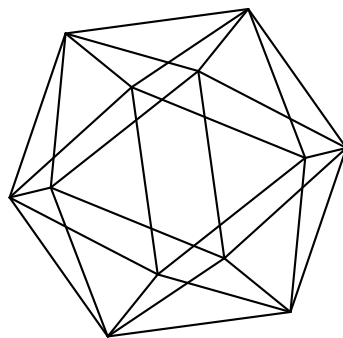


# Max-Planck-Institut für Mathematik Bonn

2-track algebras and the Adams spectral sequence

by

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# 2-TRACK ALGEBRAS AND THE ADAMS SPECTRAL SEQUENCE

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ABSTRACT. In previous work of the first author and Jibladze, the  $E_3$ -term of the Adams spectral sequence was described as a secondary derived functor, defined via secondary chain complexes in a groupoid-enriched category. This led to computations of the  $E_3$ -term using the algebra of secondary cohomology operations. In work with Blanc, an analogous description was provided for all higher terms  $E_m$ . In this paper, we introduce 2-track algebras and tertiary chain complexes, and we show that the  $E_4$ -term of the Adams spectral sequence is a tertiary Ext group in this sense. This extends the work with Jibladze, while specializing the work with Blanc in a way that should be more amenable to computations.

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## 1. INTRODUCTION

A major problem in algebraic topology consists of computing homotopy classes of maps between spaces or spectra, notably the stable homotopy groups of spheres  $\pi_*^S(S^0)$ . One of the most useful tools for such computations is the Adams spectral sequence [1] (and its unstable analogues [7]), based on ordinary mod  $p$  cohomology. Given finite spectra  $X$  and  $Y$ , Adams constructed a spectral sequence of the form:

$$E_2^{s,t} = \text{Ext}_{\mathfrak{A}}^{s,t}(H^*(Y; \mathbb{F}_p), H^*(X; \mathbb{F}_p)) \Rightarrow [\Sigma^{t-s} X, Y_p^\wedge]$$

where  $\mathfrak{A}$  is the mod  $p$  Steenrod algebra, consisting of primary stable mod  $p$  cohomology operations, and  $Y_p^\wedge$  denotes the  $p$ -completion of  $Y$ . In particular, taking sphere spectra  $X = Y = S^0$ , one obtains a spectral sequence

$$E_2^{s,t} = \text{Ext}_{\mathfrak{A}}^{s,t}(\mathbb{F}_p, \mathbb{F}_p) \Rightarrow \pi_{t-s}^S(S^0)_p^\wedge$$

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abutting to the  $p$ -completion of the stable homotopy groups of spheres. In [8], Novikov introduced an analogue of the Adams spectral sequence based on the complex cobordism spectrum  $MU$  instead of the Eilenberg-MacLane spectrum  $H\mathbb{F}_p$ . The Adams-Novikov spectral sequence has played a major role in chromatic homotopy theory and computations of stable homotopy groups of spheres [9].

Another approach to the Adams spectral sequence makes use of higher mod  $p$  cohomology operations to compute past the  $E_2$ -term. Secondary cohomology operations determine the differential  $d_2$  and thus the  $E_3$ -term. The algebra of secondary operations was studied in [2]. In [3], the first author and Jibladze developed secondary chain complexes and secondary derived functors, and showed that the Adams  $E_3$ -term is given by secondary Ext groups of the secondary cohomology of  $X$  and  $Y$ . They used this in [5], along with the algebra of secondary operations, to construct an algorithm that computes the differential  $d_2$ .

Primary operations in mod  $p$  cohomology are encoded by the homotopy category  $\mathrm{Ho}(\mathcal{K})$  of the Eilenberg-MacLane mapping theory  $\mathcal{K}$ , consisting of finite products of Eilenberg-MacLane spectra of the form  $\Omega^{n_1}H\mathbb{F}_p \times \cdots \times \Omega^{n_k}H\mathbb{F}_p$ . More generally, the  $n^{\mathrm{th}}$  Postnikov truncation  $P_n\mathcal{K}$  of the Eilenberg-MacLane mapping theory encodes operations of order up to  $n + 1$ . These in turn determine the Adams differential  $d_{n+1}$  and thus the  $E_{n+2}$ -term [4]. However,  $P_n\mathcal{K}$  contains too much information for practical purposes. In [6], the first author and Blanc extracted from  $P_n\mathcal{K}$  the information needed in order to compute the Adams differential  $d_{n+1}$ . The resulting algebraic-combinatorial structure is called an *algebra of left  $n$ -cubical balls*.

In this paper, we specialize the work of [6] to the case  $n = 2$ . Our goal is to provide an alternate structure which encodes an algebra of left 2-cubical balls, but which is more algebraic in nature and better suited for computations. The combinatorial difficulties in an algebra of left  $n$ -cubical balls arise from triangulations of the sphere  $S^{n-1} = \partial D^n$ . In the special case  $n = 2$ , triangulations of the circle  $S^1$  are easily described, unlike in the case  $n > 2$ . Our approach also extends the work in [3] from secondary chain complexes to tertiary chain complexes.

**Organization and main results.** We define the notion of 2-track algebra (Definition 5.1) and show that each 2-track algebra naturally determines an algebra of left 2-cubical balls (Theorem 9.3). Building on [6], we show that higher order resolutions always exist in a 2-track algebra (Theorem 8.11). We show that a suitable 2-track algebra related to the Eilenberg-MacLane mapping theory recovers the Adams spectral sequence up to the  $E_4$ -term (Theorem 7.3). We show that the spectral sequence only depends on the weak equivalence class of the 2-track algebra (Theorem 7.5).

*Remark 1.1.* This last point is important in view of the strictification result for secondary cohomology operations: these can be encoded by a graded pair algebra  $B_*$  over  $\mathbb{Z}/p^2$  [2, §5.5]. The secondary Ext groups of the  $E_3$ -term turn out to be the usual Ext groups over  $B_*$  [5, Theorem 3.1.1], a key fact for computations. We conjecture that a similar strictification result holds for tertiary operations, i.e., in the case  $n = 2$ .

## 2. CUBES AND TRACKS IN A SPACE

**Definition 2.1.** Let  $X$  be a topological space.

An  $n$ -cube in  $X$  is a map  $a: I^n \rightarrow X$ , where  $I = [0, 1]$  is the unit interval. For example, a 0-cube in  $X$  is a point of  $X$ , and a 1-cube in  $X$  is a path in  $X$ .

An  $n$ -cube can be restricted to  $(n - 1)$ -cubes along the  $2n$  faces of  $I^n$ . For  $1 \leq i \leq n$ , denote:

$$d_i^0(a) = a \text{ restricted to } I \times I \times \dots \times \overbrace{\{0\}}^i \times \dots \times I$$

$$d_i^1(a) = a \text{ restricted to } I \times I \times \dots \times \overbrace{\{1\}}^i \times \dots \times I.$$

An  $n$ -**track** in  $X$  is a homotopy class, relative to the boundary  $\partial I^n$ , of an  $n$ -cube. If  $a: I^n \rightarrow X$  is an  $n$ -cube in  $X$ , denote by  $\{a\}$  the corresponding  $n$ -track in  $X$ , namely the homotopy class of  $a$  rel  $\partial I^n$ .

In particular, for  $n = 1$ , a 1-track  $\{a\}$  is a path homotopy class, i.e., a morphism in the fundamental groupoid of  $X$  from  $a(0)$  to  $a(1)$ . Let us fix our notation regarding groupoids.

**Notation 2.2.** A **groupoid** is a category in which every morphism is invertible. Denote the data of a (small) groupoid by  $G = (G_0, G_1, \delta_0, \delta_1, \text{id}^\square, \square, (-)^{\text{op}})$ , where:

- $G_0 = \text{Ob}(G)$  is the set of objects of  $G$ .
- $G_1 = \text{Hom}(G)$  is the set of morphisms of  $G$ . The set of morphisms from  $x$  to  $y$  is denoted  $G(x, y)$ . We write  $x \in G$  and  $\deg(x) = 0$  for  $x \in G_0$ , and  $\deg(x) = 1$  for  $x \in G_1$ .
- $\delta_0: G_1 \rightarrow G_0$  is the source map.
- $\delta_1: G_1 \rightarrow G_0$  is the target map.
- $\text{id}^\square: G_0 \rightarrow G_1$  sends each object  $x$  to its corresponding identity morphism  $\text{id}_x^\square$ .
- $\square: G_1 \times_{G_0} G_1 \rightarrow G_1$  is composition in  $G$ .
- $f^\square: y \rightarrow x$  is the inverse of the morphism  $f: x \rightarrow y$ .

Groupoids form a category **Gpd**, where morphisms are functors between groupoids.

For any object  $x \in G_0$ , denote by  $\text{Aut}_G(x) = G(x, x)$  the automorphism group of  $x$ .

Denote by  $\text{Comp}(G) = \pi_0(G)$  the components of  $G$ , i.e., the set of isomorphism classes of objects  $G_0 / \sim$ .

Denote the fundamental groupoid of a topological space  $X$  by  $\Pi_{(1)}(X)$ .

**Definition 2.3.** Let  $X$  be a pointed space, with basepoint  $0 \in X$ . The constant map  $0: I^n \rightarrow X$  with value  $0 \in X$  is called the **trivial  $n$ -cube**.

A **left 1-cube** or **left path** in  $X$  is a map  $a: I \rightarrow X$  satisfying  $a(1) = 0$ , that is,  $d_1^1(a) = 0$ , the trivial 0-cube. In other words,  $a$  is a path in  $X$  from a point  $a(0)$  to the basepoint  $0$ . We denote  $\delta a = a(0)$ .

A **left 2-cube** in  $X$  is a map  $\alpha: I^2 \rightarrow X$  satisfying  $\alpha(1, t) = \alpha(t, 1) = 0$  for all  $t \in I$ , that is,  $d_1^1(\alpha) = d_2^1(\alpha) = 0$ , the trivial 1-cube.

More generally, a **left  $n$ -cube** in  $X$  is a map  $\alpha: I^n \rightarrow X$  satisfying  $\alpha(t_1, \dots, t_n) = 0$  whenever some coordinate satisfies  $t_i = 1$ . In other words, for all  $1 \leq i \leq n$  we have  $d_i^1(\alpha) = 0$ , the trivial  $(n - 1)$ -cube.

A **left  $n$ -track** in  $X$  is a homotopy class, relative to the boundary  $\partial I^n$ , of a left  $n$ -cube.

The equality  $I^{m+n} = I^m \times I^n$  allows us to define an operation on cubes.

