

# General existence of minimal surfaces with prescribed flux

**Shin Kato, Masaaki Umehara and  
Kotaro Yamada**

Shin Kato, Masaaki Umehara  
Department of Mathematics  
Faculty of Science  
Osaka University  
Toyonaka 560  
JAPAN

Max-Planck-Institut  
für Mathematik  
Gottfried-Claren-Str. 26  
53225 Bonn  
GERMANY

Kotaro Yamada  
Department of Mathematics  
Faculty of General Education  
Kumamoto University  
Kumamoto-860  
JAPAN

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Osaka Univ.

Osaka Univ.

Kumamoto Univ.

## Introduction

Let  $x : \mathbf{C} \cup \{\infty\} \setminus \{q_1, \dots, q_n\} \rightarrow \mathbf{R}^3$  be a complete conformal minimal immersion. For each end  $q_j$  ( $j = 1, \dots, n$ ) of  $x$ , the *flux vector* is defined by

$$\varphi_j := \int_{\gamma_j} \vec{n} ds,$$

where  $\gamma_j$  is a positively oriented curve surrounding  $q_j$ , and  $\vec{n}$  the conormal such that  $(\gamma', \vec{n})$  is positively oriented. It is well known that the flux vectors satisfy a “balancing” condition so called the *flux formula*

$$\sum_{j=1}^n \varphi_j = 0.$$

The minimal immersion  $x$  is called an *n-end catenoid* if each end  $q_j$  is of catenoid type. The catenoid and the Jorge-Meeks surfaces [JM] are typical ones. Recently, new examples of *n-end catenoids* have been found by [Kar], [L], [Xu], [Ross1], [Ross2], [Kat] and [UY]. For any *n-end catenoid*  $x$ , each flux vector  $\varphi_j$  is proportional to the limit normal vector  $\nu(q_j)$  with respect to the end  $q_j$ , and the scalar  $w(q_j) := \varphi_j / 4\pi\nu(q_j)$  is called the *weight* of the end  $q_j$ . In this case, the flux formula can be rewritten as follows.

$$\sum_{j=1}^n 4\pi w(q_j) \nu(q_j) = 0.$$

It should be remarked that  $w(q_j)$  may take a negative value.

We consider the inverse problem of the flux formula proposed in [Kat] and [KUY] as follows:

**Problem.** For given unit vectors  $v := \{v_1, \dots, v_n\}$  in  $\mathbf{R}^3$ , and nonzero real numbers  $a := \{a^1, \dots, a^n\}$  satisfying  $\sum_{j=1}^n a^j v_j = 0$  (we call such a pair  $(v, a)$  *flux data*), is there a (non-branched) *n-end catenoid*  $x : \mathbf{C} \cup \{\infty\} \setminus \{q_1, \dots, q_n\} \rightarrow \mathbf{R}^3$  such that  $\nu(q_j) = v_j$  and  $a_j$  is the weight at the end  $q_j$ ?

We remark that Kusner also proposed a similar question (see [Ross1]). Rosenberg and Toubiana [RT] found solutions with branch points in the category that the Gauss map is of degree 1. But if one wishes non-branched solutions, the degree of their Gauss map must be  $n - 1$ , which is the case just treated in this paper.

The problem is not exactly affirmative. By the classification of Lopez [L], we can see that the answer for  $n \leq 3$  is “Yes” except for the case when two of  $\{v_j\}_{j=1}^n$  coincide. Moreover, for  $n \geq 4$ , some obstructions exist as closed conditions in the space of flux data as shown in our previous paper [KUY]. In spite of these obstructions, the authors also showed in [KUY] that the inverse problem is true for almost all flux data  $(v, a)$  when  $n = 4$ . In this paper, we treat the case  $n \geq 5$  and show the following theorem:

**Theorem.** *For each integer  $n \geq 3$ , the problem is solved for almost all flux data.*

In Section 1, we reduce the inverse problem to seeking a sampling point satisfying certain non-degeneracy conditions. Two lemmas in Appendix A are applied to complete the reduction. In Section 2, we shall give a proof of Theorem. However, required technical calculations are done in Section 3 and Appendix B.

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## 1. Reduction

As shown in the previous paper, the inverse problem of the flux formula reduces to finding solutions of a system of algebraic equations:

**Theorem 1.1.** ([KUY]) *Let  $(v, a)$  be a pair of unit vectors  $v = \{v_1, \dots, v_n\}$  ( $n \geq 4$ ) in  $\mathbf{R}^3$  and nonzero real numbers  $a = \{a^1, \dots, a^n\}$  satisfying the balancing condition:*

$$(1.1) \quad \sum_{j=1}^n a^j v_j = 0.$$

*Then there is an evenly branched  $n$ -end catenoid  $x : \mathbf{C} \cup \{\infty\} \setminus \{q_1, \dots, q_n\} \rightarrow \mathbf{R}^3$  ( $q_j \neq \infty$ ) such that the induced metric is complete at the end  $q_j$ ,  $\nu(q_j) = v_j$  and  $a^j$  is the weight at the end  $q_j$  ( $j = 1, \dots, n$ ), if and only if there exist complex numbers  $b^1, \dots, b^n$  satisfying the following conditions:*

$$(1.2) \quad \begin{cases} b^j \sum_{\substack{k=1 \\ k \neq j}}^n b^k \frac{p_j - p_k}{q_j - q_k} = a^j \\ b^j \sum_{\substack{k=1 \\ k \neq j}}^n b^k \frac{\overline{p_j} p_k + 1}{q_j - q_k} = 0 \end{cases} \quad (j = 1, \dots, n),$$

where  $p_j := \sigma(v_j)$ ,  $\sigma : S^2 \rightarrow \mathbb{C} \cup \{\infty\}$  is the stereographic projection, and we assume  $p_j \neq \infty$ .

Moreover, the surface  $x$  has no branch points if and only if the two the polynomials

$$(1.4) \quad Q(z) := \sum_{j=1}^n b^j \prod_{\substack{k=1 \\ k \neq j}}^n (z - q_k),$$

$$(1.5) \quad P(z) := \sum_{j=1}^n p_j b^j \prod_{\substack{k=1 \\ k \neq j}}^n (z - q_k)$$

are mutually prime and one of them has degree  $n - 1$ .

**Remark 1.2.** When  $p_j = r q_j$ , the theorem reduces to the results in the first author [Kat]. In this case the system (1.2) and (1.3) reduces to

$$\begin{cases} r b^j \sum_{\substack{k=1 \\ k \neq j}}^n b^k = a^j \\ b^j \sum_{\substack{k=1 \\ k \neq j}}^n b^k \frac{|r|^2 \overline{q_j} q_k + 1}{q_j - q_k} = 0 \end{cases} \quad (j = 1, \dots, n).$$

As seen in [Kat], the surface has no branch point if and only if  $\beta := \sum_{j=1}^n b^j \neq 0$ . By using the relation  $P(z)/Q(z) = rz - r\beta / (\sum_{j=1}^n b^j / (z - q_j))$ , it is also checked directly from the last condition of the theorem.

**Remark 1.3.** The position of the ends  $\{q_1, \dots, q_n\}$  in the source domain  $\mathbb{C} \cup \{\infty\}$  has the freedom of Möbius transformations. For example, the following normalization is possible:

$$q_1 = 1, \quad q_{n-1} + q_{n-2} = 0, \quad q_n = 0.$$

**Remark 1.4.** The system of the equations (1.2) and (1.3) has another expression

$$(1.6) \quad \begin{cases} b^j \sum_{\substack{k=1 \\ k \neq j}}^n b^k \frac{1}{q_j - q_k} = a^j \frac{\overline{p_j}}{|p_j|^2 + 1}, \\ b^j \sum_{\substack{k=1 \\ k \neq j}}^n b^k \frac{p_j + p_k}{q_j - q_k} = a^j \frac{|p_j|^2 - 1}{|p_j|^2 + 1}. \end{cases}$$

Moreover we may replace (1.6) by

$$(1.8) \quad p_j b^j \sum_{\substack{k=1 \\ k \neq j}}^n b^k \frac{p_k}{q_j - q_k} = -\alpha^j \frac{p_j}{|p_j|^2 + 1}.$$

In fact, if we set

$$\gamma_j := b^j \sum_{\substack{k=1 \\ k \neq j}}^n b^k \frac{1}{q_j - q_k}, \quad \delta_j := b^j \sum_{\substack{k=1 \\ k \neq j}}^n b^k \frac{p_k}{q_j - q_k} \quad (j = 1, \dots, n),$$

then (1.2) and (1.3) are written as

$$p_j \gamma_j - \delta_j = \alpha^j, \quad \gamma_j + \bar{p}_j \delta_j = 0.$$

It is equivalent to the relations

$$\gamma_j = \alpha^j \frac{\bar{p}_j}{|p_j|^2 + 1}, \quad p_j \gamma_j + \delta_j = \alpha^j \frac{|p_j|^2 - 1}{|p_j|^2 + 1},$$

that is (1.6) and (1.7). On the other hand,

$$p_j \gamma_j = \alpha^j \frac{|p_j|^2}{|p_j|^2 + 1} = \alpha^j \frac{|p_j|^2 - 1}{|p_j|^2 + 1} + \frac{\alpha^j}{|p_j|^2 + 1} = p_j \gamma_j + \delta_j + \frac{\alpha^j}{|p_j|^2 + 1},$$

which yields (1.8).

