

# THE FANO SURFACE OF THE FERMAT CUBIC THREEFOLD, THE DEL PEZZO SURFACE OF DEGREE 5 AND A BALL QUOTIENT

XAVIER ROULLEAU

ABSTRACT. We prove that the Fano surface of the Fermat cubic threefold is a degree 81 abelian cover of the degree 5 del Pezzo surface branched over the 10 lines and that the complementary of the union of 12 disjoint elliptic curves of this surface is a ball quotient. The lattice of this ball quotient is linked with a congruence sub-group of the lattice of the Eisenstein integers.

Let us recall a classical construction of surfaces due to Hirzebruch [4]: The configuration of 6 lines  $L_1, \dots, L_6$  going through 4 points  $p_1, \dots, p_4$  in general position on the plane is called the complete quadrilateral. Let  $\ell_i \in H^0(\mathbb{P}^2, \mathcal{O}(1))$  be a linear form defining  $L_i$  and let  $n > 1$  be an integer. The field

$$\mathbb{C}(\mathcal{H}_n) = \mathbb{C}(\mathbb{P}^2)\left(\left(\frac{\ell_2}{\ell_1}\right)^{\frac{1}{n}}, \dots, \left(\frac{\ell_6}{\ell_1}\right)^{\frac{1}{n}}\right)$$

determine a normal algebraic surface,  $\mathcal{H}'_n$ , that is a branched cover,  $\pi : \mathcal{H}'_n \rightarrow \mathbb{P}^2$ , of  $\mathbb{P}^2$  of degree  $n^5$  with the complete quadrilateral as the branching locus. Let  $\tau : \mathcal{H}_1 \rightarrow \mathbb{P}^2$  denotes the blow-up map above the 4 points  $p_1, \dots, p_4$ . The surface  $\mathcal{H}_1$  is called the del Pezzo surface of degree 5 and contains exactly 10  $(-1)$ -curves : these curves are the proper transform of the lines  $L_i$  and the 4 exceptional divisors. Let be  $\mathcal{H}_n$  the fibre product of  $\mathcal{H}_1$  and  $\mathcal{H}'_n$  over  $\mathbb{P}^2$ :

$$\begin{array}{ccc} \mathcal{H}_n & \xrightarrow{\iota} & \mathcal{H}'_n \\ \downarrow \eta_n & & \downarrow \pi \\ \mathcal{H}_1 & \xrightarrow{\tau} & \mathbb{P}^2 \end{array}$$

The surface  $\mathcal{H}_n$  is smooth of general type ; the cover  $\eta_n$  is branched exactly over the 10  $(-1)$ -curves, and with order  $n$ . Hirzebruch proves that:

**Theorem 0.1.** *The Chern numbers of  $\mathcal{H}_5$  satisfies:  $c_1^2(\mathcal{H}_5) = 3c_2(\mathcal{H}_5) > 0$ .*

Few examples of surfaces with Chern ratio  $\frac{c_1^2}{c_2}$  equals 3 have been constructed algebraically i.e. by ramified covers of known surfaces. The following result formulated by Kobayashi [7], that generalizes the works of Miyaoka, Yau, Hirzebruch and Sakai, gives an analytic characterization of (log-)surfaces with Chern ratio 3:

**Theorem 0.2.** *Let  $S$  be a smooth projective surface with canonical bundle  $K$  and let  $D$  be a reduced simple normal crossing divisor on  $S$  (may be 0). Suppose that  $K + D$  is nef and big. Then the following inequality:*

$$3\bar{c}_2 - \bar{c}_1^2 \geq 0$$

*holds, where  $\bar{c}_1^2, \bar{c}_2$  are the logarithmic Chern numbers of  $S - D$ .*

*The equality occurs if and only if the universal covering of  $S$  minus  $D$  and the union*

of the  $(-2)$ -curves is biholomorphic to the open unit ball  $\mathbb{B}_2$  minus a discrete set of points.

