# Hyperpolar Actions and k-flat Homogeneous Spaces

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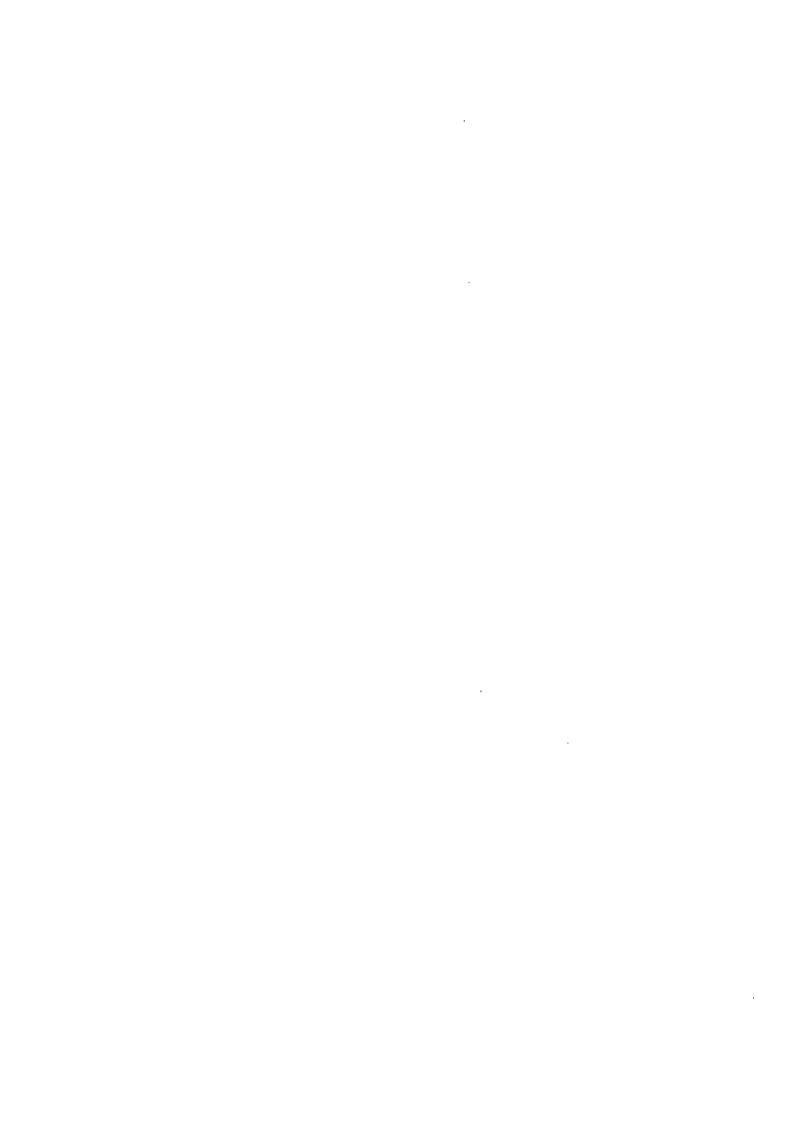
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# Hyperpolar Actions and k-flat Homogeneous Spaces

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ABSTRACT. A closed, connected, k-dimensional submanifold of a Riemannian manifold M is called a k-flat of M if it is flat in the induced metric and totally geodesic. We call M "k-flat homogeneous" if every geodesic lies in some k-flat of M, and if the group of isometries of M acts transitively on pairs  $(\sigma,p)$  consisting of a k-flat  $\sigma$  and a point  $p \in \sigma$ . An isometric action on M is called hyperpolar if there exists a connected, closed, flat submanifold A of M that meets all orbits orthogonally. We prove that the following three properties for a compact Riemannian manifold M are equivalent: (a) M is a Riemannian homogeneous manifold and admits a cohomogeneity k hyperpolar action with a fixed point, (b) M is k-flat homogeneous, (c) M is a rank k symmetric space. Since 1-flat homogeneous is trivially equivalent to two-point homogeneous, the equivalence of (b) and (c) generalizes the well-known fact that two-point homogeneous spaces are the same as rank 1 symmetric spaces.

### 1. Introduction

An isometric action of a compact Lie group on a Riemannian manifold is called polar if there exists a connected, closed submanifold  $\Sigma$  (called a section) that meets all orbits orthogonally. A section is automatically totally geodesic, and if it is flat in the induced metric then the action is called hyperpolar. (Note that a flat section is the same thing as a "K-transversal domain" in the sense of Conlon [C]).

One of the goals of this paper is to give a structure and classification theory for hyperpolar actions with a fixed-point on compact, homogeneous Riemannian manifolds.

Recall that a connected, compact Riemannian manifold M is called two-point homogeneous if, given  $x_i, y_i$  in M such that the distance  $d(x_1, x_2)$  is equal to the distance  $d(y_1, y_2)$ , there is an isometry  $\varphi$  of M such that  $\varphi x_i = y_i$ . Another goal of this paper is to give a generalization of the well-known fact that a two-point homogeneous space is a symmetric space of rank 1 (for a proof and history of this, see Wolf [W2]). To give a precise statement of our generalization, we need some further definitions.

1.1 Definition. A k-dimensional closed and connected submanifold of a Riemannian manifold M is called a k-flat of M if it is totally geodesic and is flat in the induced metric. M is called k-flat homogeneous if every geodesic is contained in some k-flat, and if the group of isometries of M acts transitively on the set of pairs  $(x, \tau)$ , where  $\tau$  is a k-flat and  $x \in \tau$  (i.e., given two such pairs,  $(x_1, \tau_1)$  and  $(x_2, \tau_2)$ , there exists an isometry  $\varphi$  of M such that  $\varphi x_1 = x_2$  and  $\varphi \tau_1 = \tau_2$ ).

It is obvious that 1-flat homogeneous is equivalent to two-point homogeneous, and it also follows easily from the standard theory of symmetric spaces that a rank k-symmetric space is k-flat homogeneous. We show that the converse is also true. In fact, our main result is:

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**Theorem.** If M is a compact, connected Riemannian manifold, then the following three properties are equivalent:

- (a) M is a homogeneous Riemannian G-manifold, and there exists a closed subgroup H of G such that the action of H on M is hyperpolar of cohomogeneity k and has a fixed point,
- (b) M is k-flat homogeneous,
- (c) M is a rank k symmetric space.

Next we give some idea of the proof of this theorem. It is not difficult to see that (a) and (b) are equivalent and, as we have said, it has long been known that (c) implies (a); so it suffices to prove that (a) implies (c). To do this, we first prove that if the action of H on a homogeneous manifold M = G/H is hyperpolar with respect to some G-invariant metric on M, then it is also hyperpolar with respect to any normal G-invariant metric. Thus we may assume that the pair (G, H) satisfies the following conditions:

- (i) G is a compact, connected Lie group equipped with a bi-invariant metric induced from an  $Ad_G$ -invariant inner product (,) on its Lie algebra  $\mathfrak{g}$ ,
- (ii) H is a closed subgroup of G, and the  $\mathrm{Ad}_G(H)$ -action on  $\mathfrak{p}=\mathfrak{h}^\perp$  is polar with an abelian subalgebra in  $\mathfrak{p}$  as a section.

Next we prove a decomposition theorem for the pairs (G, H) that satisfy conditions (i) and (ii). Namely, if the representation of H on  $\mathfrak p$  is decomposed into irreducible H-spaces, then some finite cover of G/H can be decomposed accordingly as a direct product of isotropy irreducible homogeneous spaces. Finally, we use Dadok's classification theorem for polar representations to prove that if (G, H) is a pair satisfying properties (i) and (ii), and if G/H is isotropy irreducible, then G/H is an irreducible symmetric space.

# 2. Preliminary Results

In this section we will set up our notations and review some definitions and results from the theory of transformation groups and symmetric spaces.

Let G be a Lie group,  $\mathfrak{g}=TG_e$  its Lie algebra, and X a smooth G-manifold. Each element  $\xi$  of  $\mathfrak{g}$  will also be viewed as a vector field on X, namely the vector field generating the one-parameter group  $\exp(t\xi)$  of diffeomorphisms of X. If  $x\in X$  then  $G_x$  denotes the isotropy group at x and Gx the orbit of x. Clearly the tangent space to Gx at x is  $T(Gx)_x = \{\xi(x) \mid \xi \in \mathfrak{g}\}$ , and the Lie algebra of  $G_x$  is  $\{\xi \in \mathfrak{g} \mid \xi(x) = 0\}$ .

We call X a Riemannian G-manifold if the action of G on X is isometric. In this case, for each  $\xi$  in  $\mathfrak g$  the corresponding vector field on X is a Killing field. The normal space to the orbit Gx at x will be denoted by  $\nu(Gx)_x$ , or simply by  $\nu_x$ . A connected, closed submanifold  $\Sigma$  of X is called a section for (the action of G on) X, if  $\Sigma$  "meets every orbit", i.e.,  $G\Sigma = X$ , and if  $\Sigma$  "meets orbits orthogonally", i.e., for each x in  $\Sigma$ ,  $T\Sigma_x \subseteq \nu_x$ . If X admits a section then the action of G on X is called polar. If X admits a section that is flat in the induced metric, then the action of G on X is called hyperpolar.

