On the linear independence

of certain theta-series

by

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<u>Introduction</u>. Let q be an odd prime and denote by $M_2(\Gamma_o(q))$ resp. $J_{2,1}(\Gamma_o(q))$ the space of modular forms of weight 2 resp.Jacobi forms of weight 2, index 1 with respect to the congruence subgroup $\Gamma_o(q)$. In [Kr] we introduced the Jacobi-theta-series to the quaternary quadratic forms of discriminant q^2 representing 2: they are defined by

$$\mathcal{J}_{\mathbf{I}_{\lambda}}(\tau, \mathbf{z}) = \sum_{\alpha \in \mathbf{I}_{\lambda}} q_{\infty}^{n(\alpha)} \zeta^{t(\alpha)} \in J_{2,1}(\Gamma_{\circ}(\mathbf{q}))$$

$$(q_{\infty} := \exp(2\pi i \tau), \tau \in \gamma; \zeta := \exp(2\pi i z), z \in \mathbb{C}),$$

where I_1, \ldots, I_m is a set of representatives of the isomorphism classes of maximal orders of the (definite) quaternion algebra K/Q , ramified over q and ∞ ; T denotes the type number of K , and $n(\cdot)$ resp. $t(\cdot)$ is the reduced norm resp. trace of K . We proved that the Jacobi-theta-series are linear independent, if the representation of the classical Hecke-algebra, given by $T(p) \longmapsto B^+(p)$ (T(p) classical Hecke-operator, B⁺(p) reduced Brandt-matrix (cf. [Po3]), $p \neq 2$, q a prime), allows only to reduce the 1-dimensional part over Q , which corresponds to the Eisenstein series of $M_2(\Gamma_o(q))$ (cf. [Kr], Satz II.3). Then, by proving a necessary and sufficient condition for the injectivity of the $J_{2,1}(\Gamma_{\bullet}(q)) \longrightarrow M_{2}(\Gamma_{\bullet}(q)) \quad (f(\tau,z) \longmapsto f(\tau,0)) , \text{ we}$ map deduced a criterion for the linear independence of the classi- $\mathscr{O}_{\mathfrak{I}_{\lambda}}(\tau) := \mathscr{O}_{\mathfrak{I}_{\lambda}}(\tau, 0) \quad (\lambda = 1, \ldots, T) .$ cal theta-series In this note we shall give similar criterions for the linear independence of the Jacobi-theta-series resp. classical theta-series attached to the quaternary quadratic forms of

discriminant q representing 2 (theorem 2 resp. 3). The results are essentially obtained by translating the above mentioned theorem to certain theta-series to ternary quadratic forms, namely those studied in [Gr]. We explicitly determine the (predicted) linear relation for these theta-series in the case q = 389 ; by the way we are led to the linear relation between the classical theta-series to the quaternary quadratic forms of discriminant 389 representing 2, which was already calculated by Kitaoka (cf.[HH]).

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2. The main result. We restrict to primes $q \equiv 1 \mod 4$ and consider the equivalence classes of quaternary quadratic forms of discriminant q representing 2; these are in 1-1-correspondence to the similtude classes of certain quaternary lattices of reduced discriminant q (level q), which were especially studied in [Ki]. There one also finds the formula for the corresponding class number T'.

 $T' = T - (h(\sqrt{-q})/4 - a/2) , \text{ where}$ T is the type number of K (cf. introduction), $h(\sqrt{-q}) \text{ the ideal class number of } Q(\sqrt{-q}) , \text{ and}$ $a = \begin{cases} 0 & q \equiv 1 \mod .8 \\ 1 & q \equiv 5 \mod .8 \end{cases}$

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The lattices K_1, \ldots, K_T , representing the T' similtude classes can always be chosen such that the first T" lattices $K_1, \ldots, K_{T"}$ (T" := $h(\sqrt{-q})/4 - a/2$) have <u>two</u> inequivalent representations of 2, while for the latter ones $K_{T"+1}, \ldots, K_T$, <u>all</u> representations of 2 are equivalent (cf. [Ki], § 1.). The quadratic forms corresponding to K_1, \ldots, K_T , will be denoted by $G_1[x], \ldots, G_T, [x]$; the matrices G_μ can always be chosen in the form

$$G_{\mu} = \begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & * & * & * \\ 0 & * & * & * \\ 0 & * & * & * \end{pmatrix} \qquad (\mu = 1, \dots, T^{*}) .$$

