# ONE GROUP-THEORETIC PROPERTY OF THE RAMIFICATION FILTRATION

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## ONE GROUP-THEORETIC PROPERTY OF THE RAMIFICATION FILTRATION

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ABSTRACT. Let  $\Gamma(p)$  be the Galois group of a maximal *p*-extension of a complete discrete valuation field with perfect residue field of characteristic p > 0. If  $v_0 > -1$ and  $\Gamma(p)^{(v_0)}$  is the ramification subgroup of  $\Gamma(p)$  in upper numbering, we prove that any closed but not open finitely generated subgroup of the quotient  $\Gamma(p)/\Gamma(p)^{(v_0)}$  is a free pro-*p*-group. In particular, this quotient does not have non-trivial torsion and non-trivially commuting elements.

## 1. The statement of the main theorem.

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Let K be a complete discrete valuation field with perfect residue field k of characteristic p > 0. Choose a separable closure  $K_{sep}$  of K and denote by K(p) the maximal p-extension of K in  $K_{sep}$ .

If  $\Gamma = \operatorname{Gal}(K_{\operatorname{sep}}/K)$  and  $\{\Gamma^{(v)}\}_{v\geq 0}$  is the ramification filtration of  $\Gamma$  in upper numbering, cf. [Se, Ch.III], we have the induced filtration  $\{\Gamma(p)^{(v)}\}_{v\geq 0}$  of the group  $\Gamma(p) = \operatorname{Gal}(K(p)/K)$ . We note that for  $-1 < v \leq 1$ ,  $\Gamma(p)^{(v)} = I(p)$  is the inertia subgroup of  $\Gamma(p)$ , i.e.  $K(p)^{I(p)}$  is the maximal unramified extension  $K(p)_{ur}$  of Kin K(p).

Consider a real number  $v_0 > -1$  and a closed subgroup H of  $\Gamma(p)$  such that  $H \supset \Gamma(p)^{(v_0)}$ . If  $\tilde{H} = H/\Gamma(p)^{(v_0)}$ , then  $\tilde{H}$  is a closed subgroup of  $\Gamma(p)/\Gamma(p)^{(v_0)}$ . We use the notation  $d(\tilde{H}) = \operatorname{rk}_{\mathbb{Z}/p\mathbb{Z}} \left( \tilde{H}/\tilde{H}^p[\tilde{H},\tilde{H}] \right)$  for the minimal number of topological generators of the pro-*p*-group  $\tilde{H}$ .

If  $-1 < v_0 \leq 1$ , then  $\Gamma(p)/\Gamma(p)^{(v_0)}$  is a free pro-*p*-group, because it coincides with the Galois group of the maximal *p*-extension of the residue field *k*. So, in this case  $\tilde{H}$  is a free pro-*p*-group.

Suppose that  $v_0 > 1$  and  $\tilde{H}$  is an open subgroup in  $\Gamma(p)/\Gamma(p)^{(v_0)}$ . Then H is an open subgroup in  $\Gamma(p)$ ,  $K_H := K(p)^H$  is a finite extension of K,  $H = \Gamma_{K_H}(p)$  is the Galois group of the maximal *p*-extension K(p) of  $K_H$ , and  $\Gamma(p)^{(v_0)} = \Gamma_{K_H}(p)^{(v_0H)}$  with  $v_{0H} = \psi_{K_H/K}(v_0)$ , where  $\psi_{K_H/K}$  is the inverse to the Herbrandt's function of the extension  $K_H/K$ . So, in this case the study of the group  $\tilde{H}$  is equivalent to the study of the group  $\Gamma(p)/\Gamma(p)^{(v_0)}$ . This group is very far from to be a free pro-*p*-group: if k is finite then the number of its relations is infinite, cf. [Go] (but it has finitely many generators).

In this paper we consider almost the opposite situation. The main result can be stated as follows.

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**Theorem.** If  $v_0 > -1$  and  $\widetilde{H}$  is a closed but not open subgroup of the pro-*p*-group  $\Gamma(p)/\Gamma(p)^{(v_0)}$ , then  $\widetilde{H}$  is a free pro-*p*-group.

We have noted already that for  $v_0 \leq 1$  this theorem holds because in this case the group  $\Gamma(p)/\Gamma(p)^{(v_0)}$  is itself a free pro-*p*-group. So, in the proof of the above theorem (cf. nn. 2 and 3 below) we can assume that  $v_0 > 1$ .

**Corollary 1.** a) If  $v_0 > -1$  and k is infinite, then any finitely generated closed pro-p-subgroup  $\widetilde{H}$  of  $\Gamma(p)/\Gamma(p)^{(v_0)}$  is a free pro-p-group.

b) Any finitely generated closed pro-p-subgroup of  $I(p)/\Gamma(p)^{(v_0)}$ , where  $v_0 > 1$ , is a free pro-p-group.

**Proof.** The part a) follows from the above theorem, because here any open subgroup of  $\Gamma(p)/\Gamma(p)^{(v_0)}$  has infinitely many generators and therefore,  $\widetilde{H}$  is not open. The part b) is a special case of the part a), where K is replaced by the *p*-adic completion  $\widehat{K(p)}_{ur}$  of its maximal unramified *p*-extension, because the residue field of  $\widehat{K(p)}_{ur}$ is finite.

**Corollary 2.** The group  $\Gamma(p)/\Gamma(p)^{(v_0)}$  does not have non-trivial torsion and non-trivially commuting elements.

*Proof.* We can assume that  $v_0 > 1$ . Then for any open subgroup  $\widetilde{H} \subset \Gamma(p)/\Gamma(p)^{(v_0)}$ we have  $d(\widetilde{H}) \geq 2$ . Therefore, if  $\widetilde{H}$  is closed in  $\Gamma(p)/\Gamma(p)^{(v_0)}$  and  $d(\widetilde{H}) = 1$ , then  $\widetilde{H}$  is pro-*p*-free. Clearly, this is equivalent to the absence of non-trivial torsion.

The existence of non-trivially commuting elements is equivalent to the existence of a closed commutative subgroup  $\widetilde{H} \subset \Gamma(p)/\Gamma(p)^{(v_0)}$  such that  $d(\widetilde{H}) = 2$ . Our theorem implies that  $\widetilde{H}$  is an open subgroup, so we can assume that  $\widetilde{H} = \Gamma(p)/\Gamma(p)^{(v_0)}$ , where  $v_0$  is still > 1 (cf. proposition 1 c) of n.3.1.1 below). Then  $d(\widetilde{H}) = 2$  if and only if  $k \simeq \mathbb{F}_p$  and  $v_0 \leq 2$ . Consider the set  $\widetilde{H}^p = \{h^p \mid h \in \widetilde{H}\}$ . Then  $\widetilde{H}^p$  is a commutative subgroup of  $\widetilde{H}$  (because  $\widetilde{H}$  is commutative),  $(\widetilde{H} : \widetilde{H}^p) = p^2$  and  $d(\widetilde{H}^p) = 2$  (because  $\widetilde{H}$  has no torsion). Therefore,  $\widetilde{H}^p = \Gamma_{K_1}(p)/\Gamma_{K_1}(p)^{(v_1)}$ , where  $K_1$  is an extension of K of degree  $p^2$  and  $v_1 = \psi_{K_1/K}(v_0) > 1$ . It is easy to see that  $[k_1 : \mathbb{F}_p] = p$ , where  $k_1$  is the residue field of  $K_1$ . This gives the contradiction  $2 = d(\widetilde{H}^p) \geq 2p$ . The corollary is proved.

The above corollary gives that: a) if  $\tau \notin \Gamma(p)^{(v_0)}$ , then for any  $n \in \mathbb{N}$ ,  $\tau^{p^n} \notin \Gamma(p)^{(v_0)}$ ; b) if  $\tau_1, \tau_2 \notin \Gamma(p)^{(v_0)}$ , but the commutator  $(\tau_1, \tau_2) \in \Gamma(p)^{(v_0)}$ , then for some  $a \in \mathbb{Z}_p$ , we have either  $\tau_1 = \tau_2^a$ , or  $\tau_2 = \tau_1^a$ . These properties mean that the ramification filtration does not have any relation to the *p*-central filtration of the group  $\Gamma(p)$ . One can find indication to such phenomena in the paper of E.Mauss [Ma]. In fact our theorem means that the group  $\Gamma(p)/\Gamma(p)^{(v_0)}$  does not have "simple" relations, e.g. there is no relations which can be expressed in terms of any proper subset of some minimal set of generators of the group  $\Gamma(p)/\Gamma(p)^{(v_0)}$ . In the characteristic p case these relations modulo the subgroup of commutators of order  $\geq p$  were described in terms of generators of the group  $\Gamma(p)$  in the papers [Ab1-3].

Let  $I = \bigcup_{v>0} \Gamma^{(v)}$  be the higher ramification subgroup in  $\Gamma$ . The following analogue of the main theorem holds for the ramification filtration of the Galois group  $\Gamma$ .

**Corollary 3.** If  $v_0 > 0$  and a group  $\widetilde{H} \subset I/\Gamma^{(v_0)}$  is a finitely generated pro-*p*-group, then  $\widetilde{H}$  is pro-*p*-free (in particular,  $I/\Gamma^{(v_0)}$  does not have torsion and non-trivial commuting elements).

*Proof.* Let  $K_{tr}$  be the maximal tamely ramified extension of K in  $K_{sep}$ . Then  $K_{tr} = \varinjlim_{\alpha \in \mathcal{A}} K_{\alpha}$ , where  $\{K_{\alpha} \mid \alpha \in \mathcal{A}\}$  is the set of all finite tamely ramified Galois

extension of K in  $K_{sep}$ . We shall provide the above notation with a lower index  $\alpha$ , if the notation is related to the field  $K_{\alpha}$ . Clearly, the family of groups  $\{I_{\alpha}(p) \mid \alpha \in \mathcal{A}\}$  is a projective system induced by the projective system of the Galois groups  $\Gamma_{\alpha}, \alpha \in \mathcal{A}$ , and

$$I = \lim_{\substack{\leftarrow \\ \alpha \in \mathcal{A}}} I_{\alpha}(p).$$

Using simplest functorial properties of the ramification filtration it is easy to see that we have a natural projective system  $\{\Gamma_{\alpha}(p)^{(e_{\alpha}v_{0})} \mid \alpha \in \mathcal{A}\}$ , where  $e_{\alpha} = e(K_{\alpha}/K)$ is the relative ramification index of the extension  $K_{\alpha}/K$ , and we have

$$\Gamma^{(v_0)} = \lim_{\alpha \in \mathcal{A}} \Gamma_{\alpha}(p)^{(e_{\alpha}v_0)}.$$

Therefore,

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$$\widetilde{H} \subset \varprojlim_{\alpha \in \mathcal{A}} I_{\alpha}(p) / \Gamma_{\alpha}(p)^{(e_{\alpha} v_{\mathfrak{d}})}$$

If  $\operatorname{pr}_{\alpha}$  is projection of the above projective limit to its component with the index  $\alpha$ , then  $\widetilde{H}_{\alpha} := \operatorname{pr}_{\alpha}(\widetilde{H})$  is a pro-*p*-free group by the above corollary 1 b). Clearly, there exists  $\alpha_0 \in \mathcal{A}$  such that  $d(\widetilde{H}) = d(\widetilde{H}_{\alpha_0})$  therefore,  $\operatorname{pr}_{\alpha_0}|_{\widetilde{H}}$  is an isomorphism, and  $\widetilde{H}$  is a pro-*p*-free group. The corollary is proved.

### 2. Proof of the theorem.

2.1. Let  $\{K_{\alpha} \mid \alpha \in \mathcal{A}\}$  be the family of all finite Galois extensions of K in K(p).  $\mathcal{A}$  is a filtered set (for  $\alpha_1, \alpha_2 \in \mathcal{A}, \alpha_1 \geq \alpha_2$  means that  $K_{\alpha_1} \supset K_{\alpha_2}$ ), and  $\Gamma(p) = \lim_{\alpha \in \mathcal{A}} \Gamma_{\alpha}$ , where  $\Gamma_{\alpha} = \operatorname{Gal}(K_{\alpha}/K)$  for  $\alpha \in \mathcal{A}$ .

