

# ON THE DIFFERENCE EQUATION OF THE POINCARÉ TYPE (Part 2)

**L.A. Gutnik**

*Dedicated to the memory of Professor A.O. Gelfond.*

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### §0. Foreword.

Here I begin the presentation of the proof of Theorem 4, which was formulated in the Part 1 ([33]) and proved in [15]; this theorem plays important role in my work ([18] - [36]). With this aim I prove here the following auxiliary Theorem 6, which is proved in [14] as Theorem 1.

**Theorem 6.** *Let us consider the following difference equation:*

$$(1) \quad \sum_{k=0}^n a_k(\nu)y(\nu+k) = 0,$$

with  $n \in \mathbb{N}$ ,  $a_k(\nu) \in \mathbb{C}$  for  $k = 0, \dots, n$  and  $\nu \in \mathbb{N} - 1$ . Let

$$(2) \quad a_k^\sim \in \mathbb{C}, a_k(\nu) \in \mathbb{C}, a_n(\nu) = 1, a_k(\nu) - a_k^\sim = O(1/(\nu+1)),$$

where  $k = 0, \dots, n$  and  $\nu \in \mathbb{N} - 1$ . Let further

$$(3) \quad q \in [1, n] \cap \mathbb{Z}, p = n - q, a_q^\sim \neq 0,$$

$$(4) \quad T_1(z) = \sum_{k=0}^p a_{q+k}^\sim z^k$$

and suppose that the characteristic polynomial

$$(5) \quad T(z) = \sum_{k=0}^n a_k^{\sim} z^k$$

of the equation (1) satisfies the following equality:

$$(6) \quad T(z) = z^q T_1(z).$$

For  $m \in \mathbb{N} - 1$ , let  $V_m$  denote the  $\mathbb{C}$ -linear space of solutions  $y = y(\nu)$  of the equation

$$(7) \quad \sum_{k=0}^n a_k(\nu) y(\nu + k) = 0,$$

where  $\nu \in m - 1 + \mathbb{N}$ , related to equation (1). Then there exist  $C > 0$  and  $m \in \mathbb{N}$  such that  $V_m$  splits into direct sum  $V_m^{\wedge} \oplus V_m^{\vee}$  of two its subspaces  $V_m^{\wedge}$  and  $V_m^{\vee}$ , which have the following properties:

a)

$$(8) \quad V_m^{\wedge} = \{y \in V_m : y(\nu) = O(1)(C/\nu)^{\nu/q}\};$$

b) if  $q = n$ , then

$$(9) \quad V_m^{\vee} = \{0\};$$

c) if  $q < n$ , then  $V_m^{\vee}$  coincides with the space of solutions of a difference equation of Poincaré type

$$(10) \quad \sum_{k=0}^p b_k(\nu) y(\nu + k) = 0,$$

where  $p = n - q$ ,  $b_k(\nu) \in \mathbb{C}$  for  $k = 0, \dots, p$  and  $\nu \in m - 1 + \mathbb{N}$ ,

$$(11) \quad b_0(\nu) \neq 0, b_p(\nu) = 1,$$

for  $\nu \in m - 1 + \mathbb{N}$ ,

$$(12) \quad b_k(\nu) - a_{q+k}^{\sim} = O(1/\nu),$$

where  $k = 0, \dots, p$  and  $\nu \in m - 1 + \mathbb{N}$ .

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### §1. Begin of the proof of Theorem 6.

**Lemma 1.** *Let  $C_0 \geq 1$ ,  $m \in \mathbb{N} - 1$ ,  $m_0 = [nC_0] + m$  and for the coefficients of the equation (7) the following inequality holds:*

$$(13) \quad |a_k(\nu)| \leq \frac{C_0}{\nu + 1},$$

where  $k = 0, \dots, n - 1$  and  $\nu \in m - 1 + \mathbb{N}$ . Let further  $C > enC_0$ . Then for any solution  $y(\nu)$  of the equation (7) the following inequality holds:

$$(14) \quad y(\nu) = O(1)(C/\nu)^{\nu/n},$$

where  $\nu \in m - 1 + \mathbb{N}$ .

**Proof.** If for some  $\nu_0 \in m_0 - 1 + \mathbb{N}$  and all the  $k = 0, \dots, n - 1$  the following inequality holds,

$$|y(\nu_0 + k)| \leq \gamma,$$

then the inequality  $\nu_0 > nC_0$  implies that the inequality  $|y(\nu)| \leq \gamma$  will be fulfilled for all the  $\nu \in \nu_0 - 1 + \mathbb{N}$ . Therefore, if

$$|y(m_0 + k)| \leq \gamma_0,$$

where  $k = 0, \dots, n - 1$  then, in view of (13),

$$|y(\nu)| \leq \gamma_0$$

for  $\nu \in m_0 - 1 + \mathbb{N}$ ,

$$|y(\nu)| \leq \gamma_0 C_0 n / m_0$$

for  $\nu \in m_0 - 1 + n + \mathbb{N}$ ,

$$|y(\nu)| \leq \gamma_0 (C_0 n)^2 / (m_0(m_0 + n))$$

for  $\nu \in m_0 - 1 + 2n + \mathbb{N}$ ,

$$|y(\nu)| \leq \gamma_0 (C_0)^\kappa / (m_0/n)_\kappa =$$

$$\gamma_0 (C_0)^\kappa \frac{\Gamma(m_0/n)}{\Gamma(m_0/n + \kappa)}$$

for  $\nu \in m_0 - 1 + n\kappa + \mathbb{N}$ . But  $\kappa = \nu/n + O(1)$ ; therefore the equality (14) follows from the Stirling's formula. ■

Together with Lemma 1 we have proved the Theorem 6 for the case  $q = n$ . The following result was proved in [33]

**Theorem 5.** *Let the function  $\xi(x)$  is defined on  $[0, +\infty)$ , let  $\xi(x)$  decreases together with increasing of the variable  $x$  in  $[0, +\infty)$ , let  $\lim_{x \rightarrow \infty} (\xi(x)) = 0$  and let  $\xi(x) > 0$  for  $x \in [0, +\infty)$ . Let*

$$(15) \quad \xi(x/2) = O(\xi(x)),$$

when  $x \rightarrow \infty$ ,

$$(16) \quad \lim_{x \rightarrow 0} (\log(\xi(x)))/x = 0.$$

Let  $a_k(\nu) - a_k^\sim = O(\xi(\nu))$ ,  $k = 0, \dots, n$ , when  $\nu \rightarrow \infty$ . Let further the characteristic polynomial (5) of the equation (1) may be represented in the form

$$(17) \quad T(z) = T_1(z)T_2(z),$$

where

$$(18) \quad T_1(z) = \sum_{\alpha=0}^p b_\alpha^\sim z^\alpha, T_2(z) = \sum_{\beta=0}^q u_\beta^\sim z^\beta, b_p^\sim = u_q^\sim = a_n^\sim = 1$$

and absolute value of each root of  $T_1(z)$  is greater than the absolute value of each root of  $T_2(z)$ .

Then there exist  $m \in \mathbb{N}$ ,

$$b_\alpha(\nu) \in \mathbb{C}, \alpha = 0, \dots, p, \nu \in \mathbb{N} + m - 1,$$

and

$$u_\beta(\nu) \in \mathbb{C}, \beta = 0, \dots, q, \nu \in \mathbb{N} + m - 1$$

such that

$$(19) \quad b_\alpha(\nu) - b_\alpha^\sim = O(\xi(\nu)), \alpha = 0, \dots, p, b_p(\nu) = 1, b_0(\nu) \neq 0,$$

$$(20) \quad u_\beta(\nu) - u_\beta^\sim = O(\xi(\nu)), \beta = 0, \dots, q, u_q(\nu) = 1,$$

where  $\nu \in \mathbb{N} + m - 1$ , and, moreover, the connected with the equation (1) the equation (7) is equivqlent to the equation

$$(21) \quad \sum_{\alpha=0}^p b_\alpha(\nu)y(\nu + \alpha) = r(\nu),$$

where  $\nu \in \mathbb{N} - 1 + m$  and  $r(\nu)$  satisfies to the equation

$$(22) \quad \sum_{\beta=0}^q u_\beta(\nu)r(\nu + \beta) = 0$$

with  $\nu \in \mathbb{N} - 1 + m$ .

**Lemma 2.** *Let the conditions of the Theorem 6 are fulfilled and  $q < n$ . Then there exist  $m \in \mathbb{N}$ ,*

$$b_\alpha(\nu) \in \mathbb{C}, \alpha = 0, \dots, p, \nu \in \mathbb{N} + m - 1,$$

and

$$u_\beta(\nu) \in \mathbb{C}, \beta = 0, \dots, q, \nu \in \mathbb{N} + m - 1$$

such that

$$(23) \quad b_\alpha(\nu) - a_{q+\alpha}^\sim = O(1/\nu), \alpha = 0, \dots, p, b_p(\nu) = 1, b_0(\nu) \neq 0,$$

$$(24) \quad u_\beta(\nu) = O(1/\nu), \beta = 0, \dots, q, u_q(\nu) = 1,$$

where  $\nu \in \mathbb{N} + m - 1$ , and, moreover, the connected with the equation (1) the equation (7) is equivalent to the equation (21) where  $\nu \in \mathbb{N} - 1 + m$  and  $r(\nu)$  satisfies to the equation (22) with  $\nu \in \mathbb{N} - 1 + m$ .

