

Nonarchimedean flag domains

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Introduction

We construct flag domains for simply connected groups G defined over a nonarchimedean local field K . The case where G is split over K was treated in [PV] (There the spaces we call flag domains were called symmetric spaces. But flag domains seems to be a better name). Here we concentrate on the absolutely almost simple groups that are not split over K .

Let us first recall the definition of a flag domain. Let X be a projective homogeneous space (not necessarily defined over K). Then $X = G/P$ with $P \subset G \otimes K_s$, a parabolic subgroup and K_s is the separable closure of K . We call an open analytic subspace $Y \subset X$ a *flag domain* if it has the following two properties:

(1) Y is stable under the action of $G(K)$

(2) For every discrete co-compact subgroup $\Gamma \subset G(K)$ the quotient Y/Γ exists and is a proper rigid analytic space defined over K_s .

This definition seems to be the p -adic analog of archimedean flag domains. If G is defined over the field of real numbers \mathfrak{R} then an open $G(\mathfrak{R})$ -orbit Y in $G(\mathcal{C})/P(\mathcal{C})$ for some parabolic subgroup $P \subset G \otimes \mathcal{C}$ is called a flag domain if $Y \cong G(\mathfrak{R})/H$ for some compact subgroup $H \subset G(\mathfrak{R})$ (See [GrS] and [WW]).

If $\text{Char}(K) > 0$ then discrete co-compact subgroups $\Gamma \subset G(K)$ only exist if G is of inner type A_l (See [Ve] or [M]). So $G = SL_n(D)$ with D a skew field defined over K . To make the notion of a flag domain also meaningful for other groups in positive characteristics, one could replace (2) in the definition above by the following :

(2') *There exists a formal scheme \mathcal{Y} on which $G(K)$ acts, with generic fibre Y . The closed fibre of \mathcal{Y} consists of proper components that are in 1-1 correspondance with the vertices of the Bruhat-Tits building of $G(K)$.*

Note that (2') implies (2) in the case of existence of discrete co-compact subgroups of $G(K)$. Our construction of flag domains will be such that they also satisfy (2').

We use the following construction. Let \mathcal{L} be an ample line bundle on X . If the set of stable points coincides with the set of semi-stable points for the action of a maximal K -split torus $S \subset G$ on X with respect to \mathcal{L} , then $Y := \bigcap_{g \in G(K)} g \cdot X^s(S, \mathcal{L})$ is a flag domain for $G(K)$. Here $X^s(S, \mathcal{L})$ denotes the set of stable points. This construction is described in detail in [PV].

In section 1 of this article we briefly recall the construction. The sets of stable points on X are studied in section 2. In section 3 the calculations with weights needed in section 2 are performed.

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1 The Construction

1.1

We will briefly recall the construction of flag domains as described in [PV]. We generalize it a little to make it also work for non-split semisimple groups.

1.2

Let K denote a nonarchimedean local field and let K° denote its ring of integers. Let X be a normal projective (possibly non-connected) variety defined over K° on which a group defined over K° acts algebraically. The action being defined over K° . We assume the group G is connected, semisimple, absolutely almost simple and isotropic. A group G is called *isotropic* if $G(K)$ is non-compact. It is called *almost simple* if $G(K)$ does not contain a proper infinite normal subgroup, and *absolutely almost simple* if this remains true for $G(L)$ with $L \supset K$ any finite extension of fields.

Let \mathcal{L} be an ample line bundle on X . We assume that \mathcal{L} is defined over K° . We will explain what we mean by this. If \mathcal{L} is ample then for some natural number n the line bundle $\mathcal{L}^{\otimes n}$ is very ample. So $\mathcal{L}^{\otimes n}$ gives an embedding of X into some projective space P^m . The action of G on X induces a G -linearization of $\mathcal{L}^{\otimes n}$. So we can identify P^m with the projectivization $P(V)$ of some G -module V . We call \mathcal{L} *defined over K°* if there exists a representation $G \rightarrow GL(V)$ defined over K° corresponding with the G -linearization of $\mathcal{L}^{\otimes n}$ for some n such that $\mathcal{L}^{\otimes n}$ is very ample.

Let $S \subset T$ be a maximal K° -rational K° -split torus. Then \mathcal{L} determines the sets of stable and semistable points for the action of S on X , denoted by $X^s(S, \mathcal{L})$ and $X^{ss}(S, \mathcal{L})$ respectively, both defined over K° . For a scheme Z defined over K° we denote by Z_K the same scheme but now defined over K , i.e. $Z_K := Z \times_{\text{spec}(K^\circ)} \text{spec}(K)$. Clearly $X^s(S, \mathcal{L})_K$ and $X^{ss}(S, \mathcal{L})_K$ are the sets of (semi-)stable points for the action of S_K on X_K with respect to the ample line bundle $\mathcal{L} \otimes K$.

We define a $G(K)$ -stable analytic subspace $Y \subset X_K$ by:

$$Y := \bigcap_{g \in G(K)} g \cdot (X^s_K(S_K, \mathcal{L} \otimes K)).$$

In [PV] the following theorem is proved:

1.3 Theorem

If $X^s(S, \mathcal{L}) = X^{ss}(S, \mathcal{L})$ then Y/Γ is a proper rigid analytic space for any discrete co-compact subgroup $\Gamma \subset G(K)$.

