

**Virtual cohomology of the moduli space of
curves in the unstable range**

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0. — Introduction

Let \mathcal{M}_g denote the moduli space of smooth, projective curves of genus $g \geq 2$. The cohomology space $H^i(\mathcal{M}_g)$, for $i < \frac{g}{2}$, is independent of g ; according to conjectures of Mumford [5] it should be represented by tautological classes which, in particular, are Tate classes (for the natural action of $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$, taking étale cohomology). On the other hand, it is known that for $g \gg 0$, \mathcal{M}_g is of general type and, in particular, carries many holomorphic sections of the pluricanonical bundle.

Harris and Mumford [6] have asked whether (for large g) \mathcal{M}_g carried holomorphic forms of degree g , $2g - 1$ or $3g - 3$: these degrees are suggested by the allowed degrees for holomorphic forms on the space \mathcal{A}_g of principally polarized Abelian varieties ([9]) and its coverings. In this paper we will answer the question, but only, unfortunately, in a virtual fashion.

Write $\mathcal{M}_g = \Gamma_g \backslash \mathfrak{T}_g$, where \mathfrak{T}_g is the Teichmüller space, and Γ_g the Teichmüller group. There is a natural map $\Gamma_g \rightarrow \text{Sp}(g, \mathbb{Z})$ given by the action of Γ_g on the cohomology of the “universal” curve of genus g . Let $\Gamma_g(N)$ be the inverse image in Γ_g of the full level N subgroup $\Gamma(N)$ in $\Gamma = \text{Sp}(g, \mathbb{Z})$. Thus $\Gamma_g/\Gamma_g(N) \cong \text{Sp}(g, \mathbb{Z}/N\mathbb{Z})$ since $\Gamma_g \rightarrow \Gamma$ is surjective.

Denote by $\mathcal{M}_g(N)$ the quotient $\Gamma_g(N) \backslash \mathfrak{T}_g$, a Galois covering of \mathcal{M}_g with group $\text{Sp}(g, \mathbb{Z}/N\mathbb{Z})/(\pm 1)$. We will prove :

THEOREM 1. — *For fixed g , and N sufficiently large,*

$$H^0(\mathcal{M}_g(N), \Omega^i) \neq 0 \text{ for } i = g, 2g - 1, 3g - 3,$$

assuming moreover that $g > 3$ (if $i = 2g - 1$) and $g > 5$ (if $i = 3g - 3$).

Our proof relies on a method developed in an earlier paper [2] and applied there to the restriction of holomorphic cohomology classes to subvarieties of Shimura varieties. We use it here to study the restriction to \mathcal{M}_g (via the Torelli embedding) of holomorphic cohomology classes on \mathcal{A}_g . A simple differential computation implies that this restriction is (virtually) injective. The theorem follows from existence results for holomorphic forms on \mathcal{A}_g ; the precise theorem we use is due to Li [4].

Note that according to Weissauer [9], that are no holomorphic forms on \mathcal{A}_g in degrees g , $2g - 1$, $3g - 3$, at least for $g \gg 0$. Thus it may be natural to expect the same of \mathcal{M}_g (rather than its coverings!).

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1. — Differential calculus

Let \mathcal{M}_g denote the moduli space of smooth, projective curves of genus $g \geq 2$. We use the transcendental realization of \mathcal{M}_g as $\Gamma_g \backslash \mathfrak{T}_g$, where \mathfrak{T}_g , the Teichmüller space, is a bounded, contractible, holomorphically convex domain in \mathbb{C}^{3g-3} . The Torelli map t which to a curve C associates its Jacobian is an injection of \mathcal{M}_g into \mathcal{A}_g , the space of principally polarized Abelian varieties of genus g .