Consider the fields tower  $K \subset K_{\alpha}^{H} \subset K_{\alpha}^{(v_{0})} \subset K_{\alpha}$ , where  $\alpha \in \mathcal{A}$  and  $K_{\alpha}^{(v_{0})}$  is the subfield of  $K_{\alpha}$  fixed by  $\Gamma^{(v_{0})}$ . Then  $\widetilde{H} = \lim_{\alpha \to \infty} \widetilde{H}_{\alpha}$ , where  $\widetilde{H}_{\alpha} = \operatorname{Gal}(K_{\alpha}^{(v_{0})}/K_{\alpha}^{H})$ .

If  $\alpha \in \mathcal{A}$ , then the natural projection  $\operatorname{pr}_{\alpha} : \widetilde{H} \longrightarrow \widetilde{H}_{\alpha}$  is a group epimorphism. If  $\alpha_1, \alpha_2 \in \mathcal{A}$  and  $\alpha_1 \geq \alpha_2$ , then the connecting morphism

$$\mathrm{pr}_{\alpha_1\alpha_2}:\widetilde{H}_{\alpha_1}\longrightarrow \widetilde{H}_{\alpha_2}$$

is uniquely defined by the relation  $pr_{\alpha_2} = pr_{\alpha_1} \circ pr_{\alpha_1 \alpha_2}$ .

Consider a free pro-*p*-group  $\mathcal{G}$  with an epimorphic map of pro-*p*-groups

$$j:\mathcal{G}\longrightarrow \widetilde{H}$$

such that the induced morphism  $\overline{j}: \mathcal{G}/\mathcal{G}^p[\mathcal{G},\mathcal{G}] \longrightarrow \widetilde{H}/\widetilde{H}^p[\widetilde{H},\widetilde{H}]$  is an isomorphism.

Let  $\mathcal{G} = \underset{\beta \in \mathcal{B}}{\lim} \mathcal{G}_{\beta}$ , where  $\{\mathcal{G}_{\beta}\}_{\beta \in \mathcal{B}}$  is a projective system of finite *p*-groups and all

projections  $pr_{\beta} : \mathcal{G} \longrightarrow \mathcal{G}_{\beta}$  are group epimorphisms.

The morphism of pro-p-groups j can be given by the following data:

(j1) a map  $\iota : \mathcal{A} \longrightarrow \mathcal{B}$  such that  $\iota(\alpha_1) \ge \iota(\alpha_2)$ , where  $\alpha_1, \alpha_2 \in \mathcal{A}$  and  $\alpha_1 \ge \alpha_2$ ; (j2) group epimorphisms  $j_\alpha : \mathcal{G}_{\iota(\alpha)} \longrightarrow \widetilde{H}_\alpha$ , where  $\alpha \in \mathcal{A}$ ;

(j3) if  $\alpha_1, \alpha_2 \in \mathcal{A}$  and  $\alpha_1 \geq \alpha_2$ , then the following diagram is commutative

$$\begin{array}{ccc} \mathcal{G}_{\iota(\alpha_1)} & \xrightarrow{j_{\alpha_1}} & \widetilde{H}_{\alpha_1} \\ & & & \\ \mathbf{pr}_{\iota(\alpha_1)\iota(\alpha_2)} & & & \\ & & & \\ \mathcal{G}_{\iota(\alpha_2)} & \xrightarrow{j_{\alpha_2}} & \widetilde{H}_{\alpha_2} \end{array}$$

If  $\alpha \in \mathcal{A}$  and  $\beta \in \mathcal{B}$  is such that  $\beta \geq \iota(\alpha)$ , define  $j_{\beta\alpha} \in \operatorname{Hom}(\mathcal{G}_{\beta}, \widetilde{H}_{\alpha})$  as the composition  $j_{\beta\alpha} = \operatorname{pr}_{\beta\iota(\alpha)} \circ j_{\alpha}$ . Then the property (j3) can be stated in the following form:

<sup>1</sup> (j3') if  $\alpha_1, \alpha_2 \in \mathcal{A}$  and  $\beta_1, \beta_2 \in \mathcal{B}$  are such that  $\alpha_1 \geq \alpha_2, \beta_1 \geq \iota(\alpha_1), \beta_2 \geq \iota(\alpha_2)$ and  $\beta_1 \geq \beta_2$ , then the following diagram is commutative:

$$\begin{array}{ccc} \mathcal{G}_{\beta_1} & \xrightarrow{\mathcal{I}_{\beta_1\alpha_1}} & \widetilde{H}_{\alpha_1} \\ & & & & \\ \mathrm{pr}_{\beta_1\beta_2} & & & & \\ \mathcal{G}_{\beta_2} & \xrightarrow{\mathcal{I}_{\beta_2\alpha_2}} & \widetilde{H}_{\alpha_2} \end{array}$$

2.2. Let  $\mathcal{A}_1$  be the subset of  $\mathcal{A}$  consisting of  $\alpha \in \mathcal{A}$  such that

$$\operatorname{rk}_{\mathbf{Z}/p\mathbf{Z}}\left(\widetilde{H}_{\alpha}/\widetilde{H}_{\alpha}^{p}[\widetilde{H}_{\alpha},\widetilde{H}_{\alpha}]\right)=d(\widetilde{H}),$$

i.e. the projection  $pr_{\alpha}$  induces the isomorphism

$$\bar{\mathrm{pr}}_{\alpha}: \widetilde{H}/\widetilde{H}^{p}[\widetilde{H},\widetilde{H}] \longrightarrow \widetilde{H}_{\alpha}/\widetilde{H}_{\alpha}^{p}[\widetilde{H}_{\alpha},\widetilde{H}_{\alpha}].$$

Clearly,  $\mathcal{A}_1$  is a cofinal subset in  $\mathcal{A}$ .

For  $\alpha \in \mathcal{A}_1$ , consider the fields tower from n.2.1

$$K \subset K^H_\alpha \subset K^{(v_0)}_\alpha \subset K_\alpha.$$

The following lemma will be proved in n.3 below. We use all notation from n.2.1.

## The main lemma.

If  $\alpha \in \mathcal{A}_1$  and  $\beta \geq \iota(\alpha)$ , then there exist finite extensions  $E_{\beta\alpha}$  of  $K^H_{\alpha}$  and  $F_{\beta\alpha}$  of  $E'_{\beta\alpha} := E_{\beta\alpha}K^{(v_0)}_{\alpha}$  such that

(a)  $E_{\beta\alpha} \subset K(p)^H$  and therefore, we have the natural group isomorphism

$$f_{\beta\alpha}: \widetilde{H}_{\alpha} \longrightarrow \operatorname{Gal}(E'_{\beta\alpha}/E_{\beta\alpha});$$

(b)  $F_{\beta\alpha}$  is a Galois extension over  $E_{\beta\alpha}$  and there exists a group isomorphism  $g_{\beta\alpha}$  such that the following diagram is commutative

$$\begin{array}{ccc} \mathcal{G}_{\beta} & \xrightarrow{g_{\beta\alpha}} & \operatorname{Gal}(F_{\beta\alpha}/E_{\beta\alpha}) \\ \\ i_{\beta\alpha} & & \downarrow \\ \\ \widetilde{H}_{\alpha} & \xrightarrow{f_{\beta\alpha}} & \operatorname{Gal}(E'_{\beta\alpha}/E_{\beta\alpha}) \end{array}$$

(here the right vertical arrow is the natural projection); (c)  $F_{\beta\alpha}$  is contained in the subfield  $K(p)^{(v_0)}$  of K(p) fixed by the group  $\Gamma(p)^{(v_0)}$ .

2.3. For  $\alpha \in \mathcal{A}_1$  and  $\beta \geq \iota(\alpha)$ , consider the fields  $E_{\beta\alpha}$ ,  $E'_{\beta\alpha}$  and  $F_{\beta\alpha}$  from the above lemma. Denote by  $D_{\beta\alpha}$  the normal closure of  $F_{\beta\alpha}$  over K (in K(p)). Then there exists  $\gamma \in \mathcal{A}$  such that  $K_{\gamma} = D_{\beta\alpha}$ , and we have the following commutative diagram in the category of finite extensions of the field K:

$$\begin{array}{rcl}
K_{\alpha}^{H} & \subset & K_{\alpha}^{(v_{0})} & \subset & K_{\alpha} \\
\cap & & & \cap \\
E_{\beta\alpha} & \subset & E_{\beta\alpha}' & \subset & F_{\beta\alpha} \\
\cap & & & & \cap \\
K_{\gamma}^{H} & \subset & K_{\gamma}^{(v_{0})} & = & K_{\gamma}^{(v_{0})} & = K_{\gamma} = D_{\beta\alpha}
\end{array}$$

Note that  $F_{\beta\alpha}$  is a Galois extension of  $E_{\beta\alpha}$ ,  $E_{\beta\alpha} \subset K(p)^H$ ,  $F_{\beta\alpha} \subset K(p)^{(v_0)}$  and therefore, we have the natural group homomorphism

$$h_{\beta\alpha}: \widetilde{H} \longrightarrow \operatorname{Gal}(F_{\beta\alpha}/E_{\beta\alpha})$$

such that  $h_{\beta\alpha} \circ g_{\beta\alpha}^{-1} \circ j_{\beta\alpha} = \operatorname{pr}_{\alpha}$ . Because  $\alpha \in \mathcal{A}_1$  and  $\beta \geq \iota(\alpha)$ , the minimal numbers of generators for the groups  $\widetilde{H}$ ,  $\widetilde{H}_{\alpha}$  and  $\mathcal{G}_{\beta}$  coincide. Therefore,  $h_{\beta\alpha} \circ g_{\beta\alpha}^{-1}$  is epimorphic, and we obtain that

$$h_{\beta\alpha}(\tilde{H}) = g_{\beta\alpha}(\mathcal{G}_{\beta}) = \operatorname{Gal}(F_{\beta\alpha}/E_{\beta\alpha}).$$

This gives  $F_{\beta\alpha}^{H} = E_{\beta\alpha}$  and thus, the fields  $F_{\beta\alpha}$  and  $K_{\gamma}^{H}$  are linearly disjoint over  $E_{\beta\alpha}$  and we have the group epimorphism

$$i_{\gamma\beta\alpha}: \widetilde{H}_{\gamma} \longrightarrow \operatorname{Gal}(F_{\beta\alpha}/E_{\beta\alpha}) \xrightarrow{g_{\beta\alpha}^{-1}} \mathcal{G}_{\beta},$$

such that  $\operatorname{pr}_{\gamma\alpha} = i_{\gamma\beta\alpha} \circ j_{\beta\alpha}$ .

2.4. Consider the set

$$\mathcal{C} = \{ (\beta, \alpha) \in \mathcal{B} \times \mathcal{A}_1 \mid \alpha \in \mathcal{A}_1, \beta \ge \iota(\alpha) \}.$$

Clearly, C is a filtered set.

If  $(\beta, \alpha) \in \mathcal{C}$ , consider the set

$$I_{(\beta,\alpha)} = \{ i \in \operatorname{Hom}_{\operatorname{cont}}(H, \mathcal{G}_{\beta}) \mid \operatorname{pr}_{\alpha} = i \circ j_{\beta\alpha} \}.$$

This set is finite (because  $\tilde{H}$  is finitely generated) and non-empty (cf. n.2.3). The property (j3') of n.2.1 gives that  $\{I_{(\beta,\alpha)}\}_{(\beta,\alpha)\in\mathcal{C}}$  is a projective system and therefore, its projective limit  $I \neq \emptyset$ .

Take  $i \in I$ .

