

K_0 OF SKEW GROUP RINGS AND SIMPLE
NOETHERIAN RINGS WITHOUT IDEMPOTENTS

Martin Lorenz

Max-Planck-Institut

für Mathematik

Gottfried-Claren-Str. 26

D-5300 Bonn 3, Fed. Rep. Germany

MPI/SFB 84 - 36

K_0 of Skew Group Rings and Simple Noetherian Rings without Idempotents

Martin Lorenz

Abstract. We construct simple Noetherian rings S of characteristic p , for any prime p , such that S has zero divisors but no non-trivial idempotents. Our methods are non-computational and rely on a description of K_0 for certain skew group rings.

Introduction

In [Z-N], Zalesskii and Neroslavskii constructed a simple Noetherian ring S of Goldie rank 2 which does not contain any non-trivial idempotents, thereby answering a question of Faith [F] in the negative. The computations carried out by Zalesskii and Neroslavskii to verify the nonexistence of idempotents are quite difficult, and our goal here is to present a number of general results on skew group rings which allow as an application the painless construction of many further examples of simple Noetherian rings with zero divisors but without non-trivial idempotents.

The first section contains some results on the structure of K_0 for skew group rings $S = R*G$ of a finite p -group G over a ring R with $pR = \{0\}$ (p any prime). Our basic technical tool here is a Morita context for group actions which was introduced in a commutative setting by Chase, Harrison, and Rosenberg [C-H-R] and has recently been studied for general rings by M. Cohen [Co]. These results are then applied in the second section to construct simple

Noetherian rings of the desired kind. The actual examples exhibited here are similar to (and include) the original Zaleskii-Neroslavski construction and involve central localizations of group rings of finitely generated nilpotent groups. Essential in our method is the use of trace functions for the rings under consideration.

Notations and Conventions. The following notation will be kept throughout this article:

R will be a ring with 1 ,

G a finite group with a homomorphism $G \rightarrow \text{Aut}(R)$, written as $x \mapsto (\cdot)^x$,

$S = R * G$ the corresponding skew group ring, with elements

$$s = \sum_{x \in G} s_x x \quad (s_x \in R) \quad \text{and multiplication} \quad (s_x x) \cdot (s_y y) = s_x s_y^{x^{-1}} xy,$$

R^G the fixed subring of R under the action of G ,

$t_G: R \rightarrow R^G$, $r \mapsto \sum_{x \in G} r^x$, the trace map.

Furthermore, we set

$$t = \sum_{x \in G} x \in S, \quad \text{and} \quad T = t_G(R), \quad \text{an ideal of } R^G.$$

Finally, $K_0(R)$ denotes the Grothendieck group of all finitely generated projective right R -modules, and $G_0(R)$ the Grothendieck group of all fin. gen. right R -modules. The element of $K_0(R)$ corresponding to the fin. gen. projective R -module P will be written as $[P]$, and similarly for $G_0(R)$.

1. K_0 of some skew group rings

(1.1) The Morita context (cf. [Co]). We view R as (S, R^G) -bimodule and as (R^G, S) -bimodule via the obvious isomorphisms $R \approx St = Rt$ and $R \approx tS = tR$. One has bimodule homomorphisms

$$f: S^R \otimes_{R^G} R_S \rightarrow S^S_S, \quad r_1 \otimes r_2 \mapsto r_1 t r_2$$

and

$$g: R_{R^G} \otimes_S R_{R^G} \rightarrow R^G_{R^G}, \quad r_1 \otimes r_2 \mapsto t_G(r_1 r_2).$$

These maps satisfy the associativity conditions

$$r_1 \cdot f(r_2 \otimes r_3) = g(r_1 \otimes r_2) \cdot r_3,$$

$$f(r_1 \otimes r_2) \cdot r_3 = r_1 \cdot g(r_2 \otimes r_3)$$

for $r_1, r_2, r_3 \in R$. Thus $(S, R^G, R_{R^G}, R_S, f, g)$ is a Morita context or a set of pre-equivalence data, in the terminology of [Ba, p. 61ff]. Note that if S is a simple ring, then f must be surjective. Therefore, in the following, we will concentrate on the case where f is surjective, i.e.

$$S = StS \quad (= RtR).$$

In our later applications, g will in general not be surjective,

i.e. $T = t_G(R)$ will be a proper ideal of R^G . (If both f and g are surjective, then S and R^G are Morita-equivalent [Ba, p.62-65].)