The associated map $\Gamma_g \rightarrow \Gamma := \mathrm{Sp}(g, \mathbb{Z})$ is surjective, and we define $\Gamma_g(N)$ as the inverse image in Γ_g of the full level N subgroup

$$(1.1) \quad \Gamma(N) = \{\gamma \in \Gamma : \gamma \equiv 1[N]\}$$

in Γ . We will consider the associated map

$$(1.2) \quad t(N) : \mathcal{M}_g(N) = \Gamma_g(N) \backslash \mathfrak{T}_g \rightarrow \mathcal{A}_g(N)$$

with $\mathcal{A}_g(N)$ the space of principally polarized Abelian varieties with full level N structure. We view $\mathcal{A}_g(N)$ as the quotient $\Gamma(N) \backslash \mathcal{H}_g$, where \mathcal{H}_g is the Siegel upper-half space. We will denote by G the \mathbb{Q} -group $\mathrm{Sp}(g)$; thus $G(\mathbb{R})$ acts on \mathcal{H}_g .

Let ω be a holomorphic i -form on $\mathcal{A}_g(N)$, which we view as a form on \mathcal{H}_g invariant under $\Gamma(N)$. If $\gamma \in G(\mathbb{Q})$ is seen as acting by (left) translations on \mathcal{H}_g , $\gamma^*\omega$ is then invariant under $\Gamma(1) \cap \gamma\Gamma(N)\gamma^{-1}$, a congruence subgroup of $\Gamma(1)$ which contains a subgroup $\Gamma(M)$. Thus $\gamma^*\omega$ is a i -form on $\mathcal{A}_g(M)$ for some M .

We will say that ω is **virtually non-zero along \mathfrak{T}_g** if there exists $\gamma \in G(\mathbb{Q})$ such that

$$(1.3) \quad t(M)^*\gamma^*\omega \neq 0$$

M being of course determined as above by γ . (*)

We denote by Ω^i or Ω_X^i the sheaf of holomorphic i -forms on a variety X . On $\mathcal{A}_g(N)$ we have an invariant measure, and we can consider the corresponding spaces of square-integrable forms.

PROPOSITION 1. — *Assume $\omega \in H^0(\mathcal{A}_g(N), \Omega^i)$ is square-integrable and non-zero ($i = g, 2g - 1, 3g - 3$). Then ω is virtually non-zero along \mathfrak{T}_g .*

Proof : Suppose, on the contrary, that $t(M)^*\gamma^*\omega = 0$ for all γ and all M such that $\Gamma(1) \cap \gamma\Gamma(N)\gamma^{-1} \supset \Gamma(M)$. In particular, consider the lift \tilde{t} to \mathfrak{T}_g of the Torelli map :

$$(1.4) \quad \tilde{t} : \mathfrak{T}_g \rightarrow \mathcal{H}_g .$$

(*) In [2] we would have termed ω “stably non-vanishing along \mathfrak{T}_g ”, but this would be confusing in the present context.

If we view ω as a form on \mathcal{H}_g , $\gamma^*\omega$ then must vanish on $\tilde{t}(\mathcal{T}_g)$, i.e. : $\tilde{t}^*(\gamma^*\omega) = 0$. For $\gamma \in G(\mathbb{R})$, $\gamma^*\omega$ is a holomorphic i -form on \mathcal{H}_g that depends continuously on γ . By continuity we deduce that

$$(1.5) \quad \tilde{t}^*(\gamma^*\omega) = 0, \quad \gamma \in G(\mathbb{R}).$$

Now fix a point $\gamma \in \mathcal{M}_g$ and let $\tilde{C} \in \mathcal{T}_g$ be a base point above C . Let K be the isotropy subgroup of $\tilde{t}(\tilde{C})$ in $G(\mathbb{R})$, a group conjugate to $U(\mathfrak{g}) \subset \text{Sp}(\mathfrak{g}, \mathbb{R})$. Then we have in particular

$$(1.6) \quad \tilde{t}^*(k^*\omega_{\tilde{C}}) = 0 \text{ for all } k \in K$$

where $\tilde{J} = \tilde{t}(\tilde{C})$ lifts the Abelian variety $J = t(C)$, $\omega_{\tilde{C}}$ is the form ω at the point \tilde{C} , and \tilde{t}^* is the obvious map between exterior powers of the cotangent spaces at \tilde{C} and \tilde{J} .

Denote by $V = V(\omega, \tilde{J})$ the K -span of the vector $\omega_{\tilde{C}} \in \Lambda^1 T_{\tilde{C}}^*(\mathcal{H}_g)$: we then have

LEMMA 1. — $\tilde{t}^*(V) = 0$.