**Proof** The Lemma is direct corollary of the Theorem 5. with  $T_2(z) = z^q$  and  $\xi(x) = 1/(x + 1)$ . ■

## §2. The general plan of the construction of the spaces

$$V_m^\vee \text{ and } V_m^\wedge.$$

First we take on the role of  $m$  in the Theorem 6 the  $m$  of the Lemma 2. Let  $R_m$  be the linear over  $\mathbb{C}$  space of all the solutions of the equations (22). According the Lemma 1, there exists  $C > 0$ , such that

$$(25) \quad r(\nu) = O(1)(C/\nu)^{\nu/n}$$

for  $r(\nu) \in R_m$  and  $\nu \in m - 1 + \mathbb{N}$ . The connected with the equation (21) map

$$(26) \quad y(\nu) \rightarrow r(\nu) = \sum_{\alpha=0}^p b_\alpha(\nu)y(\nu + \alpha)$$

is a  $\mathbb{C}$ -linear map of the space  $V_m$  onto  $R_m$  and the null-space  $V_m^\vee$  of this map is a  $\mathbb{C}$ -linear subspace of  $V_m$ , which coincides with the space of solutions of the equation (10). The Theorem 6 will be proved, if after replacement of  $m$  in the Lemma 2 by the bigger  $m \in \mathbb{N}$  we will constructed a splitting monomorphism  $\xi_m$  of the space  $R_m$  into  $V_m$  with the property:

$$(27) \quad y(\nu) = O(1)(C/\nu)^{\nu/n},$$

for  $y(\nu) \in V_m^\wedge = \xi_m(R_m)$  and  $\nu \in m - 1 + \mathbb{N}$ .

### §3. On some linear normed spaces of sequences of elements of a linear normed space.

Let  $K$  be one of the fields  $\mathbb{R}$  or  $\mathbb{C}$  and  $L$  be a linear normed space over  $K$  with norm  $p(x)$ . In the case  $L = K^n$  we fix as  $p(x)$ , where  $x \in K^n$ , the maximum of the absolute values of coordinates of  $x$  in the standard basis, i.e.

$$(28) \quad p(x) = h(x) = \sup(\{|x_1|, \dots, |x_n|\}),$$

where

$$x = \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}.$$

If  $L$  is a Banach space with the norm  $p$ , then  $K$ -algebra of all the linear continuous operators acting in  $L$  will be denoted by  $\mathfrak{M}^\wedge(L)$ , and the norm on  $\mathfrak{M}^\wedge(L)$ , associated with the norm  $p$  will be denoted by  $p^\sim$ . So,

$$p^\sim(A) = \sup(\{p(AX) : X \in L, p(X) \leq 1\}).$$

It is well known that the associated with  $h$  norm on  $Mat_n(\mathbb{C})$  is defined as follows

$$(29) \quad h^\sim(A) = \sup \left( \left\{ \sum_{k=1}^n |a_{i,j}| : i = 1, \dots, n \right\} \right),$$

where  $A = (a_{i,k}) \in Mat_n(\mathbb{C})$ . The norms  $h$  and  $h^\sim$  coincide respectively with the norms  $q_\infty$  and  $q_\infty^\sim$  considered in section 6 of the paper [33].

Let  $m \in \mathbb{N}$ , and let  $E_m(L)$  be the set  $L^{m-1+\mathbb{N}}$  of all the maps of the set  $m-1+\mathbb{N}$  into  $L$ . The set  $E_m(L)$  is a linear space over  $K$ , where the multiplication of the elements by the number from  $K$  and addition of the elements is defined coordinate-wise. The subspace of  $E_m(L)$  composed by all the constant maps is isomorphic to  $L$ , and we identify this subspace with  $L$ .

We denote by  $\mathfrak{M}^\vee(\mathfrak{L})$  the space of all the  $K$ -linear maps of the space  $L$  in  $L$ . If  $\phi \in \mathfrak{M}^\vee(\mathfrak{L})$  and  $\psi \in \mathfrak{M}^\vee(\mathfrak{L})$ , then  $\phi \circ \psi$  denotes the composition of operators  $\phi$  and  $\psi$ , so that  $(\phi \circ \psi)f = \phi((\psi f))$  for each  $f \in L$ . For  $x \in E_m(L)$  let

$$p_{m,\infty}(x) = \sup(\{p(x(\nu)) : \nu \in m-1+\mathbb{N}\}).$$

Let further

$$\begin{aligned} E_{m,\infty}(L) &= \{x \in E_m(L) : p_{m,\infty}(x) \neq \infty\}, \\ E_{m,0}(L) &= \{x \in E_m(L) : \lim_{\nu \rightarrow \infty} p(x(\nu)) = 0\}, \\ E_m^\rightarrow(L) &= L + E_{m,0}(L). \end{aligned}$$

Clearly, the space  $E_m^{\rightarrow}(L)$  consists of all the  $y \in E_m(L)$ , for which there exists

$$\lim(y) = \lim_{\nu \rightarrow \infty} (y(\nu)).$$

Let  $m \in \mathbb{N} - 1$ ,  $\mu \in m - 1 + \mathbb{N}$  and let  $r_{m,\mu}$  be the operator of restriction of the elements  $y \in E_m(L)$  on the set  $m - 1 + \mathbb{N}$ . Clearly, the map  $r_{m,\mu}$  is an epimorphism of the space  $E_m(L)$  onto the space  $E_\mu(L)$ . If  $L$  is a  $K$ -algebra, then  $E_m(L)$  is a  $K$ -algebra, where the multiplication and addition of the elements is defined coordinate-wise; so, in this case  $r_{m,\mu}$  is an epimorphism of  $K$ -algebra  $E_m(L)$  onto  $K$ -algebra  $E_\mu(L)$ .

If  $L$  be an algebra with unity, let  $L^*$  denotes the group of all its invertible elements. Then

$$(L^*)^{m-1+\mathbb{N}} \subset L^{m-1+\mathbb{N}};$$

we denote below  $(L^*)^{m-1+\mathbb{N}}$  by  $E_m(L^*)$ . Clearly,

$$E_m(L^*) = (E_m(L))^*.$$

Let  $L = \mathbb{C}^n$ ,  $y \in E_m(L)$ , and let  $y_i(\nu)$  denotes the  $i$ -th coordinate of the element  $y(\nu)$ , where  $i = 1, \dots, n$ ,  $\nu \in m - 1 + \mathbb{N}$ ; then the space  $(E_m(\mathbb{C}))^n$  contains an element  $\omega(y)$ , which has  $y_i(\nu)$  as the value of its  $i$ -th coordinate at the point  $\nu \in m - 1 + \mathbb{N}$ . So we obtain the natural isomorphism  $\omega$  of the algebra  $E_m(\mathbb{C}^n)$  onto  $(E_m(\mathbb{C}))^n$ . This map  $\omega$  induces an isomorphism of the algebra  $E_m(Mat_n(\mathbb{C}))$  onto  $Mat_n(E_m(\mathbb{C}))$ .

Clearly, if  $L$  is a  $K$ -algebra, then each  $a \in E_m(L)$  determines an acting on  $E_m(L)$   $K$ -linear operator  $\mu_a \in \mathfrak{M}^\vee(\mathfrak{E}_m(\mathfrak{L}))$ , which turns any  $y \in E_m(L)$  into  $\mu_a y = ay$ . On  $E_m(L)$  acts also  $K$ -linear operator  $\nabla \mathfrak{M}^\vee(\mathfrak{L})$ , which turns any  $y \in E_m(L)$  in the  $\nabla y \in E_m(L)$  such that

$$(\nabla y)(\nu) = y(\nu + 1)$$

for any  $\nu \in m - 1 + \mathbb{N}$ . Let us consider the subring  $\mathfrak{A}_m(L)$  of the ring  $\mathfrak{M}^\vee(\mathfrak{L})$  generated by the operator  $\nabla$  and by all the operators  $\mu_a$ , where  $a \in E_m(L)$ . Clearly,

$$(30) \quad \mu_a \circ \nabla^r \circ \mu_b \circ \nabla^s = \mu_{a \nabla^r b} \circ \nabla^{r+s},$$

where  $\{r, s\} \subset \mathbb{N} - 1$ ,  $\{a, b\} \subset E_m(L)$ . For each  $\alpha \in \mathfrak{A}_m(L) \setminus \{0_{\mathfrak{A}_m(L)}\}$  are uniquely defined the number  $\deg(\alpha)$  and representation of  $\alpha$  in the form

