_k: + \sum_{i=2}^k (-1)^i \langle v_\emptyset, \phi_1 \phi_i v_\emptyset \rangle : \phi_1 \cdots \phi_{i-1} \phi_{i+1} \cdots \phi_k: \quad (3.16)$$

(where  $\phi_i$  are as above) allows one to express normally ordered monomials in  $\psi_i, \psi_i^*$  as a linear combination of monomials in  $\psi_i, \psi_i^*$ , and vice versa, and will be useful below.

**3.3. Boson-fermion correspondence.** Our interest in the space  $F_0$  is motivated by the *boson-fermion correspondence*, which we recall now. Let us introduce the following operators on  $F$ :

$$\alpha_n = \sum_{a \in \mathbb{F}} : \psi_a \psi_{a-n}^* : \quad (n \in \mathbb{Z}). \quad (3.17)$$

Note that the subspace  $F_0$  coincides with the kernel of  $\alpha_0$ .

**Theorem 3.1** (Boson-fermion correspondence). *Let  $\Phi : F_0 \rightarrow \mathbb{B}$  be the isomorphism of vector spaces defined by  $\Phi v_\lambda = s_\lambda$ . Then, for all  $n \geq 1$  we have the following identities of operators on  $F_0$ :*

$$\Phi^{-1} p_n \Phi = \alpha_n, \quad \Phi^{-1} n \frac{\partial}{\partial p_n} \Phi = \alpha_{-n} \quad (n \geq 1). \quad (3.18)$$

*Remark 3.2.* The map  $\Phi$  is an isometry with respect to the scalar products defined above (for which the  $v_\lambda$  respectively the Schur polynomials  $s_\lambda$  are orthonormal).

For the proof, we refer to [1, 24, 28].

#### 4. FIRST ORDER CORRECTION TO THE EIGENVALUES

**4.1. Quadratic and quartic fermionic operators.** Let us introduce the following operators on  $F_0$ , where  $a, b, c, d \in \mathbb{F}$ :

$$\Xi_{ab} = : \psi_a \psi_b^* :, \quad \Xi_{abcd} = : \psi_a \psi_b^* \psi_c \psi_d^* :. \quad (4.1)$$

Moreover, consider the following variations of the Kronecker delta function:

$$\eta_{abuv} = \delta_{av}^- \delta_{bu}^+ - \delta_{av}^+ \delta_{bu}^-, \quad \delta_{lm}^\pm = \begin{cases} 1 & \text{if } l = m \text{ and } \pm l > 0, \\ 0 & \text{otherwise.} \end{cases} \quad (4.2)$$

Note that

$$\langle v_\emptyset, \psi_l \psi_m^* v_\emptyset \rangle = \delta_{lm}^-, \quad \langle v_\emptyset, \psi_m^* \psi_l v_\emptyset \rangle = \delta_{lm}^+. \quad (4.3)$$

**Lemma 4.1.** *We have*

$$[\Xi_{ab}, \Xi_{uv}] = \delta_{bu} \Xi_{av} - \delta_{av} \Xi_{ub} + \eta_{abuv}, \quad (4.4)$$

$$\begin{aligned} [\Xi_{abcd}, \Xi_{uv}] &= \delta_{du} \Xi_{abcv} - \delta_{cv} \Xi_{abud} + \delta_{bu} \Xi_{avcd} - \delta_{av} \Xi_{ubcd} \\ &\quad + \eta_{cdvu} \Xi_{ab} + \eta_{abuv} \Xi_{cd} - \eta_{cbuv} \Xi_{ad} - \eta_{aduv} \Xi_{cb}. \end{aligned} \quad (4.5)$$

*In particular, when  $u = v$ , the above formulae simplify to*

$$[\Xi_{ab}, \Xi_{uu}] = (\delta_{bu} - \delta_{au}) \Xi_{ab}, \quad (4.6)$$

$$[\Xi_{abcd}, \Xi_{uu}] = (\delta_{du} - \delta_{cu} + \delta_{bu} - \delta_{au}) \Xi_{abcd}. \quad (4.7)$$

*Proof.* By (3.16) we get

$$\psi_b^* : \psi_u \psi_v^* : = : \psi_b^* \psi_u \psi_v^* : + \langle v_\emptyset, \psi_b^* \psi_u v_\emptyset \rangle \psi_v^* = : \psi_b^* \psi_u \psi_v^* : + \delta_{bu}^+ \psi_v^* \quad (4.8)$$

and, again by an application of the same formula, we get

$$\begin{aligned} \psi_a : \psi_b^* \psi_u \psi_v^* : &= : \psi_a \psi_b^* \psi_u \psi_v^* : + \langle v_\emptyset, \psi_a \psi_b^* v_\emptyset \rangle : \psi_u \psi_v^* : + \langle v_\emptyset, \psi_a \psi_v^* v_\emptyset \rangle : \psi_b^* \psi_u : \\ &= : \psi_a \psi_b^* \psi_u \psi_v^* : + \delta_{ab}^- : \psi_u \psi_v^* : - \delta_{av}^- : \psi_u \psi_b^* : \end{aligned} \quad (4.9)$$

Noting that  $\Xi_{ab} = \psi_a \psi_b^* - \delta_{ab}^-$  and combining these two identities we conclude that

$$\Xi_{ab} \Xi_{uv} = \Xi_{abuv} - \delta_{av}^- \Xi_{ub} + \delta_{bu}^+ \Xi_{av} + \delta_{av}^- \delta_{bu}^+. \quad (4.10)$$

(This is essentially a special case of Wick's theorem.) Subtract from this the same relation after the substitutions  $a \leftrightarrow u$  and  $b \leftrightarrow v$  to obtain (4.4). Here, we use  $\Xi_{abuv} = \Xi_{uvab}$ , cf. (3.15). Next, using (4.10), we have

$$[\Xi_{abcd}, \Xi_{uv}] = [\Xi_{ab} \Xi_{cd}, \Xi_{uv}] + \delta_{ad}^- [\Xi_{cb}, \Xi_{uv}] - \delta_{bc}^- [\Xi_{ad}, \Xi_{uv}]$$

$$= \Xi_{ab}[\Xi_{cd}, \Xi_{uv}] + [\Xi_{ab}, \Xi_{uv}]\Xi_{cd} + \delta_{ad}^-[\Xi_{cb}, \Xi_{uv}] - \delta_{bc}^-[\Xi_{ad}, \Xi_{uv}]. \quad (4.11)$$

After some algebraic simplifications and using (4.4) and (4.10), we obtain (4.5). Finally, (4.6) and (4.7) follow from (4.4) and (4.5), respectively, by the identity  $\eta_{abuv} = 0$ .  $\square$

**4.2. Fermionic expressions for KdV Hamiltonian operators.** Let us denote  $\widehat{g}_k^{[j]}(z) = \rho_0(g_k^{[j]}(z)) \in (\text{End } \mathbf{B})[[z^{\pm 1}]]$ , cf. (1.8) and (2.5). Recall that  $\Phi$  denotes the isomorphism in the boson-fermion correspondence, cf. Theorem 3.1. The following result was proved in [33].

