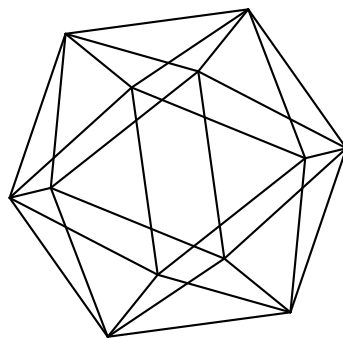


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DYNAMIC ALPHA-INVARIANTS OF DEL PEZZO SURFACES

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ABSTRACT. For every smooth del Pezzo surface S , smooth curve $C \in |-K_S|$ and $\beta \in (0, 1]$, we compute the α -invariant of Tian $\alpha(S, (1 - \beta)C)$ and prove the existence of Kähler–Einstein metrics on S with edge singularities along C of angle $2\pi\beta$ for β in certain interval. In particular we give lower bounds for the invariant $R(S, C)$, introduced by Donaldson as the supremum of all $\beta \in (0, 1]$ for which such a metric exists.

1. INTRODUCTION

Let X be a normal variety of dimension $n \geq 1$, and let Δ be an effective \mathbb{R} -divisor on X . Suppose that (X, Δ) has at most Kawamata log terminal singularities, and $-(K_X + \Delta)$ is ample. Then (X, Δ) is a log Fano variety. Its α -invariant can be defined as

$$\alpha(X, \Delta) = \sup \left\{ \lambda \in \mathbb{R} \mid \begin{array}{l} \text{the log pair } (X, \Delta + \lambda B) \text{ is log canonical} \\ \text{for any effective } \mathbb{R}\text{-divisor } B \sim_{\mathbb{R}} -(K_X + \Delta) \end{array} \right\} \in \mathbb{R}_{>0}.$$

Remark 1.1. For every effective \mathbb{R} -Cartier \mathbb{R} -divisor B on X , the number

$$\text{lct}(X, \Delta; B) = \sup \left\{ \lambda \in \mathbb{R} \mid \text{the log pair } (X, \Delta + \lambda B) \text{ is log canonical} \right\}$$

is called the *log canonical threshold* of B with respect to (X, Δ) . Note that

$$\alpha(X, \Delta) = \inf \left\{ \text{lct}(X, \Delta; B) \mid B \text{ is an effective } \mathbb{R}\text{-divisor such that } B \sim_{\mathbb{R}} -(K_X + \Delta) \right\}.$$

If $\Delta = 0$, we denote $\alpha(X, \Delta)$ by $\alpha(X)$. Tian introduced α -invariants of smooth Fano varieties in [19]. His definition coincides with ours by [5, Theorem A.3]. In [19], Tian also proved

Theorem 1.2 ([19, Theorem 2.1]). Let X be a smooth Fano variety of dimension n . If $\alpha(X) > \frac{n}{n+1}$, then X admits a Kähler–Einstein metric.

This theorem gives the initial motivation for the study of $\alpha(X, \Delta)$ in the case when $\Delta = 0$. In fact, $\alpha(X, \Delta)$ is also important if $\Delta \neq 0$. When X is smooth and $\text{Supp}(\Delta)$ is a smooth irreducible divisor, Theorem 1.2 has been generalized by Jeffres, Mazzeo and Rubinstein as follows

Theorem 1.3 ([11, Theorem 2, Lemma 6.13]). Let X be a smooth projective variety of dimension n , and let D be a smooth irreducible hypersurface in X . Let $\beta \in (0, 1]$ and suppose that the divisor $-(K_X + (1 - \beta)D)$ is ample. If $\alpha(X, (1 - \beta)D) > \frac{n}{n+1}$, then X admits a Kähler–Einstein metric with edge singularities of angle $2\pi\beta$ along D .

Song computed α -invariants of smooth toric Fano varieties in [18, Theorem 1.1]. The same approach can be used to obtain an explicit combinatorial formula for $\alpha(X, \Delta)$ in the case when X is toric and $\text{Supp}(\Delta)$ consists of torus-invariant divisors (cf. [5, Lemma 5.1]).

Example 1.4 ([6, Remark 6.7]). Let L_1, L_2 and L_3 be distinct lines on \mathbb{P}^2 such that $\bigcap_i L_i = \emptyset$, and let $(\beta_1, \beta_2, \beta_3)$ be any point in $(0, 1]^3$. Then

$$\alpha\left(\mathbb{P}^2, \sum_{i=1}^3 (1 - \beta_i)L_i\right) = \frac{\max(\beta_1, \beta_2, \beta_3)}{\beta_1 + \beta_2 + \beta_3}.$$

Throughout this paper, we assume that all considered varieties are projective and defined over \mathbb{C} .

For smooth del Pezzo surfaces, α -invariants have been explicitly computed in [2, Theorem 1.7] (see [7] and [16] for analytic approach, see [14] for a characteristic free approach). The proof of this theorem implies

Theorem 1.5. Let S be a smooth del Pezzo surface. Then

$$\alpha(S) = \inf \left\{ \text{lct}(S, 0; B) \mid B \in |-K_S| \text{ and } B = \sum B_i, \text{ where } B_i \cong \mathbb{P}^1 \text{ and } -K_S \cdot B_i \leq 3 \forall i \right\}.$$

To apply Theorem 1.3, the divisor $-(K_X + (1 - \beta)D)$ must be ample. A natural choice for the pair (X, D) considered by Donaldson in his approach to the Yau-Tian-Donaldson conjecture is to let X be a smooth Fano variety and let D be a smooth anticanonical divisor (see [9]).

Remark 1.6. Let X be a smooth Fano variety of dimension n , and let D be a smooth divisor in $|-K_X|$. By [17, Theorem 1.2], such divisor D always exists when $n \leq 3$, which is no longer true in general if $n \geq 4$ (see [10, Example 2.12]). One has $\alpha(X, (1 - \beta)D) = 1 > \frac{n}{n+1}$ for all positive $\beta \ll 1$ (see Theorem 1.10). In particular, X admits a Kähler-Einstein metric with edge singularities of angle $2\pi\beta$ along D for all positive $\beta \ll 1$ by Theorem 1.3.

A Kähler-Einstein metric with singularities along D of angle 2π is a Kähler-Einstein metric in the usual sense. So, it is natural to consider the following invariant introduced by Donaldson:

Definition 1.7 ([9]). Let X be a smooth Fano variety, and let D be a smooth divisor in $|-K_X|$. Then $R(X, D)$ is the supremum of all $\beta \in (0, 1]$ such that X admits a Kähler-Einstein metric with edge singularities along D of angle $2\pi\beta$.

It follows from [11] that the smooth Fano variety X admits a Kähler-Einstein metric with edge singularities of angle $2\pi\beta$ along D for every positive $\beta < R(X, D)$.

Corollary 1.8. Let X be a smooth Fano variety, and let D be a smooth divisor in $|-K_X|$. Suppose that X admits a Kähler-Einstein metric. Then $R(X, D) = 1$.

By Tian's theorem (see [20]), a smooth del Pezzo surface S admits a Kähler-Einstein metric if and only if $S \not\cong \mathbb{F}_1$ and $K_S^2 \neq 7$. Thus, we have

Corollary 1.9 ([20]). Let S be a smooth del Pezzo surface such that $S \not\cong \mathbb{F}_1$ and $K_S^2 \neq 7$, and let C be a smooth curve in $|-K_S|$. Then $R(S, C) = 1$.

Unless $R(X, D) = 1$, we do not know a single example for which the invariant $R(X, D)$ is known precisely (cf. [12, Theorem 1.7]). A lower bound for $R(X, D)$ can be found using

Theorem 1.10 ([1], [15, Corollary 5.5], [6, Proposition 6.10], [6, Remark 6.11]). Let X be a smooth Fano variety of dimension n , and let D be a smooth divisor in $|-K_X|$. Let

$$M = \begin{cases} 9 & \text{if } n = 2, \\ 64 & \text{if } n = 3, \\ 3^n(2^n - 1)^n(n + 1)^{n(n+2)(2^n-1)}(2n(n+1)(n+2)!)^{n-1} & \text{if } n \geq 4. \end{cases}$$

Then $1 \geq \alpha(X, (1 - \beta)D) \geq \min\{1, \frac{1}{M\beta}\}$ for every $\beta \in (0, 1]$.

Corollary 1.11. In the assumptions and notation of Theorem 1.10, one has $R(X, D) \geq \frac{n+1}{nM}$.

The purpose of this paper is to merge Theorem 1.5 with Theorem 1.10 by proving

Theorem 1.12. Let S be a smooth del Pezzo surface, let C be a smooth curve in $|-K_S|$, and let β be a real number in $(0, 1]$. Then

$$\alpha(S, (1 - \beta)C) = \inf \left\{ \text{lct}(S, (1 - \beta)C; \beta B) \mid \begin{array}{l} B \in |-K_S| \text{ such that } B = C \text{ or } B = \sum B_i, \\ \text{where } B_i \cong \mathbb{P}^1 \text{ and } -K_S \cdot B_i \leq 3 \forall i \end{array} \right\}.$$

We will prove Theorem 1.12 in Section 4. In Section 2, we will give very explicit formulas for the invariant $\alpha(S, (1 - \beta)C)$. Instead of presenting them here, let us consider their applications.

Corollary 1.13. Let S be a smooth del Pezzo surface, and let C be a smooth curve in $|-K_S|$. Then $\alpha(S, (1 - \beta)C)$ is a decreasing continuous piecewise smooth function for $\beta \in (0, 1]$.

Corollary 1.14. Let S_1 and S_2 be smooth del Pezzo surfaces, let C_1 and C_2 be smooth curves in $|-K_{S_1}|$ and $|-K_{S_2}|$, respectively. Suppose that there is a birational morphism $f: S_2 \rightarrow S_1$ such that $f(C_2) = C_1$. Then $\alpha(S_1, (1 - \beta)C_1) \leq \alpha(S_2, (1 - \beta)C_2)$ for every $\beta \in (0, 1]$ except the following cases:

- (1) $S_1 \cong \mathbb{P}^2$, $S_2 \cong \mathbb{F}_1$, and f is the blow up of an inflection point of the cubic curve $C_1 \subset \mathbb{P}^2$,
- (2) $S_1 \cong \mathbb{P}^1 \times \mathbb{P}^1$, $K_{S_2}^2 = 7$, and f is the blow up of a point in C_1 .

If S is a smooth del Pezzo surface such that either $S \cong \mathbb{F}_1$ or $K_S^2 = 7$, and C is a smooth curve in $|-K_S|$, then $R(S, C) \geq \frac{1}{6}$ by Corollary 1.11. We improve this bound:

Corollary 1.15. Suppose that $S \cong \mathbb{F}_1$. Let C be a smooth curve in $|-K_S|$. Then $R(S, C) \geq \frac{3}{10}$. Furthermore, if C is chosen to be *general* in $|-K_S|$, then $R(S, C) \geq \frac{3}{7}$.

Corollary 1.16. Let S be a smooth del Pezzo surface such that $K_S^2 = 7$, and let C be a smooth curve in $|-K_S|$. Then $R(S, C) \geq \frac{3}{7}$. Furthermore, if C does not pass through the intersection point of two intersecting (-1) -curves in S , then $R(S, C) \geq \frac{1}{2}$.

In [21, Theorem 1], Székelyhidi proved that $R(S, C) \leq \frac{4}{5}$ when $S = \mathbb{F}_1$, and $R(S, C) \leq \frac{7}{9}$ when $K_S^2 = 7$ and C passes through the intersection point of two intersecting (-1) -curves in S .

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2. EXPLICIT FORMULAS

Let S be a smooth del Pezzo surface. If $K_S^2 \geq 3$, then $-K_S$ is very ample. In this case, we will identify S with its anticanonical image, and we will call a curve $Z \subset S$ such that $Z \cdot (-K_S) = 1, 2, 3$ a line, conic, cubic, respectively. Let C be a smooth curve in $|-K_S|$, and let β be a positive real number in $(0, 1]$. Let

$$\check{\alpha}(S, (1 - \beta)C) = \inf \left\{ \text{lct}(S, (1 - \beta)C; \beta B) \left| \begin{array}{l} B \in |-K_S| \text{ such that } B = C \text{ or } B = \sum B_i, \\ \text{where } B_i \cong \mathbb{P}^1 \text{ and } -K_S \cdot B_i \leq 3 \forall i \end{array} \right. \right\}.$$

Then $\alpha(S, (1 - \beta)C) \leq \check{\alpha}(S, (1 - \beta)C)$. Theorem 1.12 states that $\alpha(S, (1 - \beta)C) = \check{\alpha}(S, (1 - \beta)C)$. In this section, we will define a number $\hat{\alpha}(S, (1 - \beta)C)$ such that $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$. In Section 4, we will prove that $\alpha(S, (1 - \beta)C) \geq \hat{\alpha}(S, (1 - \beta)C)$. The latter inequality implies Theorem 1.12, since $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C) \geq \alpha(S, (1 - \beta)C)$.