Note that $T_{\tilde{C}}^*(\mathcal{H}_g) \cong T_J^*(\mathcal{A}_g)$ and $T_{\tilde{C}}^*(\mathcal{T}_g) \cong T_C^*(\mathcal{M}_g)$. We now describe the map $T_{\tilde{C}}^*(\mathcal{T}_g) \rightarrow T_{\tilde{C}}^*(\mathcal{H}_g)$ through these identifications. Thus we are interested in the natural map

$$(1.7) \quad t^* : T_J^*(\mathcal{A}_g) \rightarrow T_C^*(\mathcal{M}_g)$$

where J is the Jacobian variety of C .

Now both tangent spaces are described by deformation theory ; for the Abelian variety we have

$$(1.8) \quad T_J^*(\mathcal{A}_g) \cong \text{Sym}^2 H^0(J, \Omega).$$

Assume that C is not hyperelliptic : then $t(\mathcal{M}_g)$ is non-singular at $t(C)$ and its cotangent space is canonically described as

$$(1.9) \quad T_C^*(\mathcal{M}_g) = H^0(C, \otimes^2 \Omega_C^1),$$

the space of quadratic differentials on C . We have a canonical isomorphism $H^0(C, \Omega_C) = H^0(J, \Omega_J)$ and we want to describe t^* using these isomorphisms. Thus we get a map $t^* : \text{Sym}^2 H^0(C, \Omega) \rightarrow H^0(C, \otimes^2 \Omega^1)$ which, according to Andreotti and Mayer, [1] (see also Mumford [6, p. 88]) is simply obtained by associating to symmetric tensors the corresponding quadratic differentials.

We now turn to the representation-theoretic interpretation of Lemma 1. Recall that holomorphic, L^2 g -forms on $\Gamma \backslash \mathcal{H}_g$, $\Gamma \subset \Gamma(1)$ being a congruence subgroup, correspond bijectively to submodules of $L_{\text{dis}}^2(\Gamma \backslash G(\mathbb{R}))$ isomorphic to a certain representation $A_{\mathfrak{q}}$,

LEMMA 4. — For C sufficiently general in \mathcal{M}_g and $\omega_1, \dots, \omega_g$ a suitable orthonormal basis, the quadratic differentials $\omega_1^2, \omega_1\omega_2, \dots, \omega_1\omega_g, \omega_2^2, \omega_2\omega_3, \dots, \omega_2\omega_g, \omega_3^2, \dots, \omega_3\omega_g$ are linearly independent.

Proof (*) : We may forget the orthogonality condition since it can be ensured by orthonormalization. Thus we want to show that for a generic basis of $H = H^0(C, \Omega)$ the indicated quadratic differentials are independent. Start with differentials $\omega_1, \dots, \omega_g$ satisfying Petri's conditions (cf. after lemma 3, and [6, p. 18]). Then [6, p. 18-19] the differentials

$$(1.18) \quad \omega_1^2, \omega_1\omega_2, \dots, \omega_1\omega_g, \omega_2^2, \dots, \omega_2\omega_g, \omega_3^2, \omega_4^2, \dots, \omega_g^2$$

are linearly independent. On the other hand, the differentials $\omega_i\omega_j$ ($i \neq j$, $i, j \geq 3$) are then linear combinations of the $\omega_1\omega_i$ and $\omega_2\omega_i$ (ibid., p. 19). Now take the new basis obtained by replacing ω_3 by $\omega'_3 = \omega_3 + \lambda_4\omega_4 + \dots + \lambda_g\omega_g$. The space V generated by the $(2g - 1)$ first differentials in (1.18) does not change. Modulo V , we now have

$$(1.19) \quad (\omega'_3)^2 = \omega_3^2 + \lambda_4^2\omega_4^2 + \dots + \lambda_g^2\omega_g^2$$

$$\begin{aligned} \omega'_3\omega_4 &= \lambda_4\omega_4 \\ &\vdots \\ \omega'_3\omega_g &= \lambda_g\omega_g^2. \end{aligned}$$

For $\lambda = (\lambda_4, \dots, \lambda_g)$ nearly 0 and $\lambda_i \neq 0$, $(\omega_1, \omega_2, \omega'_3, \dots, \omega_g)$ is indeed a basis of H while (1.19) shows that $(\omega'_3)^2, \dots, \omega'_3\omega_g$ is a basis for the quadratic differentials mod V . This implies the lemma, and the proof of Proposition 1.