1.4

In [PV] this theorem is only proved for split groups G acting on a projective homogeneous variety $X = G/P$, where $P \subset G$ is a parabolic subgroup. Inspecting the proof given there, one sees that it only uses general properties of the Bruhat-Tits building (to be found in [BrT] and [T.3]) and properties of sets of (semi-)stable points. Hence the theorem remains valid in this more general set up.

In particular the following remains true:

1.5 Proposition

$X_K - Y$ is the union of a compact set of Zariski closed divisors.

1.6

Suppose $X^s(S, \mathcal{L}) = X^{ss}(S, \mathcal{L})$. Let k be the residue field of K . Let us assume that $X_k := X \times_{\text{spec}(K^o)} \text{spec}(k)$ consists of one single component. Then the pure affinoid covering of Y given in [PV] is such that the reduction of Y consists of components in 1-1 correspondance with the vertices of the Bruhat-Tits building of $G(K)$. This gives rise to a formal scheme \mathcal{Y} associate to Y such that the components of the closed fibre of \mathcal{Y} are in 1-1 correspondance with the vertices of the Bruhat-Tits building.

The analytic space Y/Γ is proper for any discrete co-compact subgroup $\Gamma \subset G(K)$. According to [Lu.2] any formal scheme belonging to a proper rigid analytic space has a closed fibre consisting of proper components.

Therefore we have, assuming X_k consists of one component:

1.7 Theorem

There exists a formal scheme \mathcal{Y} with generic fibre Y such that the closed fibre consists of proper components in 1-1 correspondance with the vertices of the Bruhat-Tits building of $G(K)$.

1.8

There is also a scheme theoretical way to construct the formal scheme \mathcal{Y} (See [PV] and [Ku]).

The non-algebraicity result proved in [PV] theorem 4.2 remains valid in our more general set up. Indeed the results of Wang [W] and Lütkebohmert [Lu.1] used in the proof are also valid for non split groups.

1.9 Theorem

Let G have only a finite number of orbits on X and let $X^s(S, \mathcal{L}) = X^{ss}(S, \mathcal{L})$. Let $\Gamma \subset G(K)$ be a discrete co-compact subgroup. Then every meromorphic function on the proper rigid analytic space Y/Γ is constant on each connected component of Y/Γ if $\text{codim}(X_K - X_K^s(S, \mathcal{L})) \geq 2$.

2 Stable Points

2.1

We assume that G is a simply connected, semisimple, absolutely almost simple group defined over a non-archimedean local field K .

All varieties occurring in this section are defined over a suitable finite separable extension of the field K . So we will not work over K^0 in this section.

2.2

Let $S \subset T \subset G$ be a maximal K -split torus and a maximal torus defined over K , respectively. The torus T and the group G both split over the separable closure K_s of K . Let $\mathcal{X}(T)$ be the character group of T . Let Φ denote the (*absolute*) root system of G . We choose a *simple basis* Δ of Φ .

Let W be the *Weyl group* of Φ . Choosing a W -invariant inner product on $\mathcal{X}(T) \otimes \mathbb{R}$, the group W is generated by the reflections in the hyperplanes orthogonal to the simple roots $\alpha \in \Delta$. One has $W \cong N_T/Z_T(K_s)$. Here N_T and Z_T are the *normalizer* and *centralizer* of the torus T in G . We denote the simple roots in Δ by $\alpha_i, i=1, \dots, \ell$. Here $\ell = \dim_{K_s}(T)$ is the *absolute rank* of G . Let $w_i \in W$ be the reflection belonging to α_i .

The simple basis Δ of Φ determines a *Borel subgroup* $B \subset G$. One has $B = \langle T, U_\alpha | \alpha \in \Phi^+ \rangle$, where Φ^+ is the set of *positive roots* and U_α is the T -stable additive subgroup on which T acts with character α .

For any subset $I \subset \{1, \dots, \ell\}, I \neq \emptyset$ we denote by $W_I \subset W$ the subgroup generated by the reflections $w_i, i \notin I$. Then the *parabolic subgroups* containing B are the groups $P_I := BW_I B$. Any parabolic subgroup of G is conjugated to exactly one of the groups P_I . These parabolic groups P_I are all defined over the splitting field of G .

2.3

Let H be the Galois group $H := \text{Gal}(K_s/K)$. We fix an ordering on $\mathcal{X}(S)$. We choose an ordering on $\mathcal{X}(T)$ compatible with the ordering on $\mathcal{X}(S)$. The set of simple roots of G with respect to T vanishing on S is called Δ_0 . The *relative root system* of G , i.e. the roots of $\mathcal{X}(S)$, is denoted by Φ_K . The

relative Weyl group is called W_K . One has $W_K \cong N_S/Z_S(K)$, where N_S and Z_S are the normalizer and the centralizer of S in G . The simple basis of Φ_K is denoted by Δ_K .

The Galois group H acts on $\mathcal{X}(T)$, since T is split over K_s . We will need a twisted action of H on $\mathcal{X}(T)$. For any $h \in H$ the image $h(\Delta)$ of Δ is again a simple basis of Φ . There exists a unique element $w \in W$ such that $wh(\Delta) = \Delta$. We set $h^* = w \circ h$ and call the $*$ -action of H on $\mathcal{X}(T)$ the *twisted action*. Let $H^* := \{h^* | h \in H\}$. Then H^* acts on $\mathcal{X}(T)$. Note that H^* is a finite group.