2.1. Projective plane. Suppose that $S \cong \mathbb{P}^2$. Then C is a smooth cubic curve on S . Let

$$\hat{\alpha}(S, (1 - \beta)C) = \min \left\{ 1, \frac{1 + 3\beta}{9\beta}, \frac{1}{3\beta} \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{6}, \\ \frac{1 + 3\beta}{9\beta} & \text{for } \frac{1}{6} \leq \beta \leq \frac{2}{3}, \\ \frac{1}{3\beta} & \text{for } \frac{2}{3} \leq \beta \leq 1. \end{cases}$$

Let P be an inflection point of the curve C , and let T be the line in \mathbb{P}^2 that is tangent to C at the point P . Then $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$, since

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{\text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; 3\beta T)\right\}.$$

2.2. Smooth quadric surface. Suppose that $S \cong \mathbb{P}^1 \times \mathbb{P}^1$. Let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1 + 2\beta}{6\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{4}, \\ \frac{1 + 2\beta}{6\beta} & \text{for } \frac{1}{4} \leq \beta \leq 1. \end{cases}$$

Let T be a divisor of bi-degree $(1, 1)$ on S that is a union of two fibers of each projection from S to \mathbb{P}^1 . Suppose in addition that one component of T is tangent to C at some point, and another component of T passes through this point. Then $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$, since

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{\text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; 2\beta T)\right\}.$$

2.3. First Hirzebruch surface. Suppose that $S \cong \mathbb{F}_1$. Let Z be the unique (-1) -curve in S , and let F be the fiber of the natural projection $S \rightarrow \mathbb{P}^1$ that passes through the point $C \cap Z$. Then $C \sim 2Z + 3F$. If F is tangent to C at the point $C \cap Z$, let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1 + 2\beta}{8\beta}, \frac{1}{3\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{6}, \\ \frac{1 + 2\beta}{8\beta} & \text{for } \frac{1}{6} \leq \beta \leq \frac{5}{6}, \\ \frac{1}{3\beta} & \text{for } \frac{5}{6} \leq \beta \leq 1. \end{cases}$$

If F is not tangent to C at the point $C \cap Z$, let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1 + \beta}{5\beta}, \frac{1}{3\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{4}, \\ \frac{1 + \beta}{5\beta} & \text{for } \frac{1}{4} \leq \beta \leq \frac{2}{3}, \\ \frac{1}{3\beta} & \text{for } \frac{2}{3} \leq \beta \leq 1. \end{cases}$$

In both cases, we have $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$, because

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{\text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta(2Z + 3F))\right\}.$$

2.4. Blow up of \mathbb{P}^2 at two points. Suppose that $K_S^2 = 7$. Then there exists a birational morphism $\pi: S \rightarrow \mathbb{P}^2$ that is the blow up of two distinct points in \mathbb{P}^2 . Denote by E_1 and E_2 two π -exceptional curves, and denote by L the proper transform of the line in \mathbb{P}^2 that passes through $\pi(E_1)$ and $\pi(E_2)$. Then E_1 , E_2 , and L are all (-1) -curves in S .

The pencil $|E_2 + L|$ contains a unique curve that passes through $C \cap E_1$. Similarly, $|E_1 + L|$ contains a unique curve that passes through $C \cap E_2$. Denote these curves by L_1 and L_2 , respectively. Then L_1 is irreducible and smooth unless $L_1 = E_2 + L$ (in this case $E_1 \cap L \in C$). Similarly, the curve L_2 is irreducible and smooth unless $L_2 = E_1 + L$ and $L \cap E_2 \in C$.

If C does not contain the points $E_1 \cap L$ nor $E_2 \cap L$, then there exists a unique smooth irreducible curve $R \in |E_1 + E_2 + L|$ such that R passes through $C \cap L$ and is tangent to C at the point $C \cap L$. If either $E_1 \cap L \in C$ or $E_2 \cap L \in C$, we let $R = E_1 + E_2 + L$. In the former case, either R and C have simple tangency at the point $C \cap L$ or the curve R is tangent to C at the point $C \cap L$ with multiplicity 3 (in this case, we must have $R \cap C = C \cap L$, because $R \cdot C = 3$).

If either $E_1 \cap L \in C$ or $E_2 \cap L \in C$ (but not both, since $C \cdot L = 1$), then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1 + \beta}{5\beta}, \frac{1}{3\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{4}, \\ \frac{1 + \beta}{5\beta} & \text{for } \frac{1}{4} \leq \beta \leq \frac{2}{3}, \\ \frac{1}{3\beta} & \text{for } \frac{2}{3} \leq \beta \leq 1. \end{cases}$$

If the curve C does not contain the points $E_1 \cap L$ nor $E_2 \cap L$, and either L_1 is tangent to C at the point $C \cap E_1$ or L_2 is tangent to C at the point $C \cap E_2$, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1 + 2\beta}{6\beta}, \frac{1}{3\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{4}, \\ \frac{1 + 2\beta}{6\beta} & \text{for } \frac{1}{4} \leq \beta \leq \frac{1}{2}, \\ \frac{1}{3\beta} & \text{for } \frac{1}{2} \leq \beta \leq 1. \end{cases}$$

If the curve C does not contain the points $E_1 \cap L$ nor $E_2 \cap L$ (this implies that the curve R is smooth), neither L_1 is tangent to C at the point $C \cap E_1$ nor L_2 is tangent to C at the point $C \cap E_2$, and the curve R is tangent to C at the point $C \cap L$ with multiplicity 3, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1 + 3\beta}{7\beta}, \frac{1}{3\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{4}, \\ \frac{1 + 3\beta}{7\beta} & \text{for } \frac{1}{4} \leq \beta \leq \frac{4}{9}, \\ \frac{1}{3\beta} & \text{for } \frac{4}{9} \leq \beta \leq 1. \end{cases}$$

Finally, if the curve C does not contain the points $E_1 \cap L$ nor $E_2 \cap L$ (and hence the curve R is smooth), neither L_1 is tangent to C at the point $C \cap E_1$ nor L_2 is tangent to C at the point $C \cap E_2$, and R is tangent to C at the point $C \cap L$ with multiplicity 2, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1}{3\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{3}, \\ \frac{1}{3\beta} & \text{for } \frac{1}{3} \leq \beta \leq 1. \end{cases}$$

We have $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$. Indeed, if either $E_1 \cap L \in C$ or $E_2 \cap L \in C$, then

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{\text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta(3L + 2E_1 + 2E_2))\right\},$$

which implies that $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$. If neither $E_1 \cap L \in C$ nor $E_2 \cap L \in C$, then

$$\min\left\{\text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta(3L + 2E_1 + 2E_2))\right\} = \min\left\{1, \frac{1}{3\beta}\right\}.$$

If the curve C does not contain the points $E_1 \cap L$ nor $E_2 \cap L$, and L_1 is tangent to C at the point $C \cap E_1$, then

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1}{3\beta}, \text{lct}(S, (1 - \beta)C; \beta(2L_1 + 2E_1 + L))\right\},$$

and similarly if L_2 is tangent to C at the point $C \cap E_2$. If the curve C does not contain the points $E_1 \cap L$ nor $E_2 \cap L$ (this implies that the curve R is smooth), neither L_1 is tangent to C

at the point $C \cap E_1$ nor L_2 is tangent to C at the point $C \cap E_2$, and the curve R is tangent to C at the point $C \cap L$ with multiplicity 3, then

$$\min \left\{ \text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta(3L + 2E_1 + 2E_2)), \text{lct}(S, (1 - \beta)C; \beta(L + 2R)) \right\}$$

equals $\hat{\alpha}(S, (1 - \beta)C)$. We conclude that $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$ in every case.

2.5. Blow up of \mathbb{P}^2 at three points. Suppose that $K_S^2 = 6$. Then there exists a birational morphism $\pi: S \rightarrow \mathbb{P}^2$ that is the blow up of three non-colinear points. Denote the π -exceptional curves by E_1, E_2, E_3 , denote the proper transform on S of the line in \mathbb{P}^2 that passes through $\pi(E_1)$ and $\pi(E_2)$ by L_{12} , denote the proper transform on S of the line in \mathbb{P}^2 that passes through $\pi(E_1)$ and $\pi(E_3)$ by L_{13} , and denote the proper transform on S of the line in \mathbb{P}^2 that passes through $\pi(E_2)$ and $\pi(E_3)$ by L_{23} . Then $E_1, E_2, E_3, L_{12}, L_{13}$ and L_{23} are all lines in S .

If the curve C contains an intersection point of two intersecting lines in S , then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min \left\{ 1, \frac{1 + \beta}{4\beta} \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{3}, \\ \frac{1 + \beta}{4\beta} & \text{for } \frac{1}{3} \leq \beta \leq 1. \end{cases}$$

If the curve C does not contain the intersection points of any two intersecting lines, and there are a line Z_1 and an irreducible conic Z_2 in S such that Z_2 is tangent to C at the point $C \cap Z_1$, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min \left\{ 1, \frac{1 + 2\beta}{5\beta}, \frac{1}{2\beta} \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{3}, \\ \frac{1 + 2\beta}{5\beta} & \text{for } \frac{1}{3} \leq \beta \leq \frac{3}{4}, \\ \frac{1}{2\beta} & \text{for } \frac{3}{4} \leq \beta \leq 1. \end{cases}$$

If C does not contain the intersection point of any two intersecting lines, and for every line Z_1 in S , there exists no irreducible conic Z_2 in S such that Z_2 is tangent to C at $C \cap Z_1$, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min \left\{ 1, \frac{1}{2\beta} \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{2}, \\ \frac{1}{2\beta} & \text{for } \frac{1}{2} \leq \beta \leq 1. \end{cases}$$

One has $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$. Indeed, we have $2E_1 + 2L_{12} + L_{13} + E_2 \sim -K_S$. Thus, if $E_1 \cap L_{12} \notin C$, $E_1 \cap L_{13} \notin C$ and $E_2 \cap L_{12} \notin C$, then

$$\min \left\{ \text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta(2E_1 + 2L_{12} + L_{13} + E_2)) \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{2}, \\ \frac{1}{2\beta} & \text{for } \frac{1}{2} \leq \beta \leq 1. \end{cases}$$

Otherwise, this minimum is $\hat{\alpha}(S, (1 - \beta)C)$. This shows that $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$ except for the case when C does not contain the intersection point of any two intersecting lines, but there are a line Z_1 and a conic Z_2 in S such that Z_2 is tangent to C at the point $C \cap Z_1$. In the latter case, we may assume that $Z_1 = E_1$ and $Z_2 \in |L_{12} + E_2|$, which implies that

$$\hat{\alpha}(S, (1 - \beta)C) = \min \left\{ \text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta(2Z_2 + E_1 + L_{23})) \right\},$$

since $2Z_2 + E_1 + L_{23} \sim -K_S$. Thus, in all cases we have $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$.

2.6. Blow up of \mathbb{P}^2 at four points. Suppose that $K_S^2 = 5$. Then there exists a birational morphism $\pi: S \rightarrow \mathbb{P}^2$ that contracts four smooth rational curves to four points such that no three of them are colinear. Denote these curves by E_1, E_2, E_3, E_4 . For any integers i and j such that $1 \leq i < j \leq 4$, denote by L_{ij} the proper transform on S via π of the line in \mathbb{P}^2 that passes through $\pi(E_i)$ and $\pi(E_j)$. These give us six lines $L_{12}, L_{13}, L_{14}, L_{23}, L_{24}$ and L_{34} . Moreover, $E_1, E_2, E_3, E_4, L_{12}, L_{13}, L_{14}, L_{23}, L_{24}$ and L_{34} are all lines in S . Let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1}{2\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{2}, \\ \frac{1}{2\beta} & \text{for } \frac{1}{2} \leq \beta \leq 1. \end{cases}$$

Then $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$, since $2E_1 + L_{12} + L_{13} + L_{14} \sim -K_S$ and

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{\text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta(2E_1 + L_{12} + L_{13} + L_{14}))\right\}.$$

2.7. Complete intersections of two quadrics. Suppose that $K_S^2 = 4$. Then there exists a birational morphism $\pi: S \rightarrow \mathbb{P}^2$ that is the blow up of five points such that no three of them are colinear. Denote by E_1, E_2, E_3, E_4 and E_5 the π -exceptional curves. For any integers i and j such that $1 \leq i < j \leq 5$, denote by L_{ij} the proper transform via π on S of the line in \mathbb{P}^2 that passes through $\pi(E_i)$ and $\pi(E_j)$. Denote by E the proper transform on S of the unique smooth conic in \mathbb{P}^2 that passes through $\pi(E_1), \pi(E_2), \pi(E_3), \pi(E_4)$ and $\pi(E_5)$. Then $E_1, E_2, E_3, E_4, E_5, L_{12}, L_{13}, L_{14}, L_{15}, L_{23}, L_{24}, L_{25}, L_{34}, L_{35}, L_{45}$ and E are all the lines in S .