We conclude this paragraph with the remark that the square-integrability condition in Proposition 1 is very likely superfluous. We explain how it could be removed. Let ω be a differential form on \mathcal{A}_g , invariant under a subgroup $\Gamma(N)$, and consider the lifted differential $\tilde{\omega}$ on \mathcal{H}_g . If $x \in \mathcal{H}_g$ and $K = K_x$ is the corresponding isotropy subgroup, we may view ω_x as an element of $\text{Hom}_K(\Lambda^i \mathfrak{p}_x^+, \mathbb{C})$ where \mathfrak{p}_x^+ is the holomorphic tangent space at x . Then in degrees $i = g, 2g - 1, 3g - 3$, ω_x should lie in the irreducible K_x -module specified by $A_{\mathfrak{q}}$, where \mathfrak{q} is the parabolic subalgebra associated to the degree. This is strongly suggested by Weissauer's result [9] according to which \mathcal{A}_g can have holomorphic cohomology only in the degrees $hg - \frac{h(h-1)}{2}$ ($0 \leq h \leq g$) allowed by the holomorphic parabolic subalgebras $A_{\mathfrak{q}}$, cf. before Lemma 3. Then the previous arguments apply to prove Proposition 1. A stronger statement (which should also be true) is that the space generated by $\tilde{\omega}$ under $G(\mathbb{R})$ is of type $A_{\mathfrak{q}}$. We leave this to the interested reader.

(*) We thank D. Perrin and A. Beauville for indicating to us the proof of this lemma.

2. — Existence of cohomology on $\mathcal{A}_g(N)$

In order to apply proposition 1, we still need to show the existence of the corresponding classes on $\mathcal{A}_g(N)$. If we did not impose an L^2 -condition, (see the discussion at the end of the previous paragraph), we could, in a lot of cases, simply quote a result of Weissauer [10] :

THEOREM 2 (Weissauer). —

- (i) $H^0(\mathcal{A}_g(4), \Omega^g) \neq 0$ and $H^0(\mathcal{A}_g(4), \Omega^{3g-3}) \neq 0$ if g is even
- (ii) $H^0(\mathcal{A}_g(4), \Omega^{2g-1}) \neq 0$ if g is odd.

However these differential forms are not cuspidal, and there seems to be no reason to assume that they are square-integrable. We want to prove the existence of L^2 -forms of type A_q , for the representations A_q described in § 1 (associated to $i = g, 2g - 1, 3g - 3$). For this we simply rely on a recent theorem of J.-S. Li. Using the theory of thêta-series he proves the following result.

Denote by A_i the irreducible representation of G with holomorphic cohomology in degree i ($i = g, 2g - 1, 3g - 3$). We denote by $\text{mult}(A_i, L^2(\Gamma \backslash G))$ the multiplicity of A_i in the discrete part of the L^2 -space.

THEOREM 3 (Li [4]). — *For any sufficiently deep congruence subgroup Γ of $\text{Sp}(g, \mathbb{Z})$,*

- (i) $\text{mult}(A_g, L^2(\Gamma \backslash G)) > 0$ (for any $g \geq 1$)
- (ii) $\text{mult}(A_{2g-1}, L^2(\Gamma \backslash G)) > 0$ ($g > 3$)
- (iii) $\text{mult}(A_{3g-3}, L^2(\Gamma \backslash G)) > 0$ ($g > 5$).

This is theorem 5.8 of [4, p. 209], once the requisite notations are taken into account ; note that in [4, formula (54)] the algebra \mathfrak{l} , the reductive part of the parabolic subalgebra \mathfrak{q} defining A_q , is isomorphic to $u(\alpha) \times \mathfrak{sp}(g - \alpha)$ for each of our modules A_i , as follows easily from the description in [2, § 3C]. (Here $i = \alpha g - \frac{\alpha(\alpha - 1)}{2}$, so $\alpha = 1, 2, 3$).

This concludes the proof of theorem 1.

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