#### 2.1 Homogeneous compact Riemannian G-manifolds.

Let G be a compact Lie group,  $(,)_o$  an  $Ad_G$ -invariant inner product on  $\mathfrak{g}$ , x a point in a homogeneous G-manifold M,  $H = G_x$ , and  $\mathfrak{p}$  the orthogonal complement

of  $\mathfrak{h}$  in  $\mathfrak{g}$  with respect to  $(,)_o$ . Then the map  $gH \mapsto gx$  is a G-equivariant diffeomorphism of G/H with M that we will usually regard as an identification. The following are well-known facts: (cf. Chapter 7 of Besse's "Einstein Manifolds" [Be])

- (1) We can identify  $\mathfrak{p}$  with  $TM_x$  via  $\xi \mapsto \xi(x)$ , and then the isotropy representation of M at x is H acting on  $\mathfrak{p}$  via the  $\mathrm{Ad}_G(H)$ -action.
- (2) M is called *isotropy irreducible* if the isotropy representation at x is irreducible, i.e., if p is irreducible under  $Ad_G(H)$ .
- (3) There exists a bijective correspondence between  $Ad_G(H)$ -invariant inner products on p and G-invariant metrics on M.
- (4) The G-invariant metric on M corresponding to the restriction of an  $\mathrm{Ad}_{G}$ -invariant inner product  $(,)_o$  to p is called the *normal metric* associated to  $(,)_o$ , and the corresponding Riemmanian G-manifold M is called a *normal* homogeneous Riemannian G-manifold.

An action of G on M is called effective (respectively, almost effective) if the kernel, N, of the group homomorphism  $\rho: G \to \mathrm{Diff}(M)$  defined by  $\rho(g)(x) = g \cdot x$  is  $\{e\}$  (respectively, of dimension zero). Since N is a subgroup of H that is normal in G, by replacing G by G/N and H by H/N, we may assume that our homogeneous space G/H is effective whenever necessary. It is easy to see that the action of G on G/H is effective (almost effective) if and only if H does not contain any (respectively, any non-discrete) normal subgroup of G.

We will use I(M) to denote the group of isometries of M, and  $I_o(M)$  for its identity component. Likewise  $G_o$  will denote the identity component of the Lie group G.

### 2.2 Compact symmetric spaces.

A Riemannian manifold M is called a globally symmetric if for each point  $x \in M$  there exists an isometry  $s_x$  such that  $s_x(x) = x$  and  $D(s_x)_x = -id$ . (Since, in general, an isometry is determined by its differential at any point,  $s_x$  is unique.) The globally symmetric condition implies that the curvature tensor is covariant constant, and Riemannian manifolds that satisfy this weaker condition are called *locally symmetric*. Henceforth we will refer to globally symmetric Riemannian manifolds simply as symmetric spaces.

Let M be a connected, compact symmetric space, and G the group of *transvections*, i.e., the group generated by the  $s_x s_y$  for all  $x, y \in M$ . Then the following are well-known facts (cf. [He], [L]):

- (1) G acts transitively on M. We fix a point  $p \in M$  and let  $K = G_p$  denote its isotropy subgroup, so M = G/K. A pair (G, K) arising in this way will be called a symmetric pair.
- (2) The map  $\sigma: G \to G$  defined by  $\sigma(g) = s_p g s_p$  is an involution (i.e., an automorphism of order two), and  $(G_{\sigma})_o \subseteq K \subset G_{\sigma}$ , where  $G_{\sigma}$  is the fixed-point set of  $\sigma$ .
  - (3) Let Z(M) denote the fixed-point set of the  $K_o$ -action on M, i.e.,

$$Z(M) = \{x \in M \mid k \cdot x = x, \ \forall \ k \in K_o\}.$$

We will call Z(M) the center of M, as in Chapters IV and VI of Loos [L]. Loos shows that Z(M) has a natural abelian group structure and acts freely on M.

(4) If F is a discrete subgroup of Z(M) then M'=M/F is also a symmetric space.

- (5) Let p denote the -1 eigenspace of  $D\sigma_c$  on  $\mathfrak{g}$ . Then the isotropy representation of M at p is the  $\mathrm{Ad}_G(H)$  action on p. Any representation equivalent to the isotropy representation of a symmetric space is called an *s-representation*, and in particular the representation of H on p is called the s-representation of the symmetric pair (G, H).
  - (6) M is k-flat homogeneous.
  - (7) The decomposition  $g = \Re \oplus p$  satisfies the following conditions:

$$[\mathfrak{K},\mathfrak{K}]\subseteq\mathfrak{K},\quad [\mathfrak{K},\mathfrak{p}]\subseteq\mathfrak{p},\quad [\mathfrak{p},\mathfrak{p}]\subseteq\mathfrak{K}.$$
 (\*)

- (8) A decomposition  $g = \Re \oplus p$  of a Lie algebra g satisfying the condition (\*) is called a *Cartan decomposition*. Such a decomposition defines a Lie algebra involution  $\sigma$  on g by requiring that  $\Re$  and p are respectively the +1 and -1 eigenspaces of  $\sigma$ .
- (9) Let  $\mathfrak g$  be a semi-simple Lie algebra,  $\mathfrak g=\mathfrak K\oplus\mathfrak p$  a Cartan decomposition, and  $\tilde G$  the simply connected Lie group associated to  $\mathfrak g$ . Let  $K=\exp(\mathfrak K)$ . Then  $\tilde G/K$  is a simply connected, symmetric space.

#### 2.3 Polar actions.

Suppose M is a Riemannain G-manifold, the G-action on M is polar, and  $\Sigma$  is a section. Then the following are known ([PT1]):

- (i)  $\Sigma$  is a totally geodesic submanifold of M. (Since totally geodesic submanifolds of  $\mathbb{R}^n$  are automatically flat, it follows that polar representations are hyperpolar).
- (ii)  $g\Sigma$  is also a section for each g in G so, since  $G\Sigma = M$ , there is a section through each point of M. Moreover, every section is of the form  $g\Sigma$  for some  $g \in G$ .
  - (iii) Define the normalizer and centralizer of  $\Sigma$  in G by

$$N(\Sigma, G) = \{g \in G \mid g(\Sigma) = \Sigma\}, \quad Z(\Sigma, G) = \{g \in G \mid g(s) = s \quad \forall s \in \Sigma\}.$$

(Clearly  $N(\Sigma,G)$  is the largest subgroup of G that acts on  $\Sigma$ , and  $Z(\Sigma,G)$  is the kernel of this action.) The quotient  $W(\Sigma,G)=N(\Sigma,G)/Z(\Sigma,G)$  is called the generalized Weyl group of the section  $\Sigma$ . It is a finite group acting effectively on  $\Sigma$ .

- (iv) Recall that  $\nu_x$  is an invariant subspace of the isotropy representation of  $G_x$  on  $TM_x$ , and the corresponding subrepresentation of  $G_x$  is called the *slice representation* at x. Every slice representation of M is polar; in fact if  $\Sigma$  is a section containing x then  $T\Sigma_x$  is a section for the slice representation at x.
- (v) The set  $M^o$  of points of M where the slice representation is trivial is called the set of regular points of M. It is a union of orbits, and these are called the *principal* orbits of M.  $M^o$  is an open, dense, connected subset of M, and is fibered by the principal orbits. The principal orbits all have the same (maximal) dimension, and their codimension, called the cohomogeneity of M, is the same as the dimension of any section. It follows that at a regular point p,  $\exp(\nu_p)$  is the unique section through p.
- **2.4 Proposition.** Let M be a Riemannian G-manifold. A submanifold  $\Sigma$  of M is a section for the action of G if and only if it is a section for the action of G. In particular, the action of G on M is polar if and only if the action of G on M is polar.

PROOF. Since  $G_o$ -orbits are components of G-orbits,  $\Sigma$  meets G-orbits orthogonally, if and only if it meets  $G_o$ -orbits orthogonally. Clearly  $G_o\Sigma=M$  implies  $G\Sigma=M$ , so it remains only to prove that if  $\Sigma$  is a slice for the action of G then

it meets every  $G_o$ -orbit. To see this, let  $p \in \Sigma$  be on a regular G-orbit. Since  $G_o p$  is a connected component of Gp,  $\nu(Gp)_p = \nu(G_o p)_p$ , so since  $\Sigma$  is totally geodesic and  $T\Sigma_p = \nu(Gp)_p$ ,  $\Sigma = \exp(\nu(G_o p)_p)$ . But whenever a Lie group H acts isometrically on a connected, complete Riemannian manifold X, it is well-known that for any  $x \in X$ ,  $\exp(\nu(Hx)_x)$  meets every H-orbit (cf. [PT1]).

Polar representations were classified up to "orbital equivalence" (see below) by Dadok [D]. We need several of his results, which we now state.

- **2.5 Theorem.** (Theorem 4 of [Da]) Suppose H is a connected, compact Lie group,  $\rho: H \to SO(V)$  a polar representation, and  $V = V_1 \oplus V_2$  is a direct sum decomposition of V into H-invariant subspaces. Let  $\alpha$  be a section for V,  $\alpha_i = \alpha \cap V_i$ , and let  $H_1 = Z_o(\alpha_2, H)$  and  $H_2 = Z_o(\alpha_1, H)$  denote the identity components of the centralizers in H of  $\alpha_2$  and  $\alpha_1$  respectively. Then
  - (i)  $a = a_1 \oplus a_2$ ,
- (ii) the  $H_i$ -action on  $V_i$  is polar with  $a_i$  as a section,
- (iii) if  $a = a_1 + a_2 \in \mathfrak{a}_1 \oplus \mathfrak{a}_2$ , then  $H \cdot a = (H_1 \cdot a_1) \times (H_2 \cdot a_2)$ .
- **2.6 Remark.** Note that H and  $H_1 \times H_2$  are *not* equal in general. Nevertheless, from the point of view of the geometry of orbits, 2.5 can be viewed as a decomposition theorem.
- **2.7 Definition.** Let  $G_1$  and  $G_2$  be two Lie groups and let  $X_i$  be a Riemannian manifold on which  $G_i$  acts isometrically. We shall call these two actions *orbitally equivalent*, or  $\omega$ -equivalent if there is an isometry of  $X_1$  with  $X_2$  mapping  $G_1$  orbits to  $G_2$  orbits.