**Proposition 4.2** ([33, Theorem 5.3]). *We have*

$$\Phi^{-1} \widehat{g}_k^{[0]}(z) \Phi = \beta_k + \frac{1}{(k+1)!} \sum_{a,b \in \mathbb{F}} \left( \frac{a+b}{2} \right)^{k+1} \Xi_{ab} z^{b-a} \quad (k \geq 0), \quad (4.12)$$

where the numbers  $\beta_k$  are defined by (1.14).

Next, we establish a formula for  $\Phi^{-1} \widehat{g}_k^{[1]}(z) \Phi$  which involves quartic fermionic operators.

**Proposition 4.3.** *For all  $k \geq 0$ , we have*

$$\Phi^{-1} \widehat{g}_k^{[1]}(z) \Phi = \sum_{a,b,c,d \in \mathbb{F}} A_k(a,b,c,d) \Xi_{abcd} z^{d-c+b-a} + \sum_{a,b \in \mathbb{F}} B_k(a,b) \Xi_{ab} z^{b-a} + \gamma_k, \quad (4.13)$$

where  $\gamma_k \in \mathbb{Q}$  and  $A_k$  and  $B_k$  are polynomials of degree  $k+1$  and  $k+2$  respectively, which satisfy the following initial values

$$A_{-1}(a,b,c,d) = 0, \quad B_{-1}(a,b) = 0 \quad (4.14)$$

and recursions ( $k \geq 0$ )

$$(k+2)(d-c+b-a)A_k(a,b,c,d) = \frac{d^2 - c^2 + b^2 - a^2}{2} A_{k-1}(a,b,c,d) + P_k(a,b,c,d) \quad (4.15)$$

$$(k+2)(b-a)B_k(a,b) = \frac{b^2 - a^2}{2} B_{k-1}(a,b) + R_k(a,b) + T_k(a,b) \quad (4.16)$$

where

$$P_k(a,b,c,d) = \frac{1}{k! 2^k} \left( (a-b)^2 ((-a+b+c+d)^k - (a-b+c+d)^k) + (c-d)^2 ((a+b-c+d)^k - (a+b+c-d)^k) \right) \quad (4.17)$$

$$R_k(a,b) = [\zeta^k] e^{\zeta(a-b)/2} ((b - \partial_\zeta)^2 - (a-b)^2) \frac{1 - e^{-(a-b)\zeta}}{\sinh(\zeta/2)} \quad (4.18)$$

$$T_k(a,b) = \frac{2}{3 k!} (b(b^2 - \frac{1}{4}) - a(a^2 - \frac{1}{4})) \left( \frac{a+b}{2} \right)^k. \quad (4.19)$$

In (4.18), the notation  $[\zeta^k]f(\zeta)$  stands for the coefficient of  $\zeta^k$  in the power series  $f(\zeta) \in \mathbb{Q}[[\zeta]]$ .

*Remark 4.4.* The numbers  $\gamma_k$  are not computed in this proposition. It will be shown below, in the proof of Theorem 1.6, that  $\gamma_k = 2\beta_2\beta_{k+1} + k(k+3)\beta_{k+3}$ , cf. (1.14).

Before giving the proof of this proposition, let us define

$$\widehat{G}_k^{[j]} = \rho_0(G_k^{[j]}), \quad (4.20)$$

cf. (1.8) and (2.5), and let us state and prove a lemma.

**Lemma 4.5.** *We have*

$$\Phi^{-1} \widehat{G}_1^{[0]} \Phi = \sum_{a \in \mathbb{F}} \frac{a^2}{2} \Xi_{aa}, \quad (4.21)$$

$$\Phi^{-1} \widehat{G}_1^{[1]} \Phi = \sum_{\substack{a,b,c,d \in \mathbb{F}, \\ a+c=b+d}} (a-b)^2 \Xi_{abcd} + \sum_{a \in \mathbb{F}} \frac{2}{3} a \left( a^2 - \frac{1}{4} \right) \Xi_{aa} + \frac{1}{120}. \quad (4.22)$$

*Proof.* The first identity is an immediate consequence of (4.12), so we only have to prove the second one. By (2.3), we have

$$G_1^{[1]} = \sum_{a \in \mathbb{Z}} a^2 : \omega_a \omega_{-a} : + \frac{\hbar}{120}. \quad (4.23)$$

Hence,  $\widehat{G}_1^{[1]} = 2 \sum_{n \in \mathbb{Z}_{\geq 1}} n^3 p_n \frac{\partial}{\partial p_n} + \frac{1}{120}$ , and so (according to Theorem 3.1)

$$\Phi^{-1} \widehat{G}_1^{[1]} \Phi - \frac{1}{120} = 2 \sum_{n \geq 1} n^2 \alpha_n \alpha_{-n} = 2 \sum_{n \geq 1} n^2 \sum_{a, b \in \mathbb{F}} \Xi_{a, a-n} \Xi_{b, b+n}. \quad (4.24)$$

By an application of (4.10), we rewrite this expression as

$$\begin{aligned} & 2 \sum_{n \geq 1} n^2 \sum_{a, b \in \mathbb{F}} \left( \Xi_{a, a-n, b, b+n} - \delta_{a, b+n}^- \Xi_{b, a-n} + \delta_{a-n, b}^+ \Xi_{a, b+n} + \delta_{a, b+n}^- \delta_{a-n, b}^+ \right) \\ &= 2 \sum_{n \geq 1} n^2 \left( \sum_{a, b \in \mathbb{F}} \Xi_{a, a-n, b, b+n} \right) + 2 \sum_{a \in \mathbb{F}} \Xi_{aa} \left( \delta_{a>0} \sum_{n=1}^{a-\frac{1}{2}} n^2 - \delta_{a<0} \sum_{n=1}^{-a-\frac{1}{2}} n^2 \right). \end{aligned} \quad (4.25)$$

We finally use the identity  $\Xi_{abcd} = \Xi_{cdab}$  in the first term and

$$\delta_{a>0} \sum_{n=1}^{a-\frac{1}{2}} n^2 - \delta_{a<0} \sum_{n=1}^{-a-\frac{1}{2}} n^2 = \frac{1}{3} a (a^2 - \frac{1}{4}) \quad (a \in \mathbb{F}) \quad (4.26)$$

in the second term to rewrite this expression as in (4.22).  $\square$

*Proof of Proposition 4.3.* Denote  $h_k(z)$  the right-hand side in (4.13). As recalled in Section 2.1, the recursion (2.2) uniquely determines the  $g_k(\epsilon; z)$  up to an additive constant. Therefore, we only need to show that the sequence  $h_k(z)$  satisfies (2.6), namely, that for all  $k \geq 0$  we have

$$(k+3) z \partial_z h_{k+1}(z) = [h_k(z), \Phi^{-1} \widehat{G}_1^{[0]} \Phi] + [\Phi^{-1} \widehat{g}_k^{[0]}(z) \Phi, \Phi^{-1} \widehat{G}_1^{[1]} \Phi]. \quad (4.27)$$

The left-hand side of (4.27) is

$$\begin{aligned} & (k+3) \left( \sum_{a,b,c,d \in \mathbb{F}} (d-c+b-a) A_{k+1}(a, b, c, d) \Xi_{abcd} z^{d-c+b-a} \right. \\ & \quad \left. + \sum_{a,b \in \mathbb{F}} (b-a) B_{k+1}(a, b) \Xi_{ab} z^{b-a} \right). \end{aligned} \quad (4.28)$$