Theorem 1.1 produces many  $n$ -end catenoids as seen in [Kat] and [KUY]. First, we fix our attention to the equation (1.3). We consider a matrix

$$(1.9) \quad A_p := \left( \frac{\bar{p}_j p_k + 1}{q_j - q_k} \right)_{j,k=1,\dots,n},$$

where the diagonal components are interpreted as 0. Then the vector  ${}^t(b^1, \dots, b^n)$  belongs to the kernel of the matrix  $A_p$ . As shown in the later sections, it is reasonable to expect that the rank of the matrix  $A_p$  is generically  $n - 1$ . In this case,  ${}^t(b^1, \dots, b^n)$  should be proportional to any column vector of the cofactor matrix  $\tilde{A}_p$  of  $A_p$ . (By the definition,  $A_p \tilde{A}_p = \tilde{A}_p A_p = (\det A_p) I$  holds.) So we set

$$b_p(q) = {}^t(b_p^1(q), \dots, b_p^n(q)) := \text{the } n\text{-th column of the cofactor matrix } \tilde{A}_p(q).$$

Now we projectify the problem: For fixed  $p := (p_1, \dots, p_n) \in \mathbf{C}$ , define a rational map between two complex projective spaces

$$\mathcal{F}_p = [f_p^1, \dots, f_p^n] : \mathbf{P}^{n-1} \rightarrow \mathbf{P}^{n-1}$$

by

$$(1.10) \quad f_p^j(q_1, \dots, q_n) := b_p^j(q) \sum_{k \neq j} b_p^k(q) \frac{p_j - p_k}{q_j - q_k} \quad (j = 1, \dots, n).$$

We set

$$f\ell_p^j(q) := \Delta(q)^5 \cdot f_p^j(q),$$

where  $\Delta(q)$  is the difference product defined by

$$(1.11) \quad \Delta(q_1, \dots, q_n) := \prod_{j>k} (q_j - q_k).$$

It is easily seen that each  $f\ell_p^j$  is a homogeneous polynomial in  $q_1, \dots, q_n$  and  $\mathcal{F}\ell_p$  has another expression

$$\mathcal{F}\ell_p = [f\ell_p^1, \dots, f\ell_p^n].$$

This projective formulation is reasonable in the following two senses:

- Any homothety of  $n$ -end catenoids changes their weights  $(a^1, \dots, a^n)$  only by a constant multiplication. It allows us to projectify the image of  $\mathcal{F}\ell_p$ .
- Changing coordinates of  $n$ -end catenoids by homothetic transformations corresponds to complex multiplications of  $(q_1, \dots, q_n)$ . (See Remark 1.3.) It allows us to projectify the domain of  $\mathcal{F}\ell_p$ .

Since  $p_j$  is the stereographic image of  $v_j$ , the balancing condition (1.1) is rewritten as

$$\sum_{j=1}^n \frac{|p_j|^2 - 1}{|p_j|^2 + 1} a^j = 0, \quad \sum_{j=1}^n \frac{\bar{p}_j}{|p_j|^2 + 1} a^j = 0.$$

We define a subspace  $\mathcal{W}_p^{n-4}$  in  $\mathbf{P}^{n-1}$  by

$$\mathcal{W}_p^{n-4} := \left\{ [a^1, \dots, a^n] \in \mathbf{P}^{n-1} ; \sum_{j=1}^n \frac{|p_j|^2 - 1}{|p_j|^2 + 1} a^j = 0, \sum_{j=1}^n \frac{\bar{p}_j}{|p_j|^2 + 1} a^j = 0, \sum_{j=1}^n \frac{p_j}{|p_j|^2 + 1} a^j = 0 \right\}.$$

We will show that for open dense  $p \in \mathbf{C}^n$ , the image of the map  $\mathcal{F}\ell_p$  is open dense in  $\mathcal{W}_p^{n-4}$ , and next show that it covers open dense subset of the totally real set  $\mathcal{W}_{\mathbf{R}} = \{[a] \in \mathcal{W}_p^{n-4} ; a_j \in \mathbf{R}\}$ . Then the image of the map  $\mathcal{F}\ell_p$  contains  $[a] \in \mathcal{W}_{\mathbf{R}}$  for almost all flux data  $(p, a)$ , and Theorem in Introduction is obtained. If  $\mathcal{F}\ell_p$  is a holomorphic map and there is a point at which the rank of  $d\mathcal{F}\ell_p$  is  $n - 4$ , the surjectivity of the map follows by the proper mapping theorem. (See [GR].) But unfortunately, the map  $\mathcal{F}\ell_p$  is singular on the set  $\bigcap_{j=1}^n Z(f\ell_p^j)$ , where  $Z(f\ell_p^j)$  is the set of zeros of  $f\ell_p^j$ . As shown below, we will overcome this difficulty by a usual blowing up process.

From here, assume  $\dim\langle v_1, \dots, v_n \rangle = 3$ , where  $v_j := \sigma^{-1}(p_j)$  and  $\sigma$  is the stereographic projection. Then clearly  $\dim \mathcal{W}_p^{n-4} = n - 4$ . We remark here that  $\dim \mathcal{W}_p^{n-4} = n - 4$  holds for open dense  $p \in \mathbf{C}^n$ . Now we have the following lemma:

**Lemma 1.5.** *For each  $p \in \mathbb{C}^n$ , the following relation holds:*

$$\mathcal{FL}_p \left( Z(\lambda_p) \setminus \bigcap_{j=1}^n Z(f\ell_p^j) \right) \subset \mathcal{W}_p^{n-4},$$

where  $\lambda_p$  is the determinant of the matrix  $\Delta \cdot A_p$  and  $Z(\lambda_p)$  is the set of zeros of the homogeneous polynomial  $\lambda_p$ .

(Proof.) Let  $q \in Z(\lambda_p) \setminus \bigcap_{j=1}^n Z(f\ell_p^j)$ . If  $\Delta(q) = 0$ , then it is easy to see that  $q \in \bigcap_{j=1}^n Z(f\ell_p^j)$ . Hence  $\Delta(q) \neq 0$ , and we get (1.2) with  $b^j = b^j(q)$  ( $j = 1, \dots, n$ ). Recall Remark 1.4. Then the assertion of the lemma immediately follows by summing up (1.7), (1.6) and (1.8) for  $j = 1, \dots, n$ . (q.e.d.)

We define an  $(n-1)$ -matrix  $J_p$  by

$$(1.12) \quad J_p := \left( (f_p^n)^2 \left\{ \frac{\partial \det A_p}{\partial q_n} \cdot \frac{\partial \overset{\circ}{f}_p^k}{\partial q_j} - \frac{\partial \det A_p}{\partial q_j} \cdot \frac{\partial \overset{\circ}{f}_p^k}{\partial q_n} \right\} \right)_{j,k=1,\dots,n-1},$$

where

$$\overset{\circ}{f}_p^j := \frac{f_p^j}{f_p^n} \quad (j = 1, \dots, n-1).$$

The matrix  $J_p$  has a direct expression

$$J_p = \left( \frac{\partial \det A_p}{\partial q_n} \cdot \left\{ \frac{\partial f_p^k}{\partial q_j} \cdot f_p^n - f_p^k \cdot \frac{\partial f_p^n}{\partial q_j} \right\} - \frac{\partial \det A_p}{\partial q_j} \cdot \left\{ \frac{\partial f_p^k}{\partial q_n} \cdot f_p^n - f_p^k \cdot \frac{\partial f_p^n}{\partial q_n} \right\} \right)_{j,k=1,\dots,n-1}.$$

The following proposition plays an important role to establish Theorem in Introduction.

**Proposition 1.6.** *Suppose that there exist  $u_0 \in \mathbb{C}^n$  and a point  $c = [c_1, \dots, c_n] \in \mathbb{P}^n$  satisfying the following conditions:*

- (1)  $c_1, \dots, c_n$  are all distinct;
- (2) The rank of the matrix  $A_{u_0}(c)$  is  $n-1$ ;
- (3)  $\frac{\partial \det A_{u_0}}{\partial q_n}$  does not vanish at  $q = c$ ;
- (4) The rank of the matrix  $J_{u_0}(c)$  is  $n-4$ ;
- (5) Two polynomials  $P(z)$  and  $Q(z)$  defined in (1.5) and (1.4) associated with the data  $(q, p) = (c, u_0)$  and  $b = b_{u_0}(c)$  are mutually prime and one of them has degree  $n-1$ ;



$$(6) f_{u_0}^j(c) \neq 0 \quad (j = 1, \dots, n);$$

$$(7) c_j \neq 0 \quad (j = 1, \dots, n-1).$$

Then there exists an open dense subset  $U \subset \mathbf{C}^n$  and an open dense subset  $\Omega_p$  of the totally real set  $\mathcal{W}_{\mathbf{R}} = \{[a] \in \mathcal{W}_p^{n-4}; a_j \in \mathbf{R}\}$  such that, for any  $p \in U$  and  $[a] \in \Omega_p$ , there exists an (non-branched)  $n$ -end catenoid with the flux data  $(p, a)$ .

By the proposition, the inverse problem of the flux formula can be solved for almost all flux data if one succeeds to take such a point  $c$ . This will be done in the next section. The outline of the proof of the proposition is as follows.

By the condition (4), at least one  $(n-4)$ -submatrix  $S_{u_0}$  of  $J_{u_0}$  is of rank  $n-4$ . Let  $1 \leq j_1 < j_2 < \dots < j_{n-4} < n$  be the indices of the columns of the submatrix  $S_{u_0}$ , and  $\{m_1, m_2, m_3\}$  their complement, namely  $\{m_1, m_2, m_3\} = \{1, \dots, n-1\} \setminus \{j_1, \dots, j_{n-4}\}$ . By Remark 1.3, we may restrict the flux map into the following subspace of  $\mathbf{P}^{n-1}$  containing the sampling point  $c$ :

$$\mathcal{V}^{n-3} := \{[q_1, \dots, q_n] \in \mathbf{P}^{n-1}; c_{m_2}q_{m_1} - c_{m_1}q_{m_2} = 0, c_{m_3}q_{m_1} - c_{m_1}q_{m_3} = 0\}.$$

Now we define a homogeneous polynomial in  $q_1, \dots, q_n$  by

$$H_p(q) := \Delta(q)^2 \frac{\partial \det A_p}{\partial q_n}(q) \cdot \det(\Delta(q)^\ell S_p(q)) \cdot R_p(q) \cdot \prod_{j=1}^n f_p^j(q) \cdot \prod_{k=1}^{n-1} q_k,$$

where  $\ell$  is chosen sufficiently large so that  $\det(\Delta(q)^\ell S_p(q))$  is a homogeneous polynomial in  $q_1, \dots, q_n$ , and  $R_p$  is the resultant of the two polynomials  $P(z)$  and  $Q(z)$  of degree  $n-1$  defined by (1.5) and (1.4). (It can be easily shown that  $R_p$  is also a homogeneous polynomial with respect to  $q$ . Or one may replace  $R_q$  by the resultant of  $P(q_1z)$  and  $Q(q_1z)$ .) Then by the conditions (1)-(7),  $c \in \mathcal{V}^{n-3}$  satisfies  $H_{u_0}(c) \neq 0$ . We prove the following

**Lemma 1.7.** *The subset*

$$U := \{p \in \mathbf{C}^n; Z(\lambda_p) \cap \mathcal{V}^{n-3} \not\subset Z(H_p)\}$$

*is open dense in  $\mathbf{C}^n$ , where  $\lambda_p = \det(\Delta \cdot A_p)$  is the homogeneous polynomial defined in Lemma 1.5.*

(Proof.) Obviously  $U$  is an open subset of  $\mathbf{C}^n$ . Suppose that  $U$  is not dense in  $\mathbf{C}^n$ . Then there exists an open subset  $V$  such that

$$(1.13) \quad Z(\lambda_p|_{\mathcal{V}^{n-3}}) \subset Z(H_p|_{\mathcal{V}^{n-3}}) \quad (p \in V).$$

Since  $\mathcal{V}^{n-3} \cong \mathbf{P}^{n-3}$ , by Lemma A.1 in Appendix, (1.13) holds for any  $p \in \mathbf{C}^n$  such that  $\lambda_p \neq 0$ . But this contradicts the fact that  $\lambda_{u_0}(c) = 0$ ,  $\lambda_{u_0} \neq 0$  and  $H_{u_0}(c) \neq 0$ . (q.e.d.)

