

A REMARK ON BICANONICAL MAPS  
OF SURFACES OF GENERAL TYPE

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## A Remark on Bicanonical Maps of Surfaces of General Type

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Pluricanonical maps of surfaces of general type have been studied for quite a long time. After Bombieri's remarkable work [2], recently, Reider [4] uses a new method, i.e. non-stable rank 2 vector bundle, to deal with them successfully. Now such problems only have their meaning on bicanonical maps for small  $K_S^2 (\leq 4)$ , and canonical maps.

In this small note, we will study bicanonical maps. As there are no examples and real methods, in this case, we have the following:

Conjecture: If  $S$  a minimal surface with  $p_g = 0$  and  $K_S^2 = 3$  or  $4$ , then bicanonical map  $\Phi_{|2K_S|}$  is a morphism, i.e. the complete linear system  $|2K_S|$  has no fixed points.

For this conjecture, we only deal with the fixed part. Using the technique of rank two vector bundles, we can prove the following:

Theorem. Let  $S$  be a minimal surface of general type with  $p_g = 0$ .

I. If  $K_S^2 = 3$ ,  $|2K_S|$  has no fixed part, except for one case:

$|2K_S|$  has a decomposition  $|M| + V$  with  $|M|$  as its moving part and  $V$  as its fixed part, which has the following properties:

- a)  $V$  is an irreducible reduced curve with  $p_a(V) = 1$  ;
- b)  $K_S \cdot V = 1$  ;
- c) There is a non-trivial extension of vector bundles:

$$0 \longrightarrow \mathcal{O}_S \longrightarrow \mathcal{E} \longrightarrow \mathcal{O}_S(K_S - V) \longrightarrow 0$$

with  $\mathcal{E}$  a  $H$ -stable bundle, which comes from a nontrivial  $\text{pu}(2)$ -representation of  $\pi_1(S)$  ;

II. If  $K_S^2 = 4$  ,  $(-2)$  - curve could not be a component of the fixed part of  $|2K_S|$  .

Remark: Although the exceptional case in 1 is totally unreasonable, I could not throw it away.

At first, we want to prove the following

Lemma: With the same notation as above, if  $C$  is a  $(-2)$  - curve , then  $C$  is not a fixed component of  $|2K_S|$  .

Proof: Otherwise, the exact sequence

$$0 \longrightarrow \mathcal{O}_S(2K_S - C) \longrightarrow \mathcal{O}_S(2K_S) \longrightarrow \mathcal{O}_C \longrightarrow 0$$

implies

$$h^1(2K_S - C) \neq 0 .$$

i.e.

$$h^1(C - K_S) \neq 0.$$

Thus there exists a nontrivial extension

$$(*) \quad 0 \longrightarrow \mathcal{O}_S \longrightarrow \mathcal{E}_1 \longrightarrow \mathcal{O}_S(K_S - C) \longrightarrow 0.$$

As  $c_1(\mathcal{E}_1) = K_S - C$ ,  $c_2(\mathcal{E}_1) = 0$  and  $(K_S - C)^2 = K_S^2 - 2 > 0$ ,

$$c_1(\mathcal{E}_1)^2 > 4c_2(\mathcal{E}_1),$$

which implies that  $\mathcal{E}_1$  is unstable.

By Bogomolov Lemma [3], there is a sub-line bundle  $L \hookrightarrow \mathcal{E}_1$  and a cluster  $\xi_1$  on  $S$ , such that

1. there exists a diagram with row and column exact:

$$\begin{array}{ccccccc}
 & & & 0 & & & \\
 & & & \uparrow & & & \\
 & & & J_{\xi_1} \otimes \mathcal{O}_S(K_S - C - L) & & & \\
 & & & \uparrow & & & \\
 0 & \longrightarrow & \mathcal{O}_S & \longrightarrow & \mathcal{I}_1 & \longrightarrow & \mathcal{O}_S(K_S - C) \longrightarrow 0 \\
 & & & & \uparrow & \nearrow & \\
 & & & \mathcal{O}_S(L) & & & \\
 & & & \uparrow & & & \\
 & & & 0 & & & 
 \end{array}$$

where  $J_{\xi_1}$  denotes ideal sheaf of  $\xi_1$ .