The first term on the right-hand side of (4.27) is, using Lemma 4.5,

$$\begin{aligned} & [h_k(z), \Phi^{-1} \widehat{G}_1^{[0]} \Phi] \\ &= \sum_{\ell, a, b, c, d \in \mathbb{F}} z^{d-c+b-a} \frac{\ell^2}{2} A_k(a, b, c, d) [\Xi_{abcd}, \Xi_{\ell\ell}] + \sum_{\ell, a, b \in \mathbb{F}} z^{b-a} \frac{\ell^2}{2} B_k(a, b) [\Xi_{ab}, \Xi_{\ell\ell}] \end{aligned}$$

$$= \sum_{a,b,c,d \in \mathbb{F}} z^{d-c+b-a} \frac{d^2 - c^2 + b^2 - a^2}{2} A_k(a, b, c, d) \Xi_{abcd} + \sum_{a,b \in \mathbb{F}} z^{b-a} \frac{b^2 - a^2}{2} B_k(a, b) \Xi_{ab}. \quad (4.29)$$

The second term on the right-hand side of (4.27) is, once again using Lemma 4.5,

$$\begin{aligned} [\Phi^{-1} \widehat{g}_k^{[0]}(z) \Phi, \Phi^{-1} \widehat{G}_1^{[1]} \Phi] &= \frac{1}{(k+1)!} \sum_{\substack{a,b,c,d,u,v \in \mathbb{F}, \\ a+c=b+d}} (a-b)^2 \left( \frac{u+v}{2} \right)^{k+1} [\Xi_{uv}, \Xi_{abcd}] z^{v-u} \\ &+ \frac{1}{(k+1)!} \sum_{a,u,v \in \mathbb{F}} \frac{2}{3} a \left( a^2 - \frac{1}{4} \right) \left( \frac{u+v}{2} \right)^{k+1} [\Xi_{uv}, \Xi_{aa}] z^{v-u}. \end{aligned} \quad (4.30)$$

It follows from Lemma 4.1 that this expression contains terms which are either quartic or quadratic in the  $\psi_i, \psi_i^*$ , which we now consider separately.

The quartic terms come from the first line only and they are

$$\begin{aligned} &\frac{-1}{(k+1)!} \sum_{\substack{a,b,c,d,u,v \in \mathbb{F}, \\ a+c=b+d}} (a-b)^2 \left( \frac{u+v}{2} \right)^{k+1} (\delta_{du} \Xi_{abcv} - \delta_{cv} \Xi_{abud} + \delta_{bu} \Xi_{avcd} - \delta_{av} \Xi_{ubcd}) z^{v-u} \\ &= -\frac{1}{(k+1)!} \sum_{a,b,c,v \in \mathbb{F}} (a-b)^2 \left( \frac{a-b+c+v}{2} \right)^{k+1} \Xi_{abcv} z^{v-c+b-a} \\ &\quad + \frac{1}{(k+1)!} \sum_{a,b,d,u \in \mathbb{F}} (a-b)^2 \left( \frac{u+b+d-a}{2} \right)^{k+1} \Xi_{abud} z^{d+b-a-u} \\ &\quad - \frac{1}{(k+1)!} \sum_{a,c,d,v \in \mathbb{F}} (c-d)^2 \left( \frac{a+c-d+v}{2} \right)^{k+1} \Xi_{avcd} z^{v+d-c-a} \\ &\quad + \frac{1}{(k+1)!} \sum_{b,c,d,u \in \mathbb{F}} (c-d)^2 \left( \frac{u+b+d-c}{2} \right)^{k+1} \Xi_{ubcd} z^{d-c+b-u} \\ &= \sum_{a,b,c,d \in \mathbb{F}} P_{k+1}(a, b, c, d) \Xi_{abcd} z^{d-c+b-a}, \end{aligned} \quad (4.31)$$

with  $P_k(a, b, c, d)$  as in (4.17). This shows that the coefficients of  $\Xi_{abcd} z^{a-b+c-d}$  in both sides of (4.27) match, provided (4.15) holds.

The quadratic terms instead come from both lines on the right-hand side of (4.30). Those coming from the first line are

$$\frac{-1}{(k+1)!} \sum_{\substack{a,b,c,d,u,v \in \mathbb{F}, \\ a+c=b+d}} (a-b)^2 \left( \frac{u+v}{2} \right)^{k+1} (\eta_{cduv} \Xi_{ab} + \eta_{abuv} \Xi_{cd} - \eta_{cbuv} \Xi_{ad} - \eta_{aduv} \Xi_{cb}) z^{v-u}. \quad (4.32)$$

By renaming summation indexes in the second and fourth terms by the transformations  $a \leftrightarrow c$  and  $b \leftrightarrow d$ , we see that this expression equals

$$\begin{aligned} &-\frac{2}{(k+1)!} \sum_{\substack{a,b,c,d,u,v \in \mathbb{F}, \\ a+c=b+d}} (a-b)^2 \left( \frac{u+v}{2} \right)^{k+1} + (\eta_{cduv} \Xi_{ab} - \eta_{cbuv} \Xi_{ad}) z^{v-u} \\ &= -\frac{2}{(k+1)!} \sum_{\substack{a,b,c,d,u,v \in \mathbb{F}, \\ a+c=b+d}} ((a-b)^2 - (a-d)^2) \left( \frac{u+v}{2} \right)^{k+1} + \eta_{cduv} \Xi_{ab} z^{v-u} \end{aligned}$$

$$\begin{aligned}
&= -\frac{2}{(k+1)!} \left( \sum_{\substack{a,b,c \in \mathbb{F}, \\ c < 0, c+a-b > 0}} ((a-b)^2 - (b-c)^2) \left( \frac{a+2c-b}{2} \right)^{k+1} + \Xi_{ab} z^{b-a} \right. \\
&\quad \left. - \sum_{\substack{a,b,c \in \mathbb{F}, \\ c > 0, c+a-b < 0}} ((a-b)^2 - (b-c)^2) \left( \frac{a+2c-b}{2} \right)^{k+1} + \Xi_{ab} z^{b-a} \right) \\
&= \sum_{a,b \in \mathbb{F}} \widehat{R}_{k+1}(a,b) \Xi_{ab} z^{b-a}, \tag{4.33}
\end{aligned}$$

where  $\widehat{R}_k(a,b)$  is defined as

$$\widehat{R}_k(a,b) = \frac{2}{k!} \left( \sum_{\substack{c \in \mathbb{F}^-, \\ c+a-b > 0}} ((b-c)^2 - (a-b)^2) \left( \frac{a+2c-b}{2} \right)^k - \sum_{\substack{c \in \mathbb{F}^+, \\ c+a-b < 0}} ((b-c)^2 - (a-b)^2) \left( \frac{a+2c-b}{2} \right)^k \right). \tag{4.34}$$

Let us show that  $\widehat{R}_k(a,b) = R_k(a,b)$  as defined in (4.18). Indeed, we rewrite (4.34)

$$\begin{aligned}
\widehat{R}_k(a,b) &= 2[\zeta^k] e^{\zeta(a-b)/2} ((b - \partial_\zeta)^2 - (a-b)^2) \left[ \sum_{\substack{c \in \mathbb{F}^-, \\ c+a-b > 0}} e^{c\zeta} - \sum_{\substack{c \in \mathbb{F}^+, \\ c+a-b < 0}} e^{c\zeta} \right] \\
&= [\zeta^k] e^{\zeta(a-b)/2} ((b - \partial_\zeta)^2 - (a-b)^2) \frac{1 - e^{-(a-b)\zeta}}{\sinh(\zeta/2)} = R_k(a,b). \tag{4.35}
\end{aligned}$$