If a compact surface  $X$  contains a rational curve and  $c_1^2(X) = 3c_2(X) > 0$  holds, then  $X$  is the projective plane.

The first algebraic construction of a surface  $\mathbb{S}$  which is a ball quotient (ie  $\mathbb{S} \neq \mathbb{P}^2$  and  $c_1^2(\mathbb{S}) = 3c_2(\mathbb{S}) > 0$ ) was done independently by Inoue and Livné as a cyclic cover of the Shioda modular surface of level 5 (for a reference see [1]). Ishida [6] has then proved that :

**Proposition 0.3.** *There is a étale map  $\mathcal{H}_5 \rightarrow \mathbb{S}$  that is a quotient of  $\mathcal{H}_5$  by an automorphism group of order 25.*

Having recalling these facts, we can state the results of this paper, the remainder being the proof of this Theorem:

Let  $F \hookrightarrow \mathbb{P}^4 = \mathbb{P}(\mathbb{C}^5)$  be the Fermat cubic threefold:

$$F = \{x_1^3 + x_2^3 + x_3^3 + x_4^3 + x_5^3 = 0\}.$$

The variety that parametrizes the lines on  $F$  is a smooth complex surface  $S$  called the Fano surface of lines of  $F$  [3].

**Theorem 0.4.** *A) There is a étale map  $\kappa : \mathcal{H}_3 \rightarrow S$  that is a quotient of  $\mathcal{H}_3$  by an automorphism of order 3.*

*B) There is an open subvariety  $S' \subset S$  such that  $S'$  is a ball quotient i.e.  $\bar{c}_1(S')^2 = 3\bar{c}_2(S')$ .*

*C) Let  $\mathbb{B}^2$  be the 2-dimensional ball with respect to the Hermitian form represented by the diagonal matrix  $H$  with entries  $(1, 1, -1)$ . Let  $\mathcal{T}$  be the inverse image of  $S'$  by  $\kappa$ . The ball lattice of the ball quotient  $\mathcal{T}$  is the commutator group of the congruence group:*

$$\Gamma = \{T \in GL(\mathbb{Z}[\alpha])/T \equiv I \text{ modulo } (1 - \alpha) \text{ and } {}^t\bar{T}HT = H\}$$

where  $\alpha$  is a primitive third root of unity and  $I$  is the identity matrix.

Let us prove Theorem 0.4.

Let  $A(3, 3, 5) \subset GL_5(\mathbb{C})$  be the group of diagonal matrices of determinant 1 whose diagonal elements are in  $\mu_3 := \{x \in \mathbb{C}/x^3 = 1\}$ . The group  $A(3, 3, 5) \simeq (\mathbb{Z}/3\mathbb{Z})^4$  acts faithfully on  $F$ . An automorphism  $f$  of  $F$  preserves the lines and induce an automorphism on the Fano surface  $S$  denoted by  $\rho(f)$ . Let  $G$  be the group  $\rho(A(3, 3, 5))$ .

**Proposition 0.5.** *Let  $X$  be the quotient of  $S$  by the group  $G$  and let  $\eta : S \rightarrow X$  be the quotient map. The surface  $X$  is (isomorphic to) the del Pezzo surface of degree 5 and the cover is branched with index 3 over the 10  $(-1)$ -curves of  $X$ .*

Let us prove this Proposition.

Let  $s$  be a point of  $S$ . Let us denote by  $T_{S,s}$  the tangent space of  $S$  at  $s$ , by  $L_s \hookrightarrow F$  the line on  $F$  corresponding to  $s$  and by

$$P_s \subset \mathbb{C}^5$$

the subjacent plane to the line  $L_s$ . The following Proposition is a consequence of the tangent bundle Theorem [3] (see also [9]).

