

# RAMANUJAN CONGRUENCES FOR SIEGEL MODULAR FORMS

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ABSTRACT. We determine conditions for the existence and non-existence of Ramanujan-type congruences for Jacobi forms. We extend these results to Siegel modular forms of degree 2 and as an application, we establish Ramanujan-type congruences for explicit examples of Siegel modular forms.

## 1. INTRODUCTION AND STATEMENT OF RESULTS

Congruences in the coefficients of automorphic forms have been the subject of much study. A famous early example involves the partition function  $p(n)$  which counts the number of ways of writing  $n$  as a sum of non-increasing positive integers. Ramanujan established

$$(1.1) \quad \begin{aligned} p(5n + 4) &\equiv 0 \pmod{5} \\ p(7n + 5) &\equiv 0 \pmod{7} \\ p(11n + 6) &\equiv 0 \pmod{11}, \end{aligned}$$

which are now simply called *Ramanujan congruences*. More generally, an elliptic modular form with Fourier coefficients  $a(n)$  is said to have a *Ramanujan-type congruence at  $b \pmod{p}$*  if  $a(pn + b) \equiv 0 \pmod{p}$ , where  $p$  is a prime. Ahlgren and Boylan [1] build on work by Kiming and Olsson [9] to prove that (1.1) are the only such congruences for the partition function. Nevertheless, congruences of non-Ramanujan-type also exist, as Ono [13] demonstrates. (See also Chapter 5 of Ono [14] for an account of congruences for the partition function.) The existence and non-existence of Ramanujan-type congruences for elliptic modular forms have recently been studied by Cooper, Wage, and Wang [4] and Sinick [20]. See also [5], which generalizes [1] to provide a method to find all Ramanujan-type congruences in certain weakly holomorphic modular forms.

In this paper, we investigate Ramanujan-type congruences for Siegel modular forms of degree 2. Throughout,  $Z := \begin{pmatrix} \tau & z \\ z & \tau' \end{pmatrix}$  is a variable in the Siegel upper half space of degree 2,  $q := e^{2\pi i\tau}$ ,  $\zeta := e^{2\pi iz}$ ,  $q' := e^{2\pi i\tau'}$ , and  $\mathbb{D} := (2\pi i)^{-2} \left( 4 \frac{\partial}{\partial \tau} \frac{\partial}{\partial \tau'} - \frac{\partial^2}{\partial z^2} \right)$  is the generalized theta operator, which acts on Fourier expansions of Siegel modular

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forms as follows:

$$\mathbb{D} \left( \sum_{\substack{T=\text{tr}T \geq 0 \\ T \text{ even}}} a(T) e^{\pi i \text{tr}(TZ)} \right) = \sum_{\substack{T=\text{tr}T \geq 0 \\ T \text{ even}}} \det(T) a(T) e^{\pi i \text{tr}(TZ)},$$

where  $\text{tr}$  denotes the trace, and where the sum is over all symmetric, semi-positive definite, integral, and even  $2 \times 2$  matrices. Additionally, we always let  $p \geq 5$  be a prime and (for simplicity) we always assume that the weight  $k$  is an even integer.

**Definition 1.1.** *A Siegel modular form  $F = \sum a(T) e^{\pi i \text{tr}(TZ)}$  with  $p$ -integral rational coefficients has a Ramanujan-type congruence at  $b \pmod{p}$  if  $a(T) \equiv 0 \pmod{p}$  for all  $T$  with  $\det T \equiv b \pmod{p}$ .*

Note that such congruences at  $0 \pmod{p}$  have already been studied in [3] and our main result in this paper complements [3] by giving the case  $b \not\equiv 0 \pmod{p}$ .

**Theorem 1.2.** *Let  $F(Z) = \sum_{\substack{n,r,m \in \mathbb{Z} \\ n,m,4nm-r^2 \geq 0}} A(n,r,m) q^n \zeta^r q^m$  be a Siegel modular form of degree 2 and even weight  $k$  with  $p$ -integral rational coefficients and let  $b \not\equiv 0 \pmod{p}$ . Then  $F$  has a Ramanujan-type congruence at  $b \pmod{p}$  if and only if*

$$(1.2) \quad \mathbb{D}^{\frac{p+1}{2}}(F) \equiv -\left(\frac{b}{p}\right) \mathbb{D}(F) \pmod{p},$$

where  $\left(\frac{\cdot}{p}\right)$  is the Legendre symbol. Moreover, if  $p > k$ ,  $p \neq 2k - 1$ , and there exists an  $A(n,r,m)$  with  $p \nmid \gcd(n,m)$  such that  $A(n,r,m) \not\equiv 0 \pmod{p}$ , then  $F$  does not have a Ramanujan-type congruence at  $b \pmod{p}$ .