Note that  $\omega$ -equivalence is in general a relation between actions of possibly different groups. For example, the natural actions of SO(2n) on  $\mathbb{R}^{2n}$  and of SU(n) on  $\mathbb{C}^n$  are  $\omega$ -equivalent.

- 2.8. Remark. Clearly any action that is  $\omega$ -equivalent to a polar (hyperpolar) action is itself polar (hyperpolar). It was proved by Bott and Samelson [BS] that srepresentations are polar, and Dadok's main result is that up to  $\omega$ -equivalence there are no others.
- **2.9 Theorem.** (Dadok [D]) A polar representation of a compact, connected Lie group is  $\omega$ -equivalent to an s-representation.
- **2.10 Remark.** In case  $\rho: H \to SO(V)$  is an *irreducible* polar representation and the action of H on V is almost effective, Dadok's result is more precise. In fact he proves that, except for six special cases,  $\rho$  is actually *equivalent* to the isotropy representation of some symmetric space G/H. In other words, the vector space  $\mathfrak{g} = \mathfrak{h} \oplus V$  admits a Lie algebra structure for which  $\mathfrak{h} \oplus V$  is a Cartan decomposition. The six exceptional cases (H,V) and the corresponding s-representations they are  $\omega$ -equivalent to are:
- (1)  $(G_1, R^7)$ :  $\rho$  = the unique 7-dimensional irreducible representation of  $G_2$  ( $\omega$ -equivalent to the s-representation of (SO(7), SO(6))).
- (2)  $(Spin(7), \mathbb{R}^8)$ :  $\rho$  = the spin representation  $(\omega$ -equivalent to the s-representation of (SO(8), SO(7)).
- (3)  $(U(1) \times G_2, C \otimes R^7)$   $(\omega$ -equivalent to the s-representation of  $(SO(9), SO(2) \times SO(7))$ .

- (4)  $(U(1) \times Sp(n), C \otimes_C C^{2n})$ ( $\omega$ -equivalent to the s-representation of (SO(4n), SO(4n-1)).
- (5)  $(U(1) \times Spin(7), C \otimes R^8)$ ( $\omega$ -equivalent to the s-representation of  $(SO(10), SO(2) \times SO(8))$ .
- (6)  $(Spin(7) \times SU(2), \mathbb{R}^8 \otimes \mathbb{R}^3)$ , where Spin(7) on  $\mathbb{R}^8$  is the spin representation and SU(3) on  $\mathbb{R}^3$  is the Adjoint representation  $(\omega$ -equivalent to the s-representation of  $(SO(11), SO(8) \times SO(3))$ .

The following results are easy consequences of 2.5.

**2.11 Proposition.** Suppose  $\rho: H \to SO(V)$  is a polar representation, and  $V = V_o \oplus V_1 \oplus \cdots \oplus V_r$  is a decomposition of V as a direct sum of H-invariant subspaces such that  $V_o$  is a trivial H-space and the  $V_i$  are non-trivial irreducible H-spaces for  $1 \le i \le r$ . Then  $V_i$  and  $V_j$  are inequivalent H-spaces for  $1 \le i < j \le r$ .

PROOF. We denote the infinitesimal action of an element h of  $\mathfrak h$  on an element v of V by  $h \cdot v$ . Suppose  $V_1$  is equivalent to  $V_2$ , and let  $\varphi: V_1 \to V_2$  be an H-equivariant linear isomorphism. Then we have  $\varphi(h \cdot x) = h \cdot \varphi(x)$  for all  $h \in \mathfrak h$  and  $x \in V_1$ . Let  $a_1 \in V_1$  be a regular element for the H-action on  $V_1$ . Then  $a_2 = \varphi(a_1)$  is a regular element for the H-action on  $V_2$ . Let  $\mathfrak a$  be a section of V containing  $a_1 + a_2$ ,  $\mathfrak a_i = \mathfrak a \cap V_i$ , and let  $H_1 = Z(\mathfrak a_2, H)$  be as in Dadok's Theorem 2.5. Then, since  $h_1 \cdot a_2 = 0$  for all  $h_1 \in \mathfrak h_1$  and  $a_2 \in \mathfrak a_2$ ,  $\varphi(h_1 \cdot a_1) = h_1 \cdot \varphi(a_1) = 0$ . Since  $\varphi$  is an isomorphism,  $h_1 \cdot a_1 = 0$ , so  $V_1$  is a trivial representation, a contradiction.

Next we prove that whether or not a representation is polar is independent of the choice of H-invariant scalar product on the representation space.

- **2.12 Theorem.** Let  $\rho: H \to GL(V)$  be a representation, and  $(,)_k$ , k = 1, 2, H-invariant inner products on V. If the H-action on  $(V, (,)_1)$  is polar and  $\mathfrak a$  is a section, then
- (1) the H-action on  $(V, (\cdot, \cdot)_2)$  is also polar with  $\alpha$  as a section,
- (2) the orthogonal complements of a in V are the same with respect to both inner products; namely, if a is a point where a meets a principal orbit, then both are equal to  $T(Ha)_a$ .

PROOF. By Lemma 2.11, we may write V as a direct sum  $V = V_o \oplus V_1 \oplus \cdots \oplus V_r$  of H-invariant subspaces such that  $V_o$  is a trivial H-space and  $V_1, \ldots, V_r$  are nontrivial, inequivalent irreducible H-spaces. It follows that  $V_o, \ldots, V_r$  are mutually orthogonal with respect to any H-invariant inner product on V. Since  $V_i$  is irreducible for  $1 \leq i \leq r$ , there exists  $c_i > 0$  such that  $(\ ,\ )_2 = c_i(\ ,\ )_1$  on  $V_i$ . Let  $\mathfrak{a}_i = \mathfrak{a} \cap V_i$ ,  $a = a_o + \ldots + a_r \in \mathfrak{a}$  a regular point, and  $P_i$  the tangent plane of the orbit  $Ha_i$  at  $a_i$ . Since the H-action on  $(V, (\ ,\ )_1)$  is polar, by 2.5  $H_i$  on  $V_i$  is polar with  $\mathfrak{a}_i$  as section. Hence  $\mathfrak{a}_i \perp P_i$  with respect to  $(\ ,\ )_1$ . Note that  $\mathfrak{a}_o = V_o$ , while if i > 0 then, since the two inner products on  $V_i$  are proportional,  $\mathfrak{a}_i$  is also orthogonal to  $P_i$  with respect to  $(\ ,\ )_2$ , so (1) and (2) follow.  $\blacksquare$ 

Now we review some elementary properties of Killing vector fields and totally geodesic, flat submanifolds.

**2.13 Proposition.** ([Be] Proposition 7.87) Let M be a homogeneous G-manifold,  $x \in M$ , and  $H = G_x$ . Let  $\mathfrak p$  denote the orthogonal complement of  $\mathfrak h$  in  $\mathfrak g$  with respect to an  $\mathrm{Ad}(G)$ -invariant inner product,  $(\ ,\ )_o$ , on  $\mathfrak g$ , and let  $(M,\mathrm{ds}_o^2)$  be the normal homogeneous Riemannian G-manifold associated to  $(\ ,\ )_o$ . If we identify  $TM_x$  with  $\mathfrak p$  as in 2.1, then the Riemann tensor R of  $(M,\mathrm{ds}_o^2)$  at x satisfies:

$$(R(\xi,\eta)(\xi),\eta)_o=([\xi,\eta]_{\mathfrak{h}},[\xi,\eta]_{\mathfrak{h}})_o+\frac{1}{4}([\xi,\eta]_{\mathfrak{p}},[\xi,\eta]_{\mathfrak{p}})_o,$$

where  $[\xi, \eta]_{\mathfrak{h}}$  and  $[\xi, \eta]_{\mathfrak{p}}$  are respectively the  $\mathfrak{h}$  and  $\mathfrak{p}$  components of  $[\xi, \eta]$ .

**2.14 Corollary.** With the same assumptions as above; if  $\Sigma$  is a totally geodesic submanifold of M containing x, then  $\Sigma$  is flat if and only if  $T\Sigma_x$  is an abelian subalgebra of  $\mathfrak{p}$ .

As a consequence of 2.14 and 2.3, we have

**2.15 Proposition.** If G/H is a compact, normal homogeneous G-manifold such that the action of H on G/H is hyperpolar, then the action of H on  $\mathfrak{p} = \mathfrak{h}^{\perp}$  is polar with abelian subalgebras of  $\mathfrak{p}$  as sections.

We end this section by giving a sufficient condition for a totally geodesic, flat submanifold of a Riemannian G-manifold M to be a section. (This is actually a special case of a theorem of R. Hermann [H2].)

**2.16 Theorem.** If  $\Sigma$  is a flat, totally geodesic submanifold of a compact, Riemannian H-manifold M and  $\Sigma$  is orthogonal to some H-orbit at one point, then  $\Sigma$  meets H-orbits orthogonally. Hence if in addition  $\Sigma$  is closed in M and  $H\Sigma=M$ , then  $\Sigma$  is a section for M and the H-action on M is hyperpolar.

We recall that a necessary and sufficient condition for a vector field  $\xi$  on M to be a Killing field on M is that  $\langle \nabla_u \xi, v \rangle = -\langle u, \nabla_v \xi \rangle$  for all  $u, v \in TM_x$ . We will need two easy facts concerning Killing fields.