$$(31) \quad \alpha = \sum_{k=0}^{\deg(\alpha)} \mu_{a_k} \circ \nabla^k,$$

where  $a_k \in E_m(L)$  for  $k = 0, \dots, \deg(\alpha)$  and  $a_{\deg(\alpha)} \neq 0_{E_m(L)}$ . Clearly, (31) may be rewritten in the form

$$(32) \quad \alpha = \sum_{k=0}^{\infty} \mu_{a_k} \circ \nabla^k,$$

where  $a_k = 0_{E_m(L)}$  for  $k \in \deg(\alpha) + \mathbb{N}$ . It follows from (30) that  $\mathfrak{A}_m(L)$  is a graduated algebra, and if

$$(33) \quad \beta = \sum_{r=0}^p \mu_{b_r} \circ \nabla^r \in \mathfrak{A}_m(L),$$

$$(34) \quad \gamma = \sum_{s=0}^q \mu_{c_s} \circ \nabla^s \in \mathfrak{A}_m(L),$$

then

$$(35) \quad \beta\gamma = \sum_{k=0}^{p+q} \sum_{\substack{\leq r \leq p \\ 0 \leq s \leq q \\ r+s=k}} \mu_{b_r \nabla^r c_s} \circ \nabla^{r+s};$$

clearly,  $\deg(\beta\gamma) = \deg(\beta) + \deg(\gamma)$ , if  $b_p(\nu)^r c_q(\nu+p)$  is different from 0 at least for one  $\nu \in m-1 + \mathbb{N}$ . Let  $\mathfrak{A}_m^{\rightarrow}(L)$  be the ring generated by the operator  $\nabla$  and by all the operators  $\mu_a$ , where  $a \in E_m^{\rightarrow}(L)$ . Since  $\nabla a \in E_m^{\rightarrow}(L)$ , if  $a \in E_m^{\rightarrow}(L)$ , it follows, in view of (30), that  $\mathfrak{A}_m^{\rightarrow}(L)$  is a graduated subalgebra  $\mathfrak{A}_m^{\rightarrow}(L)$  of the algebra  $\mathfrak{A}_m(L)$ , each  $\alpha \in \mathfrak{A}_m(L) \setminus \{0_{\mathfrak{A}_m(L)}\}$  admits a representation in the form (31) with  $a_k \in E_m^{\rightarrow}(L)$  for  $k = 0, \dots, \deg(\alpha)$  and  $a_{\deg(\alpha)} \neq 0_{E_m(L)}$ ; to each such  $\alpha$  corresponds the limit operator

$$(36) \quad \lim(\alpha) = \sum_{k=0}^{\deg(\alpha)} \mu_{\lim(a_k)} \circ \nabla^k,$$

and polynomial

$$(37) \quad P(\alpha, z) = \sum_{k=0}^{\deg(\alpha)} \lim(a_k) z^k \in L[z].$$

If  $\alpha = 0_{\mathfrak{A}_m(L)}$ , then we put

$$\lim(\alpha) = 0_{\mathfrak{A}_m(L)}, \quad P(\alpha, z) = 0_{L[z]}.$$

The equality (30) shows that the map

$$(38) \quad \alpha \rightarrow P(\alpha, z)$$

is an epimorphism of the algebra  $\mathfrak{A}_m^{\rightarrow}(L)$  on on the algebra  $L[z]$ . We note that, if  $\alpha \in \mathfrak{A}_m(\mathbb{C})$ , then  $Ker(\alpha)$  coincides with the linear space of all the solutions of the equation (7); moreover if  $\alpha \in \mathfrak{A}_m^{\rightarrow}(\mathbb{C})$ , then the corresponding



to  $\alpha$  equation (7) is an equation of the Poincaré type and  $P(\alpha, z)$  is its characteristical polynomial.

Let  $L$  be an algebra with unity. The set of all the  $\alpha \in \mathfrak{A}_m(L) \setminus \{0_{\mathfrak{A}_m(L)}\}$ , which have the representation (31) with  $a_{\deg(\alpha)} \in E_m(L^*)$  will be denoted further by  $\mathfrak{A}_m(L)^\circ$ . The set of all the  $\alpha \in \mathfrak{A}_m(L) \setminus \{0_{\mathfrak{A}_m(L)}\}$ , which have the representation (31) with  $a_{\deg(\alpha)} = 1_{E_m(L)}$  will be denoted further by  $\mathfrak{A}_m(L)^\vee$ . The set of all the  $\alpha \in \mathfrak{A}_m(L) \setminus \{0_{\mathfrak{A}_m(L)}\}$ , which have the representation (31) with  $a_0 \in E_m(L^*)$ , will be denoted further by  $\mathfrak{A}_m(L)^\wedge$ . Let further

$$\mathfrak{A}_m(L)^{\circ\wedge} = \mathfrak{A}_m(L)^\circ \cap \mathfrak{A}_m(L)^\wedge, \quad \mathfrak{A}_m(L)^{\vee\wedge} = \mathfrak{A}_m(L)^\vee \cap \mathfrak{A}_m(L)^\wedge,$$

$$\mathfrak{A}_m(L)^{\circ\rightarrow} = \mathfrak{A}_m(L)^\circ \cap \mathfrak{A}_m(L)^\rightarrow, \quad \mathfrak{A}_m(L)^{\vee\rightarrow} = \mathfrak{A}_m(L)^\vee \cap \mathfrak{A}_m(L)^\rightarrow,$$

$$\mathfrak{A}_m(L)^{\circ\wedge\rightarrow} = \mathfrak{A}_m(L)^{\circ\wedge} \cap \mathfrak{A}_m(L)^\rightarrow, \quad \mathfrak{A}_m(L)^{\vee\wedge\rightarrow} = \mathfrak{A}_m(L)^{\vee\wedge} \cap \mathfrak{A}_m(L)^\rightarrow,$$

Clearly,  $\mathfrak{A}_m(L)^\circ$  consists of epimorphisms of the space  $E_m(L)$  onto  $E_m(L)$ . The above map  $r_{m,\mu}$  induces epimorphism  $r_{m,\mu}^\triangleright$  of the algebra  $\mathfrak{A}_m(L)$  on the algebra  $\mathfrak{A}_\mu(L)$  defined as follows:

if  $\alpha \in \mathfrak{A}_m(L)$ ,

$$(39) \quad \alpha = \sum_{k=0}^n \mu_{a_k} \circ \nabla^k,$$

then

$$(40) \quad r_{m,\mu}^\triangleright(\alpha) = \sum_{k=0}^n \mu_{r_{m,\mu}(a_k)} \circ \nabla^k,$$

where the operator  $\nabla$  in (39) acts in  $E_m(L)$  and the operator  $\nabla$  in (40) acts in  $E_\mu(L)$ . Clearly,  $r_{m,\mu}^\triangleright$  surjectively maps

$\mathfrak{A}_m(L)^\circ$  onto  $\mathfrak{A}_\mu(L)^\circ$ ,  $\mathfrak{A}_m(L)^\vee$  onto  $\mathfrak{A}_\mu(L)^\vee$ ,  
 $\mathfrak{A}_m(L)^\wedge$  onto  $\mathfrak{A}_\mu(L)^\wedge$ ,  $\mathfrak{A}_m(L)^{\circ\wedge}$  onto  $\mathfrak{A}_\mu(L)^{\circ\wedge}$ ,  
 $\mathfrak{A}_m(L)^{\vee\wedge}$  onto  $\mathfrak{A}_\mu(L)^{\vee\wedge}$ ,  $\mathfrak{A}_m(L)^{\circ\rightarrow}$  onto  $\mathfrak{A}_\mu(L)^{\circ\rightarrow}$ ,  
 $\mathfrak{A}_m(L)^{\vee\rightarrow}$  onto  $\mathfrak{A}_\mu(L)^{\vee\rightarrow}$ ,  $\mathfrak{A}_m(L)^{\circ\wedge\rightarrow}$  onto  $\mathfrak{A}_\mu(L)^{\circ\wedge\rightarrow}$ ,  
 $\mathfrak{A}_m(L)^{\vee\wedge\rightarrow}$  onto  $\mathfrak{A}_\mu(L)^{\vee\wedge\rightarrow}$ . Since the diagram

$$\begin{array}{ccc} E_m(L) & \xrightarrow{r_{m,\mu}} & E_\mu(L) \\ \alpha \downarrow & & \downarrow r_{m,\mu}^\triangleright(\alpha) \\ E_m(L) & \xrightarrow{r_{m,\mu}} & E_\mu(L) \end{array}$$

is commuative and therefore

$$(41) \quad r_{m,\mu}\alpha = r_{m,\mu}^\triangleright(\alpha)r_{m,\mu},$$

it follows that  $r_{m,\mu}$  surjectively maps  $Ker(r_{m,\mu}\alpha)$  onto

$$Ker(r_{m,\mu}^{\triangleright}(\alpha)) \supset r_{m,\mu}Ker(\alpha).$$

**Lemma 3.** *If  $\mu \in m - 1 + \mathbb{N}$  and  $\alpha \in \mathfrak{A}_m(L)^\wedge$ , then the operator  $\alpha$  bijectively maps  $Ker(r_{m,\mu})$  onto  $Ker(r_{m,\mu})$ .*