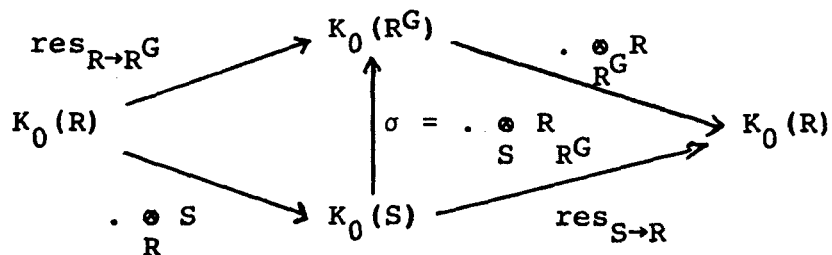
(1.2) Lemma. Suppose $StS = S$. Then

- i. ${}_R R^G$ and ${}_{R^G} R$ are finitely generated projective,
- ii. $S \simeq \text{End}_{R^G}(R)$,
- iii. ${}_S R^G \simeq \text{Hom}_{R^G}({}_R R, {}_{R^G} R^G)$, ${}_{R^G} R \simeq \text{Hom}_{R^G}({}_{R^G} R, {}_{R^G} R^G)$,
- iv. $f: {}_S R \otimes_{R^G} R \rightarrow {}_S S$ is an (S, S) -bimodule isomorphism.

Proof. All assertions follow from [Ba, Theorem (3.4), p.62].

(1.3) Lemma. Suppose $StS = S$.

- i. The tensor product $\cdot \otimes_S R^G$ defines a map $\sigma: K_0(S) \rightarrow K_0(R^G)$ which makes the following diagram commute



(Note that ${}_R R^G$ and ${}_{R^G} R$ are fin. gen. projective so that the restrictions are defined.) The image $\sigma K_0(S)$ is contained in the kernel $K_0(T)$ of the map $\cdot \otimes_{R^G} R^G/T: K_0(R^G) \rightarrow K_0(R^G/T)$.

- ii. $\cdot \otimes_{R^G} R^G$ defines a map $\tau: G_0(R^G) \rightarrow G_0(S)$ such that the composition $K_0(S) \xrightarrow{\sigma} K_0(R^G) \xrightarrow{\text{Cartan}} G_0(R^G) \xrightarrow{\tau} G_0(S)$ is the Cartan map $K_0(S) \rightarrow G_0(S)$ (cf. [Ba, p.453]).

Proof. (i). By Lemma (1.2), R_{RG} is fin. gen. projective and $R \otimes_{RG} R \simeq S$ as (S,S) -bimodules. Therefore, σ defines a map $K_0(S) \rightarrow K_0(RG)$ such that, for all $[Q] \in K_0(S)$,

$$((\cdot \otimes_{RG} R) \circ \sigma)[Q] = [Q \otimes_S R \otimes_{RG} R] = [Q \otimes_S S] = \text{res}_{S \rightarrow R}[Q].$$

Furthermore, for $[P] \in K_0(R)$, one has

$$(\sigma \circ (\cdot \otimes_R S))[P] = [P \otimes_R S \otimes_{RG} R] = [P \otimes_R R] = \text{res}_{R \rightarrow RG}[P].$$

Thus the above diagram is commutative. From $R = S \cdot R = StS \cdot R = S \cdot T = RT$ one obtains $R \otimes_{RG} RG/T = 0$ and hence, for $[Q] \in K_0(S)$,

$$((\cdot \otimes_{RG} RG/T) \circ \sigma)[Q] = [Q \otimes_S R \otimes_{RG} RG/T] = 0.$$

This proves (i).

(ii). As R_{RG} is projective, hence flat, τ defines a map $G_0(RG) \rightarrow G_0(S)$. For $[Q] \in K_0(S)$, one has

$$(\tau \circ \text{Cartan} \circ \sigma)[Q] = [Q \otimes_S R \otimes_{RG} R] = [Q \otimes_S S] = [Q] \in G_0(S),$$

which completes the proof of the lemma.