**2.17 Lemma.** A Killing vector field  $\xi$  on a compact, flat Riemannian manifold  $\tau$  has constant length. In particular, if  $\xi$  vanishes at one point then it is identically zero.

PROOF. The universal cover of  $\tau$  is the Euclidean space  $\mathbf{R}^n$ . The lifting  $\tilde{\xi}$  of  $\xi$  to  $\mathbf{R}^n$  is a Killing vector field on  $\mathbf{R}^n$ , so there is a skew-adjoint operator A on  $\mathbf{R}^n$  and  $b \in \mathbf{R}^n$  such that  $\tilde{\xi}(x) = Ax + b$ . Since  $\tau$  is compact,  $\xi$  is bounded, and hence so is  $\tilde{\xi}$ . This implies that A = 0, so  $\tilde{\xi}$  is a constant vector field on  $\mathbf{R}^n$ , and so the length of  $\xi$  is constant.

**2.18 Lemma.** Let  $\tau$  be a totally geodesic submanifold of M,  $\xi$  a Killing vector field on M, and  $\xi^{\tau}$  the vector field on  $\tau$  defined by  $\xi^{\tau}(x) =$  the projection of  $\xi(x)$  onto  $T\tau_x$ . Then  $\xi^{\tau}$  is a Killing vector field on  $\tau$ .

PROOF. Let  $\nabla$  denote the Levi-Civita connection of M,  $\nabla$  the induced connection on  $T\tau$ ,  $\xi^{\perp}$  the projection of  $\xi$  to the normal bundle  $\nu(\tau)$ , and  $u,v\in T\tau_x$ . Since  $\tau$  is totally geodesic,  $\nabla_u\xi^{\perp}\in\nu(\tau)_x$  and  $\nabla_u\xi^{\tau}=\nabla_u\xi^{\tau}$ . It follows that  $\langle \nabla_u\xi,v\rangle=\langle \nabla_u\xi^{\tau},v\rangle=-\langle u,\nabla_v\xi^{\tau}\rangle$ , so  $\xi^{\tau}$  is a Killing vector field of  $\tau$ .

**2.19 Proof of Theorem 2.16.** Since each  $\xi \in \mathfrak{h}$  is a Killing field on M, it follows from 2.17 and 2.18 that if  $\xi$  is orthogonal to  $\Sigma$  at one point of  $\Sigma$  then  $\xi$  is orthogonal to  $\Sigma$  at every point of  $\Sigma$ . Recalling that  $T(Hs)_s = \{\xi(s) \mid \xi \in \mathfrak{h}\}$  it now follows that if  $T\Sigma_s \subseteq \nu(Hs)_s$  holds for one point, s, of  $\Sigma$  it also holds at every other point of  $\Sigma$ .

# 3. Classification of polar pairs

In this section, we will prove that if G/H is a normal homogeneous manifold such that the action of H on G/H is hyperpolar, then G/H is a symmetric space. To prove this, we define the following related notion of polar pairs and classify them.

- **3.1 Definition.** A pair (G, H) is called a *polar pair* if it satisfies the following conditions:
- (a) G is a compact, connected Lie group equipped with a bi-invariant metric induced from an Ad(G)-invariant inner product  $\langle , \rangle$  on the Lie algebra  $\mathfrak{g}$ ,
- (b) H is a closed subgroup of G,
- (c) the action of G on G/H is almost effective,
- (d) the  $Ad_G(H)$ -action of H on  $\mathfrak{p} = \mathfrak{h}^{\perp}$  is polar with abelian subalgebras as sections. (We refer to these as *abelian sections*).
- **3.2 Definition.** A polar pair (G, H) is called irreducible if G/H is isotropy irreducible, or equivalently the H-representation on  $\mathfrak p$  is irreducible.
- **3.3 Remark.** By 2.4, H on p is polar if and only if  $H_o$  on p is polar, and hence (G, H) is a polar pair if and only if  $(G, H_o)$  is a polar pair. If G is semi-simple,  $\tilde{G}$  the simply connected Lie group corresponding to  $\mathfrak{g}$ , and  $\tilde{H} =$  the subgroup  $\exp(\mathfrak{h})$  of  $\tilde{G}$ , then (G, H) is a polar pair if and only if  $(\tilde{G}, \tilde{H})$  is a polar pair.
- **3.4 Example.** By 2.15, if G/H is a compact, normal homogenous G-manifold such that the action of H on G is hyperpolar then (G, H) is a polar pair.
- **3.5** Example. Since s-representations are polar, if M is a compact symmetric space, then the symmetric pair (G, K) associated to M is a polar pair.
- 3.6 Example. Spin(7) acts on  $R^8$  by the spin representation, and it is transitive on the unit sphere  $S^7$  with isotropy group  $G_2$ . Moreover, the isotropy representation of  $S^7 = Spin(7)/G_2$  is the irreducible  $G_2$ -representation on  $R^7$ , which is transitive on  $S^6$ . Hence the  $G_2$ -action on  $S^7$  is hyperpolar (the normal geodesic to a principle orbit is a section), and  $(Spin(7), G_2)$  is a polar pair, but *not* a symmetric pair.
- **3.7 Theorem.** Suppose (G, H) is a polar pair, and  $\mathfrak{p} = \mathfrak{p}_1 \oplus \cdots \oplus \mathfrak{p}_r$  is a direct sum decomposition of  $\mathfrak{p} = \mathfrak{h}^{\perp}$  into irreducible  $H_o$ -spaces. Let

$$\mathfrak{g}_i = \mathfrak{p}_i + [\mathfrak{p}_i, \mathfrak{p}_i], \quad \mathfrak{h}_i = \mathfrak{h} \cap \mathfrak{p}_i.$$

Then

(1)  $\mathfrak{g} = \mathfrak{g}_1 \oplus \cdots \oplus \mathfrak{g}_r$  and  $\mathfrak{h} = \mathfrak{h}_1 \oplus \cdots \oplus \mathfrak{h}_r$  are direct sum decompositions of  $\mathfrak{g}$  and  $\mathfrak{h}$  respectively into ideals,

- (2) if  $I = \{i \mid p_i \text{ is a trivial } H\text{-space}\}$ , then  $h_i = 0$ ,  $g_i = p_i$  for all  $i \in I$  and  $p_o = \bigoplus \{p_i \mid i \in I\}$  is the center  $\mathfrak{z}$  of  $\mathfrak{g}$ ,
- (3)  $Z = \exp(\mathfrak{z}) = T^m$  is a torus, and  $H_j = \exp(\mathfrak{h}_j)$  and  $G_j = \exp(\mathfrak{g}_j)$  are closed, connected subgroups of G for  $j \notin I$ ,
- (4) for  $j \notin I$ ,  $(G_j, H_j)$  is an irreducible polar pair with  $G_j$  semi-simple,
- (5)  $G_i$  and  $G_j$  commute if  $i \neq j$ .

To prove this theorem, we need the following Lemma:

**3.8 Lemma.** Let (G, H) be a polar pair,  $\mathfrak{p} = \mathfrak{h}^{\perp}$ , and  $X \in \mathfrak{p}$ . Then the normal space  $\nu_X$  of the H-orbit through X is the set  $\mathfrak{z}(X) \cap \mathfrak{p} = \{Y \in \mathfrak{p} \mid [X,Y] = 0\}$ , where  $\mathfrak{z}(X)$  denotes the centralizer of X in  $\mathfrak{g}$ .

PROOF. Let a be an abelian section containing X for the H-action on p, and let  $H_X$  be the isotropy group of X. Since the H-action on p is polar, it follows from the Slice Theorem for isoparametric submanifolds (cf. [PT2], [HOT]) that

$$\nu_X = \bigcup_{h \in H_X} h \mathfrak{a} h^{-1}.$$

Because a is abelian and  $X \in a$ , we have

$$\bigcup_{h\in H_X} h\mathfrak{a}h^{-1}\subseteq \mathfrak{z}(X)\cap \mathfrak{p}.$$

This implies that  $\nu_X \subseteq \mathfrak{z}(X) \cap \mathfrak{p}$ . Conversely, let  $Y \in \mathfrak{z}(X) \cap \mathfrak{p}$ . Since  $\langle , \rangle$  is  $\mathrm{Ad}(G)$ -invariant,

$$\langle Y, [\mathfrak{h}, X] \rangle = \langle [X, Y], \mathfrak{h} \rangle = 0.$$

This proves  $Y \in \nu_X$ , and the lemma follows.

#### 3.9 Proof of Theorem 3.7.

First we claim that

$$[p_i, p_j] = 0, \quad \text{if } i \neq j.$$

To see this, we note that the invariance of  $\mathfrak{p}_i$  under  $\mathrm{Ad}_G(H)$ -action implies that  $[\mathfrak{h},\mathfrak{p}_i]\subseteq\mathfrak{p}_i$ . Now let  $X\in\mathfrak{p}_i$ . Since the tangent space to the orbit through X is  $[X,\mathfrak{h}]$ , it is contained in  $\mathfrak{p}_i$ , so the normal space  $\nu_X$  includes all the  $\mathfrak{p}_j$  for  $j\neq i$ . By 3.8,  $[X,\mathfrak{p}_j]=0$  if  $j\neq i$ , and since this is true for all  $X\in\mathfrak{p}_i$ , the claim is proved.

We will prove each statement of the theorem seperately below.

(1) We want to show that  $\mathfrak g$  decomposes into a direct sum of ideals  $\mathfrak g_i$ . (Notice that this is not the case for every polar isotropy representation of a homogeneous space G/H. An easy counter-example is G = SU(n+1) and H = SU(n). Here  $\mathfrak p$  has a trivial factor, although G being simple cannot split.)