Roughly speaking, if  $\mathcal{F}_p$  has no singularities and is of maximal rank, then it is surjective and we can find a pair  $(q, b_p(q))$  satisfying (1.2) and (1.3). But unfortunately,  $\mathcal{F}_p$  has singularities on  $\bigcap_{j=1}^n Z(fl_p^j)$ . For this reason, we define a new variety  $\hat{\mathcal{V}}^{n-3}$  and a map  $\widehat{\mathcal{F}}_p: \hat{\mathcal{V}}^{n-3} \rightarrow \mathcal{W}_p^{n-4}$  instead of  $\mathcal{V}^{n-3}$  and  $\mathcal{F}_p$  as follows. First we consider an algebraic set

$$\mathcal{Y}^{n-3} = \left\{ ([q_1, \dots, q_n], [a^1, \dots, a^n]) \in \mathbf{P}^{n-1} \times \mathbf{P}^{n-1}; \right. \\ \left. \begin{aligned} c_{m_2} q_{m_1} - c_{m_1} q_{m_2} &= 0, \quad c_{m_3} q_{m_1} - c_{m_1} q_{m_3} = 0, \\ a^j fl_p^k &= a^k fl_p^j \quad (j, k = 1, \dots, n), \\ \sum_{j=1}^n \frac{|p_j|^2 - 1}{|p_j|^2 + 1} a^j &= 0, \quad \sum_{j=1}^n \frac{p_j}{|p_j|^2 + 1} a^j = 0, \quad \sum_{j=1}^n \frac{\bar{p}_j}{|p_j|^2 + 1} a^j = 0 \\ & \quad (j = 1, \dots, n) \end{aligned} \right\},$$

and define two canonical projections:

$$\begin{aligned} \pi: \mathcal{Y}^{n-3} \ni ([q], [a]) &\mapsto [q] \in \mathcal{V}^{n-3}, \\ \pi': \mathcal{Y}^{n-3} \ni ([q], [a]) &\mapsto [a] \in \mathcal{W}_p^{n-4}. \end{aligned}$$

These two projections are both well-defined on  $\mathcal{Y}^{n-3}$ . Let  $\hat{\mathcal{V}}^{n-3}$  be the algebraic closure of the set

$$(1.14) \quad \hat{\mathcal{V}}_{\text{reg}}^{n-3} := \pi^{-1} \left( \mathcal{V}^{n-3} \setminus \bigcap_{j=1}^n Z(fl_p^j) \right).$$

We denote the restriction of the first projection  $\pi$  to  $\hat{\mathcal{V}}^{n-3}$  also by  $\pi$ . We remark that  $\pi|_{\hat{\mathcal{V}}_{\text{reg}}^{n-3}}: \hat{\mathcal{V}}_{\text{reg}}^{n-3} \rightarrow \mathcal{V}^{n-3} \setminus \bigcap_{j=1}^n Z(fl_p^j)$  is bijective. On the other hand, we denote the restriction of the second projection  $\pi'$  to  $\hat{\mathcal{V}}^{n-3}$  by

$$\widehat{\mathcal{F}}_p: \hat{\mathcal{V}}^{n-3} \rightarrow \mathcal{W}_p^{n-4}.$$

The map  $\mathcal{F}_p \circ \pi$  is well-defined on  $\hat{\mathcal{V}}_{\text{reg}}^{n-3}$ , and coincides with the map  $\widehat{\mathcal{F}}_p$ .

**Lemma 1.8.** *For each  $p \in U$  satisfying  $\dim \mathcal{W}_p^{n-4} = n - 4$ , there exists an irreducible component  $\hat{X}^{n-4}$  of the algebraic set  $Z(\lambda_p \circ \pi) \cap \hat{\mathcal{V}}^{n-3}$  such that  $H_p \circ \pi$  is not identically zero on  $\hat{X}^{n-4}$ . In addition, the restriction of the lifted flux map  $\widehat{\mathcal{F}}_p|_{\hat{X}^{n-4}}: \hat{X}^{n-4} \rightarrow \mathcal{W}_p^{n-4}$  is surjective.*

(Proof.) Suppose that  $Z(\lambda_p \circ \pi) \cap \hat{\mathcal{V}}^{n-3} \subset Z(H_p \circ \pi)$ . Since  $H_p$  is identically zero on the singular set  $\bigcap_{j=1}^n Z(f_p^j)$ , it follows that

$$Z(\lambda_p) \cap \mathcal{V}^{n-3} \subset Z(H_p).$$

But this contradicts Lemma 1.7. Hence there exists an irreducible component  $\hat{X}^{n-4}$  of the algebraic set  $Z(\lambda_p \circ \pi) \cap \hat{\mathcal{V}}^{n-3}$  such that  $H_p \circ \pi$  is not identically zero on  $\hat{X}^{n-4}$ . We set

$$X^{n-4} := \pi(\hat{X}^{n-4}).$$

Now we take a point  $x_0 \in X^{n-4}$  such that  $H_p(x_0) \neq 0$ . Consequently, we have  $x_0 \notin \bigcap_{j=1}^n Z(f_p^j)$  and so  $\mathcal{F}_p(x_0) \in \mathcal{W}_p^{n-4}$  exists. We remark here that  $m_1$ -th component of  $x_0$  in the homogeneous coordinate is not equal to zero. Now we take a coordinate of  $\mathbf{P}^{n-1}$  around  $x_0$  defined by

$$\begin{aligned} \varphi : \mathbf{C}^{n-1} \ni x &= (x_1, \dots, x_{m_1-1}, x_{m_1+1}, \dots, x_n) \\ &\mapsto q = [x_1, \dots, x_{m_1-1}, 1, x_{m_1+1}, \dots, x_n] \in \mathbf{P}^{n-1}. \end{aligned}$$

Since we chose  $x_0$  so that  $H_p(x_0) \neq 0$ , it holds that the derivative  $\frac{\partial \det A_p}{\partial q_\ell}$  does not vanish at  $x_0$ . So by the implicit function theorem, there exists a function  $Q_n$  defined on a sufficiently small neighborhood of  $x_0$  such that

$$\begin{aligned} \lambda_p(x_1, \dots, x_{m_1-1}, 1, x_{m_1+1}, \dots, x_{n-1}, Q_n(x)) \\ = \det A_p(x_1, \dots, x_{m_1-1}, 1, x_{m_1+1}, \dots, x_{n-1}, Q_n(x)) = 0. \end{aligned}$$

Since

$$x_{m_1} = 1, \quad x_{m_2} = \frac{c_{m_2}}{c_{m_1}}, \quad x_{m_3} = \frac{c_{m_3}}{c_{m_1}} \quad \text{on } \mathcal{V}^{n-3},$$

$(x_{j_1}, \dots, x_{j_{n-4}})$  is considered as a local coordinate system of the variety  $X^{n-4}$  around the regular point  $x_0$ . Since

$$\frac{\partial Q_n}{\partial x_{j_\ell}} = - \frac{\partial \det A_p}{\partial q_{j_\ell}} / \frac{\partial \det A_p}{\partial q_n} \quad (\ell = 1, \dots, n-4)$$

holds, one can easily check that the condition  $\det S_p(x_0) \neq 0$  implies that the matrix

$$\left( \frac{\partial (f_p^k \circ \varphi)}{\partial x_{j_\ell}} + \frac{\partial Q_n}{\partial x_{j_\ell}} \frac{\partial (f_p^k \circ \varphi)}{\partial x_n} \right)_{k=1, \dots, n-1; \ell=1, \dots, n-4}$$

is of rank  $n-4$  at  $x_0$ . Hence the Jacobi matrix of  $\mathcal{F}_p$  is of rank  $n-4$  at  $x_0$ , and so is that of  $\widehat{\mathcal{F}}_p$  at  $\pi^{-1}(x_0)$ . Thus by the proper mapping theorem,  $\widehat{\mathcal{F}}_p(\hat{X}^{n-4})$  is an analytic subset of dimension  $n-4$  in the same dimensional complex projective space  $\mathcal{W}_p^{n-4}$ . Hence  $\widehat{\mathcal{F}}_p(\hat{X}^{n-4}) = \mathcal{W}_p^{n-4}$ . (q.e.d.)