For any  $\alpha \in \mathcal{A}_1$ , the set

$$\mathcal{B}_{\alpha} = \{\beta \in \mathcal{B} \mid (\beta, \alpha) \in \mathcal{C}\} = \{\beta \in \mathcal{B} \mid \beta \ge \iota(\alpha)\}$$

is cofinal in  $\mathcal{B}$ . Therefore, for any  $\alpha \in \mathcal{A}_1$ , the collection

$$\{\operatorname{pr}_{(\beta,\alpha)}(i) \mid \beta \in \mathcal{B}_{\alpha}\}$$

gives a morphism of pro-*p*-groups  $i_{\alpha}: \widetilde{H} \longrightarrow \mathcal{G}$  such that  $\operatorname{pr}_{\alpha} = i_{\alpha} \circ j \circ \operatorname{pr}_{\alpha}$ .

The property (j3') gives that  $i_{\alpha}$  does not depend on  $\alpha \in \mathcal{A}_1$ . So,  $i = \lim_{\alpha \in \mathcal{A}_1} i_{\alpha} \in i_{\alpha}$ 

 $\operatorname{Hom}_{\operatorname{cont}}(\widetilde{H}, \mathcal{G})$  and satisfies the identity  $i \circ j = \operatorname{id}_{\widetilde{H}}$ .

Clearly, *i* is injective. But  $\overline{i} = i \mod \widetilde{H}^p[\widetilde{H}, \widetilde{H}] = \overline{j}^{-1}$  is an isomorphism. So, *i* is surjective and  $\widetilde{H}$  is a pro-*p*-free group (isomorphic to  $\mathcal{G}$ ).

The theorem is proved.

### 3. Proof of the main lemma.

As we have noted in n.1 we can assume that  $v_0 > 1$ .

3.1. Preliminaries.

3.1.1. The largest ramification numbers. Let L be a complete discrete valuation field with perfect residue field of characteristic p > 0. We recall some general facts from the higher ramification theory, cf. [Se, Ch.III].

If E/L is a finite Galois extension,  $\Gamma_{E/L} = \text{Gal}(E/L)$ , and  $O_E$  is the valuation ring of the field E then for any x > -1, we have the ramification subgroup

$$\Gamma_{E/L,x} = \{ \tau \in \Gamma_{E/L} \mid v_E(\tau a - a) \ge x + 1 \; \forall a \in O_E \},$$

where  $v_E$  is the valuation of E such that  $v_E(E^*) = \mathbb{Z}$ . This gives the ramification filtration  $\{\Gamma_{E/L,x}\}_{x\geq 0}$  of the group  $\Gamma_{E/L}$  in lower numbering. This filtration is a decreasing left-continuous filtration of normal subgroups; for  $-1 < x \leq 0$ ,  $\Gamma_{E/L,x}$  is the ramification subgroup; and for  $0 < x \leq 1$ ,  $\Gamma_{E/L,x}$  is the higher ramification subgroup of the group  $\Gamma_{E/L}$ .

The Herbrandt's function of the extension E/L is defined for all  $x \ge 0$  by the expression

$$\varphi_{E/L}(x) = \int_0^x \left( \Gamma_{E/L,0} : \Gamma_{E/L,t} \right)^{-1} \mathrm{d} t.$$

For -1 < x < 0,  $\varphi_{E/L}(x) = x$  by definition. Then  $\varphi_{E/L}(x)$  is an increasing continuous piece-linear function,  $\varphi_{E/L}(0) = 0$ , and for a sufficiently large x, one

has that  $\varphi'(x) = e(E/L)^{-1}$ , where e(E/L) is the ramification index of the extension E/L.

Set  $\Gamma_{E/L}^{(v)} = \Gamma_{E/L,x}$ , if x > -1 and  $v = \varphi_{E/L}(x)$ . This gives the ramification filtration of  $\Gamma_{E/L}$  in upper numbering. If  $E_1$  is a Galois extension of L and  $E \subset E_1$ then the natural projection  $\Gamma_{E_1/L} \longrightarrow \Gamma_{E/L}$  induces for any  $v \ge 0$  the group epimorphism  $\Gamma_{E_1/L}^{(v)} \longrightarrow \Gamma_{E/L}^{(v)}$ . Taking projective limit with respect to these epimorphisms we obtain the ramification filtration  $\{\Gamma_L^{(v)}\}_{v\ge 0}$  of the group  $\Gamma_L = \text{Gal}(L_{\text{sep}}/L)$  in upper numbering.

The Herbrandt's function satisfies the composition property:

if E/L and  $E_1/L$  are finite Galois extensions such that  $E \subset E_1$ , then for any x > -1, one has

$$\varphi_{E_1/L}(x) = \varphi_{E/L}(\varphi_{E_1/E}(x)).$$

The definition of the Herbrandt's function  $\varphi_{E/L}$  can be uniquely extended to the case of arbitrary finite separable extensions E/L under the requirement that the composition property should hold for arbitrary tower of finite extensions  $L \subset \tilde{E} \subset E_1$ , cf. [De].

Let  $\psi_{E/L}$  be the inverse function for  $\varphi_{E/L}$ . This function is also an increasing piece-linear function satisfying the composition property:

if  $L \subset E \subset E_1$  is a fields tower of finite extensions, then for any x > -1, one has

$$\psi_{E_1/L}(x) = \psi_{E_1/E}(\psi_{E/L}(x)).$$

If E/L is a finite extension such that e(E/L) > 1, then the set of edge points of the graph of the function  $\varphi_{E/L}(x)$  is not empty and we denote by (x(E/L), v(E/L))the coordinates of the last edge point. If e(E/L) = 1, we set (x(E/L), v(E/L)) =(-1, -1). We have the following properties.

**Proposition 1.** If  $L \subset E \subset E_1$  is a tower of finite extensions, then: a) the group  $\Gamma_L^{(v)}$ , where v > -1, acts trivially on E, if and only if v > v(E/L); b)  $v(E_1/L) = \max \{v(E/L), \varphi_{E/L}(v(E_1/E))\};$ c) if  $v \ge v(E/L)$ , then  $\Gamma_L^{(v)} = \Gamma_E^{(\psi_{E/L}(v))}$ .

The above property a) follows directly from definitions, the property b) follows from the composition property. To prove c) let us consider an arbitrary finite Galois extension  $E_2$  of L such that  $E_2 \supset E$ . It is sufficient to verify that

$$\Gamma_{E_2/L}^{(v)} = \Gamma_{E_2/E}^{(\psi_{E/L}(v))}.$$

For any  $x \ge 0$ , the equality  $\Gamma_{E_2/E} \cap \Gamma_{E_2/L,x} = \Gamma_{E_2/E,x}$  follows directly from the definition of the lower numbering of the ramification filtration. Take  $x = \psi_{E_2/L}(v)$  then  $\Gamma_{E_2/L,x} \subset \Gamma_{E_2/E}$  (cf. n. a)) and therefore,  $\Gamma_{E_2/L,x} = \Gamma_{E_2/E,x}$ . It remains only to note that by the composition property we have  $\varphi_{E_2/E}(x) = \psi_{E/L}(v)$ , i.e.  $\Gamma_{E_2/E,x} = \Gamma_{E_2/E}^{(\psi_{E/L}(v))}$ . The proposition is proved.

We note that, if E is contained in the maximal p-extension L(p) of L, then either  $v(E/L) \ge 1$ , or E/L is an unramified extension. So, if  $\Gamma_L(p) = \operatorname{Gal}(L(p)/L)$ , then for  $-1 < v \le 1$ ,  $\Gamma_L(p)^{(v)} = \Gamma_L(p)^{(1)}$  is the ramification subgroup of  $\Gamma_L(p)$ .

**Proposition 2.** Let E and  $L_1$  be finite extensions of L in L(p). Then

$$\varphi_{E/L}(v(L_1E/E)) \le v(L_1/L).$$

*Proof.* Let  $[E:L] = p^{n_E}$  and  $[L_1:L] = p^{n_1}$ , where  $n_E, n_1 \in \mathbb{Z}_{\geq 0}$ .

The cases  $n_E = 0$  or  $n_1 = 0$  can be easily considered, so we can assume that  $n_E, n_1 \in \mathbb{N}$ . Let  $v_E = v(E/L)$  and  $v_1 = v(L_1/L)$ .

Assume that  $n_E = n_1 = 1$ . Clearly,  $v(L_1E/L) = \max\{v_E, v_1\}$ .

If  $v_1 \ge v_E$ , we have by the proposition 1 b) that

$$v_1 = v(L_1 E/L) = \max\{v_E, \varphi_{E/L}(v(L_1 E/E))\}$$

therefore,  $v_1 \ge \varphi_{E/L}(v(L_1E/E))$  and we obtain the formula of our proposition.

Consider the case  $v_1 < v_E$ . The equality  $\varphi_{L_1E/L} = \varphi_{L_1E/E} \circ \varphi_{E/L}$  gives that the values of the function  $\varphi_{L_1E/L}$  in its edge points equal  $\varphi_{E/L}(v(L_1E/L))$  and  $v_E$ . The equality  $\varphi_{L_1E/L} = \varphi_{L_1E/L_1} \circ \varphi_{L_1/L}$  gives that the values of this function in its edge points equal  $v_1$  and  $\varphi_{L_1/L}(v(L_1E/L_1))$ . Now the inequality  $v_1 < v_E$  implies that  $v_1 = \varphi_{E/L}(v(L_1E/E))$ . So, the case  $n_E = n_1 = 1$  is completely considered.

Let  $n_1 = 1$  and  $n_E > 1$ .

In this case there exists a field E' such that  $L \subsetneq E' \subsetneq E$ . By induction we can assume that our proposition is proved for the triples of fields  $(E', L_1, L)$  and  $(E, E', L_1E')$ . Then

$$\varphi_{E/L}(v(L_1E/E)) = \varphi_{E'/L}(\varphi_{E/E'}(v(L_1E/E))) \le \varphi_{E'/L}(v(L_1E'/E')) \le v(L_1/L)$$

and the case  $n_1 = 1$  and  $n_E > 1$  is considered.

Assume that  $n_1 > 1$  and  $n_E$  is an arbitrary natural number.

Consider the field  $L_2$  such that  $L \subsetneq L_2 \subsetneq L_1$ . By induction we can assume that our proposition is proved for the triples  $(E, L_2, L)$  and  $(E, L_1, L_2)$ . Applying also the composition property of the Herbrandt's function and the above proposition 1 we obtain that

$$\varphi_{E/L}(v(L_1E/E)) = \max\{\varphi_{E/L}(v(L_2E/E)), \varphi_{L_2E/E}(v(L_1E/L_2E))\} \le \max\{v(L_2/L), \varphi_{L_2/L}(v(L_1/L_2))\} = v(L_1/L).$$

The proposition is proved.

3.1.2. A property of the field of norms functor.

We use basic properties of the field of norms functor, cf. [Wtb].

Let E be a complete discrete valuation field of characteristic 0 with perfect residue field k of characteristic p > 0 and absolute ramification index e(E). Choose an algebraic closure  $\overline{E}$  of E, a uniformizing element  $\pi \in E$ , and a sequence  $\{\pi_n\}_{n\geq 0}$ of elements of  $\overline{E}$  such that  $\pi_0 = \pi$  and  $\pi_{n+1}^p = \pi_n$  for all  $n \geq 0$ .

If  $E_n = E(\pi_n)$  for  $n \ge 0$ , and  $\widetilde{E} = \varinjlim E_n$ , then  $\widetilde{E}$  is an arithmetically profinite extension of E. Consider its field of norms  $\mathcal{X}_E(\widetilde{E}) = \mathcal{E}$ . Then  $\mathcal{E}$  is a complete

discrete valuation field of characteristic p and its residue field can be canonically identified with k.