**Remarks:**

- (1) *If  $F$  in Theorem 1.2 has a Ramanujan-type congruence at  $b \not\equiv 0 \pmod{p}$ , then it also has such congruences at  $b' \pmod{p}$  whenever  $\left(\frac{b'}{p}\right) = \left(\frac{b}{p}\right)$ , i.e., there are  $\frac{p-1}{2}$  or  $p-1$  such congruences.*
- (2) *The condition  $p \neq 2k-1$  in the second part of Theorem 1.2 is necessary since there are Siegel modular forms  $F$  of weight  $\frac{p+1}{2}$  such that  $F \not\equiv 0 \pmod{p}$  and  $\mathbb{D}(F) \equiv 0 \pmod{p}$ . For example, let  $F$  be the Siegel Eisenstein series of weight 4 normalized by  $a\left(\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}\right) = 1$  and take  $p = 7$ . Such Siegel modular forms satisfy (1.2) for any  $b$  and hence have Ramanujan-type congruences at all  $b \not\equiv 0 \pmod{p}$ . The condition that there exists an  $A(n,r,m) \not\equiv 0 \pmod{p}$  where  $p \nmid \gcd(n,m)$  is also necessary since there exist Siegel modular forms  $F$  of weight  $p-1$  such that  $F \equiv 1 \pmod{p}$  (see Theorem 4.5 of [12]). Such forms have Ramanujan-type congruences at all  $b \not\equiv 0 \pmod{p}$ .*

In Section 2, we investigate congruences of Jacobi forms and, in particular, we establish criteria for the existence and non-existence of Ramanujan-type congruences for Jacobi forms. In Section 3, we use such congruences for Jacobi forms to prove

Theorem 1.2. Using our results, it is now a finite computation to find Ramanujan-type congruences at all  $b \not\equiv 0 \pmod{p}$  for any Siegel modular form. We give several explicit examples. Finally, we present a construction of Siegel modular forms that have Ramanujan-type congruences at  $b \pmod{p}$  for arbitrary primes  $p \geq 5$ .

## 2. CONGRUENCES AND FILTRATIONS OF JACOBI FORMS

Let  $J_{k,m}$  be the vector space of Jacobi forms of even weight  $k$  and index  $m$  (for details on Jacobi forms, see Eichler and Zagier [6]). The heat operator  $L_m := (2\pi i)^{-2} \left( 8\pi i m \frac{\partial}{\partial \tau} - \frac{\partial^2}{\partial z^2} \right)$  is a natural tool in the theory of Jacobi forms and plays an important role in this Section. In particular, if  $\phi = \sum c(n, r) q^n \zeta^r$ , then

$$(2.1) \quad L_m \phi := L_m(\phi) = \sum (4nm - r^2) c(n, r) q^n \zeta^r.$$

Set

$$\tilde{J}_{k,m} := \{ \phi \pmod{p} : \phi(\tau, z) \in J_{k,m} \cap \mathbb{Z}_{(p)}[[q, \zeta]] \},$$

where  $\mathbb{Z}_{(p)} := \mathbb{Z}_p \cap \mathbb{Q}$  denotes the local ring of  $p$ -integral rational numbers. If  $\phi \in \tilde{J}_{k,m}$ , then we denote its filtration modulo  $p$  by

$$\Omega(\phi) := \inf \left\{ k : \phi \pmod{p} \in \tilde{J}_{k,m} \right\}.$$

Recall the following facts on Jacobi forms modulo  $p$ :

**Proposition 2.1** (Sofer [21]). *Let  $\phi(\tau, z) \in J_{k,m} \cap \mathbb{Z}[[q, \zeta]]$  and  $\psi(\tau, z) \in J_{k',m'} \cap \mathbb{Z}[[q, \zeta]]$  such that  $0 \not\equiv \phi \equiv \psi \pmod{p}$ . Then  $k \equiv k' \pmod{p-1}$  and  $m = m'$ .*

**Proposition 2.2** ([18]). *If  $\phi(\tau, z) \in J_{k,m} \cap \mathbb{Z}[[q, \zeta]]$ , then  $L_m \phi \pmod{p} \in \tilde{J}_{k+p+1,m}$ . Moreover, we have*

$$\Omega(L_m \phi) \leq \Omega(\phi) + p + 1,$$

*with equality if and only if  $p \nmid (2\Omega(\phi) - 1)m$ .*

We will now explore Ramanujan-type congruences for Jacobi forms.