**Lemma 1.9.** *Let  $\mathcal{W}_{\mathbf{R}} = \{[a] \in \mathcal{W}_p^{n-4}; a_j \in \mathbf{R}\}$ . Then*

$$\{\mathcal{W}_p^{n-4} \setminus \widehat{\mathcal{FL}}_p(Z(H_p \circ \pi) \cap \hat{X}^{n-4})\} \cap \mathcal{W}_{\mathbf{R}}$$

*is an open dense subset in  $\mathcal{W}_{\mathbf{R}}$ .*

(Proof.) By the proper mapping theorem and the theorem of Chow,  $\widehat{\mathcal{FL}}_p(Z(H_p \circ \pi))$  is an algebraic subset of  $\mathcal{W}_p^{n-4}$ . Thus it is a closed subset in  $\mathcal{W}_p^{n-4}$  in the usual topology. Hence  $\{\mathcal{W}_p^{n-4} \setminus \widehat{\mathcal{FL}}_p(Z(H_p \circ \pi) \cap \hat{X}^{n-4})\} \cap \mathcal{W}_{\mathbf{R}}$  is an open subset in  $\mathcal{W}_{\mathbf{R}}$ . Suppose that it is not dense in  $\mathcal{W}_{\mathbf{R}}$ . We may assume that  $\widehat{\mathcal{FL}}_p(Z(H_p \circ \pi) \cap \hat{X}^{n-4})$  is common zeros of some homogeneous polynomials  $\bigcap_{j=1}^r Z(h_j)$ . Then there exists an open subset in  $\mathcal{W}_p^{n-4}$  on which each  $h_j$  is identically zero. Since  $\mathcal{W}_{\mathbf{R}}$  is a totally real subset of the complex projective space  $\mathcal{W}_p^{n-4}$ , by Lemma A.2 in Appendix we have

$$h_1 = \cdots = h_r = 0 \quad \text{on} \quad \mathcal{W}_p^{n-4}.$$

This implies that  $\widehat{\mathcal{FL}}_p(Z(H_p \circ \pi) \cap \hat{X}^{n-4}) = \mathcal{W}_p^{n-4}$ . So it holds that

$$\begin{aligned} n-4 &= \dim \mathcal{W}_p^{n-4} = \dim \widehat{\mathcal{FL}}_p(Z(H_p \circ \pi) \cap \hat{X}^{n-4}) \\ &\leq \dim Z(H_p \circ \pi) \cap \hat{X}^{n-4} \leq \dim \hat{X}^{n-4} = n-4. \end{aligned}$$

By the irreducibility of  $\hat{X}^{n-4}$ , we have  $Z(H_p \circ \pi) \cap \hat{X}^{n-4} = \hat{X}^{n-4}$ . But this contradicts the fact that  $H_p(x_0) \neq 0$ . (q.e.d.)

**(Proof of Proposition 1.6)** Let  $p$  be a point in  $U$  satisfying  $\dim \mathcal{W}_p^{n-4} = n-4$ . As we mentioned before,  $\dim \mathcal{W}_p^{n-4} = n-4$  holds on an open dense subset of  $\{p \in \mathbf{C}^n\}$ . Then for any

$$[a] \in (\mathcal{W}_p^{n-4} \setminus \widehat{\mathcal{FL}}_p(Z(H_p \circ \pi) \cap \hat{X}^{n-4})) \cap \mathcal{W}_{\mathbf{R}},$$

there exists  $x \in X^{n-4} \setminus Z(H_p)$  such that  $\mathcal{FL}_p(x) = [a]$  by Lemma 1.8 and Lemma 1.9. Since  $f_p^j(x) \neq 0$  and also the resultant  $R_p(x)$  does not vanish,  $(x, b_p(x))$  induces an  $n$ -end catenoid with the flux data  $(p, a)$  by Theorem 1.1. (q.e.d.)

For the later application, the following modification of Proposition 1.6 is needed: Recall here that any elements of the matrices  $A_p$  and  $J_p$  are rational functions in  $p_1, \dots, p_n, \bar{p}_1, \dots, \bar{p}_n$  and  $q_1, \dots, q_n$ . Let  $\check{A}_p$  and  $\check{J}_p$  be the matrices obtained by replacing  $\bar{p}_n$  by  $p_n$ , namely

$$(1.15) \quad \check{A}_p := A_p(p_1, \dots, p_n, \bar{p}_1, \dots, \bar{p}_{n-1}, p_n, q_1, \dots, q_n),$$

$$(1.16) \quad \check{J}_p := J_p(p_1, \dots, p_n, \bar{p}_1, \dots, \bar{p}_{n-1}, p_n, q_1, \dots, q_n),$$

and let  $\check{b}_p^j$  (resp.  $\check{f}_p^j, \check{\mathcal{W}}_p^{n-4}$ ) be the vector (resp. function, set) obtained by replacing  $\bar{p}_n$  in  $b_p^j$  (resp.  $f_p^j, \mathcal{W}_p^{n-4}$ ) by  $p_n$ .

**Proposition 1.10.** *Suppose that there exist  $u_0 \in \mathbb{C}^n$  and a point  $c = [c_1, \dots, c_n] \in \mathbb{P}^n$  satisfying the following conditions:*

- (1)  $c_1, \dots, c_n$  are all distinct;
- (2) The rank of the matrix  $\check{A}_{u_0}(c)$  is  $n - 1$ ;
- (3)  $\frac{\partial \det \check{A}_{u_0}}{\partial q_n}$  does not vanish at  $q = c$ ;
- (4) The rank of the matrix  $\check{J}_{u_0}(c)$  is  $n - 4$ ;
- (5) Two polynomials  $P(z)$  and  $Q(z)$  defined in (1.5) and (1.4) associated with the data  $(q, p) = (c, u_0)$  and  $b = b_{u_0}(c)$  are mutually prime and one of them has degree  $n - 1$ ;
- (6)  $\check{f}_{u_0}^j(c) \neq 0$  ( $j = 1, \dots, n$ );
- (7)  $c_j \neq 0$  ( $j = 1, \dots, n - 1$ ).

Then there exists an open dense subset  $U \subset \mathbb{C}^n$  and an open dense subset  $\Omega_p$  of the totally real set  $\mathcal{W}_{\mathbb{R}} = \{[a] \in \check{\mathcal{W}}_p^{n-4}; a_j \in \mathbb{R}\}$  such that, for  $p = (p_1, \dots, p_n) \in U$  satisfying  $p_n \in \mathbb{R}$  and  $[a] \in \Omega_p$ , there exists an (non-branched)  $n$ -end catenoid with the flux data  $(p, a)$ .

(Proof.) The proof of Proposition 1.6 works even if we replace  $\bar{p}_n$  by  $p_n$ . When  $p_n$  is real,  $\check{A}_p$ ,  $\check{J}_p$ ,  $\check{\mathcal{F}}\ell_p$  and  $\check{\mathcal{W}}_p^{n-4}$  coincide with  $A_p$ ,  $J_p$ ,  $\mathcal{F}\ell_p$  and  $\mathcal{W}_p^{n-4}$  respectively. (q.e.d.)

**Remark 1.11.** To solve the inverse problem of the flux formula, we may assume that  $p_n \in \mathbb{R}$  since by a suitable rotation in  $\{(x, y, z) \in \mathbb{R}^3\}$ , we can choose that  $v_n$  is in the  $xz$ -plane. By the above modification of Proposition 1.6, the parameter  $p_n$  (=the stereographic image of  $v_n$ ) can be treated as a complex analytic parameter.

## 2. Finding a regular point of the flux map

In the previous section, we reduced our inverse problem to finding a regular point of the flux map. However, the following difficulties arise in this process.

- As seen in [Kat] and [KUY],  $n$ -end catenoids with many symmetries are easy to construct. But unfortunately, they are not expected to be a regular point of the flux map because of their symmetries.
- If we take a less symmetric  $n$ -end catenoid, the computation of the rank of the flux map is very complicated and hard to calculate even by computer.

To avoid these difficulties, we first take an  $n$ -end catenoid with many symmetries, and next consider a perturbation of it which attains the desired properties.

Set  $m := n - 1$ . First we consider a 1-parameter family of  $(m + 1)$ -end catenoids given in [Kat];

$$(2.1) \quad \begin{cases} p_j := r\zeta^{j-1} & (j = 1, \dots, m), \quad p_{m+1} := 0, \\ a^1 = \dots = a^m := \frac{m-1}{2}r(r^2 + 1), \quad a^{m+1} := \frac{m(m-1)}{2}r(r^2 - 1), \\ q_j := \zeta^{j-1} & (j = 1, \dots, m), \quad q_{m+1} := 0, \\ b^1 = \dots = b^m := 1, \quad b^{m+1} := \frac{m-1}{2}(r^2 - 1), \end{cases}$$

where  $r > 0$ ,  $r \neq 1$  and  $\zeta := \exp(2\pi\sqrt{-1}/m)$ . In fact, they are  $(m + 1)$ -end catenoids without branch points by Remark 1.2, and are invariant under the action of the cyclic group  $Z_m$ . Unfortunately, as we shall see below,  $J_p(q) = \tilde{J}_p(q) = 0$  holds for any of these examples, namely they all are singular points of the flux maps. However, we will show that there exists a regular point near them.

Note here that the matrix  $A_p(q)$  (defined in (1.9)) for the example above is given by

$$(2.2) \quad A_p(q) = \begin{pmatrix} 0 & \frac{1+r^2\zeta^1}{q_1-q_2} & \cdots & \frac{1+r^2\zeta^{m-1}}{q_1-q_m} & \frac{1}{q_1-q_{m+1}} \\ \frac{1+r^2\zeta^{-1}}{q_2-q_1} & 0 & \cdots & \frac{1+r^2\zeta^{m-2}}{q_2-q_m} & \frac{1}{q_2-q_{m+1}} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \frac{1+r^2\zeta^{-(m-1)}}{q_m-q_1} & \frac{1+r^2\zeta^{-(m-2)}}{q_m-q_2} & \cdots & 0 & \frac{1}{q_m-q_{m+1}} \\ \frac{1}{q_{m+1}-q_1} & \frac{1}{q_{m+1}-q_2} & \cdots & \frac{1}{q_{m+1}-q_m} & 0 \end{pmatrix}.$$

Now, We consider a 1-parameter family of matrices

$$(2.3) \quad A(q, \mu) := \begin{pmatrix} 0 & \frac{1+\mu\zeta^1}{q_1-q_2} & \cdots & \frac{1+\mu\zeta^{m-1}}{q_1-q_m} & \frac{1}{q_1-q_{m+1}} \\ \frac{1+\mu\zeta^{-1}}{q_2-q_1} & 0 & \cdots & \frac{1+\mu\zeta^{m-2}}{q_2-q_m} & \frac{1}{q_2-q_{m+1}} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \frac{1+\mu\zeta^{-(m-1)}}{q_m-q_1} & \frac{1+\mu\zeta^{-(m-2)}}{q_m-q_2} & \cdots & 0 & \frac{1}{q_m-q_{m+1}} \\ \frac{1}{q_{m+1}-q_1} & \frac{1}{q_{m+1}-q_2} & \cdots & \frac{1}{q_{m+1}-q_m} & 0 \end{pmatrix}.$$

By comparing (2.2) with (2.3), we have  $A(q, r^2) = A_p(q)$  for  $p$  as in (2.1). When we evaluate it at  $q = q^0 := (1, \zeta^1, \dots, \zeta^{m-1}, 0)$ , we have

$$(2.4) \quad A(q^0, \mu) = \begin{pmatrix} 0 & \frac{1+\mu\zeta^1}{1-\zeta^1} & \cdots & \frac{1+\mu\zeta^{m-1}}{1-\zeta^{m-1}} & 1 \\ \frac{1+\mu\zeta^{-1}}{\zeta^{1-1}} & 0 & \cdots & \frac{1+\mu\zeta^{m-2}}{\zeta^{1-\zeta^{m-1}}} & \zeta^{-1} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ \frac{1+\mu\zeta^{-(m-1)}}{\zeta^{m-1-1}} & \frac{1+\mu\zeta^{-(m-2)}}{\zeta^{m-1-\zeta^1}} & \cdots & 0 & \zeta^{-(m-1)} \\ -1 & -\zeta^{-1} & \cdots & -\zeta^{-(m-1)} & 0 \end{pmatrix}.$$

We remark that the matrix  $A(q^0, \mu)$  has the simplest form when  $\mu = -1$ . The following lemma holds.