2.4

Let \mathcal{L} be an ample line bundle on $X = G/P$. Then \mathcal{L} is in fact very ample. So \mathcal{L} determines an embedding $X \hookrightarrow P(V)$. Here $P(V)$ is the projectivization of a G -module V . When $\text{Char}(K) = 0$ the module V is irreducible. If $\text{Char}(K) > 0$ then this might not be the case (See [Ke] and [MR]).

However, the module V is uniquely characterized by its highest weight. We will denote this G -module with highest weight λ by V_λ .

Next we describe the weights λ such that there exists an ample line bundle \mathcal{L} on $X = G/P_I$ corresponding to the G -module V_λ . Let ω_i be the fundamental weight determined by $2(\omega_i, \alpha_j)/(\alpha_j, \alpha_j) = \delta_{ij}$. Here $(-, -)$ is a W -invariant inner product on $\mathcal{X}(T) \otimes \mathfrak{K}$. The ample line bundles \mathcal{L} on $X = G/P_I$ correspond to the modules V_λ with highest weight $\lambda = \sum_{i \in I} n_i \cdot \omega_i$ with $n_i > 0$.

We need to know which representations of G can be defined over K . These can be found in [T.2]. Let Λ_r denote the *root lattice*, i.e. the sublattice of $\mathcal{X}(T)$ generated by the roots $\alpha \in \Phi$. We restate the theorems 3.3 and 7.2 of [T.2] in a form suitable for our purposes:

2.5 Theorem

The representation ρ_λ of G into $GL(\bigoplus_{\sigma \in H} V_{\sigma(\lambda)})$ can be defined over K if $\lambda \in \Lambda_r$ (otherwise if $\lambda \notin \Lambda_r$ it can be defined over some skew field D defined over K).

2.6

If $\text{Char}(K) = 0$ then this representation is irreducible over K and the theorem is actually proved in [T.2]. Otherwise if $\text{Char}(K) > 0$, the representation might not be irreducible, but it follows from the proof given in [T.2] that the theorem above remains true.

The theorem above gives us for each weight $\lambda = \sum_{i \in I} n_i \cdot \lambda_i \in \Lambda_r$ a representation ρ_λ defined over K . Let $v_\lambda \in \bigoplus_{\sigma \in H^*} V_{\sigma(\lambda)}$ be a vector contained in $V_\lambda \oplus \langle 0 \rangle$, whose component in V_λ is a highest weight vector. The image X^\dagger_λ of the orbit $G \cdot v_\lambda$ in $P(\bigoplus_{\sigma \in H^*} V_{\sigma(\lambda)})$ is isomorphic to G/P_I . In $P(\bigoplus_{\sigma \in H^*} V_{\sigma(\lambda)})$ we have a variety X^\dagger defined over K , whose connected components are $X^\dagger_{\sigma(\lambda)}$, $\sigma \in H^*$. The connected components are all isomorphic. Moreover the very ample line bundle \mathcal{L}^\dagger associated with this embedding, gives on each connected component $X^\dagger_{\sigma(\lambda)}$ the line bundle \mathcal{L} associated with the weight $\sigma(\lambda)$.

So for each $X = G/P_I$ defined over K_θ and ample line bundle \mathcal{L} on X corresponding to some weight $\lambda \in \Lambda_r$, we can construct a variety X^\dagger and an ample line bundle \mathcal{L}^\dagger , both defined over K , such that one connected component of X^\dagger is isomorphic to X and the restriction of \mathcal{L}^\dagger to this component is \mathcal{L} . So we can forget about X and \mathcal{L} being defined over K , since we can always construct suitable X^\dagger and \mathcal{L}^\dagger defined over K , if $\lambda \in \Lambda_r$.

The fact that we have to assume that $\lambda \in \Lambda_r$ is unimportant for us, since for any weight λ we can find an integer $n > 0$, such that $n \cdot \lambda \in \Lambda_r$. If \mathcal{L} is a line bundle on X corresponding to λ , then $\mathcal{L}^{\otimes n}$ corresponds to the weight $n \cdot \lambda$. Hence λ and $n \cdot \lambda$ define the same sets of (semi-)stable points on X .

From now on we will always tacitly assume that $\lambda \in \Lambda_r$.

2.7

The sets of (semi-)stable points of X for the action of T w.r.t. \mathcal{L} can be determined using the criteria given in [MF]. Let \mathcal{L} give an embedding of X into $P(V)$ for some G -module V . Then we have a unique decomposition $V = \bigoplus V_\beta$, $\beta \in \mathcal{X}(T)$ of V into eigenspaces V_β on which T acts with character β . Let π_β be the projection $V \rightarrow V_\beta$. For $x \in X$ we denote by $\mu(x) \subset \mathcal{X}(T) \otimes \mathbb{R}$ the polyhedron given by the convex hull of $\{\beta | \pi_\beta(v) \neq 0\}$, where $v \in V$ is some original of $x \in X \subset P(V)$. One has:

$$x \in X^s(T, \mathcal{L}) \iff 0 \in \text{int } \mu(x)$$

$$x \in X^{ss}(T, \mathcal{L}) \iff 0 \in \mu(x)$$

Using [GS] the following is proved in [PV] theorem 1.1:

2.8 proposition

Let \mathcal{L} be the ample line bundle on $X = G/P$, corresponding to the weight λ . Let $T \in G$ be a maximal torus. Then we have:

- a) For any point $x \in X$ the vertices of $\mu(x)$ are contained in the set $\{w(\lambda) | w \in W\}$. The edges of $\mu(x)$ are parallel to the roots $\alpha \in \Phi$.
- b) $X^s(T, \mathcal{L}) = X^{ss}(T, \mathcal{L})$ if and only if λ is not contained in a hyperplane (through 0) spanned by roots.