**Definition 2.4.** Let  $\mu: X \times X' \rightarrow X''$  be a map, for example a composition map in a topologically enriched category  $\mathcal{C}$ . For  $m, n \geq 0$ , consider cubes

$$a: I^m \rightarrow X$$

$$b: I^n \rightarrow X'.$$

The  $\otimes$ -**composition** of  $a$  and  $b$  is the  $(m + n)$ -cube  $a \otimes b$  defined as the composite

$$(2.1) \quad a \otimes b: I^{m+n} = I^m \times I^n \xrightarrow{a \times b} X \times X' \xrightarrow{\mu} X''.$$

For  $m = n$ , the **pointwise composition** of  $a$  and  $b$  is the  $n$ -cube defined as the composite

$$(2.2) \quad ab: I^n \xrightarrow{(a,b)} X \times X' \xrightarrow{\mu} X''.$$

The pointwise composition is the restriction of the  $\otimes$ -composition along the diagonal:

$$\begin{array}{ccc} I^n & \xrightarrow{\Delta} & I^n \times I^n \xrightarrow{a \otimes b} X'' \\ & \searrow & \nearrow \\ & & ab \end{array}$$

*Remark 2.5.* For  $m = n = 0$ , the 0-cube  $x \otimes y = xy$  is the composition. For higher dimensions, there are still relations between the  $\otimes$ -composition and the pointwise composition. In suggestive formulas, pointwise composition of paths is given by  $(ab)(t) = a(t)b(t)$  for all  $t \in I$ , whereas the  $\otimes$ -composition of paths is the 2-cube given by  $(a \otimes b)(s, t) = a(s)b(t)$ .

Assume moreover that  $\mu$  satisfies

$$\mu(x, 0) = \mu(0, x') = 0$$

for the basepoints  $0 \in X, 0 \in X', 0 \in X''$ . For example,  $\mu$  could be the composition map in a category  $\mathcal{C}$  enriched in  $(\mathbf{Top}_*, \wedge)$ , the category of pointed topological spaces with the smash product as monoidal structure. If  $a$  and  $b$  are left cubes, then  $a \otimes b$  and  $ab$  are also left cubes.

### 3. 2-TRACK GROUPOIDS

We now focus on left 2-tracks in a pointed space  $X$ , and observe that they form a groupoid. Define the groupoid  $\Pi_{(2)}(X)$  with object set:

$$\Pi_{(2)}(X)_0 = \text{set of left 1-cubes in } X$$

and morphism set:

$$\Pi_{(2)}(X)_1 = \text{set of left 2-tracks in } X$$

where the source  $\delta_0$  and target  $\delta_1$  of a left 2-track  $\alpha: I \times I \rightarrow X$  are given by restrictions

$$\delta_0(\alpha) = d_1^0(\alpha)$$

$$\delta_1(\alpha) = d_2^0(\alpha)$$

and note in particular  $\delta\delta_0(\alpha) = \delta\delta_1(\alpha) = \alpha(0, 0)$ . In other words, a morphism  $\alpha$  from  $a$  to  $b$  looks like this:

$$\begin{array}{ccc} & \xrightarrow{0} & \\ a = \delta_0(\alpha) \uparrow & \Downarrow \alpha & \downarrow 0 \\ \delta a = \delta b & \xrightarrow{b = \delta_1(\alpha)} & \end{array}$$

*Remark 3.1.* Up to reparametrization, a left 2-track  $\alpha: a \Rightarrow b$  corresponds to a path homotopy from  $a$  to  $b$ , which can be visualized in a globular picture:

$$\delta a = \delta b \begin{array}{c} \xrightarrow{a} \\ \Downarrow \alpha \\ \xrightarrow{b} \end{array} 0.$$



However, the  $\otimes$ -composition will play an important role in this paper, which is why we adopt a cubical approach, rather than globular or simplicial.

Composition  $\beta \square \alpha$  of left 2-tracks is described by the following picture:

$$(3.1) \quad \begin{array}{ccc} & 0 & \\ & \hline & \swarrow \alpha & \\ a \uparrow & & 0 \\ & \hline & \swarrow \beta & \\ c \downarrow & & 0 \\ & \hline & 0 & \end{array}$$

*Remark 3.2.* To make this definition precise, let  $\alpha: a \Rightarrow b$  and  $\beta: b \Rightarrow c$  be left 2-tracks in  $X$ , i.e., composable morphisms in  $\Pi_{(2)}(X)$ . Choose representative maps  $\tilde{\alpha}, \tilde{\beta}: I^2 \rightarrow X$ . Consider the map  $f_{\alpha, \beta}: [0, 1] \times [-1, 1] \rightarrow X$  pictured in (3.1). That is, define

$$f(s, t) = \begin{cases} \tilde{\alpha}(s, t) & \text{if } 0 \leq t \leq 1 \\ \tilde{\beta}(-t, s) & \text{if } -1 \leq t \leq 0. \end{cases}$$

Now consider the reparametrization map  $w: I^2 \rightarrow [0, 1] \times [-1, 1]$  whose restriction  $w|_{\partial I^2}$  to the boundary is the piecewise linear map satisfying

$$\begin{cases} w(0, 0) = (0, 0) \\ w(0, 1) = (0, 1) \\ w(\frac{1}{2}, 1) = (1, 1) \\ w(1, 1) = (1, 0) \\ w(1, \frac{1}{2}) = (1, -1) \\ w(1, 0) = (0, -1) \end{cases}$$

and defined for points  $x \in I^2$  in the interior as follows. Write  $x = k(0, 0) + ly$  as a unique convex combination of  $(0, 0)$  and a point  $y$  on the boundary  $\partial I^2$ . Then define  $w(x) = kw(0, 0) + lw(y) = lw(y)$ . Finally, the composition  $\beta \square \alpha: a \Rightarrow c$  is  $\{f_{\alpha, \beta} \circ w\}$ , the homotopy class of the composite

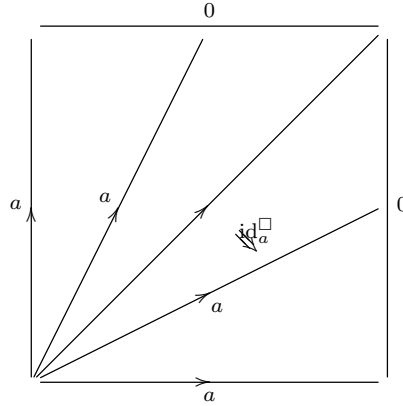
$$I^2 \xrightarrow{w} [0, 1] \times [-1, 1] \xrightarrow{f_{\alpha, \beta}} X$$

relative to the boundary  $\partial I^2$ .

In other notation, we have inclusions  $d_2^0: I^1 \hookrightarrow I^2$  as the bottom edge  $I \times \{0\}$  and  $d_1^0: I^1 \hookrightarrow I^2$  as the left edge  $\{0\} \times I$ , our  $w$  is a map  $w: I^2 \rightarrow I^2 \cup_{I^1} I^2$ , and  $\beta \square \alpha$  is the homotopy class of the composite

$$I^2 \xrightarrow{w} I^2 \cup_{I^1} I^2 \xrightarrow{[\alpha \beta]} X.$$

Given a left path  $a$  in  $X$ , the identity of  $a$  in the groupoid  $\Pi_{(2)}(X)$  is the left 2-track is pictured here:



More precisely, for points  $x \in I^2$  in the interior, write  $x = k(0, 0) + ly$  as a unique convex combination of  $(0, 0)$  and a point  $y$  on the boundary  $\partial I^2$ . Then define  $\text{id}_a^\square(x) = a(l)$ .

The inverse  $\alpha^\square: b \Rightarrow a$  of a left 2-track  $\alpha: a \Rightarrow b$  is the homotopy class of the composite  $\alpha \circ T$ , where  $T: I^2 \rightarrow I^2$  is the map swapping the two coordinates:  $T(x, y) = (y, x)$ .

**Lemma 3.3.** *Given a pointed topological space  $X$ , the structure described above makes  $\Pi_{(2)}(X)$  into a groupoid, called the **groupoid of left 2-tracks** in  $X$ .*

*Proof.* Standard. □

**Definition 3.4.** A groupoid  $G$  is **abelian** if the groups  $\text{Aut}_G(x)$  are abelian for all objects  $x \in G_0$ .  $G$  is **strictly abelian** if it is pointed (with basepoint  $0 \in G_0$ ), and is equipped with a family of isomorphisms

$$\psi_x: \text{Aut}_G(x) \xrightarrow{\cong} \text{Aut}_G(0)$$

indexed by all objects  $x \in G_0$ , which are moreover compatible with all “change of basepoint” isomorphisms

$$\begin{aligned} \varphi^f: \text{Aut}_G(y) &\xrightarrow{\cong} \text{Aut}_G(x) \\ \alpha &\mapsto \varphi^f(\alpha) = f^\square \alpha f \end{aligned}$$

for any map  $f: x \rightarrow y$  in  $G$ . More precisely, the diagrams

$$(3.2) \quad \begin{array}{ccc} \text{Aut}_G(y) & \xrightarrow{\varphi^f} & \text{Aut}_G(x) \\ & \searrow \psi_y & \downarrow \psi_x \\ & & \text{Aut}_G(0) \end{array}$$

commute.

*Remark 3.5.* A strictly abelian groupoid is automatically abelian. Indeed, the compatibility condition (3.2) applied to automorphisms  $f: 0 \rightarrow 0$  implies that conjugation  $\varphi^f: \text{Aut}_G(0) \rightarrow \text{Aut}_G(0)$  is the identity.

**Definition 3.6.** A groupoid  $G$  is **pointed** if it has a chosen basepoint, i.e., an object  $0 \in G_0$ . Here  $0$  is an abuse of notation: the basepoint is not assumed to be an initial object for  $G$ .

The **star** of a pointed groupoid  $G$  is the set of all morphisms to the basepoint  $0$ , denoted by:

$$\text{Star}(G) = \{f \in G_1 \mid \delta_1(f) = 0\}.$$

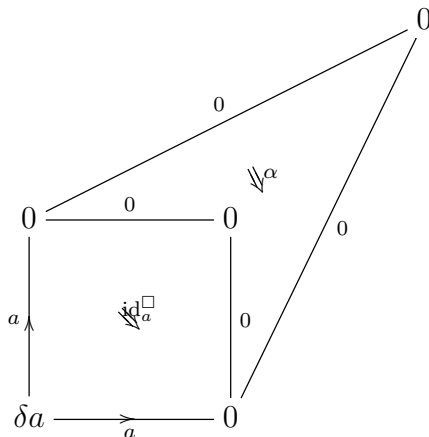
For a morphism  $f: x \rightarrow 0$  in  $\text{Star}(G)$ , we write  $\delta f = \delta_0 f = x$ .

If  $G$  has a basepoint  $0 \in G_0$ , then we take  $\text{id}_0^\square \in G_1$  as basepoint for the set of morphisms  $G_1$  and for  $\text{Star}(G) \subseteq G_1$ ; we sometimes write  $0 = \text{id}_0^\square$ . Moreover, we take the component of the basepoint  $0$  as basepoint for  $\text{Comp}(G)$ , the set of components of  $G$ .

**Proposition 3.7.**  $\Pi_{(2)}(X)$  is a strictly abelian groupoid, and it satisfies  $\text{Comp } \Pi_{(2)}(X) \simeq \text{Star } \Pi_{(1)}(X)$ .

*Proof.* Let  $a \in \Pi_{(2)}(X)_0$  be a left path in  $X$ . To any automorphism  $\alpha: 0 \Rightarrow 0$  in  $\Pi_{(2)}(X)$ , one can associate the well-defined left 2-track indicated by the picture

(3.3)



which is a morphism  $a \Rightarrow a$ . This assignment defines a map  $\text{Aut}_{\Pi_{(2)}(X)}(0) \rightarrow \text{Aut}_{\Pi_{(2)}(X)}(a)$  and is readily seen to be a group isomorphism, whose inverse we denote  $\psi_a$ . One readily checks that the family  $\psi_a$  is compatible with change-of-basepoint isomorphisms.