We first prove that

$$\mathfrak{g} = \mathfrak{p} + [\mathfrak{p}, \mathfrak{p}].$$

(This sum is in general not direct.) Let us assume that this does not hold. Then there is a non-zero X orthogonal to  $\mathfrak{p} + [\mathfrak{p}, \mathfrak{p}]$ . Clearly  $X \in \mathfrak{h}$  and  $\langle X, [\mathfrak{p}, \mathfrak{p}] \rangle = 0$  so, since  $[X, \mathfrak{p}] \subset \mathfrak{p}$  and  $\langle [X, \mathfrak{p}], \mathfrak{p} \rangle = 0$ ,  $[X, \mathfrak{p}] = 0$ . But this implies that  $\exp tX$  acts

as the identity on G/H contradicting the assumption that H does not contain any non-discrete normal subgroup of G.

We next want to prove that  $\mathfrak{g}_i$  is an ideal and that  $[\mathfrak{g}_i, \mathfrak{g}_j] = 0$  for  $i \neq j$ . Notice that since  $[\mathfrak{p}_i, \mathfrak{p}_j] = 0$  for  $i \neq j$ , we have  $\mathfrak{g} = \mathfrak{g}_1 + \cdots + \mathfrak{g}_r$ . To prove that  $\mathfrak{g}_i$  is an ideal one verifies:

- (i)  $[p_i, [p_i, p_i]] = 0$  for  $i \neq j$  by the Jacobi identity.
- (ii)  $[p_i, [p_i, p_i]] \subseteq g_i$ , since by the Ad-invariance of the metric, for  $i \neq j$  we have

$$\langle \mathfrak{p}_j, [\mathfrak{p}_i, [\mathfrak{p}_i, \mathfrak{p}_i]] \rangle = 0, \quad \langle [\mathfrak{p}_j, \mathfrak{p}_j], [\mathfrak{p}_i, [\mathfrak{p}_i, \mathfrak{p}_i]] \rangle = 0.$$

- (iii)  $[[p_j, p_j], [p_i, p_i]] = 0$  for  $i \neq j$  by the Jacobi identity.
- (iv)  $[[p_i, p_i], [p_i, p_i]] \subseteq g_i$  since, by Ad-invariance, for  $i \neq j$  we have

$$\langle \mathfrak{p}_i, [[\mathfrak{p}_i, \mathfrak{p}_i], [\mathfrak{p}_i, \mathfrak{p}_i]] \rangle = 0, \quad \langle [\mathfrak{p}_i, \mathfrak{p}_i], [[\mathfrak{p}_i, \mathfrak{p}_i], [\mathfrak{p}_i, \mathfrak{p}_i]] \rangle = 0.$$

It now follows that  $\mathfrak{g}_i$  is an ideal for all  $1 \leq i \leq r$ , and that  $[\mathfrak{g}_i, \mathfrak{g}_j] = 0$  if  $i \neq j$ . Since for  $i \neq j$  we have

$$\langle \mathfrak{p}_i, [\mathfrak{p}_j, \mathfrak{p}_j] \rangle = \langle [\mathfrak{p}_i, \mathfrak{p}_j], \mathfrak{p}_j \rangle = 0,$$

 $g_i$  is orthogonal to  $g_j$ , so we have an orthogonal direct sum decomposition

$$\mathfrak{g} = \mathfrak{g}_1 \oplus \cdots \oplus \mathfrak{g}_r$$
.

Now set  $\mathfrak{h}_i = \mathfrak{g}_i \cap \mathfrak{h}$ . We would like to show that  $\mathfrak{h} = \mathfrak{h}_1 \oplus \cdots \oplus \mathfrak{h}_r$ . This will follow when we have proved that in a decomposition of  $X \in \mathfrak{h}$  into  $X = X_1 + \cdots + X_r$ ,  $X_i \in \mathfrak{g}_i$ , the component  $X_i \in \mathfrak{h}$ .

First notice that  $\langle X, \mathfrak{p} \rangle = 0$ . So for all i we have

$$\langle X_1 + \dots + X_r, \mathfrak{p}_i \rangle = 0.$$

Since the  $g_i$  are orthogonal ideals we have that  $\langle X_i, p_j \rangle = 0$  for  $i \neq j$ , and hence  $\langle X_i, p_i \rangle = 0$  for every i. It follows that

$$\langle X_i, \mathfrak{p} \rangle = \langle X_i, \mathfrak{p}_1 \rangle + \dots + \langle X_i, \mathfrak{p}_r \rangle = 0$$

which proves that  $X_i \in \mathfrak{h}$ . This finishes the proof of (1).

(2) If  $\mathfrak{p}_i$  is an irreducible trivial  $H_o$ -space, then  $\mathfrak{p}_i$  is of dimension 1. Hence  $\mathfrak{g}_i = \mathfrak{p}_i + [\mathfrak{p}_i, \mathfrak{p}_i] = \mathfrak{p}_i$  and  $\mathfrak{h}_i = 0$ . To prove the second part of the statement, we note that the almost-effectiveness of the action of G on G/H implies that  $\mathfrak{z} \cap \mathfrak{h} = 0$ . Let  $\mathfrak{p}_0 = \oplus \{\mathfrak{p}_i \mid i \in I\}$ . Since  $\mathfrak{p}_i = \mathfrak{g}_i$  is one-dimensional for all  $i \in I$  and  $[\mathfrak{g}_i, \mathfrak{g}_j] = 0$  for all  $i \neq j$ ,  $\mathfrak{p}_0 \subseteq \mathfrak{z}$ . Conversely, let  $z \in \mathfrak{z}$ . Write  $z = z_1 + \cdots + z_r$  with  $z_k \in \mathfrak{g}_k$ . Suppose  $k \notin I$ , i.e.,  $\mathfrak{p}_k$  is a non-trivial  $H_o$ -space. Then we have

$$0 = [z, \mathfrak{g}_k] = [z_1 + \cdots + z_r, \mathfrak{g}_k] = [z_k, \mathfrak{g}_k].$$

But  $[z_k, \mathfrak{g}_j] = 0$  for all  $j \neq k$ . Hence  $z_k \in \mathfrak{z}$ , which implies that  $[z_k, \mathfrak{h}] = 0$ . Write  $z_k = h_k + x_k \in \mathfrak{h}_k \oplus \mathfrak{p}_k$ . Then  $[x_k, \mathfrak{h}] = [z_k, \mathfrak{h}]_{\mathfrak{p}} = 0$ , which implies that  $x_k = 0$  (because  $\mathfrak{p}_k$  is a non-trivial  $H_o$ -space). So we have  $z_k = h_k \in \mathfrak{h} \cap \mathfrak{z}$ . But  $\mathfrak{z} \cap \mathfrak{h} = 0$ , so  $z_k = 0$  if  $k \notin I$ , and  $z \in \mathfrak{g}_i \mid i \in I$  =  $\mathfrak{p}_0$ . This proves (2).

Since G is compact, g is the direct sum of the center  $\mathfrak{z}$  and a semi-simple ideal, so (3) and (4) follow from (2). Finally, (5) follows from the fact that  $[\mathfrak{g}_i, \mathfrak{g}_j] = 0$  for  $i \neq j$ .

- **3.10 Corollary.** Let (G, H) be a polar pair, and  $\mathfrak{p} = \mathfrak{p}_o \oplus \cdots \oplus \mathfrak{p}_m$  a decomposition, where  $\mathfrak{p}_o$  is a trivial H-space and  $\mathfrak{p}_i$  is a non-trivial, irreducible H-space for  $1 \leq i \leq m$ . Then there exist a polar pair  $(\tilde{G}, \tilde{H})$ , and a surjective group homomorphism  $\rho: \tilde{G} \to G$  such that
- (1) the kernel of  $\rho$  is a finite group,  $\rho(\tilde{H}) = H_o \subseteq H$ , and  $\rho$  is a local isometry,
- (2) the map  $\pi: \tilde{G}/\tilde{H} \to G/H$  defined by  $\pi(\tilde{g}\tilde{H}) = \rho(\tilde{g})H$  is  $\rho$ -equivariant, a finite cover, and a local isometry with respect to the normal invariant metrics on  $\tilde{G}/\tilde{H}$  and G/H induced from the bi-invariant metric of  $\tilde{G}$  and G respectively,
- (3)  $\tilde{G}/\tilde{H}$  can be written as the direct product  $T \times \tilde{G}_1/\tilde{H}_1 \times \cdots \times \tilde{G}_r/\tilde{H}_r$ , where T is a flat torus of dimension equal to  $\dim(\mathfrak{p}_o)$ , and each  $(\tilde{G}_i, \tilde{H}_i)$  is an irreducible polar pair such that  $\tilde{G}_i$  is simiply-connected, semi-simple,  $\tilde{H}_i$  is connected, and the isotropy representation of  $\tilde{G}_i/\tilde{H}_i$  is  $\omega$ -equivalent to the H-action on  $\mathfrak{p}_i$ .

PROOF. We may assume that  $\mathfrak{p}_i$  is a non-trivial H-space if  $i \leq m$ , and that  $\mathfrak{p}_i$  is trivial if  $m < i \leq r$  in 3.7. Then  $\mathfrak{z}$ , the center of  $\mathfrak{g}$ , is equal to  $\mathfrak{p}_o = \bigoplus \{\mathfrak{p}_i \mid m < i \leq r\}$ . If we take  $T = \exp(\mathfrak{z})$ , let  $\rho_i : \check{G}_i \to G_i$  be the simply-connected cover of  $G_i$ , and  $\check{H}_i = \exp(\mathfrak{h}_i)$  in  $\check{G}_i$  for  $i \leq m$ , then  $\rho(z, g_1, \ldots, g_m) = \rho_1(g_1) \cdots \rho_m(g_m)$  is a well-defined map of  $\check{G} = T \times \check{G}_1 \times \cdots \times \check{G}_m$  to G with the required properties.

