The Cohen-Macaulay type of points in

.

generic position

by

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§ 1. Introduction

Let $X = \{P_1, \dots, P_s\}$ be a set of s distinct points in \mathbb{P}_k^n , k an algebraically closed field, and let I be the defining ideal of X in the polynomial ring $R = k[X_0, \dots, X_n]$.

We denote by A the homogeneous coordinate ring of X, $A = R/I = \bigoplus_{t=0}^{\bigoplus} A_t$. We say, following Geramita and Orecchia [G-O₁], that the points P₁,...,P_s are in generic position if the Hilbert function H_A(t) := dim_k A_t satisfies

$$H_A(t) = \min \left\{ s, \binom{n+t}{n} \right\}$$
 for all $t \ge 0$.

It is well-known that A is a Cohen-Macaulay one-dimensional graded k-algebra whose Cohen-Macaulay type $\tau(A)$ is defined as the k-dimension of the socle of an artinian reduction of A.

Let d be the least integer such that $s \leq {\binom{d+n}{n}}$ and let $L \in R_1$ be a non zero divisor on A. If $B = \overset{\bigoplus}{H} B_i$ denote the i=0 denote the i

Since $s(B)_{d-1}$ is the kernel of the linear transformation

 $\varphi : B_{d-1} \longrightarrow Hom_k(B_1, B_d)$

which is induced by the multiplication of $\ \mbox{B}$, it is clear that

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$$\dim_k s(B)_{d-1} \geq \dim_k B_{d-1} - (\dim_k B_1 \cdot \dim_k B_d) .$$

The Cohen-Macaulay type conjecture made by L.G. Roberts in [R] 'is that for a general set of points in generic position in ${\rm I\!P}^n$, we have

$$\dim_k s(B)_{d-1} = \max\{0, \dim_k B_{d-1} - n \cdot \dim_k B_d\}$$
.

This conjecture was verified in \mathbb{P}^2 in [G-M], and, when n > 2, for special values of s in [R], [G-G-R] and [G-O₂].

In this paper we verify the conjecture in its wide generality. Our point of view is to consider the field K which is obtained by adjoining to k new indeterminates $\{u_{ij}\}$, i = 1,...,s and j = 0,...,n. Then we prove that the points $P_1,...,P_s$ with homogeneous coordinates $P_i := (u_{i0},...,u_{in})$ are in generic position in \mathbb{P}_k^n and verify the Cohen-Macaulay type conjecture.

Since this is equivalent to the fact that a certain matrix, whose entries are monomials in the u_{ij} 's, is of maximal tank, our result proves, by specialisation, that almost every set of s points in \mathbb{P}_k^n which are in generic position verify the Cohen-Macaulay type conjecture.

§ 2. Main result

Let k be an algebraically closed field and let $\{u_{ij}\}$ i = 1,...,s j = 0,...,n , be a set of indeterminates over k . Let K be the field obtained by adjoining these indeterminates to k .

Let $X = \{P_1, \ldots, P_s\}$ be the set of the K-rational points in \mathbb{P}_K^n whose coordinates are given by $P_i := (u_{i0}, \ldots, u_{in})$. If we denote by R the polynomial ring $K[x_0, \ldots, x_n]$ and by I the defining ideal of X in R, then A := R/I is the homogeneous coordinate ring of X. The ring A is a K-graded algebra whose Hilbert function $H_A(t)$ is defined as $H_A(t) := \dim_K A_t = \dim_K (R_t/I_t)$.

In the following we consider a total order < on the set of monomials of R which is sensitive to the degree. This induces in a canonical way an order on the monomials in $k[u_{i0}, \ldots, u_{in}]_{b_0}^{b_0}$ for every $i = 1, \ldots, s : u_{i0}^{b_0} \ldots u_{in}^{b_n}$ corresponds to $X_0^{0} \ldots X_n^{n}$. Further, if α is a monomial in $k[u_{ij}]$, it is clear that $\alpha = V_1 \ldots V_s$ where V_i is a monomial in u_{i0}, \ldots, u_{in} . Hence we get an induced order on the monomials of $k[u_{ij}]$ by letting $\alpha = V_1 \ldots V_s > \beta = W_1 \ldots W_s$ if for some $i = 1, \ldots, s-1$ we have $V_1 = W_1, \ldots, V_i = W_i$ and $V_{i+1} > W_{i+1}$.

