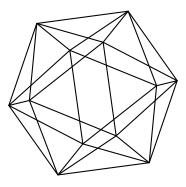
# Max-Planck-Institut für Mathematik Bonn

A trace for bimodule categories

by

Jürgen Fuchs Gregor Schaumann Christoph Schweigert



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## A TRACE FOR BIMODULE CATEGORIES

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Abstract

We study a 2-functor that assigns to a bimodule category over a finite k-linear tensor category a k-linear abelian category. This 2-functor can be regarded as a category-valued trace for 1-morphisms in the tricategory of finite tensor categories. It is defined by a universal property that is a categorification of Hochschild homology of bimodules over an algebra. We present several equivalent realizations of this 2-functor and show that it has a coherent cyclic invariance.

Our results have applications to categories associated to circles in three-dimensional topological field theories with defects. This is made explicit for the subclass of Dijkgraaf-Witten topological field theories.

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## 1 Introduction

A useful invariant for algebras A over a field k is the zeroth Hochschild homology  $HH_0(A) = A/[A, A]$ . Here the relevant structure of A is the one as a bimodule over itself, and accordingly this invariant can be generalized to the k-vector space  $HH_0(A, M) = M/[A, M]$ , where M is an arbitrary A-bimodule and [A, M] is the subspace generated by all expressions of the form am - ma for  $m \in M$  and  $a \in A$ .

The natural categorical setting for Hochschild homology is the bicategory  $\mathsf{BimAlg}_k$  which has k-algebras as objects, bimodules as 1-morphisms and morphisms of bimodules as 2morphisms. In this description the Hochschild homology provides us, for any  $A \in \mathsf{BimAlg}$ , with a functor

$$\mathsf{End}_{\mathsf{BimAlg}}(A) = A\operatorname{-bimod} \longrightarrow \mathsf{Vect}_{\Bbbk},$$

$$M \longmapsto HH_0(A, M).$$
(1.1)

This is a functor from an endomorphism category of a bicategory to a category. It is natural to think about it as a 2-trace (see [PS13, Def. 4.1] for a formulation of this concept) on the bicategory  $\mathsf{BimAlg}_{\Bbbk}$ , taking values in the category  $\mathsf{Vect}_{\Bbbk}$ . Indeed, it is cyclically invariant: for any pair of bimodules  ${}_{A}M_{B}$  and  ${}_{B}N_{A}$  there is an isomorphism

$$HH_0(A, {}_AM_B \otimes_B {}_BN_A) \cong HH_0(B, {}_BN_A \otimes_A {}_AM_B)$$
(1.2)

of k-vector spaces.

In the present paper, we are interested in a similar structure one step higher in the categorical ladder, i.e. in a tricategorical setting. More specifically, we work in the tricategory BimCat, which has finite tensor categories as objects and bimodule categories, bimodule functors and bimodule natural transformations as 1-, 2- and 3-morphisms, respectively. As the higher-categorical analogue of the category Vect<sub>k</sub> we take the 2-category Cat of k-linear categories. Thus we wish to obtain, for any finite tensor category  $\mathcal{C}$ , a 2-functor

$$\mathsf{End}_{\mathsf{Bim}\mathsf{Cat}}(\mathfrak{C}) = \mathfrak{C}\operatorname{-bimod} \longrightarrow \mathsf{Cat}$$
$$\mathfrak{M} \longmapsto \mathfrak{K}\mathfrak{M}$$
(1.3)

from the bicategory of C-bimodule categories to k-linear categories that can be regarded as a generalization of Hochschild homology and shares the cyclic invariance property of a trace. This is what we achieve in this paper. We refer to this 2-functor, which takes values in Cat, as a category-valued trace.

The invariance property of a trace is with respect to horizontal composition. In the tricategory BimCat, this composition is given by the relative Deligne tensor product of bimodule categories. In fact, many of our constructions are inspired by constructions involving the relative tensor product. More precisely, this is the case if we adopt a suitable definition of the relative tensor product, namely via a universal property for balanced right exact functors with specified adjoint equivalence (Definition 2.9). We can then take advantage of various realizations of the so defined relative tensor product. These constructions are presented in Section 2.5. In particular, in Proposition 2.18 we show that the relative

tensor product can be realized as the twisted center of a bimodule category (a notion introduced in Definition 2.12), with the twisting being by the double right dual functor (we do not require that the objects of BimCat are pivotal).

An abstract definition of a category-valued trace is given in Definition 3.2. We then provide various realizations: In Proposition 3.2 as particular forms of a twisted center and of a relative tensor product, and as certain functor categories; and in Proposition 3.10 as category of bimodules over suitable algebra objects. The cyclic property

$$\bigotimes({}_{e}\mathcal{M}_{D}\boxtimes_{D} D_{D}\mathcal{N}_{e}) \simeq \bigotimes({}_{D}\mathcal{N}_{e}\boxtimes_{e} \mathcal{M}_{D})$$
(1.4)

of the category-valued trace is established in Proposition 4.7.

In Section 4 we put the category-valued trace studied in Section 3 in its proper highercategorical context. We introduce the notion of tricategories with 3-trace, show that it provides coherent cyclic equivalences on composable morphisms, and establish in Proposition 4.8 that the category-valued trace provides a 3-trace on the tricategory BimCat with values in the bicategory Cat.

The tricategory BimCat is a natural target for fully extended three-dimensional topological field theories. Accordingly, the structures discussed in Sections 2-4 appear naturally in that context. In Section 5 we briefly explain the role that the relative tensor product and the category-valued trace play in the calculation of the categories that a 3-2-1-extended three-dimensional topological field theory of Turaev-Viro type with defects associates to one-manifolds. As an illustration and non-trivial check, we compute the category associated to a circle with a defect for the subclass of Dijkgraaf-Witten theories.

In Section 2 we also provide some pertinent background on module and bimodule categories, and in the Appendix we recall basic definitions for bicategories.

## 2 The relative tensor product of bimodule categories

We start by collecting and extending pertinent results. Throughout the paper, all categories are assumed to be finite, abelian and linear over a fixed field k. Further we require all functors and natural transformations to be linear unless specified otherwise (we often encounter *bi*linear functors out of a Cartesian product of two linear categories).

#### 2.1 Module categories over finite tensor categories

First we collect relevant information about module categories over finite tensor categories. For the notion of a finite tensor category see [EO04]; we will heavily use that every object of such a category has a left and a right dual. Our conventions regarding the duality in a finite tensor category  $\mathcal{C}$  with unit 1 are as follows. A *right dual* object  $c^{\vee}$  comes with morphisms  $\mathbf{ev}_c: c^{\vee} \otimes c \to 1$  and  $\mathbf{coev}_c: 1 \to c \otimes c^{\vee}$  that satisfy the usual snake identities, so that there are natural isomorphisms

$$\operatorname{Hom}_{\mathfrak{C}}(x \otimes c, y) \cong \operatorname{Hom}_{\mathfrak{C}}(x, y \otimes c^{\vee}) \quad \text{and} \quad \operatorname{Hom}_{\mathfrak{C}}(x, c \otimes y) \cong \operatorname{Hom}_{\mathfrak{C}}(c^{\vee} \otimes x, y) \quad (2.1)$$

for all  $x, y \in \mathcal{C}$ . Our convention regarding left duals  $\forall c$  are analogous. A monoidal category with left and right duals is called rigid.

Next we recall the notion of a module category over a tensor category, which categorifies the notion of a module over an algebra, and which is of central interest in this paper. For a general introduction, notation and examples, see [Ost03b].

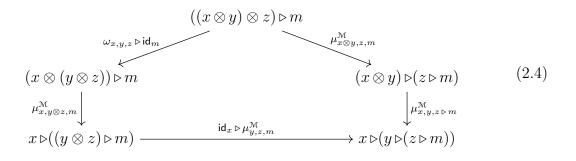
**Definition 2.1** Let  $\mathcal{C}$  be a finite tensor category. A (left)  $\mathcal{C}$ -module category is a  $\Bbbk$ -linear finite abelian category  $\mathcal{M}$  together with a bilinear functor

$$\triangleright: \quad \mathcal{C} \times \mathcal{M} \to \mathcal{M}, \tag{2.2}$$

that is exact in each argument, called the action of  $\mathcal{C}$  on  $\mathcal{M}$ , and with natural isomorphisms

$$\mu_{x,y,m}^{\mathcal{M}}: \quad (x \otimes y) \triangleright m \to x \triangleright (y \triangleright m) \quad \text{and} \quad \lambda_m^{\mathcal{M}}: \quad 1 \triangleright m \to m$$
(2.3)

for all  $x, y \in \mathbb{C}$  and all  $m \in \mathcal{M}$ , called the module constraints, such that the diagrams



and

$$(x \otimes 1) \triangleright m \xrightarrow{\mu_{x,1,m}^{\mathcal{M}}} x \triangleright (1 \triangleright m)$$

$$\xrightarrow{\rho_x \triangleright m} x \triangleright m \qquad (2.5)$$

commute for all objects  $x, y, z \in \mathbb{C}$  and  $m \in \mathbb{M}$ . Here the isomorphisms  $\omega_{x,y,z} \colon (x \otimes y) \otimes z \to x \otimes (y \otimes z)$  and  $\rho_x \colon x \otimes 1 \to x$  are the associativity and unit constraints of the monoidal category  $\mathbb{C}$ .

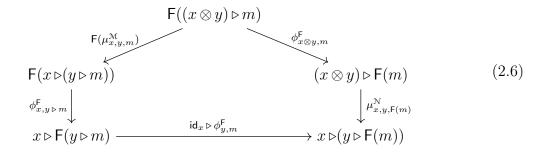
When we want to emphasize that  $\mathcal{M}$  is a left  $\mathcal{C}$ -module category, we denote it instead by  ${}_{c}\mathcal{M}$ . To emphasize in addition the structure morphisms, we sometimes write  $({}_{c}\mathcal{M}, \mu^{\mathcal{M}}, \lambda^{\mathcal{M}})$ ; whenever it is unambiguous, we denote the constraints just by  $\mu$  and  $\lambda$ .

The notion of a right C-module category  $\mathcal{M}_e$  is analogous, involving a bilinear functor  $\triangleleft: \mathcal{M}_e \times \mathcal{C} \to \mathcal{M}_e$ . We denote the constraint for the unit of a right module category by  $\rho_m^{\mathcal{M}}: m \triangleleft 1_e \to m$ . When it is otherwise ambiguous, we denote a left module action on a category  $\mathcal{M}$  by  $\mu^{\mathcal{M},l}$  or just  $\mu^l$ , and a right module action by  $\mu^{\mathcal{M},r}$  or  $\mu^r$ . **Definition 2.2** A left C-module category  ${}_{e}\mathcal{M}$  over a finite tensor category  $\mathcal{C}$  is called exact if  $\mathcal{M}$  is finite and if for every projective object  $P \in \mathcal{C}$  and every object  $m \in \mathcal{M}$  the object  $P \triangleright m$  of  $\mathcal{M}$  is projective.

Exact module categories are characterized by the property that all module functors between them are exact [EO04, Prop. 3.16]. As shown in [EO04, Example 3.3], a module category over a semisimple tensor category is exact if and only if it is semisimple as an abelian category.

Next we introduce morphisms and 2-morphisms between module categories.

**Definition 2.3** [Ost03b] A C-module functor  $F: {}_{c}\mathcal{M} \to {}_{c}\mathcal{N}$  is a linear functor F together with natural isomorphisms  $\phi_{x,m}^{\mathsf{F}}: \mathsf{F}(x \triangleright m) \to x \triangleright \mathsf{F}(m)$ , such that the diagrams



and

$$F(1_{\mathcal{C}} \triangleright m) \xrightarrow{\phi_{1_{\mathcal{C}},m}^{\mathsf{F}}} F(\lambda_{m}^{\mathcal{M}}) \xrightarrow{\mathsf{F}}(\lambda_{m}^{\mathcal{M}}) \xrightarrow{\mathsf{F}}(m), \qquad (2.7)$$

commute for all objects  $x, y \in \mathfrak{C}$  and all  $m \in \mathfrak{M}$ .