**Definition 2.3.** *For  $\phi(\tau, z) = \sum c(n, r) q^n \zeta^r \in \tilde{J}_{k,m}$ , we say that  $\phi$  has a Ramanujan-type congruence at  $b \pmod{p}$  if  $c(n, r) \equiv 0 \pmod{p}$  whenever  $4nm - r^2 \equiv b \pmod{p}$ .*

Equation (2.1) implies that a Jacobi form  $\phi$  has a Ramanujan-type congruence at  $0 \pmod{p}$  if and only if  $L_m^{p-1} \phi \equiv \phi \pmod{p}$ . More generally,  $\phi$  has a Ramanujan-type congruence at  $b \pmod{p}$  if and only if

$$L_m^{p-1} \left( q^{-\frac{b}{4m}} \phi \right) \equiv q^{-\frac{b}{4m}} \phi \pmod{p}.$$

Ramanujan-type congruences at  $0 \pmod{p}$  for Jacobi forms have been considered in [17, 18]. The following proposition determines when Ramanujan-type congruences at  $b \not\equiv 0 \pmod{p}$  for Jacobi forms exist.

**Proposition 2.4.** *Let  $\phi \in \tilde{J}_{k,m}$  and  $b \not\equiv 0 \pmod{p}$ . Then  $\phi$  has a Ramanujan-type congruence at  $b \pmod{p}$  if and only if  $L_m^{\frac{p+1}{2}} \phi \equiv -\left(\frac{b}{p}\right) L_m \phi \pmod{p}$ .*

**Proof:** If  $\phi \in \mathbb{Z}_{(p)}[[q, \zeta]]$  and  $f \in \mathbb{Z}_{(p)}[[q]]$ , then  $L_m(f\phi) = L_m(f)\phi + fL_m(\phi)$ . This implies

$$\begin{aligned} L_m^{p-1} \left( q^{-\frac{b}{4m}} \phi \right) &= \sum_{i=0}^{p-1} \binom{p-1}{i} L_m^{p-1-i} \left( q^{-\frac{b}{4m}} \right) L_m^i \phi \\ &= \sum_{i=0}^{p-1} \binom{p-1}{i} (-b)^{p-1-i} q^{-\frac{b}{4m}} L_m^i \phi \\ &\equiv q^{-\frac{b}{4m}} \sum_{i=0}^{p-1} b^{p-1-i} L_m^i \phi \pmod{p}. \end{aligned}$$

In particular,  $\phi$  has a Ramanujan-type congruence at  $b \not\equiv 0 \pmod{p}$  if and only if

$$(2.2) \quad 0 \equiv \sum_{i=1}^{p-1} b^{p-1-i} L_m^i \phi \pmod{p}.$$

Let  $M_k^{(1)}$  denote the space of elliptic modular forms of weight  $k$ . Recall that every even weight  $\phi \in J_{k,m}$  with  $p$ -integral coefficients can be written as

$$\phi = \sum_{j=0}^m f_j(\phi_{-2,1})^j (\phi_{0,1})^{m-j},$$

where  $\phi_{-2,1}(\tau, z) \in \mathbb{Z}[[q, \zeta]]$  and  $\phi_{0,1}(\tau, z) \in \mathbb{Z}[[q, \zeta]]$  are weak Jacobi forms of index 1 and weights  $-2$  and  $0$ , respectively, and where each  $f_j \in M_{k+2j}^{(1)}$  has  $p$ -integral rational coefficients and is uniquely determined (see §8 and §9 of [6] for details and also for the corresponding result for Jacobi forms of odd weight). Furthermore, by Proposition 2.2, for every  $i$  there exists  $\psi_i \in J_{k+i(p+1),m}$  such that  $L_m^i \phi \equiv \psi_i \pmod{p}$ . Hence there exist  $F_{i,j} \in M_{k+i(p+1)+2j}^{(1)}$  with  $p$ -integral rational coefficients such that

$$L_m^i \phi \equiv \psi_i \equiv \sum_{j=0}^m F_{i,j}(\phi_{-2,1})^j (\phi_{0,1})^{m-j} \pmod{p}$$

and hence (2.2) is equivalent to

$$0 \equiv \sum_{j=0}^m \left( \sum_{i=1}^{p-1} b^{p-1-i} F_{i,j} \right) (\phi_{-2,1})^j (\phi_{0,1})^{m-j} \pmod{p}.$$