The quadratic terms coming from the second line of (4.30) are computed by (4.6) as

$$\begin{aligned}
&\frac{1}{(k+1)!} \sum_{u,v \in \mathbb{F}} \frac{2}{3} \left( v \left( v^2 - \frac{1}{4} \right) - u \left( u^2 - \frac{1}{4} \right) \right) \left( \frac{u+v}{2} \right)^{k+1} \Xi_{uv} z^{v-u} \\
&= \sum_{a,b \in \mathbb{F}} T_{k+1}(a,b) \Xi_{ab} z^{b-a}. \tag{4.36}
\end{aligned}$$

Therefore, also the terms of the form  $\Xi_{ab} z^{b-a}$  in (4.27) coincide, provided (4.16) holds. This shows (4.27). The initial conditions (4.14) follow from (2.1).  $\square$

**Corollary 4.6.** *We have*

$$\Phi^{-1} \widehat{G}_k^{[1]} \Phi = \sum_{a,b,c \in \mathbb{F}} A_k(a,b,c, a+c-b) \Xi_{a,b,c,a+c-b} + \sum_{a \in \mathbb{F}} B_k(a,a) \Xi_{aa} + \gamma_k, \tag{4.37}$$

where the polynomials  $A_k$  and  $B_k$  are defined in Proposition 4.3.

**4.3. Proof of Theorem 1.6.** We are now ready for the proof of Theorem 1.6. We first have the following simple lemma.

**Lemma 4.7.** *We have*

$$E_k^{[1]}(\lambda; 0) = \frac{1}{24} \langle s_\lambda, \widehat{G}_k^{[1]} s_\lambda \rangle = \frac{1}{24} \langle v_\lambda, \Phi^{-1} \widehat{G}_k^{[1]} \Phi v_\lambda \rangle. \tag{4.38}$$

*Proof.* The second equality follows from Theorem 3.1. The first one follows by considering terms of order  $O(\epsilon)$  in (1.15) (setting  $c = 0$ ).  $\square$

According to Lemma 4.7 and Corollary 4.6, we have

$$24 E_k^{[1]}(\lambda; 0) = \sum_{a,b,c \in \mathbb{F}} A_k(a,b,c, a+c-b) \langle v_\lambda, \Xi_{a,b,c,a+c-b} v_\lambda \rangle + \sum_{a \in \mathbb{F}} B_k(a,a) \langle v_\lambda, \Xi_{aa} v_\lambda \rangle + \gamma_k. \tag{4.39}$$



All ingredients needed to simplify this expression are given by the following proposition. Recall the constant terms  $\beta_k$  of the shifted symmetric functions  $Q_k$  were defined by (1.14).

**Proposition 4.8.** *Let  $a, b, c, d \in \mathbb{F}$  and  $k \geq 0$ . The following are true:*

- (i)  $\langle v_\lambda, \Xi_{aa} v_\lambda \rangle = \sum_{c \in C_\lambda} \text{sgn}(c) \delta_{a,c}$ .
- (ii)  $B_k(a, a) = \frac{k(k+3)}{(k+2)!} a^{k+2} - \frac{1}{12k!} a^k + 2\beta_{k+1}a - 2(k+1)\beta_{k+2}$ .
- (iii) *The scalar product  $\langle v_\lambda, \Xi_{abcd} v_\lambda \rangle$  is nonzero only if  $(a = b \text{ and } c = d)$ , or  $(a = d \text{ and } b = c)$ .*
- (iv)  $\langle v_\lambda, \Xi_{abba} v_\lambda \rangle = \sum_{c, c' \in C_\lambda} \text{sgn}(c c') \delta_{a,c} \delta_{b,c'} \quad (a \neq b)$ .
- (v)  $A_k(a, a, b, b) = 0$  and  $A_k(a, b, b, a) = \frac{(a-b)(b^k - a^k)}{k!}$ .

*Proof.* (i) Let us first show that

$$\Xi_{aa} v_\lambda = \left[ \sum_{c \in C_\lambda} \text{sgn}(c) \delta_{ac} \right] v_\lambda. \quad (4.40)$$

We start with the special case

$$\Xi_{aa} = : \psi_a \psi_a^* : = \begin{cases} \psi_a \psi_a^*, & \text{if } a > 0, \\ -\psi_a^* \psi_a, & \text{if } a < 0 \end{cases} \quad (4.41)$$

of (3.14). Therefore, when  $a \in \mathbb{F}^+$ ,  $\Xi_{aa} v_\lambda = \sigma_\lambda \psi_a \psi_a^* \psi_{c_1} \cdots \psi_{c_d} \psi_{c_d}^* \cdots \psi_{c_1}^* v_\theta$ , cf. (3.12). Using the commutation relations (3.11) and that  $\psi_a^* v_\theta = 0$ , we infer that this expression is nonzero only if  $a = c_i$  for some  $i$ , in which case  $\Xi_{aa} v_\lambda = v_\lambda$ . Similarly, when  $a \in \mathbb{F}^-$ ,  $\Xi_{aa} v_\lambda = -\sigma_\lambda \psi_a^* \psi_a \psi_{c_1} \cdots \psi_{c_d} \psi_{c_d}^* \cdots \psi_{c_1}^* v_\theta$ , and since  $\psi_a v_\theta = 0$  this expression is nonzero only if  $a = c_i^*$  for some  $i$ , in which case  $\Xi_{aa} v_\lambda = -v_\lambda$ . Equation (4.40) follows and (i) is an immediate consequence.

(ii) Because of (4.16), the polynomials  $B_k$  satisfy

$$(k+3)B_{k+1}(a, a) = aB_k(a, a) + \tilde{R}_{k+1}(a) + \tilde{T}_{k+1}(a), \quad (4.42)$$

where

$$\begin{aligned} \tilde{R}_k(a) &= \lim_{b \rightarrow a} \frac{R_k(a, b)}{b-a} \\ &= [\zeta^k] \left( (a - \partial_\zeta)^2 \frac{\zeta}{\sinh(\zeta/2)} \right) \\ &= -2\beta_k a^2 + 4(k+1)\beta_{k+1}a - 2(k+1)(k+2)\beta_{k+2}. \end{aligned} \quad (4.43)$$

$$\tilde{T}_k(a) = \lim_{b \rightarrow a} \frac{T_k(a, b)}{b-a} = \frac{2}{k!} a^k \left( a^2 - \frac{1}{12} \right). \quad (4.44)$$

(Here we use (1.14).) It is readily checked that the right-hand side of (ii) satisfies the same recursion and initial data as in (4.42), thus proving (ii).