Putting $r = (1,0,0,0)^{t}$ and writing r_{μ} for a column vector with $G_{\mu}[r_{\mu}] = 2$, which is inequivalent to $r(\mu = 1,...,T'')$, we get the following T = T' + T'' Jacobi-theta-series (of Nebentype):

(1)

$$\mathcal{O}_{G_{\mu},r_{\mu}}(\tau,z) = \sum_{\mathbf{x}\in\mathbf{Z}^{4}} \begin{array}{c} G_{\mu}[\mathbf{x}]/2 & r_{\mu}^{L}G_{\mu}\mathbf{x} \\ \varphi_{\infty} & \zeta^{\mu} & (\mu = 1,\ldots,T^{n}) \end{array}$$

These are obviously elements of the space $J_{2,1}(\Gamma_{\circ}(q), \chi_{q})$ of Jacobi forms of weight 2, index 1 with respect to $\Gamma_{\circ}(q)$ and with the character $\chi_{q}(\cdot) = \begin{pmatrix} q \\ \cdot \end{pmatrix}$. In [Kr], Corollar I.3 it is shown that this space is isomorphic to Kohnen's space $M_{3/2}^{+}(\Gamma_{\circ}(4q), \chi_{q})$ (cf. [Ko1], [Ko2]), Now one easily proves (cf. [Kr], Satz II.1) <u>Theorem 1</u>. The isomorphism between $J_{2,1}(\Gamma_{\circ}(q), \chi_{q})$ and $M_{3/2}^{+}(\Gamma_{\circ}(4q), \chi_{q}))$ maps the Jacobi-theta-series $\partial_{G_{\mu}, r}(\tau, z), \partial_{G_{\nu}, r_{\nu}}(\tau, z)$ ($\mu = 1, \dots, T'; \nu = 1, \dots, T''$) to the theta-series $\partial_{M_{\mu}^{*}}(\tau), \partial_{N_{\nu}^{*}}(\tau)$ attached to ternary lattices M_{μ}^{*}, N_{ν}^{*} of reduced discriminant 32q (level 4q).

<u>Remark 1.</u> The lattices M^*_{μ} , N^*_{ν} ($\mu = 1, ..., T'$; $\nu = 1, ..., T''$) just represent the similtude classes of ternary lattices of reduced discriminant 32q (level 4q).

<u>Remark 2.</u> In [Kr], Satz II.1 it is proved that the isomorphism between $J_{2,1}(\Gamma_0(q))$ and $M_{3/2}^+(\Gamma_0(4q))$ maps the Jacobi-theta-series $\mathcal{J}_{I_\lambda}(\tau,z)$ ($\lambda = 1,\ldots,T$) to the theta-series $\mathcal{J}_{L_\lambda^+}(\tau)$ attached to ternary lattices L_λ^+ of reduced discriminant $32q^2$ (level 4q). These are the theta-series considered in [Gr], where the dimension of their **C**-span is determined, which leads to a necessary and sufficient condition for their linear independence.

<u>Remark 3.</u> Dualizing the result of [Po2], theorem 1, one realizes that M_{μ}^{*}, N_{ν}^{*} can be considered as sublattices of index q in the lattices L_{λ}^{*} (eventually by taking suitable other representatives for the similtude classes of ternary lattices of reduced discriminant $32q^2$, which again are denoted by L_{λ}^{*}). If we arrange the corresponding maximal orders 1_{λ} such that the first 2T - H(H = class number of K)possess principle two-sided ideal of norm q, while for the remaining H - T this ideal is <u>not principle</u>, we have the following inclusions, in the case $q = 1 \mod 8$:

(2)
$$M_{\nu}^{\star} \subset L_{2\nu-1}^{\star}$$
 $(\nu = 1, ..., T^{"})$
 $N_{\nu}^{\star} \subset L_{2\nu}^{\star}$ $(\nu = 1, ..., T^{"})$
 $M_{T^{"}+\nu}^{\star} \subset L_{2T^{"}+\nu}^{\star}$ $(\nu = 1, ..., H-T)$

(2) reflects the correspondence between quaternary quadratic forms of discriminant q^2 and those of discriminant q (both representing 2), which is given in [Ki] and [Po1], and can be stated as follows (if $q \equiv 1 \mod 8$):

$$\{\mathbf{I}_{2\nu-1}, \mathbf{I}_{2\nu}\} \longleftrightarrow \mathbf{K}_{\nu} \quad (\nu = 1, \dots, \mathbf{T}^{n})$$

$$\{\mathbf{I}_{2\mathbf{T}^{n}+\nu}\} \longleftrightarrow \mathbf{K}_{\mathbf{T}^{n}+\nu} \quad (\nu = 1, \dots, \mathbf{H}-\mathbf{T});$$

in the case $q \equiv 5 \mod .8$ there is an "exceptional" maximal order with principle two-sided ideal of norm q, which corresponds alone to a quaternary lattice of reduced discriminant q.

