

# **Kleinian Groups Acting On $S^3$ Which Are Extensions**

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# KLEINIAN GROUPS ACTING ON $S^3$ WHICH ARE EXTENSIONS.

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## 1. Introduction.

The main objects of this paper are discontinuous (Kleinian) subgroups of the group  $M(n)$  of conformal transformations of  $\overline{\mathbf{R}}^n = S^n = \mathbf{R}^n \cup \{\infty\}$ .

A group  $G \subset M(n)$  is called discontinuous (Kleinian) if there exists a point  $x \in S^n$  and a neighbourhood  $U(x) \subset S^n$  such that  $\{g \in G : gU(x) \cap U(x) \neq \emptyset\}$  is at most finite. The set of all such points forms domain of discontinuity  $\Omega(G) \subset S^n$ .

We say that a finitely generated Kleinian group  $G \subset M(3)$  is a function group if there exists a connected component  $\Omega_G \subset S^3$  invariant under the action of  $G$ .

One of the most intriguing questions of the present theory is to describe the topological type of the orbifold  $M(G) = \Omega(G)/G$  (a manifold in the case when  $G$  is torsion-free), in particular, when  $G$  is a function group it is important to know in which cases the fundamental group  $\pi_1(M_G = \Omega_G/G)$  turns out to be finitely generated.

It was proved in [Ka-P] that the weakest topological version of the well known finiteness theorem of Ahlfors does not hold in higher dimensions. Namely we constructed a function group  $F \subset M(3)$  such that the group  $\pi_1(\Omega_F/F)$  is not finitely generated.

It has also been shown that there exists a finitely generated Kleinian group with infinitely many conjugacy classes of parabolics [Ka].

On the another hand we constructed a group  $F_1$  without parabolics such that  $\pi_1(\Omega_{F_1}/F_1)$  is not finitely generated [P1]. This construction allows one to get such groups as subgroups (of infinite index) of groups arising as boundary points of the deformation space of fundamental groups of hyperbolic 3-manifolds fibering over the circle [P2]. Moreover, such a group can be realized as a subgroup in a discrete co-compact subgroup of  $Iso(\mathbf{H}^4)$  [Bo-M].

In this paper we are going to interrupt this chain of negative results on the finiteness problem and prove that under some restrictions on the algebraic structure of a Kleinian group we will have that  $\pi_1(M_G)$  is finitely generated.

One can be convinced that all known counterexamples to the finiteness theorem for finitely generated Kleinian groups in higher dimensions arise in the following situation (see

[Ka-P], [Ka], [P1], [P2], [Bo-M]). Suppose we have an exact sequence of finitely generated non-elementary Kleinian groups  $F$  and  $G$

$$1 \rightarrow F \rightarrow G \rightarrow \mathbf{Z} \rightarrow 1 \quad (1)$$

and let  $G$  be geometrically finite, function group satisfying some complementary conditions then  $\pi_1(\Omega_F/F)$  is not finitely generated.

**Question.** Suppose we have the exact sequence (1) of non-elementary function groups acting discontinuously on the main component  $\Omega_G = \Omega_F \subset S^3$ . Assume that  $G$  is geometrically finite then: is the group  $\pi_1(M_F = \Omega_F/F)$  finitely generated iff  $\pi_1(\Omega_F) \cong 1$ ?

The aim of this paper is to give a positive answer to this question in the case when  $G$  is isomorphic to the fundamental group of a 3-manifold.

We will denote by  $X = N \tilde{\times} S^1$  a compact 3-manifold which is a surface bundle over the circle with a compact surface  $N$  as fiber. We recall that a normal non-elementary subgroup in a Kleinian group has the same domain of discontinuity.

**Theorem 1.** *Let  $G \subset M(3)$  be a geometrically finite function group any parabolic element of which is of rank two. Suppose that there exists an isomorphism  $i : G \rightarrow \pi_1(X)$  where  $X = N \tilde{\times} S^1$  is a surface bundle over  $S^1$ . Then the following assertions are equivalent:*

- i)  $\pi_1(\Omega_G) \cong \{1\}$
- ii) *There is a non-trivial normal subgroup  $F_0 \triangleleft G$  of infinite index such that  $\pi_1(M_{F_0} = \Omega_G/F_0)$  is finitely generated.*
- iii) *For every non-trivial normal subgroup  $F \triangleleft G$  of infinite index the group  $\pi_1(M_F = \Omega_G/F)$  is finitely generated.*

Notice that in general there are infinitely many different fibrations on a given 3-manifold  $X$  [T2].

Let us denote by  $p : \Omega_G \rightarrow M_G = \Omega_G/G$  the natural projection, by  $\tau : \pi_1(M_G) \rightarrow G$  the epimorphism induced by  $p$  and let  $i : G \rightarrow \pi_1(X = N \tilde{\times} S^1)$  is an isomorphism. Then there is an infinite regular cyclic covering

$$p_1 : M_F \rightarrow M_G \quad , \quad F = i^{-1}(\pi_1(N)) \quad , \quad (2)$$

and our next result shows that homologically the manifold  $M_F$  is the same as  $N \times \mathbf{R}$  which is an infinite cyclic covering of  $X$ .

For an incompressible surface  $S \subset M_G$  denote by  $j_* : H_1(S) \rightarrow H_1(M_G)$  the map induced by inclusion  $j : S \rightarrow M_G$ . If also  $\tau' = \tau|_{\pi_1(S)} : \pi_1(S) \rightarrow \pi_1(X)$  then by  $\tau'_*$  we mean the corresponding map between first homology groups.

**Theorem 2.** *Suppose that  $G, F$  and  $X$  are as above and  $X$  is a closed 3-manifold fibering over  $S^1$ . Then  $H_*(M_G, \mathbf{Z}) \cong H_*(X, \mathbf{Z})$ . Moreover, there exists an incompressible surface  $S \subset M_G$  such that  $\tau' = \tau|_{\pi_1(S)} : \pi_1(S) \rightarrow F$  is onto and the following diagram is commutative*

$$\begin{array}{ccc}
H_1(S) & \xrightarrow{\tau'} & H_1(F) \\
j_* \searrow & & \nearrow \varphi \\
& H_1(M_F) &
\end{array} \tag{3}$$

The map  $\varphi$  is an isomorphism and  $j_*$  is an epimorphism.

**Remark.** Following [Ku] we call (2) a covering of finite type if the group of Deck-transformations of  $p_1$  is of finite cohomological dimension and  $H^*(M_F)$  is finitely generated. It was shown in [Ku] that a regular covering of finite type has cohomology either of a point, a circle or a compact surface.

We show in Theorem 2 that in the context of Kleinian groups satisfying the conditions above  $p_1$  is of finite type and the first two possibilities are ruled out.

In the Appendix we will obtain as an application of our method some results concerning hyperbolic 3-manifolds. In particular we give a simplified proof of recent result of M.Boileau and S.Wang containing in ([B-W]) that there is countable set  $\mathcal{H}$  of hyperbolic 3-manifolds of finite volume such that for each  $M \in \mathcal{H}$  there is an infinite tower of finite coverings

$$\dots N_i \xrightarrow{p_i} N_{i-1} \xrightarrow{p_{i-1}} \dots \xrightarrow{p_2} N_1 \xrightarrow{p_1} M$$

such that all manifolds  $N_i$  and  $M$  do not fiber over the circle.

**Acknowledgements:** The author is grateful to Peter Waterman who read and corrected the manuscript. I would like also to thank Max-Planck Institut für Mathematik where a substantial part of the writing of the paper was done.

## 2. Background material and preliminary results.

We start from the finitely generated Kleinian group  $G \subset M(3)$  acting discontinuously on  $\Omega(G) \subset S^3$  and we will assume that  $G$  is function,  $G\Omega_G = \Omega_G$  and  $M_G = \Omega_G/G$ . Suppose also that there exists an isomorphism  $i : G \rightarrow \pi_1(X)$  where  $X$  is a compact 3-manifold fibering over the circle.

For the rest of this paper we assume that any parabolic element  $g \in G$  (if there is such) is of rank 2.