2.9

Using the restriction map $r: \mathcal{X}(T) \rightarrow \mathcal{X}(S)$ one gets a map $r \circ \mu$ with which one can determine the sets of (semi-)stable point for the action of S on X with respect to the line bundle \mathcal{L} . Since all characters of S are stable for the non-twisted action of the Galois group H , we have $r(h(\lambda)) = r(\lambda)$ for any $\lambda \in \mathcal{X}(T)$ and $h \in H$. In [BoT] proposition 6.7 it is proved that $r(h^*(\lambda)) = r(h(\lambda))$, where h^* denotes the twisted action of $h \in H$. This fact will be very useful. We now state some properties of the map $r \circ \mu$.

2.10 proposition

Let \mathcal{L} be an ample line bundle on $X = G/P$, corresponding to the weight λ and let $S \subset T \subset G$ be as before. Then we have:

- a) For any point $x \in X$ the vertices of $r \circ \mu(x)$ are contained in the set $\{r(w(\lambda)) | w \in W\}$. The edges of $r \circ \mu(x)$ are parallel to roots $\alpha \in \Phi_K$.
- b) $X^s(S, \mathcal{L}) = X^{ss}(S, \mathcal{L})$ if for all $w \in W$ $r(w(\lambda))$ is not contained in a hyperplane (through 0) spanned by roots.
- c) $X^s(S, \mathcal{L}) \neq X^{ss}(S, \mathcal{L})$ if λ is contained in a hyperplane V spanned by roots $\alpha \in \Phi$ and moreover there exists an element $w \in W$ such that $r(w(V))$ is a hyperplane (spanned by roots $\beta \in \Phi_K$).

2.11 Proof

Since r maps Φ into $\Phi_K \cup \{0\}$, part (a) of the proposition is clear. Using (a) one concludes that (b) must hold.

Part (c) follows from [PV] 1.4. There one constructs for λ contained in a hyperplane V spanned by roots a point $x \in X$ such that one has $\mu(x) = \text{conv}(\{w(\lambda) | w \in W_V\})$. Here W_V is the Weyl group of the root system $\Phi \cap V$. Then $0 \in \mu(x) \subset V$. Taking $w(V)$ such that $r(w(V))$ is a hyperplane in $\mathcal{X}(S) \otimes \mathfrak{R}$, one has $0 \in r(w(\mu(x))) = r \circ \mu(w(x)) \subset r(V)$. This shows that $w(x) \in X^{ss}(S, \mathcal{L}) - X^s(S, \mathcal{L})$. This proves (c).

2.12

The classification of absolutely almost simple groups over a non-archimedean local field K can be found in [Sa], [T.1] and [T.3]. In [Sa] and [T.1] the groups are given by their index. A simply connected semisimple group over a non-archimedean local field is essentially determined by its index.

The *index* of G is the following. One takes the Dynkin diagram \mathcal{D} of the absolute root system Φ . The vertices of \mathcal{D} represent the simple roots $\alpha \in \Delta$. One draws a circle around each vertex that represents a simple root α that does not vanish on S . These circled vertices are called the *distinguished vertices*. One indicates the action of H^* on the simple roots by arrows joining the vertices corresponding to roots that are in the same H^* orbit.

A group G is called an *inner form* if $H^* = \{id.\}$. Otherwise it is called an *outer form*. A group G is called *quasi-split* if no root $\alpha \in \Delta$ vanishes on the K -split torus S .

2.13 Theorem

There exists an ample line bundle \mathcal{L} on $X = G/P$ such that $X^s(S, \mathcal{L}) = X^{ss}(S, \mathcal{L})$ if and only if one of the following holds:

- 1) $P = B$ and G is any group
- 2) $P = P_J$, $J = \{1, \dots, \ell - 1\}$ and G a non split form of C_ℓ . Here α_ℓ is the unique long root in Δ .
- 3) $P = P_I$ and $G = SL_{s+1}(D)$, where D is a skew field defined over K . Here $\text{g.c.d.}(i \in I, s+1) = 1$ and $G/P_{\{i\}} = \text{Gr}(i, (s+1) \cdot d)$, where d^2 denotes the dimension of D over K .

2.14

The theorem above is in almost all cases a direct consequence of proposition 2.10 and the calculations done in 3.2 till 3.17. The only exceptions are the outer forms of type A_t with $P_I \neq B$. Then the hyperplane V constructed in 3.11 containing λ_I is not spanned by roots. But, since the polyhedron μ constructed in remark 3.12 in fact is the polyhedron $\mu(x)$ for some $x \in X = G/P_I$, we still have $X^s(S, \mathcal{L}) \neq X^{ss}(S, \mathcal{L})$ in this case.