**Proof.** For  $m = \mu$  we have equality  $Ker(r_{m,\mu}) = 0_{E_m(L)}$  and assertion of the Lemma is obvious. Let  $\mu > m$ . Clearly,

$$Ker(r_{m,\mu}) = \{x \in E_m(L) : x(\nu) = 0_L, \nu \in \mu - \mathbb{N} - 1\}.$$

Let  $\alpha \in \mathfrak{A}_m(L)^\wedge$  has the form (39) with  $a_0(\nu) \in L^*$ . If  $x \in Ker(r_{m,\mu})$ , and

$$(42) \quad y = \alpha(x),$$

then

$$(43) \quad y(\nu) = \sum_{k=0}^n a_k(\nu)x(\nu + k)$$

and therefore  $y(\nu) = 0_L$  for  $\nu \in \mu - 1 + \mathbb{N}$ , if  $x(\nu) = 0_L$  for  $\nu \in \mu - 1 + \mathbb{N}$ . On the other hand for given  $y \in Ker(r_{m,\mu})$  the coordinates  $x(\nu)$  in (42) must be equal to  $0_L$ , if  $\nu \in \mu - 1 + \mathbb{N}$ , and the equalities

$$(44) \quad x(\mu - j) = (a_0(\mu - j))^{-1} \left( y(\mu - j) - \sum_{k=1}^n a_k(\mu - j)x(\mu - j + k) \right).$$

determined successively and in the unique way the coordinates  $x(\mu - j)$  for  $j \in [1, \mu - m] \cap \mathbb{Z}$ . ■

**Corollary 1.** *Let  $\mu \in m - 1 + \mathbb{N}$  and let  $\alpha \in \mathfrak{A}_m(L)^\wedge$ . If*

$$g \in E_m(L), x \in E_\mu(L), m \leq \mu, \alpha \in \mathfrak{A}_m(L)^\wedge,$$

$$r_{m,\mu}(g) = (r_{m,\mu}^{\triangleright}(\alpha))(x),$$

then there exists a unique  $y \in E_m(L)$  such that

$$\alpha(y) = g, r_{m,\mu}(y) = x;$$

**Proof.** Since  $r_{m,\mu}$  is an epimorphism of  $E_m(L)$  onto  $E_\mu(L)$ , it follows that there exists  $z \in E_m(L)$  such that  $r_{m,\mu}(z) = x$  In view of (41),

$$r_{m,\mu}(\alpha(z)) = (r_{m,\mu}^{\triangleright}(\alpha))(r_{m,\mu}(z)) = (r_{m,\mu}^{\triangleright}(\alpha))(x) = r_{m,\mu}(g).$$

Then  $g - \alpha(z) \in Ker(r_{m,\mu})$ . According to the Lemma 3,  $Ker(r_{m,\mu})$  contains an element  $u$  such that  $g - \alpha(z) = \alpha(u)$ . Let  $y = z + u$ . Then

$$\alpha(y) = g, r_{m,\mu}(y) = r_{m,\mu}(z) = x.$$

If  $\alpha(y) = r_{m,\mu}(y) = 0_{E_m(L)}$ , then  $y \in \text{Ker}(r_{m,\mu})$ , and the Lemma 3 implies the equality  $y = 0_{E_m(L)}$ . ■

**Corollary 2.** *Let  $\mu \in m - 1 + \mathbb{N}$  and  $\alpha \in \mathfrak{A}_m(L)^\wedge$ . Then and  $r_{m,\mu}$  bijectively maps  $\text{Ker}(\alpha)$  onto  $\text{Ker}(r_{m,\mu}^\triangleright(\alpha)) = r_{m,\mu}(\text{Ker}(\alpha))$ .*

**Proof.** Let  $x \in \text{Ker}(r_{m,\mu}^\triangleright(\alpha))$ . Clearly, the conditions of the Corollary 1 are fulfilled for  $g = 0_{E_m(L)}$  and  $x$ . Therefore there exist a unique  $y \in \text{Ker}(\alpha)$  such that  $r_{m,\mu}(y) = x$ . ■

If for the equation (1) are fulfilled the conditions (2) then

$$(45) \quad a_k = (a_k(1), a_k(2), \dots, a_k(\nu), \dots) \in E_1^\rightarrow(\mathbb{C}),$$

where  $k = 0, \dots, n$ . Moreover  $a_n = 1_{E_1(\mathbb{C})}$ , for  $\alpha$  in (39)  $\text{Ker}(\alpha)$  coincides with the linear over  $\mathbb{C}$  space of all the solutions of the equation (1), polynomial (5) is equal to  $P(\alpha, z) = P(r_{0,m}^\triangleright(\alpha), z)$ , where  $m \in \mathbb{N}$ , and  $\text{Ker}(r_{1,m}^\triangleright(\alpha))$  coincides with linear over  $\mathbb{C}$  space  $V_m$  of all the solutions of the equation (7).

Let  $\mathfrak{v}$  be the element in  $E_{0,0}$ , for which

$$\mathfrak{v}(\nu) = \frac{1}{\nu + 1},$$

where  $\nu \in \mathbb{N} - 1$ . Clearly,  $r_{0,m}(\mathfrak{v})E_{m,\infty}(\mathbb{C}) \subset E_{m,0}(\mathbb{C})$  for any  $m \in \mathbb{N} - 1$ . Let

$$E_{m,0}^\succ(L) = r_{0,m}(\mathfrak{v})E_{m,\infty}(L), \quad E_m^\succ(L) = L + E_{m,0}^\succ(L).$$

Let us consider the ring  $\mathfrak{A}_m^\succ(L)$  generated by the operator  $\nabla$  and by all the operators  $\mu_a$ , where  $a \in E_m(L)^\succ$  and let

$$\mathfrak{I}_m^\succ(L) = \{\alpha \in \mathfrak{A}_m^\succ(L) : P(\alpha, z) = 0\}.$$

The Lemma 2 may be reformulated now as follows:

**Lemma 4.** *Let  $\alpha \in \mathfrak{A}_0^\succ(\mathbb{C}) \cap \mathfrak{A}_0^\vee(\mathbb{C})$ , and  $P(\alpha, z)$  coincides with the polynomial  $T(z)$  in (5) and (6).*

*Then there exist  $m \in \mathbb{N}$  and representation of the operator  $r_{0,m}^\triangleright(\alpha)$  in the form*

$$(46) \quad r_{0,m}^\triangleright(\alpha) = \psi\beta$$

such that

$$(47) \quad \psi \in \mathfrak{A}_m^\succ(\mathbb{C}) \cap \mathfrak{A}_m^\vee(\mathbb{C}), \quad \psi - \nabla^q \in \mathfrak{I}_m^\succ(\mathbb{C}),$$

$$(48) \quad \beta \in \mathfrak{A}_m^\succ(\mathbb{C}) \cap \mathfrak{A}_m^{\vee\wedge}(\mathbb{C}), \quad \deg(\beta) = p = n - q,$$

and  $P(\beta, z)$  coincides with the polynomial  $T_1(z)$  in (6).

Let  $C > 0$ ,  $n \in \mathbb{N}$ . Let  $w_{C,n}$  denotes the element in  $E_{0,0}(\mathbb{C})$ , for which

$$(49) \quad w_{C,n}(\nu) = \left( \frac{C}{\nu + 1} \right)^{\nu/n},$$

where  $\nu \in \mathbb{N} - 1$ . The Lemma 1 admits the following reformulation:

**Lemma 5.** Let  $m \in \mathbb{N}$  and  $\alpha$  from (31) belongs to  $\mathfrak{A}_m^\vee(\mathbb{C})$  and

$$\deg(\alpha) = n, \quad a_k \in r_m(\mathfrak{v})E_{m,\infty},$$

where  $k = 0, \dots, n - 1$ . Let further

$$C_0 \geq 1, \quad q_\infty((r_m(\mathfrak{v}))^{-1})a_{n-k} \leq C_0,$$

where  $k = 0, \dots, n - 1$ . Let

$$(50) \quad C > enC_0.$$

Then  $\text{Ker}(\alpha) \subset r_{0,m}(w_{C,n})E_{m,\infty}(\mathbb{C}) \subset E_{m,0}(\mathbb{C})$ .