We sometimes write  $(\mathsf{F}, \phi^{\mathsf{F}})$  for a module functor and call  $\phi^{\mathsf{F}}$  a left module constraint for  $\mathsf{F}$ . Whenever it is unambiguous, we denote the constraint just by  $\phi$ . There is the analogous definition for module functors between right C-module categories.

**Definition 2.4** [Ost03b] Let  $(\mathsf{F}, \phi^{\mathsf{F}})$ :  ${}_{e}\mathcal{M} \to {}_{e}\mathcal{N}$  and  $(\mathsf{G}, \phi^{\mathsf{G}})$ :  ${}_{e}\mathcal{M} \to {}_{e}\mathcal{N}$  be module functors. A module natural transformation  $\eta: \mathsf{F} \to \mathsf{G}$  is a natural transformation such that the diagram

$$\begin{array}{cccc}
\mathsf{F}(x \triangleright m) & & \xrightarrow{\eta_{x \triangleright m}} & \mathsf{G}(x \triangleright m) \\
\phi_{x,m}^{\mathsf{F}} & & & \downarrow \phi_{x,m}^{\mathsf{G}} \\
x \triangleright \mathsf{F}(m) & & \xrightarrow{\mathsf{id}_{x} \triangleright \eta_{m}} & x \triangleright \mathsf{G}(m)
\end{array}$$
(2.8)

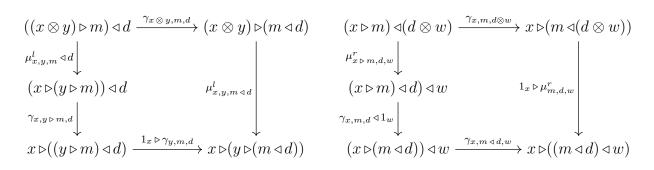
commutes for all  $x \in \mathfrak{C}$  and all  $m \in \mathfrak{M}$ .

The composite of module natural transformations is again a module natural transformation. Hence for any pair of module categories  ${}_{e}\mathcal{M}$  and  ${}_{e}\mathcal{N}$ , the module functors and module natural transformations from  ${}_{e}\mathcal{M}$  to  ${}_{e}\mathcal{N}$  form an essentially small category, denoted by Fun<sub>e</sub> ( ${}_{e}\mathcal{M}$ ,  ${}_{e}\mathcal{N}$ ).

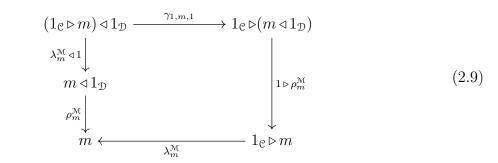
#### 2.2 Bimodule categories

The notions of left and right module categories can be combined to the following notion:

**Definition 2.5** Let  $\mathcal{C}$  and  $\mathcal{D}$  be finite tensor categories. A  $(\mathcal{C}, \mathcal{D})$ -bimodule category  ${}_{\mathcal{C}}\mathcal{M}_{\mathcal{D}}$ is a left  $\mathcal{C}$ - and right  $\mathcal{D}$ -module category  ${}_{\mathcal{C}}\mathcal{M}_{\mathcal{D}}$  together with a family of natural isomorphisms  $\gamma_{x,m,y}: (x \triangleright m) \triangleleft y \rightarrow x \triangleright (m \triangleleft y)$  for all  $x \in \mathcal{C}$ ,  $y \in \mathcal{D}$  and  $m \in \mathcal{M}$  such that the diagrams

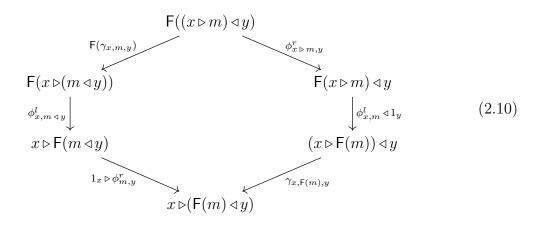


and



commute for all  $x, y \in \mathbb{C}$ ,  $d, w \in \mathcal{D}$  and  $m \in \mathcal{M}$ . We call the natural isomorphism  $\gamma$  the bimodule constraint of the bimodule category  ${}_{e}\mathcal{M}_{\mathcal{D}}$ .

For functors between bimodule categories one requires a compatible left and right module functor structure, while for natural transformations no separate compatibility requirement is needed: **Definition 2.6** (i) A bimodule functor  $\mathsf{F}: {}_{e}\mathcal{M}_{\mathcal{D}} \to {}_{e}\mathcal{N}_{\mathcal{D}}$  is a left and a right module functor with left module constraint  $\phi^{l}$  and right module constraint  $\phi^{r}$  such that the diagram



commutes for all objects  $x \in \mathcal{C}$ ,  $y \in \mathcal{D}$  and  $m \in \mathcal{M}$ .

(ii) A bimodule natural transformation  $\eta: F \to G$  between bimodule functors F and G is a natural transformation  $\eta: F \to G$  that is both a left and a right module natural transformation.

These structures define for any pair of tensor categories  $\mathcal{C}$  and  $\mathcal{D}$  a 2-category with  $(\mathcal{D}, \mathcal{C})$ -bimodule categories as objects, bimodule functors as 1-morphisms and bimodule natural transformations as 2-morphisms. The compositions are induced from the standard compositions of functors and natural transformations in the 2-category of categories. We denote this 2-category by  $\mathsf{BimCat}(\mathcal{D}, \mathcal{C})$ .

The most basic example of a module category is the category  $\mathcal{C}$  regarded as left (or right, or bi-) module category over itself, with action given by the tensor product. These *regular* (bi-)module categories are denoted by  $_{e}\mathcal{C}$  (respectively, by  $\mathcal{C}_{e}$ ,  $_{e}\mathcal{C}_{e}$ ).

Given a module category  ${}_{e}\mathcal{M}$  and a monoidal functor  $F: \mathcal{D} \to \mathcal{C}$ , the category  $\mathcal{M}$  acquires a left  $\mathcal{D}$ -module structure by setting  $d \triangleright m := \mathsf{F}(d) \triangleright m$ , with constraints obtained from the constraints of  $\mathcal{M}$  and the monoidal structure on F. We call this the *pull back* of  ${}_{e}\mathcal{M}$  along  $\mathsf{F}$ , and denote it by  $\mathsf{F}^{*}({}_{e}\mathcal{M})$ . For composable monoidal functors  $\mathsf{F}$  and  $\mathsf{G}$  one has  $(\mathsf{F} \circ \mathsf{G})^{*}({}_{e}\mathcal{M}) = \mathsf{G}^{*}(\mathsf{F}^{*}({}_{e}\mathcal{M}))$ . When applied to the module category  ${}_{e}\mathcal{C}$ , this yields a module category structure over  $\mathcal{D}$  on  $\mathcal{C}$  for every such functor  $\mathsf{F}$ .

#### 2.3 The relative tensor product

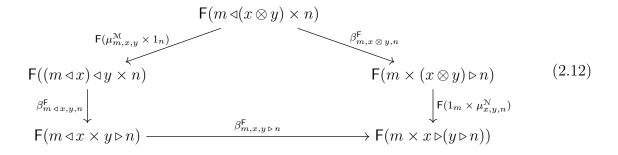
It is natural to categorify also the notion of tensor product of modules over an algebra. In order to achieve this, the notion of a balanced functor turns out to be essential; this is defined as follows:

**Definition 2.7** Let  $\mathcal{M}_e$  and  $_e\mathcal{N}$  be right and left module categories, respectively, over a finite tensor category  $\mathcal{C}$ , and  $\mathcal{A}$  be a linear category.

(i) A bilinear functor  $F: \mathcal{M}_e \times {}_e \mathcal{N} \to \mathcal{A}$  is called C-balanced, with balancing constraint  $\beta^F$ , if it is equipped with a family of natural isomorphisms

$$\beta_{m,c,n}^{\mathsf{F}}: \quad \mathsf{F}(m \triangleleft c \times n) \to \mathsf{F}(m \times c \triangleright n), \tag{2.11}$$

such that the pentagon diagram



and the triangle diagram

$$\begin{array}{c|c}
\mathsf{F}(m \triangleleft 1_{\mathfrak{C}} \times n) \xrightarrow{\beta_{m,1,n}^{\mathsf{F}}} \mathsf{F}(m \times 1_{\mathfrak{C}} \triangleright n) \\
 \overbrace{\mathsf{F}(p_{m}^{\mathfrak{M}} \times 1_{n})}^{\mathfrak{M}} & \overbrace{\mathsf{F}(1_{m} \times \lambda_{n}^{\mathfrak{N}})}^{\mathsf{F}(m \times 1_{\mathfrak{C}} \triangleright n)} \\
\end{array} \tag{2.13}$$

commute for all objects  $x, y \in \mathbb{C}$ ,  $m \in \mathbb{M}$  and  $n \in \mathbb{N}$ . We often denote the balancing constraint  $\beta^{\mathsf{F}}$  simply by  $\beta$  if this is unambiguous. (ii) Let  $\mathsf{F}, \mathsf{G}: \ \mathfrak{M}_{e} \times_{e} \mathbb{N} \to \mathcal{A}$  be balanced functors. A balanced natural transformation  $\eta: \mathsf{F} \to \mathsf{G}$  is a natural transformation  $\eta: \mathsf{F} \to \mathsf{G}$  such that the diagrams

$$\begin{array}{ccc}
\mathsf{F}(m \triangleleft c \times n) & \xrightarrow{\eta_{m \triangleleft c \times n}} & \mathsf{G}(m \triangleleft c \times n) \\
& & & \downarrow^{\beta_{m,c,n}} & & \downarrow^{\beta_{m,c,n}^{\mathsf{G}}} \\
\mathsf{F}(m \times c \triangleright n) & \xrightarrow{\eta_{m \times c \triangleright n}} & \mathsf{G}(m \times c \triangleright n)
\end{array} \tag{2.14}$$

commute for all objects  $c \in \mathcal{C}$ ,  $m \in \mathcal{M}$  and  $n \in \mathcal{N}$ .

Let now  $\mathcal{C}$  be a finite tensor category and  $\mathcal{M}_e$  and  ${}_e\mathcal{N}$  be left and right  $\mathcal{C}$ -module categories, respectively. A relative tensor product  $\mathcal{M}_e \boxtimes_{e_e} \mathcal{N}$  of  $\mathcal{M}_e$  and  ${}_e\mathcal{N}$  is a k-linear abelian category that is defined – up to equivalence of categories – by a universal property that can be regarded as the analogue of the universal property of the tensor product of modules over an algebra. However, the relative tensor product is only universal with respect to *right exact* functors. Accordingly we introduce the

**Notation 2.8** For linear categories  $\mathcal{M}$  and  $\mathcal{A}$  we denote by  $\operatorname{Fun}^{\operatorname{r.e.}}(\mathcal{M}, \mathcal{A})$  and  $\operatorname{Fun}^{\operatorname{l.e.}}(\mathcal{M}, \mathcal{A})$  the categories of right and left exact functors, respectively. For  $\mathcal{N}$  another linear category  $\mathcal{N}$ ,  $\operatorname{Fun}^{\operatorname{r.e.}}(\mathcal{M} \times \mathcal{N}, \mathcal{A})$  is the category of functors that are right exact separately in each argument.