We are now in position to give a criterion for the linear independence of the Jacobi-theta-series (1):

<u>Theorem 2.</u> The Jacobi-theta-series (1) are linear independent, if the representation of the Hecke-algebra, given by $T(p) \longmapsto B^+(p)$, allows only to reduce the 1-dimensional part over Q, which corresponds to the Eisenstein series of $M_2(\Gamma_0(q))$.

<u>Proof.</u> Paying attention to the operator $T(q): M_{3/2}^{+}(\Gamma_{\circ}(4q)) \longrightarrow M_{3/2}^{+}(\Gamma_{\circ}(4q), \chi_{q})$, defined by $\sum_{n \in \mathbb{N}_{0}} c(n)q_{\infty}^{n} | T(q) := \sum_{n \in \mathbb{N}_{0}} c(qn)q_{\infty}^{n}$ (this operator was introduced in [SS], § 3.) and applying remark 3 (especially (2)) we get (if $q = 1 \mod .8$):

$$\begin{split} \boldsymbol{\mathcal{Y}}_{L_{2\nu-1}^{\star}} & \mid \mathbf{T}(\mathbf{q}) \quad (\tau) = \boldsymbol{\mathcal{Y}}_{M_{\nu}^{\star}}(\tau) \qquad (\nu = 1, \dots, \mathbf{T}^{n}) \\ \boldsymbol{\mathcal{Y}}_{L_{2\nu}^{\star}} & \mid \mathbf{T}(\mathbf{q}) \quad (\tau) = \boldsymbol{\mathcal{Y}}_{N_{\nu}^{\star}}(\tau) \qquad (\nu = 1, \dots, \mathbf{T}^{n}) \\ \boldsymbol{\mathcal{Y}}_{L_{2\mathbf{T}^{n}+\nu}^{\star}} & \mid \mathbf{T}(\mathbf{q}) \quad (\tau) = \boldsymbol{\mathcal{Y}}_{M_{\mathbf{T}^{n}+\nu}^{\star}}(\tau) \qquad (\nu = 1, \dots, \mathbf{H}-\mathbf{T}) \end{split}$$

From this one concludes that the operator T(q) induces an isomorphism between the subspaces of $M_{3/2}^+(\Gamma_{\circ}(4q))$ resp. $M_{3/2}^+(\Gamma_{\circ}(4q), \chi_q)$ spanned by the theta-series $\partial_{L_{\lambda}^*}(\tau)$ ($\lambda = 1, \ldots, T$) resp. $\partial_{M_{\mu}^*}(\tau)$, $\partial_{N_{\nu}^*}(\tau)$ ($\mu = 1, \ldots, T'$; $\nu = 1, \ldots, T''$). So theorem 2 follows from theorem 1 and [Kr], Satz II.3 (cf. introduction). An analogous argument applies to $q \equiv 5 \mod 8$.

Before stating the criterion for the linear independence of the classical theta-series to the quaternary quadratic forms of discriminant q representing 2, given by

(3)
$$\vartheta_{G_{\mu}}(\tau) := \vartheta_{G_{\mu},r}(\tau,0) \quad (\mu = 1,...,T')$$
,

we define by σ the linear map

$$\sigma: J_{2,1}(\Gamma_{\circ}(q), \chi_{q}) \longrightarrow M_{2}(\Gamma_{\circ}(q), \chi_{q})$$

$$f(\tau, z) \longmapsto f(\tau, 0)$$

(here $M_2(\Gamma_0(q), \chi_q)$ denotes the space of modular forms of weight 2 with respect to $\Gamma_0(q)$ with the character χ_q). Evidently dim(kerg) $\geq T$ ", if the condition in theorem 2 is satisfied (the differences

(4)
$$\vartheta_{G_{\mu},r}(\tau,z) - \vartheta_{G_{\mu},r_{\mu}}(\tau,z) \quad (\mu = 1,...,T'')$$

are T" linear independent elements of kerg).