A group  $G$  is called geometrically finite if there exists an  $\varepsilon$ -neighbourhood  $N_\varepsilon(M_c^4(G))$  of the convex core of  $M_c^4(G)$  in the 4-dimensional hyperbolic manifold  $M^4(G) = \mathbf{H}^4/G$  such that  $\text{vol}(N_\varepsilon(M_c^4(G))) < +\infty$  (see [Bo], [Mo]).

Coming back to the manifold  $X$  we notice that there exists unique up to isotopy a system of tori  $\mathcal{T} = (T_1, \dots, T_n)$  in  $X$  which are all incompressible, non-parallel and non-isotopic to the boundary such that the components

$$cl(X \setminus \mathcal{T}) = X_1 \cup \dots \cup X_\ell \tag{2}$$

are either hyperbolic surface bundles over  $S^1$  or Seifert fiber spaces [J-S].

The manifold  $X$  is irreducible (each embedded sphere  $S \subset X$  bounds a ball) so there exists a map  $f : M_G \rightarrow X$  compatible with  $\tau$ . Hence, if  $f_* : \pi_1(M(G)) \rightarrow \pi_1(X)$  is the map induced by  $f$  then the following diagram is commutative

$$\begin{array}{ccc} & G & \\ \tau \nearrow & & \searrow i \\ \pi_1(M_G) & \xrightarrow{f_*} & \pi_1(X) \end{array} \quad (*)$$

It is easy to see that non-trivial Seifert components never occur in the decomposition (2) if  $\pi_1(X)$  is isomorphic to  $G \subset M(n)$ . Otherwise, if  $X_i$  is a Seifert manifold which is not  $S^1 \times S^1 \times I$  then there exist non-elementary subgroups  $G_i \hookrightarrow G$  having non-trivial center which is impossible [Ma1].

It has been proved in [P3] that we can choose the map  $f$  in such a way that  $f^{-1}(\mathcal{T})$  is a system  $\mathcal{M} = (\Sigma_1, \dots, \Sigma_r)$  of incompressible surfaces in  $M_G$  realizing geometrically the algebraic splitting

$$G = ((G_1 *_{H_1} G_2 *_{H_2} \cdots *_{H_{n-1}} G_n) *_{H_n} \cdots) \quad (3)$$

where  $G_i$  is isomorphic to a discrete subgroup of  $PSL_2\mathbf{C}$  and  $H_i \cong \mathbf{Z} \oplus \mathbf{Z}$ .

Decomposition (3) follows from the corresponding decomposition of  $\pi_1(X)$  which exists due to the well-known torus decomposition of a Haken 3-manifold.

Recall that geometric realization for any expression of the type

$$G = G_1 *_{H_1} G_2 \quad \text{or} \quad G = G_1 *_{H_1} \quad (3')$$

means that there exists an incompressible surface  $\Sigma \subset M_G$  such that  $\tau(\pi_1(\Sigma)) = H$ ,  $\tau(\pi_1(M_i)) = G_i$  for components  $M_i$  of  $cl(M_G \setminus \Sigma)$  ( $cl(\cdot)$  is the closure of a set).

We will use the above mentioned result [P3] to show that the limit set  $\Lambda(G)$  of the group  $G$  admits some nice description.

Denote by  $\text{int } S$  ( $\text{ext } S$ ) the interior of the bounded (unbounded) component of  $S^3 \setminus S$  for any surface  $S$  embedded in  $S^3$  ( $\infty \in \text{ext } S$ ),  $S^3 = \mathbf{R}^3 \cup \{\infty\}$ .

Let us consider an infinite collection  $L = \bigcup_{i \in I} S_i$  of 2-spheres (topological) satisfying the following conditions

- a.  $S_i \subset S^3$ ,  $\text{int } S_i \cap \text{int } S_j = \emptyset$ ,  $i \neq j$ ,  $i, j \in I$ .
- b. There exists at most one point in  $S_i \cap S_k$  ( $i, j \in I$ ,  $i \neq j$ ) which we call the point of tangency. Each point of tangency divides  $L$  ( $x \in S_i \cap S_k \Rightarrow L \setminus x$  is not connected).
- c. For a given  $C > 0$  there exists at most a finite number of spheres  $S_i \in L$  such that  $\text{dia } S_i > C$  ( $\text{dia}$  is spherical diameter of the set in  $S^3$ ),  $i \in \{1, 2, \dots, n\}$ .

A finite collection  $(S_1, \dots, S_n)$  of spheres  $S_i \in L$  is called a path if  $S_i \cap S_k \neq \emptyset$  only for  $k \in \{i-1, i+1\}$  where  $i \in \{2, \dots, n-1\}$ . An infinite collection  $\Lambda_x$  with the same properties will be called an infinite branch.

d. Every two spheres  $S_i$  and  $S_k$  can be connected by a path  $(S_i, S_{i+1}, \dots, S_k)$ .

e. Every branch  $\Lambda_x$  determines the accumulation point  $x = \lim_{S_n \in \Lambda_x} S_n$  and for each such point  $x$  there is only one branch  $\Lambda_x$ , so if  $x \neq y$  are accumulation points of branches  $\Lambda_x$  and  $\Lambda_y$  then  $\Lambda_x$  and  $\Lambda_y$  contains infinitely many different spheres.

We call the point  $x = \lim_{S_n \in \Lambda_x} S_n$  the infinite point of the branch  $\Lambda_x$  and denote by  $T$  the set of all such points.

**Definition.** The set  $\Lambda = L \cup T$  is called spherical tree if  $\Lambda = cl(L)$  and  $L$  satisfies conditions (a - e).

**3. Theorem 3.1.** *Let  $G$  be a group satisfying the assumptions of Theorem 1 then the limit set  $\Lambda(G)$  is a spherical tree.*

**Proof.** Let us prove Theorem 3.1 by induction on the number  $n$  of non-isotopic tori in the system  $\mathcal{T}$  (see (2)).

When  $n = 0$  then  $G$  is isomorphic to the fundamental group of a hyperbolic 3-manifold  $X = \mathbf{H}^3/\Gamma$ ,  $\Gamma \subset PSL_2\mathbf{C}$  where  $X$  is a surface bundle over  $S^1$  [T1].

By assumption the isomorphism  $i : G \rightarrow \Gamma$  preserves the type of elements of  $G$  so by a theorem of Tukia [Tu]  $\Lambda(G)$  is homeomorphic to  $\Lambda(\Gamma) \cong S^2 = \partial\mathbf{H}^3$  as required.

Suppose now that for  $k \leq n$  the assertion of the Theorem is true. We will prove it for  $k = n$ . Consider the case

$$G = G_k *_H G' \tag{4}$$

where  $H \cong \mathbf{Z} \oplus \mathbf{Z}$ ,  $G'$  is isomorphic to a discrete subgroup of  $PSL_2\mathbf{C}$  and  $G_k \cong \pi_1(X_k)$ . The length of the toral hierarchy in  $X_k$  is at most  $k$ .

**Remark.** The case when  $G$  is HNN-extension,  $G = G_k *_H$ , can be given by analogy.

By [P3] we have an incompressible closed surface  $\Sigma \subset M_G$  such that  $\tau(\pi_1(\Sigma)) = H$ , and for components  $M_i$  of  $cl(M_G \setminus \Sigma)$  we get also  $\tau(\pi_1(M_1)) = G_k$ ,  $\tau(\pi_1(M_2)) = G'$  (recall the epimorphism  $\tau : \pi_1(M_G) \rightarrow G$  comes from the quotient  $\pi_1(M_G)/p_*(\pi_1(\Omega_G)) \cong G$ ).

Let us fix a component  $\sigma^0 \in p^{-1}(\Sigma) \subset \Omega_G$  and by choosing basis points in an appropriate way we can assume that  $H = \text{Stab}(\sigma^0, G) = \{g \in G : g\sigma^0 = \sigma^0\}$ . We know from [P3] that  $H \cong \mathbf{Z} \oplus \mathbf{Z}$  and  $H$  is generated by two parabolic elements  $\alpha, \beta \in G$  and  $\Lambda(H) = \text{Fix}(\alpha) = \text{Fix}(\beta) = a$  is the common fixed point.