If  $\tilde{L}$  is a finite extension of  $\tilde{E}$  in  $\tilde{E}$ , then  $\tilde{L}$  is an arithmetically profinite extension of E and its field of norms  $\mathcal{X}_E(\tilde{L})$  is a separable finite extension of  $\mathcal{E}$ . The correspondence  $\tilde{L} \mapsto \mathcal{X}_E(\tilde{L})$  induces an equivalence of the category of algebraic extensions of  $\tilde{E}$  and the category of separable extensions of its field of norms  $\mathcal{E}$ . Therefore, we can choose a separable closure of  $\mathcal{E}$  in the form  $\mathcal{E}_{sep} = \mathcal{X}_E(\bar{E})$  and obtain the following identification:

$$\mathcal{G}_{\mathcal{E}} := \operatorname{Gal}(\mathcal{E}_{\operatorname{sep}}/\mathcal{E}) = \operatorname{Gal}(\bar{E}/\bar{E}) \subset \Gamma_E = \operatorname{Gal}(\bar{E}/E).$$

The (infinite) extension  $\widetilde{E}/E$  has the Herbrandt's function

$$\varphi_{\widetilde{E}/E} = \lim_{n \to \infty} (\varphi_n \circ \dots \circ \varphi_1),$$
  
here  
$$\varphi_n(x) = \begin{cases} x, & \text{for } 0 \le x \le e^*(E_n) \\ e^*(E_n) + (x - e^*(E_n))/p, & \text{for } x \ge e^*(E_n), \end{cases}$$

and  $e^*(E_n) = p^n e^*(E)$  with  $e^*(E) = pe(E)/(p-1)$ . The above identification  $\mathcal{G}_{\mathcal{E}} \subset \Gamma_E$  is compatible with ramification filtrations: for any v > -1,

$$\mathcal{G}_{\mathcal{E}}^{(v)} = \mathcal{G}_{\mathcal{E}} \cap \Gamma_{E}^{(\varphi_{\widetilde{E}/E}(v))}.$$

One can verify that  $\mathcal{G}_{\mathcal{E}}\Gamma_{E}^{(e^{*}(E))} = \Gamma_{E}$  and therefore, the embedding  $\mathcal{G}_{\mathcal{E}} \subset \Gamma_{E}$  induces an isomorphism

$$\mathcal{G}_{\mathcal{E}}/\mathcal{G}_{\mathcal{E}}^{(e^{\bullet}(E))} \simeq \Gamma_E/\Gamma_E^{(e^{\bullet}(E))}.$$

This gives the following proposition.

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**Proposition.** If L is a finite extension of E and  $\tilde{L} = L\tilde{E}$ , then the correspondence

$$L \mapsto \widetilde{\mathcal{X}}_{\widetilde{E}}(L) := \mathcal{X}_{E}(\widetilde{L})$$

induces an equivalence of the category of algebraic extensions L/E such that  $v(L/E) < e^*(E)$  and the category of separable extensions  $\mathcal{L}/\mathcal{E}$  such that  $v(\mathcal{L}/\mathcal{E}) < e^*(E)$ .

*Remark.* If  $[L : E] < \infty$ ,  $v(L/E) < e^*(E)$  and  $\mathcal{L} = \mathcal{X}_{\widetilde{E}}(L)$ , then we have the equality of Herbrandt's functions  $\varphi_{L/E} = \varphi_{\mathcal{L}/\mathcal{E}}$ .

3.1.3. An application of the Artin-Schreier theory.

Let  $K_1$  be a complete discrete valuation field with perfect residue field  $k_1$  of characteristic p > 0. Choose a maximal *p*-extension  $K_1(p)$  of  $K_1$  and denote by  $F(K_1)$  the category of separable extensions of the field  $K_1$  in  $K_1(p)$  (if  $L_1, L_2 \in F(K_1)$  and  $L_1 \subset L_2$ , then  $\operatorname{Hom}_{F(K_1)}(L_1, L_2)$  contains only one element — the embedding of  $L_1$  into  $L_2$ ; if  $L_1 \not\subset L_2$ , then  $\operatorname{Hom}_{F(K_1)}(L_1, L_2) = \emptyset$ ).

We use the notation  $e(K_1)$  for the absolute ramification index of the field  $K_1$  if it has characteristic 0 and define  $e(K_1) = \infty$  if char  $K_1 = p$ . In the both cases we set  $e^*(K_1) = pe(K_1)/(p-1)$ .

Let  $v_1, v'_1 \in \mathbb{R}$  be such that  $1 \leq v'_1 < v_1 \leq e^*(K_1)$ . Consider the  $\mathbb{F}_p$ -linear space

$$V(k_1,v_1',v_1) = \bigoplus_{a \in [v_1',v_1)_p} (k_1)_a,$$

where  $[v'_1, v_1)_p = \{n \in \mathbb{N} \mid v'_1 \le n < v_1, (n, p) = 1\}.$ 

Denote by  $S(k_1, v'_1, v_1)$  the category of finite dimensional linear subspaces of  $V(k_1, v'_1, v_1)$  (here we have also for any 2 objects  $V_1$  and  $V_2$  of this category, that  $\operatorname{Hom}_{S(k_1, v'_1, v_1)}(V_1, V_2) = \emptyset$  if  $V_1 \not\subset V_2$ , otherwise the set  $\operatorname{Hom}_{S(k_1, v'_1, v_1)}(V_1, V_2)$  consists only of one element — the embedding  $V_1 \subset V_2$ ).

**Proposition 1.** There exists a fully faithful functor

$$\mathcal{F}: S(k_1, v_1', v_1) \longrightarrow F(K_1)$$

siich that for any  $L \in S(k_1, v'_1, v_1)$  one has

a)  $\mathcal{F}(L)$  is a finite Galois extension of  $K_1$  and there exists a natural identification  $\operatorname{Gal}(\mathcal{F}(L)/K_1) = \widehat{L} := \operatorname{Hom}(L, \mathbb{F}_p);$ 

b) If  $L \neq 0$ , then  $v'_1 \leq v(\mathcal{F}(L)/K_1) < v_1$ .

*Proof.* Consider first the case char  $K_1 = p$ .

If  $\sigma$  is Frobenius and  $\Gamma_{K_1}(p) = \operatorname{Gal}(K_1(p)/K_1)$ , then one has the natural identification of the Artin-Schreier theory  $K_1/(\sigma - \operatorname{id})K_1 = \operatorname{Hom}(\Gamma_{K_1}(p), \mathbb{F}_p)$ .

Choose a uniformizer  $t_1$  of  $K_1$  and consider the identification of  $V(k_1, v'_1, v_1)$ with a linear subspace of  $K_1/(\sigma - id)K_1$  induced by the correspondence

$$\{\alpha_a\}_{a \in [v_1', v_1)_p} \mapsto (\sum_{a \in [v_1', v_1)_p} \alpha_a t_1^{-a}) \operatorname{mod}(\sigma - \operatorname{id}) K_1.$$

If  $L \in S(k_1, v'_1, v_1)$  is an  $\mathbb{F}_p$ -linear subspace of  $V(k_1, v'_1, v_1)$ , then we set  $\mathcal{F}(L) = K_1(p)^{H(L)}$ , where

$$H(L) = \bigcap_{l \in L} \operatorname{Ker} l \subset \Gamma_{K_1}(p),$$

and elements  $l \in L$  are considered as elements of the group  $\operatorname{Hom}(\Gamma_{K_1}(p), \mathbb{F}_p)$  by the use of the above identifications

$$V(k_1, v'_1, v_1) \subset K_1/(\sigma - \mathrm{id})K_1 = \mathrm{Hom}(\Gamma_{K_1}(p), \mathbb{F}_p).$$

It is easy to see that the correspondence  $L \mapsto \mathcal{F}(L)$  determines a functor which satisfies the properties of our proposition. We note that this functor depends only on the choice of a uniformizer  $t_1$  in the field  $K_1$ .

If char  $K_1 = 0$ , we choose a uniformizer  $\pi_0 \in K_1$ , a sequence  $\pi_n \in K_{1 \text{ sep}} \supset K_1(p)$ , such that  $\pi_{n+1}^p = \pi_n$  for all  $n \in \mathbb{Z}_{\geq 0}$ , and construct the functor  $\widetilde{\mathcal{X}}_{\widetilde{K}_1}$  from n.3.1.2. If  $t_1$  is the uniformizing element of the field  $\mathcal{K}_1 = \widetilde{\mathcal{X}}_{\widetilde{K}_1}(K_1)$ , which corresponds to the sequence  $\{\pi_n\}_{n\geq 0}$ ,  $\mathcal{F}'$  is the above constructed functor for the

field  $\mathcal{K}_1$  and its uniformizer  $t_1$ , and  $\widetilde{\mathcal{X}}_{\widetilde{K}_1}$  is the functor from n.3.1.2, then the functor  $\mathcal{F} = \mathcal{F}' \circ \widetilde{\mathcal{X}}_{\widetilde{K}_1}^{-1}$  satisfies the properties of our proposition. The proposition is proved.

We shall apply the above proposition in the following situation.

Suppose that  $1 < v_1 \leq e^*(K_1)$ ,  $H_1$  is a closed subgroup of  $\Gamma_{K_1}(p)$  containing the ramification subgroup  $\Gamma_{K_1}(p)^{(v_1)}$ ,  $\widetilde{H}_1 = H_1/\Gamma_{K_1}(p)^{(v_1)}$  and  $d(\widetilde{H}_1)$  is the minimal number of topological generators of the group  $H_1$ .

**Proposition 2.** If  $1 \le v'_1 < v_1 (\le e^*(K_1))$  and  $\dim_{\mathbf{F}_v} V(k_1, v'_1, v_1) > d(H_1)$ , then there exists an extension  $K_2$  of  $K_1$  of degree p such that  $K_2 \subset K_1(p)^{H_1}$  and  $v(K_2/K_1) \ge v_1'.$ 

*Proof.* In the notation of the above proposition 1 consider the field  $E_1 = \mathcal{F}(L)$ , where  $L \in S(k_1, v'_1, v_1)$  is such that  $\dim_{\mathbf{F}_n} L > d(\widetilde{H}_1)$ . Then  $v'_1 \leq v(E_1/K_1) < v_1$ . So, if

$$f: \Gamma_{K_1}(p) \longrightarrow \operatorname{Gal}(E_1/K_1) = \widehat{L}$$

is the natural projection, then  $\Gamma_{K_1}(p)^{(v_1)} \subset \operatorname{Ker} f$  and  $d(f(H_1)) \leq d(\widetilde{H}_1)$ . Therefore,  $f(H_1)$  is a proper subgroup of  $\widehat{L}$  and there exists a subextension  $K_2$  of  $E_1^{f(H_1)}$ over  $K_1$  such that  $[K_2:K_1] = p$ . The proposition is proved.

3.2. The field  $K_{\alpha 1}$ .

As earlier, consider for  $\alpha \in \mathcal{A}_1$ , the fields tower

$$K \subset K^H_\alpha \subset K^{(v_0)}_\alpha \subset K_\alpha.$$

Denote by  $C(\widetilde{H})$  a positive real number such that for any r > 0 the interval (r, r + C(H)) contains at least d(H) + 1 prime to p integers.

**Proposition.** There exists a finite extension  $K_{\alpha 1}$  of  $K_{\alpha}^{H}$  in  $K(p)^{H}$  such that

$$\min\{\psi_{K_{\alpha 1}/K}(v_0), e^*(K_{\alpha 1})\} > C(H) + 1.$$

## Proof.

3.2.1. Prove first that there exists an infinite fields tower

$$K_{\alpha}^{H} = K_{\alpha 0,0} \subset K_{\alpha 0,1} \subset \cdots \subset K_{\alpha 0,n} \subset \cdots$$

such that for any  $n \in \mathbb{N}$ , we have  $[K_{\alpha 0,n} : K_{\alpha 0,n-1}] = p$  and  $K_{\alpha 0,n} \subset K(p)^H$ .

Indeed, let  $n_0 \in \mathbb{Z}_{>0}$  and assume that we have constructed such fields  $K_{\alpha 0,n}$ for  $n \leq n_0$ . Because  $K_{\alpha 0, n_0} \subset K(p)^H$ , we have  $H \subset \Gamma_{n_0} = \operatorname{Gal}(K(p)/K_{\alpha 0, n_0})$ . Because  $[K_{\alpha 0,n_0}:K] < \infty$  and H is not open subgroup of  $\Gamma(p)$ , we have  $H \neq \Gamma_{n_0}$ and therefore,  $H_{n_0} := H\Gamma_{n_0}^p[\Gamma_{n_0}, \Gamma_{n_0}] \subseteq \Gamma_{n_0}$ . Let  $E_{n_0} = K(p)^{H_{n_0}}$ . Then  $E_{n_0}$  is a non-trivial abelian extension of  $K_{\alpha 0,n_0}$  in  $K(p)^H$ . Clearly, there exists the field  $K_{\alpha 0, n_0+1}$  such that  $K_{\alpha 0, n_0} \subset K_{\alpha 0, n_0+1} \subset E_{n_0}$  and  $[K_{\alpha 0, n_0+1} : K_{\alpha 0, n_0}] = p$ .