<u>Theorem 3.</u> The theta-series (3) are linear independent, if the representation of the Hecke-algebra, given by $T(p) \longmapsto B^+(p)$, allows only to reduce the 1-dimensional part over Q, which corresponds to the Eisenstein series of $M_2(\Gamma_o(q))$ and if dim(ker σ) = T".

<u>Proof.</u> It has only to be noted that, under the conditions stated, the differences (4) form a basis of ker σ .

3. The example q = 389. The necessary and sufficient condition for the linear independence of the theta-series $\partial_{L_{\lambda}}^{*}(\tau)$ (cf. remark 2) is violated for the first time for q = 389 (T = 22, T' = 17). We explicitly calculated the single existing linear relation:

the corresponding 22 ternary quadratic forms of discriminant $32q^2$ are listed (in the same order) in the first column of table 1. By remark 2 the same relation is valid for the Jacobi-theta-series $\mathcal{J}_{\mathfrak{l}_{\lambda}}(\tau,z)$; the corresponding quaternary quadratic forms of discriminant q^2 representing 2 are given in the

second column of table 1. It is worth to be noted that by putting z = 0 the corresponding theta-series $\partial_{I_{\lambda}}(\tau)$ are also involved in only one linear relation, which is of course again of the same type.

Via the diagram

$$\begin{split} & \mathsf{M}_{3/2}^{+}(\Gamma_{\circ}(4\mathbf{q})) & \xrightarrow{\simeq} & \mathsf{J}_{2,1}(\Gamma_{\circ}(\mathbf{q})) & \xrightarrow{\mathbf{z}=0} & \mathsf{M}_{2}(\Gamma_{\circ}(\mathbf{q})) \\ & \mathsf{T}(\mathbf{q}) & \uparrow \mathsf{T}(\mathbf{q}) \\ & \mathsf{M}_{3/2}^{+}(\Gamma_{\circ}(4\mathbf{q}),\chi_{\mathbf{q}}) & \xrightarrow{\simeq} & \mathsf{J}_{2,1}(\Gamma_{\circ}(\mathbf{q}),\chi_{\mathbf{q}}) & \xrightarrow{\mathbf{z}=0} & \mathsf{M}_{2}(\Gamma_{\circ}(\mathbf{q}),\chi_{\mathbf{q}}) \end{split}$$

it is now easy to calculate from (5) the linear relation between the theta-series (3), which was already found by Kitoaka (cf.[HH]):

$$\begin{array}{l} 17 \\ \sum \\ \mu=1 \end{array} \stackrel{0}{\mu} \begin{array}{l} \mathcal{D}_{G} \\ \mu \end{array} (\tau) = 0 , \text{ where } (\beta_{1}, \dots, \beta_{17}) = \\ (0; 0; 0; 0; +1; -1; 0; 0; 0; 0; +1; +1; +1; +1; -1; -1; -1; -1; -1) ; \end{array}$$

the corresponding 17 quaternary quadratic forms of discriminant q representing 2 are listed in the third column of table 1. Our list especially shows the Kitaoka-Ponomarev-correspondence in the case q = 389.

Ternary quadratic forms of discriminant 32.389 ²	Quaternary quadratic forms of discriminant 389 ² , re- presenting 2	Quaternary quadratic forms of discriminant 389, re- presenting 2
$\begin{array}{ccccc} 1. & \begin{pmatrix} 1040 & 520 & 4 \\ 520 & 1038 & 2 \\ 4 & 2 & 6 \end{pmatrix} \\ 2. & \begin{pmatrix} 784 & 4 & 12 \\ 4 & 262 & 8 \\ 12 & 8 & 24 \end{pmatrix} \end{array}$		$\begin{array}{cccccccc} 1 & 2 & 1 & 0 & 0 \\ 1 & 66 & -65 & 64 \\ 0 & -65 & 66 & -65 \\ 0 & 64 & -65 & 66 \end{array}$
$3. \begin{pmatrix} 814 & -72 & 10 \\ -72 & 144 & -20 \\ 10 & -20 & 46 \end{pmatrix}$ $4. \begin{pmatrix} 824 & -56 & -36 \\ -56 & 102 & 10 \\ -36 & 10 & 62 \end{pmatrix}$	(0) 10 210	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$
5. $\begin{pmatrix} 798 & 18 & -40 \\ 18 & 94 & -36 \\ -40 & -36 & 80 \end{pmatrix}$ 6. $\begin{pmatrix} 798 & -40 & 2 \\ -40 & 80 & -4 \\ 2 & -4 & 78 \end{pmatrix}$		$ \begin{array}{cccccc} 3. & \begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 6 & -5 & 10 \\ 0 & -5 & 24 & -9 \\ 0 & 10 & -9 & 20 \end{pmatrix} $
7. $\begin{pmatrix} 832 & 108 & 8\\ 108 & 216 & 16\\ 8 & 16 & 30 \end{pmatrix}$ 8. $\begin{pmatrix} 808 & -60 & 8\\ -60 & 120 & -16\\ 8 & -16 & 54 \end{pmatrix}$	$7. \begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 8 & 4 & 2 \\ 0 & 4 & 54 & 27 \\ 0 & 2 & 27 & 208 \end{pmatrix}$ $8. \begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 14 & 4 & 2 \\ 0 & 4 & 30 & 15 \\ 0 & 2 & 15 & 202 \end{pmatrix}$	$\begin{array}{cccccc} 4. & \begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 8 & 2 & 4 \\ 0 & 2 & 14 & 27 \\ 0 & 4 & 27 & 54 \end{pmatrix}$