**Lemma 2.1.** *The  $(m+1)$ -matrix  $A(q^0, \mu)$  is of rank  $m$  except for finite values of  $\mu \in \mathbf{R}$ . Moreover  $A(q^0, \mu)$  has a 0-eigenvector given by*

$${}^t \left( 1, \dots, 1, \frac{m-1}{2}(\mu-1) \right).$$

(Proof.) The second assertion is easily checked. Hence the rank of the matrix  $A(q^0, -1)$  is at most  $m$ . Moreover, it is easy to see that the rank of the matrix  $A(q^0, -1)$  is  $m$ . Since each component of  $A(q^0, \mu)$  is a polynomial in  $\mu$ , the first assertion is obtained. (q.e.d.)

**Remark 2.2.** Similarly, a 0-eigenvector of  ${}^t A(q^0, \mu)$  is given by

$${}^t \left( 1, \dots, 1, \frac{1}{2} \{ 2\mu - (m-1)(\mu+1) \} \right).$$

**Proposition 2.3.** *The following identity holds.*

$$\frac{\partial \det A}{\partial q_j}(q^0, \mu) = 0 \quad (j = 1, \dots, m+1).$$

(Proof.) We denote the cofactor matrix of  $A(q, \mu)$  by  $B(q, \mu)$ . By Lemma 2.1 and Remark 2.2, it can be easily checked that  $B(q^0, \mu)$  is written in the form  $B(q^0, \mu) = f(\mu)S(\mu)$ , where  $f(\mu)$  is a polynomial in  $\mu$  satisfying  $f(-1) = 1$ ,

$$(2.5) \quad S(\mu) := \begin{pmatrix} 1 & \cdots & 1 & \psi(\mu) \\ \vdots & \ddots & \vdots & \vdots \\ 1 & \cdots & 1 & \psi(\mu) \\ \varphi(\mu) & \cdots & \varphi(\mu) & \varphi(\mu) \cdot \psi(\mu) \end{pmatrix},$$

and  $\varphi(\mu)$  and  $\psi(\mu)$  are explicitly given by

$$\varphi(\mu) := \frac{m-1}{2}(\mu-1), \quad \psi(\mu) := \frac{1}{2}\left\{2\mu - (m-1)(\mu+1)\right\}.$$

Note here that

$$\frac{\partial \det A}{\partial q_j}(q, \mu) = \text{Tr} \left( \frac{\partial A}{\partial q_j}(q, \mu) \cdot B(q, \mu) \right)$$

always holds for any  $j$ . Denote the  $(k, \ell)$ -component of the matrix  $A(q, \mu)$  by  $\alpha_{k\ell}(q, \mu)$ . Then we have

$$\frac{\partial \alpha_{k\ell}}{\partial q_j}(q^0, \mu) = \begin{cases} -\frac{1 + \mu\zeta^{\ell-j}}{(\zeta^{j-1} - \zeta^{\ell-1})^2} & (k = j; \ell = 1, \dots, m; \ell \neq j) \\ -\zeta^{-2(j-1)} & (k = j; \ell = m+1) \\ \frac{1 + \mu\zeta^{j-k}}{(\zeta^{k-1} - \zeta^{j-1})^2} & (k = 1, \dots, m; k \neq j; \ell = j) \\ \zeta^{-2(j-1)} & (k = m+1; \ell = j) \\ 0 & \text{elsewhere} \end{cases}$$

for  $j = 1, \dots, m$ , and

$$\frac{\partial \alpha_{k\ell}}{\partial q_{m+1}}(q^0, \mu) = \begin{cases} \zeta^{-2(k-1)} & (k = 1, \dots, m; \ell = m+1) \\ -\zeta^{-2(\ell-1)} & (k = m+1; \ell = 1, \dots, m) \\ 0 & \text{elsewhere} \end{cases}$$

for  $j = m+1$ .

For  $j = 1, \dots, m$ , by using the formula above, we have

$$\begin{aligned} \frac{\partial \det A}{\partial q_j}(q^0, \mu) &= \text{Tr} \left( \frac{\partial A}{\partial q_j}(q^0, \mu) \cdot B(q^0, \mu) \right) \\ &= \sum_{\substack{k=1 \\ k \neq j}}^m f(\mu) \frac{\partial \alpha_{kj}}{\partial q_j}(q^0, \mu) + \sum_{\substack{\ell=1 \\ \ell \neq j}}^m f(\mu) \frac{\partial \alpha_{j\ell}}{\partial q_j}(q^0, \mu) \\ &\quad + \frac{\partial \alpha_{jm+1}}{\partial q_j}(q^0, \mu) f(\mu) \varphi(\mu) + \frac{\partial \alpha_{m+1j}}{\partial q_j}(q^0, \mu) f(\mu) \psi(\mu) \\ &= f(\mu) \left\{ \sum_{\substack{k=1 \\ k \neq j}}^m \frac{1 + \mu\zeta^{j-k}}{(\zeta^{k-1} - \zeta^{j-1})^2} - \sum_{\substack{\ell=1 \\ \ell \neq j}}^m \frac{1 + \mu\zeta^{\ell-j}}{(\zeta^{j-1} - \zeta^{\ell-1})^2} - \zeta^{-2(j-1)} \varphi(\mu) + \zeta^{-2(j-1)} \psi(\mu) \right\} \\ &= f(\mu) \zeta^{-2(j-1)} \left\{ \sum_{k=1}^{m-1} \frac{1 + \mu\zeta^{-k}}{(1 - \zeta^k)^2} - \sum_{k=1}^{m-1} \frac{1 + \mu\zeta^k}{(1 - \zeta^k)^2} - (m-2)\mu \right\} \\ &= \mu f(\mu) \zeta^{-2(j-1)} \left\{ \sum_{k=1}^{m-1} \frac{1 + \zeta^k}{\zeta^k(1 - \zeta^k)} - (m-2) \right\} \\ &= \mu f(\mu) \zeta^{-2(j-1)} \left\{ \sum_{k=1}^{m-1} \frac{1}{\zeta^k} + \sum_{k=1}^{m-1} \frac{2}{1 - \zeta^k} - (m-2) \right\} \\ &= \mu f(\mu) \zeta^{-2(j-1)} \{-1 + (m-1) - (m-2)\} = 0. \end{aligned}$$



On the other hand, for  $j = m + 1$ , we have

$$\begin{aligned}
\frac{\partial \det A}{\partial q_{m+1}}(q^0, \mu) &= \text{Tr} \left( \frac{\partial A}{\partial q_{m+1}}(q^0, \mu) \cdot B(q^0, \mu) \right) \\
&= \sum_{k=1}^m \zeta^{-2(k-1)} f(\mu) \varphi(\mu) - \sum_{\ell=1}^m \zeta^{-2(\ell-1)} f(\mu) \psi(\mu) \\
&= f(\mu) (\varphi(\mu) - \psi(\mu)) \sum_{k=1}^m \zeta^{-2k} = 0.
\end{aligned}$$

This completes the proof.

(q.e.d.)

By Lemma 2.1 and Proposition 2.3, it follows that  $J_{r q^0}(q^0) = 0$  ( $r \in \mathbf{R}$ ). Therefore, we try to perturb a sampling point. To do this, we consider an  $m$ -matrix  $\Gamma_{m+1}(\mu)$  by

$$\Gamma_{m+1}(\mu) := \left( \frac{\partial^2 \det A}{\partial q_1 \partial q_{m+1}}(q^0, \mu) \cdot \frac{\partial(f^k/f^{m+1})}{\partial q_j}(q^0, \mu) - \frac{\partial^2 \det A}{\partial q_1 \partial q_j}(q^0, \mu) \cdot \frac{\partial(f^k/f^{m+1})}{\partial q_{m+1}}(q^0, \mu) \right)_{j,k=1,\dots,m},$$

where we denote the  $(j, k)$ -component of the cofactor matrix  $B(q, \mu)$  by  $\beta_{jk}(q, \mu)$ , and set

(2.6)

$$\begin{aligned}
f^k(q, \mu) &:= \beta_{km+1}(q, \mu) \left( \sum_{\substack{j=1 \\ j \neq k}}^m \beta_{jm+1}(q, \mu) \frac{\zeta^{k-1} - \zeta^{j-1}}{q_k - q_j} + \beta_{m+1m+1}(q, \mu) \frac{\zeta^{k-1}}{q_k - q_{m+1}} \right) \\
&\quad (k = 1, \dots, m), \\
f^{m+1}(q, \mu) &:= \beta_{m+1m+1}(q, \mu) \sum_{j=1}^m \beta_{jm+1}(q, \mu) \frac{-\zeta^{j-1}}{q_{m+1} - q_j}.
\end{aligned}$$

(Compare with the definition of the matrix  $J_p(q)$  and  $f_p^k(q)$ .) We prove the following

**Theorem 2.4.** *Suppose that there exists a positive number  $\mu$  such that the matrix  $\Gamma_{m+1}(\mu)$  ( $n = m + 1 \geq 5$ ) is of rank  $m - 3 (= n - 4)$  and*

$$(2.7) \quad \frac{\partial^2 \det A}{\partial q_1 \partial q_{m+1}}(q^0, \mu) \neq 0.$$

*Then, for each of almost all flux data, there exists an  $n$ -end catenoid with the flux data.*

Till now, we fix the parameter  $p_{m+1}$  at

$$p_{m+1} = 0.$$

Let us now move the parameter  $p_{m+1}$ .