If the curve C contains the intersection point of any two intersecting lines, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1 + \beta}{3\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{2}, \\ \frac{1 + \beta}{3\beta} & \text{for } \frac{1}{2} \leq \beta \leq 1. \end{cases}$$

If the curve C does not contain the intersection point of any two intersecting lines, but there are two conics C_1 and C_2 in S such that $C_1 + C_2 \sim -K_S$, and C_1 and C_2 both tangent C at one point, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{1 + 2\beta}{4\beta}, \frac{2}{3\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{2}, \\ \frac{1 + 2\beta}{4\beta} & \text{for } \frac{1}{2} \leq \beta \leq \frac{5}{6}, \\ \frac{2}{3\beta} & \text{for } \frac{5}{6} \leq \beta \leq 1. \end{cases}$$

Finally, if the curve C does not contain the intersection point of any two intersecting lines, and for every two conics C_1 and C_2 in S such that $C_1 + C_2 \sim -K_S$, the conics C_1 and C_2 do not tangent C at one point, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{2}{3\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{2}{3}, \\ \frac{2}{3\beta} & \text{for } \frac{2}{3} \leq \beta \leq 1. \end{cases}$$

We claim that $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$. Indeed, the lines L_{12} and L_{34} intersect at a single point. Let Z be the proper transform on S of the line in \mathbb{P}^2 that passes through $\pi(E_5)$

and $\pi(L_{12} \cap L_{34})$. Then $L_{12} + L_{34} + Z \sim -K_S$. Moreover, if $L_{12} \cap L_{34} \in C$, then

$$\min \left\{ \text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta(L_{12} + L_{34} + Z)) \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{2}, \\ \frac{1 + \beta}{3\beta} & \text{for } \frac{1}{2} \leq \beta \leq 1. \end{cases}$$

However, if $L_{12} \cap L_{34} \notin C$, then this minimum equals $\min\{1, \frac{2}{3\beta}\}$. Since we can repeat these computations for any pair of intersecting lines in S , we see that $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$ except possibly the case when C does not contain the intersection point of any two intersecting lines, but there are two conics C_1 and C_2 in S such that $C_1 + C_2 \sim -K_S$, and C_1 and C_2 both tangent C at one point. In the latter case, $\hat{\alpha}(S, (1 - \beta)C)$ is equal to

$$\min \left\{ \text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta(L_{12} + L_{34} + Z)), \text{lct}(S, (1 - \beta)C; \beta(C_1 + C_2)) \right\},$$

since $C_1 + C_2 \sim -K_S$. This shows that $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$ in all three cases.

2.8. Cubic surfaces. Suppose that $K_S^2 = 3$. Then S is a smooth cubic surface in \mathbb{P}^3 . Recall that an Eckardt point in S is a point of intersection of three lines contained in S . General cubic surface contains no Eckardt points. If S contains an Eckardt point that is contained in C , then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min \left\{ 1, \frac{1 + \beta}{3\beta} \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{1}{2}, \\ \frac{1 + \beta}{3\beta} & \text{for } \frac{1}{2} \leq \beta \leq 1. \end{cases}$$

If S contains an Eckardt point and C contains no Eckardt points, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min \left\{ 1, \frac{2}{3\beta} \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{2}{3}, \\ \frac{2}{3\beta} & \text{for } \frac{2}{3} \leq \beta \leq 1. \end{cases}$$

If S contains no Eckardt points, but S contains a line L and a conic M such that L is tangent to M and $L \cap M \in C$, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min \left\{ 1, \frac{2 + \beta}{4\beta} \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{2}{3}, \\ \frac{2 + \beta}{4\beta} & \text{for } \frac{2}{3} \leq \beta \leq 1. \end{cases}$$

If S contains no Eckardt points, for every line L and every conic M on S such that L is tangent to M , we have $L \cap M \notin C$, but there is a cuspidal curve $T \in |-K_S|$ such that $T \cap C = \text{Sing}(T)$, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min \left\{ 1, \frac{2 + 3\beta}{6\beta}, \frac{3}{4\beta} \right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{2}{3}, \\ \frac{2 + 3\beta}{6\beta} & \text{for } \frac{2}{3} \leq \beta \leq \frac{5}{6}, \\ \frac{3}{4\beta} & \text{for } \frac{5}{6} \leq \beta \leq 1. \end{cases}$$

Finally, if S contains no Eckardt points, for every line L and every conic M on S such that L is tangent to M we have $L \cap M \notin C$, and every irreducible cuspidal curve $T \in |-K_S|$ intersects

C by at least two point, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{3}{4\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{3}{4}, \\ \frac{3}{4\beta} & \text{for } \frac{3}{4} \leq \beta \leq 1. \end{cases}$$

One can easily check that $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$ (see [13, Theorem 4.9.1]).

2.9. Double covers of \mathbb{P}^2 . Suppose that $K_S^2 = 2$. If $|-K_S|$ contains a tacnodal curve whose singular point is contained in C , then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{2 + \beta}{4\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{2}{3}, \\ \frac{2 + \beta}{4\beta} & \text{for } \frac{2}{3} \leq \beta \leq 1. \end{cases}$$

If $|-K_S|$ contains a tacnodal curve, but C does not contain singular points of all tacnodal curves in $|-K_S|$, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{3}{4\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{3}{4}, \\ \frac{3}{4\beta} & \text{for } \frac{3}{4} \leq \beta \leq 1. \end{cases}$$

If $|-K_S|$ contains no curves with tacnodal singularities, but C contains the cuspidal singular point of a cuspidal rational curve in $|-K_S|$, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{3 + 2\beta}{6\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{3}{4}, \\ \frac{3 + 2\beta}{6\beta} & \text{for } \frac{3}{4} \leq \beta \leq 1. \end{cases}$$

Finally, if $|-K_S|$ contains no curves with tacnodal singularities, and C does not contain cuspidal singular points of all cuspidal rational curves in $|-K_S|$, then we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{5}{6\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{5}{6}, \\ \frac{5}{6\beta} & \text{for } \frac{5}{6} \leq \beta \leq 1. \end{cases}$$

One can easily check that $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$ (see [13, Theorem 4.10.1]).

2.10. Double covers of quadric cones. Suppose that $K_S^2 = 1$. If $|-K_S|$ contains no cuspidal curves, then we let $\hat{\alpha}(S, (1 - \beta)C) = 1$ for every $\beta \in (0, 1]$. Otherwise, we let

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{1, \frac{5}{6\beta}\right\} = \begin{cases} 1 & \text{for } 0 < \beta \leq \frac{5}{6}, \\ \frac{5}{6\beta} & \text{for } \frac{5}{6} \leq \beta \leq 1. \end{cases}$$

In the former case, we have $\hat{\alpha}(S, (1 - \beta)C) = \text{lct}(S, (1 - \beta)C; \beta C)$. In the latter case, we have

$$\hat{\alpha}(S, (1 - \beta)C) = \min\left\{\text{lct}(S, (1 - \beta)C; \beta C), \text{lct}(S, (1 - \beta)C; \beta Z)\right\},$$

where Z is a cuspidal curve in $|-K_S|$. Thus, $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$ in both cases.

3. LOCAL INEQUALITIES

Let S be a smooth surface, let D be an effective \mathbb{R} -divisor on S , and let P be a point in S .

Lemma 3.1. Suppose that (S, D) is not log canonical at P . Then $\text{mult}_P(D) > 1$.

Proof. This is a well-known fact. See [8, Exercise 6.18], for instance. \square

Lemma 3.2. Suppose that (S, D) is not log canonical at P . Let B be an effective \mathbb{R} -divisor on S such that (S, B) is log canonical and $B \sim_{\mathbb{R}} D$. Then there exists an effective \mathbb{R} -divisor D' on S such that $D' \sim_{\mathbb{R}} D$, the log pair (S, D') is not log canonical at P , and $\text{Supp}(D')$ does not contain at least one irreducible component of $\text{Supp}(B)$.

Proof. Let μ be the greatest real number such that $D' := (1 + \mu)D - \mu B$ is effective. Since $D \neq B$, the number μ does exist. Then $D' \sim_{\mathbb{R}} D$, the log pair (S, D') is not log canonical at P , and $\text{Supp}(D')$ does not contain at least one irreducible component of $\text{Supp}(B)$. \square

Let $\pi_1: S_1 \rightarrow S$ be a blow up of the point P , let F_1 be the π -exceptional curve, and let D^1 be the proper transform of D via π_1 . Then $K_{S_1} + D^1 + (\text{mult}_P(D) - 1)F_1 \sim_{\mathbb{R}} \pi_1^*(K_S + D)$.

Lemma 3.3. Suppose that (S, D) is not log canonical at P . Then $\text{mult}_P(D) > 1$ and there exists a point $P_1 \in F_1$ such that $(S_1, D^1 + (\text{mult}_P(D) - 1)F_1)$ is not log canonical at P_1 . Moreover, one has $\text{mult}_P(D) + \text{mult}_{P_1}(D^1) > 2$. If, in addition, $\text{mult}_P(D) \leq 2$, then such point P_1 is unique.

Proof. This is a well-known fact. See, for example, [4, Remark 2.5]. \square

Let C be an irreducible curve on S that contains P . Suppose that C is smooth at P . Write $D = aC + \Omega$, where $a \in \mathbb{R}_{\geq 0}$, and Ω is an effective \mathbb{R} -divisor on S with $C \not\subset \text{Supp}(\Omega)$.

Theorem 3.4. If $(S, aC + \Omega)$ is not log canonical at P and $a \leq 1$, then $\text{mult}_P(\Omega \cdot C) > 1$.

Proof. See, for example, [8, Exercise 6.31], [14, Lemma 2.5] or [3, Theorem 7]. \square

Denote the proper transform of the curve C on the surface S_1 by C^1 , and denote the proper transform of the \mathbb{R} -divisor Ω on the surface S_1 by Ω^1 .

Lemma 3.5. Suppose that $a \leq 1$, the log pair $(S, aC + \Omega)$ is not log canonical at the point P , and $\text{mult}_P(\Omega) \leq 1$. Then $(S_1, aC^1 + \Omega^1 + (a + \text{mult}_P(\Omega) - 1)F_1)$ is not log canonical at $C^1 \cap F_1$, it is log canonical at every point in $E_1 \setminus (C^1 \cap F_1)$, and $\text{mult}_P(\Omega \cdot C) > 2 - a$.

Proof. Since $a \leq 1$ and $\text{mult}_P(\Omega) \leq 1$, we have $\text{mult}_P(D) \leq 2$. By Lemma 3.3, there exists a unique point $P_1 \in F_1$ such that the log pair $(S_1, aC^1 + \Omega^1 + (a + \text{mult}_P(\Omega) - 1)F_1)$ is not log canonical at P_1 . If $P_1 \notin C^1$, then $\text{mult}_P(\Omega) = F_1 \cdot \Omega^1 \geq \text{mult}_{P_1}(\Omega^1 \cdot F_1) > 1$ by Theorem 3.4, which is impossible, since $\text{mult}_P(\Omega) \leq 1$. Thus, $P_1 \in C^1$. Then, by Theorem 3.4 again:

$$\text{mult}_P(\Omega \cdot C) \geq \text{mult}_P(\Omega) + \text{mult}_{P_1}(\Omega^1 \cdot C^1) > 2 - a. \quad \square$$

Let us consider an *infinite* sequence of blow ups

$$\dots \xrightarrow{\pi_{n+1}} S_n \xrightarrow{\pi_n} S_{n-1} \xrightarrow{\pi_{n-1}} \dots \xrightarrow{\pi_3} S_2 \xrightarrow{\pi_2} S_1 \xrightarrow{\pi_1} S$$

such that each π_n is the blow up of the point in the proper transform of the curve C on the surface S_{n-1} that dominates P . Denote the π_n -exceptional curve by F_n , and denote the proper transform of C on S_n by C^n . For every $n \geq 1$, write $P_n = C^n \cap F_n$, denote the proper transform of the divisor Ω on S_n by Ω^n , let $m_n = \text{mult}_{P_n}(\Omega^n)$ and let $m_0 = \text{mult}_P(\Omega)$. For every positive integers $k \leq n$, denote the proper transform of the curve F_k on S_n by F_k^n . Finally, we let

$$D^{S_n} = aC^n + \Omega^n + \sum_{k=1}^n \left(ka - k + \sum_{i=0}^{k-1} m_i \right) F_k^n$$

for every $n \geq 1$. Then $K_{S_n} + D^{S_n} \sim_{\mathbb{R}} (\pi_1 \circ \pi_2 \circ \dots \circ \pi_n)^*(K_S + D)$ for every $n \geq 1$.