In the case of module categories,  $\operatorname{Fun}^{\operatorname{bal,r.e.}}(\mathcal{M}_{e} \times_{e} \mathcal{N}, \mathcal{A})$  denotes the category of right exact balanced functors, and the category of right exact module functors between module categories  ${}_{e}\mathcal{N}$  and  ${}_{e}\mathcal{K}$  is denoted by  $\operatorname{Fun}_{e}^{\operatorname{r.e.}}({}_{e}\mathcal{N}, {}_{e}\mathcal{K})$ . Corresponding versions for left exact functors are denoted in an analogous manner.

In our definition of the relative tensor product below we require a fixed adjoint equivalence as part of the data of a relative tensor product; it thus contains more structure than the relative tensor product of [ENO10, Def. 3.3]. This constitutes only a mild assumption about the properties of the equivalence, since every equivalence in a bicategory can be turned into an adjoint equivalence, albeit not in a canonical way, see e.g. [Gur06]. The additional datum is necessary because only then one obtains [Sch14] an algebraic tricategory [Gur06] of bimodule categories, for which adjoint equivalences in the higher coherence data are required.

**Definition 2.9** Let  $\mathcal{M}_{e}$  and  $_{e}\mathcal{N}$  be left and right C-module categories, respectively. A relative tensor product ( $\mathcal{M}_{e} \boxtimes_{e_{e}} \mathcal{N}, \mathsf{B}_{\mathcal{M},\mathcal{N}}, \varphi_{\mathcal{M},\mathcal{N}}, \kappa_{\mathcal{M},\mathcal{N}}$ ) of  $\mathcal{M}_{e}$  and  $_{e}\mathcal{N}$  is a linear category  $\mathcal{M}_{e} \boxtimes_{e_{e}} \mathcal{N}$  together with a C-balanced functor  $\mathsf{B}_{\mathcal{M},\mathcal{N}}$ :  $\mathcal{M}_{e} \times _{e}\mathcal{N} \to \mathcal{M}_{e} \boxtimes_{e_{e}}\mathcal{N}$ , such that for all linear categories  $\mathcal{A}$  the functor

$$\Phi_{\mathcal{M},\mathcal{N}}: \quad \operatorname{Fun}^{\text{r.e.}}(\mathcal{M}_{e}\boxtimes_{e_{e}}\mathcal{N},\mathcal{A}) \longrightarrow \operatorname{Fun}^{\operatorname{bal,r.e.}}(\mathcal{M}_{e}\times_{e}\mathcal{N},\mathcal{A}) 
\mathsf{G} \longmapsto \mathsf{G} \circ \mathsf{B}_{\mathcal{M},\mathcal{N}}$$
(2.15)

is an equivalence of categories, and together with a specified quasi-inverse

$$\Psi_{\mathcal{M},\mathcal{N}}: \quad \operatorname{Fun}^{\operatorname{bal,r.e.}}(\mathcal{M}_{e} \times_{e} \mathcal{N}, \mathcal{A}) \to \operatorname{Fun}^{\operatorname{r.e.}}(\mathcal{M}_{e} \boxtimes_{e} \mathcal{N}, \mathcal{A})$$
(2.16)

and a specified adjoint equivalence

 $\varphi_{\mathcal{M},\mathcal{N}} \colon \quad \mathsf{id} \to \Phi_{\mathcal{M},\mathcal{N}} \Psi_{\mathcal{M},\mathcal{N}} \quad and \quad \kappa_{\mathcal{M},\mathcal{N}} \colon \quad \Psi_{\mathcal{M},\mathcal{N}} \Phi_{\mathcal{M},\mathcal{N}} \to \mathsf{id} \tag{2.17}$ 

between the functors  $\Phi_{\mathcal{M},\mathcal{N}}$  and  $\Psi_{\mathcal{M},\mathcal{N}}$ .

It follows that a relative tensor product of (finite) module categories  $\mathcal{M}_e$  and  $_e\mathcal{N}$  is unique up to unique adjoint equivalence, if it exists. Its existence has been fully established only recently:

**Proposition 2.10** [DSPS14] Let  $\mathcal{M}_e$  and  ${}_e\mathcal{N}$  be module categories over a finite tensor category  $\mathcal{C}$ . Then the relative tensor product  $\mathcal{M}_e \boxtimes_{e e} \mathcal{N}$  over  $\mathcal{C}$  exists and is unique up to unique adjoint equivalence.

Moreover, for any pair of bimodule categories  ${}_{\mathcal{D}}\mathcal{M}_{e}$  and  ${}_{\varepsilon}\mathcal{N}_{\mathcal{D}}$ , the relative tensor product  ${}_{\varepsilon}\mathcal{N}_{\mathcal{D}}\boxtimes_{\mathcal{D}}\mathcal{M}_{e}$ , is canonically a bimodule category. With the relative tensor product as composition of 1-morphisms one obtains a tricategory BimCat with objects finite tensor categories and bimodule categories, bimodule functors and bimodule natural transformations as 1-, 2- and 3-morphisms, respectively, see [Sch14]. This tricategory is a categorification of the bicategory BimAlg of algebras, bimodules and bimodule morphisms.

We will discuss several models for the relative tensor product in Section 2.5. Also, the relative tensor product of k-linear categories over Vect is the familiar Deligne product:

**Proposition 2.11** Let  $\mathcal{M}_e$  and  $_e\mathcal{N}$  be finite linear categories. Then the categories  $\mathcal{M}$  and  $\mathcal{N}$  are canonically Vect-bimodule categories (they are exact as bimodule categories iff  $\mathcal{M}$  and  $\mathcal{N}$  are semisimple as abelian categories). Conversely, any Vect-bimodule category  $\mathcal{M}$  is canonically equivalent to  $\mathcal{M}$  equipped with this standard bimodule structure. Further, the relative tensor product over Vect exists and coincides with the Deligne product  $\mathcal{M} \boxtimes \mathcal{N}$  of  $\mathcal{M}$  and  $\mathcal{N}$ .

The Deligne product allows for the following characterization of bimodule categories. For a monoidal category  $\mathbb{C}$  we denote by  $\overline{\mathbb{C}}$  the monoidal category with the opposite tensor product  $\overline{\otimes}$ , i.e.  $c \overline{\otimes} d = d \otimes c$  for objects  $c, d \in \mathbb{C}$ ; associativity and unit constraints are canonically obtained from the corresponding constraints of  $\mathbb{C}$ . It follows that a  $(\mathbb{C}, \mathcal{D})$ -bimodule category is the same as a left  $\mathbb{C} \boxtimes \overline{\mathcal{D}}$ -module category [Gre10, proof of Prop. 1.3.10]. Further, a bimodule functor  $\mathsf{F}: {}_{e}\mathcal{M}_{\mathcal{D}} \to {}_{e}\mathcal{N}_{\mathcal{D}}$  and a bimodule natural transformation between two such functors are the same as module functors and module natural transformations, respectively, between the corresponding  $\mathbb{C} \boxtimes \overline{\mathcal{D}}$ -module categories.

#### 2.4 Constructions of module categories

In the sequel we provide explicit constructions of the structures that we introduced above. First we consider constructions of module categories from given ones.

Let  ${}_{\mathcal{D}}\mathcal{M}_{e}$  be a  $(\mathcal{D}, \mathcal{C})$ -bimodule category over rigid monoidal categories  $\mathcal{C}$  and  $\mathcal{D}$ . We can then use the two dualities of  $\mathcal{C}$  and  $\mathcal{D}$  to define two  $(\mathcal{C}, \mathcal{D})$ -bimodule categories  ${}_{e}\mathcal{M}_{\mathcal{D}}^{\#}$  and  ${}_{e}^{\#}\mathcal{M}_{\mathcal{D}}$ , to be called the *right* and *left dual* of  ${}_{\mathcal{D}}\mathcal{M}_{e}$ , respectively, as follows. As categories, they are both  $\mathcal{M}^{\mathrm{op}}$ , while the actions are given by

$$c \triangleright^{\#} m \triangleleft^{\#} d := {}^{\vee} d \triangleright m \triangleleft^{\vee} c \tag{2.18}$$

for the case of  $m \in {}_{c}\mathcal{M}_{\mathcal{D}}^{\#}$ , and

$$c^{\#} \triangleright m^{\#} \triangleleft d := d^{\vee} \triangleright m \triangleleft c^{\vee} \tag{2.19}$$

for  $m \in {}^{\#}_{\mathcal{C}}\mathcal{M}_{\mathcal{D}}$ , respectively. This agrees with [DSPS13, Def. 3.4.4].

A basic property of the dual categories is the following [Sch14, Lemma 4.1]: If  ${}_{_{\mathcal{D}}}\mathcal{M}_{e}$  and  ${}_{e}\mathcal{N}_{\varepsilon}$  are bimodule categories over rigid monoidal categories, then there are canonical equivalences

$${}^{\#}({}_{e}\mathcal{M}_{\mathcal{D}}^{\#}) \simeq {}_{\mathcal{D}}\mathcal{M}_{e}, \quad ({}^{\#}_{e}\mathcal{M}_{\mathcal{D}})^{\#} \simeq {}_{\mathcal{D}}\mathcal{M}_{e} \quad \text{and} \quad {}^{\#}({}_{\mathcal{D}}\mathcal{M}_{e}\boxtimes_{e} {}_{e}\mathcal{N}_{e}) \simeq {}^{\#}_{e}\mathcal{N}_{e}\boxtimes_{e} {}^{\#}_{e}\mathcal{M}_{\mathcal{D}} \quad (2.20)$$

#### of bimodule categories

Next we describe various ways of obtaining module categories with the help of suitable categories of functors.

– Let  ${}_{e}\mathcal{M}$  be a left module category and  $\mathcal{A}$  an arbitrary finite linear category. Then the functor category Fun( ${}_{e}\mathcal{M},\mathcal{A}$ ) has a natural structure of a right C-module category with action

$$(\mathsf{F} \triangleleft c)(m) := \mathsf{F}(c \triangleright m) \tag{2.21}$$

for  $\mathsf{F} \in \operatorname{Fun}({}_{e}\mathcal{M},\mathcal{A}), m \in \mathcal{M}$  and  $c \in \mathcal{C}$ . To see that the action constitutes an exact functor, note that the functor  $c \triangleright -: \mathcal{M} \to \mathcal{M}$  is exact, which implies, according to the adjoint functor theorem (see [DSPS14, Prop. 1.7]), that the left and right adjoint functors exist. For instance, the right adjoint  $(c \triangleright -)^*$  provides for  $\mathsf{F}, \mathsf{G} \in \operatorname{Fun}({}_{e}\mathcal{M},\mathcal{A})$  an adjunction

$$\operatorname{Fun}(\mathsf{F} \circ (c \triangleright -), \mathsf{G}) \simeq \operatorname{Fun}(\mathsf{F}, \mathsf{G} \circ (c \triangleright -)^*).$$
(2.22)

It follows that the precomposition with  $(c \triangleright -)$  is an exact endofunctor of Fun( ${}_{e}\mathcal{M},\mathcal{A}$ ). The module constraint for Fun( ${}_{e}\mathcal{M},\mathcal{A}$ ) is obtained directly from the module constraint of  ${}_{e}\mathcal{M}$ , which induces a natural isomorphism between the functors  $(\mathsf{F} \triangleleft c) \triangleleft c'$ and  $\mathsf{F} \triangleleft (c \otimes c')$  for any  $c, c' \in \mathbb{C}$ .

– Let  ${}_{e}\mathcal{M}$  be a left module category. Then the functor category  $\operatorname{Fun}(\mathcal{A}, {}_{e}\mathcal{M})$  has a natural structure of a left C-module category with action

$$(c \triangleright \mathsf{G})(a) := c \triangleright \mathsf{G}(a) \,. \tag{2.23}$$

The exactness of the action and the module constraint are obtained in the same way as in the preceding example.