Since  $(\phi_{-2,1})^j (\phi_{0,1})^{m-j}$  are linearly independent over  $M_*^{(1)}$ , we deduce that (2.2) is equivalent to  $\sum_{i=1}^{p-1} b^{p-1-i} F_{i,j} \equiv 0 \pmod{p}$  for every  $j$ . Elliptic modular forms

modulo  $p$  have a natural direct sum decomposition (see Section 3 of [22] or Theorem 2 of [19]) graded by their weights modulo  $p - 1$ . Thus (2.2) is equivalent to

$$0 \equiv b^{p-1-i} F_{i,j} + b^{(p-1)/2-i} F_{i+(p-1)/2,j} \pmod{p}$$

and hence also

$$F_{i+(p-1)/2,j} \equiv -\left(\frac{b}{p}\right) F_{i,j} \pmod{p}$$

for all  $0 \leq j \leq m$  and  $1 \leq i \leq \frac{p-1}{2}$ . This implies, for all  $1 \leq i \leq \frac{p-1}{2}$ ,

$$\begin{aligned} L_m^{i+\frac{p-1}{2}} \phi &\equiv \sum_{j=0}^m F_{i+\frac{p-1}{2},j} (\phi_{-2,1})^j (\phi_{0,1})^{m-j} \\ &\equiv \sum_{j=0}^m -\left(\frac{b}{p}\right) F_{i,j} (\phi_{-2,1})^j (\phi_{0,1})^{m-j} \\ &\equiv -\left(\frac{b}{p}\right) L_m^i \phi \pmod{p}. \end{aligned}$$

We conclude that

$$L_m^{\frac{p+1}{2}} \phi \equiv -\left(\frac{b}{p}\right) L_m \phi \pmod{p},$$

which completes the proof.  $\square$

By (2.1),  $L_m^p \phi \equiv L_m \phi \pmod{p}$ . We call  $L_m \phi, L_m^2 \phi, \dots, L_m^{p-1} \phi$  the *heat cycle* of  $\phi$  and we say that  $\phi$  is in its own heat cycle whenever  $L_m^{p-1} \phi \equiv \phi \pmod{p}$ . Assume  $L_m \phi \not\equiv 0 \pmod{p}$  and  $p \nmid m$ . By Proposition 2.2, applying  $L_m$  to  $\phi$  increases the filtration of  $\phi$  by  $p + 1$  except when  $\Omega(\phi) \equiv \frac{p+1}{2} \pmod{p}$ . If  $\Omega(L_m^i \phi) \equiv \frac{p+1}{2} \pmod{p}$ , then call  $L_m^i \phi$  a *high point* and  $L_m^{i+1} \phi$  a *low point* of the heat cycle. By Propositions 2.1 and 2.2,

$$(2.3) \quad \Omega(L_m^{i+1} \phi) = \Omega(L_m^i \phi) + p + 1 - s(p - 1)$$

where  $s \geq 1$  if and only if  $L_m^i \phi$  is a high point and  $s = 0$  otherwise. The structure of the heat cycle of a Jacobi form is similar to the structure of the theta cycle of a modular form (see §7 of [8]). We will now prove a few basic properties:

**Lemma 2.5.** *Let  $\phi \in \tilde{J}_{k,m}$  with  $p \nmid m$  a prime such that  $L_m \phi \not\equiv 0 \pmod{p}$ .*

- (1) *If  $j \geq 1$ , then  $\Omega(L_m^j \phi) \not\equiv \frac{p+3}{2} \pmod{p}$ .*
- (2) *The heat cycle of  $\phi$  has a single low point if and only if there is some  $j \geq 1$  with  $\Omega(L_m^j \phi) \equiv \frac{p+5}{2} \pmod{p}$ . Furthermore,  $L_m^j \phi$  is the low point.*
- (3) *If  $j \geq 1$ , then  $\Omega(L_m^{j+1} \phi) \neq \Omega(L_m^j \phi) + 2$ .*
- (4) *The heat cycle of  $\phi$  either has one or two high points.*

**Proof:** (1) If  $\Omega(L_m^j \phi) \equiv \frac{p+3}{2} \pmod{p}$ , then by (2.3) for  $1 \leq n \leq p-1$  we have

$$\Omega(L_m^{j+n} \phi) = \Omega(L_m^j \phi) + n(p+1).$$

In particular,  $L_m^{j+p-1} \phi \not\equiv L_m^j \phi \pmod{p}$ , which is impossible.