**Lemma 2.5.** Let  $\mu \neq 1$  be a positive real number such that  $f(\mu) \neq 0$ , where  $f(\mu)$  is a polynomial given by (2.5). Then

$$\frac{\partial \det \check{A}_p(q)}{\partial p_{m+1}} \neq 0$$

at the point  $q = q^0 = (1, \zeta^1, \dots, \zeta^{m-1}, 0)$  for  $p = \sqrt{\mu}q^0$ , where  $\check{A}_p(q)$  is defined in (1.15).

(Proof.) We denote the cofactor matrix of  $\check{A}_p(q)$  by  $\check{B}_p(q)$ . Since  $\check{A}_{\sqrt{\mu}q^0}(q) = A_{\sqrt{\mu}q^0}(q)$  for any  $\mu > 0$ , it holds that  $\check{B}_{\sqrt{\mu}q^0}(q) = B_{\sqrt{\mu}q^0}(q)$  and in particular, we have  $\check{B}_{\sqrt{\mu}q^0}(q^0) = B_{\sqrt{\mu}q^0}(q^0) = B(q^0, \mu)$ . Then we have

$$\frac{\partial \det \check{A}_p(q^0)}{\partial p_{m+1}} \Big|_{p=\sqrt{\mu}q^0} = \text{Tr} \left( \frac{\partial \check{A}_p(q^0)}{\partial p_{m+1}} \Big|_{p=\sqrt{\mu}q^0} \cdot B_{\sqrt{\mu}q^0}(q^0) \right).$$

Since

$$\text{the } (j, k)\text{-component of } \frac{\partial \check{A}_p(q^0)}{\partial p_{m+1}} \Big|_{p=\sqrt{\mu}q^0} = \begin{cases} \zeta^{-2(j-1)} & (j = 1, \dots, m; k = m+1) \\ -1 & (j = m+1; k = 1, \dots, m) \\ 0 & \text{elsewhere,} \end{cases}$$

by (2.5), we have

$$\begin{aligned} & \text{Tr} \left( \frac{\partial \check{A}_p(q^0)}{\partial p_{m+1}} \Big|_{p=\sqrt{\mu}q^0} \cdot B(q^0, \mu) \right) \\ &= f(\mu) \left\{ \varphi(\mu) \sum_{k=1}^m \zeta^{-2(k-1)} - (m-1)\psi(\mu) \right\} \\ &= -(m-1)f(\mu)\psi(\mu) = \frac{(m-1)^2}{2}(\mu-1)f(\mu) \neq 0. \end{aligned}$$

Now the assertion is clear. (q.e.d.)

**(Proof of Theorem 2.4.)** Since  $f(\mu)$  is a polynomial in  $\mu$  and  $f(\mu) \neq 0$ , by our assumptions and Lemmas 2.1 and 2.5, we can choose a positive number  $\mu$  such that  $f(\mu) \neq 0$ ,  $\text{rank } \check{A}_{\sqrt{\mu}q^0}(q^0) = m$ ,  $\text{rank } \Gamma_{m+1}(\mu) = m-3$ ,

$$\frac{\partial^2 \det \check{A}_{\sqrt{\mu}q^0}(q^0)}{\partial q_1 \partial q_{m+1}} \neq 0 \quad \text{and} \quad \frac{\partial \det \check{A}_p(q^0)}{\partial p_{m+1}} \Big|_{p=\sqrt{\mu}q^0} \neq 0.$$

Throughout this proof, we fix the parameters except for  $q_1$  and  $p_{m+1}$  to the same values as  $q = q^0$  and  $p = \sqrt{\mu}q^0$ :

$$\begin{aligned} p_j &= \sqrt{\mu}\zeta^{j-1} & (j = 1, \dots, m), \\ q_j &= \zeta^{j-1} & (j = 2, \dots, m), \quad q_{m+1} = 0. \end{aligned}$$

Regard  $\det \check{A}_p(q)$  as a function with respect to only  $q_1$  and  $p_{m+1}$ , and apply the implicit function theorem to the point  $(q_1, p_{m+1}) = (1, 0)$ . Then there exist an open neighborhood  $U \subset \mathbf{C}$  of  $1 \in \mathbf{C}$  and a complex analytic function  $p_{m+1} = p_{m+1}(q_1) : U \rightarrow \mathbf{C}$  such that  $p_{m+1}(1) = 0$  and

$$\det \check{A}_p \Big|_{p_{m+1}=p_{m+1}(q_1)} = 0 \quad (q_1 \in U).$$

Since  $\text{rank } \check{A}_{\sqrt{\mu}q^0}(q^0) = m$ ,  $\text{rank } \check{A}_p|_{p_{m+1}=p_{m+1}(q_1)} = m$  holds also for  $q_1$  near 1.

Since  $\hat{A} = A$  at  $p = \sqrt{\mu}q^0$ , by Lemma 2.3, we have

$$\frac{\partial \det \check{A}_{\sqrt{\mu}q^0}}{\partial q_j}(q^0) = 0 \quad (j = 1, \dots, m+1).$$

On the other hand, the assumption (2.7) yields

$$\frac{\partial \det \check{A}_p}{\partial q_{m+1}} \Big|_{p_{m+1}=p_{m+1}(q_1)} \neq 0$$

for any  $q_1 \neq 1$  enough close to 1. Therefore we have

$$\begin{aligned} & \lim_{q_1 \rightarrow 1} \left( \left\{ \frac{\partial(\check{f}_p^k/\check{f}_p^{m+1})}{\partial q_j} - \frac{\frac{\partial \det \check{A}_p}{\partial q_j}}{\frac{\partial \det \check{A}_p}{\partial q_{m+1}}} \cdot \frac{\partial(\check{f}_p^k/\check{f}_p^{m+1})}{\partial q_{m+1}} \right\} \Big|_{p_{m+1}=p_{m+1}(q_1)} \right)_{j,k=1,\dots,m} \\ &= \left( \left\{ \frac{\partial(\check{f}_p^k/\check{f}_p^{m+1})}{\partial q_j} - \frac{\frac{\partial^2 \det \check{A}_p}{\partial q_1 \partial q_j}}{\frac{\partial^2 \det \check{A}_p}{\partial q_1 \partial q_{m+1}}} \cdot \frac{\partial(\check{f}_p^k/\check{f}_p^{m+1})}{\partial q_{m+1}} \right\} \Big|_{p=\sqrt{\mu}q^0; q=q^0} \right)_{j,k=1,\dots,m} \\ &= \left( \frac{\partial^2 \det \check{A}_{\sqrt{\mu}q^0}}{\partial q_1 \partial q_{m+1}}(q^0) \right)^{-1} \Gamma_{m+1}(\mu), \end{aligned}$$

and hence

$$\begin{aligned} & \text{rank } \check{J}_p \Big|_{p_{m+1}=p_{m+1}(q_1)} \\ &= \text{rank} \left( \left\{ \frac{\partial(\check{f}_p^k/\check{f}_p^{m+1})}{\partial q_j} - \frac{\frac{\partial \det \check{A}_p}{\partial q_j}}{\frac{\partial \det \check{A}_p}{\partial q_{m+1}}} \cdot \frac{\partial(\check{f}_p^k/\check{f}_p^{m+1})}{\partial q_{m+1}} \right\} \Big|_{p_{m+1}=p_{m+1}(q_1)} \right)_{j,k=1,\dots,m} \\ &= m - 3 = n - 4 \end{aligned}$$

for any  $q_1$  as above.

Since the initial sampling point  $q = q^0$ ,  $p = \sqrt{\mu}q^0$  is chosen from the data which realizes a non-branched  $n$ -end catenoid ( $n = m + 1$ ),  $\Delta(q^0) \neq 0$  and  $q_j^0 \neq 0$  ( $j = 1, \dots, m$ ), the other conditions in Proposition 1.10 are also satisfied for  $q_1$  near 1. Now, by Remark 1.11, we have proved the theorem. (q.e.d.)

Thus we will get our main theorem in Introduction, if the matrix  $\Gamma_{m+1}(\mu)$  is of rank  $m - 3 (= n - 4)$  and (2.7) holds for some  $\mu > 0$ , which will be shown in the next section.

### 3. Computation of $\Gamma_{m+1}(\mu)$

In this section, we compute the matrix  $\Gamma_{m+1}(\mu)$  defined in the previous section, and show that it is of rank  $m - 3$  for almost all  $\mu > 0, \neq 1$ .