Theorem 3.6. Suppose that $(S, aC + \Omega)$ is not log canonical at P and $a \leq 1$. Then $m_0 + a > 1$ and $\text{mult}_P(\Omega \cdot C) > 1$. Moreover, the following additional assertions hold:

- (i) if $m_0 \leq 1$, then the log pair (S_1, D^{S_1}) is not log canonical at P_1 ,
- (ii) if (S_n, D^{S_n}) is not log canonical at some point in F_n , then D^{S_n} is an effective divisor,
- (iii) if (S_n, D^{S_n}) is not log canonical at some point in F_n and $\sum_{i=0}^{n-1} m_i \leq n + 1 - na$, then such point in F_n is unique,
- (iv) if (S_n, D^{S_n}) is not log canonical at P_n , then $(n + 1)a + \sum_{i=0}^n m_i > n + 2$, the log pair $(S_{n+1}, D^{S_{n+1}})$ is not log canonical at some point in F_{n+1} , and $\text{mult}_P(\Omega \cdot C) > n + 1 - na$,
- (v) if $n \geq 2$, $m_{n-1} \leq 1$ and $\sum_{i=0}^{n-1} m_i \leq n + 1 - na$, then (S_n, D^{S_n}) is log canonical at every point of F_n different from P_n and $F_n \cap F_{n-1}^n$,
- (vi) if $n \geq 2$ and $\sum_{i=0}^{n-1} m_i \leq n - (n - 1)a$, then (S_n, D^{S_n}) is log canonical at $F_n \cap F_{n-1}^n$,
- (vii) if $n \geq 2$, $\sum_{i=0}^{n-2} m_i \leq n - (n - 1)a$, and $\sum_{i=0}^{n-3} m_i + 2m_{n-2} \leq n + 1 - na$, then (S_n, D^{S_n}) is log canonical at $F_n \cap F_{n-1}^n$.

Proof. By Lemma 3.1, we have $m_0 + a > 1$. By Theorem 3.4, we have $\text{mult}_P(\Omega \cdot C) > 1 - a$. Assertion (i) follows from Lemma 3.5. If (S_n, D^{S_n}) is not log canonical at some point in F_n , then $(S_{n-1}, D^{S_{n-1}})$ is not log canonical at P^{n-1} . Thus, assertion (ii) follows from Lemma 3.1. Inequality $\sum_{i=0}^{n-1} m_i \leq n + 1 - na$ is equivalent to $\text{mult}_{P_{n-1}}(D^{S_{n-1}}) \leq 2$. Thus, assertion (iii) follows from Lemma 3.3. If (S_n, D^{S_n}) is not log canonical at P_n , then $(n + 1)a + \sum_{i=0}^n m_i > n + 2$ by Lemma 3.1, the pair $(S_{n+1}, D^{S_{n+1}})$ is not log canonical at some point in F_{n+1} by Lemma 3.3, and

$$\text{mult}_P(\Omega \cdot C) - \sum_{i=0}^{n-1} m_i = \text{mult}_{P_n}(\Omega^n \cdot C^n) > 1 - \left(na - n + \sum_{i=0}^{n-1} m_i \right),$$

by Theorem 3.4. This proves assertion (iv).

Suppose that $n \geq 2$. Let $O = F_n \cap F_{n-1}^n$. If $\sum_{i=0}^{n-1} m_i \leq n + 1 - na$ and (S_n, D^{S_n}) is not log canonical at some point in $F_n \setminus (P_n \cup O)$, then $m_{n-1} = F_n \cdot \Omega^n > 1$ by Theorem 3.4, which implies assertion (v). If (S_n, D^{S_n}) is not log canonical at O and $\sum_{i=0}^{n-1} m_i \leq n + 1 - na$, then

$$m_{n-1} = F_n \cdot \Omega^n \geq \text{mult}_O(F_n \cdot \Omega^n) > 1 - \left((n - 1)a - n + 1 + \sum_{i=0}^{n-2} m_i \right)$$

by Theorem 3.4. If (S_n, D^{S_n}) is not log canonical at O and $\sum_{i=0}^{n-2} m_i \leq n - (n - 1)a$, then

$$m_{n-2} - m_{n-1} = F_{n-1}^n \cdot \Omega^n \geq \text{mult}_O(F_{n-1}^n \cdot \Omega^n) > 1 - \left(na - n + \sum_{i=0}^{n-1} m_i \right)$$

by Theorem 3.4. This proves assertions (vi) and (vii). \square

Corollary 3.7. Suppose that $(S, aC + \Omega)$ is not log canonical at P , $C \not\subset \text{Supp}(\Omega)$, $a \leq 1$ and $m_0 \leq \min\{1, 1 + \frac{1}{n} - na\}$ for some integer $n \geq 1$. Then $\text{mult}_P(\Omega \cdot C) > n + 1 - na$.

Corollary 3.8. Suppose that $(S, aC + \Omega)$ is not log canonical at P , $a \leq 1$ and $m_0 \leq 1$. Suppose that $2m_0 \leq 3 - 2a$ or $m_0 + m_1 \leq 2 - a$. Suppose that $m_0 + 2m_1 \leq 4 - 3a$ or $m_0 + m_1 + m_2 \leq 3 - 2a$. Then $\text{mult}_P(\Omega \cdot C) > 4 - 3a$. If $m_0 + m_1 + 2m_2 \leq 5 - 4a$ or $m_0 + m_1 + m_2 + m_3 \leq 4 - 3a$, then $\text{mult}_P(\Omega \cdot C) > 5 - 4a$.

Let us conclude this section by recalling

Theorem 3.9 ([3, Theorem 13]). Let C_1 and C_2 be two irreducible curves on S that are both smooth at P and intersect transversally at P . Let $D = a_1C_1 + a_2C_2 + \Delta$, where a_1 and a_2 are non-negative real numbers, and Δ is an effective \mathbb{R} -divisor on S whose support does not contain the curves C_1 and C_2 . If (S, D) is not log canonical at P and $\text{mult}_P(\Delta) \leq 1$, then $\text{mult}_P(\Delta \cdot C_1) > 2(1 - a_2)$ or $\text{mult}_P(\Delta \cdot C_2) > 2(1 - a_1)$.

4. THE PROOF

Let us use the notation of Section 2. The goal of this section is to prove

Theorem 4.1. One has $\alpha(S, (1 - \beta)C) = \hat{\alpha}(S, (1 - \beta)C)$ for every $\beta \in (0, 1]$.

This theorem implies Theorem 1.12, since $\hat{\alpha}(S, (1 - \beta)C) \geq \check{\alpha}(S, (1 - \beta)C)$ (see Section 2) and $\check{\alpha}(S, (1 - \beta)C) \geq \alpha(S, (1 - \beta)C)$ (by definition) for every $\beta \in (0, 1]$.

Let D be *any* effective \mathbb{R} -divisor such that $D \sim_{\mathbb{R}} -K_S$, and let P be *any* point in S . Since $\alpha(S, (1 - \beta)C) \leq \hat{\alpha}(S, (1 - \beta)C)$, to prove Theorem 4.1, it is enough to show that the log pair

$$(4.2) \quad \left(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta D \right)$$

is log canonical at P for every $\beta \in (0, 1]$. We will do this in several steps.

Lemma 4.3. Suppose that (4.2) is not log canonical at P . Then $P \in C$, we have

$$\text{mult}_P(D) > \frac{1}{\hat{\alpha}(S, (1 - \beta)C)} \geq 1,$$

and (4.2) is log canonical outside of the point P . Moreover, if there exists a (-1) -curve $Z \subset S$ such that $P \in Z$, then $Z \subset \text{Supp}(D)$. Furthermore, there exists an effective \mathbb{R} -divisor $D' \sim_{\mathbb{R}} D$ such that $C \not\subset \text{Supp}(D')$ and $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta D')$ is not log canonical at P .

Proof. If $P \notin C$, then $(S, \hat{\alpha}(S, (1 - \beta)C)\beta D)$ is not log canonical at P , which is impossible, since $\alpha(S) \leq \beta \hat{\alpha}(S, (1 - \beta)C)$ by [2, Theorem 1.7]. We have $\hat{\alpha}(S, (1 - \beta)C)\text{mult}_P(D) > 1$ by Lemma 3.1. In particular, if there exists a (-1) -curve $Z \subset S$ such that $P \in Z$, then Z must be contained in $\text{Supp}(D)$, because otherwise we would have $1 = Z \cdot D \geq \text{mult}_P(D) > 1$.

We see that (4.2) is log canonical outside of the curve C . Moreover, the coefficient of the curve C in the divisor $(1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta D$ does not exceed 1, since $D \sim_{\mathbb{R}} C$. Hence, the log pair (4.2) is log canonical outside of finitely many points. Now the connectedness principle (see, for example, [8, Theorem 6.32]) implies that (4.2) is log canonical outside of P .

Since $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta C)$ is log canonical, it follows from Lemma 3.2 that there is an effective \mathbb{R} -divisor $D' \sim_{\mathbb{R}} D$ such that $C \not\subset \text{Supp}(D')$ and $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta D')$ is not log canonical at P . \square

Thus, to prove that (4.2) is log canonical at P , we may assume that $P \in C \not\subset \text{Supp}(D)$.

Lemma 4.4. If $S \cong \mathbb{P}^2$, then (4.2) is log canonical at P .

Proof. Suppose (4.2) is not log canonical at P . Let L be a general line in S that contains P . Then $\text{mult}_P(D) \leq D \cdot L = 3$. But $3\hat{\alpha}(S, (1 - \beta)C)\beta \leq \frac{1}{3} + \beta$ (see §2.1). Thus, if $\beta \leq \frac{2}{3}$, then

$$\hat{\alpha}(S, (1 - \beta)C)\beta \text{mult}_P(D) \leq 3\hat{\alpha}(S, (1 - \beta)C)\beta \leq \frac{1}{3} + \beta \leq 1.$$

Similarly, if $\frac{2}{3} \leq \beta \leq 1$, then $\hat{\alpha}(S, (1 - \beta)C)\beta \text{mult}_P(D) \leq \frac{1}{3}\text{mult}_P(D) \leq 1$. Applying Corollary 3.7 with $n = 3$ to (4.2), we get

$$9\beta\hat{\alpha}(S, (1 - \beta)C) = \hat{\alpha}(S, (1 - \beta)C)\beta(C \cdot D) \geq \hat{\alpha}(S, (1 - \beta)C)\beta \text{mult}_P(C \cdot D) > 1 + 3\beta,$$

which contradicts the definition of $\hat{\alpha}(S, (1 - \beta)C)$ in §2.1. \square

Lemma 4.5. Suppose that $S \cong \mathbb{P}^1 \times \mathbb{P}^1$. Then (4.2) is log canonical at P .

Proof. Suppose that (4.2) is not log canonical at P . Let L_1 and L_2 be the fibers of two different projections $S \rightarrow \mathbb{P}^1$ that both pass through P . Since $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta(2L_1 + 2L_2))$ is

log canonical and $2L_1 + 2L_2 \sim_{\mathbb{R}} D$, we may assume that either $L_1 \not\subset \text{Supp}(D)$ or $L_2 \not\subset \text{Supp}(D)$ by Lemma 3.2. This implies that $\text{mult}_P(D) \leq 2$, since $D \cdot L_1 = D \cdot L_2 = 2$. Then

$$\hat{\alpha}(S, (1 - \beta)C)\beta \text{mult}_P(D) \leq 2\hat{\alpha}(S, (1 - \beta)C)\beta \leq \min\left\{1, \frac{1}{4} + \beta\right\},$$

(see §2.2). Applying Corollary 3.7 with $n = 4$, we get

$$8\hat{\alpha}(S, (1 - \beta)C)\beta = \hat{\alpha}(S, (1 - \beta)C)\beta(C \cdot D) \geq \hat{\alpha}(S, (1 - \beta)C)\beta \text{mult}_P(C \cdot D) > 1 + 4\beta,$$

which contradicts the definition of $\hat{\alpha}(S, (1 - \beta)C)$ in §2.2. \square

Lemma 4.6. Suppose that $K_S^2 \leq 3$. Then (4.2) is log canonical at P .

Proof. Suppose that (4.2) is not log canonical at P . By [4, Theorem 1.12], there is $T \in |-K_S|$ such that (S, T) is not log canonical at P , and *all* irreducible components of the curve T are contained in the support of the divisor D . Moreover, such T is unique.

Since (S, T) is not log canonical at P , we have very limited number of choices for $T \in |-K_S|$. Going through all of them, we see that $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta T)$ is log canonical at P (for details, see the proofs of [13, Theorems 4.9.1, 4.10.1, 4.11.1]).

By Lemma 3.2, there is an effective \mathbb{R} -divisor D' on the surface S such that $D' \sim_{\mathbb{R}} D$, the log pair $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta D')$ is not log canonical at P , and $\text{Supp}(D')$ does not contain at least one irreducible component of T . The latter contradicts [4, Theorem 1.12]. \square

Corollary 4.7. Theorem 4.1 holds in the following cases: $S \cong \mathbb{P}^2$, $S \cong \mathbb{P}^1 \times \mathbb{P}^1$ and $K_S^2 \leq 3$.