- Similarly, for a right C-module category  $\mathcal{M}_{e}$ , the category Fun( $\mathcal{M}_{e}, \mathcal{A}$ ) is a left C-module category and the category Fun( $\mathcal{A}, \mathcal{M}_{e}$ ) is a right C-module category.
- These constructions are compatible with possible bimodule structures on the categories, i.e.  $\operatorname{Fun}_{e}(_{e}\mathcal{M}_{\mathcal{D}}, _{e}\mathcal{N}_{\varepsilon})$  is a  $(\mathcal{D}, \mathcal{E})$ -bimodule category and  $\operatorname{Fun}_{e}(_{\mathcal{D}}\mathcal{M}_{e}, _{\varepsilon}\mathcal{N}_{e})$  is a  $(\mathcal{E}, \mathcal{D})$ -bimodule category. Additionally, the module actions restrict to actions on the subcategories of left and right exact functors in the examples above.
- The dual categories introduced above are in fact just special cases of the latter constructions: For  ${}_{\mathcal{D}}\mathcal{M}_{e}$ , there are canonical equivalences

$${}_{e}^{\#}\mathcal{M}_{\mathcal{D}} \simeq \operatorname{Fun}_{\mathcal{D}}^{\operatorname{r.e.}}\left({}_{\mathcal{D}}\mathcal{M}_{e}, {}_{\mathcal{D}}\mathcal{D}_{\mathcal{D}}\right) \quad \text{and} \quad {}_{e}\mathcal{M}_{\mathcal{D}}^{\#} \simeq \operatorname{Fun}_{e}^{\operatorname{r.e.}}\left({}_{\mathcal{D}}\mathcal{M}_{e}, {}_{e}\mathcal{C}_{e}\right) \tag{2.24}$$

of bimodule categories, see [DSPS13, Prop. 3.4.9]. The equivalences from the functor categories to the dual bimodule categories are obtained by evaluating the right adjoint of a functor on the respective unit object.

The following procedure brings us from module categories to bimodule categories. Given an exact module category  ${}_{e}\mathcal{M}$  over a finite tensor category, the functor category Fun<sub>e</sub> ( ${}_{e}\mathcal{M}, {}_{e}\mathcal{M}$ ), with strict monoidal structure given by composition, is again a finite tensor category [EO04, Prop. 3.23]. In the literature this category is also denoted by  $\mathcal{C}_{\mathcal{M}}^{*}$  and is sometimes called the dual of  $\mathcal{C}$  with respect to  ${}_{e}\mathcal{M}$ . The action of module functors in  $\mathcal{C}_{\mathcal{M}}^{*}$  on  ${}_{e}\mathcal{M}$  endows  $\mathcal{M}$  with a natural structure of a  $(\mathcal{C}, \overline{\mathcal{C}_{\mathcal{M}}^{*}})$ -bimodule category structure. Every other bimodule category with underlying module category  ${}_{e}\mathcal{M}$  can be obtained from this one as follows. Let  ${}_{e}\mathcal{M}_{\mathcal{D}}$  be a bimodule category, then for any  $d \in \mathcal{D}$  the action  $R_{d}$  on  $\mathcal{M}$ , i.e.  $R_{d}(m) = m \triangleleft d$ , is given by  $\mathcal{C}$ -module functors and hence provides a monoidal functor  $R: \mathcal{D} \to \mathcal{C}_{\mathcal{M}}^{*}$ . The  $\mathcal{D}$ -module structure on  ${}_{e}\mathcal{M}_{\mathcal{D}}$  can be now regarded as being induced from the functor R and the canonical action of  $\mathcal{C}_{\mathcal{M}}^{*}$ .

Finally [Ost03b], given an algebra object  $A \in \mathcal{C}$ , the category  $\mathsf{Mod}_A(\mathcal{C})$  of A-right modules is naturally a left C-module category. Analogously, the category  ${}_A\mathsf{Mod}(\mathcal{C})$  of A-left modules is a right C-module category. Conversely, every C-module category can be obtained this way, see [EO04, Thm. 3.17] and [DSPS14]: For every module category  ${}_c\mathcal{M}$  over a finite tensor category there exists an algebra  $A \in \mathcal{C}$  together with an equivalence

$$_{e}\mathcal{M} \simeq \mathsf{Mod}_{A}(\mathcal{C})$$
 (2.25)

of module categories.

#### 2.5 Constructions of the relative tensor product

We now present several constructions of the relative tensor product  $\mathcal{M}_{e} \boxtimes_{e} \mathcal{N}$  of module categories  $\mathcal{M}_{e}$  and  $_{e}\mathcal{N}$  that has been introduced in Definition 2.9.

First we consider categories of modules internal to  $\mathcal{C}$ . Let us choose algebra objects  $A, B \in \mathcal{C}$  such that  $\mathcal{M}_e \simeq {}_A \mathsf{Mod}(\mathcal{C})$  and  ${}_e \mathcal{N} \simeq \mathsf{Mod}_B(\mathcal{C})$ . Then the category  ${}_A \mathsf{Mod}_B(\mathcal{C})$  of (A, B)-bimodules in  $\mathcal{C}$  can be endowed with the structure of a relative tensor product of  $\mathcal{M}_e$  and  ${}_e \mathcal{N}$ , as shown in [DSPS14]. The universal balanced functor

$$\mathsf{B}: \quad {}_{A}\mathsf{Mod}\boxtimes\mathsf{Mod}_{B}\to {}_{A}\mathsf{Mod}_{B} \tag{2.26}$$

is given by  $B(m \boxtimes n) = m \otimes n$ , with the obvious (A, B)-bimodule structure on the object  $m \otimes n$  induced from the left A-module structure on m and the right B-module structure on n. It is clear that this functor is C-balanced. We refer to [DSPS14, Thm. 3.3] for the proof that this construction provides a model for the relative tensor product. Note that in [DSPS14] adjoint equivalences are not required in the definition of a relative tensor product. Since an adjoint equivalence always exists, we can without loss of generality assume that the equivalence is adjoint, whereby we obtain a structure of a relative tensor product in the sense of Definition 2.9.

Another possibility to construct a relative tensor product is to use functor categories. Consider bimodule categories  ${}_{\mathcal{D}}\mathcal{M}_{e}$  and  ${}_{e}\mathcal{N}_{\varepsilon}$ . Then as shown in [DSPS13, Cor. 3.4.11], the functor categories

$${}_{\mathcal{D}}\mathcal{M}_{e}\boxtimes_{e}{}_{e}\mathcal{N}_{\varepsilon}\simeq \operatorname{Fun}_{e}^{\mathrm{r.e.}}\left({}_{e}\mathcal{M}_{\mathcal{D}}^{\#}, {}_{e}\mathcal{N}_{\varepsilon}\right) \quad \text{and} \quad {}_{\mathcal{D}}\mathcal{M}_{e}\boxtimes_{e}{}_{e}\mathcal{N}_{\varepsilon}\simeq \operatorname{Fun}_{e}^{\mathrm{r.e.}}\left({}_{\varepsilon}^{\#}\mathcal{N}_{e}, {}_{\mathcal{D}}\mathcal{M}_{e}\right), \quad (2.27)$$

can be endowed with the structure of a relative tensor product, with the universal balanced functors as given in [ENO10, Prop. 3.5]. Under the equivalence  $\mathcal{M} \boxtimes \mathcal{N} \simeq \operatorname{Fun}^{\text{r.e.}}(\mathcal{M}^{\text{op}}, \mathcal{N})$  of linear categories, the universal balancing functor B:  ${}_{\mathcal{D}}\mathcal{M}_{e} \boxtimes_{e}\mathcal{N}_{\varepsilon} \to \operatorname{Fun}^{\text{r.e.}}({}_{e}\mathcal{M}^{\#}_{\mathcal{D}}, {}_{e}\mathcal{N}_{\varepsilon})$  is given by the left adjoint of the forgetful functor  $\operatorname{Fun}^{\text{r.e.}}_{e}({}_{e}\mathcal{M}^{\#}_{\mathcal{D}}, {}_{e}\mathcal{N}_{\varepsilon}) \to \operatorname{Fun}^{\text{r.e.}}(\mathcal{M}^{\operatorname{op}}, \mathcal{N})$  [ENO10].

In case the tensor category  $\mathcal{C}$  is semisimple, yet another description of the relative tensor product uses the object

$$A := \bigoplus_{u} c_u \boxtimes c_u^{\vee} \in \mathfrak{C} \boxtimes \overline{\mathfrak{C}} , \qquad (2.28)$$

where the summation is over the isomorphism classes of simple objects of  $\mathcal{C}$ . This object has a natural structure of a Frobenius algebra [FFRS06, Lemma 6.19]. As first asserted in [ENO10, Rem. 3.9], the category  $\mathcal{M}_e \boxtimes_{e_e} \mathcal{N} \simeq \mathsf{Mod}_A(\mathcal{M}_e \boxtimes_e \mathcal{N})$  (with objects being *A*-modules in the category  $\mathcal{M}_e \boxtimes_e \mathcal{N}$ , a notion to be described in detail in Definition 3.7 below) has the structure of a relative tensor product of  $\mathcal{M}_e$  and  ${}_e\mathcal{N}$  [Sch13, Prop. 3.2.9]. In this case the universal balanced functor is the induction functor, and the forgetful functor is its right adjoint.

We now proceed to the first result of this paper: we provide an explicit construction of the relative tensor product that corrects an inaccuracy in the literature. This construction is based on what we call the *twisted center* of two module categories. We first allow for general twists by monoidal functors F. The specific functor relevant for the relative tensor product is the double dual functor, see below. Let C be a rigid monoidal category.

**Definition 2.12** Let  $\mathsf{F}: \mathfrak{C} \to \mathfrak{C}$  be a monoidal functor and  ${}_{\mathfrak{C}}\mathfrak{M}_{\mathfrak{C}}$  a  $(\mathfrak{C}, \mathfrak{C})$ -bimodule category. The  $\mathsf{F}$ -twisted center  $\mathfrak{Z}^{\mathsf{F}}_{\mathfrak{C}}({}_{\mathfrak{C}}\mathfrak{M}_{\mathfrak{C}})$  of  ${}_{\mathfrak{C}}\mathfrak{M}_{\mathfrak{C}}$  is the following category. Objects  $(X, \gamma)$  of  $\mathfrak{Z}^{\mathsf{F}}_{\mathfrak{C}}({}_{\mathfrak{C}}\mathfrak{M}_{\mathfrak{C}})$  are pairs consisting of an object  $X \in {}_{\mathfrak{C}}\mathfrak{M}_{\mathfrak{C}}$  and natural isomorphisms

$$\gamma_c \colon c \triangleright X \xrightarrow{\cong} X \triangleleft \mathsf{F}(c) \tag{2.29}$$

for all  $c \in \mathbb{C}$  that satisfy the usual pentagon relation with respect to the mixed tensor product. The morphisms from  $(X, \gamma^X)$  to  $(Y, \gamma^Y)$  are morphisms  $f: X \to Y$  in  ${}_{c}\mathfrak{M}_{e}$  that satisfy  $\gamma_{c}^{Y}(c \triangleright f) = (f \triangleleft \mathsf{F}(c)) \circ \gamma_{c}^{X}$  for all  $c \in \mathfrak{C}$ .

Our terminology is motivated by the definition [GNN09, ENO10] of the center  $\mathcal{Z}_{\mathcal{C}}({}_{e}\mathcal{M}_{e})$  of the bimodule category  ${}_{e}\mathcal{M}_{e}$  via pairs consisting of of objects  $X \in {}_{e}\mathcal{M}_{e}$  and coherent isomorphisms  $c \triangleright X \xrightarrow{\cong} X \triangleleft c$ . In particular, the category  $\mathcal{Z}_{c}^{\mathsf{Id}}(\mathcal{C})$  is the ordinary Drinfeld center of  $\mathcal{C}$ ; the category  $\mathcal{Z}_{c}^{\mathsf{F}}({}_{e}\mathcal{M}_{e})$  is thus like the center, except for a twist by the functor  $\mathsf{F}$ .