(iii) According to (4.10), we have

$$\langle v_\lambda, \Xi_{abcd} v_\lambda \rangle = \langle v_\lambda, \Xi_{ab} \Xi_{cd} v_\lambda \rangle + \delta_{ad}^- \langle v_\lambda, \Xi_{cb} v_\lambda \rangle - \delta_{bc}^+ \langle v_\lambda, \Xi_{ad} v_\lambda \rangle - \delta_{ad}^- \delta_{bc}^+. \quad (4.45)$$

Since  $\langle v_\lambda, \Xi_{pq} v_\lambda \rangle$  can be nonzero only if  $p = q$ , the last three terms on the right-hand side can be nonzero if and only if  $a = d$  and  $b = c$ . Since  $(\Xi_{ab})^* = \Xi_{ba}$ , the first term on the right-hand side is  $\langle \Xi_{ba} v_\lambda, \Xi_{cd} v_\lambda \rangle$ , which can be nonzero only if  $a = d$  and  $b = c$  or if  $a = b$  and  $c = d$ .

(iv) If  $a \neq b$ , it can be checked from (3.14) that  $\Xi_{abba} = \Xi_{aa} \Xi_{bb}$  and (iv) follows from (4.40).

(v) follows directly from (4.15).  $\square$

We are ready to prove our first main result.

*Proof of Theorem 1.6.* We start from (4.39) and use Proposition 4.8 to obtain the following expression for  $24 E_k^{[1]}(\lambda; 0)$ :

$$\begin{aligned} & \sum_{c, c' \in C_\lambda} \frac{c^k c' + c c'^k - c^{k+1} - c'^{k+1}}{k!} \operatorname{sgn}(c c') + \\ & + \sum_{c \in C_\lambda} \left( \frac{k(k+3)}{(k+2)!} c^{k+2} - \frac{1}{12 k!} c^k + 2\beta_{k+1} c - 2(k+1)\beta_{k+2} \right) \operatorname{sgn}(c) + \gamma_k. \end{aligned} \quad (4.46)$$

Observe, using (3.5) and  $\sum_{c \in C_\lambda} \operatorname{sgn}(c) = 0$ , that the first line is  $2(Q_2 - \beta_2)(Q_{k+1} - \beta_{k+1})$  and that the second line is

$$k(k+3)(Q_{k+3} - \beta_{k+3}) + 2\beta_2(Q_{k+1} - \beta_{k+1}) + 2\beta_{k+1}(Q_2 - \beta_2) + \gamma_k. \quad (4.47)$$

Hence,

$$24 E_k^{[1]}(\lambda; 0) = 2Q_2 Q_{k+1} + k(k+3)Q_{k+3} + \gamma_k - 2\beta_2 \beta_{k+1} - k(k+3)\beta_{k+3}$$

Using Theorems 1.12 and 1.13, we deduce that the constant term  $\gamma_k - 2\beta_2 \beta_{k+1} - k(k+3)\beta_{k+3}$  must vanish, which concludes the proof (and yields the explicit value for  $\gamma_k$  anticipated in Remark 4.4). This proves (2.8), and hence Theorem 1.6.  $\square$

#### 4.4. Proof of Corollary 1.7.

*Proof.* Recalling (1.3) and (1.4), the coefficient of  $\epsilon$  in the constant term of  $G_k(\epsilon)$  is

$$I_{g,k;\emptyset} = \int_{\operatorname{DR}_g(0)} \psi_1^k \lambda_1 = (-1)^g \int_{\overline{\mathcal{M}}_{g,1}} \lambda_1 \lambda_g \psi^k, \quad (4.48)$$

where we use the fact that  $\operatorname{DR}_g(0)$  is Poincaré dual to  $(-1)^g \lambda_g$  [23, Section 0.5.3]. This intersection number is nonzero only if  $3g - 2 = 1 + g + k$ , i.e., only if  $k = 2g - 3$ . On the other hand, according to Theorem 1.6, the same term is computed as the value of (2.8) at  $\lambda = \emptyset$ , for which we finally use the identity  $Q_k(\emptyset) = \beta_k$ , cf. (1.13).  $\square$

### 5. FIRST ORDER CORRECTION TO THE EIGENVECTOR

**5.1. A metric on partitions.** Given  $\lambda, \mu \in \mathcal{P}$ , we define the *Hamming distance* between  $\lambda$  and  $\mu$  as

$$d(\lambda, \mu) = \frac{1}{2} |C_\lambda \Delta C_\mu| \quad (5.1)$$

where  $X \Delta Y = (X \cup Y) \setminus (X \cap Y)$  is the symmetric difference of sets  $X, Y$ .

#### Lemma 5.1.

- (i) *The Hamming distance  $d$  is an integer-valued metric on  $\mathcal{P}$ .*
- (ii) *We have  $d(\lambda, \mu) = \frac{1}{2} |S_\lambda \Delta S_\mu|$ , where  $S_\lambda$  is given by (3.6).*
- (iii) *If  $|\lambda| = |\mu|$ , then  $d(\lambda, \mu) \neq 1$ .*
- (iv) *For all  $k \geq 0$ , if  $d(\lambda, \mu) = 2k$ , then  $|S_\lambda \setminus S_\mu| = 2k = |S_\mu \setminus S_\lambda|$ .*

*Proof.* It is well-known that the symmetric difference defines a metric. Hence, for (i) it remains to show that  $d$  only takes integer values. Since  $C_\lambda \Delta C_\mu = (C_\lambda \setminus C_\mu) \sqcup (C_\mu \setminus C_\lambda)$  and  $\sum_{c \in C_\lambda} \operatorname{sgn}(c) = 0$  we obtain that

$$\sum_{c \in C_\lambda \setminus C_\mu} \operatorname{sgn}(c) = \sum_{c \in C_\mu \setminus C_\lambda} \operatorname{sgn}(c). \quad (5.2)$$

In particular,  $|C_\lambda \setminus C_\mu|$  and  $|C_\mu \setminus C_\lambda|$  have the same parity, proving that  $d$  is integer-valued.

For (ii), recall from (3.7) that  $C_\lambda^+ = S_\lambda^+$  and  $C_\lambda^- = \mathbb{F}^- \setminus S_\lambda^-$ . Hence,

$$C_\lambda \Delta C_\mu = (C_\lambda^+ \Delta C_\mu^+) \cup (C_\lambda^- \Delta C_\mu^-) = (S_\lambda^+ \Delta S_\mu^+) \cup (S_\lambda^- \Delta S_\mu^-) = S_\lambda \Delta S_\mu, \quad (5.3)$$

where we use that the symmetric difference is invariant under taking complements, i.e.,

$$(Z \setminus X) \Delta (Z \setminus Y) = X \Delta Y \quad (\text{for all sets } X, Y \subseteq Z).$$

Next, for (iii) recall that  $|\lambda| = \sum_{c \in C_\lambda} |c|$  for all  $\lambda \in \mathcal{P}$ . Hence, if  $|\lambda| = |\mu|$  we have

$$\sum_{c \in C_\lambda \setminus C_\mu} |c| = \sum_{c \in C_\mu \setminus C_\lambda} |c|. \quad (5.4)$$

If  $d(\lambda, \mu) = 1$ , i.e.,  $|C_\mu \Delta C_\lambda| = 2$ , this implies that  $|C_\lambda \setminus C_\mu| = 1 = |C_\mu \setminus C_\lambda|$ , and hence because of (5.2) that  $C_\lambda \setminus C_\mu = C_\mu \setminus C_\lambda$ , contradiction.