■

(1.4) Operation of G on $K_0(R)$. The operation of G on R induces an operation on $K_0(R)$ which can be described as follows. For any fin. gen. projective right R -module P , one has $P \otimes_R S_R = \bigoplus_{x \in G} P \otimes x$, where each $P \otimes x$ is an R -module direct summand of

$P \otimes_R S_R$ and as such is fin. gen. projective. The operation of G is now given by

$$[P]^X = [P \otimes x] \quad ([P] \in K_0(R), x \in G).$$

The operation on the subgroup $\langle [R] \rangle$ of $K_0(R)$ is trivial, because the map $R \otimes x \rightarrow R, r \otimes x \mapsto rx$, is an isomorphism of R -modules. Set

$$\begin{aligned} K_0(R)_G &= K_0(R) / \langle [P]^X - [P] \mid [P] \in K_0(R), x \in G \rangle \\ &= H_0(G, K_0(R)) \end{aligned}$$

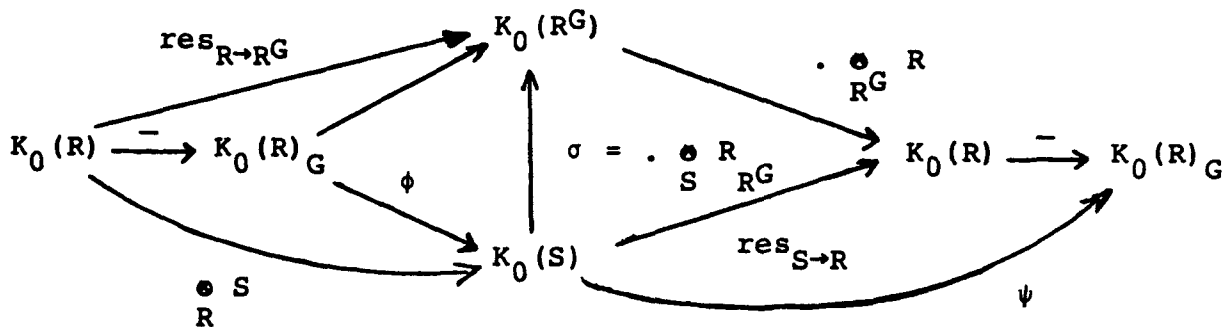
and denote the canonical surjection $K_0(R) \rightarrow K_0(R)_G$ by $\bar{}$. The maps $\cdot \otimes_R S: K_0(R) \rightarrow K_0(S)$ and $\text{res}_{R \rightarrow RG}: K_0(R) \rightarrow K_0(RG)$ factor through $K_0(R)_G$ so that, in particular, one has a map

$$\phi: K_0(R)_G \rightarrow K_0(S), \quad \overline{[P]} \mapsto [P \otimes_R S].$$

Furthermore, define

$$\psi: K_0(S) \rightarrow K_0(R)_G, \quad [Q] \mapsto \overline{[Q_R]}.$$

Then, in case $S = StS$, Lemma (1.3) yields the following commutative diagram:



(1.5) Lemma.

i. $\psi \circ \phi: K_0(R)_G \rightarrow K_0(S) \rightarrow K_0(R)_G$ is multiplication by $|G|$ on $K_0(R)_G$.

ii. Suppose that (1) for some prime p one has $pR = \{0\}$ and G is a finite p -group, and
(2) $StS = S$.

Then $\phi \circ \psi: K_0(S) \rightarrow K_0(R)_G \rightarrow K_0(S)$ is multiplication by $|G|$ on $K_0(S)$.

Proof. (i). For $[P] \in K_0(R)$, one has

$$(\psi \circ \phi)[P] = \overline{[P \bullet_S R]} = \sum_{x \in G} [P]^x = |G| \cdot [P].$$

(ii). First note that S has a series $0 = S_0 \subset S_1 \subset \dots \subset S_{|G|} = S$ of (R^G, S) -subbimodules with $S_i/S_{i-1} \cong_{R^G S} R$ for all i . To see this, consider the group ring $\mathbb{F}_p[G] \subset S$, where \mathbb{F}_p is the field with p elements. By (1), $\mathbb{F}_p[G]$ has a series of right ideals $0 = W_0 \subset W_1 \subset \dots \subset W_{|G|} = \mathbb{F}_p[G]$ with $W_i/W_{i-1} \cong \mathbb{F}_p$, the trivial $\mathbb{F}_p[G]$ -module. Therefore, setting $S_i = W_i S = W_i R \subset S$ one obtains the required (R^G, S) -subbimodules with $S_i/S_{i-1} \cong_{R^G S} W_i/W_{i-1} \bullet_{\mathbb{F}_p} R \cong_{R^G S} R$. Since R_{R^G} is flat, by Lemma (1.2), we further obtain short exact sequences of (S, S) -bimodules

$$0 \rightarrow V_{i-1} = R \bullet_{R^G} S_{i-1} \rightarrow V_i = R \bullet_{R^G} S_i \rightarrow R \bullet_{R^G} R \cong S \rightarrow 0.$$