- **3.11 Classification Theorem.** Suppose (G, H) is an irreducible polar pair with G semi-simple and simply-connected, and H connected. Then (G, H) is either a symmetric pair associated to some irreducible symmetric space of compact type or else it is isomorphic to  $(Spin(7), G_2)$ .
- **3.12 Remark.** Suppose (G, H) is an irreducible polar pair. Since the dimension of H-orbits in  $\mathfrak p$  is at most equal to  $\dim(H)$ ,  $\dim(\mathfrak p) = \dim(G) \dim(H)$  and the dimension of an abelian section in  $\mathfrak p$  cannot exceed the rank of G, (G, H) has to satisfy the following condition:

$$2\dim H + \operatorname{rank} G \ge \dim G. \tag{**}$$

So one way to prove 3.11 is to go through the classification list of isotropy irreducible spaces G/H (G is simply connected and H is connected) in [Wo] or [Kr] (see also [WZ] for the case G classical) to check whether (\*\*) is satisfied. It turns out that there are only two non-symmetric pairs (G, H) with G/H isotropy irreducible and satisfying the above inequality. These are  $(Spin(7), G_2)$  and  $(G_2, SU(2))$  with quotients diffeomorphic to  $S^7$  and  $S^6$  respectively. The first case is the polar pair example given in 3.6. One can calculate in the second case that the cohomogeneity is greater than the rank of  $G_2$  which shows that it cannot give rise to a polar pair.

Since the list of isotropy irreducible spaces is rather long and checking the above inequality is tedious, we give below a different proof using Dadok's Theorem 2.9 classifying polar representations.

We first need three lemmas:

**3.13 Lemma.** If (G, H) is a polar pair and  $\mathfrak{a} \subseteq \mathfrak{p}$  is an abelian section, then  $\mathfrak{a}$  is a maximal abelian subalgebra in  $\mathfrak{p}$ .

PROOF. Let b be an abelian subalgebra of p with  $a \subseteq b$ . By the Ad-invariance of  $\langle , \rangle$ ,

$$\langle [\mathfrak{h},\mathfrak{b}],\mathfrak{b}\rangle = \langle \mathfrak{h},[\mathfrak{b},\mathfrak{b}]\rangle = 0.$$

So  $b \perp Hb$  for all  $b \in b$ . But if  $a \in a \subset b$  is a regular point, then  $\nu(Ha)_a = a$ , which implies that b = a.

**3.14 Lemma.** If (G, H) is a polar pair, and  $\mathfrak{a} \subseteq \mathfrak{p}$  is an abelian section, then  $\operatorname{rank}(G) \leq \operatorname{rank}(H) + \dim(\mathfrak{a})$ .

PROOF. Let  $\mathfrak{T}_o$  be a maximal abelian subalgebra of  $\mathfrak{h}$ , and  $\mathfrak{T}_o \subseteq \mathfrak{T}$  a maximal abelian subalgebra of  $\mathfrak{g}$ . The lemma will follow if we can show that  $\mathfrak{T} = \mathfrak{T}_o \oplus (\mathfrak{T} \cap \mathfrak{p})$ . To prove the latter, given  $t \in \mathfrak{T}$ , write  $t = x + y \in \mathfrak{h} \oplus \mathfrak{p}$ . Since

$$0 = [t, \mathfrak{T}_o] = [x + y, \mathfrak{T}_o] = [x, \mathfrak{T}_o] + [y, \mathfrak{T}_o] \in \mathfrak{h} \oplus \mathfrak{p},$$

 $[x, \mathfrak{T}_0] = 0$  and  $[y, \mathfrak{T}_o] = 0$ . Since  $\mathfrak{T}_o$  is a maximal abelian subalgebra of  $\mathfrak{h}$ , it follows that  $x \in \mathfrak{T}_o$ , hence  $y \in \mathfrak{T}_o \cap \mathfrak{p}$ .

**3.15 Lemma.** (Wolf [W1]) Suppose G is a compact, semi-simple Lie group that is not simple. If H is a closed, connected subgroup of G and G/H is isotropy irreducible, then (G, H) is isomorphic to the symmetric pair  $(K \times K, \Delta(K))$  for some compact, simple Lie group K, (here,  $\Delta(K)$  denotes the diagonal subgroup in  $K \times K$ ).

#### 3.16 Proof of 3.11.

We note that since p is  $\mathrm{Ad}_H$  irreducible, and H is connected, it follows that p is also irreducible under  $\mathrm{ad}(\mathfrak{h})$ , a fact we will use often below. By 3.15, we may assume that g is simple, so the given  $\mathrm{Ad}_G$ -invariant inner product  $\langle \,,\, \rangle$  on g can be taken equal to the negative of the Killing form of g.

Using 2.10, we will divide the proof into two cases, according to whether or not the representation of H on p is an s-representation.

Case (i): The representation of H on  $\mathfrak{p}$  is an s-representation.

Then there is a Lie algebra  $\tilde{\mathfrak{g}}$  that coincides with  $\mathfrak{g}$  as a vector space and has bracket  $[X,Y]_1$  that coincides with [X,Y] if  $X,Y\in\mathfrak{h}$  or if  $X\in\mathfrak{h},Y\in\mathfrak{p}$ , and further has the property that  $\tilde{\mathfrak{g}}=\mathfrak{h}\oplus\mathfrak{p}$  is a Cartan decomposition.

Let  $\langle \, , \, \rangle_1$  denote the negative of the Killing form of  $\tilde{\mathfrak{g}}$ . Because the map  $\operatorname{ad}(X)$  for  $X \in \mathfrak{h}$  is the same for the two Lie algebras  $\mathfrak{g}$  and  $\tilde{\mathfrak{g}}$ ,  $\langle \, , \, \rangle$  and  $\langle \, , \, \rangle_1$  coincide on  $\mathfrak{h}$ . Since the action of H on  $\mathfrak{p}$  is irreducible, by Schur's lemma there is, up to a constant multiple, only one H-invariant scalar product on  $\mathfrak{p}$ . Hence there is a positive number c such that

$$\langle X, Y \rangle = c \langle X, Y \rangle_1$$

for all  $X, Y \in \mathfrak{p}$ .

For  $Z\in\mathfrak{g}$ , we let  $Z_{\mathfrak{h}}$  and  $Z_{\mathfrak{p}}$  denote the  $\mathfrak{h}$  and  $\mathfrak{p}$  components of Z. We claim that

$$[X, Y] = c[X, Y]_1 + [X, Y]_p, \quad \forall X, Y \in \mathfrak{p},$$

where c is the same constant as above. To see this, notice that for all  $Z \in \mathfrak{h}$ ,

$$\langle Z, [X, Y] \rangle = \langle [Z, X], Y \rangle = c \langle [Z, X]_1, Y \rangle_1$$
  
=  $c \langle Z, [X, Y]_1 \rangle_1 = \langle Z, c[X, Y]_1 \rangle.$ 

It now follows that  $[X,Y]_{\mathfrak{p}}$  defines a Lie bracket on  $\mathfrak{p}$ , and we will use  $\tilde{\mathfrak{p}}$  to denote this Lie algebra. Let  $\mathfrak{z}$  denote the center of  $\tilde{\mathfrak{p}}$ . It follows from the Jacobi identity that  $\mathfrak{z}$  is an  $\mathrm{ad}(\mathfrak{h})$ -invariant subspace of  $\mathfrak{p}$ . Since  $\mathfrak{p}$  is  $\mathrm{ad}(\mathfrak{h})$ -irreducible, this implies that either  $\mathfrak{z} = \mathfrak{p}$  or  $\mathfrak{z} = 0$ .

First assume that  $\mathfrak{z}=0$ , and hence that  $\tilde{\mathfrak{p}}$  is not solvable. Notice that for  $Z\in\mathfrak{h}$ ,  $\mathrm{ad}(Z)$  is a derivation of the Lie algebra  $\tilde{\mathfrak{p}}$ , since it is a derivation of the two Lie algebras  $\mathfrak{g}$  and  $\tilde{\mathfrak{g}}$ . But any derivation of a Lie algebra preserves its radical, so the radical of  $\tilde{\mathfrak{p}}$  is an  $\mathrm{ad}(\mathfrak{h})$ -invariant subspace of  $\mathfrak{p}$ . Since  $\mathfrak{p}$  is irreducible under  $\mathrm{ad}(\mathfrak{h})$  and  $\tilde{\mathfrak{p}}$  is not solvable, it follows that the radical is zero, i.e.,  $\tilde{\mathfrak{p}}$  is semi-simple.