The set  $\sigma = cl(\sigma^0) = \sigma^0 \cup \{a\}$  is a closed surface in  $S^3$ . Following [Ma2] we will introduce the following notations.

Denote by  $B'_i$  the components of  $P^{-1}(M_i)$  adjacent along  $\sigma^0$  and let  $B_i$  be open components of  $S^3 \setminus \sigma$  such that  $\text{int}(B'_i) \subset B_i$  ( $\text{int}(\cdot)$  is interior of a set). Evidently  $M_1 = B'_1/G_k$ ,  $M_2 = B'_2/G'$ . Due to the fact that  $\Sigma \subset M_G$  is embedded we immediately obtain

$$\begin{aligned}
g\sigma^0 \cap \sigma^0 &= \emptyset, & g &\in G \setminus H \\
\gamma B_2 \cap B_2 &= \emptyset, & \gamma &\in G_k \setminus H \\
\gamma B_1 \cap B_1 &= \emptyset, & \gamma &\in G' \setminus H \\
h\sigma^0 &= \sigma^0, & h &\in H.
\end{aligned} \tag{5}$$

Moreover we have

**Lemma 3.2.**  $g\sigma \cap \sigma = \emptyset$ ,  $g \in G \setminus H$ .

The proof of this Lemma is contained in [P3] but we present it here briefly. Suppose to the contrary that for some  $\gamma \in G \setminus H$ ,  $\gamma\sigma \cap \sigma \neq \emptyset$  then by (5)  $\gamma a = a$  and  $\gamma \notin H$ . Hence, there exists a non-trivial extension  $H_1$  of  $H$  in  $G$  which is also abelian of rank 2. In the manifold  $X$  the group  $L = i(H)$  is realized by an embedded torus  $T \in \mathcal{T}$ .

By [Fe],  $\pi_1(T)$  is the maximal abelian group of  $\pi_1(X)$ ,  $H = H_1$  and the Lemma is proved.

We claim now that each parabolic element in  $G_k$  or in  $G'$  has rank 2. This follows from the assertion below.

**Lemma 3.3.** *Let  $X$  be a Haken manifold and  $T \subset X$  an embedded incompressible torus inducing the decomposition  $\pi_1(X) = \Gamma_1 *_{\pi_1(T)} \Gamma_2$  for the dividing torus  $T$  and  $\pi_1(X) = \Gamma_1 *_{\pi_1(T)}$  otherwise. If there exist  $a \in \Gamma_1$ ,  $b \in \pi_1(X) \setminus \Gamma_1$  such that  $[a, b] = 1$  then there exists an element  $b_1 \in \Gamma_1 \setminus \langle a \rangle$  such that  $[a, b_1] = 1$ .*

For the proof see [P3, Lemma 5].

By Lemma 3.3 and our induction assumption it now follows that  $\Lambda_k = \Lambda(G_k)$  and  $\Lambda' = \Lambda(G')$  are spherical trees.

Let us consider now an arbitrary reduced word  $w \in G$

$$w = \gamma_1 \cdot \gamma_2 \cdots \gamma_n, \text{ where } \gamma_i \in G' \setminus H_i, \gamma_{i+1} \in G_k \setminus H \text{ or } \gamma_i \in G_k \setminus H_i, \gamma_{i+1} \in G' \setminus H. \tag{5}$$

For a given word  $w$  the number  $n$  does not depend on the decomposition (5) and is called the length  $|w|$  of  $w$  [Ma2].

It is easy to see that  $\Lambda_k \subset B_1$ ,  $\Lambda' \subset B_2$  and  $\{a\} = \Lambda_k \cap \Lambda' \cap \sigma$ . Let us consider the following sets

$$T_n = \bigcup_m (w_{mn}(\Lambda_k) \cup w'_{mn}(\Lambda')) \tag{6}$$

where the union is taken over all words  $w_{mn}$  ( $w'_{mn}$ ) in  $G$  of length  $n$  where the last letter  $\gamma_n$  in the decomposition (5) of  $w_{mn}$  ( $w'_{mn}$ ) belongs to  $G'(G_k)$ .

It was shown in [Ma2] that the limit set  $\Lambda(G)$  has the following description

$$\Lambda(G) = \bigcup_{n=1}^{\infty} T_n \cup T, \tag{7}$$



where  $T = \bigcup_{m=1}^{\infty} \bigcap_{n=1}^{\infty} w_{mn}(B_1) \cup \bigcup_{m=1}^{\infty} \bigcap_{n=1}^{\infty} w'_{mn}(B_2)$  so every  $x \in T$  arises as an intersection of nested domains  $x = \bigcap_{n=1}^{\infty} w_{m_n n}(B_1)$  or  $x = \bigcap_{n=1}^{\infty} w'_{m_n n}(B_2)$  where the sequence  $m_n$  is determined by  $x$ .

The set  $L = \bigcup_{n=1}^{\infty} T_n$  is a union of spheres satisfying condition a. of the definition of the spherical tree.

**Step 1. Condition c.** Consider now an infinite sequence of different spheres  $\tau_n \subset L$  then  $\tau_n \subset w_n(B_i)$  and by our induction hypothesis  $w_n$  are different modulo  $H = G_k \cap G'$ . By Koebe Lemma [Ma2] we get  $\lim_{n \rightarrow \infty} w_n(B_i) = 0$  and so condition c. now follows.

**Step 2. Condition b.** Suppose now  $\{S_i, S_j\} \subset L$  and  $S_i \cap S_j \neq \emptyset$ . We want to show that there exists  $t \in G$  such that  $t(S_i \cap S_j) = \Lambda_k \cap \Lambda' = a$ .

**Claim 3.4.** Let  $|g| > 1$  then  $g\Lambda_k \cap \Lambda_k \neq \emptyset$  and  $g\Lambda' \cap \Lambda' = \emptyset$ ; moreover if  $|g| > 2$  then  $g\Lambda' \cap \Lambda_k = \emptyset$ .

**Proof.** Recall  $g$  has a decomposition of the form (5). We will prove that  $g\Lambda_k \cap \Lambda_k \neq \emptyset$  if  $|g| > 1$  (the inequality  $g\Lambda' \cap \Lambda' \neq \emptyset$  is similar).

Suppose at first that  $\gamma_n \in G_k$  then  $\gamma_{n-1}\gamma_n\Lambda_k \subset \gamma_{n-1}B_1 \subset B_2$ ,  $\gamma_{n-1}\gamma_n\Lambda_k \cap \partial B_2 = \emptyset$ . Hence,  $g\Lambda_k \subset \text{int}(\gamma_1 \cdots \gamma_{n-2}B_2) \subset \text{int}(\gamma_1 B_2)$  if  $\gamma_1 \in G_k$  and  $g\Lambda_k \subset \text{int}(\gamma_1 B_1)$  if  $\gamma_1 \in G'$ . In both cases  $g\Lambda_k \cap \text{cl}(B'_1) = \emptyset$  and  $g\Lambda_k \cap \Lambda_k = \emptyset$  because  $\Lambda_k \subset \text{cl}(B'_1)$ .

If now  $\gamma_n \in G'$  then the same observations lead us to the conclusion  $g\Lambda_k \subset \gamma_1 \cdots \gamma_{n-1}(B_2)$  and also  $g(\Lambda_k) \cap \text{cl}(B'_1) = \emptyset$  and we are done.

Assume now  $g\Lambda' \cap \Lambda_k \neq \emptyset$ ,  $|g| > 2$  and either  $\gamma_n \in G_k$  in which case  $g\Lambda' \subset \text{int} g\gamma_n^{-1}(B_1)$  and thus  $g\Lambda' \cap \Lambda_k = \emptyset$  or  $\gamma_n \in G'$  and, hence,  $g\Lambda' \subset \text{int}(g\gamma_n^{-1}\gamma_{n-1}^{-1})(B_1)$ . We obtain also  $g\Lambda' \cap \Lambda_k = \emptyset$ . Thus  $g\Lambda' \cap \Lambda_k \neq \emptyset$  is possible iff  $g \in G_k$  or  $g = \gamma_1 \cdot \gamma_2$ ,  $\gamma_1 \in G_k$ ,  $\gamma_2 \in G'$ . Claim is proved.