The set  $\text{Comp } \Pi_{(2)}(X)$  is the set of left paths in  $X$  quotiented by the relation of being connected by a left 2-track. The set  $\text{Star } \Pi_{(1)}(X)$  is the set of left paths in  $X$  quotiented by the relation of path homotopy. But two left paths are path-homotopic if and only if they are connected by a left 2-track.  $\square$

The bijection  $\text{Comp } \Pi_{(2)}(X) \simeq \text{Star } \Pi_{(1)}(X)$  is induced by taking the homotopy class of left 1-cubes. Consider the function  $q: \Pi_{(2)}(X)_0 \rightarrow \Pi_{(1)}(X)_1$  which sends a left 1-cube to its left 1-track  $q(a) = \{a\}$ . Then the image of  $q$  is  $\text{Star } \Pi_{(1)}(X) \subseteq \Pi_{(1)}(X)_1$  and  $q$  is constant on the components of  $\Pi_{(2)}(X)_0$ . We now introduce a definition based on those features of  $\Pi_{(2)}(X)$ .

**Definition 3.8.** A **2-track groupoid**  $G = (G_{(1)}, G_{(2)})$  consists of:

- Pointed groupoids  $G_{(1)}$  and  $G_{(2)}$ , with  $G_{(2)}$  strictly abelian.
- A pointed function  $q: G_{(2)0} \rightarrow \text{Star } G_{(1)}$  which is constant on the components of  $G_{(2)}$ , and such that the induced function  $q: \text{Comp } G_{(2)} \xrightarrow{\cong} \text{Star } G_{(1)}$  is bijective.

We assign degrees to the following elements:

$$\deg(x) = \begin{cases} 0 & \text{if } x \in G_{(1)0} \\ 1 & \text{if } x \in G_{(2)0} \\ 2 & \text{if } x \in G_{(2)1} \end{cases}$$

and we write  $x \in G$  in each case.

A **morphism of 2-track groupoids**  $F: G \rightarrow G'$  consists of a pair of pointed functors

$$\begin{aligned} F_{(1)}: G_{(1)} &\rightarrow G'_{(1)} \\ F_{(2)}: G_{(2)} &\rightarrow G'_{(2)} \end{aligned}$$

which are compatible with the additional structure, as described in the following two conditions.

(1) (*Structural isomorphisms*) For every object  $a \in G_{(2)0}$ , the diagram

$$\begin{array}{ccc} \mathrm{Aut}_{G_{(2)}}(a) & \xrightarrow{F_{(2)}} & \mathrm{Aut}_{G'_{(2)}}(F_{(2)}a) \\ \psi_a \downarrow & & \downarrow \psi_{F_{(2)}a} \\ \mathrm{Aut}_{G_{(2)}}(0) & \xrightarrow{F_{(2)}} & \mathrm{Aut}_{G'_{(2)}}(0') \end{array}$$

commutes.

(2) (*Quotient functions*) The diagram

$$\begin{array}{ccc} G_{(2)0} & \xrightarrow{F_{(2)}} & G'_{(2)0} \\ q \downarrow & & \downarrow q' \\ \mathrm{Star} G_{(1)} & \xrightarrow{F_{(1)}} & \mathrm{Star} G'_{(1)} \end{array}$$

commutes.

Let  $\mathbf{Gpd}_{(1,2)}$  denote the category of 2-track groupoids.

*Remark 3.9.* If  $\alpha: a \Rightarrow b$  is a left 2-track in a space, then the left paths  $a$  and  $b$  have the same starting point  $\delta a = \delta b$ . This condition is encoded in the definition of 2-track groupoid. Indeed, if  $\alpha: a \Rightarrow b$  is a morphism in  $G_{(2)}$ , then  $a, b \in G_{(2)0}$  belong to the same component of  $G_{(2)}$ . Thus, we have  $q(a) = q(b) \in \mathrm{Star} G_{(1)}$  and in particular  $\delta q(a) = \delta q(b) \in G_{(1)0}$ .

**Definition 3.10.** The **fundamental 2-track groupoid** of a pointed space  $X$  is

$$\Pi_{(1,2)}(X) := (\Pi_{(1)}(X), \Pi_{(2)}(X)).$$

This construction defines a functor  $\Pi_{(1,2)}: \mathbf{Top}_* \rightarrow \mathbf{Gpd}_{(1,2)}$ .

*Remark 3.11.* The grading on  $\Pi_{(1,2)}(X)$  defined in 3.8 corresponds to the dimension of the cubes. For  $x \in \Pi_{(1,2)}(X)$ , we have  $\deg(x) = 0$  if  $x$  is a point in  $X$ ,  $\deg(x) = 1$  if  $x$  is a left path in  $X$ , and  $\deg(x) = 2$  if  $x$  is a left 2-track in  $X$ . This 2-graded set is the left 2-cubical set  $\mathrm{Nul}_2(X)$  [6, Definition 1.9].

**Definition 3.12.** Given a 2-track groupoid  $G$ , its **homotopy groups** are

$$\begin{aligned} \pi_0 G &= \mathrm{Comp} G_{(1)} \\ \pi_1 G &= \mathrm{Aut}_{G_{(1)}}(0) \\ \pi_2 G &= \mathrm{Aut}_{G_{(2)}}(0). \end{aligned}$$

Note that  $\pi_0 G$  is a priori only a pointed set,  $\pi_1 G$  is a group, and  $\pi_2 G$  is an abelian group.

A morphism  $F: G \rightarrow G'$  of 2-track groupoids is a **weak equivalence** if it induces an isomorphism on homotopy groups.

*Remark 3.13.* Let  $X$  be a topological space with basepoint  $x_0 \in X$ . Then the homotopy groups of its fundamental 2-track groupoid  $G = \Pi_{(1,2)}(X, x_0)$  are the homotopy groups of the space  $\pi_i G = \pi_i(X, x_0)$  for  $i = 0, 1, 2$ .

**Lemma 3.14.**  $\mathbf{Gpd}_{(1,2)}$  has products, given by  $G \times G' = (G_{(1)} \times G'_{(1)}, G_{(2)} \times G'_{(2)})$ , and where the structural isomorphisms

$$\psi_{(x,x')}: \mathrm{Aut}_{G_{(2)} \times G'_{(2)}}((x, x')) \xrightarrow{\cong} \mathrm{Aut}_{G_{(2)} \times G'_{(2)}}((0, 0'))$$

are given by  $\psi_x \times \psi_{x'}$ , and the quotient function

$$\begin{array}{c} (G \times G')_{(2)0} = G_{(2)0} \times G'_{(2)0} \\ \downarrow q \times q' \\ \text{Star}(G \times G')_{(1)} = \text{Star } G_{(1)} \times \text{Star } G'_{(1)} \end{array}$$

is the product of the quotient functions for  $G$  and  $G'$ .

**Lemma 3.15.** *The fundamental 2-track groupoid preserves products:*

$$\Pi_{(1,2)}(X \times Y) \cong \Pi_{(1,2)}(X) \times \Pi_{(1,2)}(Y).$$

#### 4. 2-TRACKS IN A TOPOLOGICALLY ENRICHED CATEGORY

Throughout this section, let  $\mathcal{C}$  be a category enriched in  $(\mathbf{Top}_*, \wedge)$ . Explicitly:

- For any objects  $A$  and  $B$  of  $\mathcal{C}$ , there is a morphism space  $\mathcal{C}(A, B)$  with basepoint denoted  $0 \in \mathcal{C}(A, B)$ .
- For any objects  $A, B$ , and  $C$ , there is a composition map

$$\mu: \mathcal{C}(B, C) \times \mathcal{C}(A, B) \rightarrow \mathcal{C}(A, C)$$

which is associative and unital.

- Composition satisfies

$$\mu(x, 0) = \mu(0, y) = 0$$

for all  $x$  and  $y$ .

We write  $x \in \mathcal{C}$  if  $x \in \mathcal{C}(A, B)$  for some objects  $A$  and  $B$ . For  $x, y \in \mathcal{C}$ , we write  $xy = \mu(x, y)$  when  $x$  and  $y$  are composable, i.e., when the target of  $y$  is the source of  $x$ . From now on, whenever an expression such as  $xy$  or  $x \otimes y$  appears, it is understood that  $x$  and  $y$  must be composable.

By Definition 2.4, we have the  $\otimes$ -composition  $x \otimes y$  for  $x, y \in \Pi_{(1)}\mathcal{C}$  and  $\deg(x) + \deg(y) \leq 1$ . For  $\deg(a) = \deg(b) = 1$ , we have:

$$\begin{aligned} ab &= (a \otimes \delta_1 b) \square (\delta_0 a \otimes b) \\ &= (\delta_1 a \otimes b) \square (a \otimes \delta_0 b). \end{aligned}$$

This equation holds in any category enriched in groupoids, where  $ab$  denotes the (pointwise) composition. Note that for paths  $\tilde{a}$  and  $\tilde{b}$  representing  $a$  and  $b$ , the boundary of the 2-cube  $\tilde{a} \otimes \tilde{b}$  corresponds to the equation.

Conversely, the  $\otimes$ -composition in  $\Pi_{(1)}\mathcal{C}$  is determined by the pointwise composition. For  $\deg(x) = \deg(y) = 0$  and  $\deg(a) = 1$ , we have:

$$(4.1) \quad \begin{cases} x \otimes y = xy \\ x \otimes a = \text{id}_x \square a \\ a \otimes x = a \text{id}_x \square. \end{cases}$$

We now consider the 2-track groupoids  $\Pi_{(1,2)}\mathcal{C}(A, B)$  of morphism spaces in  $\mathcal{C}$ , and we write  $x \in \Pi_{(1,2)}\mathcal{C}$  if  $x \in \Pi_{(1,2)}\mathcal{C}(A, B)$  for some objects  $A, B$  of  $\mathcal{C}$ . By Definition 2.4, composition in  $\mathcal{C}$  induces the  $\otimes$ -composition:

$$x \otimes y \in \Pi_{(1,2)}\mathcal{C}$$

if  $x$  and  $y$  satisfy  $\deg(x) + \deg(y) \leq 2$ . For  $\deg(x) = \deg(y) = 1$ ,  $x$  and  $y$  are left paths, hence  $x \otimes y$  is well-defined. The  $\otimes$ -composition satisfies:

$$\deg(x \otimes y) = \deg(x) + \deg(y).$$

The  $\otimes$ -composition is associative, since composition in  $\mathcal{C}$  is associative. The identity elements  $1_A \in \mathcal{C}(A, A)$  for  $\mathcal{C}$  provide identity elements  $1 = 1_A \in \Pi_{(1,2)}\mathcal{C}(A, A)$ , with  $\deg(1_A) = 0$ , and  $x \otimes 1 = x = 1 \otimes x$ .