Lemma 4.8. Suppose that $4 \leq K_S^2 \leq 7$, and P is the intersection point of two intersecting (-1) -curves in S . Then (4.2) is log canonical at P .

Proof. Suppose that (4.2) is not log canonical at P . Denote by Z_1 and Z_2 two (-1) -curves in S that contains P . We write $D = aZ_1 + bZ_2 + \Omega$, where a and b are non-negative real numbers, and Ω is an effective \mathbb{R} -divisor that whose support does not contain Z_1 and Z_2 . By Lemma 4.3, one has $a > 0$ and $b > 0$. Let $x = \text{mult}_P(\Omega)$. Then $1 - b + a = \Omega \cdot Z_1 \geq x$, which gives $b - a + x \leq 1$. Similarly, we obtain $a - b + x \leq 1$. Then $a \leq 1 + b$, $b \leq 1 + a$ and $x \leq 1$. Thus, we have

$$\text{mult}_P\left((1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta\Omega\right) = 1 - \beta + \hat{\alpha}(S, (1 - \beta)C)\beta x \leq 1 - \beta + \hat{\alpha}(S, (1 - \beta)C)\beta \leq 1,$$

because $\hat{\alpha}(S, (1 - \beta)C) \leq 1$. Applying Theorem 3.9 to (4.2), we see that

$$2\left(1 - \hat{\alpha}(S, (1 - \beta)C)\beta a\right) < Z_1 \cdot \left(\hat{\alpha}(S, (1 - \beta)C)\beta\Omega + (1 - \beta)C\right) = \hat{\alpha}(S, (1 - \beta)C)\beta(1 - a + b) + 1 - \beta,$$

or

$$2\left(1 - \hat{\alpha}(S, (1 - \beta)C)\beta b\right) < Z_2 \cdot \left(\hat{\alpha}(S, (1 - \beta)C)\beta\Omega + (1 - \beta)C\right) = \hat{\alpha}(S, (1 - \beta)C)\beta(1 - b + a) + 1 - \beta.$$

In both cases, we obtain $\hat{\alpha}(S, (1 - \beta)C)\beta(1 + a + b) > 1 + \beta$.

Suppose that $K_S^2 = 7$. Let us use the notation of §2.4. We may assume that $Z_1 = E_1$ and $Z_2 = L$. Since $3L + 2E_1 + 2E_2 \sim -K_S$ and $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta(3L + 2E_1 + 2E_2))$ is log canonical, we may also assume that $E_2 \not\subset \text{Supp}(\Omega)$ by Lemma 3.2. Then $1 - b = E_2 \cdot \Omega \geq 0$, which gives $b \leq 1$. Since $a \leq 1 + b$, we get $a + b \leq 3$. Thus, we have

$$4\beta\hat{\alpha}(S, (1 - \beta)C) \geq \hat{\alpha}(S, (1 - \beta)C)\beta(1 + a + b) > 1 + \beta,$$

which contradicts the definition of $\hat{\alpha}(S, (1 - \beta)C)$.

Suppose that $K_S^2 = 6$. Let us use the notation of §2.5. Without loss of generality, we may assume that $Z_1 = E_1$ and $Z_2 = L_{12}$. Since $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta(2L_{12} + 2E_1 + L_{13} + E_2))$ is log canonical and $2L_{12} + 2E_1 + L_{13} + E_2 \sim -K_S$, we may assume that $\text{Supp}(\Omega)$ does not contain L_{13} or E_2 by Lemma 3.2. If $L_{13} \not\subset \text{Supp}(\Omega)$, then $1 - a = \Omega \cdot L_{13} \geq 0$, which implies

that $a \leq 1$. Similarly, if $E_2 \notin \text{Supp}(\Omega)$, then $b \leq 1$. Since $a \leq 1 + b$ and $b \leq 1 + a$, we see that $a + b \leq 3$. Thus, we have

$$4\beta\hat{\alpha}(S, (1 - \beta)C) \geq \hat{\alpha}(S, (1 - \beta)C)\beta(1 + a + b) > 1 + \beta,$$

which contradicts the definition of $\hat{\alpha}(S, (1 - \beta)C)$.

Suppose that $K_S^2 = 5$. Let us use the notation of §2.6. Without loss of generality, we may assume that $Z_1 = E_1$ and $Z_2 = L_{12}$. Since $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta(2E_1 + L_{12} + L_{13} + L_{14}))$ is log canonical and $2E_1 + L_{12} + L_{13} + L_{14} \sim -K_S$, we may assume that $\text{Supp}(\Omega)$ does not contain L_{13} or L_{14} by Lemma 3.2. Since $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta(E_1 + 2L_{12} + E_2 + L_{34}))$ is log canonical and $E_1 + 2L_{12} + E_2 + L_{34} \sim -K_S$, we may assume that $\text{Supp}(\Omega)$ does not contain E_2 or L_{34} by Lemma 3.2. If $L_{13} \notin \text{Supp}(\Omega)$, then $1 - a = \Omega \cdot L_{13} \geq 0$, which gives $a \leq 1$. Similarly, if $L_{14} \notin \text{Supp}(\Omega)$, then $a \leq 1$. If $E_2 \notin \text{Supp}(\Omega)$, then $1 - b = \Omega \cdot E_2 \geq 0$, which gives $b \leq 1$. Similarly, if $L_{34} \notin \text{Supp}(\Omega)$, then $b \leq 1$. Thus, we have $a \leq 1$ and $b \leq 1$. Then

$$3\beta\hat{\alpha}(S, (1 - \beta)C) \geq \hat{\alpha}(S, (1 - \beta)C)\beta(1 + a + b) > 1 + \beta,$$

which contradicts the definition of $\hat{\alpha}(S, (1 - \beta)C)$.

We have $K_S^2 = 4$. Let us use the notation of §2.7. Without loss of generality, we may assume that $Z_1 = L_{12}$ and $Z_2 = L_{34}$. Let Z be the proper transform on S of the line in \mathbb{P}^2 that passes through $\pi(E_5)$ and $\pi(L_{12} \cap L_{34})$. Since $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta(L_{12} + L_{34} + Z))$ is log canonical and $L_{12} + L_{34} + Z \sim -K_S$, we may assume that $Z \notin \text{Supp}(\Omega)$ by Lemma 3.2. Then $2 - a - b = \Omega \cdot Z \geq 0$, which implies that $3\beta\hat{\alpha}(S, (1 - \beta)C) \geq \hat{\alpha}(S, (1 - \beta)C)\beta(1 + a + b) > 1 + \beta$. The latter contradicts the definition of $\hat{\alpha}(S, (1 - \beta)C)$. \square

Lemma 4.9. Suppose $S \cong \mathbb{F}_1$, and P is contained in a unique (-1) -curve in S . Then (4.2) is log canonical at P .

Proof. Let us use the notation of §2.3. Then $P = Z \cap C$, since $P \in C$. Suppose that (4.2) is not log canonical at P . By Lemma 4.3, we have $Z \subset \text{Supp}(D)$. By Lemma 3.2, we may assume that $F \notin \text{Supp}(D)$, since $(S, (1 - \beta)C + \hat{\alpha}(S, (1 - \beta)C)\beta(2Z + 3F))$ is log canonical and $2Z + 3F \sim -K_S$. Then $\text{mult}_P(D) \leq F \cdot D = 2$. On the other hand, we have $2\hat{\alpha}(S, (1 - \beta)C)\beta \leq \frac{1}{4} + \beta$ and $2\hat{\alpha}(S, (1 - \beta)C)\beta \leq 1$. Applying Corollary 3.7 with $n = 4$ to (4.2), we get

$$8\hat{\alpha}(S, (1 - \beta)C)\beta = \hat{\alpha}(S, (1 - \beta)C)\beta(C \cdot D) \geq \hat{\alpha}(S, (1 - \beta)C)\beta\text{mult}_P(C \cdot D) > 1 + 4\beta,$$

which contradicts the definition of $\hat{\alpha}(S, (1 - \beta)C)$. \square

Lemma 4.10. Suppose that $4 \leq K_S^2 \leq 7$, and P is contained in a (-1) -curve in S . Then (4.2) is log canonical at P .

Proof. See Section 5. \square

The following result implies Corollary 1.14 *modulo* Theorem 4.1.

Theorem 4.11. Let S_1 and S_2 be smooth del Pezzo surfaces, let C_1 and C_2 be smooth curves in $| -K_{S_1} |$ and $| -K_{S_2} |$, respectively. Suppose that there exists a birational morphism $f: S_2 \rightarrow S_1$ such that $f(C_2) = C_1$. Then $\hat{\alpha}(S_1, (1 - \beta)C_1) \leq \hat{\alpha}(S_2, (1 - \beta)C_2)$ for every $\beta \in (0, 1]$ except the following cases:

- (1) $S_1 \cong \mathbb{P}^2$, $S_2 \cong \mathbb{F}_1$, and f is the blow up of an inflection points of the cubic curve $C_1 \subset \mathbb{P}^2$,
- (2) $S_1 \cong \mathbb{P}^1 \times \mathbb{P}^1$, $K_{S_2}^2 = 7$, and f is the blow up of a point in C_1 .

Proof. Since $f(C_2) = C_1$, the morphism f is the blow up of $K_{S_1}^2 - K_{S_2}^2 \geq 0$ distinct points on the curve C_2 . Suppose that $\hat{\alpha}(S_1, (1 - \beta)C_1) > \hat{\alpha}(S_2, (1 - \beta)C_2)$. Going through all possible cases considered in Section 2, we end up with the following possibilities:

- (1) $S_1 \cong \mathbb{P}^2$, $S_2 \cong \mathbb{F}_1$, and f is the blow up of an inflection points of the cubic curve $C_1 \subset \mathbb{P}^2$,

- (2) $S_1 \cong \mathbb{P}^1 \times \mathbb{P}^1$, $K_{S_2}^2 = 7$, and f is the blow up of a point in C_1 ,
- (3) $K_{S_1}^2 = 4$, $K_{S_2}^2 = 3$, the morphism f is the blow up of a point in C_1 , the curve C_1 does not contain intersection points of any two lines, for every two conics Z_1 and Z_2 in S_1 such that $Z_1 + Z_2 \sim -K_{S_1}$, the conics Z_1 and Z_2 do not tangent C_1 at one point, and S_2 contains an Eckardt point and this point is contained in C_2 ,
- (4) $K_{S_1}^2 = 3$, $K_{S_2}^2 = 2$, the morphism f is the blow up of a point in C_1 , the surface S_1 contains no Eckardt points, for every line L and every conic M on S_1 such that L is tangent to M we have $L \cap M \notin C_1$, and every irreducible cuspidal curve $T \in |-K_{S_1}|$ intersects C_1 by at least two point, the linear system $|-K_{S_2}|$ contains a curve with a tacnodal singularity and this tacnodal singular point is contained in C_2 .

The first two cases are indeed possible. Let us show that the last two cases are impossible. Denote by E the f -exceptional curve. Then $f(E) \in C_1$.

Suppose that $K_{S_1}^2 = 4$ and $K_{S_2}^2 = 3$. Then C_2 contains an Eckardt point O . Denote by L_1, L_2, L_3 the lines in S_2 that passes through O . Then either E is one of these three lines, or E intersects exactly one of them. Without loss of generality, we may assume that either $E = L_3$ or $E \cap L_1 = E \cap L_3 = \emptyset$. In the former case, $f(L_1)$ and $f(L_2)$ are two conics in S_1 such that $f(L_1) + f(L_2) \sim -K_{S_2}$, and both $f(L_1)$ and $f(L_2)$ tangent the curve $C_1 = f(C_2)$ at the point $f(P) \in C_1$. Since we know that such conics do not exist by assumption, we conclude that $E \cap L_1 = E \cap L_3 = \emptyset$. Then $f(L_1)$ and $f(L_2)$ are two lines in S_1 that both pass through the point $f(P) \in C_1$. Such lines do not exist either. Thus, this case is impossible.

Now we suppose that $K_{S_1}^2 = 3$ and $K_{S_2}^2 = 2$. Let Z be a curve in $|-K_{S_2}|$ such that Z has tacnodal singularity $Q \in C_2$. Then $Z = L_1 + L_2$, where L_1 and L_2 are two (-1) -curves in S_2 that are tangent each other at the point $Q \in C_2$. Then either E is one of these two curves, or E intersects exactly one of them. Without loss of generality, we may assume that either $E = L_2$ or $E \cap L_1 = \emptyset$. In the former case, $f(L_1)$ is a cuspidal curve in $|-K_{S_1}|$ whose intersection with the curve C_1 consists of the point $f(Q) = \text{Sing}(f(L_1))$. By assumption, such a cuspidal curve does not exist. Thus, $E \cap L_1 = \emptyset$. Then $f(L_1)$ is a line, and $f(L_2)$ is a conic. Moreover, the line $f(L_1)$ tangents to $f(L_2)$ at the point $f(Q) \in C_1$. The latter is impossible by assumption. \square

To prove Theorem 4.1, we have to prove that (4.2) is log canonical at P , where P is a point in $C \not\subset \text{Supp}(D)$. The latter follows from Corollary 4.7, Lemmas 4.8, 4.9, 5.9, 4.10 and

Lemma 4.12. Suppose that $K_S^2 \geq 3$, and neither $S \cong \mathbb{P}^2$ nor $S \cong \mathbb{P}^1 \times \mathbb{P}^1$. Suppose that P is not contained in any (-1) -curve in S . If Theorem 4.1 holds for all smooth del Pezzo surfaces of degree $K_S^2 - 1$, then (4.2) is log canonical at P .