By (6 - 7) there exists a pair of elements  $\{g_i, g_j\} \in G$  such that  $g_i S_i = S'_i$ ,  $g_j S_j = S'_j$  for which  $\{S'_i, S'_j\} \subset \Lambda' \cup \Lambda_k$ .

We will prove condition b. in the assumption that  $S'_i \in \Lambda_k$ , the case  $S'_i = \Lambda'$  can be done by analogy.

We have  $S'_i \cap g_i g_j^{-1}(S'_j) \neq \emptyset$  and notice that if  $S'_j \in \Lambda_k$  then by Claim 3.4  $g_i g_j^{-1} \in G_k$  and  $S''_j = g_i g_j^{-1}(S'_j) \in \Lambda_k$ . Thus, by induction, the point  $x = S'_i \cap S''_j$  divides  $\Lambda_k$ . On the other hand the point  $a = \Lambda(H) = \Lambda_k \cap \Lambda'$  divides  $L$ . Otherwise there exists a simple loop  $\alpha \subset L$  such that  $\alpha \cap \Lambda_k \neq \emptyset$ ,  $\alpha \cap \Lambda' \neq \emptyset$ ,  $a \in \alpha$ . Here the intersection index between homology classes of  $\alpha$  and surface  $\sigma$  is non-trivial ( $\sigma \cap \Lambda(G) = \{a\}$ ) which is impossible because  $\sigma$  divides  $S^3$ . Thus,  $L \setminus \{a, x\}$  contains 3 components and  $L \setminus \{x\}$  is not connected. It follows now that  $g_i^{-1}(x) = S_i \cap S_j$  divides  $L$ .

It remains to consider the case when  $S'_j = \Lambda'$ . Notice that  $S'_i \cap g_i g_j^{-1}(\Lambda') \neq \emptyset$  and  $\Lambda_k \cap g'(\Lambda') \neq \emptyset$  for  $g' = g_i g_j^{-1} \in G$ . By Claim 3.4,  $g' = \gamma_1 \cdot \gamma_2$  or  $g' = \gamma_1$ ,  $\gamma_1 \in G_k$ ,  $\gamma_2 \in G'$ . Hence,  $\Lambda_k \cap g'(\Lambda') = \gamma_1(\Lambda_k \cap \Lambda') = \gamma_1(a)$  because  $\gamma_1 \Lambda_k = \Lambda_k$ .

Finally, we have proved that if  $S_i \cap S_j \neq \emptyset$  then there always exists  $t \in G$  such that  $t(S_i \cap S_j) = \Lambda_k \cap \Lambda' = a$ . As we have shown the point  $a$  divides  $L$  so is  $t^{-1}(a)$ . Condition b. is proved.

**Step 3. Condition d.** We will prove that for  $S \subset L$  there exists a finite path  $(S_1, \dots, S)$  connecting  $S$  with  $S_1 = \Lambda'$  or with  $S_1 \in \Lambda_k$  and  $S = g(S_1)$ ,  $g \in G$ .

Let us prove this by induction on the length of  $g$ . If  $|g| = 1$  then we are given four possibilities.

- I.  $S_1 \in \Lambda_k$ ,  $g \in G_k$ .
- II.  $S_1 \in \Lambda_k$ ,  $g \in G'$ .
- III.  $S_1 \in \Lambda'$ ,  $g \in G_k$ .
- IV.  $S_1 \in \Lambda'$ ,  $g \in G'$ .

Consider first II. We have that  $S_1$  can be connected by a path  $\ell$  with the sphere  $\tau \in \Lambda_k$  such that  $\tau \cap \Lambda' = \Lambda_k \cap \Lambda' = a$  due to our assumption that  $\Lambda_k$  is a spherical tree. Hence  $g(\tau) \cap \Lambda' \neq \emptyset$  and we get a path  $\ell \cup g(\ell) \cup \Lambda' = (S_1, \dots, \tau, \Lambda', g(\tau), \dots, S)$ .

Case III is analogous to II and cases I and IV are trivial.

Suppose we have proved our assertion for all words of length less than  $n$  and let  $S = \gamma_1 \gamma_2 \dots \gamma_n(S_1)$ ,  $\gamma_i \in (G_k \cup G') \setminus H$ . Thus,  $S' = \gamma_2 \dots \gamma_n(S_1)$  can be connected by a path  $\ell'$  with  $S_1$ . Again there are two possibilities:  $\gamma_1 \in G_k$  or  $\gamma_1 \in G'$ . Considering the first we obtain  $S'' = \gamma_1(S_1)$  which can be connected with  $S_1$  by a path  $\ell''$ . By the considerations above the path  $\ell = \gamma_1 \ell'' \cup \ell'' \cup \ell'$  is required.

**Step 4.** Consider an infinite branch  $\Lambda_x \subset \Lambda(G)$  consisting of spheres  $\{S_1, \dots, S_n, S_{n+1}, \dots\}$ ,  $S_m \cap S_n \neq \emptyset$  only for  $m \in \{n+1, n-1\}$ .

Condition e. will now follow from

**Lemma 3.5.** *The embedding  $\Lambda(G) \hookrightarrow S^3$  has the property that if  $\Lambda_x \neq \Lambda_y$  then there exists a constant  $C$  (depending on  $\Lambda$ 's) such that  $\text{dia}(\Lambda_x, \Lambda_y) \geq C > 0$ .*

**Proof.** First let us show that for every point  $x \in T$  of formula (7) we can find the appropriate infinite branch  $\Lambda_x$ . Suppose for concreteness  $x = \bigcap_{n=1}^{\infty} w_{m,n}(B_1) = \lim_{n \rightarrow \infty} w_{m,n}(\tau)$ , with  $\tau \in \Lambda_k$ ,  $\tau \cap \Lambda' = a$ . Connecting each sphere  $w_{m,n}(\tau)$  with  $\tau$  by a path  $\ell_n$ ; by property d. we get the infinite branch  $\Lambda_x = \bigcup_{n=1}^{\infty} \ell_n$  with  $x$  as infinite point.

Suppose now we are given two branches  $\Lambda_x$  and  $\Lambda_y$  such that  $\Lambda_x \neq \Lambda_y$ . By step 3, up to a finite collection of spheres, we can assume that the branches  $\Lambda_x = (\mu_1, \mu_2, \dots)$ ,  $\Lambda_y = (\xi_1, \xi_2, \dots)$  have common first sphere  $\mu_1 = \xi_1$  and all the rest distinct. Let  $t_1 = \mu_2 \cap \mu_1$ ,  $t_2 = \mu_1 \cap \xi_2$  and  $e_1 = \Lambda_x \setminus \mu_1$ ,  $e_2 = \Lambda_y \setminus \mu_1$ . By step 2 we get  $w_i \in G$  such that  $w_i(t_i) = a = \sigma \cap \Lambda(G)$ . Take surfaces  $\sigma_i = w_i^{-1}(\sigma)$  invariant under groups  $w_i H w_i^{-1}$ ,  $\sigma_i \cap \Lambda(G) = t_i$ .

We claim now that there exist two components of the set  $cl(S^3 \setminus \sigma_i)$  such that  $e_i \subset \sigma_i^-$  and  $\sigma_1^- \cap \sigma_2^- = \emptyset$ . Indeed, the surface  $\sigma_1$  (respectively  $\sigma_2$ ) separates the sphere  $\mu_1$  from

$\mu_2$  (respectively  $\mu_1$  from  $\xi_2$ ), it means that  $\mu_1$  and  $\mu_2$  (respectively  $\mu_1$  and  $\xi_2$ ) lie in different components of  $cl(S^3 \setminus \sigma_1)$  ( $cl(S^3 \setminus \sigma_2)$ ). By connectedness of  $e_i$  and the fact that  $\sigma_i \cap \Lambda(G) = t_i$  we get that  $e_i \subset \sigma_i^-$  for components  $\sigma_i^- \subset S^3 \setminus \sigma_i$  not containing  $\mu_1$ .