Each  $A \in E_m(\mathfrak{M}^\vee(L))$  defines a linear operator  $A^\vee \in \mathfrak{M}^\vee(E_m(L))$ , such that

$$(A^\vee y)(\nu) = (A(\nu))(y(\nu)),$$

where  $\nu \in m - 1 + \mathbb{N}$ ,  $y \in E_m(L)$ . The operator  $A^\vee$  is invertible if and only if, when the operator  $A(\nu)$  is invertible for any  $\nu \in m - 1 + \mathbb{N}$ ; in this case the map

$$\nu \mapsto (A(\nu))^{-1},$$

where  $\nu \in m - 1 + \mathbb{N}$ , will be denoted by  $A^{-1}$ . So,

$$A^{-1}(\nu) = (A^{-1})(\nu),$$

where  $\nu \in m - 1 + \mathbb{N}$ . Clearly,  $(A^\vee)^{-1} = (A^{-1})^\vee$ . For  $\lambda > 0$  let  $T_{m,\lambda}(L)$  denotes the element of  $E_m(\mathfrak{M}^\vee(L))$ , for which  $((T_{m,\lambda}(L)(\nu))y)(\nu) = \lambda^\nu y(\nu)$ , where  $\nu \in m - 1 + \mathbb{N}$ . Clearly,

$$(51) \quad (T_{m,\lambda}(\mathfrak{M}^\vee(L))A)^\vee = (T_{m,\lambda}(L))^\vee A^\vee = A^\vee (T_{m,\lambda}(L))^\vee,$$

$$T_{m,1}(L)^\vee = 1_{\mathfrak{M}^\vee(E_m(L))}, \quad T_{m,\lambda_1\lambda_2}(L)^\vee = (T_{m,\lambda_1}(L))^\vee (T_{m,\lambda_2}(L))^\vee,$$

where  $A \in E_m(\mathfrak{M}^\vee(L))$ ,  $\lambda > 0$ ,  $\lambda_1 > 0$  and  $\lambda_2 > 0$ . Let

$$E_{m,\lambda}(L) = \{y \in E_m(L) : p_{m,\lambda}(y) = p_{m,\infty}((T_{m,\lambda}(L))^{-1}y) < +\infty\}.$$

Then  $(E_{m,\lambda}(L), p_{m,\lambda})$  is a Banach space, and

$$E_{m,1}(L) = E_{m,\infty}(L), \quad p_{m,1} = p_{m,\infty}.$$

Clearly, the map  $T_{m,\lambda}(L)$  is an isometry of  $E_{m,1}(L) = E_{m,\infty}(L)$  onto  $E_{m,\lambda}(L)$ , and the map  $T_{m,\lambda}(L)\nabla^m$  is an isometry of  $(E_{0,1}(L), p_{0,1}) = (E_{0,\infty}(L), p_{0,\infty})$  onto  $(E_{m,\lambda}(L), p_{m,\lambda})$ .

**Lemma 6.** Let  $m \in \mathbb{N} - 1$  and  $\alpha$  from (31) belongs to  $\mathfrak{A}_m^{\rightarrow}(\mathbb{C})$ . Then  $\alpha$  is bounded linear operator on the space  $E_{m,\lambda}(\mathbb{C})$  and

$$(52) \quad p_{m,\lambda}^{\sim}(\alpha) \leq \sum_{k=0}^{\deg(\alpha)} p_{m,1}(a_k) \lambda^k$$

**Proof.** Since  $\alpha \in \mathfrak{A}_m^{\rightarrow}(\mathbb{C})$ , it follows that  $a_k \in E_{m,1}(\mathbb{C})$  for  $k = 0, \dots, n$ . In view of (31), if  $y \in E_{m,\lambda}(\mathbb{C})$ , then

$$\begin{aligned} (\alpha(y))(\nu) &= \sum_{k=0}^{\deg(\alpha)} a_k(\nu) \lambda^{\nu+k} \lambda^{-\nu-k} y(\nu+k) = \\ &= \lambda^{\nu} \sum_{k=0}^{\deg(\alpha)} a_k(\nu) \lambda^k \lambda^{-\nu-k} y(\nu+k) \end{aligned}$$

and

$$(53) \quad \left| \sum_{k=0}^{\deg(\alpha)} a_k(\nu) \lambda^k \lambda^{-\nu-k} y(\nu+k) \right| \leq p_{m,\lambda}(y) \sum_{k=0}^{\deg(\alpha)} p_{m,1}(a_k) \lambda^k.$$

The inequality (52) follows from (53). ■

**Lemma 7.** If  $\lambda > 0$ ,  $\theta > 0$ ,

$$(54) \quad A \in E_{m,\theta/\lambda}(\mathfrak{M}(L)),$$

then  $A^{\vee}$  turns  $E_{m,\lambda}(L)$  in  $E_{m,\theta}(L)$ , and

$$(p^{\sim})_{m,\theta/\lambda}(A) = \sup(\{p_{m,\theta}(A^{\vee}y) : y \in E_{m,\lambda}(L), p_{m,\lambda}(y) \leq 1\}).$$

**Proof.** Let  $y \in E_{m,\lambda}(L)$  and  $z = (T_{m,\lambda}(L))^{-1}y$ . Then

$$z \in E_{m,1}(L), p_{m,\lambda}(y) = p_{m,1}(z).$$

Let  $B = (T_{m,\theta/\lambda}(\mathfrak{M}(L)))^{-1}A$ . Then

$$B \in E_{m,1}(\mathfrak{M}(L)), (p^{\sim})_{m,\theta/\lambda}(A) = (p^{\sim})_{m,1}(B).$$

Therefore, in view of (51),

$$B^{\vee}z \in E_{m,1}(L), T_{m,\theta}(L)(B^{\vee}z) \in E_{m,\theta}(L),$$

$$\begin{aligned}
A^\vee y &= A^\vee T_{m,\lambda} z = A^\vee T_{m,\theta}(L) T_{m,\lambda/\theta}(L) z = \\
&= T_{m,\theta}(L) A^\vee T_{m,\lambda/\theta}(L) z = \\
&= T_{m,\theta}(L) (T_{m,\lambda/\theta}(\mathfrak{M}(L)) A)^\vee z = \\
T_{m,\theta}(L) ((T_{m,\theta/\lambda}(\mathfrak{M}(L)))^{-1} A)^\vee z &\in E_{m,\theta}(L).
\end{aligned}$$

Further we have

$$\begin{aligned}
(p^\sim)_{m,\theta/\lambda}(A) &= \sup(\{p^\sim((\theta/\lambda)^{-\nu} A(\nu)) : \nu \in m-1 + \mathbb{N}\}) = \\
&= \sup(\{p((\theta/\lambda)^{-\nu} A(\nu) z(\nu)) : z(\nu) \in L, p(z(\nu)) \leq 1, \nu \in m-1 + \mathbb{N}\}) = \\
&= \sup(\{p((\theta^{-\nu} A(\nu)) y(\nu)) : y(\nu) \in L, p(y(\nu)) \leq \lambda^\nu, \nu \in m-1 + \mathbb{N}\}) = \\
&= \sup(\{p((\theta^{-\nu} (A^\vee y)(\nu)) : y(\nu) \in L, \lambda^{-\nu} p(y(\nu)) \leq 1, \nu \in m-1 + \mathbb{N}\}) = \\
&= \sup(\{p_{m,\theta}(A^\vee y) : y(\nu) \in E_{m,\lambda}(L), p_{m,\lambda}(y) \leq 1\}).
\end{aligned}$$

■

**Corollary.** *If  $A \in E_{m,1}(\mathfrak{M}(L))$ , then  $A^\vee$  turns  $E_{m,\lambda}(L)$  in  $E_{m,\lambda}(L)$ , and*

$$(55) \quad (p^\sim)_{m,1}(A) =$$

$$\sup(\{p_{m,\lambda}(A^\vee y) : y \in E_{m,\lambda}(L), p_{m,\lambda}(y) \leq 1\}) = (p_{m,\lambda})^\sim(A^\vee) = (p_{m,1}^\sim)(A^\vee).$$

**Proof.** The assertion of the Corollary follows directly from the assertion of the Lemma for  $\theta = \lambda$ . ■

Clearly, if  $\lambda > 0$ ,  $\theta > 0$ ,  $A \in E_{m,\lambda}(\mathfrak{M}(L))$ ,  $B \in E_{m,\theta}(\mathfrak{M}(L))$ , then  $AB$  is contained in  $E_{m,\lambda\theta}(\mathfrak{M}(L))$ . Clearly,  $\nabla$  maps  $E_{m,\lambda}(L)$  in  $E_{m,\lambda}(L)$  and

$$(56) \quad (p_{m,\lambda})^\sim(\nabla) = \lambda.$$

Clearly, for any  $k \in \mathbb{N} - 1$ ,  $A \in E_m(\mathfrak{M}(L))$

$$(57) \quad (\nabla \circ A^\vee)^k = \left( \prod_{\kappa=1}^k (\nabla^\kappa A) \right) \circ \nabla^\kappa.$$