If F is an homogeneous polynomial of degree t in R, we can write $F = \sum_{i} \alpha_{i}M_{i}$ where $\alpha_{i} \in K$ and $M_{1} > M_{2} > \ldots > M_{r}$ are the monomials of degree t in R. Hence $F \in I$ if and only if $\sum_{i} \alpha_{i}M_{i}(P_{j}) = 0$ for all $j = 1, \ldots, s$. Thus, if we let $a_{ij} := M_{j}(P_{i})$ and $\rho := \operatorname{rank}(a_{ij})$, it is clear that

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$$\dim_{K} I_{t} = \binom{n+t}{n} - \rho \quad \text{for all } t \ge 0 .$$

The size of the matrix (a_{ij}) is $s \times {n+t \choose n}$ and we claim that it has maximal rank, namely

$$\rho = \min\left\{s, \binom{n+t}{n}\right\}$$

The claim can be proved in the following way. If for example we assume $s \leq {\binom{n+t}{n}}$ and consider the $s \times s$ minor of (a_{ij}) involving the first s columns, then its determinant D is not zero since $D = \sum_{\sigma} (-1)^{\sigma} a_{1,\sigma}(1) \cdots a_{s,\sigma}(s)$ and it is clear that, accordingly to the order we have defined, $a_{11}a_{22} \cdots a_{ss} > a_{1,\sigma}(1) \cdots a_{s,\sigma}(s)$ for every $\sigma \neq id$. The same if $s > {\binom{n+t}{n}}$.

In this way we get a complete description of the Hilbert function of A , namely

$$H_A(t) = \min\left\{s, \binom{n+t}{n}\right\}$$
 for all $t \ge 0$.

It turns out that the points P_1, \ldots, P_s are in generic position, and this gives a very easy proof that almost every set of s points in \mathbb{P}_k^n (k infinite) is in generic position (see [G-0₁]).

Let now d be the least integer such that $s \leq {d+n \choose n}$, then d is also the least integer such that $H_{p}(d) = s$.

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Hence, if $B = \bigoplus_{i=0}^{\infty} B_i$ denotes the graded artinian ring $B := A/X_0 A = R/(I, X_0)$, the socle $s(B) = (I/X_0) : R_1/(I, X_0)$ is concentrated in degree d and d-1. Also we get $\dim_K B_d = \dim_K s(B)_d = s - {n+d-1 \choose n}$ and $\dim_K B_{d-1} = {n+d-2 \choose n-1}$. We let $q := {n+d-2 \choose n-1}$, $p := {n+d-1 \choose n}$ and $S := K[x_1, \dots, x_n]$.

Theorem. Under the above assumptions and notations we have:

$$\dim_K s(B)_{d-1} = \max\{0, q+np-ns\}.$$

<u>Proof</u>. We consider in $R = K[X_0, \dots, X_n]$ the order defined on monomials of the same degree by

$$x_0^{a_0} \dots x_n^{a_n} > x_0^{b_0} \dots x_n^{b_n}$$

if the first non zero entry of the vector $(a_0^{-b_0}, \ldots, a_n^{-b_n})$ is negative. For example we have the following chain of monomials in degree say m :

$$x_n^m > x_n^{m-1} x_{n-1} > x_n^{m-2} x_{n-1}^2 > \dots > x_{n-1}^m > x_n^{m-1} x_{n+2} > x_n^{m-2} x_{n-1} x_{n-2} > \dots$$
$$\dots > x_n x_0^{m-1} > \dots > x_1 x_0^{m-1} > x_0^m .$$

This order induces a total order also on the monomials of S ; hence we let $M_1 > M_2 > \ldots > M_q$ be the monomials of degree d-1 in S .

Now it is clear that $s(B)_{d-1} = \left[(I, X_0) : R_1 / (X_0) \right]_{d-1}$, hence $s(B)_{d-1} \cong \left[(I, X_0) : S_1 \right]_{d-1}$ as K-vector spaces. Let $N_1 > N_2 > \dots > N_p$ be the monomials of degree d in R containing X_0 .