Thus, for Q fin. gen. projective over S , we deduce exact sequences $0 \rightarrow Q \bullet_S V_{i-1} \rightarrow Q \bullet_S V_i \rightarrow Q \bullet_S S \cong Q \rightarrow 0$, whence

$$Q \bullet_S V_i \cong Q^{(1)} \quad (i = 1, 2, \dots, |G|).$$

Using the commutative diagram in (1.4), we finally obtain

$$\begin{aligned}
 (\phi \circ \psi)[Q] &= [(Q \underset{S}{\bullet} R \underset{R^G}{\bullet} R) \underset{R}{\bullet} S] = [Q \underset{S}{\bullet} (R \underset{R^G}{\bullet} S)] = \\
 &= [Q \underset{S}{\bullet} v_{|G|}] = |G| \cdot [Q],
 \end{aligned}$$

as we have claimed. \blacksquare

(1.6) Corollary. Suppose that (1) for some prime p one has
 $pR = \{0\}$ and G is a p -group
and (2) $StS = S$.

Then $K_0(R) \underset{\mathbb{Z}}{G} \bullet \mathbb{Z}[1/p] \simeq K_0(S) \underset{\mathbb{Z}}{\bullet} \mathbb{Z}[1/p]$, and $K_0(S) \underset{\mathbb{Z}}{\bullet} \mathbb{Z}[1/p] \simeq \sigma K_0(S) \underset{\mathbb{Z}}{\bullet} \mathbb{Z}[1/p]$ is a direct summand of $K_0(R^G) \underset{\mathbb{Z}}{\bullet} \mathbb{Z}[1/p]$.

Proof. By Lemma (1.5), the mappings $\psi \bullet \text{id}$ and $\phi \bullet |G|^{-1}$ yield isomorphisms between $K_0(R) \underset{\mathbb{Z}}{G} \bullet \mathbb{Z}[1/p]$ and $K_0(S) \underset{\mathbb{Z}}{\bullet} \mathbb{Z}[1/p]$ which are inverse to each other. Moreover, by the commutative diagram in (1.4), $(\phi \bullet |G|^{-1}) (\psi \bullet \text{id}) = \text{id}_{K_0(S) \underset{\mathbb{Z}}{\bullet} \mathbb{Z}[1/p]}$ factors through $K_0(R^G) \underset{\mathbb{Z}}{\bullet} \mathbb{Z}[1/p]$ via $\sigma \bullet \text{id}$, which proves the second assertion. \blacksquare

(1.7) The case $|G| = 2$. We conclude this section with a few remarks concerning the simplest case, $|G| = 2$ and $2R = \{0\}$. These will not be needed in the second section, and possibly hold more generally. Thus assume that

- (1) $|G| = 2$ and $2R = \{0\}$, and
- (2) $StS = S$.

Recall from Lemma (1.3) that $\sigma K_0(S) \subset K_0(T) = \text{Ker}(K_0(R^G) \rightarrow K_0(R^G/T))$. Our goal here is to show that

$$2 \cdot K_0(T) \subset \sigma K_0(S).$$

To see this, first note that $0 \rightarrow R^G \rightarrow \begin{matrix} R \\ \text{R}^G \end{matrix} \xrightarrow{t^G} R^G \rightarrow R^G/T \rightarrow 0$ is an exact sequence of (R^G, R^G) -bimodules. Let $a = [V] - [W] \in K_0(T)$, with V and W fin. gen. projective over R^G . Then, for some $s \geq 0$, $(V \otimes_{R^G} R^G/T) \oplus (R^G/T)^s \simeq (W \otimes_{R^G} R^G/T) \oplus (R^G/T)^s$ and, after replacing V by $V \otimes (R^G)^s$ and W by $W \otimes (R^G)^s$, we may assume that $s = 0$. Since V_{R^G} and W_{R^G} are flat, the above exact sequence yields exact sequences of right R^G -modules

$$0 \rightarrow V \simeq V \otimes_{R^G} R^G \rightarrow V \otimes_{R^G} R_{R^G} \rightarrow V \simeq V \otimes_{R^G} R^G \rightarrow V \otimes_{R^G} R^G/T \rightarrow 0$$

$$0 \rightarrow W \simeq W \otimes_{R^G} R^G \rightarrow W \otimes_{R^G} R_{R^G} \rightarrow W \simeq W \otimes_{R^G} R^G \rightarrow W \otimes_{R^G} R^G/T \rightarrow 0.$$