3.2.2. We want to prove here that the fields tower  $K_{\alpha 0,n}$ ,  $n \ge 0$ , from n.3.2.1 can be chosen in such a way that for almost all  $n \in \mathbb{N}$ , the field  $K_{\alpha 0,n}$  is totally ramified over  $K_{\alpha 0,n-1}$ .

Denote by  $K'_{\alpha 0,n}$ ,  $n \ge 0$ , the fields tower from n.3.2.1. If this tower does not satisfy the above condition, then there exists  $n_0 \ge 0$  such that the residue field  $k_1$  of  $K'_{\alpha 0,n_0}$  contains more that  $p^{d(\tilde{H})}$  elements (recall that  $d(\tilde{H})$  is the minimal number of topological generators of the pro-*p*-group  $\tilde{H} = H/\Gamma(p)^{(v_0)}$ ).

For  $0 \leq n \leq n_0$  set  $K_{\alpha 0,n} = K'_{\alpha 0,n}$ . Let  $n_1 \in \mathbb{Z}_{\geq 0}$  be such that  $n_1 \geq n_0$  and assume that we have constructed for  $n_0 < n \leq n_1$ , the fields  $K_{\alpha 0,n}$  such that for  $0 < n \leq n_1$  we have  $[K_{\alpha 0,n} : K_{\alpha 0,n-1}] = p, K_{\alpha 0,n} \subset K(p)^H$  and for  $n_0 < n \leq n_1$ ,  $v(K_{\alpha 0,n}/K_{\alpha 0,n-1}) \geq 1$  (i.e.  $K_{\alpha 0,n}$  is totally ramified over  $K_{\alpha 0,n-1}$ ).

Let  $K_1 = K_{\alpha 0, n_1}, v_1 = \min \{\psi_{K_1/K}(v_0), e^*(K_1)\}$  and  $H_1 = H\Gamma_1^{(v_1)}$ , where  $\Gamma_1 = \operatorname{Gal}(K(p)/K_1)$ . We note that  $k_1$  is the residue field of  $K_1, v_1 > 1$  and  $\dim_{\mathbf{F}_p} V(k_1, 1, v_1) \ge \dim_{\mathbf{F}_p} k_1 > d(\widetilde{H})$  (cf. the notation of n.3.1.3). Because  $K_1 \subset K(p)^H$  and  $H \supset \Gamma(p)^{(v_0)}$ , we have  $v(K_1/K) < v_0$  and therefore,  $\Gamma(p)^{(v_0)} = \Gamma_1^{(\psi_{K_1/K}(v_0))}$  (cf. proposition 1 of n.3.1.1). Because  $v_1 \le \psi_{K_1/K}(v_0)$ , we have  $\Gamma_1^{(\psi_{K_1/K}(v_0))} \subset \Gamma_1^{(v_1)}$  and therefore, there exists the natural group epimorphism  $\widetilde{H} = H/\Gamma(p)^{(v_0)} \longrightarrow H/H \cap \Gamma^{(v_1)} = H_1/\Gamma^{(v_1)} := \widetilde{H}$ 

$$\widetilde{H} = H/\Gamma(p)^{(v_0)} \longrightarrow H/H \cap \Gamma_1^{(v_1)} = H_1/\Gamma_1^{(v_1)} := \widetilde{H}_1.$$

This gives  $d(\tilde{H}) \ge d(\tilde{H}_1)$ . So, we can apply the proposition 2 of n.3.1.3 to obtain the field extension  $K_2/K_1$  of relative degree p such that  $K_2 \subset K(p)^{H_1}$  and  $v(K_2/K_1) \ge 1$ . Because  $H_1 \supset H$  we can take  $K_{\alpha 0, n_1+1} = K_2$ .

3.2.3. By the above arguments, we can consider the fields tower  $K_{\alpha 0,n}$ ,  $n \ge 0$ , from n.3.2.1 such that the set  $\{n \in \mathbb{Z}_{\ge 0} \mid v(K_{\alpha 0,n+1}/K_{\alpha 0,n}) \ge 1\}$  is infinite. Clearly, the properties  $\psi_{K_{\alpha 0,n}/K}(v_0) \to +\infty$  and  $e^*(K_{\alpha 0,n}) \to +\infty$  for  $n \to \infty$  will imply the statement of our proposition.

We note first that if  $v_n^* := v(K_{\alpha 0,n+1}/K_{\alpha 0,n}) < 1$ , then  $v_n^* = -1$ , i.e.  $K_{\alpha 0,n+1}$  is unramified over  $K_{\alpha 0,n}$ . In this case we have  $\psi_{K_{\alpha 0,n+1}/K} = \psi_{K_{\alpha 0,n}/K}$  and  $e^*(K_{\alpha 0,n+1}) = e^*(K_{\alpha 0,n})$ . If  $v_n^* \ge 1$ , then by the composition property of the Herbrandt function we have

$$\psi_{K_{\alpha 0,n+1}/K}(x) = \begin{cases} \psi_{K_{\alpha 0,n}/K}(x), & \text{for } \psi_{K_{\alpha 0,n}/K}(x) < v_n^* \\ v_n^* + p(\psi_{K_{\alpha 0,n}/K}(x) - v_n^*), & \text{for } \psi_{K_{\alpha 0,n}/K}(x) \ge v_n^*. \end{cases}$$

and  $e^*(K_{\alpha 0,n+1}) = pe^*(K_{\alpha 0,n}).$ 

The property  $e^*(K_{\alpha 0,n}) \to +\infty$  for  $n \to \infty$ , is obvious.

For any  $n \in \mathbb{Z}_{\geq 0}$  by proposition 1 b) of n.3.1.1, we have

$$\varphi_{K_{\alpha 0,n}/K}(v_n^*) \leqslant v(K_{\alpha 0,n+1}/K) < v_0.$$

Therefore,  $v_n^* < \psi_{K_{\alpha 0,n}/K}(v_0)$ . So, if we set  $\psi_n = \psi_{K_{\alpha 0,n}/K}(v_0)$ , then

$$\psi_{n+1} = \begin{cases} \psi_n, & \text{if } v_n^* = -1 \\ v_n^* + p(\psi_n - v_n^*), & \text{if } v_n^* \ge 1. \end{cases}$$

Note that in the second case we have  $v_n^* \in \mathbb{N}$ ,  $v_n^* < \psi_n$  and  $\psi_{n+1} > \psi_n$ . Consider the strictly increasing sequence  $\{\psi_m^*\}_{m \in \mathbb{N}}$  of all elements of the set  $\{\psi_n \mid n \in \mathbb{Z}_{\geq 0}\}$ .

This sequence is infinite by the choice of the fields tower  $K_{\alpha 0,n}$ ,  $n \ge 0$  (cf. n.3.2.2), and it is sufficient to prove that  $\psi_m^* \to +\infty$  if  $m \to \infty$ .

For  $x \in \mathbb{R}$ , set  $\{\{x\}\} = \min\{x - n \mid n \in \mathbb{Z}, n < x\}$ .

For any  $m \in \mathbb{N}$ , we have

$$\psi_{m+1}^* - \psi_m^* = (p-1)(\psi_m^* - v_{n(m)}^*),$$

where  $n(m) \in \mathbb{Z}_{\geq 0}$  is such that  $\psi_m^* = \psi_{n(m)}$  and  $\psi_{m+1}^* = \psi_{n(m)+1}$ . Therefore,

$$\psi_{m+1}^* - \psi_m^* \ge (p-1)\{\{\psi_m^*\}\}$$

for any  $m \in \mathbb{N}$ . So,

$$\psi_m^* \ge (p-1) \sum_{1 \le n < m} \{\{\psi_n^*\}\} = (p-1) \sum_{1 \le n < m} \{\{p^{n-1}\psi_1^*\}\} \to +\infty,$$

when  $m \to \infty$ . The proposition is proved.

<sup>§</sup> 3.3. The fields  $K_{\alpha 1,n}$  and  $L_{\alpha 1,n}$ ,  $n \geq 0$ .

3.3.1. Consider the field  $K_{\alpha 1}$  given by proposition of n.3.2. Let

$$v_0' = \varphi_{K_{\alpha 1}/K}(\psi_{K_{\alpha 1}/K}(v_0) - 1)$$

and let

$$v_0^* = \max\left\{v_0', v(K_{\alpha}^{(v_0)}/K), v(K_{\alpha 1}/K)\right\}.$$

We note that  $v_0^* < v_0$  and  $\psi_{K_{\alpha 1}/K}(v_0^*) > C(\widetilde{H})$ .

**Proposition.** There exists a fields tower

$$K_{\alpha 1,0} := K_{\alpha 1} \subset K_{\alpha 1,1} \subset \cdots \subset K_{\alpha 1,n} \subset \cdots,$$

where for all  $n \in \mathbb{N}$  it holds

a)  $[K_{\alpha 1,n} : K_{\alpha 1,n-1}] = p$  and  $K_{\alpha 1,n} \subset K(p)^H;$ 

b) if  $v^{(n)} = v(K_{\alpha 1,n}/K_{\alpha 1,n-1})$  and  $A_n = \min\{\psi_{K_{\alpha 1,n-1}/K}(v_0^*), e^*(K_{\alpha 1,n-1})\},$ then  $v^{(n)} \in (A_n - C(\widetilde{H}), A_n).$ 

*Proof.* We use induction on n. Let  $n_0 \in \mathbb{N}$  and assume that such fields are constructed for  $0 \leq n < n_0$ . We note that for all  $0 < n \leq n_0$ , we have  $A_n \geq A_1 > C(\tilde{H})$  and therefore, the interval  $(A_n - C(\tilde{H}), A_n)$  contains at least  $d(\tilde{H}) + 1$  integers prime to p.

Set  $K_1 = K_{\alpha 1, n_0 - 1}$  and  $H_1 = H\Gamma_1^{(A_{n_0})}$ , where  $\Gamma_1 = \operatorname{Gal}(K(p)/K_1)$ . If  $k_1$  is the residue field of  $K_1$ ,  $v_1 = A_{n_0}$  and  $v'_1 = \left[A_{n_0} - C(\widetilde{H})\right] + 1$ , then  $v'_1 \ge 1$  and  $\dim_{\mathbf{F}_p} V(k_1, v'_1, v_1) > d(\widetilde{H})$ . As in n.3.2.2 we obtain now that  $v(K_1/K) < v_0$ ,  $\Gamma(p)^{(v_0)} = \Gamma_1^{(\psi_{K_1/K}(v_0))} \subset \Gamma_1^{(A_{n_0})}$  (because  $A_{n_0} \le \psi_{K_{\alpha 1, n_0 - 1}/K}(v_0)$ ), we have the natural group epimorphism

$$\widetilde{H} = H/\Gamma(p)^{(v_0)} \longrightarrow H/H \cap \Gamma_1^{(A_{n_0})} = H_1/\Gamma_1^{(A_{n_0})} := \widetilde{H}_1$$

and therefore,  $d(\widetilde{H}) \ge d(\widetilde{H}_1)$ .

So, the proposition 2 of n.3.1.3 gives the extension  $K_2/K_1$  such that  $[K_2:K_1] = p$ ,  $A_{n_0} > v(K_2/K_1) \ge v'_1 > A_{n_0} - C(\widetilde{H})$  and  $K_2 \subset K(p)^{H_1} \subset K(p)^H$ . Clearly, we can take  $K_2 = K_{\alpha 1, n_0}$ . The proposition is proved.