The pullback construction of a module category by a monoidal functor from Section 2.2 allows for an alternative characterization of the F-twisted center:

**Lemma 2.13** Let  $\mathsf{F}$  be a monoidal functor as in Definition 2.12. Then the  $\mathsf{F}$ -twisted center is equivalent as a k-linear abelian category to the category of bimodule functors from the pullback  $\mathsf{F}^*({}_{\mathrm{e}}\mathsf{C}_{\mathrm{e}})$  of  ${}_{\mathrm{e}}\mathsf{C}_{\mathrm{e}}$ , with respect to the left module structure, to  ${}_{\mathrm{e}}\mathsf{M}_{\mathrm{e}}$ , i.e.

$$\mathcal{Z}^{\mathsf{F}}_{\mathfrak{C}}({}_{\mathrm{e}}\mathcal{M}_{\mathrm{e}}) \simeq \operatorname{Fun}_{\mathrm{e},\mathrm{e}}\left(\mathsf{F}^{*}({}_{\mathrm{e}}\mathfrak{C}_{\mathrm{e}}), {}_{\mathrm{e}}\mathcal{M}_{\mathrm{e}}\right).$$
(2.30)

Proof. An object  $X \in \mathcal{Z}_{\mathbb{C}}^{\mathsf{F}}({}_{e}\mathcal{M}_{e})$  provides a functor  $\mathsf{F}^{*}({}_{e}\mathbb{C}_{e}) \to {}_{e}\mathcal{M}_{e}$  as follows. On the object  $c \in \mathbb{C}$  the functor is defined as  $\mathsf{F}^{*}({}_{e}\mathbb{C}_{e}) \ni c \mapsto X \triangleleft c$ . It is straightforward to see that the structure of an object in the F-twisted center on X translates into the structure of a bimodule functor. Conversely, given a bimodule functor  $\mathsf{G} \colon \mathsf{F}^{*}({}_{e}\mathbb{C}_{e}) \to {}_{e}\mathcal{M}_{e}$ , the object  $\mathsf{G}(1_{\mathbb{C}})$  defines an object in  $\mathcal{Z}_{\mathbb{C}}^{\mathsf{F}}({}_{e}\mathcal{M}_{e})$ . These two constructions are mutually inverse up to canonical natural isomorphisms.

Now we turn to the particular case that is relevant for the relative tensor product of module categories: We denote by  $D: \mathcal{C} \to \mathcal{C}$  the monoidal functor that is given by the double right dual,

$$\mathsf{D}(c) = c^{\vee \vee}.\tag{2.31}$$

Note that we do not assume that  $\mathcal{C}$  has a pivotal structure that relates  $\mathsf{D}$  to the identity functor. (But see Section 3.4 for a discussion of twisted centers in the presence of a pivotal structure.) Hence in particular the twisted center  $\mathcal{Z}^{\mathsf{D}}_{\mathbb{C}}({}_{e}\mathcal{C}_{e})$  of the trivial bimodule category is, in general, not equivalent to the Drinfeld center of  $\mathcal{C}$ ; we call  $\mathcal{Z}^{\mathsf{D}}_{\mathbb{C}}({}_{e}\mathcal{C}_{e})$  the *twisted Drinfeld center* of  $\mathcal{C}$ . An object of  $\mathcal{Z}^{\mathsf{D}}_{\mathbb{C}}({}_{e}\mathcal{C}_{e})$  consists of an object  $X \in \mathcal{C}$  and a family of coherent natural isomorphisms  $\gamma_{c}^{X} : c \otimes X \xrightarrow{\simeq} X \otimes c^{\vee\vee}$ .

The ordinary Deligne product  $\mathcal{M}_e \boxtimes_{\mathcal{D}} \mathcal{N}$  of two module categories  $\mathcal{M}_e$  and  $_{\mathcal{D}} \mathcal{N}$  has a natural structure of a  $(\mathcal{D}, \mathcal{C})$ -bimodule category. This bimodule structure will be implicit in the sequel. To simplify notation we abbreviate by  $_e^{\mathsf{D}} \mathcal{C}_e$  the category  $\mathcal{C}$  with regular right module structure and with left module structure twisted by the double dual functor  $\mathsf{D}$ , i.e.

$${}^{\mathsf{D}}_{\mathsf{e}} \mathcal{C}_{\mathsf{e}} = \mathsf{D}^*({}_{\mathsf{e}} \mathcal{C}_{\mathsf{e}}). \tag{2.32}$$

We refer to this category simply as the twisted canonical bimodule category; similarly we refer to D-twisted bimodule categories just as twisted bimodule categories in the sequel. The previous lemma thus implies:

**Corollary 2.14** Let  $\mathcal{M}_{e}$  and  $_{e}\mathcal{N}$  be two C-module categories. Then the twisted center of  $\mathcal{M}_{e} \boxtimes_{e} \mathcal{N}$  is equivalent to the category of bimodule functors from  $_{e}^{\mathsf{D}} C_{e}$  to  $\mathcal{M}_{e} \boxtimes_{e} \mathcal{N}$ , *i.e.* 

$$\mathcal{Z}_{c}^{\mathsf{D}}(\mathcal{M}_{c}\boxtimes_{c}\mathcal{N})\simeq\operatorname{Fun}_{c,c}({}_{c}^{\mathsf{D}}\mathcal{C}_{c},\mathcal{M}_{c}\boxtimes_{c}\mathcal{N}).$$
 (2.33)

**Remark 2.15** The double left dual functor of a finite tensor category  $\mathcal{C}$  is the monoidal functor  $\mathsf{E} \colon \mathcal{C} \to \mathcal{C}$  that maps objects  $c \in \mathcal{C}$  to  $\mathsf{E}(c) = {}^{\vee \vee}c$ . For general finite tensor categories the functors  $\mathsf{D}$  and  $\mathsf{E}$  might not be isomorphic. However, the Radford theorem for finite tensor categories [ENO04] is equivalent to the statement that there exists an equivalence  $\mathsf{D}^*({}_e\mathcal{C}_e) \simeq \mathsf{E}^*({}_e\mathcal{C}_e)$  of bimodule categories.

Next we use the twisted center to describe the relative tensor product of module categories, thereby clarifying results in the literature which ignored the twisting [ENO10, Prop. 3.8]. Note first that there is an obvious forgetful functor  $U : \mathcal{Z}^{D}_{\mathcal{C}}(\mathcal{M}_{e} \boxtimes_{e} \mathcal{N}) \to \mathcal{M} \boxtimes \mathcal{N}$ .

Lemma 2.16 Let A be a linear category. Taking the right adjoint induces an equivalence

$$\operatorname{Fun}^{\operatorname{bal,r.e.}}(\mathcal{M}_{e} \boxtimes_{e} \mathcal{N}, \mathcal{A}) \cong \operatorname{Fun}^{\operatorname{l.e.}}(\mathcal{A}, \mathcal{Z}_{\mathcal{C}}^{\mathsf{D}}(\mathcal{M}_{e} \boxtimes_{e} \mathcal{N}))$$
(2.34)

of categories of functors with additional data.

Concretely, the right adjoint  $\mathsf{F}^r$  of a right exact  $\mathfrak{C}$ -balanced functor  $\mathsf{F} \colon \mathfrak{M}_e \boxtimes_e \mathfrak{N} \to \mathcal{A}$  is endowed, through the balancing, with the structure of a lift to the twisted center  $\mathfrak{Z}_e^{\mathsf{D}}(\mathfrak{M}_e \boxtimes_e \mathfrak{N})$ : There exists a functor  $\widehat{\mathsf{F}^r} \colon \mathcal{A} \to \mathfrak{Z}_e^{\mathsf{D}}(\mathfrak{M}_e \boxtimes_e \mathfrak{N})$ , unique up to unique natural isomorphism, such that  $\mathsf{U} \circ \widehat{\mathsf{F}^r} = \mathsf{F}^r$ .

Proof. Assume that  $F: \mathcal{M} \boxtimes \mathcal{N} \to \mathcal{A}$  is a right exact functor, and choose objects  $a \in \mathcal{A}$  and  $m \boxtimes n \in \mathcal{M} \boxtimes \mathcal{N}$ . Then the following diagram either defines the natural isomorphisms  $\gamma^{F^{r}(a)}$  (invoking the Yoneda lemma) that equip the objects  $F^{r}(a)$  with the structure of objects in the twisted center  $\mathcal{Z}_{\mathcal{C}}^{\mathsf{D}}(\mathcal{M}_{e} \boxtimes_{e} \mathcal{N})$  and thus defines the functor  $\widehat{\mathsf{F}}^{r}$  if  $\mathsf{F}$  is balanced or, conversely, given such a lift to  $\mathcal{Z}_{\mathcal{C}}^{\mathsf{D}}(\mathcal{M}_{e} \boxtimes_{e} \mathcal{N})$  it defines the balancing structure f of  $\mathsf{F}$ :

Compatibility of the isomorphisms  $\gamma$  with the monoidal structure follows from the corresponding compatibility of the natural isomorphisms f, and vice versa. Equipped with the structures appearing in the commutative diagram (2.35), the adjunction between  $\mathsf{F}$  and  $\mathsf{F}^r$  consists of balanced natural isomorphisms; this property characterizes the functor  $\widehat{\mathsf{F}}^r$  uniquely, so the statement follows.

Next we note the following useful result:

**Lemma 2.17** Let  $\operatorname{Fun}_{e}({}_{e}\mathcal{M}, {}_{e}\mathcal{N})$  be an abelian category of module functors. For any object  $m \in \mathcal{M}$ , the evaluation functor  $U_{m}$ :  $\operatorname{Fun}_{e}({}_{e}\mathcal{M}, {}_{e}\mathcal{N}) \to \mathcal{N}$  is exact.

Proof. If we consider  $\mathcal{M}$  and  $\mathcal{N}$  as abelian categories, a sequence of functors and natural transformations in Fun( $\mathcal{M}, \mathcal{N}$ ) is exact if and only if it is exact at every object  $m \in \mathcal{M}$  [Fre64, Sec. 5.1]. Hence the evaluation functor is exact in this case. Further, for a natural transformation  $\nu \colon \mathsf{F} \to \mathsf{G}$  of module functors, the kernel and cokernel of  $\nu$ , regarded as natural transformation between additive functors, are canonically module functors as well. Indeed, if  $\mathsf{K}(m) \to \mathsf{F}(m) \xrightarrow{\nu(m)} \mathsf{G}(m)$  is the kernel of  $\nu$  at  $m \in \mathcal{M}$ , then it follows with the help of the exactness of the action of  $\mathfrak{C}$  that both  $c \triangleleft \mathsf{K}(m)$  and  $\mathsf{K}(c \triangleleft m)$  are kernels for  $\mathsf{F}(c \triangleleft m) \xrightarrow{\nu(c \triangleleft m)} \mathsf{G}(c \triangleleft m)$ . Thus the universal property of the kernel provides the module constraint of the additive functor K. An analogous statement holds for the cokernel. It therefore follows again that a sequence of module functors is exact if and only if it is exact at every object  $m \in \mathcal{M}$ . Thus the evaluation functor  $\mathsf{U}_m$  is exact.  $\Box$ 

We are now in a position to establish

**Proposition 2.18** The twisted center provides a relative tensor product of module categories  $\mathcal{M}_e$  and  $_e\mathcal{N}$ , having the following structures:

- (i) The universal balanced functor from M<sub>e</sub> ⊠<sub>e</sub>N to Z<sup>D</sup><sub>c</sub>(M<sub>e</sub> ⊠<sub>e</sub>N) is given by U<sup>l</sup>, the left adjoint of the forgetful functor.
- (ii) The equivalence  $\Psi$ : Fun<sup>bal,r.e.</sup>  $(\mathcal{M}_e \boxtimes_e \mathcal{N}, \mathcal{A}) \to \operatorname{Fun}^{r.e.}(\mathcal{Z}^{\mathsf{D}}_{\mathfrak{C}}(\mathcal{M}_e \boxtimes_e \mathcal{N}), \mathcal{A})$  for arbitrary linear categories  $\mathcal{A}$  is defined by  $\Psi(\mathsf{F}) := (\widehat{\mathsf{F}^r})^l$ .
- (iii) The natural isomorphism  $\kappa \colon \Psi(\mathsf{F}) \circ \mathsf{U}^l \xrightarrow{\cong} \mathsf{F}$  for a balanced functor  $\mathsf{F} \colon \mathcal{M}_e \boxtimes_e \mathcal{N} \to \mathcal{A}$  is provided by the isomorphism

$$\Psi(\mathsf{F}) \circ \mathsf{U}^{l} = (\widehat{\mathsf{F}^{r}})^{l} \circ \mathsf{U}^{l} \xrightarrow{\cong} (\mathsf{U} \circ \widehat{\mathsf{F}^{r}})^{l} = (\mathsf{F}^{r})^{l} \cong \mathsf{F}.$$
(2.36)

(iv) For any functor  $G: \mathcal{Z}^{\mathsf{D}}_{\mathbb{C}}(\mathcal{M}_{e} \boxtimes_{e} \mathbb{N}) \to \mathcal{A}$  the natural isomorphism  $\Psi(\mathsf{G} \circ \mathsf{U}^{l}) \cong \mathsf{G}$  is obtained from

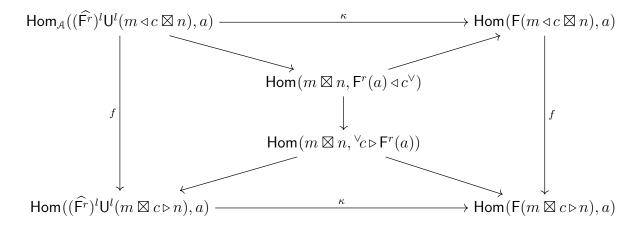
$$\Psi(\mathsf{G}\circ\mathsf{U}^l) = (\widehat{(\mathsf{G}\mathsf{U}^l)^r})^l \cong (\widehat{\mathsf{U}\circ G^r})^l \cong (\mathsf{G}^r)^l.$$
(2.37)

(Here we use that we can choose  $\widehat{\mathsf{U} \circ \mathsf{H}} = \mathsf{H}$  for  $\mathsf{H} \colon \mathcal{A} \to \mathcal{Z}^{\mathsf{D}}_{\mathbb{C}}(\mathcal{M}_{\mathbb{C}} \boxtimes_{\mathbb{C}} \mathcal{N}).)$ 

Proof. First we show that the forgetful functor U is exact, implying in particular that a left adjoint exists. Under the equivalence (2.33), the value of U at a bimodule functor  $G \in \operatorname{Fun}_{e,e} \left( {}_e^{\mathsf{D}} \mathcal{C}_e, \mathcal{M}_e \boxtimes_e \mathcal{N} \right)$  is given by  $\mathsf{U}(\mathsf{G}) = \mathsf{G}(1)$ . It thus follows from Lemma 2.17 that U is an exact functor.

Setting  $\mathcal{A} = \mathcal{Z}_{\mathbb{C}}^{\mathsf{D}}(\mathcal{M}_{e} \boxtimes_{e} \mathcal{N})$  and taking the identity functor on the right hand side of (2.34) shows that the left adjoint of U is a balanced functor  $\mathsf{U}^{l}: \mathcal{M}_{e} \boxtimes_{e} \mathcal{N} \to \mathcal{Z}_{\mathbb{C}}^{\mathsf{D}}(\mathcal{M}_{e} \boxtimes_{e} \mathcal{N}).$ 

Lemma 2.16 provides the definition  $\widehat{\mathsf{F}^r}$ . For part (iii) we must show that the prescribed isomorphism  $\kappa \colon \Psi(\mathsf{F}) \circ \mathsf{U}^l \cong \mathsf{F}$  is balanced. This amounts to proving that the outer rectangle in the diagram



commutes. In this diagram f denotes the balancing constraint of the functor F. All arrows are natural isomorphisms; the arrows connecting the Hom spaces in the inner part of

the diagram with the ones in the outer rectangle are obtained by a combination of the duality morphisms of  $\mathcal{C}$  and the adjunction between  $\mathsf{F}$  and  $\mathsf{F}^r$ . It follows that the right inner quadrilateral commutes by definition of the central structure of  $\mathsf{F}^r(a)$ . The left inner quadrilateral commutes by definition of the balancing constraint of  $\mathsf{F}$  and of  $\mathsf{U}^l$ . The two triangles commute by definition of the natural isomorphism  $\kappa$ . Hence the outer diagram commutes as well.

Part (iv) follows from the construction in Lemma 2.16.

## 3 The category-valued trace of bimodule categories

In this section we introduce the notion of a *category-valued trace* of bimodule categories by means of a universal property. Then we provide several models for the category-valued trace and establish a few basic properties.

#### 3.1 The twisted center as category-valued trace

We first define the category-valued trace and show that in particular the twisted center of a bimodule category furnishes a model for the category-valued trace. We then give a list of other models for this trace, thereby obtaining different descriptions of the center of a bimodule category.

**Definition 3.1** (i) Let  ${}_{e}\mathcal{M}_{e}$  be a bimodule category over a finite tensor category  $\mathcal{C}$ , and  $\mathcal{A}$  a linear category. A functor  $\mathsf{F}: {}_{e}\mathcal{M}_{e} \to \mathcal{A}$  is called  $\mathcal{C}$ -balanced with balancing constraint  $\beta^{\mathsf{F}}$  if it is equipped with a family of natural isomorphisms

$$\beta_{x,c}^{\mathsf{F}}: \quad \mathsf{F}(x \triangleleft c) \to \mathsf{F}(c \triangleright x) \tag{3.1}$$

such that the pentagon relation (2.12) and the triangle relation (2.13) are satisfied. (ii) For two balanced functors  $\mathsf{F}, \mathsf{G}: {}_{e}\mathcal{M}_{e} \to \mathcal{A}$ , a balanced natural transformation  $\eta: \mathsf{F} \to \mathsf{G}$  is defined by commutativity of a diagram analogous to the one in formula (2.14).

We can now define a category-valued trace of a bimodule category via a universal property with respect to balanced functors:

**Definition 3.2** A category-valued trace  $(\bigotimes_{e} \mathcal{M}_{e}, \mathsf{B}_{\mathcal{M}}, \Psi_{\mathcal{M}}, \varphi_{\mathcal{M}}, \kappa_{\mathcal{M}})$  of a C-bimodule category  $_{e}\mathcal{M}_{e}$  over a finite tensor category  $\mathcal{C}$ , is an abelian category  $\bigotimes_{e}\mathcal{M}_{e}$  together with a balanced functor  $\mathsf{B}_{\mathcal{M}}$ :  $_{e}\mathcal{M}_{e} \rightarrow \bigotimes_{e}\mathcal{M}_{e}$  such that for every linear category  $\mathcal{A}$  the functor

$$\Phi_{\mathcal{M}}: \quad \operatorname{Fun}^{\text{r.e.}}(\bigotimes_{e} \mathcal{M}_{e}, \mathcal{A}) \to \operatorname{Fun}^{\operatorname{bal, r.e.}}(_{e} \mathcal{M}_{e}, \mathcal{A})$$
$$\mathsf{G} \mapsto \mathsf{G} \circ \mathsf{B}_{\mathcal{M}}$$
(3.2)

is an equivalence of categories, and together with specified quasi-inverse

$$\Psi_{\mathcal{M}}: \quad \operatorname{Fun}^{\operatorname{bal,r.e.}}({}_{e}\mathcal{M}_{e},\mathcal{A}) \to \operatorname{Fun}^{\operatorname{r.e.}}(\bigotimes_{e}\mathcal{M}_{e},\mathcal{A}) \tag{3.3}$$

and with a specified adjoint equivalence  $\varphi_{\mathcal{M}}$ : id  $\rightarrow \Phi_{\mathcal{M}} \Psi_{\mathcal{M}}$  and  $\kappa_{\mathcal{M}}: \Psi_{\mathcal{M}} \Phi_{\mathcal{M}} \rightarrow \text{id between} \Phi_{\mathcal{M}}$  and  $\Psi_{\mathcal{M}}$ .

It follows directly that the conventional relative tensor product of module categories as described in Section 2.3 is a special case of the so defined trace, namely the one obtained for the bimodule category  $\mathcal{M}_e \boxtimes_e \mathcal{N}$ , i.e.

$$\mathcal{M}_{e} \boxtimes_{e} {}_{e} \mathcal{N} = \bigotimes \mathcal{M}_{e} \boxtimes_{e} \mathcal{N} . \tag{3.4}$$

In Proposition 3.3 below we show that also a converse statement holds, i.e. the categoryvalued trace can be expressed in terms of the relative tensor product of bimodule categories.

The category-valued trace provides an abstract characterization of the twisted center of a bimodule category up to unique adjoint equivalence. It will, however, prove convenient to have different descriptions of this category at hand. In particular it is useful to have the separate symbol  $\bigotimes_{e} \mathcal{M}_{e}$ , since working with the category-valued trace we will need to switch between different descriptions of this category.

In the following statement we provide a list of models for the category-valued trace; this list will be extended in Section 3.3.

**Proposition 3.3** For any bimodule category  ${}_{e}\mathcal{M}_{e}$  over a finite tensor category, the categoryvalued trace  $\otimes_{e}\mathcal{M}_{e}$  of  ${}_{e}\mathcal{M}_{e}$  exists. Moreover, the category  $\otimes_{e}\mathcal{M}_{e}$  can be realized as

- (i) the twisted center  $\mathcal{Z}^{\mathsf{D}}_{\mathfrak{C}}({}_{\mathfrak{e}}\mathcal{M}_{\mathfrak{e}})$ , as given in Definition 2.12;
- (ii) the functor category  $\operatorname{Fun}^{\operatorname{bal,r.e.}}({}_{e}\mathcal{M}_{e}^{\#}, \mathsf{Vect});$
- (iii) the functor category  $\operatorname{Fun}_{e,e}({}^{\mathsf{D}}_{e}\mathcal{C}_{e}, {}_{e}\mathcal{M}_{e});$
- (iv) the relative tensor product  $\mathfrak{M}_{\mathfrak{C}\boxtimes\overline{\mathfrak{C}}}\boxtimes_{\mathfrak{C}\boxtimes\overline{\mathfrak{C}}}\mathfrak{S}_{\mathfrak{C}\boxtimes\overline{\mathfrak{C}}}\mathfrak{C}$ .