(2) If  $\Omega(L_m^j \phi) \equiv \frac{p+5}{2} \pmod{p}$ , then by (2.3), for  $1 \leq n \leq p-2$  we have

$$\Omega(L_m^{j+n} \phi) = \Omega(L_m^j \phi) + n(p+1)$$

and

$$\Omega(L_m^j \phi) = \Omega(L_m^{j+p-1} \phi) = \Omega(L_m^j \phi) + (p-1)(p+1) - s(p-1)$$

where  $s$  must be  $p+1$  and there can be no other low point. On the other hand, if there is a single low point, then the filtration must increase  $p-2$  consecutive times. The only way this is possible is if the low point has filtration  $\frac{p+5}{2} \pmod{p}$ .

(3) By Proposition 2.2,  $\Omega(L_m^{j+1} \phi) = \Omega(L_m^j \phi) + 2$  can only happen when  $\Omega(L_m^j \phi) \equiv \frac{p+1}{2} \pmod{p}$ . Suppose  $\Omega(L_m^{j+1} \phi) = \Omega(L_m^j \phi) + 2 \equiv \frac{p+5}{2} \pmod{p}$ . By part (2), this implies that the filtration increases  $p-2$  more times before falling. Hence  $L_m^{j+p-1} \phi \not\equiv L_m^j \phi \pmod{p}$ , which is impossible.

(4) Suppose there are  $t \geq 2$  high points  $L_m^{i_j} \phi$  where  $1 \leq i_1 < \dots < i_t \leq p-1$ . By (2.3) and part (3) above, there are  $s_j \geq 2$  such that

$$(2.4) \quad \Omega(L_m^{i_j+1} \phi) = \Omega(L_m^{i_j} \phi) + p+1 - s_j(p-1).$$

Hence

$$\Omega(L_m \phi) = \Omega(L_m^p \phi) = \Omega(L_m \phi) + (p-1)(p+1) - \sum_{j=1}^t s_j(p-1),$$

and so  $\sum s_j = p+1$ . By (2.4),  $\Omega(L_m^{i_j+1} \phi) \equiv \frac{p+1}{2} + 1 + s_j \pmod{p}$  and so there will be  $p-1-s_j$  increases before the next fall. That is, for  $1 \leq j \leq t$ ,  $i_{j+1} - i_j = p - s_j$  where we take  $i_{t+1} = i_1 + p - 1$  for convenience. Thus

$$p-1 = i_{t+1} - i_1 = \sum_{j=1}^t (i_{j+1} - i_j) = \sum_{j=1}^t (p - s_j) = tp - (p+1),$$

i.e.,  $t = 2$ . We conclude that the heat cycle of  $\phi$  has at most two (i.e., one or two) high points. □

The following Corollary of Proposition 2.4 is a key ingredient in the proof of Proposition 2.7 below.

**Corollary 2.6.** *If  $\phi \in \tilde{J}_{k,m}$  has a Ramanujan-type congruence at  $b \not\equiv 0 \pmod{p}$  and  $L_m\phi \not\equiv 0 \pmod{p}$ , then the heat cycle of  $\phi$  has two low points which both have filtration congruent to 2 (mod  $p$ ).*

**Proof:** Since  $L_m^{\frac{p+1}{2}}\phi \equiv -\left(\frac{b}{p}\right)L_m\phi \pmod{p}$ , we have  $\Omega\left(L_m^{\frac{p+1}{2}}\phi\right) = \Omega(L_m\phi) = \Omega(L_m^p\phi)$ . Hence there is a fall in the first half of the heat cycle and in the second half of the heat cycle. Furthermore, after a low point, the filtration increases  $\frac{p-3}{2}$  times and then falls once. Thus, the filtration of the low points is 2 (mod  $p$ ).  $\square$

Our final result in this section gives the non-existence of Ramanujan-type congruences of Jacobi forms.