Now  $\sigma_i$  are closed in  $S^3$  and  $\sigma_1 \cap \sigma_2 = \emptyset$ . Hence,  $\text{dia}(\sigma_1, \sigma_2) > 0$ . Lemma 3.5. and Theorem 3.1 are proved.

4. Suppose that  $\Lambda$  is a spherical tree and  $T$  is the set of all infinite points of  $\Lambda$  then our main goal now is

**Theorem 4.1.**  $H_1^o(\Lambda, T) \cong \tilde{H}_0^o(T)$  (open, reduced, with integer coefficients).

**Proof.** Consider an arbitrary cycle  $z \in Z_1^o(\Lambda, T)$ . Let  $\partial_* z = \Sigma x_i$  where  $x_i \in T$  and  $\partial_*$  is the boundary  $z$  in  $C_1^o(\Lambda)$ .

As we have shown before there exists a unique infinite branch  $\Lambda_{x_i}$  of the tree  $\Lambda$  the infinite point of which is  $x_i$  ( $\Lambda_{x_i} = \bigcup_{n=1}^{\infty} S_n^i$ ,  $\lim_{n \rightarrow \infty} S_n^i = x_i$ ).

It is easy to see that  $z$  intersects  $\Lambda_{x_i}$  in an infinite number of spheres  $S_n \in \Lambda_{x_i}$ . Now if  $z \cap S_r \neq \emptyset$  and  $z \cap S_m \neq \emptyset$ ,  $m > r$  then  $z \cap S_{r+j} \neq \emptyset$  because each  $S_{r+j}$  divides  $\Lambda$ , here  $S_{r+j} \in \Lambda_{x_i}$  ( $j = 1, \dots, m - r$ ).

Hence,

$$z \cap \Lambda_{x_i} = \sum_j z_{x_j}^j,$$

where  $z_{x_j}^j$  are half-infinite connected 1-chains from  $C_1^o(\Lambda)$  such that  $z_{x_j}^j \subset \Lambda$  and the infinite point of  $z_{x_j}^j$  is exactly  $x_j \in T$ . The last formula follows from the following simple observation:

“If  $z$  leaves  $\Lambda_{x_i}$  in a point  $b$  then  $z$  can return to  $\Lambda_{x_i}$  only through  $b$ ”.

This slogan is true because  $b$  divides  $\Lambda$ . So, the chain  $w_j = z_{x_i}^j + z_{x_i}^{j+1}$  ( $j \geq 2$ ) is a closed path in  $\Lambda_{x_i}$ . On the other hand  $w_j = \sum_n w_n^j$ , where  $w_n^j$  is a closed curve in sphere  $S_n \in \Lambda_{x_i}$ , which again follows from the fact that the points  $S_n \cap S_{n+1}$  divides  $\Lambda$ .

Thus, our cycle  $z$  is homologous to the cycle

$$\hat{z} = \sum_{\mu \in M} z_\mu,$$

where  $z_\mu \in C_1^o(\Lambda, T)$ ,  $\partial_* z_\mu = \{x_\mu, x_0\} \in T$ ,  $\text{int } z_\mu \cap T = \emptyset$ ,  $x_\lambda \cap x_\mu = \emptyset$ ,  $\lambda, \mu \in M$ ,  $\lambda \neq \mu \neq 0$ .

Indeed, we just fix one point  $x_0 \in \partial_* z$  and consider all infinite 1-chains  $z_\mu \subset z$  connecting  $x_0$  with any point  $x_\mu \in \partial_* z$ , by previous considerations  $z_\mu$  does not contain any other point from  $T$  and moreover  $x_\mu$  is a boundary of unique 1-chain  $z_\mu \in C_1^o(\Lambda, T)$ .

Say that  $\widehat{z}$  is a standard representative of the class  $[z]$  in  $H_1^o(\Lambda, T)$ . Suppose that we have now two elements  $[z]$  and  $[w]$  from this group such that  $\partial_*[z] = \partial_*[w]$ . Let us take standard representatives

$$\widehat{z} = \sum_{\mu} z_{\mu},$$

$$\widehat{w} = \sum_{\mu} w_{\mu}.$$

We have  $\partial_* z_{\mu} = \partial_* w_{\mu}$  and the cycle  $u_{\mu} = z_{\mu} - w_{\mu}$  is homologous to zero. Indeed,  $u_{\mu} \cap T = \partial_* z_{\mu} = \partial_* w_{\mu}$  and by using again the fact that each point  $x_{\mu} \in \partial_* z_{\mu}$  is determined by a unique branch  $\Lambda_x$  we get

$$u_{\mu} = \sum_j u_{\mu}^i, u_{\mu}^j \subset S_j.$$

Thus,  $[z] = [w]$  in  $H_1^o(\Lambda, T)$ . Lemma is proved.

**Corollary 4.2.**  $H_1(\Lambda) = 0$  and  $H_1(\Omega_G) = 0$

**Proof.** Indeed from the exact sequence of pair we have

$$0 \rightarrow H_1^o(\Lambda) \rightarrow H_1^o(\Lambda, T) \rightarrow \widetilde{H}_0^o(T) \rightarrow 0$$

and by Theorem 4.1 we obtain  $H_1^o(\Lambda) = 0$  but  $\Lambda$  is closed so,  $H_1^o(\Lambda) = H_1(\Lambda) = 0$ .

From Theorem 3.1 and the formula above it follows that  $H_1(\Lambda(G)) \cong 0$ , so by Alexander duality we immediately obtain

$$H^1(S^3 \setminus \Lambda(G)) = H_1(\Omega_G) = H_1(\Lambda(G)) \cong 0. \quad (8)$$

The Corollary is proved. *QED.*

## 6. Proof of Theorem 1.

Given Corollary 4.2 the proof of Theorem 1 which we restate below is now fairly easy.

**Theorem 1.** *Let  $G \subset M(3)$  be a geometrically finite function group any parabolic element of which is of rank two. Suppose that there exists an isomorphism  $i : G \rightarrow \pi_1(X)$  where  $X = N \widetilde{\times} S^1$  is a surface bundle over  $S^1$ . Then the following assertions are equivalent:*

- i)  $\pi_1(\Omega_G) \cong \{1\}$
- ii) *There is a non-trivial normal subgroup  $F_0 \triangleleft G$  of infinite index such that  $\pi_1(M_{F_0} = \Omega_G/F_0)$  is finitely generated.*
- iii) *For every non-trivial normal subgroup  $F \triangleleft G$  of infinite index the group  $\pi_1(M_{F_0} = \Omega_G/F_0)$  is finitely generated.*

*Proof of Theorem 1.* Obviously the condition ii) is the weakest one, so to prove the Theorem it is enough to show the equivalence:  $i) \iff ii)$ .

The implication  $i) \implies ii)$  is obvious. Let us prove the converse statement.

The group  $F_0$  is normal in  $G$  and non-elementary, so they both have the same limit set and act on the same component  $\Omega_G$  [Ma1]. The maps  $\Omega_G \xrightarrow{p_2} M_{F_0} \xrightarrow{p_1} M_G$  are regular infinite coverings, where  $p = p_1 \circ p_2 : \Omega \rightarrow M_G = \Omega_G/G$  is the natural projection.

If  $\pi_1(M_{F_0})$  is finitely generated then by Stallings fibration theorem [He, Th. 11.1] the group  $\pi_1(M(F_0))$  is isomorphic to either the fundamental group of a closed surface or to a free group.

Hence, for  $\pi_1(\Omega_G)$  one has the following trihotomy: either it is isomorphic to the fundamental group of a closed surface, or it is isomorphic to a free group, or is trivial group.

Notice that the first two possibilities are ruled out since  $\pi_1(\Omega_G) = [\pi_1\Omega_G, \pi_1\Omega_G]$  by Corollary 4.2. The remaining possibility is the desired one. The Theorem is proved. *QED.*

## 6. Proof of Theorem 2.

We will assume that  $i : G \rightarrow \pi_1(X)$  is an isomorphism and the manifold  $X$  is a surface bundle over a closed surface  $N$ . Let  $p : \Omega_G \rightarrow M_G = \Omega_G/G$  be a regular covering and  $G \subset M(3)$  be a function group acting on an invariant component  $\Omega_G \subset S^3$ .