2.15

Associated to the variety X and the ample line bundle \mathcal{L} both defined over K_s one has a variety X^\dagger and an ample line bundle \mathcal{L}^\dagger both defined over K . By construction one has:

$$X^s(S, \mathcal{L}) = X^{ss}(S, \mathcal{L}) \iff (X^\dagger)^s(S, \mathcal{L}^\dagger) = (X^\dagger)^{ss}(S, \mathcal{L}^\dagger)$$

Since all components of $X^\dagger \otimes K_s$ are isomorphic to $X \otimes K_s$, one sees that X and \mathcal{L} can be defined over K if and only if $X^\dagger \otimes K_s = X \otimes K_s$. Using proposition 3.18 and theorem 2.5 one easily proves:

2.16 Theorem

There exists an ample line bundle \mathcal{L} defined over K on $X = G/P$ (defined over K) such that $X^s(S, \mathcal{L}) = X^{ss}(S, \mathcal{L})$ if and only if P is as in theorem 2.13 and G is not a quasi-split group with $\#H^ = 2$ or an outer form with $\Phi = A_t$.*

2.17 Proposition

Let G be a non split group and suppose that $X^s(S, \mathcal{L}) = X^{ss}(S, \mathcal{L})$. Then $\text{codim}(X - X^s(S, \mathcal{L})) \geq 2$.

2.18 Proof

Since $S \subset T$ one has $X^s(T, \mathcal{L}) \subset X^s(S, \mathcal{L})$ and $X^{ss}(T, \mathcal{L}) \subset X^{ss}(S, \mathcal{L})$. Hence $\text{codim}(X - X^s(S, \mathcal{L})) \geq \text{codim}(X - X^s(T, \mathcal{L}))$.

Let $\Phi \neq A_\ell$, then in [PV] lemma 4.12 it is proved for $X = G/B$ that $\text{codim}(X - X^{\text{ss}}(T, \mathcal{L})) \geq 2$. If $P \neq B$, then we have a G -equivariant map $\phi : G/B \rightarrow G/P$ and for any ample line bundle \mathcal{L} on G/P one can find an ample line bundle \mathcal{L}^b on G/B such that $(G/B)^{\text{ss}}(T, \mathcal{L}^b) = \phi^{-1}((G/P)^{\text{ss}}(T, \mathcal{L}))$. Hence we have always $\text{codim}(X - X^{\text{ss}}(S, \mathcal{L})) \geq 2$ in this case.

If $\Phi = A_\ell$ and $X = G/P$ with P a maximal parabolic subgroup, then in [PV] lemma 4.5 it is shown that $\text{codim}(X - X^s(S, \mathcal{L})) \geq 2$ for $X \neq P^\ell, Gr(2, 4)$. Since we assume $X^s(S, \mathcal{L}) = X^{\text{ss}}(S, \mathcal{L})$ the case $X = Gr(2, 4)$ does not occur. If $X = P^\ell$ then $G = SL_{s+1}(D)$ with $d(s+1) = l+1$. Then one easily that $\text{codim}(X - X^s(S, \mathcal{L})) = d > 1$. For general X one has $\text{codim}(X - X^s(T, \mathcal{L})) = 1$ if and only if for a map ϕ as above one has $X^s(T, \mathcal{L}) = \phi^{-1}(\text{set of stable points in } P^\ell \text{ or } Gr(2, 4))$. Now one easily concludes that $\text{codim}(X - X^s(S, \mathcal{L})) > 1$ for all X .

2.19

Assume $X^s(S, \mathcal{L}) = X^{\text{ss}}(S, \mathcal{L})$. Since G and X^\dagger can in fact be defined over K^0 , we are allowed to use the construction of paragraph 1 of this article. Applying theorem 1.9 to $Y^\dagger := \bigcap_{g \in G(K)} (X^\dagger)^g(S, \mathcal{L})$ one sees that every meromorphic function on Y^\dagger/Γ is constant on each connected component. Since the connected components of Y^\dagger/Γ are all isomorphic to Y/Γ , where $Y := \bigcap_{g \in G(K)} X^s(S, \mathcal{L})$ one has:

2.20 Theorem

Let G be a non split group and let $X^s(S, \mathcal{L}) = X^{\text{ss}}(S, \mathcal{L})$. Let $\Gamma \subset G(K)$ be a discrete co-compact subgroup. Then any meromorphic function on Y/Γ is constant.

3 Weights

3.1

In this section we perform the calculations with weights that are needed to prove the last two propositions of the previous paragraph.

As before ℓ will denote the absolute rank of G . The set I will always denote a non-empty subset of the set $\{1, \dots, \ell\}$. And λ_I will denote a weight of the form $\lambda_I = \sum_{i \in I} n_i \cdot \omega_i$, where the ω_i are the fundamental weights of the root system Φ .

First we will determine for each group G the sets I such that there do or do not exist weights λ_I with $r(w(\lambda_I))$ contained in a hyperplane spanned by roots $\alpha \in \Phi_K$ for some $w \in W$. In proposition 3.18 we will determine the sets I such that for some weight λ_I stable under the action of H^* no $r(w(\lambda_I))$ is contained in a hyperplane as above.

3.2 Proposition

Let $\Phi \neq A_\ell$ and let G be different from a non split form of C_ℓ . Then for every weight λ_I , $I \neq \{1, \dots, \ell\}$, there exists an element $w \in W$ such that $w(\lambda_I)$ is contained in a hyperplane V spanned by roots $\alpha \in \Phi$ and such that $r(V)$ is a hyperplane (spanned by roots $\alpha \in \Phi_K$).

3.3 Proof

Let β_1 be the highest root of Φ . If Φ contains roots of different length we call the highest short root β_2 . Since $\Phi \neq A_\ell$, there exists an unique simple root $\gamma_i \in \Delta$ such that $(\beta_i, \gamma_i) \neq 0$. So the hyperplane $\beta_i^\perp \subset \mathcal{X}(T) \otimes \mathfrak{R}$ is spanned by the roots $\alpha \in \Delta$, $\alpha \neq \gamma_i$.