9. $\begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 8 & 1 & -7 \\ 0 & 1 & 52 & 25 \\ 0 & -7 & 25 & 214 \end{pmatrix}$ 5. $\begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 14 & -13 & 26 \\ 0 & -13 & 20 & -25 \\ 0 & 26 & -25 & 52 \end{pmatrix}$ 9. $\begin{pmatrix} 830 & 104 & 2\\ 104 & 208 & 4\\ 2 & 4 & 30 \end{pmatrix}$ $\begin{array}{c} 10. \begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 20 & -25 & -32 \\ 0 & -25 & 52 & 51 \\ 0 & -25 & 51 & 51 \end{pmatrix}$ 10. $\begin{pmatrix} 830 & -54 & -50 \\ -54 & 86 & 22 \\ -50 & 22 & 78 \end{pmatrix}$ 11. $\begin{pmatrix} 782 & 2 & -8 \\ 2 & 390 & -4 \\ -8 & -4 & 16 \end{pmatrix}$ 13. $\begin{pmatrix} 312 & -104 & -36 \\ -104 & 294 & 12 \\ -36 & 12 & 64 \end{pmatrix}$ 16. $\begin{pmatrix} 334 & -138 & -34 \\ -138 & 262 & 42 \\ -34 & 42 & 78 \end{pmatrix}$ 17. $\begin{pmatrix} 302 & -76 & -52 \\ -76 & 184 & 44 \\ -52 & 44 & 112 \end{pmatrix}$

18. $\begin{pmatrix} 264 \\ -28 \\ 12 \end{pmatrix}$	$\begin{array}{c} -28 & 12 \\ 168 & -72 \\ -72 & 142 \end{array}$	$\begin{array}{cccccccc} 18. & \begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 36 & 18 & 3 \\ 0 & 18 & 42 & 7 \\ 0 & 3 & 7 & 66 \end{pmatrix}$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$
19. $\begin{pmatrix} 406 \\ -68 \\ 2 \end{pmatrix}$	$\begin{pmatrix} -68 & 2\\ 272 & -8\\ -8 & 46 \end{pmatrix}$	$\begin{array}{cccccc} 19. & \begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 12 & 2 & -11 \\ 0 & 2 & 68 & 15 \\ 0 & -11 & 15 & 112 \end{pmatrix}$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$
20. $\begin{pmatrix} 270 \\ -42 \\ -22 \end{pmatrix}$	$\begin{pmatrix} -42 & -22 \\ 214 & 38 \\ 38 & 94 \end{pmatrix}$	20. $\begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 24 & -33 & -29 \\ 0 & -33 & 96 & 49 \\ 0 & -29 & 49 & 102 \end{pmatrix}$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$
21. $\begin{pmatrix} 278 \\ -56 \\ 4 \end{pmatrix}$		$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$
22. $\begin{pmatrix} 262 \\ -102 \\ -78 \end{pmatrix}$	-102 -78 206 66 66 142)	22. $\begin{pmatrix} 2 & 1 & 0 & 0 \\ 1 & 36 & -52 & -55 \\ 0 & -52 & 120 & 97 \\ 0 & -55 & 97 & 140 \end{pmatrix}$	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$

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