We recall that  $\tau : \pi_1(M_G) \rightarrow G$  is a natural isomorphism induced by  $p$ ,  $F = i^{-1}(\pi_1(N))$  and  $p_1 : M_F = \Omega_G/F \rightarrow \Omega_G/G$  is an infinite regular cyclic covering.

**Theorem 2.** *Suppose that  $G, F$  and  $X$  are as above and  $X$  is a closed 3-manifold fibering over  $S^1$ . Then  $H_*(M_G, \mathbf{Z}) \cong H_*(X, \mathbf{Z})$ . Moreover, there exists an incompressible surface  $S \subset M_G$  such that  $\tau' = \tau|_{\pi_1(S)} : \pi_1(S) \rightarrow F$  is onto and the following diagram is commutative*

$$\begin{array}{ccc} H_1(S) & \xrightarrow{\tau'} & H_1(F) \\ j_* \searrow & & \nearrow \varphi \\ & H_1(M_F) & \end{array} \quad (3)$$

*The map  $\varphi$  is an isomorphism and  $j_*$  is an epimorphism.*

Let us consider again the commutative diagram (\*) in §2. By [He, Lemma 6.5], we have up to small isotopy that  $f^{-1}(N) = \mathcal{M} = \{S_1, \dots, S_m\}$  is a collection of incompressible surfaces in  $M_G$ . We can choose a loop  $\beta \subset \pi_1(X)$  the intersection number of which with  $N$  is one.

Then for any loop  $\alpha \subset M_G$  which meets  $f^{-1}(N)$  transversely  $f_*([\alpha]) = [\beta]^n$  where  $n$  is the sum of "signed" intersection numbers of  $\alpha$  with  $\mathcal{M}$ . Choosing  $\alpha$  in such a way that  $n = 1$  we get that  $M_G \setminus S_i$  is not connected for some  $S_i \in \mathcal{M}$ . Put  $S = S_i$

**Step 1.** *Let  $F_0 = \tau(\pi_1(S))$ , then*

$$G = \Gamma *_{F_0} \quad (10)$$

**Proof.** Consider one preimage  $\Sigma_1 \in p^{-1}(S)$ . All images of  $\Sigma_1$  are disjoint, so

$$g\Sigma_1 \cap \Sigma_1 = \emptyset, g \in G \setminus F_0 \quad (11)$$

$$f\Sigma_1 = \Sigma_1, f \in F_0,$$

where  $F_0 = \text{Stab}(\Sigma_1, G)$ .

By Alexander duality  $H^2(\Omega_G) = H_1^o(\Omega_G)$  (open, with integer coefficients), and  $H_1^o(\Omega_G) = H_1(S^3, \Lambda(G)) \cong \tilde{H}_0(\Lambda(G))$ . By Theorem 3.1  $\Lambda(G)$  is connected, so  $H_2(\Omega_G) \cong 0$ . It follows that  $\Sigma_1$  divides  $\Omega_G$ .

Take a component  $\tilde{M} \in p^{-1}(M)$  of the manifold  $M = cl(M_G \setminus S)$  such that  $\Sigma_1 \in \partial\tilde{M}$  and let  $\Gamma = \text{Stab}(\tilde{M}, G) = \{g \in G : g\tilde{M} = \tilde{M}\}$ . Obviously  $M = \tilde{M}/\Gamma$ . The conclusion of Step 1 now follows from [Ma 2]. For completeness we present here these considerations.

Let  $\gamma = \tau([\alpha])$  then  $\gamma(\text{int } \tilde{M}) \cap \tilde{M} = \emptyset$  and, if  $\Sigma_2 = \gamma\Sigma_1$  we denote by  $B_i$  open components of  $\Omega_G \setminus \Sigma_i$  not containing  $\tilde{M}$  and it is not hard to see that up to choice of notations  $\gamma(B_1) = \text{int}(\Omega_G \setminus B_2)$ . Let also  $H_i = \text{Stab}(\Sigma_i, G)$  and  $H_2 = \gamma H_1 \gamma^{-1}$ .

The group  $G$  is generated by  $\Gamma$  and  $\gamma$  because  $\pi_1(M_G) = \langle \pi_1(M), [\alpha] \rangle$ . Now for an arbitrary word  $w$  we get

$$\begin{aligned} w &= \gamma^{\alpha_n} \cdot g_n \cdot \gamma^{\alpha_{n-1}} \cdot g_{n-1} \cdots \gamma^{\alpha_1} \cdot g_1 \\ g_j &\neq 1, \alpha_i \neq 0, \quad i \in (1, \dots, n-1), j \in (2, \dots, n). \end{aligned}$$

Following [Ma 2, §5] we prove that  $w(x) \neq x$  for any  $x \in \text{int } \tilde{M}$ . Indeed,  $\gamma^{\alpha_1} \cdot g_1(x) \in B_1 \cup B_2$  (because,  $\gamma\tilde{M} \subset B_2, \gamma^{-1}\tilde{M} \subset B_1$ ). Further  $g_2 \cdot \gamma^{\alpha_1} \cdot g_1(x) \in \text{int}(g_2(B_2 \cup B_1)) \subset S^3 \setminus \tilde{M}$  and finally  $w(x) \notin \text{int } \tilde{M}$  so every relation in  $G$  is a consequence of relations in  $\Gamma$  or the relation  $H_2 = \gamma H_1 \gamma^{-1}$  as required.

**Step 2.**  $F_0$  contains a subgroup  $K_0$  isomorphic to the fundamental group of a closed surface.

**Proof.** Consider the decomposition of  $\pi_1(X)$  arising from (10).

$$\pi_1(X) = \Gamma'_{*F'_0}, \quad \Gamma' = i(\Gamma), \quad F'_0 = i(F_0) \quad (10')$$

Let us construct 2-dimensional CW-complexes  $Y_1$  and  $Y_2$  such that  $\pi_1(Y_1) \cong \Gamma'$ ,  $\pi_1(Y_2) \cong F'_0$  and a complex  $Y = Y_1 \cup (Y_2 \times I)$  for which  $\pi_1(Y) \cong \pi_1(X)$  and let  $j$  this isomorphism. There is a map  $\psi : X \rightarrow Y$  inducing  $j$ ,  $\psi_* = j$ . Again by small isotopy  $\psi^{-1}(Y_2 \times \{1/2\})$  is a collection of incompressible closed surfaces  $\mathcal{R} = (R_1, \dots, R_d)$ .

By construction,  $\psi_*(\pi_1(R_i)) \subset \pi_1(Y_2)$ . QED

**Step 3.**  $F_0 = F$ .

**Proof.** We have  $f_*(\pi_1(S)) = i \cdot \tau(\pi_1(S)) = i(F_0) \subset \pi_1(N)$  (see diagram (\*) in item 2.) and  $F_0 \subset F = i^{-1}(\pi_1(N))$ . By step 2 we get an incompressible surface  $R \subset X$  such that  $\pi_1(R) = K'_0 \subset F'_0 \subset \pi_1(N)$ , so index  $|\pi_1(N) : K'_0|$  is finite. The group  $K'_0$  is realized by the fundamental group of the closed embedded surface  $R$ , so  $K'_0$  is the maximal surface group in  $\pi_1(X)$  [Fe], hence,  $K'_0 = \pi_1(N)$  and we immediately obtain  $F'_0 = \pi_1(N), F_0 = F$ . QED

Consider now the commutative diagram

$$\begin{array}{ccc}
\pi_1(M_G) & \xrightarrow{\tau} & G \\
\mu_1 \downarrow & & \downarrow \mu_2 \\
H_1(M_G) & \xrightarrow{\tau_*} & H_1(G)
\end{array} \tag{11}$$

where  $\mu_i$  are "abelianization" maps and  $\tau_*$  is induced by  $\tau$ . It is easy to see that  $\tau_*$  is onto. Suppose now  $\tau_*(g) = 0$ ,  $g \in H_1(M_G)$  and  $g' \in \pi_1(M_G)$  for which  $\mu_1(g') = g$ . If  $g'' = \tau(g')$  then  $g'' \in [G, G]$  (the commutator subgroup) and  $\mu_2(g'') = 0$ . So, we find that  $g' = q \cdot w$  where  $q \in [\pi_1(M_G), \pi_1(M_G)]$  and  $w \in p_*(\pi_1(\Omega))$ , hence,  $\mu_1(g') = \mu_1(w) = 0$ , because each preimage in  $p^{-1}(w)$  is a cycle homologous to zero by (8).