Proof. The proof that the category in part (i) is a model for the category-valued trace is analogous to the proof of Proposition 2.18. For part (ii) note that every right exact functor  $\mathsf{F} \colon \mathcal{M}^{\operatorname{op}} \to \mathsf{Vect}$  can be represented by an object  $m = \mathcal{M}$  and a natural isomorphism  $\mathsf{F}(-) \cong \operatorname{Hom}_{\mathcal{M}}(-,m)$ . As in the proof of Lemma 2.16, this natural isomorphism endows the object m with the structure of an object in  $\mathcal{Z}^{\mathsf{D}}_{\mathfrak{C}}({}_{\mathfrak{C}}\mathcal{M}_{\mathfrak{C}})$ . This way we obtain an equivalence  $\mathcal{Z}^{\mathsf{D}}_{\mathfrak{C}}({}_{\mathfrak{C}}\mathcal{M}_{\mathfrak{C}}) \simeq \operatorname{Fun}^{\operatorname{bal,r.e.}}({}_{\mathfrak{C}}\mathcal{M}^{\#}_{\mathfrak{C}}, \mathsf{Vect})$ . Part (iii) follows directly from Lemma 2.13 specialized to the case at hand. To complete the proof we provide an equivalence between the category in part (iv) and the category  $\operatorname{Fun}_{\mathfrak{c},\mathfrak{e}}({}_{\mathfrak{C}}^{\mathsf{D}}\mathcal{C}_{\mathfrak{c}}, {}_{\mathfrak{C}}\mathcal{M}_{\mathfrak{c}})$ . The second equivalence in (2.27) implies an equivalence

$$\mathfrak{M}_{e\boxtimes\overline{e}}\boxtimes_{e\boxtimes\overline{e}}\mathbb{E}_{e\boxtimes\overline{e}}\mathcal{C}\simeq\operatorname{Fun}_{e\boxtimes\overline{e}}({}^{\#}(\mathcal{C}_{e\boxtimes\overline{e}}),\ \mathfrak{M}_{e\boxtimes\overline{e}})$$
(3.5)

of categories. Now it is shown in [DSPS13, Lemma 3.4.5] that the operation of changing a right action of  $\mathcal{C}$  to a left action of  $\overline{\mathcal{C}}$  and the operation of taking the #-dual commute up to a twist by a double dual functor. For the case at hand this means that seen as a  $(\mathcal{C}, \mathcal{C})$ -bimodule category, the category  ${}^{\#}(\mathcal{C}_{e\boxtimes\overline{\mathcal{C}}})$  is just the bimodule category  ${}^{\text{D}}_{\text{e}}\mathcal{C}_{\text{e}}$ . Hence it follows that there is a canonical equivalence

$$\operatorname{Fun}_{e\boxtimes\overline{e}}({}^{\#}(\mathcal{C}_{e\boxtimes\overline{e}}), \mathcal{M}_{e\boxtimes\overline{e}}) \simeq \operatorname{Fun}_{e,e}({}^{\mathsf{D}}_{e}\mathcal{C}_{e}, {}_{e}\mathcal{M}_{e}).$$
(3.6)

This provides the claimed equivalence between the categories in part (iii) and (iv).  $\Box$ 

**Remark 3.4** (i) All categories in Proposition 3.3 can be regarded as categorifications of the center  $\mathcal{Z}(M) = \{m \in M \mid am = ma \text{ for all } a \in A\}$  of a finite-dimensional A-bimodule M. Indeed, the category in part (ii) corresponds to the following structure for such a bimodule M. The linear maps from the linear dual  $M^*$  to  $\Bbbk$  that are A-invariant can be naturally identified with the center of M: A linear map  $F: M^* \to \Bbbk$  is given by inserting an element  $m \in M$  into the linear forms  $\alpha \in M^*$ ; A-invariance of F translates in the condition that m is in the center.

In view of the discussion in the Introduction, it would in fact be more natural to categorify instead the Hochschild homology of M. But note that if A is a separable Frobenius algebra, then the zeroth Hochschild homology is isomorphic to the center [SP09]. For monoidal categories, we are not aware of a satisfactory theory of Hochschild homology. Anyhow, rigid monoidal categories can be seen as categorifications of Frobenius algebras, explaining why our categorified version of the 2-trace associated with Hochschild homology is directly linked to a categorification of the center.

(ii) The category  $\mathcal{Z}^{\mathsf{D}}_{\mathfrak{C}}(_{\mathfrak{C}}\mathfrak{C}_{\mathfrak{C}})$  appears already in [DSPS13] as the value of a 0-framed circle in a fully extended 2-dimensional TFT associated to a finite tensor category  $\mathfrak{C}$ . In [DSPS13] this value is computed using the composite of evaluation and coevaluation 1-morphisms for the dualizable object  $\mathfrak{C}$ , resulting in the category in Proposition 3.3 (iv) in the case that  $\mathfrak{M}$  is the regular bimodule category  $\mathfrak{C}$ .

#### 3.2 Functorial properties of the trace

In the following we establish first properties of the category-valued trace. We also show that for a (Vect, Vect)-bimodule category  $\mathcal{M}$ , the trace  $\otimes \mathcal{M}$  is canonically equivalent to  $\mathcal{M}$ . Finally we remark that the tensor product provides us with a functor  $\operatorname{Fun}_{e,e}^{r.e.}({}_{e}\mathcal{M}_{e}, {}_{e}\mathcal{N}_{e}) \rightarrow \operatorname{Fun}^{r.e.}(\otimes {}_{e}\mathcal{M}_{e}, \otimes {}_{e}\mathcal{N}_{e})$  that extends to a 2-functor between the 2-category of  $\mathcal{C}$ ,  $\mathcal{C}$ -bimodule categories and the 2-category Cat of linear categories.

#### 3.2.1 The category-valued trace over Vect

For an endomorphism  $\mathbb{k} \to \mathbb{k}$  of the ground field there is a canonical identification with its trace. The analogous statement in our categorified situation is

**Lemma 3.5** Let  $\mathcal{M}$  be a (Vect, Vect)-bimodule category. There is a canonical equivalence

$$\otimes \mathcal{M} \simeq \mathcal{M} \tag{3.7}$$

of the category-valued trace of  $\mathcal M$  over Vect with  $\mathcal M$  itself, as linear categories.

Proof. According to Proposition 2.11, every Vect-bimodule structure on  $_{Vect}\mathcal{M}_{Vect}$  is equivalent to the Vect-bimodule structure that is induced from the linear structure of  $\mathcal{M}$ . Consider for a linear category  $\mathcal{A}$  a functor  $F \in \operatorname{Fun}^{r.e.}(\mathcal{M}, \mathcal{A})$ . It is not hard to see that there is a

canonical structure of a Vect-balanced functor on F induced from the canonical natural isomorphisms  $V \triangleright m \cong m \triangleleft V$  for all  $m \in \mathcal{M}$  and all  $V \in$ Vect.

Conversely, given a balanced functor  $\mathsf{F} \in \operatorname{Fun}^{\operatorname{bal,r.e.}}(_{\operatorname{Vect}},\mathcal{A})$ , with balancing structure  $f_{V,m} \colon V \otimes \mathsf{F}(m) \xrightarrow{\cong} \mathsf{F}(m) \otimes V$  for  $V \in \mathsf{Vect}$  and  $m \in \mathcal{M}$ , we can forget the balancing constraint and equip  $\mathsf{F}$  with the canonical balancing constraint, thus obtaining another balanced functor  $\widetilde{\mathsf{F}}$ . Since a balancing constraint of  $\mathsf{F}$  is linear, it is uniquely determined by the isomorphisms  $f_{\mathbb{C},m} \colon \mathbb{C} \otimes \mathsf{F}(m) \to \mathsf{F}(m) \otimes \mathbb{C}$  which, in turn, constitute the same data as a natural isomorphism  $f_m \colon \mathsf{F}(m) \to \mathsf{F}(m)$ . It is straightforward to see that this natural isomorphism f provides a balanced natural isomorphism  $f \colon (\mathsf{F}, f) \xrightarrow{\cong} \widetilde{\mathsf{F}}$  between the original balanced functor  $\mathsf{F}$  and the balanced functor  $\widetilde{\mathsf{F}}$ . This implies that there is a canonical equivalence

$$\Psi_{\mathcal{M}}: \quad \operatorname{Fun}^{\operatorname{bal,r.e.}}(_{\operatorname{Vect}}\mathcal{M}_{\operatorname{Vect}},\mathcal{A}) \to \operatorname{Fun}^{\operatorname{r.e.}}(\mathcal{M},\mathcal{A})$$
(3.8)

of categories. The claim thus follows from the definition of the category-valued trace.  $\Box$ 

#### 3.2.2 The category-valued trace as a 2-functor

Let  ${}_{e}\mathcal{M}_{e}$  and  ${}_{e}\mathcal{N}_{e}$  be bimodule categories over  $\mathcal{C}$ . The category-valued trace provides a right exact  $\mathcal{C}$ -balanced functor  $\mathsf{B}_{\mathcal{N}}$ :  ${}_{e}\mathcal{N}_{e} \to \bigotimes_{e}\mathcal{N}_{e}$ . Post-composition with this functor defines a functor

$$-\circ \mathsf{B}_{\mathcal{N}}: \quad \operatorname{Fun}_{e,e}^{\mathrm{r.e.}}\left({}_{e}\mathcal{M}_{e}, {}_{e}\mathcal{N}_{e}\right) \to \operatorname{Fun}^{\mathrm{bal,r.e.}}\left({}_{e}\mathcal{M}_{e}, \bigotimes_{e}\mathcal{N}_{e}\right). \tag{3.9}$$

Hence by the universal property of the trace of  ${}_{e}\mathcal{M}_{e}$  we obtain an induced functor

$$\operatorname{Fun}_{e,e}^{\text{r.e.}}\left({}_{e}\mathcal{M}_{e}, {}_{e}\mathcal{N}_{e}\right) \to \operatorname{Fun}^{\text{r.e.}}\left(\bigotimes_{e}\mathcal{M}_{e}, \bigotimes_{e}\mathcal{N}_{e}\right).$$
(3.10)

The analogous structure for algebras is the assignment of a linear map between the corresponding centers of the bimodules to a bimodule map.

Next we show that the trace  $\otimes$  extends to a 2-functor (see Definition A.3) from the 2-category BimCat( $\mathcal{C}, \mathcal{C}$ ) of ( $\mathcal{C}, \mathcal{C}$ )-bimodule categories which we discussed in Section 2.2 to the 2-category Cat of linear categories.

**Proposition 3.6** Let C be a finite tensor category.

*i)* The category-valued trace defines a 2-functor

$$\bigotimes: \mathsf{BimCat}(\mathfrak{C}, \mathfrak{C}) \to \mathsf{Cat}. \tag{3.11}$$

*ii)* The 2-functor (3.11) is representable: there exists an equivalence

$$\otimes \simeq \operatorname{BimCat}({}^{\mathrm{D}}_{\mathrm{e}} \mathcal{C}_{\mathrm{e}}, -)$$
 (3.12)

of 2-functors to Cat.

Proof. We have seen above that the category-valued trace defines a functor

$$\operatorname{Fun}_{e,e}^{\text{r.e.}}\left({}_{e}\mathcal{M}_{e}, {}_{e}\mathcal{N}_{e}\right) \to \operatorname{Fun}^{\text{r.e.}}\left(\bigotimes_{e}\mathcal{M}_{e}, \bigotimes_{e}\mathcal{N}_{e}\right)$$
(3.13)

that maps a right exact bimodule functor  $F: {}_{e}\mathcal{M}_{e} \to {}_{e}\mathcal{N}_{e}$  to a functor  $\bigotimes F: \bigotimes_{e}\mathcal{M}_{e} \to \bigotimes_{e}\mathcal{N}_{e}$  together with a balanced natural isomorphism  $\bigotimes F \circ B_{\mathcal{M}} \xrightarrow{\cong} B_{\mathcal{N}} \circ F$ . In the notation used in Definition 3.2,  $\bigotimes F = \Psi(B_{\mathcal{N}} \circ F)$ , and the balanced natural isomorphism is

$$\varphi(\mathsf{B}_{\mathcal{N}} \circ \mathsf{F})^{-1}: \quad \Phi \Psi(\mathsf{B}_{\mathcal{N}}\mathsf{F}) = \bigotimes \mathsf{F} \circ \mathsf{B}_{\mathcal{M}} \to \mathsf{B}_{\mathcal{N}} \circ \mathsf{F}.$$
(3.14)

Now for a pair of composable right exact bimodule functors  $F: {}_{e}\mathcal{M}_{e} \rightarrow {}_{e}\mathcal{N}_{e}$  and  $G: {}_{e}\mathcal{N}_{e} \rightarrow {}_{e}\mathcal{K}_{e}$  we have two choices for the functor in the bottom line of the diagram of functors:

by construction, both the composition  $\bigotimes G \circ \bigotimes F$  and the functor  $\bigotimes (G \circ F)$  fit, as both are equipped with corresponding balanced natural isomorphisms that fill the diagram. The universality of the category-valued trace thus yields a unique natural isomorphism  $\varphi_{G,F} : \bigotimes G \circ \bigotimes F \to \bigotimes (G \circ F)$ .