Finally, for any pair of partitions  $\lambda, \mu$  let us denote  $b_{\lambda\mu}^\pm = |S_\lambda^\pm \setminus S_\mu^\pm|$ . If  $d(\lambda, \mu) = 2k$  we must have

$$b_{\lambda\mu}^+ + b_{\mu\lambda}^+ + b_{\lambda\mu}^- + b_{\mu\lambda}^- = 4k \quad (5.5)$$

We have  $b_{\lambda\mu}^+ = |C_\lambda^+ \setminus C_\mu^+|$  and  $b_{\lambda\mu}^- = |C_\mu^- \setminus C_\lambda^-|$  (note the order of  $\lambda$  and  $\mu$ ). Hence, condition (5.2) implies

$$b_{\lambda\mu}^+ - b_{\mu\lambda}^- = b_{\mu\lambda}^+ - b_{\lambda\mu}^-. \quad (5.6)$$

Therefore, combining the last identity with (5.5) we get  $b_{\lambda\mu}^+ + b_{\lambda\mu}^- = 2k = b_{\mu\lambda}^+ + b_{\mu\lambda}^-$ , and (iv) is proved.  $\square$

Let us introduce the following *neighborhood* of  $\lambda$ :

$$\mathcal{U}(\lambda) = \{\mu \in \mathcal{P} \mid |\mu| = |\lambda| \text{ and } d(\lambda, \mu) = 2\}. \quad (5.7)$$

According to the last point in the previous lemma, for  $\mu \in \mathcal{U}(\lambda)$ , there exist  $1 \leq a < b$  and  $1 \leq a' < b'$  such that

$$S_\lambda \setminus S_\mu = \{\lambda_a - a + \frac{1}{2}, \lambda_b - b + \frac{1}{2}\} \quad S_\mu \setminus S_\lambda = \{\mu_{a'} - a' + \frac{1}{2}, \mu_{b'} - b' + \frac{1}{2}\}. \quad (5.8)$$

Note that, since  $|\lambda| = |\mu|$ , for all  $K$  large enough

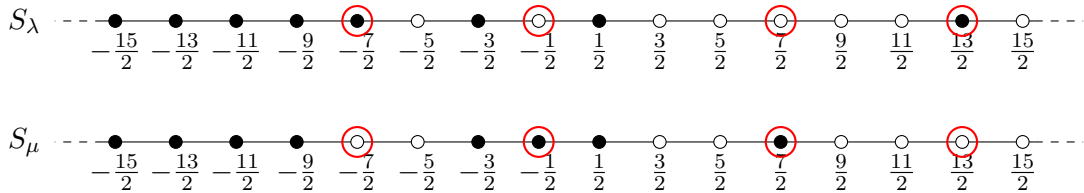
$$\sum_{i=1}^K (\lambda_i - i) = \sum_{i=1}^K (\mu_i - i) \quad (5.9)$$

(in fact,  $K \geq \ell(\lambda), \ell(\mu)$  suffices) and so

$$\lambda_a - a + \lambda_b - b = \mu_{a'} - a' + \mu_{b'} - b'. \quad (5.10)$$

Before proceeding, it is convenient to illustrate our arguments with one example.

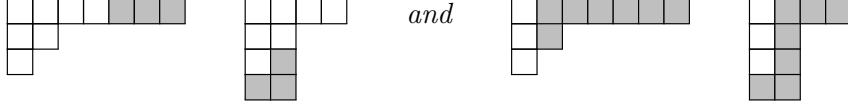
**Example 5.2.** Let  $\lambda = (7, 2, 1)$  and  $\mu = (4, 2, 2, 2)$ , which satisfy  $|\lambda| = |\mu| = 10$  and  $d(\lambda, \mu) = 2$ . Consider the sequences  $S_\lambda$  and  $S_\mu$  depicted as Maya diagram [28, 32]:



Here, the black dots correspond to elements in  $S_\lambda$  and  $S_\mu$ , and we indicated in red the four places at which  $S_\lambda$  and  $S_\mu$  differ; note that, in this case, the indices in (5.10) are given by  $a = 1, b = 4, a' = 1, b' = 3$ .

Next, recall that in Maya diagrams, removing a border strip is represented by swapping a black dot with any white dot to its left. Therefore we see that there are exactly two ways to remove a border strip from  $\lambda$  and one from  $\mu$  such that the resulting partitions coincide. Namely, we either swap the white and black dots at  $\frac{7}{2}$  and  $\frac{13}{2}$  in  $S_\lambda$  and at  $-\frac{7}{2}$  and  $-\frac{1}{2}$

in  $S_\mu$ , or we swap white and black dots at  $\frac{1}{2}$  and  $\frac{13}{2}$  in  $S_\lambda$  and at  $-\frac{7}{2}$  and  $\frac{7}{2}$  in  $S_\mu$ . These border strips are visualized in the Young diagrams as follows



The general situation is completely parallel, as shown in the following lemma.

**Lemma 5.3.** *Let  $\mu \in \mathcal{U}(\lambda)$ . There exist exactly two border strips  $\gamma_1, \gamma_2$  in  $\lambda$  and two border strips  $\gamma'_1, \gamma'_2$  in  $\mu$  such that  $\lambda \setminus \gamma_i = \mu \setminus \gamma'_i$  ( $i = 1, 2$ ). These border strips satisfy*

- (i)  $\gamma_1 \subset \gamma_2$ ,  $\gamma'_1 \subset \gamma'_2$ ,
- (ii)  $|\gamma_1| = |\gamma'_1| = |\lambda_a - a - \mu_{a'} + a'|$ ,  $|\gamma_2| = |\gamma'_2| = |\lambda_a - a - \mu_{b'} + b'|$
- (iii) If  $\lambda_a - a > \mu_{a'} - a'$ , then
 
$$\text{ht}(\gamma_1) = a' - a, \text{ht}(\gamma'_1) = b - b', \text{ht}(\gamma_2) = b' - a - 1, \text{ht}(\gamma'_2) = b - a' \quad (5.11)$$

and if  $\lambda_a - a < \mu_{a'} - a'$ , then

$$\text{ht}(\gamma_1) = b' - b, \text{ht}(\gamma'_1) = a - a', \text{ht}(\gamma_2) = b' - a, \text{ht}(\gamma'_2) = b - a' - 1. \quad (5.12)$$

*Proof.* We can assume without loss of generality that  $\lambda_a - a > \mu_{a'} - a'$  (otherwise we just interchange the roles of  $\lambda$  and  $\mu$ ). Since  $a$  and  $a'$  are minimal such that  $\lambda_a - a$  and  $\mu_{a'} - a'$  are not elements of  $S_\mu$  and  $S_\lambda$  respectively, this implies  $a' \geq a$ . Using (5.10) one concludes  $a \leq a' < b' \leq b$ . There are exactly two ways to remove border strips from  $\lambda$  and  $\mu$  such that the resulting partitions coincide: we either swap white and black dots at  $\mu_{a'} - a' + \frac{1}{2}$  and  $\lambda_a - a + \frac{1}{2}$  in  $S_\lambda$  and those at  $\lambda_b - b + \frac{1}{2}$  and  $\mu_{b'} - b' + \frac{1}{2}$  in  $S_\mu$  (corresponding to border strips  $\gamma_1, \gamma'_1$  respectively), or we swap white and black dots at  $\mu_{b'} - b' + \frac{1}{2}$  and  $\lambda_a - a + \frac{1}{2}$  in  $S_\lambda$  and those at  $\lambda_b - b + \frac{1}{2}$  and  $\mu_{a'} - a' + \frac{1}{2}$  in  $S_\mu$  (corresponding to border strips  $\gamma_2, \gamma'_2$  respectively). The properties (i) and (ii) are clear by construction. The last one follows from the fact that the height of a border strip in a partition  $\nu$  is read off the Maya diagram as follows: the border strip itself is a pair of white and black dots, the white one to the left of the right one, and the height is the number of black dots (i.e., of elements of  $S_\nu$ ) in between them (see, e.g., [32]).  $\square$

We now compute the scalar products relevant to the proof of Theorem 1.8.