We proved that  $\tau_*$  is isomorphism.

By duality,  $H^2(M_G) \cong H_1(M_G) \cong H^2(X)$ , and  $H_2(M_G) \cong H_2(X)$  because  $H_1(M_G) \cong H_1(X)$ .

If now  $p_1 : M_F \rightarrow M_G$  is a regular cyclic covering then the considerations above give an isomorphism  $\varphi : H_1(M_F) \rightarrow H_1(F)$  and if  $\tau'_*(s) = 0$  for  $s \in H_1(S)$  then  $s \in \mu_1((p_1)_*(\pi_1(\Omega_G)))$  and  $j_*(s) = 0$  (see diagram (3)), here  $(p_1)_* : \pi_1(M_F) \rightarrow \pi_1(M_G)$  is monomorphism induced by  $p_1$ . Theorem 2 is proved.

## 7. Appendix. Some applications.

As application of the above method we will give (our Corollary 7.2) a of the following.

**Theorem** [Boileau-Wang, B-W]. *There is countable set  $\mathcal{H}$  of hyperbolic 3-manifolds of finite volume  $\mathcal{H} = \{M_1, \dots, M_n, \dots\}$  such that for each  $M \in \mathcal{H}$  there is an infinite tower of finite coverings  $p_i$*

$$\dots N_i \xrightarrow{p_i} N_{i-1} \xrightarrow{p_{i-1}} \dots \xrightarrow{p_3} N_1 \xrightarrow{p_1} M \tag{12}$$

and all manifolds  $N_i$  and  $M$  do not fiber over the circle.

This related somehow to the well-know Thurston's conjecture that is any finite volume hyperbolic 3-manifold finitely covered by a circle bundle?

Obviously, we can not guarantee that (12) gives the complete list of all finite coverings over  $M$ . Our proof does not use degree one maps which was a crucial tool of [B-W] and seems to be more elementary. Although we also use essentially the notion of totally null-homotopic knots due to [BDM]. Our proof is based on the following simple fact which was used somehow in the previous chapter.

**Key Observation.** *Suppose that  $M$  is a compact 3-manifold which admits an infinite covering  $p : \Omega \rightarrow M$  such that  $H_1(\Omega, \mathbf{Z}) = 0$  (with compact support) and  $\Omega$  is not simply connected then  $M$  does not fiber over the circle.*

**Proof.** Suppose to the contrary that  $M$  fibers over the circle. Then there is an infinite cyclic covering  $p_1 : M(F) \rightarrow M$  corresponding to a normal surface subgroup  $f \triangleleft \pi_1(M)$  and we have the diagram of coverings

$$\begin{array}{ccc} \Omega & & M(F) \\ \searrow p & & \swarrow p_1 \\ & M & \end{array} \quad (13)$$

Our goal is to show that the diagram (13) can be made commutative or else that the  $p_1$  can be lifted to a covering  $p_2 : \Omega \rightarrow M(F)$ .

Indeed, we have  $p_*([\pi_1\Omega, \pi_1\Omega] = \pi_1\Omega) \subset [\pi_1M, \pi_1M] \subset \pi_1M$ , where we denote  $[G, G]$  the commutator subgroup of  $G$ . On the other hand  $[\pi_1M, \pi_1M] \subset (p_1)_*(\pi_1M(F))$ , hence  $p_*([\pi_1\Omega, \pi_1\Omega]) \subset (p_1)_*(\pi_1M(F))$ .

We have proved that there is a covering  $p_2 : \Omega \rightarrow M(F)$  such that  $p = p_1 \circ p_2$ . The group  $\pi_1(M(F))$  is isomorphic either to the fundamental group of a closed surface or to a free group of finite rank, so for  $\pi_1\Omega$  we have three possibilities: a)  $\pi_1\Omega$  is trivial group, b)  $\pi_1\Omega$  is the fundamental group of a closed surface or c)  $\pi_1\Omega$  is a free group (possibly of infinite rank).

The first possibility is ruled out by the hypothesis and the last two are impossible since  $[\pi_1\Omega, \pi_1\Omega] = \pi_1\Omega$ . The result follows. *QED.*

Let in our Key Observation  $M = \Omega/G$  where  $G = Deck(p)$ .

**Corollary 7.1.** *For any subgroup of finite index  $H \subset G, |G : H| \leq \infty$  the manifold  $M(H) = \Omega/H$  does not fiber over the circle.*

**Proof.** Repeat the proof of the Observation for  $M(H)$ . *QED.*

**Remark.** One can think of  $\Omega$  as an invariant component of torsion-free Kleinian group  $G \subset M(3)$  acting on  $S^3$ . In particular, an example of such a covering is given by B.Apanasov and A.Tetenov [A-T] where  $G$  is isomorphic to the fundamental group of a hyperbolic 3-manifold  $N = \mathbf{H}^3/\Gamma$ ,  $G \cong \Gamma$ , the group  $G$  is itself Kleinian whose limit set  $\Lambda(G)$  is a wild sphere embedded in  $S^3$ . By the Alexander duality one has here  $H_1(\Lambda(G), \mathbf{Z}) = H_1(\Omega(G), \mathbf{Z}) = 0$ , ( $\Lambda(G)$  is a closed set, so all homologies coincide).

The construction insures that one of the components  $S^3 \setminus \Lambda(G)$ , say  $\Omega$ , is not simply connected. So, by the above Observation the manifold  $M(G) = \Omega/G$  does not fiber over the circle as well as all of its finite coverings of the type  $M(G_n) = \Omega/G_n$  where  $G_n$  is a finite-index subgroup of  $G$ . Note that by the construction  $M(G)$  is not itself hyperbolic since contains an incompressible torus obtained by the projection of an incompressible torus in discontinuity domain. *End of the Remark.*

The rest of the Chapter is devoted to the proof that there exists a countable set  $\mathcal{H}$  of finite volume hyperbolic 3-manifolds such that for every  $M \in \mathcal{H}$  there is a regular infinite covering  $\Omega \rightarrow M$  for which  $H_1(\Omega, \mathbf{Z}) = 0$  and  $\pi_1\Omega \not\cong 1$ .

We need to introduce some terminology (see [BDM] and [B-W]). Say that the simple closed curve  $c \subset M$  is totally null-homotopic knot in  $M$  if  $c$  bounds a singular disk  $D \subset M$  whose regular neighborhood  $N(D)$  embeds trivially which means  $i_*(\pi_1(N(D))) = 1$  where  $i : N(D) \rightarrow M$  is the natural embedding.

Let  $c \subset M$  is totally null-homotopic knot, by  $M(n, m)$  we denote the result of  $(n, m)$ -surgery on  $c$  (i.e. we first delete a regular neighborhood of  $c$  and then glue back the solid



torus such that the new meridian will have  $(n, m)$ -slope in the surgered manifold). If  $c$  is totally null-homotopic one defines in a standard way the longitude-meridian system  $(a, b)$  where  $b$  is chosen to be homologically trivial in  $\overline{M \setminus N(c)}$  [BDM].

Let  $p : \tilde{M} \rightarrow M$  be a regular covering then the restriction of  $p$  to each component of  $\tilde{C} = p^{-1}(c)$  ( $\tilde{C}$  possibly infinite) is a homeomorphism since  $c$  is homotopically trivial in  $M$ . Moreover since  $c$  is totally null-homotopic, all components of both  $p^{-1}(N(D))$  and  $p^{-1}(N(c))$  are disjoint and the map  $p$  restricted to each of them is a homeomorphism too. Thus, we can choose a component  $\tilde{a}_i$  of  $p^{-1}(a)$  and  $\tilde{b}_i$  of  $p^{-1}(b)$  which give the meridian-longitude system on the boundary of some component  $\tilde{N}_i$  of  $\tilde{N}(D) = p^{-1}(N(D))$ . In fact,  $\tilde{b}_i \in p^{-1}(b)$  is the longitude since it is homologically trivial in  $\tilde{N}_i$  and all  $\tilde{N}_k$  are disjoint, so it is homologically trivial in the whole manifold  $\tilde{M} \setminus p^{-1}(N(D))$ .