Let  $L$  is a Banach space over the field  $K$  and  $A \in E_{m,1}(\mathfrak{M}^\wedge(L))$ . Let further there exists  $A^{-1} \in E_{m,1}(\mathfrak{M}^\wedge(L))$ , and

$$(58) \quad (p^\sim)_{m,1}(A^{-1}) = \rho < 1/\lambda.$$

Then, clearly,  $\mathfrak{M}^\wedge(E_{m,\lambda}(L))$  contains the linear operator

$$\begin{aligned}
(59) \quad & - (A^{-1})^\vee \sum_{k=0}^{\infty} (\nabla \circ (A^{-1})^\vee)^k = \\
& - (A^{-1})^\vee (1_{\mathfrak{M}^\wedge(E_{m,\lambda}(L))} - \nabla \circ (A^{-1})^\vee)^{-1} = (\nabla - A^\vee)^{-1},
\end{aligned}$$

and in view of (56) and (59),

$$(60) \quad (p_{m,1})(\nabla - A^\vee)^{-1} \leq \rho/(1 - \rho\lambda).$$

According to (57), the equality (59) may be rewritten in the form

$$(61) \quad (\nabla - A^\vee)^{-1} = -(A^{-1})^\vee \sum_{k=0}^{\infty} \left( \prod_{\kappa=1}^k (\nabla^\kappa(A^{-1}))^\vee \right) \circ \nabla^k.$$

**Lemma 8.** ([21], Lemma 2, [15], Lemma 2) *Let  $A \in Mat_n(\mathbb{C})$  and let  $k$  is a maximal order of its Jordan blocks. Then there exists a constant  $\gamma^*(A) > 0$  with the following properties:*

*for any  $\varepsilon > 0$  there exists a norm  $p_{A,\varepsilon}$  on  $\mathbb{C}^n$  such that*

$$(62) \quad p_{A,\varepsilon} \leq \gamma^*(A)(\max(1, 1/\varepsilon))^{k-1}h,$$

$$(63) \quad h \leq \gamma^*(A)(\max(1, \varepsilon))^{k-1}p_{A,\varepsilon},$$

$$(64) \quad (p_{A,\varepsilon})^\sim \leq (\gamma^*(A))^2(\max(\varepsilon, 1/\varepsilon))^{k-1}h^\sim,$$

$$(65) \quad h^\sim \leq (\gamma^*(A))^2(\max(\varepsilon, 1/\varepsilon))^{k-1}(p_{A,\varepsilon})^\sim,$$

$$(66) \quad \|A\|_{sp} \leq (p_{A,\varepsilon})^\sim \leq \|A\|_{sp} + (\text{sign}(k-1))\varepsilon,$$

where  $\|A\|_{sp}$  denotes the maximum of the absolute values of eigenvalues of the matrix  $A$ . If, moreover,

$$(67) \quad \det(A) \neq 0, \quad \|A^{-1}\|_{sp}^{-1} > (\text{sign}(k-1))\varepsilon,$$

then

$$(68) \quad \|A^{-1}\|_{sp} \leq (p_{A,\varepsilon})^\sim(A^{-1}) \leq \left( \|A^{-1}\|_{sp}^{-1} - (\text{sign}(k-1))\varepsilon \right)^{-1}$$

**Proof.** Let  $C \in Mat_n(\mathbb{C})$ ,  $\det(C) \neq 0$  and

$$(69) \quad J = C^{-1}AC$$

is a Jordan form of  $A$ . Let  $J$  is composed by  $s$  Jordan  $k_i \times k_i$ -blocks  $J_i$ , where  $i = 1, \dots, s$  and  $\sum_{i=1}^s k_i = n$ . Let  $\varepsilon > 0$ , and let  $T_{m,\varepsilon}^\wedge$  denotes the diagonal  $m \times m$ -matrix, which  $i$ -th diagonal element is equal to  $\varepsilon^{i-1}$ ,

where  $i = 1, \dots, m$ . Let further  $T_\varepsilon^\vee$  denotes the  $n \times n$ -diagonal matrix composed by the blocks  $T_{k_i, \varepsilon}^\wedge$ , where  $i = 1, \dots, s$ . Let

$$(70) \quad \gamma^*(A) = \max(h^\sim(C^{-1}), h(C)),$$

$$(71) \quad p_{A, \varepsilon}(X) = h((CT_\varepsilon^\vee)^{-1}X),$$

where  $X \in \mathbb{C}^n$ . Then

$$(72) \quad p_{A, \varepsilon}(X) \leq h^\sim(C)h^\sim((T_\varepsilon^\vee)^{-1})h(X) \leq \gamma^*(A) \max(1, (1/\varepsilon)^{k-1})h(X)$$

for  $X \in \mathbb{C}^n$ ; therefore (62) holds. Clearly,

$$(73) \quad h(X) = h(CT_\varepsilon^\vee(CT_\varepsilon^\vee)^{-1}X) \leq h(C)h(T_\varepsilon^\vee)h(CT_\varepsilon^\vee)^{-1}X \leq \gamma^*(A) \max(1, \varepsilon^{k-1})p_{A, \varepsilon}(X)$$

for  $X \in \mathbb{C}^n$ ; therefore (63) holds. In view of 71,

$$(74) \quad \begin{aligned} (p_{A, \varepsilon})^\sim(B) &= \sup(\{p_{A, \varepsilon}(BX) : X \in \mathbb{C}^n, p_{A, \varepsilon}(X) \leq 1\}) = \\ &= \sup(\{h((CT_\varepsilon^\vee)^{-1}BX) : X \in \mathbb{C}^n, h((CT_\varepsilon^\vee)^{-1}X) \leq 1\}) = \\ &= \sup(\{h((CT_\varepsilon^\vee)^{-1}BCT_\varepsilon^\vee Y) : Y \in \mathbb{C}^n, h(Y) \leq 1\}) = \\ &= h^\sim(CT_\varepsilon^\vee)^{-1}BCT_\varepsilon^\vee, \end{aligned}$$

where  $B \in Mat_n(\mathbb{C})$ . The equalities (74) imply (64) and (66). It follows from the equalities (74) that

$$(75) \quad h^\sim(B) = (p_{A, \varepsilon})^\sim(CT_\varepsilon^\vee B(CT_\varepsilon^\vee)^{-1}),$$

where  $B \in Mat_n(\mathbb{C})$ . The equality (75) implies (65). Let  $\det(A) \neq 0$ , and let  $\Lambda$  is the diagonal  $n \times n$ -matrix, which diagonal elements are equal to the corresponding diagonal elements of the matrix  $J$ . If (67) holds, then

$$(76) \quad (T_\varepsilon^\vee)^{-1}JT_\varepsilon^\vee = \Lambda(E - N),$$

where  $E$  is the unit  $n \times n$ -matrix,  $N$  is a nilpotent  $n \times n$ -matrix and

$$\begin{aligned} h^\sim(N) &\leq \|A^{-1}\|_{sp}(\text{sign}(k-1))\varepsilon, h^\sim(\Lambda^{-1}) = \|A^{-1}\|_{sp}, \\ (T_\varepsilon^\vee)^{-1}J^{-1}T_\varepsilon^\vee &= (E - N)^{-1}\Lambda^{-1}, (p_{A, \varepsilon})^\sim(A^{-1}) = \\ h^\sim(CT_\varepsilon^\vee)^{-1}A^{-1}CT_\varepsilon^\vee &= h^\sim((T_\varepsilon^\vee)^{-1}J^{-1}T_\varepsilon^\vee) \leq \\ \|A^{-1}\|_{sp} \sum_{\kappa=0}^{\infty} (\|A^{-1}\|_{sp} \text{sign}(k-1))\varepsilon^\kappa &= \end{aligned}$$



$$\|A^{-1}\|_{sp}(1 - \|A^{-1}\|_{sp}(\text{sign}(k-1))\varepsilon)^{-1} = (\|A^{-1}\|_{sp})^{-1} - (\text{sign}(k-1))\varepsilon)^{-1}.$$

■

**Corollary.** *If all the eigenvalues of the matrix  $A$  are symple, then*

$$(77) \quad (p_{A,\varepsilon})^\sim = \|A\|_{sp}.$$

*If, moreover,*

$$(78) \quad \det(A) \neq 0,$$

*then*

$$(79) \quad (p_{A,\varepsilon})^\sim(A^{-1}) = \left(\|A^{-1}\|_{sp}\right)^{-1}.$$

**Proof.** Since in this case  $k = 1$ , and, consequently, (78) implies (67), it follows that the assertion of the Lemma follows directly from (66) - (68). ■

**Lemma 9.** *([15], Lemma 2). Let are fulfilled all the conditions of the Lemma 8 and let  $B \in \text{Mat}_n(\mathbb{C})$ ,  $\varepsilon_1 > 0$ ,*

$$(80) \quad (p_{A,\varepsilon})^\sim(B - A) \leq \varepsilon_1,$$

*then*

$$(81) \quad (p_{A,\varepsilon})^\sim(B) \leq \|A\|_{sp} + (\text{sign}(k-1))\varepsilon + \varepsilon_1.$$