Proof. Suppose that (4.2) is not log canonical at P . Let $f: \tilde{S} \rightarrow S$ be a blow up of P . Then \tilde{S} is a smooth del Pezzo surface of degree $K_{\tilde{S}}^2 = K_S^2 - 1$, since P is not contained in any (-1) -curve in S . Denote the f -exceptional curve by E , denote the proper transform of C on \tilde{S} by \tilde{C} , and denote the proper transform of D on \tilde{S} by \tilde{D} . Then $\tilde{C} \in |-K_{\tilde{S}}|$, since $P \in C$. The log pair

$$(4.13) \quad \left(\tilde{S}, (1 - \beta)\tilde{C} + \hat{\alpha}(S, (1 - \beta)C)\beta \left(\tilde{D} + \left(\text{mult}_P(D) - \frac{1}{\hat{\alpha}(S, (1 - \beta)C)} \right) E \right) \right)$$

is not log canonical by Lemma 3.3. Let $\tilde{D}' = \tilde{D} + (\text{mult}_P(D) - 1)E$. Then $\tilde{D}' \sim_{\mathbb{R}} -K_{\tilde{S}}$, and \tilde{D}' is effective by Lemma 4.3. Furthermore, the log pair $(\tilde{S}, (1 - \beta)\tilde{C} + \hat{\alpha}(S, (1 - \beta)C)\beta\tilde{D}')$ is not log canonical, because (4.13) is not log canonical. This shows that $\hat{\alpha}(S, (1 - \beta)C) > \alpha(\tilde{S}, (1 - \beta)\tilde{C})$. But it follows from Theorem 4.11 that $\hat{\alpha}(\tilde{S}, (1 - \beta)\tilde{C}) \geq \hat{\alpha}(S, (1 - \beta)C)$. Thus, we see that $\hat{\alpha}(\tilde{S}, (1 - \beta)\tilde{C}) > \alpha(\tilde{S}, (1 - \beta)\tilde{C})$. Hence, Theorem 4.1 does not hold for \tilde{S} . \square

This completes the proof of Theorem 4.1 *modulo* Lemma 4.10.

5. THE PROOF OF LEMMA 4.10

In this section, we will prove Lemma 4.10. Let us use its notation and assumptions. Then $4 \leq K_S^2 \leq 7$ and P is a point in $C \not\subset \text{Supp}(D)$ that is contained in a (-1) -curve in S . Let us denote this (-1) -curve by \mathcal{L} . We must prove that (4.2) is log canonical at P . By Lemma 4.8, we may assume that \mathcal{L} is the only (-1) -curve in S that contains P . We write $D = a\mathcal{L} + \Omega$, where a is a non-negative real number, and Ω is an effective \mathbb{R} -divisor such that $\mathcal{L} \not\subset \text{Supp}(\Omega)$. By Lemma 4.3, we have $a > 0$. Let $x = \text{mult}_P(\Omega)$. Then $1 + a = \mathcal{L} \cdot \Omega \geq x$.

Corollary 5.1. One has $x \leq 1 + a$.

Let $\lambda = \hat{\alpha}(S, (1 - \beta)C)$. Consider a sequence of 4 blow ups

$$S_4 \xrightarrow{\pi_4} S_3 \xrightarrow{\pi_3} S_2 \xrightarrow{\pi_2} S_1 \xrightarrow{\pi_1} S$$

such that π_1 is the blow up of the point P , π_2 is the blow up of the intersection point of the π_1 -exceptional curve and the proper transform of the curve C on S_1 , π_3 is the blow up of the intersection point of the π_2 -exceptional curve and the proper transform of the curve C on S_2 , and π_4 is the blow up of the intersection point of the π_3 -exceptional curve and the proper transform of the curve C on S_3 . Denote by F_1, F_2, F_3 and F_4 the exceptional curves of the blow ups π_1, π_2, π_3 and π_4 , respectively. Denote by C^1, C^2, C^3 and C^4 the proper transforms of the curve C on the surfaces S_1, S_2, S_3 and S_4 , respectively. Let $P_1 = C^1 \cap F_1, P_2 = C^2 \cap F_2, P_3 = C^3 \cap F_3$ and $P_4 = C^4 \cap F_4$. Denote the proper transform of the divisor Ω on the surfaces S_1, S_2, S_3 and S_4 by $\Omega^1, \Omega^2, \Omega^3$ and Ω^4 , respectively. Let $x_1 = \text{mult}_{P_1}(\Omega), x_2 = \text{mult}_{P_2}(\Omega)$ and $x_3 = \text{mult}_{P_3}(\Omega)$.

Lemma 5.2. Suppose that (4.2) is not log canonical at P . Then at least one of the following four conditions is not satisfied:

- (i) $\lambda\beta(a + x) \leq 1$,
- (ii) $2\lambda\beta(a + x) - 2\beta \leq 1$ or $\lambda\beta(a + x + x_1) - \beta \leq 1$,
- (iii) $\lambda\beta(a + x + 2x_1) - 3\beta \leq 1$ or $\lambda\beta(a + x + x_1 + x_2) - 2\beta \leq 1$,
- (iv) $\lambda\beta(a + x + x_1 + 2x_2) - 4\beta \leq 1$ or $\lambda\beta(a + x + x_1 + x_2 + x_3) - 3\beta \leq 1$.

If $\lambda\beta K_S^2 \leq 1 + 3\beta$, then at least one of the conditions (i), (ii) or (iii) is not satisfied.

Proof. If conditions (i), (ii), (iii) and (iv) are satisfied, then Corollary 3.8 gives

$$K_S^2 = D \cdot C \geq \text{mult}_P(D \cdot C) > \frac{1 + 4\beta}{\lambda\beta},$$

which is impossible, since $\lambda\beta K_S^2 \leq 1 + 4\beta$ by the definition of $\lambda = \hat{\alpha}(S, (1 - \beta)C)$ for $4 \leq K_S^2 \leq 7$. Similarly, if conditions (i), (ii), (iii) are satisfied, then $\lambda\beta K_S^2 > 1 + 3\beta$ by Corollary 3.8. \square

Lemma 5.3. Suppose that $K_S^2 = 7$. Then (4.2) is log canonical at P .

Proof. Suppose that (4.2) is not log canonical at P . Let us use the notation of §2.4. Without loss of generality, we may assume that either $\mathcal{L} = E_1$ or $\mathcal{L} = L$ (but not both).

Suppose that $\mathcal{L} = L$. Since $P \notin E_1 \cup E_2$, the curve R is smooth and irreducible. Since $(S, (1 - \beta)C, \lambda\beta(L + 2R))$ is log canonical and $L + 2R \sim -K_S$, we may assume that $R \not\subset \text{Supp}(\Omega)$. Denote the proper transform of the curve R on S_1 by R^1 , and denote its proper transform on S_2 by R^2 . Then $3 - a - x - x_1 = R^2 \cdot \Omega^2 \geq 0$, which gives $a + x + x_1 \leq 3$. Since $x - a \leq 1$ by Corollary 5.1, then $x_1 \leq \frac{4}{3}$ and all conditions of Lemma 5.2 are satisfied, giving a contradiction.

We have $\mathcal{L} = E_1$. Then L_1 is irreducible, since $P \notin L$. Since $(S, (1 - \beta)C, \lambda\beta(2L_1 + 2E_1 + L))$ is log canonical and $2L_1 + 2E_1 + L \sim -K_S$, we may assume that L_1 or L is not contained in $\text{Supp}(\Omega)$ by Lemma 3.2. We write $\Omega = bL_1 + \Delta$, where b is a non-negative real number, and Δ is an effective \mathbb{R} -divisor on S such that $L_1 \not\subset \text{Supp}(\Delta)$ and $E_1 \not\subset \text{Supp}(\Delta)$. Then $1 - b + a = E_1 \cdot \Delta \geq y$, which gives $b + y \leq 1 + a$. If $b > 0$, then $a \leq 1$. Indeed, if $L \not\subset \text{Supp}(\Delta)$, then $1 - a = L \cdot \Delta \geq 0$.

Denote the proper transform of the divisor Δ on S_1 by Δ^1 , denote the proper transform of the divisor Δ on S_2 by Δ^2 , and denote the proper transform of the divisor Δ on S_3 by Δ^3 . Let $y = \text{mult}_P(\Delta)$, $y_1 = \text{mult}_{P_1}(\Delta^1)$, $y_2 = \text{mult}_{P_2}(\Delta^2)$ and $y_3 = \text{mult}_{P_3}(\Delta^3)$. Then $x = b + y$. Since $L_1 \cdot C = 2$, either $\text{mult}_P(L_1 \cdot C) = 1$ or $\text{mult}_P(L_1 \cdot C) = 2$. Thus, we have, $x_2 = y_2$ and $x_3 = y_3$.

Suppose that $\text{mult}_P(L_1 \cdot C) = 1$. Then $x_1 = y_1$ and $2 - a = L_1 \cdot \Delta \geq y$. We have $b + y \leq 1 + a$ by Corollary 5.1. If $b > 0$, then $a \leq 1$. Therefore, we have $\lambda\beta(a + x) \leq 1$, $\lambda\beta(a + x + x_1) - \beta \leq 1$, $\lambda\beta(a + x + 2x_1) - 3\beta \leq 1$ and $\lambda\beta(a + x + x_1 + 2x_2) - 4\beta \leq 1$, which contradicts Lemma 5.2.

Thus we see that $\text{mult}_P(L_1 \cdot C) = 2$. Then $x_1 = y_1 + b$ and $2 - a = L_1 \cdot \Delta \geq y + y_1$, which gives $a + y + y_1 \leq 2$. Since L_1 is tangent to C at the point P , we have

$$\lambda = \hat{\alpha}(S, (1 - \beta)C) \leq \min\left\{1, \frac{1 + 2\beta}{7\beta}, \frac{1}{3\beta}\right\}.$$

Moreover, we have $b + y \leq 1 + a$ by Corollary 5.1. Furthermore, if $b > 0$, then $a \leq 1$. This gives $\lambda\beta(a + x) \leq 1$, $2\lambda\beta(a + x) - 2\beta \leq 1$, $\lambda\beta(a + x + x_1 + x_2) - 2\beta \leq 1$ and $\lambda\beta(a + x + x_1 + 2x_2) - 4\beta \leq 1$, which is impossible by Lemma 5.2. \square

Lemma 5.4. Suppose that $K_S^2 = 6$. Then (4.2) is log canonical at P .

Proof. Suppose that (4.2) is not log canonical at P . Let us use the notation of §2.5. Without loss of generality, we may assume that $\mathcal{L} = E_1$. Denote the proper transform of the curve E_1 on the surface S_1 by E_1^1 . Let L be the proper transform on S of the line in \mathbb{P}^2 that is tangent to $\pi(C)$ at the point $\pi(P)$. Then $-K_S \cdot L = 2$, since $P \notin L_{12} \cup L_{13} \cup L_{23}$. Denote the proper transform of the curve L on S_1 by L^1 , denote the proper transform of the curve L on S_2 by L^2 , and denote the proper transform of the curve L on S_3 by L^3 .

We claim that $L \subset \text{Supp}(\Omega)$. Indeed, suppose that $L \not\subset \text{Supp}(\Omega)$. Then $a + x \leq 2$, since $2 - a = \Omega \cdot L \geq x$. But $x \leq 1 + a$ by Corollary 5.1. Therefore, we have $x_1 \leq x \leq \frac{3}{2}$. These inequalities give $\lambda\beta(a + x) \leq 1$, $2\lambda\beta(a + x) - \beta \leq 1$ and $\lambda\beta(a + x + 2x_1) - 3\beta \leq 1$. Therefore, $\lambda\beta(a + x + x_1 + 2x_2) - 4\beta > 1$ and $6\lambda\beta > 1 + 3\beta$ by Lemma 5.2. The former inequality implies that $a + x + x_1 + 2x_2 > 6$. The latter inequality implies that L is not tangent to C at the point P (see §2.5).

Let Z be the proper transform on S of the conic in \mathbb{P}^2 that passes through the points $\pi(E_1)$, $\pi(E_2)$, $\pi(E_3)$, and is tangent to $\pi(C)$ at the point $\pi(P)$. Then Z is irreducible, $E_1 + L + Z \sim -K_S$ and $-K_S \cdot Z = 3$, since L is not tangent to C at P . Then $\text{mult}_P(Z \cdot C) \leq 3$, since $-K_S \cdot Z = 3$.