If
$$F \in S_{d-1}$$
 and $FS_1 \in (I, X_0)$, we can write

$$F = \sum_{i=1}^{q} \alpha_i M_i, \alpha_i \in K$$

and we can find elements $\beta_{ri} \in K$, i = 1, ..., p, r = 1, ..., nsuch that

$$X_{r}F + \sum_{i=1}^{p} \beta_{ri}N_{i} \in I, \text{ for all } r = 1,...,n.$$

If we let $a_{ij} := M_i(P_j)$ and $b_{ij} := N_i(P_j)$, we get a system of homogeneous equations

$$X_rF(P_j) : u_{jr} \sum_{i=1}^{q} \alpha_i a_{ij} + \sum_{i=1}^{p} \beta_{ri} b_{ij} = 0$$

r = 1, ..., n and j = 1, ..., s.

The matrix associated to the above system is an $ns \times (q + up)$ matrix which we write in the following way.



<u>Claim</u>. This matrix has maximal rank given by the minor involving all the last np columns (note that ns > np; in fact, s > p by the minimality of d).

First we prove that the claim gives the conclusion. In fact if we consider our matrix as the matrix associated to a morphism of K-vector spaces

$$\omega : \kappa^{q+np} \longrightarrow \kappa^{ns}$$

the claim implies dim Ker $\varphi = \min(0,q+np-ns)$ and also that the canonical projection $\pi : K^{q+np} \longrightarrow K^q$ is injective on Ker φ . Hence we get $\dim_K {}^{S}f(B)_{d-1} = \dim_K \pi (\text{Ker } \varphi) = \dim_K \text{Ker } \varphi = \min(0,q+np-ns)$, as wanted.

Proof of the claim.

Case ns \leq q+np.

If we let t = s-p, we get t > 0 and q \geqq nt. We prove that the following ns × ns matrix M, which is obtained by deleting the columns corresponding to $\alpha_{nt+1}, \ldots, \alpha_q$, has nonzero determinant.

	αα 1 nt	^β _{n1} β _{np}			^β 11 ^β 1p
XF(P1)	^a 11 ^u 1n ^{•••a} nt1 ^u 1n	^b 11 ^b p1	\bigcirc	•••••	
		\bigcirc	^b 11 ^b p1		\bigcirc
$x_1^{F(P_1)}$	^a 11 ^u 11 ^a nt1 ^u 11	\bigcirc	\bigcirc	•••••	^b 11 ^b p1
$x_n^F(P_2)$	$a_{12}^{u}_{2n}^{u}_{nt2}^{u}_{2n}^{u}_{2n}$	^b 12 ^b p2	\bigcirc		\bigcirc
•		\bigcirc	^b 12 ^b p2		\bigcirc
x ₁ F(P ₂)	^a 12 ^u 21 ^a nt2 ^u 21		\bigcirc	•••••	^b 12 ^b p2
				· · ·	
X _n F(P _s)	a u ···a u 1s sn nts sn	b _{1s} b _{ps}	\bigcirc		
•			^b 1s ^{…b} ps		\bigcirc
• X ₁ F(P _S)	a sus1antsus1	\bigcirc	\bigcirc		^b 1sb _{ps}

We recall that if $M = (m_{ij})$ is a square matrix of size say v, an M-product is an element $(-1)^{\sigma}m_{1\sigma(1)} \cdots m_{v\sigma(v)}$ where σ is a permutation of $\{1, 2, \dots, v\}$. Thus det M is the sum of the M-products.

Now let D = det M; since every entry of the row corresponding to $X_i F(P_j)$ is a monomial of degree d in u_{jo}, \ldots, u_{jn} , every M-product is a monomial $j = V_1 \ldots V_s$ where, for every $\gamma = 1, \ldots, s, V_j$ is a monomial for degree nd in u_{j0}, \ldots, u_{jn} .

We prove that $D \neq 0$ by checking that there exists a M-product which is greater, in the given order on the monomials of $k[u_{ij}]$, than any other M-product which does not cancel out in the presentation of D.