The significance of the notion of totally null-homotopic knots is that any surgery on  $c \subset M$  can be lifted to a simultaneous surgery with the same coefficients on  $\tilde{C} \subset \tilde{M}$ . Specifically, we remove the lift  $\tilde{N}(D)$  from  $\tilde{M}$  and obtain  $\tilde{M}(n, m)$  by doing the  $(n, m)$ -surgery simultaneously on all components of  $\partial\tilde{N}_i$ . The following lemma is a simple extension of [B-W, Lemma 5.3] to the case of infinite covering  $p$ .

**Lemma 7.2.** Let  $p : \tilde{M} \rightarrow M$  any regular covering and  $c \in M$  is a totally null-homotopic knot. Then for  $H_1(\tilde{M}(p^{-1}(c), (1, m), \mathbf{Z}) = H_1(\tilde{M}, \mathbf{Z})$

**Proof.** Consider the manifold  $\tilde{N} = \overline{\tilde{M} \setminus \tilde{N}(D)}$ . It can be easily seen that for a fixed component  $\tilde{c}_i \in p^{-1}(c)$  and for its regular neighborhood  $\tilde{N}_i \in p^{-1}(N(c))$  we obtain

$$H_1(\overline{\tilde{M} \setminus \tilde{N}}, (1, m), \mathbf{Z}) = H_1(\overline{\tilde{M} \setminus \tilde{N}_i}, \mathbf{Z}) / \langle m_i \rangle ,$$

where  $\langle m_i \rangle$  is the cyclic group generated by new surgery meridian  $m_i$  with the slope  $(1, m)$ . Thus,  $m_i = \tilde{a}_i + m\tilde{b}_i$  where  $\{\tilde{a}_i, \tilde{b}_i\} = p^{-1}(\{a, b\}) \cap \partial\tilde{N}_i$ . But  $\tilde{b}_i$  is trivial in  $H_1(\overline{\tilde{M} \setminus \tilde{N}_i}, \mathbf{Z})$  so  $H_1(\overline{\tilde{M} \setminus \tilde{N}_i}, (1, m), \mathbf{Z}) \cong H_1(\tilde{M}, \mathbf{Z}) \cong H_1(\overline{\tilde{M} \setminus \tilde{N}_i}, \mathbf{Z}) / \langle \tilde{a} \rangle$ . the Lemma now follows by induction on the number  $\#(p^{-1}(c))$ . *QED.*

It was shown in [B-W] by using Myers' result that any compact orientable 3-manifold  $M$  contains a totally null-homotopic hyperbolic knot  $c$  (i.e.  $\text{int}(M \setminus c)$  posseds a complete hyperbolic structure of finite volume).

**Theorem 7.3.** Let  $M = \mathbf{H}^3/\Gamma$  be a hyperbolic 3-manifold of finite volume and  $c \subset M$  a totally null-homotopic knot. Then there exists  $n_0$  such that for any  $n \geq n_0$  the hyperbolic manifold  $M(1, n)$  posseds a regular covering  $p : \Omega \rightarrow M(1, n)$  such that  $H_1(\Omega, \mathbf{Z}) = 0$  and  $\Omega$  is not simply connected.

Put  $G = \text{Deck}(p)$ .

**Corollary 7.4.** The manifold  $M(1, n) = \Omega/G$  does not fiber over  $S^1$  as well as all of its finite coverings of the type  $\tilde{M}_n = \Omega/G_n$ , where  $G_n$  is a subgroup of  $G$  of finite index.

The proof of the Corollary immediately follows from the assertion of the Theorem and our Key Observation.

**Proof of Theorem 7.3.** Let us first consider the covering  $\mathbf{H}^3 \xrightarrow{\pi} \mathbf{H}^3/\Gamma = M$  and the lift  $\pi^{-1}(c) = \tilde{C}$ . By hyperbolic Dehn surgery theorem [T3] there exists  $n_0$  such that for

$n \geq n_0$  the manifold  $M(1, n)$  is hyperbolic. Consider the manifold  $\tilde{M} = \overline{\mathbf{H}^3 \setminus \pi^{-1}(N(c))}$  and let  $\Omega = \mathbf{H}^3(1, n)$  (recall that  $\mathbf{H}^3(1, n)$  is obtained from  $\mathbf{H}^3$  by doing  $(1, n)$ -Dehn surgery simultaneously on all components of  $\tilde{C}$ ).

**Claim.** *The covering  $\pi$  induces the regular covering  $p : \Omega \rightarrow M(1, n) = \Omega/G$  where the group  $G = \text{Deck } p$  is isomorphic to  $\Gamma$ .*

Consider the induced covering  $p : \tilde{M} \rightarrow \overline{M \setminus N(C)}$  where  $p = \pi|_{\tilde{M}}$  and take  $\gamma \in \Gamma$ . Since the restriction of  $\pi$  to each component  $\tilde{N}_i \in \pi^{-1}(N(c))$  is a homeomorphism the element  $\gamma$  sends the meridian-longitude system  $(\tilde{a}_i, \tilde{b}_i)$  to that of on  $\gamma(\partial\tilde{N}_i)$ . As we do our  $(1, n)$ -surgery on  $\tilde{M}$  simultaneously,  $\gamma$  preserves the  $(1, n)$ -surgery slope of  $\partial(\pi^{-1}(N(c)))$ , and so extends to some homeomorphism  $\gamma^*$  of  $\Omega$ . By repeating this construction for all generators of  $\Gamma$  we obtain the group  $\Gamma^*$  acting discontinuously on  $\Omega$ . Indeed, the action of  $\Gamma^*$  on  $\tilde{M}$  coincide with that of  $\Gamma$ , and so is discontinuous there; further each solid torus component  $\tilde{N}_i$  is strictly invariant in  $\Gamma^*$  under the identity:  $\forall \gamma^* \in \Gamma^* \setminus \{id\} : \gamma^*(\tilde{N}_i) \cap \tilde{N}_i = \emptyset$ , so the action is discontinuous everywhere in  $\Omega$ .

The map  $\phi : \Gamma \rightarrow \Gamma^*$  is well-defined and, obviously, isomorphism since each  $\gamma^* \in \Gamma^*$  is uniquely determined by its action on  $\tilde{M}$ . The Claim is proved. *QED.*

Put  $G = \Gamma^* = \phi(\Gamma)$ . and notice that Lemma 7.2 implies that  $H_1(\Omega, \mathbf{Z}) = 0$ . To finish the proof of our Theorem we need only to show that  $\Omega$  is not simply connected. In fact if it were not so, then the manifold  $M(1, n) = \Omega/G$  is hyperbolic and has the fundamental group isomorphic to  $\Gamma$ , so  $M$  and  $M(1, n)$  would be isometric by Mostow rigidity theorem. The last is impossible since  $M(1, n)$  and  $M$  have different volume by hyperbolic Dehn Surgery Theorem[T3]. The Theorem is proved. *QED.*

*Remark.* The above construction of  $\Omega$  looks like a sort of "conformal Dehn surgery". We have in this connection the following.

*Question.* *Suppose that  $\Gamma$ ,  $\Omega$  and  $G$  as above. Is it possible to embed  $\Omega$  to  $S^3$  and to realize  $G$  to be a Kleinian group having  $\Omega$  as invariant component?*

The positive answer to this question would provide a standard way to produce all known examples of "pathological" of Kleinian groups acting in  $S^3$  (e.g. [A-T], [Ka-P], [P]). One can also point out if such  $G$  was Kleinian then  $\Gamma$  and  $G$  would belong to different components of the deformation variety  $Def(\Gamma, Iso_+(\mathbf{H}^4))$  which follows from Marden-Sullivan stability theorem.

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