*If, moreover, the inequalities (67) hold and*

$$(82) \quad \|A^{-1}\|_{sp}^{-1} > (\text{sign}(k-1))\varepsilon + \varepsilon_1,$$

*then*

$$(83) \quad \det(B) \neq 0, (p_{A,\varepsilon})^\sim(B^{-1}) \leq \left(\|A^{-1}\|_{sp}^{-1} - (\text{sign}(k-1))\varepsilon - \varepsilon_1\right)^{-1}$$

**Proof.** The inequality (81) follows directly from (66) and (80). If, moreover, all the inequalities (67) and (82) hold, then let us to represent  $B$  in the form

$$(84) \quad B = A(E - A^{-1}(A - B));$$

in view of (68), (80) and (82),

$$(p_{A,\varepsilon})^\sim(A^{-1}(A - B)) \leq \left(\|A^{-1}\|_{sp}^{-1} - (\text{sign}(k-1))\varepsilon\right)^{-1} \varepsilon_1 < 1;$$

therefore the matrices  $(E - A^{-1}(A - B))^{-1}$ ,

$$(85) \quad B^{-1} = (E - A^{-1}(A - B))^{-1}A^{-1}$$

exist and

$$\begin{aligned}
(p_{A,\varepsilon})^\sim(B^{-1}) &= (p_{A,\varepsilon})^\sim((E - A^{-1}(A - B))^{-1}A^{-1}) \leq \\
&(p_{A,\varepsilon})^\sim((E - A^{-1}(A - B))^{-1})(p_{A,\varepsilon})^\sim(A^{-1}) \leq \\
&\left(1 - \frac{\varepsilon_1}{\left(\|A^{-1}\|_{sp}\right)^{-1} - (\text{sign}(k - 1))\varepsilon}\right)^{-1} \times \\
&\left(\left(\|A^{-1}\|_{sp}\right)^{-1} - (\text{sign}(k - 1))\varepsilon\right)^{-1} = \\
&\left(\left(\|A^{-1}\|_{sp}\right)^{-1} - (\text{sign}(k - 1))\varepsilon - \varepsilon_1\right)^{-1}.
\end{aligned}$$

■

**Corollary 1.** *Let are fulfilled all the conditions of the Lemma 9, and all the eigenvalues of the matrix  $A$  are symple. Then*

$$(86) \quad (p_{A,\varepsilon})^\sim(B) \leq \|A\|_{sp} + \varepsilon_1.$$

If, moreover,

$$(87) \quad \det(A) \neq 0, \quad \|A^{-1}\|_{sp}^{-1} > \varepsilon_1,$$

then

$$(88) \quad \det(B) \neq 0, \quad (p_{A,\varepsilon})^\sim(B^{-1}) \leq \left(\|A^{-1}\|_{sp}^{-1} - \varepsilon_1\right)^{-1}.$$

**Proof.** Since in this case  $k = 1$ , and, consequently, (87) implies (82), it follows that the assertions of the Lemma follows directly from (81) - (83). ■

**Corollary 2.** ([14], Lemma 3). *Let are fulfilled all the conditions of the Lemma 8,  $\det(A) \neq 0$ ,*

$$(89) \quad 0 < \varepsilon < \left(\|A^{-1}\|_{sp}\right)^{-1} / 2$$

and let  $B \in \text{Mat}_n(\mathbb{C})$ ,

$$(90) \quad (p_{A,\varepsilon})^\sim(B - A) \leq \varepsilon,$$

then

$$(91) \quad (p_{A,\varepsilon})^\sim(B) \leq \|A\|_{sp} + 2\varepsilon,$$

the matrix  $B^{-1}$  exists and

$$(92) \quad (p_{A,\varepsilon})^\sim(B^{-1}) \leq \left(\|A^{-1}\|_{sp}^{-1} - 2\varepsilon\right)^{-1}.$$

**Proof.** Let us take  $\varepsilon_1 = \varepsilon$ . Then (82) follows from (88) and (89). ■

### §4. End of the proof of Theorem 6.

Let in accordance with (10)

$$(93) \quad \nabla^p + \sum_{k=0}^{p-1} \mu_{b_k} \circ \nabla^k,$$

where  $b_k \in \mathbb{C} + (r_{0,m}\mathfrak{v})E_{m,1}\mathbb{C}$  for  $k = 0, \dots, p-1$ . In view of (23),

$$(94) \quad \lim(b_k) = a_{q+k}$$

where  $k = 0, \dots, p-1$ . Let

$$B_1 = \begin{pmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 0 & 0 & 0 & \dots & 1 \\ -b_0 & -b_1 & -b_2 & \dots & -b_{p-1} \end{pmatrix},$$

$B = \omega^{-1}(B_1)$ , where  $\omega$  is the above isomorphism of the algebra  $E_m(\text{Mat}_p(\mathbb{C}))$  onto  $\text{Mat}_p(E_m(\mathbb{C}))$ , and let

$$B^\sim = \begin{pmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 0 & 0 & 0 & \dots & 1 \\ -a_q^\sim & -a_{q+1}^\sim & -a_{q+2}^\sim & \dots & -a_{q+p-1}^\sim \end{pmatrix}$$

We take now on the role of the matrix  $A$  in the Lemma 8 and Lemma 9 the matrix  $B^\sim$ . Since, in view of (3)  $a_q^\sim \neq 0$ , it follows that  $(B^\sim)^{-1}$  exists. We take now on the role  $\varepsilon$  in the Lemmata 8 and 9 and their corollaries the number

$$(95) \quad \varepsilon_0 = \left( \|(B^\sim)^{-1}\|_{sp} \right)^{-1} / 3,$$

and we take

$$\mathfrak{q} = p_{B, \varepsilon_0}.$$

Since  $\lim(B) = B^\sim$ , it follows that we can (making use the operator  $r_{m,\mu}$ ) replace the number  $m$  on some bigger  $m$ , such that for  $C$  from (49) and (50) the inequality

$$(96) \quad m \geq C \max \left( 1, \left( 6 \|(B^\sim)^{-1}\|_{sp} \right)^q \right),$$

holds and

$$(97) \quad \mathfrak{q}(B(\nu) - B^\sim) \leq \varepsilon_0,$$

where  $\nu \in m - 1 + \mathbb{N}$ . It follows from (95) and (97) that for  $B^\sim$  and  $B(\nu)$  with  $\nu \in m - 1 + \mathbb{N}$  are fulfilled all the conditions of the Corollary 2 of the Lemma 9; therefore there exists  $(B(\nu))^{-1}$  for  $\nu \in m - 1 + \mathbb{N}$  and

$$\mathfrak{q}^\sim((B(\nu))^{-1}) \leq (3\varepsilon_0 - 2\varepsilon_0)^{-1} = 3 \|(B^\sim)^{-1}\|_{sp}.$$

Consequently, there exists  $B^{-1} \in E_{m,1}(Mat_p(\mathbb{C}))$  and

$$(98) \quad (\mathfrak{q}^\sim)_{m,1}(B^{-1}) \leq 3 \|(B^\sim)^{-1}\|_{sp}.$$

In view of (96) and (49),

$$(99) \quad (w_{C,q}(\nu))^{1/\nu} = \left( \frac{C}{\nu + 1} \right)^{1/q} < \min \left( 1, \left( \|(B^\sim)^{-1}\|_{sp} \right)^{-1} / 6 \right) = \min(1, \varepsilon_0/2),$$

where  $\nu \in m - 1 + \mathbb{N}$ . In accordance with (58)-(61), (96),(98) and (99), if

$$(100) \quad 3 \|(B^\sim)^{-1}\|_{sp} \leq \frac{1}{2\lambda},$$

then

$$(101) \quad \rho = (\mathfrak{q}^\sim)_{m,1}(B^{-1}) \leq 3 \|(B^\sim)^{-1}\|_{sp} \leq \frac{1}{2\lambda} < \frac{1}{\lambda},$$

the algebra  $\mathfrak{M}^\wedge(E_{m,\lambda}(\mathbb{C}^p))$  contains the linear operator

$$(102) \quad -((B^{-1})^\vee) \sum_{k=0}^{\infty} (\nabla \circ (B^{-1})^\vee)^k = - (B^{-1})^\vee (1_{\mathfrak{M}(E_{m,\lambda}(\mathbb{C}))} - \nabla \circ B^{-1})^\vee)^{-1} = (\nabla - B^\vee)^{-1},$$

and, in view of the Lemma 7, its corollary, Lemma 6, (98),(60)

$$(103) \quad (\mathfrak{q}_{m,\lambda})^\sim((\nabla - B^\vee)^{-1}) \leq \rho / (1 - \rho\lambda) \leq 3 \|(B^\sim)^{-1}\|_{sp} / (1 - 3 \|(B^\sim)^{-1}\|_{sp} \lambda) \leq 6 \|(B^\sim)^{-1}\|_{sp}.$$