(Computation of  $\frac{\partial f^k}{\partial q_j}(q^0, \mu)$ ) As before, we write  $A(q, \mu) =: (\alpha_{k\ell})_{k,\ell=1,\dots,m+1}$  and  $B(q, \mu) =: (\beta_{k\ell})_{k,\ell=1,\dots,m+1}$ . By (2.6), (2.5) and straightforward calculations, we have, for any  $k = 1, \dots, m$ ,

$$(3.1) \quad \frac{\partial f^k}{\partial q_j} = f\psi \left[ (m-1 + \varphi) \frac{\partial \beta_{km+1}}{\partial q_j} + \sum_{\substack{\ell=1 \\ \ell \neq k}}^{m+1} \frac{\partial \beta_{\ell m+1}}{\partial q_j} + f\psi \zeta^{1-j} \eta_1 \right]$$

at  $(q^0, \mu)$ , where

$$\eta_1(\mu) := \begin{cases} -\frac{m-1}{2} - \varphi(\mu) & (j = k) \\ \frac{1}{\zeta^{k-j}-1} & (j = 1, \dots, m; j \neq k) \\ \zeta^{j-k} \varphi(\mu) & (j = m+1), \end{cases}$$

and for  $k = m+1$ ,

$$(3.2) \quad \frac{\partial f^{m+1}}{\partial q_j} = f\psi \left[ m \frac{\partial \beta_{m+1 m+1}}{\partial q_j} + \varphi \sum_{\ell=1}^m \frac{\partial \beta_{\ell m+1}}{\partial q_j} - \begin{cases} f\varphi\psi \zeta^{1-j} & (j = 1, \dots, m) \\ 0 & (j = m+1) \end{cases} \right].$$

Hence we have only to compute  $f(\mu)$  and  $\frac{\partial \beta_{km+1}}{\partial q_j}(q^0, \mu)$ . Denote the first  $m \times m$ -submatrix of  $A(q^0, \mu)$  by  $A^0(\mu)$ . Clearly  $f\varphi\psi = \beta_{m+1 m+1} = \det A^0$ . Set  $C_1 := \text{diag}[1, \zeta^1, \dots, \zeta^{m-1}]$ . Since  $C_1 A^0$  is a cyclic matrix whose  $(j, k)$ -component is equal to  $(1 + \mu \zeta^{k-j}) / (1 - \zeta^{k-j})$ , and whose diagonal components vanish, it can be diagonalized as  $C_2^{-1} C_1 A^0 C_2 = \text{diag}[\psi_1, \dots, \psi_m]$ , where

$$C_2 := \begin{pmatrix} 1 & 1 & \dots & 1 \\ \zeta^1 & \zeta^2 & \dots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ \zeta^{m-1} & \zeta^{2(m-1)} & \dots & 1 \end{pmatrix}$$

and the eigenvalues  $\psi_1, \dots, \psi_m$  of  $C_1 A^0$  are given by

$$\begin{aligned} \psi_\ell(\mu) &= \sum_{k=2}^m \frac{1 + \mu \zeta^{k-1}}{1 - \zeta^{k-1}} (\zeta^1)^{k-1} \\ &= \begin{cases} \left(\ell - \frac{m-1}{2}\right) \mu + \left(\ell - \frac{m+1}{2}\right) & (\ell = 1, \dots, m-1) \\ -\frac{m-1}{2} \mu + \frac{m-1}{2} & (\ell = m). \end{cases} \end{aligned}$$

Now we have

$$f\varphi\psi = (-1)^{m-1} \prod_{\ell=1}^m \psi_\ell.$$

Note here that  $\psi_1 = \psi$  and  $\psi_m = -\varphi$  and that  $\psi_\ell(\mu) \neq 0$  holds for any  $\mu > 0, \neq 1$  ( $\ell = 1, \dots, m$ ).

To compute the derivatives  $\frac{\partial B}{\partial q_j}(q^0, \mu)$  of the cofactor matrix  $B(q, \mu)$ , we apply the formula (B.2) in Appendix B by putting  $X := E_{m+1}$ , where  $E_{m+1}$  is the  $(m+1)$ -matrix given by

$$E_{m+1} := \begin{pmatrix} 0 & \cdots & 0 & 0 \\ \vdots & \ddots & \vdots & \vdots \\ 0 & \cdots & 0 & 0 \\ 0 & \cdots & 0 & 1 \end{pmatrix}.$$

For  $A_t(q, \mu) = A(q, \mu) + tE_{m+1}$ , we have already shown that

$$\det A(q^0, \mu) = \frac{\partial \det A}{\partial q_j}(q^0, \mu) = 0$$

in Lemma 2.1 and Proposition 2.3. Moreover we have

$$\text{Tr}(E_{m+1} \cdot B(q^0, \mu)) = f(\mu)\varphi(\mu)\psi(\mu) \neq 0.$$

Thus we may apply (B.2), and get the following identity

$$(3.3) \quad \frac{\partial B}{\partial q_j} = \frac{1}{f\varphi\psi} \left\{ \text{Tr} \left( \frac{\partial A}{\partial q_j} \cdot \frac{\partial Y_t}{\partial t} \Big|_{t=0} \right) \cdot B - \frac{\partial Y_t}{\partial t} \Big|_{t=0} \cdot \frac{\partial A}{\partial q_j} \cdot B - B \cdot \frac{\partial A}{\partial q_j} \cdot \frac{\partial Y_t}{\partial t} \Big|_{t=0} \right\}$$

at  $(q^0, \mu)$ , where  $Y_t(\mu)$  is the cofactor matrix of  $A(q^0, \mu) + tE_{m+1}$ . The first  $m \times m$ -components of  $\frac{\partial}{\partial t} \Big|_{t=0} Y_t(\mu)$  is given as the cofactor matrix of the first  $m \times m$ -components of  $A(q^0, \mu)$ , that is

$$\begin{aligned} \det A^0 \cdot (A^0)^{-1} &= f\varphi\psi \cdot C_2 \text{diag}[\psi_1^{-1}, \dots, \psi_m^{-1}] C_2^{-1} C_1 \\ &= \frac{f\varphi\psi}{m} \left( \zeta^{k-1} \sum_{\ell=1}^m \zeta^{(j-k)\ell} \psi_\ell^{-1} \right)_{j,k=1, \dots, m} \\ &=: \frac{f\varphi\psi}{m} Y^0, \end{aligned}$$

and the other components of  $\frac{\partial}{\partial t} \Big|_{t=0} Y_t(\mu)$  vanish. Namely

$$\frac{\partial}{\partial t} \Big|_{t=0} Y_t(\mu) = \begin{pmatrix} & & & 0 \\ & \frac{f\varphi\psi}{m} Y^0 & & \vdots \\ & & & 0 \\ 0 & \cdots & 0 & 0 \end{pmatrix}.$$

Therefore we have

$$(3.4) \quad \left( \frac{\partial \beta_{km+1}}{\partial q_j} \right)_{k=1, \dots, m+1} = \frac{f\psi}{m} \left\{ \text{Tr} \left( \frac{\partial A}{\partial q_j} \cdot Y^0 \right) \cdot I - Y^0 \cdot \frac{\partial A}{\partial q_j} \right\} \begin{pmatrix} 1 \\ \vdots \\ 1 \\ \varphi \end{pmatrix}$$

at  $(q^0, \mu)$ . Recall here the values of  $\frac{\partial \alpha_{k\ell}}{\partial q_j}(q^0, \mu)$  computed in the proof of Proposition 2.3. Now, by direct computation, we have

$$(3.5) \quad \frac{\partial \beta_{km+1}}{\partial q_j}(q^0, \mu) = -f(\mu)\psi(\mu)\zeta^{1-j} \times \begin{cases} (1 - \frac{1}{2m}\eta_2(\mu)) & (k, j = 1, \dots, m) \\ \varphi(\mu) & (k = m+1; j = 1, \dots, m) \\ \zeta^{1-k}\varphi(\mu)\psi_{m-1}(\mu)^{-1} & (k = 1, \dots, m; j = m+1) \\ 0 & (k = j = m+1), \end{cases}$$

where

$$\eta_2(\mu) := \begin{cases} \frac{m(m-1)}{2} + \frac{\psi_1(\mu)}{\mu+1} \left\{ m-1 + (m+\varphi(\mu)) \sum_{\ell=1}^{m-1} \psi_\ell(\mu)^{-1} \right\} & (k=j) \\ \frac{m}{\zeta^{k-j-1}} + \frac{\psi_1(\mu)}{\mu+1} \left\{ -1 + (m+\varphi(\mu)) \sum_{\ell=1}^{m-1} \zeta^{(k-j)\ell} \psi_\ell(\mu)^{-1} \right\} & (k \neq j). \end{cases}$$

Putting it into (3.1) and (3.2), we get

$$(3.6) \quad \frac{\partial f^k}{\partial q_j}(q^0, \mu) = -f(\mu)^2\psi(\mu)^2\zeta^{1-j} \times \begin{cases} 2(m-1+\varphi(\mu)) - \frac{m-2+\varphi(\mu)}{2m}\eta_2(\mu) - \eta_1(\mu) & (k, j = 1, \dots, m) \\ (2m+1)\varphi(\mu) & (k = m+1; j = 1, \dots, m) \\ 0 & (k = 1, \dots, m+1; j = m+1). \end{cases}$$

In particular, we have

$$\Gamma_{m+1}(\mu) = (f^{m+1})^{-2} \frac{\partial^2 \det A}{\partial q_1 \partial q_{m+1}} \cdot \left( f^{m+1} \frac{\partial f^k}{\partial q_j} - f^k \frac{\partial f^{m+1}}{\partial q_j} \right)_{k,j=1, \dots, m}$$

at  $(q^0, \mu)$ .

(Computation of  $\frac{\partial^2 \det A}{\partial q_1 \partial q_{m+1}}(q^0, \mu)$ ) First we compute

$$\frac{\partial^2 \det A}{\partial q_1 \partial q_{m+1}}(q^0, -1) = \text{Tr} \left( \frac{\partial^2 A}{\partial q_1 \partial q_{m+1}}(q^0, -1) \cdot B(q^0, -1) + \frac{\partial A}{\partial q_1}(q^0, -1) \cdot \frac{\partial B}{\partial q_{m+1}}(q^0, -1) \right).$$

It is easy to see that,

$$\frac{\partial^2 \alpha_{k\ell}}{\partial q_1 \partial q_{m+1}}(q^0, -1) = \begin{cases} -2 & (k=1; \ell=m+1) \\ 2 & (k=m+1; \ell=1) \\ 0 & \text{elsewhere.} \end{cases}$$

On the other hand, we have

$$\frac{\partial}{\partial t} \Big|_{t=0} Y_t(-1) = \begin{pmatrix} 2-m & \zeta^1 & \dots & \zeta^{m-1} & 0 \\ 1 & (2-m)\zeta^1 & \dots & \zeta^{m-1} & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 1 & \zeta^1 & \dots & (2-m)\zeta^{m-1} & 0 \\ 0 & 0 & \dots & 0 & 0 \end{pmatrix}.$$

By putting these values into (3.3), we have

$$(3.7) \quad \frac{\partial \beta_{k\ell}}{\partial q_{m+1}}(q^0, -1) = \begin{cases} -(m-1)\zeta^{1-k} + \zeta^{1-\ell} & (k, \ell = 1, \dots, m) \\ (m-1)\zeta^{1-k} & (k = 1, \dots, m; \ell = m+1) \\ -(m-1)\zeta^{1-\ell} & (k = m+1; \ell = 1, \dots, m) \\ 0 & (k = \ell = m+1). \end{cases}$$

Now, by a straightforward calculation, we have

$$(3.8) \quad \frac{\partial^2 \det A}{\partial q_1 \partial q_{m+1}}(q^0, -1) = m(m-1) \neq 0.$$

Since  $\frac{\partial^2 \det A}{\partial q_1 \partial q_{m+1}}(q^0, \mu)$  is a polynomial in  $\mu$ , it does not vanish for any  $\mu$  except for finite values.