**Proposition 2.7.** *Let  $\phi \in \tilde{J}_{k,m}$  where  $k \geq 4$ ,  $L_m(\phi) \not\equiv 0 \pmod{p}$  and let  $b \not\equiv 0 \pmod{p}$ . If  $p > k$  and  $p \nmid m$ , then  $\phi$  does not have a Ramanujan-type congruence at  $b \pmod{p}$ .*

**Proof:** Assume that  $\phi$  has a Ramanujan-type congruence at  $b \pmod{p}$ . First suppose  $k = \frac{p+1}{2}$ . Then  $\Omega(\phi) = \frac{p+1}{2}$  and so we must have  $s \geq 1$  in (2.3). Since we need  $\Omega(L_m\phi) \geq 0$ , we must have  $s = 1$  and hence  $\Omega(L_m\phi) = \frac{p+5}{2}$ . But by Lemma 2.5 (2), this implies there is only one low point, contrary to Corollary 2.6.

Now suppose  $k \neq \frac{p+1}{2}$ . Then  $\Omega(L_m\phi) = k + p + 1$ . There must be a low point of the heat cycle with filtration either  $k + p + 1$  or  $k$ . By Corollary 2.6, either  $k + 1 \equiv 2 \pmod{p}$  or  $k \equiv 2 \pmod{p}$ . Both of these alternatives are impossible since  $p > k \geq 4$ .  $\square$

### 3. PROOF OF THEOREM 1.2 AND EXAMPLES

We employ the Fourier-Jacobi expansion of a Siegel modular form (as in [3]) to prove Theorem 1.2. Let  $M_k^{(2)}$  denote the vector space of Siegel modular forms of degree 2 and even weight  $k$  (for details on Siegel modular forms, see for example Freitag [7] or Klingen [10]).

*Proof of Theorem 1.2.* Let  $F \in M_k^{(2)}$  be as in Theorem 1.2 with Fourier-Jacobi expansion  $F(\tau, z, \tau') = \sum_{m=0}^{\infty} \phi_m(\tau, z) e^{2\pi i m \tau'}$ , i.e.,  $\phi_m \in J_{k,m}$ . Let  $b \not\equiv 0 \pmod{p}$ .

Then  $F$  has a Ramanujan-type congruence at  $b \pmod{p}$  if and only if for all  $m$ ,  $\phi_m$  has a Ramanujan-type congruence at  $b$ . By Proposition 2.4, it is equivalent that for all  $m$

$$L_m^{\frac{p+1}{2}}\phi_m \equiv -\left(\frac{b}{p}\right)L_m\phi_m \pmod{p},$$

which is equivalent to (1.2), since

$$\mathbb{D}(F) = \sum_{m=0}^{\infty} L_m(\phi_m(\tau, z)) e^{2\pi i m \tau'}.$$

Now we turn to the second part of Theorem 1.2. Here we assume that  $p > k$ ,  $p \neq 2k-1$ , and that there exists an  $A(n, r, m)$  with  $p \nmid \gcd(n, m)$  such that  $A(n, r, m) \not\equiv 0 \pmod{p}$ . Suppose that  $F$  has a Ramanujan-type congruence at  $b \pmod{p}$ . Then all Fourier-Jacobi coefficients  $\phi_m$  have such a congruence at  $b$ . We would like to apply Proposition 2.7. First,  $k \geq 4$ , since  $F$  is non-constant and  $M_k^{(2)} \subset \mathbb{C}$  if  $k < 4$ . Moreover, if  $\phi_m \not\equiv 0 \pmod{p}$  with  $p \nmid m$ , then  $\Omega(\phi_m) = k$  by Proposition 2.1 (since  $p > k$  and  $F$  is non-constant modulo  $p$ ) and  $\Omega(L_m\phi_m) = k + p + 1$  by Proposition 2.2. In particular,  $L_m\phi_m \not\equiv 0 \pmod{p}$  and Proposition 2.7 implies that such a  $\phi_m$  does not have a Ramanujan-type congruence at  $b \pmod{p}$ . Hence, if  $p \nmid m$ , then  $\phi_m \equiv 0 \pmod{p}$ , i.e.,  $A(n, r, m) \equiv 0 \pmod{p}$ . By assumption, there exists an  $A(n, r, m) \not\equiv 0 \pmod{p}$  with  $p \nmid \gcd(n, m)$ , which is only possible if  $p \mid m$  and hence  $p \nmid n$ . However,  $F(\tau, z, \tau') = F(\tau', z, \tau)$  and  $p \nmid n$  together yield the contradiction  $A(n, r, m) = A(m, r, n) \equiv 0 \pmod{p}$ . We conclude that  $F$  does not have a Ramanujan-type congruence at  $b \pmod{p}$ .  $\square$