2.  $(K_S - C - L) \cdot L + |\xi_1| = 0$ ;
3.  $(L - (K_S - C - L)) \cdot H > 0$ , for any ample line bundle  $H$ .

From 3, it is easy to have

$$L \cdot K_S \geq \frac{K_S - C}{2} \cdot K_S,$$

i.e.  $2L \cdot K_S \geq K_S^2 > 0$ .

So we have a oblique imbedding.

As (\*) is a nontrivial extension, there is a real effective divisor  $E > 0$ , such that

$$L + E = K_S - C.$$

I.  $K_S^2 = 3$ . From  $3 = K_S^2 = K_S(K_S - C) = K_S(L + E) \geq K_S E + \frac{3}{2}$ ,

we have

$$K_S L = 2, K_S E = 1;$$

or

$$K_S L = 3, K_S E = 0.$$

If  $K_S L = 2, K_S E = 1$ , we have  $K_S(E + C) = 1$ .

By Algebraic Index Theorem,

$$E^2 \leq -1 \text{ and } (E + C)^2 \leq -1.$$

Note that

$$2 + |\xi_1| = (C + L) \cdot L = 1 + E(E + C)$$

we have

$$E(E + C) = 1 + |\xi_1|,$$

which implies  $EC \geq 2$ .

On the other hand,

$$-1 \geq (E + C)^2 = E(E + C) + C(E + C) \geq E(E + C) + 2 - 2 = 1 + |\xi_1| .$$

It is a contradiction:

If  $K_S L = 3$  ,  $K_S E = 0$  ,  $E$  is the sum of  $(-2)$ -curves . Thus  $E^2 \leq -2$  and  $(E + C)^2 \leq -2$  .

Note that

$$3 + |\zeta_1| = (C + L)L = 3 + E(C + E) ,$$

we have  $|\xi_1| = E(C + E)$  .

Thus  $CE \geq 2$  .

On the other hand,

$$-2 \geq (E + C)^2 = E(E + C) + C(E + C) \geq E(E + C) + 2 - 2 = |\xi_1| .$$

We also have a contradiction:

II.  $K_S^2 = 4$  .

With the same method as above, we can deduce a contradiction similarly. We leave the details to readers. Q.E.D.

From above, to prove our theorem, it is sufficient to deal with  $K_S^2 = 3$  .

Let  $|2K_S| = |M| + V$  be a decomposition with  $|M|$  as its moving part and  $V$  as its fixed part. As  $K_S M \geq 1$ ,  $K_S V \geq 1$ , it is easy to show  $MV \geq 3$ . In fact, it is an immediate consequence of Proposition 6.2 of [1], p. 219. On the other hand, as  $\Phi_{|2K_S|}(S)$  is a non-degenerate surface in  $\mathbb{P}^3$ ,  $M^2 \geq 4$ . In fact, otherwise,  $S$  is not of general type.

With this,

$$2K_S M = M^2 + MV \geq 7.$$

Thus

$$K_S M = 4, K_S V = 2, M^2 = 4, MV = 4, V^2 = 0;$$

or

$$K_S M = 5, K_S V = 1, M^2 = 5, MV = 5, V^2 = -3;$$

or

$$K_S M = 5, K_S V = 1, M^2 = 7, MV = 3, V^2 = -1.$$

If  $K_S M = 4$ ,  $S$  is a double covering on a degree 2 surface in  $\mathbb{P}^3$ . More precisely, we have

$$\Phi_{|2K_S|} S \longrightarrow \mathbb{P}^1 \times \mathbb{P}^1 \hookrightarrow \mathbb{P}^3.$$

But in this case,  $K_S^2 \equiv 0 \pmod{2}$ , contradiction;

If  $K_S M \geq 5$ , and  $M^2 = 5$ . We easily find out that  $|M|$  has one and only one simple base point. In fact, if  $|M|$  is base point free,  $\Phi_{|2K_S|}(S)$  is a degree 5 surface in  $\mathbb{P}^3$ , which is birational to  $S$  itself. By [5], it is impossible.