We denote by $M_{_{\rm C\!C}}$ the submatrix of M corresponding to the first nt columns and by $M_{_{\rm B}}$ that corresponding to the last np .

We have two important remarks.

1. Every M-product which, inside M_{α} , involves two rows corresponding to the same point, can be deleted.

This is clear since for every $1 \le j \le s$ and every $1 \le k < i \le n$,

is a matrix of rank one. Hence such an M-product cancels out in the presentation of D.

2. If γ is a M-product which, inside M_{α} and for some $1 \leq i \leq n$, involves less than t rows corresponding to the variable X_i , then $\gamma = 0$.

For example, if γ , inside M_{α} , involves v rows corresponding to X_1 , with v < t, then, inside $M_{\beta,\alpha}$ involves s-v rows corresponding to X_1 . Since s-v > s-t = p, this implies $\gamma = 0$.

Thus if γ is a M-product which is not 0, then γ , inside M_{α} , involves exactly the rows corresponding to each variable X_n, \ldots, X_1 .

According to the above rules, we consider the M-product $\ \gamma$ which is obtained using the following correspondence

$$\alpha_{i} \longleftrightarrow X_{n-v+1}F(P_{i})$$

$$\beta_{ij} \longleftrightarrow X_{i}F(P_{j}) \quad \text{if } j \leq (n-i)t$$

$$X_{i}F(P_{j+t}) \quad \text{if } j > (n-i)$$

t

where v is the least integer \geq (i/t).

In other words, we associate to the columns $\alpha_1, \ldots, \alpha_t$ the rows $X_n F(P_1), \ldots, X_n F(P_t)$, to the columns $\alpha_{t+1}, \ldots, \alpha_{2t}$ the rows $X_{n-1}F(P_{t+1}), \ldots, X_{n-1}F(P_{2t})$, and so on up to the columns $\alpha_{(n-1)t+1}, \ldots, \alpha_{nt}$ to which we associate the rows $X_1F(P_{(n-1)t+1})$, $X_1F(P_{(n-1)t+1}), \ldots, X_1F(P_{nt})$. As for the remaining n-1 rows corresponding to each point P_1, \ldots, P_{nt} , we choose in M_β , starting from the top, the first admissible nonzero entry on the left. At this point we are left with the rows corresponding to the last s-nt point and with the last p-(n-1)t = s-ntcolumns in each vertical section of M_β . Hence we can choose, for $X_nF(P_{nt+1}), \ldots, X_1F(P_{nt+1})$, the columns $\beta_n, (n-1)t+1, \ldots, \beta_1, (n-1)t+1$, for $X_nF(P_{nt}+2), \ldots, X_1F(P_{nt+2})$, the columns $\beta_n, (n-1)t+2, \ldots, \beta_1, (n-1)t+2$ and so on up to $X_nF(P_{nt+s-nt}), \ldots, X_1F(P_{nt+1-nt})$ for which we choose the columns $\beta_n, (n-1)t+s-nt = \beta_{np}, \ldots, \beta_1, (n-1)t+s.nt = \beta_{1p}$.

It is not difficult to see that we have $\gamma = V_1 \dots V_s$ where

$$\begin{cases} V_{i} = a_{ii}u_{i,n-v+1} b_{i-t}^{v-1} b_{ii}^{n-v} & \text{if } 1 \le i \le nt \\ \\ V_{i} = b_{i-t,i}^{n} & \text{if } nt+1 \le i \le s \end{cases}$$

Since in each horizontal section of M we have

it is clear that the monomial γ is greater than any other M-product which does not cancel out.

Case ns > q+np.

First we remark that it is sufficient to prove the result for the smallest s such that $\binom{n+d-1}{n} < s \le \binom{n+d}{n}$ and ns $\ge q+np$. For such s we let as before t = s-p and $m = nt-q \ge 0$, then m < n (otherwise, $nt-g \ge n$ implies $ns-np-q \ge n$, hence $n(s-1) \ge q+np$, a contradiction).

We prove that if we delete the rows corresponding to $X_1F(P_1), \ldots, X_mF(P_1)$, we get a square matrix N whose determinant is nonzero.

As before we denote by N $_{\alpha}$ and N $_{\beta}$ respectively the " $\alpha\text{-part"}$ and " $\beta\text{-part"}$ of N .