**Proposition 0.6.** *Let  $s$  be a fixed point of an automorphism  $\rho(f)$  ( $f \in A(3, 3, 5)$ ). The plane  $P_s$  is stable by the action of  $f$  and the eigenvalues of*

$$d\rho(f) : T_{S,s} \rightarrow T_{S,s}$$

*are equal to the eigenvalues of the restriction of  $f \in A(3, 3, 5)$  to the plane  $P_s \subset \mathbb{C}^5$ .*

Hence we know the action of the differential  $d\rho(f)$  on the fixed points of  $\rho(f)$ . Recall ([9]):

**Proposition 0.7.** *For  $1 \leq i < j \leq 5$ ,  $\beta \in \mu_3$ , the hyperplane  $\{x_i + \beta x_j = 0\}$  cuts out a cone on  $F$ . The curve that parametrizes the lines on this cone is an elliptic curve  $E_{ij}^\beta$  that is naturally embedded in the Fano surface  $S$ . The configuration of these 30 elliptic curves is:*

$$E_{ij}^\beta E_{st}^\gamma = \begin{cases} 1 & \text{if } \{i, j\} \cap \{s, t\} = \emptyset \\ -3 & \text{if } E_{ij}^\beta = E_{st}^\gamma \\ 0 & \text{otherwise.} \end{cases}$$

Let  $\alpha \in \mu_3$  be a primitive root. The orbit by  $G$  of the curve  $E_{ij}^1$  is  $E_{ij}^1 + E_{ij}^\alpha + E_{ij}^{\alpha^2}$ . Let be  $\{i, j\} \cap \{s, t\} = \emptyset$  and let  $s$  be the intersection point of  $E_{ij}^1$  and  $E_{st}^1$ . The orbit of  $s$  by  $G$  is the set of the 9 intersection points of the curves  $E_{ij}^\beta$  and  $E_{st}^\gamma$  ( $\beta, \gamma \in \mu_3$ ). Let  $I$  be the set of the 135 intersection points of the 30 elliptic curves and let  $s$  be a point of  $I$ . The group

$$G_s = \{g \in G/g(s) = s \text{ and } s \text{ is a fixed isolated point of } g\}$$

is isomorphic to  $\mu_3^2$  and, by the Proposition 0.6, its representation on the space  $T_{S,s}$  is isomorphic to the representation:

$$(\alpha_1, \alpha_2) \in \mu_3^2 \quad (\alpha_1, \alpha_2) \cdot (x, y) = (\alpha_1 x, \alpha_2 y) \in \mathbb{C}^2$$

on  $\mathbb{C}^2$ . The quotient of  $S$  by this action is a smooth point [2]. This implies that the surface  $X$  is smooth. The ramification index of  $\eta : S \rightarrow X$  at the points of  $I$  is 9 and the ramification index of  $\eta$  on the curve  $E_{ij}^\beta$  is 3.

Let us denote by  $K_V$  the canonical divisor of a surface  $V$ . Let be  $\Sigma = \sum_{i,j,\beta} E_{ij}^\beta$ ; the ramification divisor of  $\eta : S \rightarrow X$  is  $2\Sigma$  and

$$K_S = \eta^* K_X + 2\Sigma.$$

By [3], we know moreover:  $\Sigma = 2K_S$ , hence  $3^4(K_X)^2 = (\eta^* K_X)^2 = (-3K_S)^2 = 9 \cdot 45$  and  $(K_X)^2 = 5$ .

The stabiliser of an elliptic curve  $E_{ij}^\beta \hookrightarrow S$  contains 27 elements, the group that fixes each points of  $E_{ij}^\beta$  has 3 elements. Let  $\eta_{ij}^\beta : E_{ij}^\beta \rightarrow X_{ij}$  be the restriction on  $E_{ij}^\beta$  of  $\eta$ . This is a cover of degree 9 ramified over 3 points with ramification index 3. Hence

$$0 = e(E_{ij}^\beta) = 9(e(X_{ij}) - 3) + 3 \cdot 3$$

(here  $e$  is the Euler characteristic) and  $e(X_{ij}) = 2$ :  $X_{ij}$  is a smooth rational curve. We known moreover that:

$$\eta^* X_{ij} = 3(E_{ij}^1 + E_{ij}^\alpha + E_{ij}^{\alpha^2})$$

We deduce that the 10 curves  $X_{ij}$  have the following configuration:

$$X_{ij}X_{st} = \begin{cases} 1 & \text{if } \{i, j\} \cap \{s, t\} = \emptyset \\ -1 & \text{if } X_{ij} = X_{st} \\ 0 & \text{otherwise.} \end{cases}$$