3.3.2. Consider the fields tower  $K_{\alpha 1,n}$ ,  $n \ge 0$ , from the above proposition. Set  $L_{\alpha 1,n} = K_{\alpha}^{(v_0)} K_{\alpha 1,n}$  for all  $n \in \mathbb{Z}_{\ge 0}$ . Because  $K(p)^H$  and  $K_{\alpha}^{(v_0)}$  are linearly disjoint over  $K_{\alpha}^H$ , we have for all  $n \ge 0$  the natural isomorphism

$$\widetilde{H}_{\alpha} = \operatorname{Gal}(K_{\alpha}^{(v_0)}/K_{\alpha}^H) \simeq \operatorname{Gal}(L_{\alpha 1,n}/K_{\alpha 1,n}).$$

**Proposition.** If  $v_0^*$  is the real number from n.3.3.1, then for all  $n \in \mathbb{Z}_{\geq 0}$ , we have  $v(L_{\alpha 1,n}/K) \leq v_0^*$ .

Proof. Because

$$v(L_{\alpha 1,n}/K) = \max\left\{v(K_{\alpha}^{(v_0)}/K), v(K_{\alpha 1,n}/K)\right\}$$

it is sufficient to prove that  $v(K_{\alpha 1,n}/K) \leq v_0^*$ .

We can assume by induction that  $v(K_{\alpha 1,n-1}/K) \leq v_0^*$  for some  $n \in \mathbb{N}$ . Then

$$v(K_{\alpha 1,n}/K) = \max\left\{v(K_{\alpha 1,n-1}/K), \varphi_{K_{\alpha 1,n-1}/K}(v^{(n)})\right\},$$

where  $v^{(n)} = v(K_{\alpha 1,n}/K_{\alpha 1,n-1}) < A_n \leq \psi_{K_{\alpha 1,n-1}/K}(v_0^*)$  and therefore,

$$\varphi_{K_{\alpha 1,n-1}/K}(v^{(n)}) < v_0^*.$$

The proposition is proved.

3.3.3. In the above notation we have the following proposition. **Proposition.** If  $v_n = v(L_{\alpha 1,n}/K_{\alpha 1,n})$  and  $e_n = e(K_{\alpha 1,n}/K_{\alpha}^H)$ , then

$$\lim_{n \to \infty} (v_n/e_n) = 0$$

*Proof.* By the proposition 2 of n.3.1.1 we have

$$v(L_{\alpha 1,n}/K_{\alpha 1,n}) \leqslant \psi_{K_{\alpha 1,n}/K_{\alpha 1,0}}(v(L_{\alpha 1,0}/K_{\alpha 1,0}))$$

and by proposition 1 b) of n. 3.1.1 it holds

$$\varphi_{K_{\alpha 1,0}/K}(v(L_{\alpha 1,0}/K_{\alpha 1,0})) \leqslant v(L_{\alpha 1,0}/K) \leqslant v_0^*.$$

Therefore,  $v(L_{\alpha 1,n}/K_{\alpha 1,n}) \leq \psi_n := \psi_{K_{\alpha 1,n}/K}(v_0^*)$  and it is sufficient to prove that  $\lim_{n\to\infty}(\psi_n/e_n) = 0.$ 

Prove first that there exists  $n_0 \in \mathbb{Z}_{\geq 0}$  such that  $\psi_{n_0} < e^*(K_{\alpha 1, n_0})$ .

If such  $n_0$  does not exist we have for all  $n \in \mathbb{Z}_{\geq 0}$ , that  $\psi_n \geq e^*(K_{\alpha 1,n}) =$  $p^{n}e^{*}(K_{\alpha 1,0})$  and  $A_{n+1} = e^{*}(K_{\alpha 1,n})$ . Therefore,

$$\psi_{n+1} = \psi_{K_{\alpha 1, n+1}/K_{\alpha 1, n}}(\psi_n) = v^{(n+1)} + p(\psi_n - v^{(n+1)}),$$

because  $\psi_n \ge e^*(K_{\alpha 1,n})$  and  $v^{(n+1)} < A_{n+1}$ . Using the inequalities  $v^{(n+1)} > v^{(n+1)}$  $A_{n+1} - C(\widetilde{H})$  we obtain for any  $n \in \mathbb{Z}_{\geq 0}$ , that

$$\psi_{n+1} \leq p^{n+1}\psi_0 - (p^{n+1} - p^n)(e^*(K_{\alpha 1,0}) - C(\widetilde{H})) - \dots - (p-1)(e^*(K_{\alpha 1,n}) - C(\widetilde{H})) = p^{n+1}\left(\psi_0 + C(\widetilde{H})\left(1 - p^{-n-1}\right) - (n+1)e^*(K_{\alpha 1,0})(1 - p^{-1})\right).$$

This gives the contradiction, because the right-hand side of the above equality tends to  $-\infty$ , if  $n \to \infty$ . Let  $n_0 \in \mathbb{Z}_{\geq 0}$  be such that  $\psi_{n_0} < e^*(K_{\alpha 1, n_0})$ . Then for any  $n \geq n_0$ , we have also  $\psi_n < e^*(K_{\alpha 1, n})$ , because for any n, it holds

$$\psi_{n+1} = \max{\{\psi_n, v^{(n+1)} + p(\psi_n - v^{(n+1)})\}} \leq p\psi_n.$$

Prove that for any  $n \ge n_0$ ,

(1) 
$$\psi_{n+1} - \psi_n < (p-1)C(\tilde{H}).$$

Indeed, if  $\psi_n \leq v^{(n+1)}$ , then

$$\psi_{n+1} = \psi_{K_{\alpha 1, n+1}/K_{\alpha 1, n}}(\psi_n) = \psi_n$$

and the inequality (1) holds. If  $\psi_n > v^{(n+1)}$ , then

$$\psi_{n+1} = v^{(n+1)} + p(\psi_n - v^{(n+1)}).$$

But  $\psi_n = A_{n+1}, v^{(n+1)} > A_{n+1} - C(\widetilde{H})$  and

$$\psi_{n+1} - \psi_n = (p-1)\left(\psi_n - v^{(n+1)}\right) < (p-1)C(\widetilde{H}).$$

The inequality (1) is proved.

Therefore, for any  $n \ge n_0$ , we have

$$\psi_{n_0} \leqslant \psi_n < (p-1)C(H)(n-n_0) + \psi_{n_0},$$

and because  $e_n = p^{n-n_0} e_{n_0}$ , this implies obviously that  $\psi_n/e_n \to 0$ , if  $n \to \infty$ . The proposition is proved.

3.4. The fields  $K_{\alpha 2,n}$  and  $L_{\alpha 2,n}$ ,  $n \geq 0$ .

**Proposition 1.** There exists a tower of finite extensions of the field  $K_{\alpha}^{H}$  in  $K(p)^{H}$  of relative degree p

$$K_{\alpha 2,0} \subset K_{\alpha 2,1} \subset \cdots \subset K_{\alpha 2,n} \subset \cdots$$

such that if for  $n \ge 0$  we set  $L_{\alpha 2,n} = K_{\alpha 2,n} K_{\alpha}^{(v_0)}$ ,  $v_{\alpha 2,n} = v(L_{\alpha 2,n}/K)$ ,  $v_{\alpha,n} = v(L_{\alpha 2,n}/K)$ ,  $v^{(n+1)} = v(K_{\alpha 2,n+1}/K_{\alpha 2,n})$ , and  $e_{\alpha 2,n} = e(K_{\alpha 2,n}/K)$ , then

(1) 
$$v_{\alpha 2,n} + \frac{p}{(p-1)e_{\alpha 2,n}} \left( \frac{v_{\alpha,n}}{p-1} + C(\widetilde{H}) + 1 \right) < v_0;$$

(2) 
$$\frac{v_{\alpha,n}}{e_{\alpha 2,0}} + \frac{(p-1)(C(\tilde{H})+1)}{pe_{\alpha 2,0}} \leqslant 1;$$

(3) 
$$v^{(n+1)} > pv_{\alpha,n}/(p-1).$$

*Proof.* Consider the fields tower  $K_{\alpha 1,n}$ ,  $n \ge 0$ , from n.3.3.1. The propositions of nn. 3.3.2 and 3.3.3 imply the existence of a sufficiently large  $N_1 \in \mathbb{N}$  such that if  $K_{\alpha 2,0} := K_{\alpha 1,N_1}$  then the properties (1) and (2) hold for n = 0.

We use induction on  $n \ge 0$ . Assume that the fields  $K_{\alpha 2,n}$  are constructed for all  $n \le N$ , where  $N \in \mathbb{Z}, N \ge 0$ .

## Lemma.

$$\varphi_{K_{\alpha 2,N}/K}\left(\frac{pv_{\alpha,N}}{p-1}+C(\widetilde{H})+1\right)+\frac{1}{(p-1)e_{\alpha 2,N}}\left(\frac{v_{\alpha,N}}{p-1}+C(\widetilde{H})+1\right)< v_0.$$

*Proof.* Let  $x = \max\{x(K_{\alpha 2,N}/K), v_{\alpha,N}\}$ , then  $\varphi_{K_{\alpha 2,N}/K}(x) = v_{\alpha 2,N}$ , cf. proposition 1 b) of n.3.1.1.

If  $pv_{\alpha,N}^{-}/(p-1) + C(\widetilde{H}) + 1 \leq x$ , then

$$\varphi_{K_{\alpha 2,N}/K}\left(pv_{\alpha,N}/(p-1)+C(\widetilde{H})+1\right) \leq \varphi_{K_{\alpha 2,N}/K}(x) = v_{\alpha 2,N}.$$

If  $pv_{\alpha,N}/(p-1) + C(\widetilde{H}) + 1 > x$ , then

$$\varphi_{K_{\alpha^2,N}/K}\left(\frac{pv_{\alpha,N}}{p-1} + C(\widetilde{H}) + 1\right) = \varphi_{K_{\alpha^2,N}/K}(x) + \frac{pv_{\alpha,N}/(p-1) + C(\widetilde{H}) + 1 - x}{e_{\alpha^2,N}}$$

(we use that if  $x > x(K_{\alpha_{2,N}}/K)$ , then  $\varphi'_{K_{\alpha_{2,N}}/K}(x) = e_{\alpha_{2,N}}^{-1}$ )

$$\leq v_{\alpha 2,N} + \frac{1}{e_{\alpha 2,N}} \left( \frac{v_{\alpha,N}}{p-1} + C(\widetilde{H}) + 1 \right)$$

(this follows from the inequality  $x \ge v_{\alpha,N}$ ).

In the both cases the inequality of our lemma is implied now by the property (1) for n = N.

The lemma is proved.

Set  $K_{\alpha 2,N} = K_1$ ,  $\Gamma_1 = \text{Gal}(K(p)/K_1)$  and  $v_1 = pv_{\alpha,N}/(p-1) + C(\widetilde{H}) + 1$ .

We note that the property (2) for n = N gives that  $v_1 \leq e^*(K_{\alpha 2,0}) \leq e^*(K_{\alpha 2,N})$ . Because by the property (1) it holds  $v(K_1/K) = v_{\alpha 2,N} < v_0$ , we can apply the proposition 1 c) of n. 3.1.1 and the inequality  $\varphi_{K_1/K}(v_1) < v_0$  (cf. the above lemma) to obtain

$$\Gamma(p)^{(v_0)} = \Gamma_1^{(\psi_{K_1/K}(v_0))} \subset \Gamma_1^{(v_1)}.$$

Therefore, if  $H_1 = H\Gamma_1^{(v_1)}$ , then we have the natural group epimorphism

$$\widetilde{H} = H/\Gamma(p)^{(v_0)} \longrightarrow H/H \cap \Gamma_1^{(v_1)} = H_1/\Gamma_1^{(v_1)} := \widetilde{H}_1,$$

and therefore,  $d(\widetilde{H}_1) \leq d(\widetilde{H})$ .