As the first three terms in each row are projective, the Schanuel-Lemma [Ba, Cor. 6.4, p.36] yields an isomorphism

$$V \otimes (W \otimes_{R^G} R_{R^G}) \oplus V \simeq W \otimes (V \otimes_{R^G} R_{R^G}) \oplus W.$$

Thus, in $K_0(R^G)$, we have

$$2 \cdot a = (\cdot \otimes_{R^G} R_{R^G})(a) \subset \text{Im}(\text{res}_{R \rightarrow R^G}) \subset \sigma K_0(S),$$

where the latter inclusion uses the diagram in Lemma (1.3). This shows that $2 \cdot K_0(T) \subset \sigma K_0(S)$, as we have claimed. As a consequence, we deduce that

$$\sigma K_0(S) \otimes_{\mathbb{Z}} \mathbb{Z}[1/2] = K_0(T) \otimes_{\mathbb{Z}} \mathbb{Z}[1/2]$$

and, using Corollary (1.6),

$$\begin{aligned} K_0(R^G) \otimes_{\mathbb{Z}} \mathbb{Z}[1/2] &\simeq (K_0(S) \oplus \text{Im}(\cdot \otimes_{R^G} R^G/T)) \otimes_{\mathbb{Z}} \mathbb{Z}[1/2] \\ &\simeq (K_0(R)_G \oplus \text{Im}(\cdot \otimes_{R^G} R^G/T)) \otimes_{\mathbb{Z}} \mathbb{Z}[1/2]. \end{aligned}$$

(1.8) Example. We briefly discuss the original example of Zalesskii and Neroslavskii [Z-N] in a slightly modified form. Let k be a field of char 2 containing a non-root of unity $\lambda \in k^*$ and consider the k -algebra $R = B_\lambda = k\{x^{\pm 1}, y^{\pm 1}\}/(xy - \lambda yx)$. Then R is a simple Noetherian domain with $K_0(R) = \langle [R] \rangle \simeq \mathbb{Z}$ [Lo 1, Section 1]. Moreover, the automorphism σ of R given by $x^\sigma = x^{-1}$, $y^\sigma = y^{-1}$ is easily seen to be outer so that the skew group ring $S = R * \langle \sigma \rangle$ is simple (cf. [Mo, Example 2.8]). We claim that $R^G/T \simeq k$. Indeed, R can be viewed as a twisted group algebra,

$$R \simeq k^t[\Gamma]$$

with $\Gamma = \langle x, y \rangle k^*/k^*$ free abelian of rank 2. Thus each element $a \in R$ has a unique expression as

$$a = \sum_{g \in \Gamma} a_g \bar{g},$$

with $a_g \in k$ (almost all = 0). The automorphism σ operates as follows:

$$a^\sigma = \sum_{g \in \Gamma} a_g \overline{g^{-1}} = \sum_{g \in \Gamma} a_{g^{-1}} \bar{g}.$$

It is easy to check that $T = \{a + a^\sigma \mid a \in R\} = \{a \in R^G \mid a_1 = 0\}$ so that $R^G = T \oplus k$. Therefore, (1.7) implies that

$$K_0(R^G) \otimes_{\mathbb{Z}} \mathbb{Z}[1/2] \simeq (K_0(R)_G \oplus K_0(k)) \otimes_{\mathbb{Z}} \mathbb{Z}[1/2] \simeq \mathbb{Z}[1/2] \oplus \mathbb{Z}[1/2].$$

In particular, R^G has fin. gen. projectives which are not stably free. Finally, in view of Lemma (1.5), the isomorphism $K_0(R) \simeq \mathbb{Z}$ implies that

$$K_0(S) \simeq \mathbb{Z} \oplus (2\text{-torsion}).$$

2. Simple Noetherian rings without idempotents

We keep our general notations $R, G, S = R * G$ from the previous section.

(2.1) Traces. A trace function of R is an additive map $\text{tr}: R \rightarrow A$, where A is some abelian group, such that $\text{tr}(ab) = \text{tr}(ba)$ holds for all $a, b \in R$. We shall be interested in traces tr such that $\text{tr}(1) \neq 0$ in A . Such a trace exists if and only if $1 \notin [R, R]$, the additive subgroup of R generated by the Lie commutators $[a, b] = ab - ba$ ($a, b \in R$). Indeed, if $1 \notin [R, R]$, then the canonical map $R \rightarrow R/[R, R] = A$ defines a trace tr of R with $\text{tr}(1) \neq 0$. For the converse just note that any trace of R vanishes on $[R, R]$. Two standard facts that we will use are as follows:

(a) Any trace $\text{tr}: R \rightarrow A$ gives rise to a trace $\text{tr}_n: M_n(R) \rightarrow A$ of the matrix ring $M_n(R)$ by setting $\text{tr}_n([r_{ij}]) = \sum_i \text{tr}(r_{ii})$. If $\text{tr}(1) \neq 0$ in A and multiplication by n is injective on A , then $\text{tr}_n(1) = n \cdot \text{tr}(1) \neq 0$.