Let  $I'$  be the 15 points on  $X$  image of the 135 points of  $I$  and let be  $\Sigma' = \sum X_{ij}$ . We have

$$3^3 = e(S) = 3^4 e(X - \Sigma') + 3^3 e(\Sigma' - I') + 3^2 e(I').$$

As we can verify,  $e(\Sigma') = 5$  and we obtain  $e(X) = 7$ . We can blow down 4  $(-1)$ -curves among the 10 curves  $X_{ij}$  and we obtain a surface with Chern numbers

$$c_1^2 = 3c_2 = 9$$

but this surface contains 6 rational curves. Hence, by Theorem 0.2, it cannot be a ball quotient and this is the plane :  $X$  is the blow-up of the plane at four points. These points are in general position because of the intersection numbers of the  $X_{ij}$ . Hence  $X$  is the degree 5 del Pezzo surface  $\mathcal{H}_1$  and the  $X_{ij}$  are its 10  $(-1)$ -curves.

Moreover, we have proved that the quotient map  $S \rightarrow X$  is an abelian cover branched over the ten  $(-1)$ -curves of  $X$  with ramification index 3. By the work of Namba [8] on abelian covers,  $\mathcal{H}_3$  is universal among finite abelian covers with such properties. That means that :

**Corollary 0.8.** *There exists a map  $\kappa : \mathcal{H}_3 \rightarrow S$  of degree 3 that is a quotient of  $\mathcal{H}_3$  by a group of order 3.*

Now, let us consider  $S' \subset S$  be the complementary of 12 disjoint elliptic curves on  $S$  (there are 5 such sets of 12 elliptic curves).

**Corollary 0.9.** *The logarithmic Chern ratio of  $S'$  is 3 :  $S'$  is a ball quotient.*

*Proof.* A canonical divisor  $K_S$  of  $S$  is ample, moreover  $K_S^2 = 45$  and  $K_S E = 3$  for an elliptic curve  $E \hookrightarrow S$  [3], [9]. As  $\bar{c}_2(S') = e(S - D) = e(S) = 27 > 0$  and  $(K_S + D)^2 = 45 + 2.12.3 - 12.3 = 81$ , the logarithmic Chern ratio of  $S'$  satisfies:

$$\frac{(K_S + D)^2}{e(S - D)} = 3.$$

Thus  $S'$  is a ball quotient. □

Let us recall the notations

$$\begin{array}{ccc} \mathcal{H}_3 & \xrightarrow{\iota} & \mathcal{H}'_3 \\ \downarrow \eta_3 & & \downarrow \pi \\ \mathcal{H}_1 & \xrightarrow{\tau} & \mathbb{P}^2. \end{array}$$

The composite of  $\kappa : \mathcal{H}_3 \rightarrow S$  and  $\eta : S \rightarrow \mathcal{H}_1$  is the map  $\eta_3$ . As this map  $\eta_3$  is branched with order 3 over the 10  $(-1)$ -curves of  $\mathcal{H}_1$ , the map  $\kappa$  is étale. Let  $S'$  be the complementary of a set of 12 disjoint elliptic curves on  $S$ . As  $S'$  is a ball quotient and  $\kappa$  is étale, the surface  $\mathcal{T} = \kappa^{-1}S'$  is a ball quotient. It remains to find the lattice corresponding to  $\mathcal{T}$ . To this aim, we take ideas in [10], where Yamazaki and Yoshida computed the lattice of the Ball quotient surface  $\mathcal{H}_5$  and we use Namba's results as follows:

Let  $b : \mathbb{P}^2 \rightarrow \mathbb{N}$  be the function such that  $b(p) = 1$  outside the complete quadrilateral,  $b(p) = 3$  on the complete quadrilateral minus the 4 triple points  $p_1, \dots, p_4$ , and  $b(p) = \infty$  on these 4 points. The pair  $(\mathbb{P}^2, b)$  is an orbifold that has been studied by Holzapfel and Shiga. The universal cover of that orbifold is  $\mathbb{B}_2$  with the transformation group:

$$\Gamma = \{T \in GL(\mathbb{Z}[\alpha])/T \equiv I \text{ modulo } (1 - \alpha) \text{ and } {}^t\bar{T}HT = H\}$$

([12], chapter 10, [5], chapter 5). A cover  $Z \rightarrow \mathbb{P}^2$  with branching index 3 over the complete quadrilateral corresponds to a normal sub group  $K$  of  $\Gamma$  and  $\Gamma/K$  is isomorphic to the group of transformation of the covering  $Z \rightarrow \mathbb{P}^2$ . In particular, if  $Z \rightarrow \mathbb{P}^2$  is an abelian cover, the group  $K$  contains the commutator group  $[\Gamma, \Gamma]$ . By the work of Namba,  $\pi : \mathcal{H}'_3 \rightarrow \mathbb{P}^2$  is universal among abelian covers of  $(\mathbb{P}^2, b)$ , thus the lattice of the ball quotient  $\mathcal{T}$  is the commutator  $[\Gamma, \Gamma]$ .

*Acknowledgement.* I wish to thank Amir Dzambic for stimulating discussions on this paper, and the Max Planck Institute of Bonn, where this research was done.

#### REFERENCES

- [1] Barth, W., Hulek, K. "Projective models of Shioda modular surfaces", Manus. Math. 50 (1985) 73-132.
- [2] Cartan H., "Quotients d'un espace analytique par un groupe d'automorphismes", Algebraic geometry and topology, Princeton Univ. Pr. (1957), 90-102.
- [3] Clemens H., Griffiths P., "The intermediate Jacobian of the cubic threefold", Annals of Math. 95 (1972), 281-356.
- [4] Hirzebruch F., "Arrangement of lines and algebraic surfaces", Arithmetic and geometry, Vol II, Progress in Math. Vol. 36. pp. 113-140, Birkhauser 1983.
- [5] Holzapfel R. "Ball and Surface Arithmetics", Aspects of mathematics, Vieweg, 1998.
- [6] Ishida M-N., "Hirzebruch's examples of surfaces of general type with  $c_1^2 = 3c_2$ ", Algebraic Geometry, Tokyo/Kyoto, 1982, 412-431, LNM 1016, Springer, Berlin, 1983.
- [7] Kobayashi, R., "Einstein-Kaehler metrics on open algebraic surfaces of general type", Tohoku Math. J. (2) 37 (1985), no. 1, 43-77.
- [8] Namba M., "On Branched Coverings of Projective Manifolds", Proc. Japan Acad, 61, Ser. A. (1985).
- [9] Roulleau X., "Elliptic curve configurations on Fano surfaces", arXiv, 2008.
- [10] Yamazaki, T., Yoshida M., "On Hirzebruch examples of surfaces with  $c_1^2 = 3c_2$ ", Math. Ann. 266, 421-431 (1984).
- [11] Sakai F., "Semi-stable curves on algebraic surfaces and logarithmic pluricanonical maps", Math. Ann. 254, (1980) 89-120.
- [12] Yoshida M. "Fuchian Differential equations", Aspects of mathematics, Vieweg, 1987.

roulleau@mpim-bonn.mpg.de

Xavier Roulleau, Max Planck Institute für Mathematik, Vivatgasse 7, 53111 Bonn, Germany.