Since the β_i are uniquely determined by the simple basis Δ , they are stable under the twisted action of the Galois group H . The same is true of the simple roots γ_i , since $\Phi \neq A_\ell$.

If $r(\gamma_i) \neq 0$ then $r(\beta_i^\perp)$ is the hyperplane in $\mathcal{X}(S) \otimes \mathfrak{R}$ spanned by the roots $\alpha \in \Delta_K$, $\alpha \neq r(\gamma_i)$.

We will show that there exists an element $w \in W$ such that $w(\lambda) \in \beta_1^\perp$ or $w(\lambda) \in \beta_2^\perp$. Since $I \subset \{1, \dots, \ell\}$, $I \neq \{1, \dots, \ell\}$, There exists a root $\alpha \in \Phi$, such that $(\lambda_I, \alpha) = 0$. Since the Weyl group acts transitively on the

long (short) roots in Φ , we can find an element $w \in W$ such that $w(\lambda_I)$ is contained in β_1^\perp or β_2^\perp .

3.4 Proposition

For every group G there exist weights λ_I , $I = \{1, \dots, \ell\}$ such that no $r(w(\lambda_I))$, $w \in W$ is contained in a hyperplane spanned by roots $\alpha \in \Phi_K$.

3.5 Proof

Let \mathcal{A} denote the union of hyperplanes in $\mathcal{X}(S) \otimes \mathfrak{R}$ that are spanned by roots. Then $\mathcal{B} := \cup_{w \in W} w(r^{-1}(\mathcal{A}))$ is the union of a finite number of hyperplanes in $\mathcal{X}(T) \otimes \mathfrak{R}$. Since the fundamental weights ω_i span $\mathcal{X}(T) \otimes \mathfrak{R}$, we can find a weight λ_I avoiding all these hyperplanes.

3.6

Next we treat the non split groups with absolute root system Φ of type C_ℓ . They are inner forms of C_ℓ .

We will use the following description of the root system C_ℓ . Let e_i , $i = 1, \dots, \ell$ be an orthonormal basis of \mathfrak{R}^ℓ . Then the root system C_ℓ consists of the vectors $\pm e_i \pm e_j$, $i \neq j$ and $\pm 2 \cdot e_i$, $i, j = 1, \dots, \ell$. As a simple basis we take $\Delta = \{\alpha_i | i = 1, \dots, \ell\}$, where $\alpha_i = e_i - e_{i+1}$, $i = 1, \dots, \ell - 1$ and $\alpha_\ell = 2 \cdot e_\ell$.

Let J denote the set $J = \{1, \dots, \ell - 1\}$.

3.7 Proposition

Let G be a non split group with absolute root system C_ℓ .

a) For every weight I , $I \not\supseteq J$ there exists an element $w \in W$ such that $w(\lambda_I)$ is contained in a hyperplane V spanned by roots $\alpha \in \Phi$ and such that $r(V)$ is a hyperplane (spanned by roots $\alpha \in \Phi_K$).

b) There exist weights λ_I , $I \supseteq J$ such that no $r(w(\lambda_I))$, $w \in W$ is contained in a hyperplane spanned by roots $\alpha \in \Phi_K$.

3.8 Proof

a) The proof the same as that of proposition 3.2. Now only $r(\beta_2) \neq 0$.

b) Since the fundamental weights $\omega_i, i \in J$ span $\alpha_\ell^\perp = e_\ell^\perp$, it is sufficient to prove that $\alpha_\ell^\perp \not\subseteq \mathcal{B}$, where \mathcal{B} is as in 3.5. So we only have to prove that $r(w(e_\ell^\perp))$ is not contained in a hyperplane spanned by roots $\alpha \in \Phi_K$.

Now $w(e_\ell^\perp) = e_i^\perp$ for some $i = 1, \dots, \ell$. One verifies that we have:

$$e_1^\perp = \langle \alpha_2, \alpha_3, \dots, \alpha_\ell \rangle$$

$$e_i^\perp = \langle \alpha_1, \dots, \alpha_{i-2}, \alpha_{i-1} + \alpha_i, \alpha_{i+1}, \dots, \alpha_\ell \rangle, i = 2, \dots, \ell - 1.$$

$$e_\ell^\perp = \langle \alpha_1, \dots, \alpha_{\ell-2}, 2 \cdot \alpha_{\ell-1} + \alpha_\ell \rangle$$

Inspecting the indices one finds that $r(e_i^\perp)$ spans Φ_K . This proves (b).

3.9

Next we treat the outer forms with absolute root system $\Phi = A_\ell$. Then G is a special unitary group.

3.10 Proposition

Let G be an outer form with absolute root system A_ℓ . Then for every weight $\lambda_I, I \neq \{1, \dots, \ell\}$, there exists an element $w \in W$ such that $r(w(\lambda_I))$ is contained in a hyperplane spanned by roots $\alpha \in \Phi_K$.

3.11 Proof

Let β be the highest root of Φ . Then we have :

$$\beta^\perp = \langle \alpha_1 - \alpha_\ell, \alpha_i | i = 1, \dots, \ell - 1 \rangle$$

Since $r(\alpha_i) = r(\alpha_\ell) \neq 0$, we have:

$$r(\beta^\perp) = \langle r(\alpha_i) | i = 1, \dots, \ell - 1 \rangle$$

Therefore there exists an element $w \in W$ such that $r(w(\lambda_I))$ is contained in the hyperplane $r(\beta^\perp)$, which is spanned by roots $\alpha \in \Phi_K$.