**Lemma 5.4.** *Let  $\lambda, \mu \in \mathcal{P}$  with  $\lambda \neq \mu$ .*

- (i) If  $\mu \notin \mathcal{U}(\lambda)$ , then  $\langle s_\mu, \widehat{G}_k^{[1]} s_\lambda \rangle = 0$  for all  $k \geq 0$ .
- (ii) If  $\mu \in \mathcal{U}(\lambda)$ , then (with the notations of the previous lemma)

$$\begin{aligned} \langle s_\mu, \widehat{G}_1^{[1]} s_\lambda \rangle &= 2(-1)^{\text{ht}(\gamma_1) + \text{ht}(\gamma'_1)} |\gamma_1|^2 + (-1)^{\text{ht}(\gamma_2) + \text{ht}(\gamma'_2)} |\gamma_2|^2 \\ &= 2(-1)^{a+a'+b+b'} ((\lambda_a - a - \mu_{a'} + a')^2 - (\lambda_a - a - \mu_{b'} + b')^2). \end{aligned} \quad (5.13)$$

*Remark 5.5.* Note that the last identity involves twice the quantity  $\sum_{m \geq 1} m^3 \langle s_\mu, p_m \frac{\partial s_\lambda}{\partial p_m} \rangle$ . It is straightforward to adapt the proof below to show that, more generally, we have

$$\begin{aligned} \sum_{m \geq 1} m^{n+1} \left\langle s_\mu, p_m \frac{\partial s_\lambda}{\partial p_m} \right\rangle &= (-1)^{\text{ht}(\gamma_1) + \text{ht}(\gamma'_1)} |\gamma_1|^n + (-1)^{\text{ht}(\gamma_2) + \text{ht}(\gamma'_2)} |\gamma_2|^n \\ &= (-1)^{a+a'+b+b'} ((\lambda_a - a - \mu_{a'} + a')^n - (\lambda_a - a - \mu_{b'} + b')^n) \end{aligned} \quad (5.14)$$

for any integer  $n \geq 0$  and partitions  $\lambda, \mu$  satisfying  $\mu \in \mathcal{U}(\lambda)$ .

*Proof.* Recalling Corollary 4.6 we obtain that, when  $\lambda \neq \mu$ ,  $\langle s_\mu, \widehat{G}_k^{[1]} s_\lambda \rangle$  is a linear combination of  $\langle v_\mu, \Xi_{a,b,c,a+c-b} v_\lambda \rangle$  and  $\langle v_\mu, \Xi_{aa} v_\lambda \rangle$ , for  $a, b, c \in \mathbb{F}$ . That the latter terms do not contribute is clear from the fact that  $\Xi_{aa}$  is diagonal on  $v_\lambda$ , cf. (4.40). Moreover,  $\Xi_{abcd} v_\lambda$

is a linear combination of  $v_\mu$  such that at most four modified Frobenius coordinates of  $\mu$  are different from those of  $\lambda$ , i.e., such that  $d(\mu, \lambda) \leq 2$ . Since  $|\lambda| = |\mu|$  and  $\lambda \neq \mu$  also these other terms do not contribute, cf. point (iii) in Lemma 5.1). It remains to prove the last assertion. To this end, we use (4.24) to write

$$\langle s_\mu, \widehat{G}_1^{[1]} s_\lambda \rangle = 2 \sum_{n \geq 1} n^2 \langle v_\lambda, \alpha_n \alpha_{-n} v_\mu \rangle \quad (\lambda \neq \mu) \quad (5.15)$$

where  $\alpha_n$  are the operators defined in (3.17). It is well known (see, for example, [32]) that

$$\alpha_{-n} v_\lambda = \sum_{\gamma \in \text{BS}(\lambda, n)} (-1)^{\text{ht}(\gamma)} v_{\lambda \setminus \gamma} \quad (n \geq 1) \quad (5.16)$$

where  $\text{BS}(\lambda, n)$  is the set of border strips of size  $n$  in a partition  $\lambda$ . Since the operators  $\alpha_n$  and  $\alpha_{-n}$  are mutually adjoint, cf. [32], we get

$$\langle s_\mu, \widehat{G}_1^{[1]} s_\lambda \rangle = 2 \sum_{n \geq 1} n^2 \langle \alpha_{-n} v_\mu, \alpha_{-n} v_\lambda \rangle = 2 \sum_{n \geq 1} n^2 \sum_{\substack{\gamma \in \text{BS}(\lambda, n) \\ \gamma' \in \text{BS}(\mu, n)}} (-1)^{\text{ht}(\gamma) + \text{ht}(\gamma')} \delta_{\lambda \setminus \gamma, \mu \setminus \gamma'}. \quad (5.17)$$

Finally, we can use Lemma 5.3 to complete the proof.  $\square$

**5.2. Proof of Theorem 1.8.** With the notations of (1.15) and (1.16), we define coefficients  $c(\lambda, \mu)$ , depending on pairs of partitions  $\lambda, \mu$ , by

$$r_\lambda^{[1]}(\mathbf{p}) = \frac{1}{24} \sum_{\mu \in \mathcal{P}} c(\lambda, \mu) s_\mu(\mathbf{p}). \quad (5.18)$$

By (1.18),  $c(\lambda, \lambda) = 0$  for all  $\lambda \in \mathcal{P}$ . Obviously, since  $r_\lambda(\epsilon) \in \mathbb{B}_{|\lambda|}[\![\epsilon]\!]$ , one has  $c(\lambda, \mu) = 0$  unless  $|\lambda| = |\mu|$ .

**Lemma 5.6.** *For all  $\lambda, \mu \in \mathcal{P}$  we have*

$$c(\lambda, \mu) = \begin{cases} \frac{\langle s_\mu, \widehat{G}_1^{[1]} s_\lambda \rangle}{Q_3(\lambda) - Q_3(\mu)} & \text{if } Q_3(\lambda) \neq Q_3(\mu), \\ 0 & \text{otherwise.} \end{cases} \quad (5.19)$$

*Proof.* Considering the linear terms in  $\epsilon$  in (1.15) (after setting  $c = 0$ ) yields

$$\widehat{G}_k^{[1]} s_\lambda + \sum_{\nu \in \mathcal{P}} c(\lambda, \nu) \widehat{G}_k^{[0]} s_\nu = 24 E^{[1]}(\lambda) s_\lambda + Q_k(\lambda) \sum_{\nu \in \mathcal{P}} c(\lambda, \nu) s_\nu \quad (5.20)$$

where we use (1.12), (4.20) (with  $c = 0$ ) and (5.18). Using (1.10) we have  $\widehat{G}_k^{[0]} s_\nu = Q_k(\nu) s_\nu$  and so taking the scalar product of (5.20) with  $s_\mu$  we obtain

$$\langle s_\mu, \widehat{G}_k^{[1]} s_\lambda \rangle + Q_k(\mu) c(\lambda, \mu) = Q_k(\lambda) c(\lambda, \mu). \quad (5.21)$$

Setting  $k = 3$ , this shows the part of the statement relative to the case  $Q_3(\lambda) \neq Q_3(\mu)$ . Moreover, the same equation shows that, if instead  $Q_3(\lambda) = Q_3(\mu)$  and  $\lambda \neq \mu$ , then  $\langle s_\mu, \widehat{G}_1^{[1]} s_\lambda \rangle = 0$ . Lemma 5.4 then implies that  $\mu \notin \mathcal{U}(\lambda)$  and, therefore, that  $\langle s_\mu, \widehat{G}_k^{[1]} s_\lambda \rangle = 0$  for all  $k \geq 0$ . Finally, there must exist a  $k > 3$  such that  $Q_k(\lambda) \neq Q_k(\mu)$ . Hence, (5.21) implies that  $c(\lambda, \mu) = 0$  in this case.  $\square$

The previous lemmas imply that Theorem 1.8 is equivalent to the following statement.