**(Computation of the rank of  $\Gamma_{m+1}(\mu)$ )** For any  $\mu > 0, \neq 1$  such that  $\frac{\partial^2 \det A}{\partial q_1 \partial q_{m+1}}(q^0, \mu) \neq 0$ , define a cyclic matrix

$$\Gamma_{m+1}^0 := -\frac{1}{(f\psi)^2} \left( \frac{\partial f^k}{\partial q_j} - \frac{f^k}{f^{m+1}} \frac{\partial f^{m+1}}{\partial q_j} \right)_{k,j=1,\dots,m} \cdot C_1.$$

Then it is clear that the rank of  $\Gamma_{m+1}$  is equal to the rank of  $\Gamma_{m+1}^0$ . The  $(k, j)$ -component  $\gamma_{kj}$  of  $\Gamma_{m+1}^0$  is given by

$$\gamma_{kj} = -\frac{m-1+\varphi}{m} - \frac{m-2+\varphi}{2m} \eta_2 - \eta_1,$$

and the eigenvalues  $\chi_1, \dots, \chi_m$  of  $\Gamma_{m+1}^0$  are given by

$$\begin{aligned} \chi_\ell(\mu) &= \sum_{j=1}^m \gamma_{1j}(\mu) (\zeta^\ell)^{j-1} \\ &= \begin{cases} -\frac{(\mu+1)((m-1)\mu+m+1)(\ell-1)(\ell-m+1)}{4\psi_\ell(\mu)} & (\ell = 1, \dots, m-1) \\ 0 & (\ell = m). \end{cases} \end{aligned}$$

Now it is clear that  $\chi_\ell(\mu) \neq 0$  for  $\ell = 2, \dots, m-2$ , and  $\Gamma_{m+1}^0$  is of rank  $m-3$ . Consequently,  $\Gamma_{m+1}$  is of rank  $m-3$  for any  $\mu > 0, \neq 1$  except for finite values.

Now, by Theorem 2.4, we get the following theorem:

**Theorem 3.1.** *For almost all given unit vectors  $v = \{v_1, \dots, v_n\}$  ( $n \geq 5$ ) in  $\mathbf{R}^3$ , and nonzero real numbers  $a = \{a^1, \dots, a^n\}$  satisfying  $\sum_{j=1}^n a^j v_j = 0$ , there is a (non-branched)  $n$ -end catenoid  $x : \mathbf{C} \setminus \{q_1, \dots, q_n\} \rightarrow \mathbf{R}^3$  such that  $\nu(q_j) = v_j$  and  $a_j$  is the weight at the end  $q_j$ .*

This theorem and the results for  $n \leq 4$  ([L], [KUY]) imply our main theorem in Introduction.

## Appendix A

In this appendix, we give two lemmas on real analytic families of algebraic equations which are applied in the proof of Proposition 1.6.

**Lemma A.1.** *Let  $\{f_p(q_1, \dots, q_n)\}_{p \in \mathbf{R}^\ell}$  and  $\{g_p(q_1, \dots, q_n)\}_{p \in \mathbf{R}^\ell}$  be two real analytic families of polynomials on  $\mathbf{C}$  of degree bounded by  $m$ . Suppose that there exists a non-empty open subset  $U$  such that*

$$(A.1) \quad Z(f_p) \subset Z(g_p) \quad (p \in U).$$

Then (A.1) holds for all  $p \in \mathbf{R}^\ell$  such that  $f_p \not\equiv 0$ .

(Proof.) For each  $p \in \mathbf{R}^\ell$ , since the degree of  $f_p$  is bounded by  $m$ ,  $Z(f_p) \subset Z(g_p)$  if and only if  $(g_p)^m$  is divided by  $f_p$ . We operate a differential operator

$$D^\alpha := \frac{\partial^{|\alpha|}}{\partial q_1^{\alpha_1} \dots \partial q_n^{\alpha_n}}$$

into the rational function  $\varphi_p := (g_p)^m / f_p$ . Let  $\mathcal{N}^\alpha(\varphi_p)$  be a polynomial formally defined as

$$\mathcal{N}^\alpha(\varphi_p) := (f_p)^{|\alpha|+1} \cdot D^\alpha \varphi$$

which is the numerator part of  $D^\alpha \varphi$ .

Now we fix an element  $p_0 \in \mathbf{R}^\ell$  such that  $f_{p_0} \not\equiv 0$ , and choose an element  $q_0 \in \mathbf{C}^n$  such that  $f_{p_0}(q_0) \neq 0$ . Since  $f_p$  is real analytic with respect to the parameter  $p$ , we can take a subdomain  $V$  of  $U$  such that  $f_p(q_0) \neq 0$  for all  $p \in V$ , and  $\varphi_p$  is a polynomial on  $\mathbf{C}$  of degree bounded by  $m^2$  for any  $p \in V$ . Hence for any multi-index  $|\alpha| > m^2$ , we have  $\mathcal{N}^\alpha(\varphi_p)(q_0) = 0$  for  $p \in V$ . By the real analyticity with respect to the parameter  $p$ , we have  $\mathcal{N}^\alpha(\varphi_{p_0})(q_0) = 0$  for  $|\alpha| > m^2$ . Since  $f_{p_0}(q_0) \neq 0$ , we get  $D^\alpha \varphi(q_0) = 0$  for  $|\alpha| > m^2$ . Thus  $\varphi_{p_0}$  is also a polynomial on  $\mathbf{C}$ . (q.e.d.)

The following lemma is easily proved by using the Cauchy-Riemann equation.



**Lemma A.2.** Let  $\mathcal{W}_0$  be a totally real subset of  $\mathbf{P}^{n-1}$  defined by

$$\mathcal{W}_0 := \{[a^1, \dots, a^n] \in \mathbf{P}^{n-1}; a^j \in \mathbf{R} \ (j = 1, \dots, n)\}.$$

Let  $h$  be a homogeneous polynomial on  $\mathbf{C}$ . If  $h$  is identically zero on a non-empty open subset in  $\mathcal{W}_0$ , then  $h \equiv 0$  on  $\mathbf{P}^{n-1}$ .

## Appendix B

Let  $A$  be an  $n \times n$  matrix. The cofactor matrix  $B$  of  $A$  is the matrix satisfying the identity  $BA = AB = \det A \cdot I$ . In this appendix, we give an identity which is useful to compute a differential of the cofactor matrix of a singular matrix.

Let  $\Omega$  be a domain in  $\mathbf{C}$  containing the origin, and  $A(q) : \Omega \rightarrow M(n, \mathbf{C})$  a smooth map into the set of all  $n \times n$  matrices. Let  $B(q)$  be the cofactor matrix of  $A(q)$ . We set  $A := A(0)$  and  $B := B(0)$ . Suppose that

$$(B.1) \quad \det A = \left. \frac{\partial}{\partial q} \right|_{q=0} \det A(q) = 0.$$

Then the following lemma holds.

**Lemma B.1.** Let  $X$  be an  $n \times n$  matrix such that  $\text{Tr}(XB) \neq 0$ . Then the following identity holds:

$$(B.2) \quad \frac{\partial B}{\partial q}(0) = \frac{1}{\text{Tr}(XB)} \left\{ \text{Tr} \left( \frac{\partial A}{\partial q}(0) \cdot \left. \frac{\partial Y_t}{\partial t} \right|_{t=0} \right) \cdot B \right. \\ \left. - \left. \frac{\partial Y_t}{\partial t} \right|_{t=0} \cdot \frac{\partial A}{\partial q}(0) \cdot B - B \cdot \frac{\partial A}{\partial q}(0) \cdot \left. \frac{\partial Y_t}{\partial t} \right|_{t=0} \right\},$$

where  $Y_t$  is the cofactor matrix of  $A + tX$ .

(Proof.) We set  $A_t(q) := A(q) + tX$ , and denote by  $B_t(q)$  its cofactor matrix. We have the following Taylor expansions:

$$A_t(q) = (A + tX) + q \frac{\partial A}{\partial q}(0) + o(q),$$

$$B_t(q) = Y_t + q \frac{\partial B_t}{\partial q}(0) + o(q).$$

Since  $A_t(q)B_t(q) = \det A_t(q) \cdot I$ , we have by taking the first degree terms that

$$\left. \frac{\partial}{\partial q} \right|_{q=0} \det A_t(q) \cdot I = \frac{\partial A}{\partial q}(0) \cdot Y_t + (A + tX) \cdot \frac{\partial B_t}{\partial q}(0).$$

Since

$$\frac{\partial}{\partial t} \Big|_{t=0} \det(A + tX) = \text{Tr}(XB) \neq 0,$$

$A + tX$  is non-singular around  $t = 0$ . Hence we have

$$\begin{aligned} \frac{\partial B_t}{\partial q}(0) &= (A + tX)^{-1} \left( \frac{\partial}{\partial q} \Big|_{q=0} \det A_t(q) \cdot I - \frac{\partial A}{\partial q}(0) \cdot Y_t \right) \\ &= \frac{\frac{\partial}{\partial q} \Big|_{q=0} \det A_t(q) \cdot Y_t - Y_t \cdot \frac{\partial A}{\partial q}(0) \cdot Y_t}{\det(A + tX)}. \end{aligned}$$

Apply de L'Hospital rule to the right-hand side of  $\frac{\partial B}{\partial q}(0) = \lim_{t \rightarrow 0} \frac{\partial B_t}{\partial q}(0)$ . Then we get the equality (B.2). (q.e.d.)

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SHIN KATO: Department of Mathematics, Faculty of Science, Osaka University, Toyonaka 560, JAPAN

MASAAKI UMEHARA: Department of Mathematics, Faculty of Science, Osaka University, Toyonaka 560, JAPAN

*E-mail:* umehara@math.wani.osaka-u.ac.jp

*Current Address:* Max-Planck-Institut für Mathematik, Gottfried-Claren-Straße 26, 53225 Bonn, GERMANY

KOTARO YAMADA: Department of Mathematics, Faculty of General Education, Kumamoto University, Kumamoto 860, JAPAN

*E-mail:* kotaro@gpo.kumamoto-u.ac.jp