Let us describe the  $\otimes$ -composition of left paths more explicitly. Given left paths  $a$  and  $b$ , then  $a \otimes b$  is a 2-track from  $\delta_0(a \otimes b) = (\delta a) \otimes b$  to  $\delta_1(a \otimes b) = a \otimes (\delta b)$ , as illustrated here:

$$\begin{array}{ccc} & \xrightarrow{0} & \\ \delta_0(a \otimes b) = \delta a \otimes b & \begin{array}{c} \Downarrow \\ \alpha \otimes b \end{array} & 0 \\ & \xrightarrow{\delta_1(a \otimes b) = a \otimes \delta b} & \end{array}$$

**Definition 4.1.** The 2-track algebra associated to  $\mathcal{C}$ , denoted  $(\Pi_{(1)}\mathcal{C}, \Pi_{(1,2)}\mathcal{C}, \square, \otimes)$ , consists of the following data.

- $\Pi_{(1)}\mathcal{C}$  is the category enriched in pointed groupoids given by the fundamental groupoids  $(\Pi_{(1)}\mathcal{C}(A, B), \square)$  of morphism spaces in  $\mathcal{C}$ , along with the  $\otimes$ -composition, which determines (and is determined by) the composition in  $\Pi_{(1)}\mathcal{C}$ .
- $\Pi_{(1,2)}\mathcal{C}$  is given by the collection of fundamental 2-track groupoids  $(\Pi_{(1,2)}\mathcal{C}(A, B), \square)$  together with the  $\otimes$ -composition  $x \otimes y$  for  $x, y \in \Pi_{(1,2)}\mathcal{C}$  satisfying  $\deg(x) + \deg(y) \leq 2$ .

**Proposition 4.2.** Let  $x, \alpha, \beta \in \Pi_{(1,2)}\mathcal{C}$  with  $\deg(x) = 0$  and  $\deg(\alpha) = \deg(\beta) = 2$ . Then the following equations hold:

$$\begin{cases} x \otimes (\beta \square \alpha) = (x \otimes \beta) \square (x \otimes \alpha) \\ (\beta \square \alpha) \otimes x = (\beta \otimes x) \square (\alpha \otimes x). \end{cases}$$

*Proof.* This follows from functoriality of  $\Pi_{(2)}$  applied to the composition maps  $\mu(x, -): \mathcal{C}(A, B) \rightarrow \mathcal{C}(A, C)$  and  $\mu(-, x): \mathcal{C}(B, C) \rightarrow \mathcal{C}(A, C)$ .  $\square$

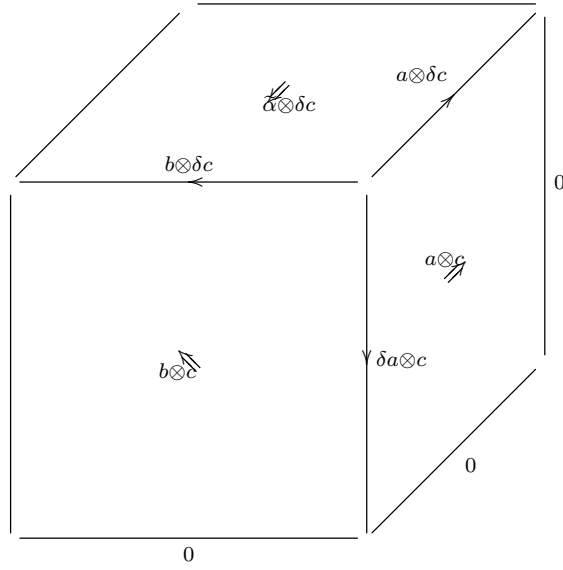
**Proposition 4.3.** Let  $c, \alpha \in \Pi_{(1,2)}\mathcal{C}$  with  $\deg(c) = 1$  and  $\deg(\alpha) = 2$ . Then the following equations hold:

$$\begin{cases} \delta_1 \alpha \otimes c = (\alpha \otimes \delta c) \square (\delta_0 \alpha \otimes c) \\ c \otimes \delta_0 \alpha = (c \otimes \delta_1 \alpha) \square (\delta c \otimes \alpha). \end{cases}$$

*Proof.* Write  $a = \delta_0 \alpha$  and  $b = \delta_1 \alpha$ , i.e.,  $\alpha$  is a left 2-track from  $a$  to  $b$ :

$$\begin{array}{ccc} & \xrightarrow{0} & \\ a & \begin{array}{c} \Downarrow \\ \alpha \end{array} & 0 \\ & \xrightarrow{b} & \end{array}$$

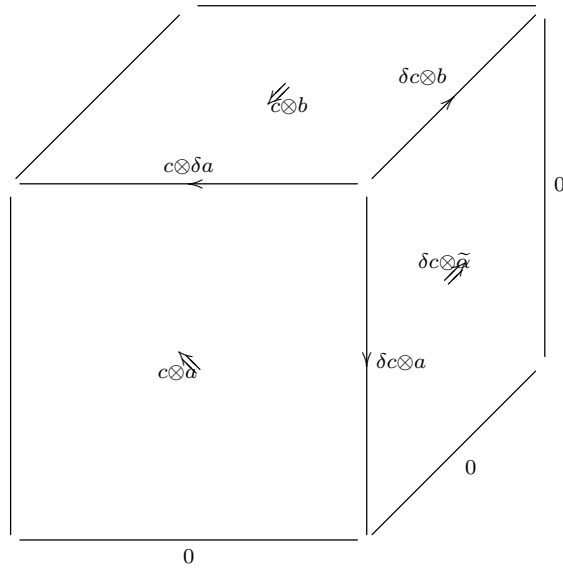
and note in particular  $\delta a = \delta b$ . Let  $\tilde{\alpha}$  be a left 2-cube that represents  $\alpha$  and consider the left 3-cube  $\tilde{\alpha} \otimes c$ :



Its boundary exhibits the equality of 2-tracks:

$$\begin{aligned} \text{top face} \square \text{right face} &= \text{front face} \\ (\alpha \otimes \delta c) \square (a \otimes c) &= b \otimes c \\ (\alpha \otimes \delta c) \square (\delta_0 \alpha \otimes c) &= \delta_1 \alpha \otimes c. \end{aligned}$$

Likewise, for second equation, consider the left 3-cube  $c \otimes \tilde{\alpha}$ :



Its boundary exhibits the equality of 2-tracks:

$$\begin{aligned} \text{top face} \square \text{right face} &= \text{front face} \\ (c \otimes b) \square (\delta c \otimes \alpha) &= c \otimes a \\ (c \otimes \delta_1 \alpha) \square (\delta c \otimes \alpha) &= c \otimes \delta_0 \alpha. \end{aligned}$$

□

## 5. 2-TRACK ALGEBRAS

We now collect the structure found in  $(\Pi_{(1)}\mathcal{C}, \Pi_{(1,2)}\mathcal{C}, \square, \otimes)$  into the following definition.

**Definition 5.1.** A **2-track algebra**  $\mathcal{A} = (\mathcal{A}_{(1)}, \mathcal{A}_{(1,2)}, \square, \otimes)$  consists of the following data.

- (1) A category  $\mathcal{A}_{(1)}$  enriched in pointed groupoids, with the  $\otimes$ -composition determined by Equation (4.1).
- (2) A collection  $\mathcal{A}_{(1,2)}$  of 2-track groupoids  $(\mathcal{A}_{(1,2)}(A, B), \square)$  for all objects  $A, B$  of  $\mathcal{A}_{(1)}$ , such that the first groupoid in  $\mathcal{A}_{(1,2)}(A, B)$  is equal to the pointed groupoid  $\mathcal{A}_{(1)}(A, B)$ .
- (3) For  $x, y \in \mathcal{A}_{(1,2)}$ , the  $\otimes$ -composition  $x \otimes y \in \mathcal{A}_{(1,2)}$  is defined. For  $\deg(x) = 0$  and  $\deg(y) = 1$ , the following equations hold in  $\mathcal{A}_{(1)}$ :

$$\begin{cases} q(x \otimes y) = x \otimes q(y) \\ q(y \otimes x) = q(y) \otimes x. \end{cases}$$

The following equations are required to hold.

- (1) (*Associativity*)  $\otimes$  is associative:  $(x \otimes y) \otimes z = x \otimes (y \otimes z)$ .
- (2) (*Units*) The units  $1 \in \mathcal{A}_{(1)}$ , with  $\deg(1_A) = 0$ , serve as units for  $\otimes$ , i.e., satisfy  $x \otimes 1 = x = 1 \otimes x$  for all  $x \in \mathcal{A}_{(1,2)}$ .
- (3) (*Pointedness*)  $\otimes$  satisfies  $x \otimes 0 = 0$  and  $0 \otimes y = 0$ .
- (4) For  $x, y, \alpha, \beta \in \mathcal{A}_{(1,2)}$  with  $\deg(x) = \deg(y) = 0$  and  $\deg(\alpha) = \deg(\beta) = 2$ , we have:

$$\begin{cases} \delta_i(x \otimes \alpha \otimes y) = x \otimes (\delta_i \alpha) \otimes y \text{ for } i = 0, 1 \\ x \otimes (\beta \square \alpha) \otimes y = (x \otimes \beta \otimes y) \square (x \otimes \alpha \otimes y) \end{cases}$$

- (5) For  $a, b \in \mathcal{A}_{(1,2)}$  with  $\deg(a) = \deg(b) = 1$ , we have:

$$\begin{cases} \delta_0(a \otimes b) = \delta a \otimes b \\ \delta_1(a \otimes b) = a \otimes \delta b. \end{cases}$$

- (6) For  $c, \alpha \in \mathcal{A}_{(1,2)}$  with  $\deg(c) = 1$  and  $\deg(\alpha) = 2$ , we have:

$$\begin{cases} \delta_1 \alpha \otimes c = (\alpha \otimes \delta c) \square (\delta_0 \alpha \otimes c) \\ c \otimes \delta_0 \alpha = (c \otimes \delta_1 \alpha) \square (\delta c \otimes \alpha). \end{cases}$$

**Definition 5.2.** A **morphism of 2-track algebras**  $F: \mathcal{A} \rightarrow \mathcal{B}$  consists of the following.

- (1) A functor  $F_{(1)}: \mathcal{A}_{(1)} \rightarrow \mathcal{B}_{(1)}$  enriched in pointed groupoids.
- (2) A collection  $F_{(1,2)}$  of morphisms of 2-track groupoids

$$F_{(1,2)}(A, B): \mathcal{A}_{(1,2)}(A, B) \rightarrow \mathcal{B}_{(1,2)}(FA, FB)$$

for all objects  $A, B$  of  $\mathcal{A}$ , such that  $F_{(1,2)}(A, B)$  restricted to the first groupoid in  $\mathcal{A}_{(1,2)}(A, B)$  is the functor  $F_{(1)}(A, B): \mathcal{A}_{(1)}(A, B) \rightarrow \mathcal{B}_{(1)}(FA, FB)$ .

- (3) (*Compatibility with  $\otimes$* )  $F$  commutes with  $\otimes$ :

$$F(x \otimes y) = Fx \otimes Fy.$$

Denote by  $\mathbf{Alg}_{(1,2)}$  the category of 2-track algebras.

**Definition 5.3.** Let  $\mathcal{A}$  be a 2-track algebra. The underlying **homotopy category** of  $\mathcal{A}$  is the homotopy category of the underlying track category  $\mathcal{A}_{(1)}$ , denoted

$$\pi_0 \mathcal{A} := \pi_0 \mathcal{A}_{(1)} = \text{Comp } \mathcal{A}_{(1)}.$$

We say that  $\mathcal{A}$  is **based** on the category  $\pi_0 \mathcal{A}$ .