Now we can apply the proposition 2 of n.3.1.3 with the above chosen  $K_1$ ,  $v_1$ ,  $H_1$  and  $v'_1 = pv_{\alpha,N}/(p-1) + 1$  to obtain the extension  $K_2$  of degree p over  $K_1$  such that  $K_2 \subset K(p)^{H_1} \subset K(p)^H$  and  $v(K_2/K_1) \ge pv_{\alpha,N}/(p-1) + 1$ . If we set  $K_2 = K_{\alpha 2,N+1}$ , then the property (3) is satisfied for n = N.

<sup>\*</sup> By the proposition 2 of n.3.1.1 we have the inequality  $v_{\alpha,N+1} \leq \psi_{K_2/K_1}(v_{\alpha,N})$ . But  $\psi_{K_2/K_1}(v_{\alpha,N}) = v_{\alpha,N}$ , because  $v_{\alpha,N} < pv_{\alpha,N}/(p-1) + 1 \leq v(K_2/K_1)$ . Therefore,  $v_{\alpha,N+1} \leq v_{\alpha,N}$  and the property (2) holds for n = N + 1.

Because  $v(K_2/K_1) = v^{(N+1)} \ge pv_{\alpha,N}/(p-1)+1 \ge 1$ , we have  $e_{\alpha 2,N+1} = pe_{\alpha 2,N}$ . By the above construction of the field  $K_{\alpha 2,N+1}$  and the property 1 b) of n.3.1.1, we have

$$v_{\alpha 2,N+1} = \max\{v_{\alpha 2,N}, \varphi_{K_{\alpha 2,N}/K}(v^{(N+1)})\}$$

Therefore, the property (1) for n = N + 1 follows from the inequality of the above lemma.

The proposition is proved.

We use the above construction to obtain the following proposition.

**Proposition 2.** For any  $\alpha \in A_1$ , there exists a commutative diagram in the category of finite extensions of the field K:

such that for any  $n \in \mathbb{Z}_{\geq 0}$  it holds:

a)  $K_{\alpha^2,n} \subset K(p)^H$ ,  $L_{\alpha^2,n} = K_{\alpha}^{(v_0)} K_{\alpha^2,n}$  and the natural map

$$\operatorname{Gal}(L_{\alpha 2,n}/K_{\alpha 2,n}) \longrightarrow \widetilde{H}_{\alpha} = \operatorname{Gal}(K_{\alpha}^{(v_0)}/K_{\alpha}^H)$$

is an isomorphism;

b)  $[K_{\alpha 2,n+1}: K_{\alpha 2,n}] = p$  and  $v^{(n+1)} := v(K_{\alpha 2,n+1}/K_{\alpha 2,n}) > pv_{\alpha,n}/(p-1)$ , where  $v_{\alpha,n} = v(L_{\alpha 2,n}/K_{\alpha 2,n});$ c)  $v(L_{\alpha 2,n}/K_{\alpha 2,0}) < e^*(K_{\alpha 2,0});$ d)  $v^{(n+1)} \leq v^{(N^*)}$  for some  $N^* \in \mathbb{N}$ , and  $\varphi_{K_{\alpha 2,N^*-1}/K}(v^{(N^*)}) < v_0.$ 

*Proof.* Consider the fields tower  $K_{\alpha 2,n}$ ,  $n \ge 0$ , from the above proposition 1. Obviously, the statements a) and b) hold for this tower.

For any  $n \in \mathbb{N}$ , we have

$$v^{(n)} = v(K_{\alpha 2,n}/K_{\alpha 2,n-1}) < pv_{\alpha,n}/(p-1) + C(\widetilde{H}) + 1 \leq pe_{\alpha 2,0}/(p-1) \leq e^*(K_{\alpha 2,0}).$$

Applying the proposition 1 b) of n.3.1.1 we obtain that

$$v(K_{\alpha 2,n}/K_{\alpha 2,0}) \leq \max\left\{v^{(m)} \mid 1 \leq m \leq n\right\} < e^*(K_{\alpha 2,0}).$$

Because  $v(L_{\alpha 2,0}/K_{\alpha 2,0}) = v_{\alpha,0} < pv_{\alpha,0}/(p-1) + C(\widetilde{H}) + 1 < e^*(K_{\alpha 2,0})$  and  $L_{\alpha 2,n} = L_{\alpha 2,0}K_{\alpha 2,n}$  we obtain the statement c).

Because all  $v^{(n)}$  belong to N and are less than  $pe_{\alpha 2,0}/(p-1)$ , the set  $\{v^{(n)} | n \in \mathbb{N}\}$  has the maximal element  $v^{(N^*)}$ , where  $N^* \in \mathbb{N}$ . By the proposition 1 b) of n.3.1.1 we have also

$$\varphi_{K_{\alpha 2,N^{*}-1}/K}(v^{(N^{*})}) \leq v(K_{\alpha 2,N^{*}}/K) \leq v_{\alpha 2,N^{*}} < v_{0}.$$

The proposition is proved.

3.5. In this section we prove an auxiliary proposition in the case char K = p.

As earlier,  $K \subset K_1 \subset L_1$  is a tower of finite extensions in K(p) and  $v_1 = v(L_1/K_1) \ge 1$ . Consider an extension  $K_2$  of degree p over  $K_1$  in K(p) such that  $v^* = v(K_2/K_1) > pv_1/(p-1)$ .

Obviously,  $K_2$  and  $L_1$  are linearly disjoint over  $K_1$ . Therefore, if  $L_2 = L_1 K_2$  then  $[L_2 : K_2] = [L_1 : K_1]$ . In fact, we have the following more strong statement:

**Proposition.** With the above notation and assumptions there exist field isomorphisms  $f: K_1 \longrightarrow K_2$  and  $g: L_1 \longrightarrow L_2$  such that  $g|_{K_1} = f$ .

*Proof.* If E is one of the fields  $K_1, K_2, L_1, L_2$ , denote by  $O_E$  its valuation ring.

**Lemma 1.** There exist uniformizing elements  $t_1$  in  $K_1$  and  $t_2$  in  $K_2$  such that

$$t_1 \equiv t_2^p \operatorname{mod} t_2^{p+v^*(p-1)} O_{K_2}$$

Proof of lemma. If  $k_1$  is the residue field of  $K_1$  and t is its uniformiser, then  $K_1 = k_1((t))$ . By the Artin-Schreier theory,  $K_2 = K_1(T)$ , where  $T^p - T = a \in K_1$  and  $a = \alpha t^{-v^*} + (\text{higher terms}), \alpha \in k_1^*$ .

Set  $\alpha_1 = \sigma^{-1}(\alpha^{-1})$  (where  $\sigma$  is Frobenius) and  $\alpha_1 T = T_1$ , then

(\*) 
$$T_1^p(1-\alpha_1^{\sigma-1}T_1^{1-p}) = t^{-v^*}\varepsilon,$$

where  $\varepsilon \in k_1[[t]]$  is a principal unit.

Clearly, there exists a uniformizer  $t_0$  of  $K_1$  such that  $t^{-v^*}\varepsilon = t_0^{-v^*}$ . Now the relation (\*) implies that  $T_1 = t_2^{-v^*}$ , where  $t_2$  is a uniformizer of  $K_2$ , and can be rewritten in the following form

$$t_2^p \left( 1 - \alpha_1^{\sigma - 1} t_2^{v^*(p-1)} \right)^{-1/v^*} = \beta t_0,$$

where  $\beta \in k_1$  is such that  $\beta^{v^*} = 1$ . This gives  $t_2^p \equiv t_1 \mod t_2^{p+v^*(p-1)}$ , where  $t_1 = \beta t_0$  is a uniformizer of  $K_1$ . The lemma is proved.

Let

$$P = P(X) = X^{r} + \sum_{1 \le i \le r} a_{i} X^{r-i} \in O_{K_{1}}[X]$$

be the characteristic polynomial of some generator of the valuation ring  $O_{L_1}$  of the field  $L_1$  over the valuation ring  $O_{K_1}$  of the field  $K_1$ .

The proof of the following statement can be found in [De].

**Lemma 2.** If  $y \in K_{sep}$  is such that  $v_{K_1}(P(y)) > 1 + v_1$ , then there exists  $\theta \in K_{sep}$  such that  $P(\theta) = 0$  and  $v_{K_1}(y - \theta) > v_{K_1}(y - \theta')$ , where  $\theta' \in K_{sep}, \theta' \neq \theta$ , and  $P(\theta') = 0$ .

Remark. If  $\tau \in \text{Gal}(K_{\text{sep}}/K_1) \subset \Gamma$  and  $\tau y = y$ , then  $\tau \theta = \theta$ . Therefore,  $\theta \in K_1(y)$ . We use uniformizing elements  $t_1$  and  $t_2$  from the lemma 1 for identifications  $K_1 = k_1((t_1))$  and  $K_2 = k_1((t_2))$  and define the isomorphism  $f : K_1 \longrightarrow K_2$  by the following conditions:  $f(t_1) = t_2$  and  $f|_{k_1} = \sigma^{-1}$ , where  $\sigma$  is Frobenius.

\* Consider the extension  $\overline{L}_2$  of  $K_2$  in K(p) generated by some root  $\theta_2$  of the polynomial

$$f_*P = X^r + \sum_{1 \le i \le r} f(a_i) X^{r-i} \in O_{K_2}[X].$$

If  $\theta_2$  is a root of  $f_*P$  in K(p), then

$$P(\theta_2^p) = P(\theta_2^p) - \sigma\left((f_*P)(\theta_2)\right) = \sum_{1 \le i \le r} \left(a_i(t_1) - a_i(t_2^p)\right) \theta_2^{p(r-i)}$$

and by lemma 1

$$v_{K_1}(P(\theta_2^p)) \ge \frac{1}{p}(p + v^*(p-1)) > 1 + v_1.$$

Now lemma 2 gives the existence of  $\theta \in K_1(\theta_2^p) \subset \widetilde{L}_2$  such that  $P(\theta) = 0$ . Therefore,  $L_1 \subset \widetilde{L}_2$ ,  $L_1K_2 = \widetilde{L}_2$  and the correspondence  $\theta \mapsto \theta_2$  gives the extension of f to the isomorphism  $g: L_1 \longrightarrow \widetilde{L}_2 = L_2$ .

The proposition is proved.

3.6. The fields  $\mathcal{K}_{\alpha n}$  and  $\mathcal{L}_{\alpha n}$ ,  $n \geq 0$ .

Assume first that  $\operatorname{char} K = 0$ .

In this case one can apply considerations of n.3.3 to construct for the field  $K_{\alpha 2,0}$ its infinite extension  $\widetilde{K}_{\alpha 2,0}$  in  $K_{\text{sep}}$ , consider the complete discrete valuation field  $\mathcal{K}_{\alpha 0} = \mathcal{X}_{K_{\alpha 2,0}}(\widetilde{K}_{\alpha 2,0})$  of characteristic p, and the equivalence  $\widetilde{\mathcal{X}}_0 := \widetilde{\mathcal{X}}_{\widetilde{K}_{\alpha 2,0}}$  of the category of algebraic extensions  $L/K_{\alpha 2,0}$  such that  $v(L/K_{\alpha 2,0}) < e^*(K_{\alpha 2,0})$  and the category of separable extensions  $\mathcal{L}/\mathcal{K}_{\alpha 2,0}$  such that  $v(\mathcal{L}/\mathcal{K}_{\alpha 0}) < e^*(K_{\alpha 2,0})$ .

If  $\mathcal{X}_0(K_{\alpha 2,n}) = \mathcal{K}_{\alpha n}$  and  $\mathcal{X}_0(L_{\alpha 2,n}) = \mathcal{L}_{\alpha n}$  for  $n \geq 0$ , then we obtain the following commutative diagram of complete discrete valuation fields of characteristic p and their embeddings:

such that for any  $n \geq 0$ ,  $\mathcal{L}_{\alpha n} = \mathcal{L}_{\alpha 0} \mathcal{K}_{\alpha n}$ . Note that the functor  $\mathcal{X}_0$  induces the identifications

$$\operatorname{Gal}(\mathcal{L}_{\alpha n}/\mathcal{K}_{\alpha n}) = \operatorname{Gal}(L_{\alpha 2,n}/K_{\alpha 2,n}).$$

Because the equivalence  $\widetilde{\mathcal{X}}_0$  is compatible with ramification filtrations we have also for  $n \geq 1$ , that

$$v(\mathcal{K}_{\alpha,n}/\mathcal{K}_{\alpha,n-1}) = v^{(n)} > pv_{\alpha,n-1}/(p-1),$$

where  $v_{\alpha,n-1} = v(\mathcal{L}_{\alpha,n-1}/\mathcal{K}_{\alpha,n-1}).$ 

If the case char K = p we have the same result by setting  $\mathcal{K}_{\alpha n} = K_{\alpha 2,n}$  and  $\mathcal{L}_{\alpha n} = L_{\alpha 2, n}$  for all  $n \geq 0$ .