We will use Theorem 1.2 to discuss Ramanujan-type congruences for explicit examples of Siegel modular forms after reviewing a few facts on Siegel modular forms modulo  $p$ . Set

$$\widetilde{M}_k^{(2)} := \left\{ F \pmod{p} : F(Z) = \sum a(T) e^{\pi i \operatorname{tr}(TZ)} \in M_k^{(2)} \text{ where } a(T) \in \mathbb{Z}_{(p)} \right\}.$$

Recall the following two theorems on Siegel modular forms modulo  $p$ :

**Theorem 3.1** (Nagaoka [12]). *There exists an  $E \in M_{p-1}^{(2)}$  with  $p$ -integral rational coefficients such that  $E \equiv 1 \pmod{p}$ . Furthermore, if  $F_1 \in M_{k_1}^{(2)}$  and  $F_2 \in M_{k_2}^{(2)}$  have  $p$ -integral rational coefficients where  $0 \not\equiv F_1 \equiv F_2 \pmod{p}$ , then  $k_1 \equiv k_2 \pmod{p-1}$ .*

**Theorem 3.2** (Böcherer and Nagaoka [2]). *If  $F \in \widetilde{M}_k^{(2)}$ , then  $\mathbb{D}(F) \in \widetilde{M}_{k+p+1}^{(2)}$ .*

Theorems 3.1 and 3.2 imply that that

$$(3.1) \quad G := \mathbb{D}^{\frac{p+1}{2}}(F) + \left(\frac{b}{p}\right) \mathbb{D}(F) \in \widetilde{M}_{k+\frac{(p+1)^2}{2}}^{(2)}.$$

Theorem 1.2 states that  $F \in \widetilde{M}_k^{(2)}$  has a Ramanujan-type congruence at  $b \not\equiv 0 \pmod{p}$  if and only if  $G \equiv 0 \pmod{p}$  in (3.1). One can apply the following analog of Sturm's theorem for Siegel modular forms of degree 2 to verify that  $G \equiv 0 \pmod{p}$  in (3.1) for concrete examples of Siegel modular forms.

**Theorem 3.3** (Poor and Yuen [15]). *Let  $F = \sum a(T) e^{\pi i \operatorname{tr}(TZ)} \in M_k^{(2)}$  be such that for all  $T$  with dyadic trace  $w(T) \leq \frac{k}{3}$  one has that  $a(T) \in \mathbb{Z}_{(p)}$  and  $a(T) \equiv 0 \pmod{p}$ . Then  $F \equiv 0 \pmod{p}$ .*



**Remark:** If  $T = \begin{pmatrix} a & b \\ b & c \end{pmatrix} > 0$  is Minkowski reduced (i.e.,  $2|b| \leq a \leq c$ ), then  $w(T) = a + c - |b|$ . For more details on the dyadic trace  $w(T)$ , see Poor and Yuen [16].

The following table gives all Ramanujan-type congruences at  $b \not\equiv 0 \pmod{p}$  for Siegel cusp forms of weight 20 or less when  $p \geq 5$ . Let  $E_4, E_6, \chi_{10}$ , and  $\chi_{12}$  denote the usual generators of  $M_k^{(2)}$  of weights 4, 6, 10, and 12, respectively, where the Eisenstein series  $E_4$  and  $E_6$  are normalized by  $a\left(\begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}\right) = 1$  and where the cusp forms  $\chi_{10}$  and  $\chi_{12}$  are normalized by  $a\left(\begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}\right) = 1$ . Cris Poor and David Yuen kindly provided Fourier coefficients up to dyadic trace  $w(T) = 74$  of the basis vectors for  $M_k^{(2)}$  with  $k \leq 20$ . We used Magma to check that  $G \equiv 0 \pmod{p}$  in (3.1) for each of the forms in (3.2) below. It is not difficult to verify that (up to scalar multiplication) no further Ramanujan-type congruences at  $b \not\equiv 0 \pmod{p}$  exist for Siegel cusp forms of weights 20 or less.