**Definition 5.4.** A morphism of 2-track algebras  $F: \mathcal{A} \rightarrow \mathcal{B}$  is a **weak equivalence** if the following conditions hold:

(1) For every objects  $A$  and  $B$  of  $\mathcal{A}$ , the morphism

$$F_{(1,2)}: \mathcal{A}_{(1,2)}(A, B) \rightarrow \mathcal{B}_{(1,2)}(FA, FB)$$

is a weak equivalence of 2-track groupoids (Definition 3.12).

(2) The induced functor  $\pi_0 F: \pi_0 \mathcal{A} \rightarrow \pi_0 \mathcal{B}$  is an equivalence of categories.

## 6. HIGHER ORDER CHAIN COMPLEXES

In this section, we construct tertiary chain complexes, extending the work of [3] on secondary chain complexes. We will follow the treatment therein.

**Definition 6.1.** A **chain complex**  $(A, d)$  in a pointed category  $\mathbf{A}$  is a sequence of objects and morphisms

$$\cdots \longrightarrow A_{n+1} \xrightarrow{d_n} A_n \xrightarrow{d_{n-1}} A_{n-1} \longrightarrow \cdots$$

in  $\mathbf{A}$  satisfying  $d_{n-1}d_n = 0$  for all  $n \in \mathbb{Z}$ . The map  $d$  is called the *differential*.

A **chain map**  $f: (A, d) \rightarrow (A', d')$  between chain complexes is a sequence of morphisms  $f_n: A_n \rightarrow A'_n$  commuting with the differentials:

$$\begin{array}{ccccccc} \cdots & \longrightarrow & A_{n+1} & \xrightarrow{d_n} & A_n & \xrightarrow{d_{n-1}} & A_{n-1} \longrightarrow \cdots \\ & & \downarrow f_{n+1} & & \downarrow f_n & & \downarrow f_{n-1} \\ \cdots & \longrightarrow & A'_{n+1} & \xrightarrow{d'_n} & A'_n & \xrightarrow{d'_{n-1}} & A'_{n-1} \longrightarrow \cdots \end{array}$$

i.e., satisfying  $f_n d_n = d'_n f_{n+1}$  for all  $n \in \mathbb{Z}$ .

**Definition 6.2.** [3, Definition 2.6] Let  $\mathbf{B}$  be a category enriched in pointed groupoids. A **secondary pre-chain complex**  $(A, d, \gamma)$  in  $\mathbf{B}$  is a diagram of the form:

$$\begin{array}{ccccccc} & & & 0 & & 0 & \\ & & & \curvearrowright & & \curvearrowleft & \\ & & & \gamma_n \uparrow\uparrow & & \uparrow & \\ \cdots & \longrightarrow & A_{n+2} & \xrightarrow{d_{n+1}} & A_{n+1} & \xrightarrow{d_n} & A_n & \xrightarrow{d_{n-1}} & A_{n-1} & \longrightarrow & \cdots \\ & & \downarrow & & \downarrow \gamma_{n-1} & & \downarrow & & \downarrow & & \\ & & & 0 & & 0 & & & & & \end{array}$$

More precisely, the data consists of a sequence of objects  $A_n$  and maps  $d_n: A_{n+1} \rightarrow A_n$ , together with left tracks  $\gamma_n: d_n d_{n+1} \Rightarrow 0$  for all  $n \in \mathbb{Z}$ .

$(A, d, \gamma)$  is a **secondary chain complex** if moreover for each  $n \in \mathbb{Z}$ , the tracks

$$d_{n-1} d_n d_{n+1} \xrightarrow{d_{n-1} \otimes \gamma_n} d_{n-1} 0 \xrightarrow{\text{id}_0^\square} 0$$

and

$$d_{n-1} d_n d_{n+1} \xrightarrow{\gamma_{n-1} \otimes d_{n+1}} 0 d_{n+1} \xrightarrow{\text{id}_0^\square} 0$$

coincide. In other words, the track

$$\mathcal{O}(\gamma_{n-1}, \gamma_n) := (\gamma_{n-1} \otimes d_{n+1}) \square (d_{n-1} \otimes \gamma_n)^\square : 0 \Rightarrow 0$$

in the groupoid  $\mathbf{B}(A_{n+2}, A_{n-1})$  is the identity track of 0.

We say that the secondary pre-chain complex  $(A, d, \gamma)$  is **based** on the chain complex  $(A, \{d\})$  in the homotopy category  $\pi_0 \mathbf{B}$ .

*Remark 6.3.* One can show that the notion of secondary (pre-)chain complex in  $\mathbf{B}$  coincides with the notion of 1<sup>st</sup> order (pre-)chain complex in  $\text{Nul}_1 \mathbf{B}$  described in [6, §4, c.f. Example 12.3].

**Definition 6.4.** A **tertiary pre-chain complex**  $(A, d, \delta, \xi)$  in a 2-track algebra  $\mathcal{A}$  is a sequence of objects  $A_n$  and maps  $d_n: A_{n+1} \rightarrow A_n$  in the category  $\mathcal{A}_{(1)0}$ , together with left paths  $\gamma_n: d_n d_{n+1} \rightarrow 0$  in  $\mathcal{A}_{(1,2)}$ , as illustrated in the diagram

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & \curvearrowright & \uparrow & \curvearrowright & \uparrow \gamma_n & \curvearrowright & \uparrow \\
 \cdots & \longrightarrow & A_{n+3} & \xrightarrow{-d_{n+2}} & A_{n+2} & \xrightarrow{-d_{n+1}} & A_{n+1} & \xrightarrow{-d_n} & A_n & \xrightarrow{-d_{n-1}} & A_{n-1} & \longrightarrow & \cdots \\
 & & \searrow & \nearrow \gamma_{n+1} & \searrow & \nearrow \gamma_{n-1} & \searrow & \nearrow & & & & & \\
 & & & & 0 & & 0 & & & & & & 
 \end{array}$$

along with left 2-tracks  $\xi_n: \gamma_n \otimes d_{n+2} \Rightarrow d_n \otimes \gamma_{n+1}$  in  $\mathcal{A}_{(1,2)}$ , for all  $n \in \mathbb{Z}$ .

$(A, d, \gamma, \xi)$  is a **tertiary chain complex** if moreover for each  $n \in \mathbb{Z}$ , the left 2-track:

$$d_{n-1} \otimes \gamma_n \otimes d_{n+2} \xrightarrow{d_{n-1} \otimes \xi_n} d_{n-1} d_n \otimes \gamma_{n+1} \xrightarrow{\gamma_{n-1} \otimes \gamma_{n+1}} \gamma_{n-1} \otimes d_{n+1} d_{n+2} \xrightarrow{\xi_{n-1} \otimes d_{n+2}} d_{n-1} \otimes \gamma_n \otimes d_{n+2}$$

is the identity of  $d_{n-1} \otimes \gamma_n \otimes d_{n+2}$  in the groupoid  $\mathcal{A}_{(2)}(A_{n+3}, A_{n-1})$ . In other words, the element:

$$\mathcal{O}(\xi_{n-1}, \xi_n) := \psi_{d_{n-1} \otimes \gamma_n \otimes d_{n+2}} ((\xi_{n-1} \otimes d_{n+2}) \square (\gamma_{n-1} \otimes \gamma_{n+1}) \square (d_{n-1} \otimes \xi_n)) \in \pi_2 \mathcal{A}_{(1,2)}(A_{n+3}, A_{n-1})$$

is trivial. Here,  $\psi$  is the structural isomorphism in the 2-track groupoid  $\mathcal{A}_{(1,2)}(A_{n+3}, A_{n-1})$ , as in Definitions 3.4 and 3.8.

We say that the tertiary pre-chain complex  $(A, d, \gamma, \xi)$  is **based** on the chain complex  $(A, \{d\})$  in the homotopy category  $\pi_0 \mathcal{A}$ .

**6.1. Toda brackets of length 3 and 4.** Let  $\mathcal{C}$  be a category enriched in  $(\mathbf{Top}_*, \wedge)$ . Let  $\pi_0 \mathcal{C}$  be the category of path components of  $\mathcal{C}$  (applied to each mapping space) and let

$$Y_0 \xleftarrow{y_1} Y_1 \xleftarrow{y_2} Y_2 \xleftarrow{y_3} Y_3 \xleftarrow{y_4} Y_4$$

be a diagram in  $\pi_0 \mathcal{C}$  satisfying  $y_1 y_2 = 0$ ,  $y_2 y_3 = 0$ , and  $y_3 y_4 = 0$ . Choose maps  $x_i$  in  $\mathcal{C}$  representing  $y_i$ . Then there exist left 1-cubes  $a, b, c$  as in the diagram

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & \curvearrowright & \uparrow a & \curvearrowright & \uparrow c & \curvearrowright & \\
 Y_0 & \xleftarrow{x_1} & Y_1 & \xleftarrow{x_2} & Y_2 & \xleftarrow{x_3} & Y_3 & \xleftarrow{x_4} & Y_4 \\
 & & & \searrow b & & & & & \\
 & & & & 0 & & & & 
 \end{array}$$

**Definition 6.5.** The **Toda bracket** of length 3, denoted  $\langle y_1, y_2, y_3 \rangle \subseteq \pi_1 \mathcal{C}(Y_3, Y_0)$ , is the set of all elements in  $\text{Aut}(0) = \pi_1 \mathcal{C}(Y_3, Y_0)$  of the form

$$\mathcal{O}(a, b) := (a \otimes x_3) \square (x_1 \otimes b)^\square$$

as above.

Assume now that we can choose left 2-tracks  $\alpha: a \otimes x_3 \Rightarrow x_1 \otimes b$  and  $\beta: b \otimes x_4 \Rightarrow x_2 \otimes c$  in  $\Pi_{(1,2)} \mathcal{C}$ . Then the composite of left 2-tracks

$$(\alpha \otimes x_4) \square (a \otimes c) \square (x_1 \otimes \beta)$$

is an element of  $\text{Aut}(x_1 \otimes b \otimes x_4)$ , to which we apply the structural isomorphism

$$\psi_{x_1 \otimes b \otimes x_4}: \text{Aut}(x_1 \otimes b \otimes x_4) \xrightarrow{\cong} \pi_2 \mathcal{C}(Y_4, Y_0).$$

The set of all such elements is the **Toda bracket** of length 4, denoted  $\langle y_1, y_2, y_3, y_4 \rangle \subseteq \pi_2 \mathcal{C}(Y_4, Y_0)$ .

Note that the existence of  $\alpha$ , resp.  $\beta$ , implies that the bracket  $\langle y_1, y_2, y_3 \rangle$ , resp.  $\langle y_2, y_3, y_4 \rangle$  contains the zero element.

*Remark 6.6.* For a secondary pre-chain complex  $(A, d, \gamma)$ , we have

$$\mathcal{O}(\gamma_{n-1}, \gamma_n) \in \langle d_{n-1}, d_n, d_{n+1} \rangle$$

for every  $n \in \mathbb{Z}$ . Likewise, for a tertiary pre-chain complex  $(A, d, \gamma, \xi)$ , we have

$$\mathcal{O}(\xi_{n-1}, \xi_n) \in \langle d_{n-1}, d_n, d_{n+1}, d_{n+2} \rangle$$

for every  $n \in \mathbb{Z}$ . However, the vanishing of these Toda brackets does not guarantee the existence of a tertiary chain complex based on the chain complex  $(A, \{d\})$ .