A derivation of a semi-simple Lie algebra is inner, so for every  $Z \in \mathfrak{h}$  there is a  $\varphi(Z) \in \mathfrak{p}$  such that

$$\operatorname{ad}(Z)(X) = [Z, X] = [\varphi(Z), X]_{\mathfrak{p}}$$

for all X in p. Since  $\tilde{\mathfrak{p}}$  has no center this  $\varphi(Z)$  is unique, and we thus have a map  $\varphi:\mathfrak{h}\to\mathfrak{p}$ . Again using  $\mathfrak{z}=0$ , if follows that  $\varphi$  is injective. Let  $W,Z\in\mathfrak{h}$  and  $X\in\mathfrak{p}$ . Using the Jacobi identity, we find

$$[[W, \varphi(Z)], X]_{p} = -[\varphi(Z), [W, X]]_{p} + [W, [\varphi(Z), X]_{p}]$$

$$= -[Z, [W, X]] + [W, [Z, X]]$$

$$= [[W, Z], X] = [\varphi([W, Z]), X]_{p}.$$

This proves that  $[W,\varphi(Z)]=\varphi([W,Z])$ . A first consequence is that the image of  $\varphi$  is invariant under  $\mathrm{ad}(\mathfrak{h})$  so, by irreducibility again,  $\varphi$  is surjective, and hence a linear isomorphism. Another consequence is that  $\varphi$  is equivariant with respect to the representations of H on  $\mathfrak{h}$  and  $\mathfrak{p}$ . It follows that  $\mathfrak{h}$  is simple. One calculates easily that the scalar product  $(X,Y):=\langle \varphi(X),\varphi(Y)\rangle$  on  $\mathfrak{h}$  is  $\mathrm{ad}(\mathfrak{h})$  invariant. Hence there is a constant  $\mu>0$  such that

$$\langle \varphi(X), \varphi(Y) \rangle = \mu \langle X, Y \rangle.$$

Our next aim is to show that  $\mathfrak g$  is the direct sum of two ideals, each isomorphic to  $\mathfrak h$ . For this we need to show that

$$[\varphi(X),\varphi(Y)] = \mu[X,Y] + [\varphi(X),\varphi(Y)]_{\mathbf{p}}$$

for all  $X, Y \in \mathfrak{h}$  where  $\mu$  is the same constant as above. To see this notice that

$$\langle [\varphi(X), \varphi(Y)], Z \rangle_{1} = c \langle [\varphi(X), \varphi(Y)]_{1}, Z \rangle = c \langle \varphi(X), [\varphi(Y), Z]_{1} \rangle_{1}$$

$$= \langle \varphi(X), \varphi([Y, Z]) \rangle = \mu \langle X, [Y, Z] \rangle$$

$$= \mu \langle [X, Y], Z \rangle = \mu \langle [X, Y], Z \rangle_{1}$$

for every Z in  $\mathfrak{h}$ . Using the definition of  $\varphi$  twice we see that  $[\varphi(X), \varphi(Y)]_{\mathfrak{p}} = \varphi([X,Y])$ , and it follows that

$$[\varphi(X), \varphi(Y)] = \mu[X, Y] + \varphi([X, Y])$$

for all  $X, Y \in \mathfrak{h}$ .

Let  $\lambda_1$  and  $\lambda_2$  be the roots of the equation  $\mu x^2 - x - 1 = 0$ . Note that since  $\mu$  is positive,  $\lambda_1$  and  $\lambda_2$  are real and distinct. For i = 1, 2 define

$$\mathfrak{l}_i = \{ X + \lambda_i \varphi(X) \, | \, X \in \mathfrak{h} \}.$$

A simple calculation shows that both  $l_1$  and  $l_2$  are ideals of g isomorphic to h, and that  $g = l_1 \oplus l_2$ , contradicting the assumption that g is simple.

Since  $\mathfrak{z}=0$  leads to a contradiction it follows that  $\mathfrak{z}=\mathfrak{p}$ , and hence  $\mathfrak{g}=\mathfrak{h}\oplus\mathfrak{p}$  is a Cartan decomposition, so (G,H) is a symmetric pair, proving the theorem in this case.

Case (ii): The representation of H on p is not an s-representation.

By 3.15, G is simple, and using 2.10 we see that (H, p) must be isomorphic to (H, V), for one of the examples (1)-(6) listed there.

Note that we can compute  $g = \dim(G)$  from the formula  $g = \dim(H) + \dim(V)$ .

- (1):  $(G_1, \mathbb{R}^7)$ . In this case the above formula gives g = 21, and the only simply-connected, simple Lie groups having dimension 21 are Spin(7) and Sp(3). If G = Spin(7), then (G, H) is the example of a polar pair in 3.6. On the other hand,  $(Sp(3), G_2)$  cannot be a polar pair, since  $Sp(3)/G_2$  is not on the classification list ([W1], [Kr], [WZ]) of isotropy irreducible spaces.
- (2) and (3): In these two examples g = 29, and since there is no simple Lie group of this dimension, these examples cannot give rise to polar pairs.
- (4):  $(U(1) \times Sp(n), C \otimes_C C^{2n})$ . Then  $g = 2n^2 + 5n + 1$ . Because the action of H on  $\mathfrak{p}$  is transitive on the sphere  $S^{4n-1}$ , the abelian section  $\mathfrak{a}$  in  $\mathfrak{p}$  must be of dimension 1. By 3.14, we have  $n+1 \leq \operatorname{rank}(G) \leq n+2$ . It then can be checked directly that  $g = 2n^2 + 5n + 1$  is not the dimension of any simple group of rank n+1 or n+2.
- (5):  $(U(1) \times Spin(7), C \otimes R^8)$ . Then g = 38, and since there is no simple Lie group of this dimension, this example cannot give rise to a polar pair.
- (6): For this example g=48. The only simple Lie group of this dimension is SU(7). But  $(SU(7), Spin(7) \times SU(2))$  is not a polar pair, since  $SU(7)/Spin(7) \times SU(2)$  is not on the list of isotropy irreducible spaces.

The above considerations shows that  $(Spin(7), G_2)$  is the only irreducible polar pair satisfying the assumptions of 3.11 that is not a symmetric pair. This concludes the proof of case (ii) and of the Classification Theorem, 3.11.

Because  $Spin(7)/G_2 = S^7$ , it follows from 3.10 and 3.11 that:

- **3.17 Corollary.** If (G, H) is a polar pair with G simply-connected and semi-simple and H connected, then G/H is a simply-connected symmetric space of compact type.
- **3.18 Theorem.** If (G, H) is a polar pair and the H-action on  $\mathfrak p$  is of cohomogeneity k, then G/H is a compact symmetric space of rank k.

PROOF. Using the notation in 3.10, we see from 3.11 that  $\tilde{M} = \tilde{G}/\tilde{H}$  is a symmetric space, so M = G/H is a locally symmetric space. Using 2.2 (4), to prove that M is globally symmetric it suffices to prove that  $\pi^{-1}(p) \subseteq Z(\tilde{M})$ , where  $p = eH \in M$ .

If  $(\tilde{G}_i, \tilde{H}_i)$  is a symmetric pair with  $\tilde{H}_i$  connected, then by 2.2 (3) the center  $Z(\tilde{M}_i)$  of  $\tilde{M}_i = \tilde{G}_i/\tilde{H}_i$  is  $\tilde{M}_i^{\tilde{H}_i}$ , the fixed point set of  $\tilde{H}_i$  on  $\tilde{M}_i$ . Note that the fixed point set of  $G_2$  on  $S^7$  is  $\{p, -p\}$ , which is also the center  $Z(S^7)$  of  $S^7$ . So even if  $Spin(7)/G_2$  is one of the factors in  $\tilde{M}_i$  we still have  $Z(\tilde{M}_i) = \tilde{M}_i^{\tilde{H}_i}$ . It is known that  $Z(\tilde{M}) = T \times Z(\tilde{M}_1) \times \cdots \times Z(\tilde{M}_m)$ , so  $Z(\tilde{M})$  is equal to  $\tilde{M}^{\tilde{H}}$ . Using  $\pi(\tilde{g} \cdot x) = \rho(\tilde{g})\pi(x)$ , we see that if  $\pi(y) = p$  then  $\tilde{H} \cdot y \subseteq \pi^{-1}(p)$ . Since  $\pi$  is a finite cover and  $\tilde{H}$  is connected,  $\tilde{H} \cdot y = y$ , i.e.,  $y \in \tilde{M}^{\tilde{H}} = Z(\tilde{M})$ .

As consequence of the proof of 3.18, we also obtain

**3.19 Corollary.** If (G, H) is an irreducible polar pair, then (G, H) must be either the symmetric pair for an irreducible symmetric space, or one of the following pairs:  $(Spin(7), G_2)$ ,  $(SO(7), G_2)$ , or  $(S^1, \mathbf{Z}_n)$ .

It follows from 3.4 and 3.18 that

**3.20 Corollary.** If G/H is a compact, normal Riemannian homogeneous space such that the action of H on G/H is hyperpolar, then G/H is a symmetric space (although (G, H) is not necessarily a symmetric pair).

In the next section we will prove the same conclusion without assuming that the Riemannian homogeneous space G/H is necessarily normal.

# 4. k-flat homogeneous spaces

The main result of this section is the following characterization of compact, k-flat homogeneous manifolds.

- **4.1 Theorem.** A compact k-flat homogeneous space is a symmetric space of rank k.
- **4.2 Remark.** Notice that it follows from Theorem 4.1 that a compact manifold can only be k-flat homogeneous for one k, in contrast to the n-dimensional Euclidean space, which is k-flat homogeneous for all  $1 \le k \le n$ .

The following Proposition follows directly from the definition of k-flat homogeneity.

- **4.3 Proposition.** Let M be a compact, Riemannian manifold. Then M is k-flat homogeneous if and only if the following three conditions are satisfied:
  - (i) every geodesic is contained in some k-flat,
- (ii) G = I(M) acts transitively on the set of k-flats of M,
- (iii) there exists a k-flat  $\tau$  such that the normalizer  $N(\tau, G)$  acts transtively on  $\tau$ .
- **4.4 Proposition.** Let M be a compact Riemannian manifold such that I(M) acts transtively on the set of geodesics of M. Then M is 1-flat homogeneous, or equivalently two-point homogeneous.