**Theorem 5.7.** *For all partitions  $\lambda$*

$$r_\lambda^{[1]} = \frac{1}{12} \sum_{\mu \in \mathcal{U}(\lambda)} (-1)^{a+a'+b+b'} \left( \frac{\lambda_a - a - \mu_{a'} + a'}{\lambda_a - a - \mu_{b'} + b'} - \frac{\lambda_a - a - \mu_{b'} + b'}{\lambda_a - a - \mu_{a'} + a'} \right) s_\mu.$$

*Proof.* With the notation in (5.8), we note that for  $\mu \in \mathcal{U}(\lambda)$  we have

$$\begin{aligned} Q_3(\lambda) - Q_3(\mu) &= \frac{1}{2} \left( (\lambda_a - a + \frac{1}{2})^2 + (\lambda_b - b + \frac{1}{2})^2 - (\mu_{a'} - a' + \frac{1}{2})^2 - (\mu_{b'} - b' + \frac{1}{2})^2 \right) \\ &= (\lambda_a - a - \mu_{a'} + a')(\lambda_a - a - \mu_{b'} + b'), \end{aligned} \quad (5.22)$$

as it follows from (3.5) and (5.10). (Note that this quantity also equals  $w_{\lambda\mu} |\gamma_1| |\gamma_2|$ , in the notation of Theorem 1.8.) Then, from Lemma 5.4 and Lemma 5.6 and elementary algebraic simplification using (5.10), we obtain the statement of the theorem.  $\square$

#### APPENDIX A. TABLES SUPPORTING CONJECTURE 1.9

Polynomials obtained by matching Conjecture 1.9 with the eigenvalues computed in SageMath for  $k = 0, \dots, 10$ . Note that we did not restrict the degree of  $f_{D,\nu}$  to be  $2D$ , but only verified this bound in each of the examples.

$\nu$	$D$	$f_{D,\nu}(k)$
$\emptyset$	0	1
$\emptyset$	1	$\frac{1}{24}k(k+3)$
$\emptyset$	2	$\frac{1}{3456}k(k+4)(3k^2+16k+17)$
$\emptyset$	3	$\frac{1}{1244160}k(k+5)(15k^4+210k^3+896k^2+1405k+50)$
$\emptyset$	4	$\frac{1}{597196800}k(k+6)(75k^6+1950k^5+17570k^4+68042k^3+89913k^2$ $-100568k-277382)$
$\emptyset$	5	$\frac{1}{300987187200}k(k+7)(315k^8+13020k^7+199920k^6+1433012k^5+4539665k^4$ $+142128k^3-39506516k^2-99890840k-59164224)$
(1)	0	$\frac{1}{12}$
(1)	1	$\frac{1}{288}(k^2+2k-1)$
(1)	2	$\frac{1}{207360}(k+1)(15k^3+95k^2-148k-616)$
(1)	3	$\frac{1}{14929920}(15k^6+240k^5+467k^4-5229k^3-24476k^2-33159k-7482)$
(1)	4	$\frac{1}{50164531200}(525k^8+14700k^7+91910k^6-448826k^5-5919635k^4$ $-17900130k^3-11934720k^2+25552736k+32402640)$
(2)	0	$\frac{1}{288}$
(2)	1	$\frac{1}{103680}(15k^2+323k+278)$
(2)	2	$\frac{1}{4976640}(15k^4+696k^3+3170k^2+3631k-30)$
(2)	3	$\frac{1}{2508226560}(105k^6+7833k^5+85309k^4+27485k^3-1345564k^2-3262016k$ $-1993680)$
(1 <sup>2</sup> )	0	$\frac{1}{288}$
(1 <sup>2</sup> )	1	$\frac{1}{6912}k(k+1)$
(1 <sup>2</sup> )	2	$\frac{1}{4976640}(15k^4+80k^3-421k^2-486k-60)$
(1 <sup>2</sup> )	3	$\frac{1}{358318080}(15k^6+195k^5-832k^4-9599k^3-24029k^2-22834k-4056)$

$\nu$	$D$	$f_{D,\nu}(k)$
(3)	0	$-\frac{1}{6912}$
(3)	1	$-\frac{1}{165888}k(k+1)$
(3)	2	$\frac{1}{119439360}(-15k^4 - 80k^3 + 421k^2 + 486k + 60)$
(2, 1)	0	$\frac{1}{3456}$
(2, 1)	1	$\frac{1}{1244160}(15k^2 + 308k + 30)$
(2, 1)	2	$\frac{1}{59719680}(15k^4 + 666k^3 + 1690k^2 + 2271k + 1052)$
(1 <sup>3</sup> )	0	$\frac{1}{10368}$
(1 <sup>3</sup> )	1	$\frac{1}{248832}(k^2 + 3)$
(1 <sup>3</sup> )	2	$\frac{1}{179159040}(15k^4 + 50k^3 - 699k^2 + 994k + 60)$
(4)	0	$-\frac{967}{829440}$
(4)	1	$\frac{1}{418037760}(-20307k^2 - 290329k - 229408)$
(3, 1)	0	$-\frac{109}{622080}$
(3, 1)	1	$\frac{1}{29859840}(-218k^2 + 1247k - 1488)$
(2 <sup>2</sup> )	0	$\frac{1}{165888}$
(2 <sup>2</sup> )	1	$\frac{1}{59719680}(15k^2 + 601k + 1232)$
(2, 1 <sup>2</sup> )	0	$\frac{1}{82944}$
(2, 1 <sup>2</sup> )	1	$\frac{1}{29859840}(15k^2 + 293k - 188)$
(1 <sup>4</sup> )	0	$\frac{1}{497664}$
(1 <sup>4</sup> )	1	$\frac{1}{11943936}(k^2 - k + 8)$
(5)	0	$\frac{253}{2903040}$
(4, 1)	0	$\frac{967}{238878720}$
(3, 2)	0	$-\frac{109}{8599633920}$
(3, 1 <sup>2</sup> )	0	$\frac{109}{206391214080}$
(2 <sup>2</sup> , 1)	0	$\frac{1}{660451885056}$
(2, 1 <sup>3</sup> )	0	$-\frac{1}{23776267862016}$
(1 <sup>5</sup> )	0	$\frac{1}{5706304286883840}$

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