## 7. THE ADAMS DIFFERENTIAL $d_3$

Consider the topologically enriched category of spectra and mapping spaces between spectra, denoted **Spec**. (To make this precise, one can start from a simplicial model category of spectra, and take **Spec** to be the full subcategory of fibrant-cofibrant objects, c.f. [6, Example 7.3].)

Let  $H := H\mathbb{F}_p$  be the Eilenberg-MacLane spectrum for the prime  $p$  and let  $\mathfrak{A} = H^*H$  denote the mod  $p$  Steenrod algebra. Consider the collection **EM** of all mod  $p$  generalized Eilenberg-MacLane spectra that are bounded below and of finite type, i.e., degreewise finite products  $A = \prod_i \Sigma^{n_i} H$  with  $n_i \in \mathbb{Z}$  and  $n_i \geq N$  for some integer  $N$  for all  $i$ . Since the product is degreewise finite, the natural map  $\bigvee_i \Sigma^{n_i} H \rightarrow \prod_i \Sigma^{n_i} H$  is an equivalence, so that the mod  $p$  cohomology  $H^*A$  is a free  $\mathfrak{A}$ -module. Moreover, the cohomology functor restricted to the full subcategory of **Spec** with objects **EM** yields an equivalence of categories in the diagram:

$$\begin{array}{ccc} \pi_0 \mathbf{Spec}^{\text{op}} & \xrightarrow{H^*} & \mathbf{Mod}_{\mathfrak{A}} \\ \uparrow & & \uparrow \\ \pi_0 \mathbf{EM}^{\text{op}} & \xrightarrow[\cong]{H^*} & \mathbf{Mod}_{\mathfrak{A}}^{\text{fin}} \end{array}$$

where  $\mathbf{Mod}_{\mathfrak{A}}^{\text{fin}}$  denotes the full subcategory consisting of free  $\mathfrak{A}$ -modules which are bounded below and of finite type.

Given spectra  $Y$  and  $X$ , consider the Adams spectral sequence:

$$E_2^{s,t} = \text{Ext}_{\mathfrak{A}}^{s,t}(H^*X, H^*Y) \Rightarrow [\Sigma^{t-s}Y, X_p^\wedge].$$

Assume that  $Y$  is a finite spectrum and  $X$  is a connective spectrum of finite type, i.e.,  $X$  is equivalent to a CW-spectrum with finitely many cells in each dimension and no cells below a certain dimension. Then the mod  $p$  cohomology  $H^*X$  is an  $\mathfrak{A}$ -module which is bounded below and degreewise finitely generated (as an  $\mathfrak{A}$ -module, or equivalently, as an  $\mathbb{F}_p$ -vector space). Choose a free resolution of  $H^*X$  as an  $\mathfrak{A}$ -module:

$$\cdots \longrightarrow F_2 \xrightarrow{e_1} F_1 \xrightarrow{e_0} F_0 \xrightarrow{\lambda} H^*X$$

where each  $F_i$  is a free  $\mathfrak{A}$ -module of finite type and bounded below. This diagram can be realized as the cohomology of a diagram in the stable homotopy category  $\pi_0 \mathbf{Spec}$ :

$$\cdots \longleftarrow A_2 \xleftarrow{d_1} A_1 \xleftarrow{d_0} A_0 \xleftarrow{\epsilon} A_{-1} = X$$

with each  $A_i$  in  $\mathbf{EM}$  (for  $i \geq 0$ ) and satisfying  $H^*A_i \cong F_i$ . We consider this diagram as a diagram in the opposite category  $\pi_0\mathbf{Spec}^{\text{op}}$  of the form:

$$\cdots \longrightarrow A_2 \xrightarrow{d_1} A_1 \xrightarrow{d_0} A_0 \xrightarrow{\epsilon} A_{-1} = X$$

Since  $A_\bullet \rightarrow X$  is an  $\mathbf{EM}$ -resolution of  $X$  in  $\pi_0\mathbf{Spec}^{\text{op}}$ , there exists a tertiary chain complex  $(A, d, \gamma, \xi)$  in  $\Pi_{(1,2)}\mathbf{Spec}^{\text{op}}$  based on the resolution  $A_\bullet \rightarrow X$ , by Theorem 8.11.

**Notation 7.1.** Given spectra  $X$  and  $Y$ , let  $\mathbf{EM}\{X, Y\}$  denote the topologically enriched subcategory of  $\mathbf{Spec}$  consisting of all spectra in  $\mathbf{EM}$  and mapping spaces between them, along with the objects  $X$  and  $Y$ , with the mapping spaces  $\mathbf{Spec}(X, A)$  and  $\mathbf{Spec}(Y, A)$  for all  $A$  in  $\mathbf{EM}$ ; c.f. [3, Remark 4.3] [6, Remark 7.5]. We consider the 2-track algebra  $\Pi_{(1,2)}\mathbf{EM}\{X, Y\}^{\text{op}}$ , or any 2-track algebra  $\mathcal{A}$  weakly equivalent to it. In the following construction, everything will take place within  $\Pi_{(1,2)}\mathbf{EM}\{X, Y\}^{\text{op}}$ , but we will write  $\Pi_{(1,2)}\mathbf{Spec}^{\text{op}}$  for notational convenience.

Start with a class in the  $E_2$ -term:

$$x \in E_2^{s,t} = \text{Ext}_{\mathfrak{A}}^{s,t}(H^*X, H^*Y) = \text{Ext}_{\mathfrak{A}}^{s,0}(H^*X, \Sigma^t H^*Y)$$

represented by a cocycle  $x': F_s \rightarrow \Sigma^t H^*Y$ , i.e., a map of  $\mathfrak{A}$ -modules satisfying  $x'd_s = 0$ . Realize  $x'$  as the cohomology of a map  $x'': A_s \rightarrow \Sigma^t Y$  in  $\mathbf{Spec}^{\text{op}}$ . The equation  $x'd_s = 0$  means that  $x''d_s$  is null-homotopic; let  $\gamma: x''d_s \rightarrow 0$  be a null-homotopy. Consider the diagram in  $\mathbf{Spec}^{\text{op}}$ :

$$\begin{array}{ccccccccccc} \cdots & \longrightarrow & A_{s+2} & \xrightarrow{d_{s+1}} & A_{s+1} & \xrightarrow{d_s} & A_s & \xrightarrow{d_{s-1}} & A_{s-1} & \longrightarrow & \cdots & \longrightarrow & A_0 & \xrightarrow{\epsilon} & X \\ & & & & & & \downarrow x'' & & & & & & & & \\ & & & & & & \Sigma^t Y & & & & & & & & \end{array}$$

Now consider the underlying secondary pre-chain complex in  $\Pi_{(1)}\mathbf{Spec}^{\text{op}}$ :

$$(7.1) \quad \begin{array}{ccccccc} & & 0 & & 0 & & \\ & \curvearrowright & & \curvearrowright & & \curvearrowright & \\ \cdots & \longrightarrow & A_{s+3} & \xrightarrow{d_{s+2}} & A_{s+2} & \xrightarrow{d_{s+1}} & A_{s+1} & \xrightarrow{d_s} & A_s & \xrightarrow{x''} & \Sigma^t Y \\ & & & & \downarrow \gamma_{s+1} & & \downarrow \gamma & & & & \\ & & & & 0 & & 0 & & & & \end{array}$$

in which the obstructions  $\mathcal{O}(\gamma_i, \gamma_{i+1})$  are trivial, for  $i \geq s$ .

**Theorem 7.2.** *The obstruction  $\mathcal{O}(\gamma, \gamma_s) \in \pi_1\mathbf{Spec}^{\text{op}}(A_{s+2}, \Sigma^t Y) = \pi_0\mathbf{Spec}^{\text{op}}(A_{s+2}, \Sigma^{t+1}Y)$  is a (co)cycle and does not depend on the choices, up to (co)boundaries, and thus defines an element:*

$$d_{(2)}(x) \in \text{Ext}_{\mathfrak{A}}^{s+2,t+1}(H^*X, H^*Y).$$

Moreover, this function

$$d_{(2)}: \text{Ext}_{\mathfrak{A}}^{s,t}(H^*X, H^*Y) \rightarrow \text{Ext}_{\mathfrak{A}}^{s+2,t+1}(H^*X, H^*Y)$$

is the Adams differential  $d_2$ .

*Proof.* This is [3, Theorems 4.2 and 7.3], or the case  $n = 1, m = 3$  of [6, Theorem 15.11].

Here we used the natural isomorphism:

$$\text{Ext}_{\pi_0\mathbf{EM}^{\text{op}}}^{i,j}(H^*X, H^*Y) \cong \text{Ext}_{\mathfrak{A}}^{i,j}(H^*X, H^*Y)$$

where the left-hand side is defined as in Example 8.8. Using the equivalence of categories  $H^* : \pi_0 \mathbf{EM}^{\text{op}} \xrightarrow{\cong} \mathbf{Mod}_{\mathfrak{A}}^{\text{fin}}$ , this natural isomorphism follows from the natural isomorphisms:

$$\begin{aligned} \pi_0 \mathbf{Spec}^{\text{op}}(A_{s+2}, \Sigma^{t+1}Y) &= \text{Hom}_{\mathfrak{A}}(F_{s+2}, H^* \Sigma^{t+1}Y) \\ &= \text{Hom}_{\mathfrak{A}}(F_{s+2}, \Sigma^{t+1}H^*Y). \end{aligned}$$

Cocycles modulo coboundaries in this group are precisely  $\text{Ext}_{\mathfrak{A}}^{s+2, t+1}(H^*X, H^*Y)$ .  $\square$

Now assume that  $d_2(x) = 0$  holds, so that  $x$  survives to the  $E_3$ -term. Since the obstruction

$$\mathcal{O}(\gamma, \gamma_s) = (\gamma \otimes d_{s+1}) \square (x'' \otimes \gamma_s)^{\square}$$

vanishes, one can choose a left 2-track  $\xi : \gamma \otimes d_{s+1} \Rightarrow x'' \otimes \gamma_s$ , which makes (7.1) into a tertiary pre-chain complex in  $\Pi_{(1,2)} \mathbf{Spec}^{\text{op}}$ . Since  $(A, d, \gamma, \xi)$  was a tertiary chain complex to begin with, the obstructions  $\mathcal{O}(\xi_i, \xi_{i+1})$  are trivial, for  $i \geq s$ .

**Theorem 7.3.** *The obstruction  $\mathcal{O}(\xi, \xi_s) \in \pi_2 \mathbf{Spec}^{\text{op}}(A_{s+3}, \Sigma^t Y) = \pi_0 \mathbf{Spec}^{\text{op}}(A_{s+3}, \Sigma^{t+2} Y)$  is a (co)cycle and does not depend on the choices up to (co)boundaries, and thus defines an element:*

$$d_{(3)}(x) \in E_3^{s+3, t+2}(X, Y).$$

Moreover, this function

$$d_{(3)} : E_3^{s, t}(X, Y) \rightarrow E_3^{s+3, t+2}(X, Y)$$

is the Adams differential  $d_3$ .