(b) Let $k^t[\Gamma]$ be a twisted group algebra of the group Γ over the field k . Thus $k^t[\Gamma]$ has a k -basis $\{\bar{g} \mid g \in \Gamma\}$ and multiplication is defined distributively using $\bar{g} \cdot \bar{h} = t(g, h) \overline{gh}$ ($g, h \in \Gamma$), where $t: \Gamma \times \Gamma \rightarrow k^*$ is a 2-cocycle. In particular, all \bar{g} are units in $k^t[\Gamma]$ and $\bar{1} \in k^*$. The map $\text{tr}: k^t[\Gamma] \rightarrow k$, $\sum_{g \in \Gamma} a_g \bar{g} \rightarrow a_1 \bar{1}$, defines a trace of $k^t[\Gamma]$ with $\text{tr}(1) = 1$. The equality $\text{tr}(ab) = \text{tr}(ba)$ for all $a, b \in k^t[\Gamma]$ follows from the fact that $t(g, g^{-1}) = t(g^{-1}, g)$ holds for all $g \in \Gamma$.

(2.2) Lemma. Assume that R has a trace tr with $\text{tr}(1) \neq 0$ and $\text{tr}(a^x) = \text{tr}(a)$ for all $a \in R, x \in G$. Then $1 \notin [S, S]$.

Proof. Suppose that

$$1 = \sum_i [u_i, v_i]$$

for suitable $u_i, v_i \in S$ and write $u_i = \sum_{x \in G} u_{i,x} x$, $v_i = \sum_{x \in G} v_{i,x} x$ with $u_{i,x}, v_{i,x} \in R$. Comparing identity coefficients in the above equation we obtain

$$1 = \sum_i \sum_{x \in G} (u_{i,x} v_{i,x^{-1}}^{x^{-1}} - v_{i,x^{-1}} u_{i,x}^x) .$$

Applying tr to the right hand side yields 0, since $\text{tr}(u_{i,x} v_{i,x^{-1}}^{x^{-1}}) = \text{tr}(u_{i,x}^x v_{i,x^{-1}}) = \text{tr}(v_{i,x^{-1}} u_{i,x}^x)$ holds for all i and x . Thus we get $\text{tr}(1) = 0$, contradiction. \blacksquare

(2.3) Reduced (Goldie-) ranks (cf. [Ch-Ha]). If R is a semiprime Noetherian ring, then R has a semisimple Artinian classical ring of quotients $Q(R)$. For any fin. gen. right R -module V , the reduced rank $\rho(V)$ is then defined by

$$\rho(V) = \text{composition length of } V \otimes_R Q(R) \text{ over } Q(R) .$$

Clearly, $\rho(\cdot)$ is additive on direct sums of modules, and hence defines a function on $K_0(R)$. We write $\rho(R)$ for the reduced rank of the regular R -module R_R , often called the Goldie rank of R .

(2.4) Theorem. Let p be a prime number and assume that

(1) R is a simple Noetherian domain with $pR = \{0\}$, and

(2) $G (\neq 1)$ is a finite p -group of outer automorphisms of R .

Then $S = R * G$ is a simple Noetherian ring with $\rho(S) = |G|$. If, in addition,

(3) $K_0(R) = \langle [R] \rangle$, i.e. all fin. gen. projectives over R are stably free, and

(4) $1 \notin [S, S]$,

then p divides $\rho(P)$ for all fin. gen. projectives P over S .

In particular, if $|G| = p$, then S has no non-trivial idempotents.

Proof. The first assertion is well-known (and independent of the assumptions on $|G|$ and $\text{char } R$). Indeed, S is clearly Noetherian, as R is, and (1) and (2) imply that S is a simple ring (cf. [Mo, Theorem 2.3]). The equality $\rho(S) = |G|$ follows from the fact that the classical ring of quotients $Q(S)$ has the form $Q(S) \simeq M_{|G|}(Q(R)^G)$, where $Q(R)^G = \{q \in Q(R) \mid q^x = q \text{ for all } x \in G\}$ is a division ring ([Lo 2, pf. of Lemma 1.1iii], e.g.).