PROOF. If M is one-dimensional the result is trivial. If  $\dim(M) > 1$ , then the fact that G = I(M) is transitive on geodesics implies that  $\dim(G) > 0$ . Since G is compact it then follows that there is a circle subgroup  $\Gamma \subseteq G$ . Let  $\gamma$  be an  $\Gamma$ -orbit in M of maximal length. It is well-known (cf. [H1]) that  $\gamma$  is a closed geodesic, hence  $\gamma$  is a 1-flat, and obviously  $N(\gamma, G)$  includes  $\Gamma$ , and so acts transtively on  $\gamma$ . It then follows from 4.3 that M is 1-flat homogeneous.

- **4.5 Remark.** Although condition (iii) is a consequence of (i) and (ii) if k = 1, this is no longer so if k > 1. The Klein bottle S is a counter-example for k = 2, and more generally, if M is m-flat homogeneous, then  $M \times S$  satisfies conditions (i) and (ii) for k = m + 2, but is not k-flat homogeneous.
- **4.6 Theorem.** If  $(M, ds^2)$  is a compact Riemannian manifold then the following two statements are equivalent:
  - (i) M is k-flat homogeneous.
- (ii) M is a homogeneous Riemannian G-manifold and the action of some subgroup H of G is hyperpolar with k-dimensional sections and has a fixed point.

PROOF. We first prove that (i) implies (ii). Let G = I(M),  $H = G_x$ , and  $\tau$  a k-flat through x. We claim that  $H\tau = M$ . For if  $p \in M$  then there exist a geodesic  $\gamma$  joining x to p, and a k-flat  $\sigma$  containing  $\gamma$ , so by definition of k-flat homogeneity, there exists  $g \in G$  such that g(x) = x and  $g(\tau) = \sigma$ , proving  $H\tau = M$ . Since M is compact and  $\tau$  is totally geodesic, flat and orthogonal to the orbit  $Hx = \{x\}$  at x, it follows from 2.16 that  $\tau$  is a flat section for the H-action on M and so this action is hyperpolar.

We next prove that (ii) implies (i). Let  $\gamma$  be a geodesic. We have to show that  $\gamma$  is contained in a k-flat. Let x be a fixed-point of H and let  $g \in G$  be such that  $g\gamma$  passes through x. Let  $\tau$  be a k-flat that is a section of H. Then  $H(T_x\tau) = T_xM$ , so that there is a  $h \in H$  for which  $g\gamma$  is contained in  $h\tau$ . It follows that  $\gamma$  lies in the k-flat  $g^{-1}h\tau$ . Now let  $(x_1,\tau_1)$  and  $(x_2,\tau_2)$  be such that  $x_i \in \tau_i$  and  $\tau_i$  is a k-flat. By the homogeneity of M there are  $g_1$  and  $g_2 \in G$  such that  $g_i(x_i) = x$  where x is a fixed point of H. As in the first part of the proof it follows that  $g_i\tau_i$  is a flat section. By 2.3 (ii), H is transitive on the set of sections. Hence there is an  $h \in H$  such that  $hg_1\tau_1 = g_2\tau_2$ , i.e.,  $g_2^{-1}hg_1(\tau_1) = \tau_2$  and  $g_2^{-1}hg_1(x_1) = x_2$ . It follows that M is k-flat homogeneous.

**4.7 Proposition.** Let M be a homogeneous Riemannian G-manifold, and H a closed subgroup of  $G_p$ . If the action of H on M is hyperpolar, then the  $H_o$ -action and the  $(G_p)_o$ -action on M are  $\omega$ -equivalent.

PROOF. Since H-orbits are submanifolds of  $G_p$  orbits, it will suffice to prove that the two actions have the same cohomogeneity. If  $\tau$  is a flat section for the H-action, then  $\tau$  is a flat, totally geodesic submanifold and  $H\tau=M$ . Because  $H\subseteq G_p$ , we have  $G_p\tau=M$ . Since  $\tau$  is perpendicular to the orbit  $G_pp=\{p\}$ , by 2.16  $\tau$  is a section for the action of  $G_p$ . Thus both H and  $G_p$  acting on M have cohomogeneity  $\dim(\tau)$ .

**4.8 Remark.** If M = G/H equipped with a normal metric is k-flat homogeneous, then by 4.6 and 3.20, M is a symmetric space. However, the metric on a k-flat

homogeneous space in general need not be normal, and to prove 4.1, we need a non-linear analogue of 2.12. First a lemma.

**4.9 Lemma.** If  $(\tau, ds_1^2)$  is a flat, compact, homogeneous Riemannian N-manifold, and  $ds_2^2$  is another N-invariant metric on  $\tau$ , then  $ds_2^2$  is also flat.

PROOF. Since  $\tau$  is compact, flat and homogeneous, it follows that it is a torus and the universal cover  $\tilde{\tau}$  of  $\tau$  is  $\mathbb{R}^n$ . We may assume that N acts on  $\tau$  effectively (we can always quotient out the kernel of the action). Then the Lie algebra  $\mathfrak n$  of N is the abelian Lie algebra  $R^n$ . So there exists local coordinate system  $(u_1,\ldots,u_k)$  on  $\tau$ such that the coordinate vector fields are Killing fields. It follows that any N-invariant metric on  $\tau$  is of the form  $\sum c_{ij}du_i \otimes du_j$  for some constant positive matrix  $(c_{ij})$ , and hence is flat.

- **4.10 Theorem.** Let  $(M, ds^2)$  be a k-flat homogeneous space,  $G = I(M, ds^2)$  and  $H = G_x$ . Let  $ds_o^2$  be the normal homogeneous metric on M associated to some Ad(G)-invariant inner product  $\langle , \rangle_o$  on  $\mathfrak{g}$ . Then
- (1)  $(M, ds_o^2)$  is also k-flat homogeneous,
- (2)  $ds^2$  and  $ds_o^2$  have the same set of k-flats,
- (3) the H-action on  $(M, ds_o^2)$  is hyperpolar,
- (4)  $(M, ds^2)$  is a symmetric space of rank k.

PROOF. Let  $\tau$  be a k-flat through x for  $(M, ds^2)$ , and  $N = \{g \in G \mid g(\tau) = \tau\}$ . By 4.3,  $N = N(\tau, G)$  acts transtively on  $\tau$ .

We first claim that the action of H on  $(M, ds_o^2)$  is polar. To prove this, we let  $a = T\tau_x$ . Then 2.12 implies that  $[\mathfrak{h}, \mathfrak{a}] \perp \mathfrak{a}$  with respect to both metrics. Note that  $g \in G$  is an isometry with respect to both  $ds^2$  and  $ds^2$ . For  $g \in N$ , we have  $(g_*)_x(\mathfrak{a}) = T\tau_{gx}$ . So  $(g_*)_x([\mathfrak{h},\mathfrak{a}]) \perp T\tau_{gx}$  with respect to both metrics. By 4.6, the H-action on  $(M, ds^2)$  is hyperpolar with  $\tau$  as a section. So  $T\tau_{gx}$  is the normal space to the orbit Hgx at gx with respect to  $ds^2$ . Hence we have  $(g_*)_x([\mathfrak{h},\mathfrak{a}])=T(Hgx)_{gx}$ . This proves that a principal orbit Hgx is perpendicular to  $\tau$  at gx with respect to  $ds_o^2$ , i.e., the H-action on  $(M, ds_o^2)$  is polar and  $\tau$  is a section. Since N acts transtively on  $\tau$ , 4.9 implies that  $\tau$  is flat in the metric induced from ds<sub>0</sub><sup>2</sup>.

Clearly (2) and (3) are consequence of the proof of (1), and (4) follows from (3) and 3.20.

#### 4.11 Proof of 4.1.

Let  $G = I(M, ds^2)$ ,  $H = G_p$ ,  $\langle , \rangle_o$  an Ad(G)-invariant inner product on g, and  $ds_o^2$  the associated normal G-invariant metric on M. By 4.10,  $(M, ds_o^2)$  is k-flat homogeneous, and it follows from 4.8 that  $(M, ds_o^2)$  is a symmetric space. It remains to prove that  $(M, ds^2)$  is also a symmetric space. To do this, we use the same notation as in 3.10. Let  $\tilde{h}$  and  $\tilde{h}_o$  be the lifting of  $\mathrm{ds}^2$  and  $\mathrm{ds}_o^2$  to  $\tilde{M}$  respectively. Then:

- (1) both  $\tilde{h}$  and  $\tilde{h}_o$  are  $\tilde{G}$ -invariant, (2)  $\tilde{M} = T \times \tilde{M}_1 \times \cdots \times \tilde{M}_m$ , where  $(T, g_0)$  is a flat torus,  $(\tilde{M}_i, g_i)$  is a simplyconnected, irreducible symmetric space of compact type, and the metric  $h_o$  on  $\tilde{M}$  is the product metric  $h_o = g_o + g_1 + \cdots + g_m$ .

Let  $p_o = e\tilde{H}$ . By 2.12, there exist positive constants  $c_i$  such that  $ds^2 \mid p_i = c_i \langle , \rangle_o \mid p_i$ . Let  $g_o^*$  be the homogeneous flat metric on T induced from  $\tilde{h}$ . Then the  $\tilde{G}$ -invariant metrics  $\tilde{h}$  and  $\tilde{h}^* = g_o^* + c_1 g_1 + \dots + c_m g_m$  agree at  $p_o$ , which implies that  $\tilde{h} = \tilde{h}^*$ . But  $(\tilde{M}, \tilde{h}^*)$  is a symmetric space, so  $(\tilde{M}, \tilde{h})$  is a symmetric space. Moreover,  $(\tilde{M}, \tilde{h}_o)$  and  $(\tilde{M}, \tilde{h})$  have the same center  $\tilde{M}^{\tilde{H}}$ , which contains  $\pi^{-1}(p)$  as a discrete subgroup. Hence by 2.2 (4),  $(M, ds^2)$  is also a symmetric space.

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