*Proof.* This is the case  $n = 2, m = 4$  of [6, Theorem 15.11]. More precisely, by Theorem 9.3, the tertiary chain complex  $(A, d, \gamma, \xi)$  in  $\Pi_{(1,2)} \mathbf{Spec}^{\text{op}}$  yields a 2<sup>nd</sup> order chain complex in  $\text{Nul}_2 \mathbf{Spec}^{\text{op}}$  based on the same  $\mathbf{EM}$ -resolution  $A_{\bullet} \rightarrow X$  in  $\pi_0 \mathbf{Spec}^{\text{op}}$ . The construction of  $d_{(3)}$  above corresponds to the construction  $d_3$  in [6, Definition 15.8].  $\square$

*Remark 7.4.* The groups  $E_3^{s, t}(X, Y)$  are an instance of the secondary Ext groups defined in [3, §4]. Likewise, the next term  $E_4^{s, t}(X, Y) = \ker d_{(3)} / \text{im } d_{(3)}$  is a higher order Ext group as defined in [6, §15].

**Theorem 7.5.** *A weak equivalence of 2-track algebras induces an isomorphism of higher Ext groups, compatible with the differential  $d_{(3)}$ . More precisely, let  $F : \mathcal{A} \rightarrow \mathcal{A}'$  be a weak equivalence between 2-track algebras  $\mathcal{A}$  and  $\mathcal{A}'$  which are weakly equivalent to  $\Pi_{(1,2)} \mathbf{EM}\{X, Y\}^{\text{op}}$ . Then  $F$  induces isomorphisms  $E_{3, \mathcal{A}}^{s, t}(X, Y) \xrightarrow{\cong} E_{3, \mathcal{A}'}^{s, t}(FX, FY)$  making the diagram*

$$\begin{array}{ccc} E_{3, \mathcal{A}}^{s, t}(X, Y) & \xrightarrow{d_{(3), \mathcal{A}}} & E_{3, \mathcal{A}}^{s+3, t+2}(X, Y) \\ \cong \downarrow & & \cong \downarrow \\ E_{3, \mathcal{A}'}^{s, t}(FX, FY) & \xrightarrow{d_{(3), \mathcal{A}'}} & E_{3, \mathcal{A}'}^{s+3, t+2}(FX, FY) \end{array}$$

commute. Here the additional subscript  $\mathcal{A}$  or  $\mathcal{A}'$  denotes the ambient 2-track category in which the secondary Ext groups and the differential are defined.

*Proof.* This follows from the case  $n = 2$  of [6, Theorem 15.9], or an adaptation of the proof of [3, Theorem 5.1].  $\square$

## 8. RESOLUTIONS

In this section, we recall some background from [3] and specialize some results of [6] about higher order resolutions to the case  $n = 2$ . We use the fact that a 2-track algebra has an underlying algebra of left 2-cubical balls, which is the topic of Section 9.

**8.1. Relative homological algebra.** In this subsection, let  $\mathbf{A}$  be an additive category and  $\mathbf{a} \subseteq \mathbf{A}$  a full additive subcategory. An example to keep in mind is the category  $\mathbf{A} = \mathbf{Mod}_R$  of  $R$ -modules for some ring  $R$ , and the subcategory  $\mathbf{a}$  of free (or projective)  $R$ -modules.

**Definition 8.1.** Given chain maps  $f, g: (A, d) \rightarrow (A', d')$ , a **chain homotopy**  $h$  from  $f$  to  $g$  is a sequence of morphisms  $h_n: A_{n-1} \rightarrow A'_n$  satisfying  $g_n - f_n = d'_n h_{n+1} + h_n d_{n-1}$  for all  $n \in \mathbb{Z}$ . In graded notation:  $g - f = dh + hd$ .

A chain complex  $(A, d)$  is **a-exact** if for every object  $X$  of  $\mathbf{a}$  the chain complex  $\mathrm{Hom}_{\mathbf{A}}(X, A_{\bullet})$

$$\cdots \longrightarrow \mathrm{Hom}_{\mathbf{A}}(X, A_{n+1}) \xrightarrow{\mathrm{Hom}_{\mathbf{A}}(X, d_n)} \mathrm{Hom}_{\mathbf{A}}(X, A_n) \xrightarrow{\mathrm{Hom}_{\mathbf{A}}(X, d_{n-1})} \mathrm{Hom}_{\mathbf{A}}(X, A_{n-1}) \longrightarrow \cdots$$

is an exact sequence of abelian groups.

A chain map  $f: (A, d) \rightarrow (A', d')$  is an **a-equivalence** if for every object  $X$  of  $\mathbf{a}$ , the chain map  $\mathrm{Hom}_{\mathbf{A}}(X, f)$  is a quasi-isomorphism.

**Definition 8.2.** For an object  $A$  of  $\mathbf{A}$ , an  **$A$ -augmented chain complex**  $A_{\bullet}^{\epsilon}$  is a chain complex of the form

$$\cdots \longrightarrow A_1 \xrightarrow{d_0} A_0 \xrightarrow{\epsilon} A \longrightarrow 0 \longrightarrow \cdots$$

i.e., with  $A_{-1} = A$  and  $A_n = 0$  for  $n < -1$ . Such a complex can be viewed as a chain map  $\epsilon: A_{\bullet} \rightarrow A$  where  $A$  is a chain complex concentrated in degree 0. The map  $\epsilon = d_{-1}$  is called the **augmentation**.

An **a-resolution** of  $A$  is an  $A$ -augmented chain complex  $A_{\bullet}^{\epsilon}$  which is **a-exact** and such that for all  $n \geq 0$ , the object  $A_n$  belongs to  $\mathbf{a}$ . In other words, an **a-resolution** of  $A$  is a chain complex  $A_{\bullet}$  in  $\mathbf{a}$  together with an **a-equivalence**  $\epsilon: A_{\bullet} \rightarrow A$ .

**Lemma 8.3.** *Assume that  $\mathbf{a}$  satisfies the following:*

- *The coproduct of any set of objects of  $\mathbf{a}$  exists in  $\mathbf{A}$  and belongs to  $\mathbf{a}$  again.*
- *There is a small subcategory  $\mathbf{g}$  of  $\mathbf{a}$  such that every object of  $\mathbf{a}$  is a retract of a coproduct of a set of objects from  $\mathbf{g}$*

*Then every object of  $\mathbf{A}$  admits an a-resolution.*

*Example 8.4.* Consider  $\mathbf{A} = \mathbf{Mod}_R$  and  $\mathbf{a}$  the full subcategory of free  $R$ -modules. Then the full subcategory  $\mathbf{g} = \{R\}$  on the free  $R$ -module on one generator satisfies the assumptions of the lemma. Likewise, if  $\mathbf{a}$  is the full subcategory of projective  $R$ -modules, then the same subcategory  $\mathbf{g} = \{R\}$  satisfies the assumptions of the lemma.

**Lemma 8.5.** *Let  $\epsilon: A_{\bullet} \rightarrow A$  and  $\epsilon': A'_{\bullet} \rightarrow A$  be  $A$ -augmented chain complexes. If each  $A_n$  is in  $\mathbf{a}$  for  $n \geq 0$  and  $A'_{\bullet}$  is **a-exact**, then there exists a chain map  $f: A_{\bullet} \rightarrow A'_{\bullet}$  over  $A$ , which is unique up to chain homotopy over  $A$ .*

**Corollary 8.6.** *Any two a-resolutions  $A_{\bullet}$  and  $A'_{\bullet}$  of an object  $A$  are chain homotopy equivalent.*

**Definition 8.7.** Let  $\mathcal{A}$  be an abelian category and  $F: \mathbf{A} \rightarrow \mathcal{A}$  an additive functor. The **a-relative left derived functors** of  $F$  are the functors  $L_n^{\mathbf{a}}F: \mathbf{A} \rightarrow \mathcal{A}$  for  $n \geq 0$  defined by

$$(L_n^{\mathbf{a}}F)A = H_n(F(A_{\bullet}))$$

where  $A_{\bullet} \rightarrow A$  is any **a-resolution** of  $A$ .

Likewise, if  $F: \mathbf{A}^{\mathrm{op}} \rightarrow \mathcal{A}$  is a contravariant additive functor, its **a-relative right derived functors** of  $F$  are defined by

$$(R_{\mathbf{a}}^n F)A = H^n(F(A_{\bullet})).$$

*Example 8.8.* The  $\mathbf{a}$ -relative Ext groups are given by

$$\mathrm{Ext}_{\mathbf{a}}^n(A, B) := (R_{\mathbf{a}}^n \mathrm{Hom}_{\mathbf{A}}(-, B))(A) = H^n \mathrm{Hom}_{\mathbf{A}}(A_{\bullet}, B).$$

## 8.2. Higher order resolutions.

**Proposition 8.9** (Correction of 1-tracks). *Let  $\mathbf{B}$  be a category enriched in pointed groupoids, such that its homotopy category  $\pi_0 \mathbf{B}$  is additive. Let  $\mathbf{a} \subseteq \pi_0 \mathbf{B}$  be a full additive subcategory. Let  $(A, d, \gamma)$  be a secondary pre-chain complex in  $\mathbf{B}$  based on an  $\mathbf{a}$ -resolution  $A_{\bullet} \rightarrow X$  of an object  $X$  in  $\pi_0 \mathbf{B}$ . Then there exists a secondary chain complex  $(A, d, \gamma')$  in  $\mathbf{B}$  with the same objects  $A_i$  and differentials  $d_i$ . In particular  $(A, d, \gamma')$  is also based on the  $\mathbf{a}$ -resolution  $A_{\bullet} \rightarrow X$ .*

*Proof.* This follows from an adaptation of the proof of [3, Lemma 2.14], or the case  $n = 1$  of [6, Theorem 13.2].  $\square$

**Proposition 8.10** (Correction of 2-tracks). *Let  $\mathcal{A}$  be a 2-track algebra such that its homotopy category  $\pi_0 \mathcal{A}$  is additive. Let  $\mathbf{a} \subseteq \pi_0 \mathcal{A}$  be a full additive subcategory. Let  $(A, d, \gamma, \xi)$  be a tertiary pre-chain complex in  $\mathcal{A}$  based on an  $\mathbf{a}$ -resolution  $A_{\bullet} \rightarrow X$  of an object  $X$  in  $\pi_0 \mathcal{A}$ . Then there exists a tertiary chain complex  $(A, d, \gamma, \xi')$  in  $\mathcal{A}$  with the same objects  $A_i$ , differentials  $d_i$ , and left paths  $\gamma_i$ . In particular,  $(A, d, \gamma, \xi')$  is also based on the  $\mathbf{a}$ -resolution  $A_{\bullet} \rightarrow X$ .*

*Proof.* This follows from the case  $n = 2$  of [6, Theorem 13.2].  $\square$

**Theorem 8.11** (Resolution Theorem). *Let  $\mathcal{A}$  be a 2-track algebra such that its homotopy category  $\pi_0 \mathcal{A}$  is additive. Let  $\mathbf{a} \subseteq \pi_0 \mathcal{A}$  be a full additive subcategory. Let  $A_{\bullet} \rightarrow X$  be an  $\mathbf{a}$ -resolution in  $\pi_0 \mathcal{A}$ . Then there exists a tertiary chain complex in  $\mathcal{A}$  based on the resolution  $A_{\bullet} \rightarrow X$ .*