With the above notation we obtain from the above proposition of n.3.5 the following proposition.

**Proposition.** For  $n \geq 0$  there exist field isomorphisms  $i_n : \mathcal{K}_{\alpha 0} \longrightarrow \mathcal{K}_{\alpha n}$  and  $j_{\overline{n}} : \mathcal{L}_{\alpha 0} \longrightarrow \mathcal{L}_{\alpha n}$  such that  $j_n|_{\mathcal{K}_{\alpha 0}} = i_n$ , i.e.  $j_n$  is a prolongation of  $i_n$ .

3.7. Because the Galois group of a maximal p-extension of the field  $\mathcal{K}_{\alpha 0}$  is pro*p*-free and  $d(\mathcal{G}_{\beta}) = d(\widetilde{H}_{\alpha})$  (indeed,  $d(\widetilde{H}) = d(\mathcal{G}) \ge d(\mathcal{G}_{\beta}) \ge d(\widetilde{H}_{\alpha}) = d(\widetilde{H})$ ), there exists a Galois extension  $\mathcal{F}_{\beta\alpha0}$  of  $\mathcal{K}_{\alpha0}$  such that  $\mathcal{F}_{\beta\alpha0} \supset \mathcal{L}_{\alpha0}$  and there exists a group isomorphism  $\tilde{g}_{\beta\alpha0}$  such that the following diagram is commutative:

$$\begin{array}{ccc} \mathcal{G}_{\beta} & \xrightarrow{\tilde{g}_{\beta \alpha 0}} & \operatorname{Gal}(\mathcal{F}_{\beta \alpha 0}/\mathcal{K}_{\alpha 0}) \\ \\ (*_{0}) & & \downarrow & & \downarrow \\ & & & \downarrow \\ & & & \tilde{f}_{\alpha 0} & & \operatorname{Gal}(\mathcal{L}_{\alpha 0}/\mathcal{K}_{\alpha 0}) \end{array}$$

where  $\tilde{f}_{\alpha 0}$  is induced by identifications

$$\widetilde{H}_{\alpha} \longrightarrow \operatorname{Gal}(L_{\alpha 2,0}/K_{\alpha 2,0}) \longrightarrow \operatorname{Gal}(\mathcal{L}_{\alpha 0}/\mathcal{K}_{\alpha 0})$$

and the right vertical arrow is the natural projection.

If  $n \geq 0$ , consider a prolongation of the isomorphism  $j_n : \mathcal{L}_{\alpha 0} \longrightarrow \mathcal{L}_{\alpha n}$  from n.3.6 to an isomorphism of separable closures

$$\overline{j}_n: \widetilde{\mathcal{X}}_0(\overline{K}) = \mathcal{K}_{\alpha 0, \mathrm{sep}} \longrightarrow \mathcal{K}_{\alpha n, \mathrm{sep}} = \mathcal{K}_{\alpha 0, \mathrm{sep}},$$

and let  $\mathcal{F}_{\beta\alpha n} = \overline{j}_n(\mathcal{F}_{\beta\alpha 0})$ . Then  $\mathcal{F}_{\beta\alpha n} \supset \mathcal{L}_{\alpha n} \supset \mathcal{K}_{\alpha n}$  and  $v(\mathcal{F}_{\beta\alpha n}/\mathcal{K}_{\alpha n}) = v(\mathcal{F}_{\beta\alpha 0}/\mathcal{K}_{\alpha 0}) = v_{\beta\alpha 0}$  does not depend on n.

By the use of the above prolongations  $\bar{j}_n$ ,  $n \ge 0$ , we obtain the following commutative diagrams:

$$(*_n) \qquad \begin{array}{c} \mathcal{G}_{\beta} \xrightarrow{\tilde{g}_{\beta\alpha n}} \operatorname{Gal}(\mathcal{F}_{\beta\alpha n}/\mathcal{K}_{\alpha n}) \\ \\ j_{\beta\alpha} \downarrow \qquad \qquad \qquad \downarrow \\ \\ \widetilde{H}_{\alpha} \xrightarrow{\tilde{f}_{\alpha n}} \operatorname{Gal}(\mathcal{L}_{\alpha n}/\mathcal{K}_{\alpha n}) \end{array}$$

where the right vertical arrow is the natural projection,  $\tilde{g}_{\beta\alpha n}$  and  $f_{\alpha n}$  are group isomorphisms.

**Lemma.** There exists  $N_1 \ge 0$  such that for  $n \ge N_1$ , we have

$$v(\mathcal{F}_{\beta\alpha n}/\mathcal{K}_{\alpha 0}) < e^*(K_{\alpha 2,0}).$$

*Proof.* If  $n \geq 0$ , then

$$v(\mathcal{F}_{\beta\alpha n}/\mathcal{K}_{\alpha 0}) = \max\left\{v(\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha 0}), \varphi_{\mathcal{K}_{\alpha n}}/\mathcal{K}_{\alpha 0}(v_{\beta\alpha 0})\right\}.$$

Clearly (cf. proposition 2 of n.3.4),

$$v(\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha 0}) = v(K_{\alpha 2,n}/K_{\alpha 2,0}) \leqslant v(L_{\alpha 2,n}/K_{\alpha 2,0}) < e^*(K_{\alpha 2,0}).$$

For all  $n \in \mathbb{N}$ , the natural number  $N^* \in \mathbb{N}$  from n.3.4 and any  $x \ge 0$ , we have

$$\varphi_{\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha,n-1}}(x) \leqslant \varphi^*(x),$$

where

$$\varphi^*(x) = \varphi_{\mathcal{K}_{\alpha,N^*}/\mathcal{K}_{\alpha,N^{*-1}}}(x) = \begin{cases} x, & \text{for } 0 \leq x \leq v^{(N^*)} \\ v^{(N^*)} + (x - v^{(N^*)})/p, & \text{for } x > v^{(N^*)}. \end{cases}$$

By the composition property, we have  $\varphi_{\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha,0}}(v_{\beta\alpha 0}) \leq \varphi^{*(n)}(v_{\beta\alpha 0})$ , where  $\varphi^{*(n)}$ 

- is the *n*-th iteration of the function  $\varphi^*$ . It is easy to see that: 1) if  $v_{\beta\alpha0} \leq v^{(N^*)}$ , then  $\varphi^{*(n)}(v_{\beta\alpha0}) \leq v^{(N^*)}$ ; 2) if  $v_{\beta\alpha0} > v^{(N^*)}$ , then  $\varphi^{*(n)}(v_{\beta\alpha0}) \xrightarrow[n \to \infty]{} v^{(N^*)}$ .

Because  $v^{(N^*)} < e^*(K_{\alpha 2,0})$  (cf. the beginning of the proof of proposition 2 of n.3.4), the above properties 1) and 2) imply the existence of  $N_1 \in \mathbb{N}$  such that

$$\varphi^{*(n)}(v_{\beta\alpha 0}) < e^*(K_{\alpha 2,0})$$

for all  $n \ge N_1$ . Clearly, this gives the statement of our lemma.

3.8. If char K = 0, then by the lemma of n.3.7, we can apply the inverse equivalence  $\widetilde{\mathcal{X}}_0^{-1}$  to obtain the following commutative diagrams for all  $n \geq N_1$  from the above diagrams  $(*_n)$  of n.3.7:

where  $\widetilde{\mathcal{X}}_0(F_{\beta\alpha n}) = \mathcal{F}_{\beta\alpha n}$ ,  $f_{\alpha n}$  is the natural identification,  $g_{\beta\alpha n}$  is a group isomorphism, and the vertical arrow is the natural projection.

The same result holds also in the characteristic p case, if we take identical functor instead of  $\widetilde{\mathcal{X}}_0^{-1}$ .

**Lemma.** There exists  $N_2 \ge N_1$  such that for all  $n \ge N_2$ , we have

$$v(F_{\beta\alpha n}/K) < v_0.$$

*Proof.* Let  $N_1^* = \max\{N_1, N^* - 1\}$ . If  $n \ge N_1^*$ , then

$$v(F_{\beta\alpha n}/K) = \max\left\{v(K_{\alpha 2,N_1^*}/K), \varphi_{K_{\alpha 2,N_1^*}/K}\left(v(F_{\beta\alpha n}/K_{\alpha 2,N_1^*})\right)\right\}.$$

We know that  $v(K_{\alpha 2, N_1^{\bullet}}/K) < v_0$ .

By proposition 1 b) of n.3.1.1, we have

$$\underbrace{v(F_{\beta\alpha n}/K_{\alpha 2,N_{1}^{\bullet}}) = v(\mathcal{F}_{\beta\alpha n}/\mathcal{K}_{\alpha N_{1}^{\bullet}}) = \max\left\{v(\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha N_{1}^{\bullet}}), \varphi_{\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha N_{1}^{\bullet}}}(v_{\beta\alpha 0})\right\}.$$

Clearly, for all  $n \ge N_1^*$ , we have  $v(\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha N_1^*}) \le v^{(N^*)}$ . As in n.3.7, we obtain either

$$\varphi_{\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha N_{1}^{*}}}(v_{\beta \alpha 0}) \leqslant v^{(N^{*})}$$

for all  $n \ge N_1^*$ , or

ŧ

$$\varphi_{\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha N_{1}^{*}}}(v_{\beta \alpha 0}) \xrightarrow[n \to \infty]{} v^{(N^{*})}.$$

By the proposition 2 d) of n.3.4, we have  $v^{(N^*)} < \psi_{K_{\alpha 2,N^*-1}/K}(v_0)$  therefore, there exists  $N_2 \ge N_1^*$  such that for any  $n \ge N_2$  one has

$$\varphi_{\mathcal{K}_{\alpha n}/\mathcal{K}_{\alpha N_{1}^{*}}}(v_{\beta \alpha 0}) < \psi_{K_{\alpha 2,N^{*}-1}/K}(v_{0}).$$

Therefore, for  $n \ge N_2$  it holds

$$w(F_{\beta\alpha n}/K_{\alpha 2,N_1^*}) < \psi_{K_{\alpha 2,N^*-1}/K}(v_0)$$

 $\operatorname{and}$ 

$$\begin{split} \varphi_{K_{\alpha^{2},N_{1}^{*}}/K}(v(F_{\beta\alpha n}/K_{\alpha^{2},N_{1}^{*}})) &< \varphi_{K_{\alpha^{2},N_{1}^{*}}/K}\left(\psi_{K_{\alpha^{2},N^{*}-1}/K}(v_{0})\right) \leqslant \\ \varphi_{K_{\alpha^{2},N^{*}-1}/K}\left(\varphi_{K_{\alpha^{2},N^{*}-1}}\left(\psi_{K_{\alpha^{2},N^{*}-1}}\left(\psi_{K_{\alpha^{2},N^{*}-1}/K}(v_{0})\right)\right)\right) \leqslant \\ \varphi_{K_{\alpha^{2},N^{*}-1}/K}\left(\psi_{K_{\alpha^{2},N^{*}-1}/K}(v_{0})\right) = v_{0}. \end{split}$$

The lemma is proved.

3.9. Finally, we note that the statement of the main lemma is satisfied with  $E_{\beta\alpha} = K_{\alpha 2,N_2}, E'_{\beta\alpha} = L_{\alpha 2,N_2}, F_{\beta\alpha} = F_{\beta\alpha N_2}$  and the diagram  $(**_{N_2})$  of n.3.8. The main lemma is completely proved.

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