On the other hand, if  $|M|$  has one base point  $p$ , blowing-up  $S$  at  $p$ , the resulting surface  $\tilde{S} = B_p(S)$  is a real double covering on  $\mathbb{P}^1 \times \mathbb{P}^1 \hookrightarrow \mathbb{P}^3$ . An easy calculation for this double covering with formulas at p. 183 of [1] implies that it is also impossible.

$K_S M = 5$ ,  $M^2 = 7$ . As  $K_S V = 1$ , by Lemma,  $V$  is an irreducible reduced curve with  $P_a(V) = 1$ . As  $V$  is the fixed part of  $|2K_S|$ ,  $h^1(2K_S - V) \neq 0$ . Thus we have a non-trivial extension

$$(**) \quad 0 \longrightarrow \mathcal{O}_S \longrightarrow \mathcal{E} \longrightarrow \mathcal{O}_S(K_S - V) \longrightarrow 0.$$

Next, we want to prove that  $\mathcal{E}$  is of stable. Otherwise, there exists a line bundle  $L \hookrightarrow \mathcal{E}$  such that

$$LH \geq \frac{K_S - V}{2} \cdot H,$$

here  $H$  is an ample line bundle on  $S$ . Thus we have

- 1)  $LK_S \geq \frac{K_S - V}{2} K_S = 1$
- 2) there exists a diagram with row and column exact

$$\begin{array}{ccccccc}
 & & & 0 & & & \\
 & & & \uparrow & & & \\
 & & & J_\xi \otimes \mathcal{O}_S(E) & & & \\
 & & & \uparrow & & & \\
 0 & \longrightarrow & \mathcal{O}_S & \longrightarrow & \mathcal{I} & \longrightarrow & \mathcal{O}_S(K_S - V) \longrightarrow 0 \\
 & & & & \uparrow & & \\
 & & & & \mathcal{O}_S(L) & & \\
 & & & & \uparrow & & \\
 & & & & 0 & & 
 \end{array}$$

where  $\xi$  is a cluster on  $S$ ,  $E$  is a line bundle. In fact, it is an easy consequence of the following facts:

$$c_1(\mathcal{I}) = K_S - V, \quad c_2(\mathcal{I}) = 0 \quad \text{and} \quad c_1(\mathcal{I})^2 = 4c_2(\mathcal{I}).$$

As  $LK_S > 1$ ,  $L \hookrightarrow K_S - V$ . Note that the horizontal extension is not trivial,

$$K_S - V = L + E$$

with  $E > 0$ .

By  $K_S(L + E) = K_S(K_S - V) = 2$ , we have

$$K_S L = 1, \quad K_S E = 1$$

or

$$K_S L = 2, K_S E = 0.$$

If  $K_S L = 1, K_S E = 1$ , by  $c_2(\mathcal{X}) = 0$ , we have

$$0 = |\xi| + LE = |\xi| + 1 - E(V + E),$$

i.e.  $E(V + E) = 1 + |\xi|$ .

Note that  $K_S E = 1$  and  $K_S(E + V) = 2$ , by Algebraic Index Theorem,

$$E^2 \leq -1 \text{ and } (E + V)^2 \leq 0.$$

Thus  $|\xi| + 1 = E(V + E) = (E + V)^2 - V(E + V) \leq -V(E + V) = 1 - VE$ , i.e.  
 $VE \leq -|\xi| \leq 0$ .

So  $|\xi| + 1 = E(E + V) = E^2 + EV \leq -1 - 0 = -1$ , contradiction;

If  $K_S L = 2, K_S E = 0$ , by  $0 = c_2(\mathcal{X})$ , we have  $|\xi| = E(V + E)$ .

As  $K_S(E + V) = 1$ , and  $K_S E = 0$ , we have

$$(C + E)^2 \leq -1 \text{ and } E^2 \leq -2.$$

Thus

$$-1 \geq (V + E)^2 = V(E + V) + E(E + V) = VE - 1 + |\xi| ,$$

i.e.  $VE \leq -|\xi| \leq 0$ .

So  $|\xi| = E(E + V) = E^2 + EV \leq -2$ , contradiction.

Therefore  $\mathcal{X}$  is of stable.

Q.E.D.

Remark: In fact, we can prove that  $K_S - V$  is nef.

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