*Proof.* This follows from the resolution theorems [6, Theorems 8.2 and 14.5].  $\square$

## 9. ALGEBRAS OF LEFT 2-CUBICAL BALLS

**Proposition 9.1.** *Every left cubical ball of dimension 2 is equivalent to  $C_k$  for some  $k \geq 2$ , where  $C_k = B_1 \cup \cdots \cup B_k$  is the left cubical ball of dimension 2 consisting of  $k$  closed 2-cells going cyclically around the vertex 0, with one common 1-cell  $e_i$  between successive 2-cells  $B_i$  and  $B_{i+1}$ , where by convention  $B_{k+1} := B_1$ .*

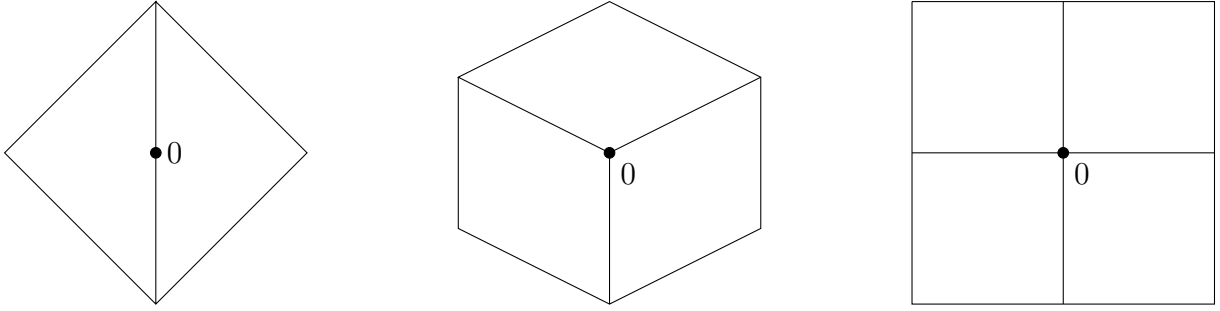
*See Figure 1, which is taken from [6, Figure 3].*

*Proof.* Let  $B$  be a left cubical ball of dimension 2. For each closed 2-cell  $B_i$ , equipped with its homeomorphism  $h_i: I^2 \xrightarrow{\cong} B_i$ , the faces  $\partial_1^1 B_i$  and  $\partial_2^1 B_i$  are required to be 1-cells of the boundary  $\partial B \cong S^1$ , while the faces  $\partial_1^0 B_i$  and  $\partial_2^0 B_i$  are not in  $\partial B$ , and therefore must be faces of some other 2-cells. In other words, we have  $\partial_1^0 B_i = \partial_1^0 B_j$  or  $\partial_1^0 B_i = \partial_2^0 B_j$  for some other 2-cell  $B_j$ , in fact a unique  $B_j$ , because  $B$  is homeomorphic to a 2-disk.

Pick any 2-cell of  $B$  and call it  $B_1$ . Then the face  $e_1 := \partial_2^0 B_1$  appears as a face of exactly one other 2-cell, which we call  $B_2$ . The remaining face  $e_2$  of  $B_2$  appears as a face of exactly one other 2-cell, which we call  $B_3$ . Repeating this process, we list distinct 2-cells  $B_1, \dots, B_k$ , and  $B_{k+1}$  is one of the previously labeled 2-cells. Then  $B_{k+1}$  must be  $B_1$ , with  $e_k = \partial_1^0 B_1$ , since a 1-cell cannot appear as a common face of three 2-cells. Finally, this process exhausts all 2-cells, because all 2-cells share the common vertex 0, which has a neighborhood homeomorphic to an open 2-disk.  $\square$

**Proposition 9.2.** *A left 2-cubical ball ([6, Definition 10.1]) in a pointed space  $X$  corresponds to a circular chain of composable left 2-tracks:*

$$a = a_0 \xrightarrow{\alpha_1^{\epsilon_1}} a_1 \xrightarrow{\alpha_2^{\epsilon_2}} \cdots \rightarrow a_{k-1} \xrightarrow{\alpha_k^{\epsilon_k}} a_k = a$$

FIGURE 1. The left cubical balls  $C_2$ ,  $C_3$ , and  $C_4$ .

where the sign  $\epsilon_i = \pm 1$  is the orientation of the 2-cells in the left cubical ball ([6, Definition 10.8]). Moreover, such an expression  $(\alpha_1, \dots, \alpha_k)$  of a left 2-cubical ball is unique up to cyclic permutation of the  $k$  left 2-tracks  $\alpha_i$ . For example,  $(\alpha_1, \alpha_2, \dots, \alpha_k)$  and  $(\alpha_2, \dots, \alpha_k, \alpha_1)$  represent the same left 2-cubical ball. See Figure 2.

*Proof.* By our convention for the  $\square$ -composition, a left 2-track  $\alpha$  defines a morphism between left paths  $\alpha: d_1^0 \alpha \Rightarrow d_2^0 \alpha$ . The gluing condition for a left 2-cubical ball  $(\alpha_1, \dots, \alpha_k)$  based on a left cubical ball  $B = B_1 \cup \dots \cup B_k$  as in Proposition 9.1 is that the restrictions  $\alpha_i|_{e_i}$  and  $\alpha_{i+1}|_{e_i}$  agree on the common edge  $e_i \subset B_i \cap B_{i+1}$ . This is the composability condition for  $\alpha_{i+1}^{\epsilon_{i+1}} \square \alpha_i^{\epsilon_i}$ . Indeed, up to a global sign, the sign of  $B_i$  is

$$\epsilon_i = \begin{cases} +1 & \text{if } e_i = \partial_2^0 B_i \\ -1 & \text{if } e_i = \partial_1^0 B_i \end{cases}$$

so that we have  $\alpha_i^{\epsilon_i}: \alpha_i|_{e_{i-1}} \Rightarrow \alpha_i|_{e_i}$  and we may take  $a_i = \alpha_i|_{e_i}$ .  $\square$

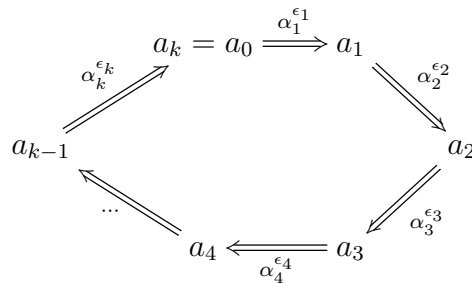


FIGURE 2. A left 2-cubical ball.

**Theorem 9.3.** (1) A 2-track algebra  $\mathcal{A}$  yields an algebra of left 2-cubical balls ([6, Definition 11.1]) in the following way. Consider the system  $\Theta(\mathcal{A}) := ((\mathcal{A}_{(1,2)}, \otimes), \pi_0 \mathcal{A}, D, \mathcal{O})$ , where:

- $(\mathcal{A}_{(1,2)}, \otimes)$  is the underlying 2-graded category of  $\mathcal{T}$  (described in Definition 5.1).
- $\pi_0 \mathcal{A}$  is the homotopy category of  $\mathcal{A}$ .
- $q: (\mathcal{A})^0 = \mathcal{A}_{(1)0} \rightarrow \pi_0 \mathcal{A}$  is the canonical quotient functor.
- $D: (\pi_0 \mathcal{A})^{\text{op}} \times \pi_0 \mathcal{A} \rightarrow \mathbf{Ab}$  is the functor defined by  $D(A, B) = \pi_2 \mathcal{A}_{(1,2)}(A, B)$ .
- The obstruction operator  $\mathcal{O}$  is obtained by concatenating the corresponding left 2-tracks and using the structural isomorphisms  $\psi$  of the mapping 2-track groupoid:

$$\mathcal{O}_B(\alpha_1, \alpha_2, \dots, \alpha_k) = \psi_a(\alpha_k^{\epsilon_k} \square \dots \square \alpha_2^{\epsilon_2} \square \alpha_1^{\epsilon_1}) \in \text{Aut}_{\mathcal{A}_{(2)}(A, B)}(0) = \pi_2 \mathcal{A}_{(1,2)}(A, B)$$



where we denoted  $a = \delta_0\alpha_1 = \delta_1\alpha_k$ .

- (2) Given a category  $\mathcal{C}$  enriched in pointed spaces,  $\Theta(\Pi_{(1,2)}\mathcal{C})$  is the algebra of left 2-cubical balls

$$(\text{Nul}_2\mathcal{C}, \pi_0\mathcal{C}, \pi_2\mathcal{C}(-, -), \mathcal{O})$$

described in [6, §11].

- (3) The construction  $\Theta$  sends a tertiary pre-chain complex  $(A, d, \delta, \xi)$  in  $\mathcal{A}$  to a  $2^{\text{nd}}$  order pre-chain complex in  $\Theta(\mathcal{A})$ , in the sense of [6, Definition 11.4]. Moreover,  $(A, d, \delta, \xi)$  is a tertiary chain complex if and only if the corresponding  $2^{\text{nd}}$  order pre-chain complex in  $\Theta(\mathcal{A})$  is a  $2^{\text{nd}}$  order chain complex.

*Proof.* Let us check that the obstruction operator  $\mathcal{O}$  is well-defined. By 9.2, the only ambiguity is the starting left 1-cube  $a_i$  in the composition. Two such compositions are conjugate in the groupoid  $\mathcal{A}_{(2)}(A, B)$ :

$$\begin{aligned} & \alpha_{i-1}^{\epsilon_{i-1}} \square \cdots \square \alpha_2^{\epsilon_2} \square \alpha_1^{\epsilon_1} \square \alpha_k^{\epsilon_k} \square \cdots \square \alpha_{i+1}^{\epsilon_{i+1}} \square \alpha_i^{\epsilon_i} \\ &= (\alpha_{i-1}^{\epsilon_{i-1}} \square \cdots \square \alpha_1^{\epsilon_1}) \square \alpha_k^{\epsilon_k} \square \cdots \square \alpha_{i+1}^{\epsilon_{i+1}} \square \alpha_i^{\epsilon_i} \square \cdots \square \alpha_1^{\epsilon_1} \square (\alpha_{i-1}^{\epsilon_{i-1}} \square \cdots \square \alpha_1^{\epsilon_1})^{\square} \\ &= \beta^{\square} \square \alpha_k^{\epsilon_k} \square \cdots \square \alpha_1^{\epsilon_1} \square \beta \end{aligned}$$

with  $\beta = (\alpha_{i-1}^{\epsilon_{i-1}} \square \cdots \square \alpha_1^{\epsilon_1})^{\square} : a_i \Rightarrow a_0$ . Since  $\mathcal{A}_{(2)}(A, B)$  is a strictly abelian groupoid, we have the commutative diagram:

$$\begin{array}{ccc} \text{Aut}(a_0) & \xrightarrow{\varphi^\beta} & \text{Aut}(a_i) \\ & \searrow \psi_{a_0} & \downarrow \psi_{a_i} \\ & & \text{Aut}(0) \end{array}$$

so that  $\mathcal{O}_B(\alpha_1, \dots, \alpha_k)$  is well-defined.

The remaining properties listed in [6, Definition 11.1] are straightforward verifications.  $\square$

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