(3.2)

	$b \not\equiv 0 \pmod{p}$
$\chi_{12}$	$b \equiv 1, 4 \pmod{5}$ and $b \equiv 2, 6, 7, 8, 10 \pmod{11}$
$E_4\chi_{12}$	$b \equiv 1, 4 \pmod{5}$
$E_4\chi_{12} - E_6\chi_{10}$	$b \equiv 3, 5, 6 \pmod{7}$
$E_6\chi_{12}$	$b \equiv 1, 4 \pmod{5}$
$E_4^2\chi_{10} + 7E_6\chi_{12}$	$b \equiv 1, 2, 4, 8, 9, 13, 15, 16 \pmod{17}$
$E_4^2\chi_{12}$	$b \equiv 1, 4 \pmod{5}$
$\chi_{10}^2 + 2E_4^2\chi_{12} - 2E_4E_6\chi_{10}$	$b \equiv 2, 3, 8, 10, 12, 13, 14, 15, 18 \pmod{19}$

**Remarks:**

- (1) For  $\chi_{10}^2 + 2E_4^2\chi_{12} - 2E_4E_6\chi_{10}$  modulo 19 we have  $G \in \widetilde{M}_{220}^{(2)}$  in (3.1) and we really do need Fourier coefficients up to dyadic trace  $w(T) = \frac{220}{3}$ , i.e., up to 74 in Theorem 3.3 to prove that  $G \equiv 0 \pmod{19}$ .
- (2) For Siegel modular forms in the Maass Spezialschar one could decide the existence and non-existence of their Ramanujan-type congruences also using Propositions 2.4 and 2.7 in combination with Maass' lift [11] (see also §6 of [6]). However, Theorem 1.2 is an essential tool in establishing such results for Siegel modular forms that are not in the Maass Spezialschar, such as  $E_4^2\chi_{12}$  and  $\chi_{10}^2 + 2E_4^2\chi_{12} - 2E_4E_6\chi_{10}$  for example.

The following construction generates infinitely many Siegel modular forms with Ramanujan-type congruences. Note that this construction also works for elliptic

modular forms and for Jacobi forms by replacing  $\mathbb{D}$  with  $\Theta := \frac{1}{2\pi i} \frac{d}{dz}$  and  $L_m$ , respectively. For any  $F \in \widetilde{M}_k^{(2)}$  and any prime  $p \geq 5$ , set

$$\begin{aligned} F_0 &:= F - \mathbb{D}^{p-1} F \in \widetilde{M}_{k+p^2-1}^{(2)} \\ F_{+1} &:= \frac{1}{2} \left( \mathbb{D}^{p-1} F + \mathbb{D}^{\frac{p-1}{2}} F \right) \in \widetilde{M}_{k+p^2-1}^{(2)} \\ F_{-1} &:= \frac{1}{2} \left( \mathbb{D}^{p-1} F - \mathbb{D}^{\frac{p-1}{2}} F \right) \in \widetilde{M}_{k+p^2-1}^{(2)}. \end{aligned}$$

Clearly  $F = F_0 + F_{+1} + F_{-1}$  and if  $F = \sum a(T) e^{\pi i \operatorname{tr}(TZ)}$ , then for  $s = 0, \pm 1$ , one finds that

$$(3.3) \quad F_s = \sum_{\left(\frac{\det(T)}{p}\right)=s} a(T) e^{\pi i \operatorname{tr}(TZ)}.$$

Hence  $F_s$  has Ramanujan-type congruences at all  $b$  with  $\left(\frac{b}{p}\right) \neq s$ . For example, if  $F := \chi_{10}^2$ , then a computation (in combination with Theorem 3.3) reveals that

$$\begin{aligned} F_0 &\equiv 3E_4^5 \chi_{12}^2 + 2E_4^4 E_6 \chi_{10} \chi_{12} && \pmod{5} \\ F_{+1} &\equiv E_4^6 \chi_{10}^2 + 4E_4^3 \chi_{10}^2 \chi_{12} + 4E_4^5 \chi_{12}^2 + 2E_4^4 E_6 \chi_{10} \chi_{12} + 3E_4^3 E_6^2 \chi_{10}^2 && \pmod{5} \\ F_{-1} &\equiv E_4^3 \chi_{10}^2 \chi_{12} + 3E_4^5 \chi_{12}^2 + E_4^4 E_6 \chi_{10} \chi_{12} + 2E_4^3 E_6^2 \chi_{10}^2 && \pmod{5}. \end{aligned}$$

Since  $E_4 \equiv 1 \pmod{5}$ , we actually have  $F_0 \in \widetilde{M}_{28}^{(2)}$  and  $F_{\pm 1} \in \widetilde{M}_{32}^{(2)}$ .

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