Assume now that (3) and (4) are satisfied, but there exists a fin. gen. projective module P over S with $p \nmid \rho(P)$. By Lemma (1.5ii), assumption (3) implies that $|G| \cdot K_0(S) \subset \langle [S] \rangle$ so that, in particular,

$$|G| \cdot [P] = n \cdot [S] \text{ for some } n.$$

Taking reduced ranks we see that

$$n = \rho(P).$$

Moreover, the above equality in $K_0(S)$ says that, for some $r \geq 0$,

$$p|G| \oplus S^r \simeq S^{n+r}.$$

Here, we may assume that $p|r$, say $r = p \cdot r'$. Thus setting $V = \mathbb{F}_p[G]/\mathbb{F}_p \otimes S^{r'}$ we have $S^{n+r} \simeq V^{\otimes p}$ and taking endomorphism rings we obtain

$$M_{n+r}(S) \simeq M_p(\text{End } V_S) .$$

By (4), the canonical trace $\text{tr}: S \rightarrow S/[S,S] = A$ does not vanish on 1. Also, our assumption on $\rho(P)$ implies that $n+r$ is nonzero in $\mathbb{F}_p \subset S$ and hence acts injectively on A . Thus, by (2.1a), we know that $1 \notin [M_{n+r}(S), M_{n+r}(S)]$. On the other hand, using the standard matrices $E_{ij} \in M_p(\text{End } V_S)$, we have

$$\begin{aligned} 1 &= (E_{12} + E_{23} + \dots + E_{p1})^p = E_{12}^p + E_{23}^p + \dots + E_{p1}^p + X \\ &= X , \end{aligned}$$

where $X \in [M_p(\text{End } V_S), M_p(\text{End } V_S)]$ (cf. [Pa, Lemma 2.3.1]).

Thus $1 \in [M_p(\text{End } V_S), M_p(\text{End } V_S)]$, contradiction. Therefore, $\rho(P)$ must be divisible by p .

Finally, if $|G| = p$ and there exists an idempotent $e = e^2 \in S$, $e \neq 0$ or 1 , then $P = eS$ satisfies $0 < \rho(P) < \rho(S) = p$, yet p divides $\rho(P)$, which is impossible.

■

(2.5) Example. As a first application of the above theorem, we discuss the Zalesskii-Neroslavskii example. So let k be a field with $\text{char } k = 2$ containing an element $\lambda \in k^*$ of infinite order and let $R = B_\lambda$, $\sigma \in \text{Aut}(R)$ and $S = R^* \langle \sigma \rangle$ be as in Example (1.8). Then, as we have seen, assumptions (1), (2), and (3) of Theorem (2.4) are satisfied, with $p = 2$. In particular, S has Goldie rank 2. As to (4), we use the structure of R as a twisted group algebra, $R = k^t[\Gamma]$, and the trace map $\text{tr}: R \rightarrow k$ sending $a = \sum_{g \in \Gamma} a_g \bar{g} \in R$ to $a_1 \bar{1} \in k$, as in (2.1b). The expression for a^σ in (1.8) gives

$\text{tr}(a^g) = \text{tr}(a)$, and hence Lemma (2.2) implies that $1 \notin [S, S]$.
Therefore, S has no non-trivial idempotents.

(2.6) Lemma. Let k be a field and let Γ be a finitely generated torsion-free nilpotent group with center Z . Set

$$R = k[\Gamma]_{k[Z] \setminus \{0\}},$$

the localization of the group algebra $k[\Gamma]$ at the nonzero elements of $k[Z]$. Then R is a simple Noetherian domain with $K_0(R) = \langle [R] \rangle$. Let G be a finite group of outer automorphisms of Γ such that G acts trivially on Z . Then G acts on R by outer k -algebra automorphisms so that $S = R * G$ is a simple ring with $1 \notin [S, S]$.

Proof. Since Γ is poly-(infinite cyclic), the group algebra $k[\Gamma]$ is a Noetherian domain ([Pa, Cor. 10.2.8 and Theorem 13.1.11]). Hence R also is a Noetherian domain. The fact that R is simple is a result due to Zalesskii (see [Pa, Theorem 8.4.10]). Again, since Γ is poly-(infinite cyclic), the "twisted Grothendieck theorem" ([Pa, Theorem 13.4.9 and Lemma 13.4.3]) implies that $K_0(R) = \langle [R] \rangle$.