We write $\Omega = cZ + \Upsilon$, where c is a non-negative real number, and Υ is an effective \mathbb{R} -divisor on S whose support does not contain Z . Denote the proper transform of the divisor Υ on S_1 by Υ^1 , denote the proper transform of the divisor Υ on S_2 by Υ^2 , and denote the proper transform of the divisor Υ on S_3 by Υ^3 . Let $z = \text{mult}_P(\Upsilon)$, $z_1 = \text{mult}_{P_1}(\Upsilon^1)$, $z_2 = \text{mult}_{P_2}(\Upsilon^2)$, $z_3 = \text{mult}_{P_3}(\Upsilon^3)$. Then $x = c + z$, $x_1 = c + z_1$, $x_3 = z_3$. If $\text{mult}_P(Z \cdot C) = 2$, then $x_2 = z_2$ and $3 - a - c - z = Z^1 \cdot \Upsilon^1 \geq \text{mult}_{P_1}(Z^1 \cdot \Upsilon^1) \geq z_1$, which implies that

$$6 < a + x + x_1 + 2x_2 = a + z + z_1 + 2z_2 + 2c \leq 3 + 2z_2 + c \leq 3 + 2z_2 + 2c \leq 3 + 2x \leq 6,$$

since $z + c \leq \frac{3}{2}$ and $a + c + z \leq 2$. Thus, we see that $\text{mult}_P(Z \cdot C) = 3$. Then $x_2 = c + z_2$ and $3 - a - c - z - z_1 = Z^2 \cdot \Upsilon^2 \geq \text{mult}_{P_2}(Z^2 \cdot \Upsilon^2) \geq z_2$, which gives $a + c + z + z_1 + z_2 \leq 3$. Then

$$6 < a + x + x_1 + 2x_2 = a + z + z_1 + 2z_2 + 3c < 3 + z_2 + 2c \leq 3 + 2z_2 + 2c \leq 3 + 2x \leq 6,$$

which is absurd. This shows that $L \subset \text{Supp}(\Omega)$.

We write $\Omega = bL + \Delta$, where b is a positive real number, and Δ is an effective \mathbb{R} -divisor on S such that $L \not\subset \text{Supp}(\Delta)$. Let $y = \text{mult}_P(\Delta)$. Then $2 - a = \Delta \cdot L \geq y$. Denote the proper transform of the divisor Δ on S_1 by Δ^1 , denote the proper transform of the divisor Δ on S_2 by Δ^2 , and denote the proper transform of the divisor Δ on S_3 by Δ^3 . Let $y_1 = \text{mult}_{P_1}(\Delta^1)$, $y_2 = \text{mult}_{P_2}(\Delta^2)$ and $y_3 = \text{mult}_{P_3}(\Delta^3)$. Then $x = b + y$, $x_2 = y_2$ and $x_3 = y_3$, which implies

that $b + y \leq 1 + a$ by Corollary 5.1. Then

$$(5.5) \quad \left(S_1, (1 - \beta)C^1 + \lambda\beta aE_1^1 + \lambda\beta bL^1 + \lambda\beta\Delta^1 + \left(\lambda\beta(a + b + y) - \beta \right) F_1 \right)$$

is not log canonical at some point $Q_1 \in F_1$ by Lemma 3.3.

We claim that L is tangent to C at the point P . Indeed, suppose that L is not tangent to C at P . Then $x_1 = y_1$. Let Z be the proper transform on S of the conic in \mathbb{P}^2 that passes through $\pi(E_1)$, $\pi(E_2)$, $\pi(E_3)$, and is tangent to $\pi(C)$ at $\pi(P)$. Then Z is irreducible and $-K_S \cdot Z = 3$. Moreover, we have $E_1 + L + Z \sim -K_S$, and the log pair $(S, (1 - \beta)C + \lambda\beta(E_1 + L + Z))$ is log canonical. Thus, we may assume that $Z \not\subset \text{Supp}(D)$ by Lemmas 3.2. Then $3 - a - b - y = Z^1 \cdot \Delta^1 \geq \text{mult}_{P_1}(Z^1 \cdot \Delta^1) \geq y_1$. Since we also have $b + y \leq 1 + a$, $a + y \leq 2$, $x = y + b$, $x_1 = y_1$ and $x_2 = y_2$, we see that

$$(5.6) \quad \begin{aligned} \lambda\beta y_1 &\leq 1, & \lambda\beta(a + b + y) - \beta &\leq \lambda\beta(a + b + y + y_1) - \beta \leq 1, \\ \lambda\beta(a + b + y + 2y_1) - 3\beta &\leq 1, & \lambda\beta(a + b + y_1 + 2y_2) - 4\beta &\leq 1. \end{aligned}$$

In particular, (5.5) is log canonical at every point of F_1 that is different from Q_1 by Lemma 3.3. If $Q_1 \neq L^1 \cap F_1$ and $Q_1 \neq P_1$, then $\lambda\beta(a + y) = F_1 \cdot (\lambda\beta(aE_1 + \Delta^1)) > 1$, by Theorem 3.4. But $\lambda\beta(a + y) \leq 1$, since $a + y \leq 2$. This shows that $Q_1 = L^1 \cap F_1$ or $Q_1 = P_1$. Since $b - a + y \leq 1$ and $a + b + y + y_1 \leq 3$, we have $b + y \leq 2$. So, if $Q_1 = L^1 \cap F_1$, then

$$1 < \lambda\beta F_1 \cdot (bL^1 + \Delta^1) = \lambda\beta(b + y) \leq 2\lambda\beta \leq 1,$$

by Theorem 3.4. If $Q_1 = P_1$, then $6 = D \cdot C > \frac{1+4\beta}{\lambda\beta}$ by (5.6) and Theorem 3.6. The latter contradicts $6\lambda\beta \leq 1 + 4\beta$.

We see that L is tangent to C at the point P . Then $x_1 = y_1 + b$ and

$$\lambda \leq \min \left\{ 1, \frac{1 + 2\beta}{5\beta}, \frac{1}{2\beta} \right\},$$

which gives $6\lambda\beta \leq 1 + 3\beta$. Moreover, we have $a + y + y_1 \leq 2$, because $2 - a - y - y_1 = L^2 \cdot \Delta^2 \geq 0$. Furthermore, since $2L + L_{23} + E_1 \sim -K_S$ and $(S, (1 - \beta)C + \lambda\beta(2L + L_{23} + E_1))$ is log canonical, we may assume that $L_{23} \not\subset \text{Supp}(\Delta)$ by Lemma 3.2. This gives us $b \leq 1$, because $1 - b = \Delta \cdot L_{23} \geq 0$. Since $L + L_{12} + L_{13} + 2E_1 \sim -K_S$ and $(S, (1 - \beta)C + \lambda\beta(L + L_{12} + L_{13} + 2E_1))$ is log canonical, we may assume that $L_{12} \not\subset \text{Supp}(\Delta)$ or $L_{13} \not\subset \text{Supp}(\Delta)$ by Lemma 3.2. If $L_{12} \not\subset \text{Supp}(\Delta)$, then $1 - a = \Delta \cdot L_{12} \geq 0$, which gives $a \leq 1$. Similarly, we get $a \leq 1$ if $L_{13} \not\subset \text{Supp}(\Delta)$. Thus, we have

$$(5.7) \quad a \leq 1, \quad b \leq 1, \quad b - a + y \leq 1, \quad a + y + y_1 \leq 2,$$

which implies that $\lambda\beta(a + b + y) - \beta \leq 1$. In particular, (5.5) is log canonical at every point of F_1 that is different from Q_1 by Lemma 3.3. If $Q_1 \neq P_1$ and $Q_1 \neq E_1^1 \cap F_1$, then $\lambda\beta y = \lambda\beta\Delta^1 \cdot F_1 > 1$ by Theorem 3.4. The latter is impossible, since $\lambda\beta y \leq 2\lambda\beta \leq 1$ by (5.7). If $Q_1 = E_1^1 \cap F_1$, then

$$1 < E_1^1 \cdot \left(\lambda\beta\Delta^1 + \left(\lambda\beta(a + b + y) - \beta \right) F_1 \right) = \lambda\beta(1 + 2a) - \beta$$

by Theorem 3.4. The latter is impossible, since $\lambda\beta(1 + 2a) - \beta \leq 3\lambda\beta - \beta \leq 1$ by (5.7). Thus, we see that $Q_1 = P_1$.

By (5.7), one has $a + 2b + y + y_1 \leq 4$. This implies that $\lambda\beta(a + 2b + y + y_1) - 2\beta \leq 1$. Then

$$\left(S_2, (1 - \beta)C^2 + \lambda\beta bL^2 + \lambda\beta\Delta^2 + \left(\lambda\beta(a + b + y) - \beta \right) F_1^2 + \left(\lambda\beta(a + 2b + y + y_1) - 2\beta \right) F_2 \right)$$

is not log canonical at a unique point $Q_2 \in F_2$ by Lemma 3.3. If $Q_2 \notin L^2 \cup F_1^2 \cup C^2$, then $\lambda\beta y_2 = \lambda\beta\Delta^2 \cdot F_2 > 1$ by Theorem 3.4, which is impossible, since $\lambda\beta y_2 \leq 1$ by (5.7). Similarly, if $Q_2 = F_2 \cap L^2$, then $\lambda\beta(b + y_2) = \lambda\beta(bL^2 + \Delta^2) \cdot F_2 > 1$ by Theorem 3.4, which is impossible, because $b + y_2 \leq b + y \leq 2$ by (5.7). If $Q_2 = F_2 \cap F_1^2$, then

$$\lambda\beta(y + y_1 + a + b) - \beta = \left(\lambda\beta\Delta^2 + \left(\lambda\beta(a + b + y) - \beta \right) F_1^2 \right) \cdot F_2 > 1$$

by Theorem 3.4, which is impossible, since $y + y_1 + a + b \leq 3$ by (5.7). Then $Q_2 = P_2$.

We have $\lambda\beta(a + 2b + y + y_1 + y_2) - 3\beta \leq 1$, since $a + 2b + y + y_1 + y_2 \leq 5$ by (5.7). Then

$$\left(S_3, (1 - \beta)C^3 + \lambda\beta\Delta^3 + (\lambda\beta(a + 2b + y + y_1) - 2\beta)F_2^3 + (\lambda\beta(a + 2b + y + y_1 + y_2) - 3\beta)F_3 \right).$$

is not log canonical at a *unique* point $Q_3 \in F_3$ by Lemma 3.3. If $Q_3 \notin F_2^3 \cup C^3$, then $\lambda\beta y_3 = \lambda\beta\Delta^3 \cdot F_3 > 1$ by Theorem 3.4, which is impossible, because $\lambda\beta y_3 \leq 1$ by (5.7). If $Q_3 = F_3 \cap F_2^3$, then Theorem 3.4 gives

$$1 < F_2^3 \cdot \left(\lambda\beta\Delta^3 + (\lambda\beta(a + 2b + y + y_1 + y_2) - 3\beta)F_3 \right) = \lambda\beta(a + 2b + y + 2y_1) - 3\beta \leq 5\lambda\beta - 3\beta,$$

which is impossible, since $a + 2b + y + 2y_1 \leq 5$ by (5.7). Thus, we see that $Q_3 = P_3$. By Theorem 3.6 (iv), we have $6 = D \cdot C \geq \text{mult}_P(D \cdot C) > \frac{1+3\beta}{\lambda\beta}$. The latter is impossible, since we already proved earlier that $6\lambda\beta \leq 1 + 3\beta$. \square

Lemma 5.8. Suppose that $K_S^2 = 5$. Then (4.2) is log canonical at P .

Proof. Suppose that (4.2) is not log canonical at P . Let us use the notation of §2.5. Then $\lambda = \min\{1, \frac{1}{2\beta}\}$. This implies that $5\lambda\beta \leq 1 + 3\beta$. By Lemma 5.2, at least one of the conditions (i), (ii) and (iii) in Lemma 5.2 is not satisfied. In particular, if $a + x \leq 2$, then $\lambda\beta(a + x + 2x_1) - 3\beta > 1$.

Without loss of generality, we may assume that $\mathcal{L} = L_{12}$. Let B_3 be the proper transform on S of the line in \mathbb{P}^2 that passes through $\pi(P)$ and $\pi(E_3)$, and let B_4 be the proper transform on S of the line in \mathbb{P}^2 that passes through $\pi(P)$ and $\pi(E_4)$. Since $L_{12} + B_3 + B_4 \sim -K_S$ and $(S, (1 - \beta)C + \lambda\beta(L_{12} + B_3 + B_4))$ is log canonical, we may assume that at least one curve among B_3 and B_4 is not contained in $\text{Supp}(\Omega)$. Intersecting this curve with Ω , we get $a + x \leq 2$. Then $\lambda\beta(a + x + 2x_1) - 3\beta > 1$. This implies that $a + x + 2x_1 > 5$.