It is clear that the first rule does not change, while the second one should be red in the following way.

Each N-product which is not zero, involves, inside N_{α} , exactly t rows corresponding to each variable X_n, \ldots, X_{m+1} and t-1 rows corresponding to each variable X_m, \ldots, X_1 .

Note that t(n-m) + m(t-1) = q.

According to this remark, we consider the N-product γ which is determined in the following way.

We associate to the columns $\alpha_1, \ldots, \alpha_t$ the rows \vdots $X_n F(P_1), \ldots, X_n F(P_t)$, to the columns $\alpha_{t+1}, \ldots, \alpha_{2t}$ the rows $X_{n-1}F(P_{t+1}), \ldots, X_{n-1}F(P_{2t})$ and so as up to the columns $\alpha_{(n-m-1)t+1}, \ldots, \alpha_{(n-m)t}$ to which we associate the rows $X_{m+1}F(P_{(n-m-1)t+1}), \ldots, X_{m+1}F(P_{(n-m)t})$. Then we are left in N_{α} with q-(n-m)t = m(t-1) columns. Hence we associate to the columns $\alpha_{(n-m)t+1}, \ldots, \alpha_{(n-m)t+t-1}$ the rows As for the remaining n-m-1 rows corresponding to P_1 and the remaining n-1 rows corresponding to each point P_2, \ldots, P_q , we choose in N_β , starting from the top, the first admissible nonzero entry on the left.

In this way we involved each variable X_n, \ldots, X_{m+1} t times in N_{α} , hence q-t times in N_{β} ; as for X_m, \ldots, X_1 they have been involved t-1 times in N_{α} , hence q-(t-1)-1 = q-t times in N_{β} (note that the rows corresponding to the point P_1 do not involve X_m, \ldots, X_1).

Thus we are left with the rows corresponding to the last s-q points and with the last p-(q-t) = s-q columns in each vertical section of N_{β} . Hence we can choose for $X_n^{F(P_{q+1})}, \dots, X_1^{F(P_{q+1})}$, the columns $\beta_{n,q-t+1}, \dots, \beta_{1,q-t+1}$, for $X_n^{F(P_{q+2})}, \dots, X_1^{F(P_{q+2})}$, the columns $\beta_{n,q-t+2}, \dots, \beta_{1,q-t+2}$ and so on up to $X_n^{F(P_{q+s-q})}, \dots, X_1^{F(P_{q+s-q})}$ for which we choose the columns $\beta_{n,q-t+s-q} = \beta_{n,p}, \dots, \beta_{1,q-t+s-q} = \beta_{1,p}$.

As before it is clear that the monomial γ is bigger than any other N-product which does not cancel out. This includes the proof of the theorem.

<u>Remark</u> In order to further clarify the argument of the proof, we give in the Appendix a picture of the matrices M and N corresponding to the case n = 3, s = 23 and n = 3, s = 24(see Figures (1) and (2) of the Appendix). Of course we consider

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only the top part of the matrices, where our choice of the factors of γ is much more subtle.

Note that in the Figures the dots correspond to the nonzero entries of the matrices, while the entries labelled with an X are the factors of γ .

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Fig. 1

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	123456789	123456 20	123456 20	123456 20
31 21	x		x	
11				x
32 22	.x		x	
12				.x
33	x	•••••	Y	
23 13	••••	İ		x
34		x		
24 14	· · · X · · · · · ·		•••••	x
35		.x		
25 15	· · · · · · · · · · · · · · · · · · ·			x
36 26	x	x		
16				x
37		x	v	
17	X			
38		x		
28			X	
18	x.			
39		x		
29			X	
19	x			

n = 3, s = 23, d = 4, q = 10, p = 20, t = 3.

ť	12345678910	123456 20	123456 20	123456 20
31	x		• • • • • • • • • • • • • • • • • • • •	
32	·.x			
22	•••••	,	x	
12	••••			X
33	x			
23	• • • • • • • • • • •		·X	
13				.X
34	x	• • • • • • • • • • • • • • • • • • • •		
24			x	
14				x
35	•••	x		
25	x			
15				X
36		.x		
26	x			·
16	••••			X
37		x		
27	x			
17				X
38		x		
28			x	
18	x		:	
39	• • • • • • • • • •	· · · · X · · · · · · · · · · · · · · ·		
29			x	
19	x.			
310		X		
210		· ·	x.:	
110	x			

n = 3, s = 24, d = 4, q = 10, p = 20, t = 4, m = 2.