By Lemma (2.2), in order to show that $1 \notin [S, S]$, it suffices to construct a trace map $\text{tr}: R \rightarrow F = Q(k[Z])$, the field of fractions of $k[Z]$, such that $\text{tr}(1) = 1$ and $\text{tr}(a^x) = \text{tr}(a)$ holds for all $a \in R, x \in G$. For this, note that R has the structure of a twisted group algebra of Γ/Z over $F, R \approx F^t[\Gamma/Z]$. Indeed, every element $a \in R$ can be uniquely expressed as

$$a = \sum_{y \in \Gamma/Z} a_y \bar{y},$$

where $a_y \in F$ and $\{\bar{y} \mid y \in \Gamma/Z\}$ is a fixed transversal for Z in Γ . Define $\text{tr}: R \rightarrow F$ by $\text{tr}(a) = a_1 \bar{1}$, as in (2.1b). Since G acts trivially on Z , it also acts trivially on $F = F\bar{1}$. Furthermore, G permutes the sets $F^* \bar{y}$ ($y \in \Gamma/Z \setminus \{1\}$) among themselves so that $\text{tr}(a^x) = \text{tr}(a)$ holds for all $a \in R$, $x \in G$.

Finally, since Γ/Z is poly-(infinite cyclic), the units of $R = F^t[\Gamma/Z]$ are all of the form

$$u = fg \quad (f \in F^*, g \in \Gamma)$$

([Pa, Section 13.1]). Thus if the automorphism of R given by $x \in G$ is conjugation by u , then x acts on Γ by conjugation with g , contradicting the fact that G consists of outer automorphisms of Γ . Therefore, G acts by outer automorphisms on R , and S is simple, by [Mo, Theorem 2.3].

■

(2.7) Example. We close with a series of explicit examples based on the above lemma. Clearly, many further examples could be constructed along the same lines.

Fix a prime p and let Γ be the group

$$\Gamma = \langle x_1, x_2, \dots, x_p, y_1, y_2, \dots, y_p \mid [x_i, x_j] = [y_i, y_j] = [x_i, y_j] = 1 \text{ for all } i \neq j, [x_1, y_1] = [x_2, y_2] = \dots = [x_p, y_p] = z \text{ is central} \rangle .$$

Then Γ is fin. gen. torsion-free nilpotent of class 2, with center $Z = \langle z \rangle$. Let σ be the automorphism of Γ which cyclically permutes the x_i 's and y_i 's. Then σ has order p , it acts trivially on Z and is outer, since it acts non-trivially on $\Gamma/[\Gamma, \Gamma]$. Thus, if k is a field of char p and $R = k[\Gamma]_{k[Z] \setminus \{0\}}$,

then we conclude from Lemma (2.6) and Theorem (2.4) that $S = R^* \langle \sigma \rangle$ is a simple Noetherian ring of Goldie rank p without non-trivial idempotents.

Acknowledgements. Research supported by the Deutsche Forschungsgemeinschaft/Heisenberg Programm (Lo 261/2-1). Part of the work was done during the AMS-IMS-SIAM joint summer research conference "Group actions on rings" (July 8-14, 1984) at Bowdoin College, Brunswick, Maine. I would like to thank the organizer, Professor S. Montgomery, for the invitation and the AMS for financial support.

References

- [Ba] H. Bass, "Algebraic K-theory", Benjamin, New York, 1968.
- [C-H-R] S.U. Chase, D.K. Harrison, and A. Rosenberg, "Galois theory and cohomology of commutative rings", *Memoirs Amer. Math. Soc.* 52(1965).
- [Ch-Ha] A.W.Chatters and C.R. Hajarnavis, "Rings with chain conditions", Pitman, London, 1980.
- [Co] M. Cohen, A Morita context related to finite automorphism groups of rings, *Pacific J. Math.*
- [F] C. Faith, Noetherian simple rings, *Bull. Amer. Math. Soc.* 70(1964), 730-731.
- [Lo 1] M. Lorenz, Group rings and division rings, in: F. van Oystayen (ed.), *Methods in ring theory*, Reidel, 1984.

- [Lo 2] M. Lorenz, The Goldie rank of prime supersolvable group algebras, *Mitteilungen Math. Sem. Giessen* 149 (1981), 115-129.
- [Mo] S. Montgomery, "Fixed rings of finite automorphism groups of associative rings", *Lect Notes in Math. No. 818*, Springer, Berlin, 1980.
- [Pa] D.S. Passman, "The algebraic structure of group rings", Wiley and Sons, New York, 1977.
- [Z-N] A.E. Zalesskii and O.M. Neroslavskii, There exist simple Noetherian rings with zero divisors but without idempotents (Russian. English summary), *Comm. Algebra* 5 (1977), 231-244.