3.12 Remark

In the proposition above $w(\lambda_I) \in V = \beta^\perp$, but β^\perp is not spanned by roots $\alpha \in \Phi$. Let ϕ^b be $V \cap \Phi$ and let W^b be the Weyl group of Φ^b . In general 0 is not contained in the polyhedron μ , which is the convex hull of $\{w^b(w(\lambda_I)) | w^b \in$

W^b }. But 0 is contained in $r(\mu)$, since $r(\alpha_1 - \alpha_\ell) = 0$. Furthermore $r(\mu)$ is contained in the hyperplane $r(V)$.

3.13

The only groups left to study are the inner forms of type A_ℓ . They are the groups $A_{\ell,s}$ with $s+1$ dividing $\ell+1$, i.e. the groups $G = SL_{s+1}(D)$ with D a skew field of dimension d^2 , $d = \frac{\ell+1}{s+1}$ over K . Since $SL(D)$ is compact, we will assume that $s > 0$. If $d=1$ then $D=K$ and G is split.

We will use the following description of the root system A_ℓ . Let e_i , $i = 1, \dots, \ell+1$ be an orthonormal basis of $\mathfrak{R}^{\ell+1}$. The root system A_ℓ consists of the vectors $\pm(e_i - e_j)$, $i \neq j$. The simple basis Δ of Φ we will use consists of the roots $\alpha_i = e_i - e_{i+1}$, $i = 1, \dots, \ell$. Furthermore $\mathcal{X}(T) \otimes \mathfrak{R} \subset \mathfrak{R}^{\ell+1}$ is given by $\sum_{i=1}^{\ell+1} x_i = 0$.

The index of G has s distinguished vertices. They are the vertices corresponding to the roots $\alpha_{i,d}$, $i = 1, \dots, s$. The relative root system Φ_K of G is of type A_s . We take an orthonormal basis f_i , $i = 1, \dots, s+1$ of \mathfrak{R}^{s+1} and give the root system A_s as above with e_j replaced by f_j . Now $\mathcal{X}(S) \otimes \mathfrak{R}$ is given by $\sum_{j=1}^{s+1} y_j = 0$.

The restriction map r can be given as follows:

$$e_i \longrightarrow f_j, \quad i = j \cdot (d-1) + 1, \dots, j \cdot d, \quad j = 1, \dots, s+1.$$

The fundamental weights ω_i of Φ with respect to Δ are:

$$\omega_i = e_1 + e_2 + \dots + e_i - \frac{i}{\ell+1}(e_1 + \dots + e_{\ell+1})$$

3.14 Proposition

Let G be an inner form of type A_ℓ . If $g. c. d.(i \in I, s+1) = 1$ then there exists a weight λ_I such that for no $w \in W$ one has that $r(w(\lambda))$ is contained in a hyperplane in $\mathcal{X}(S) \otimes \mathfrak{R}$ spanned by roots $\alpha \in \Phi_K$.

3.15 Proof

If $g. c. d.(i \in I, s+1) = 1$, then we can choose $n_i > 0$ such that $g. c. d.(\sum n_i \cdot i, s+1) = 1$. Let us fix such n_i and let $\lambda_I := \sum_{i \in I} n_i \cdot \omega_i$. For any element $w \in W$ we have $w(\lambda_I) = \sum a_j \cdot e_j - \frac{\sum n_i \cdot i}{\ell+1} \cdot (e_1 + \dots + e_{\ell+1})$, where the a_j are certain integers.

$$\begin{aligned}
\text{Now } r(w(\lambda_I)) &= \sum b_j \cdot f_j - \frac{d \cdot \sum n_i \cdot i}{\ell+1} \cdot (f_1 + \cdots + f_{s+1}) \\
&= \sum b_j \cdot f_j - \frac{\sum n_i \cdot i}{s+1} \cdot (f_1 + \cdots + f_{s+1}) \\
&= \frac{1}{s+1} \cdot \sum_{j=1}^{s+1} (b_j \cdot (s+1) - \sum n_i \cdot i) \cdot f_j
\end{aligned}$$

Here the b_j are certain integers. Now $r(w(\lambda_I))$ is contained in a hyperplane in $\mathcal{X}(S) \otimes \mathfrak{R}$ spanned by roots in Φ_K if and only if there exists a subset $J \subset \{1, \dots, s+1\}$, $J \neq \emptyset$, $J \neq \{1, \dots, s+1\}$, such that :

$$\begin{aligned}
\sum_{j \in J} (b_j \cdot (s+1) - \sum n_i \cdot i) &= 0 \\
\Leftrightarrow \sum_{j \in J} b_j \cdot (s+1) &= (\#J) \cdot \sum n_i \cdot i
\end{aligned}$$

Now *g. c. d.* $(\sum n_i \cdot i, s+1) = 1$ implies that $\#J = s+1$ or $\#J = 0$. This cannot be.

Therefore no $r(w(\lambda_I))$ is contained in a hyperplane spanned by roots.