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§ 3. The case d = 2

It is clear that the proof of the theorem does not identify in some concrete geometric or algebraic way the non-empty Zariski open set $U_{n,s} \subseteq (\mathbb{P}_k^n)^s$ where the Cohen-Macaulay type takes its minimal value. Hence it could be of some interest the following result.

We recall that a set of points $\{P_1, \ldots, P_s\}$ in \mathbb{P}_k^n is said to be in "general position" if no subset of n+1 points lies on an hyperplane. For example, it is well known that, if V is a reduced irreducible nondegenerate variety in \mathbb{P}_k^m of dimension d and degree s and L is a generic linear subspace of dimension n = m-d in \mathbb{P}_k^m , then the section V A L consists of s points in general position in $L \cong \mathbb{P}_k^n$.

<u>Proposition</u> Let $\{P_1, \ldots, P_s\}$ in \mathbb{P}_k^n be a set of points in generic and general position. If $n+1 < s \le \binom{n+2}{2}$, then $\tau(A) = s-n-1$.

<u>Proof</u> We have $H_A(0) = 1$, $H_A(1) = n+1$, $H_A(2) = s$, hence if L is a linear form which is a non zero divisior modula I, we have with B = A/LA = R/(I,L), $H_B(0) = 1$, $H_B(1) = n$, $H_B(2) = s-n-1$. Thus, we must show that the socle of B is concentrated in degree 2.

We may choose homogeneous coordinates in \mathbb{P}^n so that

 $P_0 := (1,0,\ldots,0) P_1 := (0,1,0,\ldots,0), \dots, P_n := (0,0,\ldots,1)$

Let $F \in R_1$ and $FR_1 \subseteq (I,L)$, then we can write for $i = 0, \ldots, n$,

$$X_{i}F = G_{i} + H_{i}L$$
,

where $G_i \in I$, $H_i \in R_1$. This gives us a system of homogeneous equations

$$\delta_{ij}F(P_j) = L(P_j)H_i(P_j), i = 0,...,n j = 0,...,n$$

Now it is clear that $H_i = \sum_{j=0}^{n} H_i(P_j) X_j = \alpha_i X_i$ if we let $\alpha_i := F(P_i)/L(P_i)$ for all i.

It follows that

$$X_{i}(F-\alpha_{i}L) \in I$$
 for $i = 0, ..., n$.

Since the points are in general position and s > n+1, we can find a new point, say Q, such that Q := $(\beta_0, \ldots, \beta_n)$ with $\beta_i \neq 0$ for all i. Hence $F(Q) = \alpha_i L(Q)$ for all i = 0,...,n. This implies $\alpha_i L(Q) = \alpha_j L(Q)$, hence $\alpha_i = \alpha_j$ for all i and j. Thus $F - \alpha_0 L \in I$: $R_1 = I$, and $F \in (I,L)$ as wanted.

We remark that in the above proposition we cannot delete any of the assumptions.

For example, if $P_0 = (1,0,0)$, $P_1 = (0,1,0)$, $P_2 = (0,0,1)$ and $P_3 = (1,1,0)$, then the Hilbert function of A is $H_A(0) = 1$, $H_A(1) = 3$, $H_A(2) = 4$ hence the points are in generic position in \mathbb{P}^2 . But if $\partial(A) = 1$ then this set of points should be the complete intersection of two conics, a contradiction to the fact that P_0, P_1 and P_3 are on the same line.

On the other hand, if we take 6 points on an irreducible conic in \mathbb{P}^2 , then this set of points is in general position. Since they are the complete intersection of the given conic with a cubic, we have $\partial(A) = 1$ while s-n-1 = 3.

Finally, in [G-M] an example is given of 12 points in \mathbb{P}^2 which are in generic and uniform position but which do not verify the expected value for the type (see [G-M], ex. 4.1).

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