Denote the proper transform of the curve B_3 on the surface S_1 by B_3^1 , and denote the proper transform of the curve B_4 on the surface S_1 by B_4^1 . Recall $P_1 = C^1 \cap F_1$.

Suppose that $P_1 \notin B_3^1 \cup B_4^1$. Then B_3 and B_4 do not tangent C at P . Let R be the proper transform on S of the line in \mathbb{P}^2 that is tangent to $\pi(C)$ at the point $\pi(P)$, let R_1 be the proper transform on S of the conic in \mathbb{P}^2 that tangents to $\pi(C)$ at the point $\pi(P)$ and passes through the points $\pi(E_2)$, $\pi(E_3)$ and $\pi(E_4)$, and let R_2 be the proper transform on S of the conic in \mathbb{P}^2 that tangents to $\pi(C)$ at the point $\pi(P)$ and passes through the points $\pi(E_1)$, $\pi(E_3)$ and $\pi(E_4)$. Since $P_1 \notin B_3^1 \cup B_4^1$, the curves R_1 and R_2 are irreducible. Hence $\frac{1}{2}L_{12} + \frac{1}{2}R + \frac{1}{2}R_1 + \frac{1}{2}R_2 \sim_{\mathbb{R}} -K_S$ and $(S, (1 - \beta)C + \lambda\beta(\frac{1}{2}L_{12} + \frac{1}{2}R + \frac{1}{2}R_1 + \frac{1}{2}R_2))$ is log canonical. By Lemma 3.2, we may assume that one curve among R , R_1 and R_2 is not contained in $\text{Supp}(D)$. Denote this curve by Z , and denote its proper transform on S_1 by Z^1 . Then $P_1 \in Z^1$ and $3 - a - x = Z^1 \cdot \Omega^1 \geq x_1$, which is impossible, since $a + x \leq 2$ and $a + x + 2x_1 > 5$.

We see that $P_1 = B_3^1 \cap F_1$ or $P_1 = B_4^1 \cap F_1$. Without loss of generality, we may assume that $P_1 = B_3^1 \cap F_1$. Then $B_3 \subset \text{Supp}(\Omega)$, since otherwise we would have $2 - a - x = B_3^1 \cdot \Omega^1 \geq x_1$, which is impossible, since $a + x \leq 2$. We write $\Omega = bB_3 + \Delta$, where $b \in \mathbb{R}_{>0}$ and Δ is an effective \mathbb{R} -divisor on S such that $B_3 \not\subset \text{Supp}(\Delta)$. Denote the proper transform of the divisor Δ on S_1 by Δ^1 . Let $y = \text{mult}_P(\Delta)$ and $y_1 = \text{mult}_{P_1}(\Delta^1)$. Then $x = b + y$ and $x_1 = b + y_1$. We have $b - a + y \leq 1$ by Corollary 5.1 and $a + b + y = a + x \leq 2$, which implies a contradiction $a + x + 2x_1 \leq 2 + 2y + 2b \leq 5$. \square

Lemma 5.9. Suppose that $K_S^2 = 4$. Then (4.2) is log canonical at P .

Proof. Suppose that (4.2) is not log canonical at P . Let us use the notation §2.7. Then $\lambda\beta < \frac{2}{3}$. Without loss of generality, we may assume that $P \in E$. Then $P = E \cap C$. By Lemma 4.8, the point P is not contained in any other (-1) -curve. By Lemma 4.3, we have $E \subset \text{Supp}(D)$.

The log pair $(S, (1 - \beta)C + \lambda\beta(\frac{3}{2}E + \frac{1}{2}(E_1 + E_2 + E_3 + E_4 + E_5)))$ is log canonical and $\frac{3}{2}E + \frac{1}{2}(E_1 + E_2 + E_3 + E_4 + E_5) \sim_{\mathbb{R}} -K_S$. By Lemma 3.2, we may assume that $\text{Supp}(\Omega)$ does

not contain one curve among E_1, E_2, E_3, E_4, E_5 . Intersecting this curve with Ω , we get $a \leq 1$. Let L_1, L_2, L_3, L_4, L_5 be the proper transforms on S of the lines in \mathbb{P}^2 that pass through $\pi(P)$ and $\pi(E_1), \pi(E_2), \pi(E_3), \pi(E_4), \pi(E_5)$, respectively. Then $\frac{2}{3}E + \frac{1}{3}(L_1 + L_2 + L_3 + L_4 + L_5) \sim_{\mathbb{R}} -K_S$, and $(S, (1 - \beta)C + \lambda\beta(\frac{2}{3}E + \frac{1}{3}(L_1 + L_2 + L_3 + L_4 + L_5)))$ is log canonical. By Lemma 3.2, we may assume that $\text{Supp}(\Omega)$ does not contain one curve among L_1, L_2, L_3, L_4, L_5 . Intersecting this curve with Ω , we get $a + x \leq 2$. Recall that $a \leq 1$ by Corollary 5.1. Thus, we have

$$(5.10) \quad a \leq 1, \quad x - a \leq 1, \quad a + x \leq 2,$$

which implies that $x \leq \frac{3}{2}$ and $\lambda\beta(a + x) - \beta \leq 1$. In particular, we have $\lambda\beta x \leq 1$.

Denote the proper transform of the curve E on S_1 by E^1 . Then $\lambda\beta(a + x) - \beta \leq 1$, since $a + x \leq 2$. Thus, the log pair $(S_1, (1 - \beta)C^1 + \lambda\beta a E^1 + \lambda\beta\Omega^1 + (\lambda\beta(a + x) - \beta)F_1)$ is not log canonical at the unique point $Q_1 \in F_1$ by Lemma 3.3. Note that $\lambda\beta(a + x) - \beta > 0$ by Lemma 3.1. Moreover, either $Q_1 = P_1$ or $Q_1 = E^1 \cap F_1$, since otherwise we would have $\lambda x = \lambda\beta\Omega^1 \cdot F_1 > 1$ by Theorem 3.4. If $Q_1 = E^1 \cap F_1$, then Theorem 3.9 implies

$$\lambda\beta(1 + a - x) = \lambda\beta\Omega^1 \cdot E^1 > 2(1 + \beta - \lambda\beta(x + a))$$

or $\lambda\beta x = \lambda\beta\Omega^1 \cdot F_1 > 2(1 - \lambda\beta a)$. The former inequality gives $\lambda\beta(1 + 3a + x) > 2 + 2\beta$, which is impossible since $1 + 3a + x \leq 5$ by (5.10). The latter inequality gives that $\lambda\beta(x + 2a) > 2$, which is impossible since $x + 2a \leq 3$ by (5.10). Thus, we see that $Q_1 = P_1$.

Let R be the proper transform on S of a line in \mathbb{P}^2 that is tangent to $\pi(C)$ at the point $\pi(P)$. Then either $-K_S \cdot R = 3$ or $-K_S \cdot R = 2$. Moreover, $-K_S \cdot R = 3$ if and only if $\pi(R)$ does not contain any of the points $\pi(E_1), \pi(E_2), \pi(E_3), \pi(E_4), \pi(E_5)$.

Suppose that $-K_S \cdot R = 2$. Without loss of generality, we may assume that $R = L_1$. We write $\Omega = bL_1 + \Delta$, where b is a non-negative real number, and Δ is an effective \mathbb{R} -divisor on S whose support does not contain the curve L_1 . Denote the proper transform of the curve L_1 on S_1 by L_1^1 , and denote the proper transform of Δ on S_1 by Δ^1 . Let $y = \text{mult}_P(\Delta)$ and $y_1 = \text{mult}_{P_1}(\Delta^1)$. Then $x = y + b$. Since $(S, (1 - \beta)C + \lambda\beta(E + E_1 + L_1))$ is log canonical and $E + E_1 + L_1 \sim -K_S$, we may assume that $b = 0$ or $\text{Supp}(\Delta)$ does not contain E_1 by Lemma 3.2. Thus, if $b \neq 0$, then $1 - a - b = \Delta \cdot E_1 \geq 0$. With (5.10), this gives $y + 2b \leq 2$ and $2 + a + y + 2b \leq \frac{9}{2}$. On the other hand, we have $2 - a - y = \Delta^1 \cdot L_1^1 \geq y_1$, which implies that $a + 2y_1 \leq 2$, since $y \geq y_1$. Thus, we see that $y_1 \leq 1$. Then $\text{mult}_{P_1}((1 - \beta)C^1 + \lambda\beta\Delta^1) = 1 - \beta + \lambda\beta y_1 \leq 1$. Applying Theorem 3.9, we see that

$$1 - \beta + \lambda\beta(2 - a - y) = ((1 - \beta)C^1 + \lambda\beta\Delta^1) \cdot L_1^1 > 2(1 + \beta - \lambda\beta(a + b + y))$$

or $1 - \beta + \lambda\beta y = ((1 - \beta)C^1 + \lambda\beta\Delta^1) \cdot F_1 > 2(1 - \lambda\beta b)$. This gives $\lambda\beta(2 + a + y + 2b) > 1 + 3\beta$ or $\lambda\beta(y + 2b) > 1 + \beta$. The former inequality is impossible, because $2 + a + y + 2b \leq \frac{9}{2}$. The latter inequality is also impossible, because $y + 2b \leq 2$.

We have $-K_S \cdot R = 3$. Then R is irreducible and $R + E \sim -K_S$. Since $(S, (1 - \beta)C + \lambda\beta(R + E))$ is log canonical, we may assume that $R \not\subset \text{Supp}(\Omega)$ by Lemma 3.2. Denote the proper transform of the curve R on the surface S_1 by R^1 . Then $3 - 2a - x = \Omega^1 \cdot R^1 \geq x_1$, which gives $x + x_1 + 2a \leq 3$. Then $\lambda\beta(a + x + x_1) - 2\beta \leq 1$ by (5.10). Thus, the log pair

$$(S_2, (1 - \beta)C^2 + \lambda\beta\Omega^2 + (\lambda\beta(a + x) - \beta)F_1^2 + (\lambda\beta(a + x + x_1) - 2\beta)F_2)$$

is not log canonical at a unique point $Q_2 \in F_2$ by Lemma 3.3. Note that $\lambda\beta(a + x + x_1) - 2\beta > 0$ by Lemma 3.1. If $Q_2 \neq P_2$ and $Q_2 \neq F_1^2 \cap F_2$, then Theorem 3.4 gives $\lambda\beta x_1 = \lambda\beta\Omega^2 \cdot F_2 > 1$, which is impossible, since $\lambda\beta x_1 \leq \lambda\beta x \leq 1$ by (5.10). If $Q_2 = F_1^2 \cap F_2$, then Theorem 3.4 gives

$$\lambda\beta(a + 2x) - 2\beta \geq (\lambda\beta\Omega^2 + (\lambda\beta(a + x + x_1) - 2\beta)F_2) \cdot F_1^2 > 1$$

which is impossible, since $a + 2x \leq \frac{7}{2}$, by (5.10). Hence, we see that $Q_2 = P_2$.

One has $\lambda\beta(a + x + x_1 + x_2) - 3\beta \leq 1$ by (5.10), since $x + x_1 + 2a \leq 3$ and $x_2 \leq x_1 \leq x$. Thus, it follows from Lemma 3.3 that

$$\left(S_3, (1 - \beta)C^3 + \lambda\beta\Omega^3 + (\lambda\beta(a + x + x_1) - 2\beta)F_2^3 + (\lambda\beta(a + x + x_1 + x_2) - 3\beta)F_3 \right)$$

is not log canonical at a unique point $Q_3 \in F_3$. Note that $\lambda\beta(a + x + x_1 + x_2) - 3\beta > 0$ by Lemma 3.1. If $Q_3 \neq P_3$ and $Q_3 \neq F_2^3 \cap F_3$, then Theorem 3.4 gives $\lambda\beta x_2 = \lambda\beta\Omega^3 \cdot F_3 > 1$, which is impossible, since $\lambda\beta x_2 \leq \lambda\beta x \leq 1$ by (5.10). If $Q_3 = F_2^3 \cap F_3$, then Theorem 3.4 gives

$$\lambda\beta(a + x + 2x_1) - 3\beta = \left(\lambda\beta\Omega^3 + (\lambda\beta(a + x + x_1 + x_2) - 3\beta)F_3 \right) \cdot F_2^3 > 1$$

which contradicts (5.10), since $x + x_1 + 2a \leq 3$. Thus, we have $Q_3 = P_3$. Then Theorem 3.4 gives

$$\beta \geq 4\lambda\beta - 3\beta = C^3 \cdot \left(\lambda\beta\Omega^3 + (\lambda\beta(a + x + x_1 + x_2) - 3\beta)F_3 \right) > 1,$$

which is impossible, since $\beta \in (0, 1]$. □

This completes the proof of Lemma 4.10.

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