For any  $y \in E_m(\mathbb{C})$  and  $n \in \mathbb{N}$  let  $Y_{n,y}$  and  $Y_{n,y}^\#$  denote the elements in the space  $E_m(\mathbb{C}^n)$ , which are determined respectively by means the following equalities:

$$(104) \quad Y_{n,y}(\nu) = \begin{pmatrix} y(\nu) \\ \vdots \\ y(\nu + n - 1) \end{pmatrix},$$

$$(105) \quad Y_{n,y}^\#(\nu) = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ y(\nu) \end{pmatrix},$$

where  $\nu \in m - 1 + \mathbb{N}$ . Clearly,

$$(106) \quad p_\lambda(y) = h_\lambda(y) = p_\lambda(Y_{n,y}^\#) = h_\lambda(Y_{n,y}^\#) \leq h_\lambda(Y_{n,y}) \leq \max(1, |\lambda|^{n-1})h_\lambda(Y_{n,y}^\#),$$

where  $y \in E_m(\mathbb{C})$ . If

$$(107) \quad |\lambda| \leq 1,$$

then all the inequalities (106) turn into equalities. Let

$$\lambda(\nu) = (w_{C,q}(\nu))^{1/\nu},$$

where  $\nu \in m - 1 + \mathbb{N}$ . In view of (99), for  $\lambda = \lambda(\mu)$  with  $\mu \in m - 1 + \mathbb{N}$  are fulfilled all the conditions (100) and (107). Let  $z \in (r_{0,m}(w_{C,q})E_{m,\infty}(\mathbb{C}))$  and

$$p_{m,1}(r_{0,m}(w_{C,q})^{-1}z) = h_{m,1}(r_{0,m}(w_{C,q})^{-1}z) = \gamma$$

Then

$$(108) \quad \begin{aligned} p_{\mu,\lambda(\mu)}(r_{m,\mu}z) &= h_{\mu,\lambda(\mu)}(r_{m,\mu}z) = \\ &= \sup\{(\lambda(\mu))^{-\nu}|(r_{m,\mu}z)(\nu)| : \nu \in \mu - 1 + \mathbb{N}\} = \\ &= \sup\left\{\left(\frac{\lambda(\nu)}{\lambda(\mu)}\right)^\nu (\lambda(\nu))^{-\nu}|(r_{m,\mu}z)(\nu)| : \nu \in \mu - 1 + \mathbb{N}\right\} = \\ &= \sup\left\{\left(\frac{1+\mu}{1+\nu}\right)^\nu (\lambda(\nu))^{-\nu}|(r_{m,\mu}z)(\nu)| : \nu \in \mu - 1 + \mathbb{N}\right\} \leq \\ &= \sup\{(\lambda(\nu))^{-\nu}|(r_{m,\mu}z)(\nu)| : \nu \in \mu - 1 + \mathbb{N}\} \leq \\ &= \sup\{(\lambda(\nu))^{-\nu}|(r_{m,\mu}z)(\nu)| : \nu \in m - 1 + \mathbb{N}\} = \\ &= h_{m,1}((r_{0,m}(w_{C,q})^{-1}z) = p_{m,1}((r_{0,m}(w_{C,q})^{-1}z) = \gamma, \end{aligned}$$

where  $\mu \in m - 1 + \mathbb{N}$ ; consequently  $r_{m,\mu}z \in E_{\mu,\lambda(\mu)}$ , where  $\mu \in m - 1 + \mathbb{N}$ . In view of (108),

$$p_{\mu,\lambda(\mu)}\left(Y_{q,r_{m,\mu}z}^\#\right) = h_{\mu,\lambda(\mu)}\left(Y_{q,r_{m,\mu}z}^\#\right) \leq \gamma,$$

where  $\mu \in m - 1 + \mathbb{N}$ . Therefore, in view of (62),

$$\mathfrak{q}_{\mu,\lambda(\mu)}\left(Y_{q,r_{m,\mu}z}^\#\right) \leq$$

$$\begin{aligned} \gamma^*(B^\sim)(\max(1, 1/\varepsilon_0))^{p-1} h_{\mu, \lambda(\mu)} \left( Y_{q, r_m, \mu z}^\# \right) &\leq \\ \gamma^*(B^\sim)(\max(1, 1/\varepsilon_0))^{p-1} \gamma, \end{aligned}$$

where  $\mu \in m - 1 + \mathbb{N}$ . Consequently, in view of (103),

$$(109) \quad \begin{aligned} \mathfrak{q}_{\mu, \lambda(\mu)} \left( (\nabla - B^\vee)^{-1} Y_{q, r_m, \mu z}^\# \right) &\leq \\ 6\gamma \gamma^*(B^\sim)(\max(1, 1/\varepsilon_0))^{p-1} \left\| (B^\sim)^{-1} \right\|_{sp}, \end{aligned}$$

where  $\mu \in m - 1 + \mathbb{N}$ . In view of (109) and (63),

$$(110) \quad \begin{aligned} h \left( (\lambda(\mu))^{-\nu} \left( (\nabla - B^\vee)^{-1} Y_{q, r_m, \mu z}^\# \right) (\nu) \right) &\leq \\ 6\gamma (\gamma^*(B^\sim))^2 (\max(\varepsilon_0, 1/\varepsilon_0))^{p-1} \left\| (B^\sim)^{-1} \right\|_{sp}, \end{aligned}$$

where  $\mu \in m - 1 + \mathbb{N}$  and  $\nu \in \mu - 1 + \mathbb{N}$ . It follows from the inequality (110) for  $\nu = \mu \in m - 1 + \mathbb{N}$  that

$$(111) \quad \begin{aligned} h \left( (\lambda(\mu))^{-\nu} \left( (\nabla - B^\vee)^{-1} Y_{q, r_m, \mu z}^\# \right) (\nu) \right) &\leq \\ 6\gamma (\gamma^*(B^\sim))^2 (\max(\varepsilon_0, 1/\varepsilon_0))^{p-1} \left\| (B^\sim)^{-1} \right\|_{sp}. \end{aligned}$$

For any  $X \in \mathbb{C}^q$  let  $\pi(X)$  denotes the first coordiate of the column  $X$ , and let  $\pi$  be the map of  $\mathbb{C}^q$  on  $\mathbb{C}$ , which turns each  $X \in \mathbb{C}^q$  into  $\pi(X)$ . In view of (111),

$$(112) \quad \begin{aligned} h \left( (\lambda(\nu))^{-\nu} \left( \pi \left( (\nabla - B^\vee)^{-1} Y_{q, r_m, \nu z}^\# \right) (\nu) \right) \right) &\leq \\ 6\gamma (\gamma^*(B^\sim))^2 (\max(\varepsilon_0, 1/\varepsilon_0))^{p-1} \left\| (B^\sim)^{-1} \right\|_{sp}, \end{aligned}$$

where  $\nu \in m - 1 + \mathbb{N}$ . Let  $\mathfrak{p}$  denotes the norm on  $(r_{0, m}(w_{\mathbb{C}, q}))E_{m, \infty}(\mathbb{C})$ , defined by means the equality  $\mathfrak{p}(z) = p_{m, \infty}((r_{0, m}(w_{\mathbb{C}, q}))^{-1}z)$ , and let  $\phi$  be the map of the space  $(r_{0, m}(w_{\mathbb{C}, q}))E_{m, \infty}(\mathbb{C})$  in  $E_{m, \infty}(\mathbb{C})$ , such that

$$(\phi(z))(\nu) = \pi \left( (\nabla - B^\vee)^{-1} Y_{q, r_m, \nu z}^\# \right) (\nu)$$

for any  $z \in (r_{0, m}(w_{\mathbb{C}, q}))E_{m, \infty}(\mathbb{C})$  and any  $\nu \in m - 1 + \mathbb{N}$ . It follows now from (112) that  $\phi$  maps  $(r_{0, m}(w_{\mathbb{C}, q}))E_{m, \infty}(\mathbb{C})$  into  $(r_{0, m}(w_{\mathbb{C}, q}))E_{m, \infty}(\mathbb{C})$ , is a bounded linear operator on  $(r_{0, m}(w_{\mathbb{C}, q}))E_{m, \infty}(\mathbb{C})$ , and

$$\mathfrak{p}^\sim(\phi) \leq 6\gamma (\gamma^*(B^\sim))^2 (\max(\varepsilon_0, 1/\varepsilon_0))^{p-1} \left\| (B^\sim)^{-1} \right\|_{sp},$$

So, we can take now on the role of the mentioned in the section 2 the splitting homomorphism  $\xi_m$  the restriction of the map  $\phi$  on the subspace  $Ker(\psi)$  of the space  $(r_{0, m}(w_{\mathbb{C}, q}))E_{m, \infty}(\mathbb{C})$ , where  $\psi$  is a homomorphism in (46). ■

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Moscow State Institute  
of Electronics and Mathematics  
Bolshoi Trekhsvyatitelskij pereulok, 1-3/12, str.8  
Moscow 109028  
Russian Federation  
*E-mail:* gutnik@gutnik.mccme.ru