3.16 Proposition

Let G be an inner form of type A_ℓ . If *g.c.d.* $(i \in I, s+1) > 1$ then for every weight λ_I there exists an element $w \in W$ such that $w(\lambda_I)$ is contained in a hyperplane $V \subset \mathcal{X}(T) \otimes \mathfrak{R}$ spanned by roots $\alpha \in \Phi$ and $r(V) \subset \mathcal{X}(S) \otimes \mathfrak{R}$ is a hyperplane spanned by roots $\alpha \in \Phi_K$.

3.17 Proof

Let us first look at $\omega_i = e_1 + e_2 + \cdots + e_i - \frac{i}{\ell+1}(e_1 + \cdots + e_{\ell+1})$. Suppose $n > 1$ divides *g.c.d.* $(i, s+1)$. Then ω_i is contained in the following hyperplanes in $\mathcal{X}(T) \otimes \mathfrak{R}$ spanned by roots $\alpha \in \Phi$:

$$\begin{aligned}
\sum_{j \in J} x_j + \sum_{f \in F} x_f = 0, \text{ where } J \subset \{1, \dots, i\}, \#J = \frac{i}{n} \text{ and} \\
F \subset \{i+1, \dots, \ell+1\}, \#F = \frac{\ell+1-i}{n}.
\end{aligned}$$

Let $I = \{i_1, \dots, i_g\}$ with $i_1 < i_2 < \cdots < i_g$. Suppose *g.c.d.* $(i \in I) = n > 1$. We can construct a hyperplane V^b spanned by roots $\alpha \in \Phi$ containing all the weights ω_i , $i \in I$ and therefore containing λ_I . The hyperplane V^b is defined by $\sum_{j \in J} x_j = 0$. Here J is given by:

$$J = \{1, \dots, \frac{i_1}{n}\} \cup \bigcup_{j=1}^{g-1} \{i_j + 1, \dots, i_j + \frac{i_{j+1} - i_j}{n}\} \cup \{i_g + 1, \dots, i_g + \frac{\ell+1 - i_g}{n}\}$$

It is clear that d divides $\#J = \frac{\ell+1}{n}$. One can find an element $w \in W$ such that $w(\lambda_I)$ is contained in the hyperplane V given by $\sum_{j=1}^m x_j = 0$, where $m = \#J$. Then $r(V)$ is given by $\sum_{j=1}^{m/d} y_j = 0$, which is spanned by roots $\alpha \in \Phi_K$. This proves the proposition.

3.18 Proposition

Let $\Lambda^{H^*} \subset \mathcal{X}(T) \otimes \mathfrak{R}$ denote the set of weights stable under the action of H^* . Then there exists an element $w \in W$ such that $r(w(\Lambda^{H^*}))$ is contained in a hyperplane spanned by roots $\alpha \in \Phi_K$ if and only if G is an outer form of type A_ℓ or a non split quasi-split form with $\sharp H^* = 2$ (Then $\Phi = A_\ell, D_\ell$ or E_6).

3.19 Proof

We first remark that $\Lambda^{H^*} \otimes \mathfrak{R}$ is always spanned by roots. Therefore $r(w(\Lambda^{H^*} \otimes \mathfrak{R}))$ is always spanned by roots $\alpha \in \Phi_K$.

If G is an inner form then $\sharp H^* = 1$ and therefore $\Lambda^{H^*} = \Lambda$ and $r(w(\Lambda^{H^*}))$ is never contained in a hyperplane.

Let us now assume that G is quasi-split with $\sharp H^* = 2$ and that $\Phi \neq A_2$. Since G is quasi-split we have $\text{rank}(\Lambda^{H^*}) = \text{rank}(\Phi_K)$. We can find $\alpha, \beta \in \Delta$, $\alpha \neq \beta$, such that $\{\alpha, \beta\}$ is a H^* -orbit and $(\alpha, \beta) = 0$. Let $w = r_\alpha$ be the reflection in the hyperplane orthogonal to α . Then $\alpha + \beta \in \Lambda^{H^*}$ and $w(\alpha + \beta) = -\alpha + \beta$. Now $r(\alpha + \beta) \neq 0$, but $r(-\alpha + \beta) = 0$. One easily concludes that $r(w(\Lambda^{H^*}))$ is contained in a hyperplane spanned by roots $\alpha \in \Phi_K$.

A similar argument as above also works for the non quasi-split outer form with $\Phi = A_\ell$.

Now we treat the non quasi-split outer form with $\Phi = D_\ell$ and with $\sharp H^* = 2$. Let Φ be $\Phi = \{\pm e_i \pm e_j \mid i, j = 1 \dots \ell, i \neq j\}$, where the e_i , $i = 1, \dots, \ell$ form an orthonormal basis of \mathfrak{R} . Let us assume that the simple basis Δ consists of the roots $\alpha_i = e_i - e_{i+1}$, $i = 1, \dots, \ell - 1$ and $\alpha_\ell = e_{\ell-1} + e_\ell$. It is easy to see that Λ^{H^*} spans the hyperplane orthogonal to $\alpha_{\ell-1} - \alpha_\ell = -2e_\ell$. Therefore one has for any $w \in W$ that $w(\Lambda^{H^*} \otimes \mathfrak{R}) = e_i^\perp$ for some $i = 1, \dots, \ell$. Inspecting the indices one sees that $r(e_i^\perp)$ is never a hyperplane. So $r(w(\Lambda^{H^*}))$ is never contained in a hyperplane in this case.

We leave the remaining cases up to the reader. They are outer forms with $\Phi = A_2$ and $\Phi = D_4$.

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