Further, from the uniqueness statement in the definition of  $\varphi_{G,F}$ , it follows that for a third bimodule functor  $H: {}_{e}\mathcal{K}_{e} \rightarrow {}_{e}\mathcal{L}_{e}$ , the diagram

$$\begin{split} & \bigotimes \mathsf{H} \bigotimes \mathsf{G} \bigotimes \mathsf{F} \xrightarrow{\varphi_{\mathsf{H},\mathsf{G}}(\bigotimes \mathsf{F})} \otimes (\mathsf{H}\mathsf{G}) \bigotimes \mathsf{F} \\ & \downarrow_{(\bigotimes \mathsf{H})\varphi_{\mathsf{G},\mathsf{F}}} & \downarrow_{\varphi_{\mathsf{H}\mathsf{G},\mathsf{F}}} \\ & \bigotimes \mathsf{H} \bigotimes (\mathsf{G}\mathsf{F}) \xrightarrow{\varphi_{\mathsf{H},\mathsf{G}\mathsf{F}}} \otimes (\mathsf{H}\mathsf{G}\mathsf{F}) \end{split}$$
(3.16)

of natural isomorphisms commutes. To complete the proof that  $\bigotimes$  yields a 2-functor, we need to consider the identity functor  $\mathrm{id}_{\mathcal{M}}: {}_{e}\mathcal{M}_{e} \to {}_{e}\mathcal{M}_{e}$ . Applying the trace to this particular bimodule functor gives, on the one hand, a functor  $\bigotimes \mathrm{id}_{\mathcal{M}}: \bigotimes_{e}\mathcal{M}_{e} \to \bigotimes_{e}\mathcal{M}_{e}$ . On the other hand, the identity is clearly a balanced natural isomorphism  $1_{\mathsf{B}}: \mathsf{B}_{\mathcal{M}} \circ \mathrm{id}_{\mathcal{M}} \to$  $\mathrm{id}_{\bigotimes \mathcal{M}} \circ \mathsf{B}_{\mathcal{M}}$ . By universality of the trace we thus obtain a unique natural isomorphism  $\varphi_{\mathcal{M}}: \mathrm{id}_{\bigotimes \mathcal{M}} \to \bigotimes \mathrm{id}_{\mathcal{M}}$ . It follows by uniqueness that  $\varphi_{\mathcal{M}}$  satisfies axiom v of Definition A.3. This completes the proof of part (i).

To establish part (ii), recall that it is shown in Proposition 3.3 that  $\mathsf{BimCat}({}_{e}^{\mathsf{D}}\mathcal{C}_{e}, {}_{e}\mathcal{M}_{e})$  provides a category-valued trace of  ${}_{e}\mathcal{M}_{e}$ . We need to show that using this description of the trace a functor  $\mathsf{F}: {}_{e}\mathcal{M}_{e} \to {}_{e}\mathcal{N}_{e}$  gets mapped to  $\mathsf{BimCat}({}_{e}^{\mathsf{D}}\mathcal{C}_{e}, \mathsf{F})$ :  $\mathsf{BimCat}({}_{e}^{\mathsf{D}}\mathcal{C}_{e}, {}_{e}\mathcal{M}_{e}) \to \mathsf{BimCat}({}_{e}^{\mathsf{D}}\mathcal{C}_{e}, {}_{e}\mathcal{N}_{e})$  under the 2-functor in (3.11). By Proposition 3.3, the universal balancing functor  $\mathsf{B}: {}_{e}\mathcal{M}_{e} \to \mathsf{BimCat}({}_{e}^{\mathsf{D}}\mathcal{C}_{e}, {}_{e}\mathcal{M}_{e})$  is the left adjoint to the evaluation functor  $\mathsf{U}$  at

 $1 \in \mathcal{C}$ . Clearly, the diagram

$$\begin{array}{c} {}_{e}\mathcal{N}_{e} & \xrightarrow{\mathbf{F}^{r}} & {}_{e}\mathcal{M}_{e} \\ \downarrow \uparrow & \downarrow \uparrow & \downarrow \uparrow \\ \mathsf{BimCat}({}_{e}^{\mathsf{D}}\mathcal{C}_{e}, {}_{e}\mathcal{N}_{e}) & \xrightarrow{\mathsf{BimCat}({}_{e}^{\mathsf{D}}\mathcal{C}_{e}, \mathbf{F}^{r})} & {}_{e}\mathcal{M}_{e} \end{array}$$
(3.17)

of functors commutes. The left adjoint of this diagram shows that  $\mathsf{BimCat}({}^{\mathsf{D}}_{\mathsf{e}}\mathsf{C}_{\mathsf{e}},\mathsf{F})$  is indeed the value of  $\mathsf{F}$  under the 2-functor  $\otimes$  in the current description of the trace. It follows from the universality of the trace that the equivalences  $\otimes_{\mathsf{e}} \mathcal{M}_{\mathsf{e}} \simeq \mathsf{BimCat}({}^{\mathsf{D}}_{\mathsf{e}} \mathfrak{C}_{\mathsf{e}}, {}^{\mathsf{e}} \mathcal{M}_{\mathsf{e}})$  from Proposition 3.3 extend to an equivalence  $\otimes \cong \mathsf{BimCat}({}^{\mathsf{D}}_{\mathsf{e}}\mathfrak{C}_{\mathsf{e}}, -)$  of 2-functors.  $\Box$ 

#### 3.3 The category-valued trace via modules over an algebra

In the theory of module categories it is often convenient to describe a module category over a finite tensor category  $\mathcal{C}$  in terms of an algebra object in  $\mathcal{C}$ , compare (2.25). It is therefore desirable to express also the category-valued trace in terms of an operation on algebra objects. To achieve this we make use of the notion of a module object in  ${}_{\mathcal{C}}\mathcal{M}$  over an algebra  $A \in \mathcal{C}$ . This notion appears implicitly in [ENO10]; for the reader's convenience we state the full definition here:

**Definition 3.7** Let  ${}_{e}\mathcal{M}$  be a module category and  $A \in \mathbb{C}$  an algebra. A module object  $(m, \mu_m)$  in  ${}_{e}\mathcal{M}$  over A is an object  $m \in {}_{e}\mathcal{M}$  together with a morphism  $\mu_m \colon A \triangleright m \to m$  in  $\mathcal{M}$ , such that the usual compatibilities with respect to multiplication and unit of A are satisfied. Given two module objects  $(m, \mu_m)$  and  $(n, \mu_n)$  in  ${}_{e}\mathcal{M}$  over A, a module morphism  $f \colon (m, \mu_m) \to (n, \mu_n)$  is a morphism  $f \colon m \to n$  in  $\mathcal{M}$  such that  $\mu_n(f \triangleright id) = f\mu_m$ .

**Notation 3.8** The category of A-module objects in  ${}_{e}\mathcal{M}$  and module morphisms is denoted by  $Mod_{A}({}_{e}\mathcal{M})$ . Analogously we write  $Mod_{A}(\mathcal{N}_{e})$  for a right C-module category  $\mathcal{N}_{e}$ .

The notion of module object allows for yet another novel description of the relative tensor product of module categories:

**Lemma 3.9** Let  $\mathcal{M}_e$  be a module categories over a finite tensor category  $\mathcal{C}$ ,  $A \in \mathcal{C}$  an algebra and  $\mathsf{Mod}_A(\mathcal{C})$  the  $\mathcal{C}$ -module category of A-right modules. The relative tensor product of the module categories  $\mathcal{M}_e$  and  $\mathsf{Mod}_A(\mathcal{C})$  again can be expressed as a category of modules: it is equivalent to the category of A-module objects in  $\mathcal{M}_e$ ,

$$\mathcal{M}_{e} \boxtimes_{e} \mathsf{Mod}_{A}(\mathcal{C}) \simeq \mathsf{Mod}_{A}(\mathcal{M}_{e}).$$
 (3.18)

Proof. According to Equation (2.25), we can choose an algebra  $B \in \mathcal{C}$  and an equivalence  $\mathcal{M}_e \simeq_B \mathsf{Mod}(\mathcal{C})$  of module categories. Then the relative tensor product  $\mathcal{M}_e \boxtimes_e \mathsf{Mod}_A(\mathcal{C})$  is equivalent to the category  ${}_B \mathsf{Mod}(\mathcal{C})_A$  of (B, A)-bimodules in  $\mathcal{C}$ . It is straightforward to see that an object  $x \in {}_B \mathsf{Mod}(\mathcal{C})_A$  is the same as a *B*-left module object in  $\mathcal{C}$  together with an

A-module action  $\mu_{x,A}: x \otimes A \to x$  that is a morphism of *B*-left modules. Thus an object in  $\mathcal{M}_e \boxtimes_e \mathsf{Mod}_A(\mathcal{C})$  can equivalently be described as an object in  $\mathsf{Mod}_A(B\mathsf{Mod}(\mathcal{C})) \simeq \mathsf{Mod}_A(\mathcal{M}_e)$ . This assignment extends to the morphisms in the respective categories and thus provides the asserted equivalence.

To describe the category-valued trace with the help of this result it is convenient to introduce a variant of the Sweedler notation for elements in a Hopf algebra: for an object  $x \in \mathcal{M} \boxtimes \mathcal{N}$  we write

$$x = x_{(1)} \boxtimes x_{(2)} \tag{3.19}$$

whenever it is useful to think about x as being split into two factors. In the canonical bimodule category  ${}_{c}C_{e}$ , for example, an object  $x = x_{(1)} \boxtimes x_{(2)} \in C \boxtimes \overline{C}$  acts on  $c \in C$  as  $c \mapsto x_{(1)} \otimes c \otimes x_{(2)}$ . Note that for non-semisimple categories, not every object of  $C \boxtimes \overline{C}$  can is a direct sum of factorizable objects  $c \boxtimes \widetilde{c}$ . For our purposes this is insignificant, though, as we use the notation merely as a shorthand when indicating how a category acts on another.

In the next proposition we provide two characterizations of the category-valued trace in terms of algebra objects. For the first characterization we use the *canonical algebra*  $A^{\operatorname{can}}$  of  $\mathbb{C} \boxtimes \overline{\mathbb{C}}$ , which by definition is the algebra object in  $\mathbb{C} \boxtimes \overline{\mathbb{C}}$  for which the category of  $A^{\operatorname{can}}$ -modules in  $\mathbb{C} \boxtimes \overline{\mathbb{C}}$  is equivalent to the canonical bimodule category  ${}_{\mathrm{e}}\mathbb{C}_{\mathrm{e}}$ . Such an algebra exists by equation (2.25). If  $\mathbb{C}$  is semisimple, then  $A^{\operatorname{can}} = \bigoplus_{u} c_u \boxtimes c_u^{\vee}$  is the algebra already considered in (2.28).

**Proposition 3.10** Let  ${}_{e}\mathcal{M}_{e}$  be a bimodule category over a finite tensor category  $\mathcal{C}$ , and let  $A = A_{(1)} \boxtimes A_{(2)} \in \mathcal{C} \boxtimes \overline{\mathcal{C}}$  be an algebra object such that  $\mathcal{M}_{e \boxtimes \overline{e}}$  is equivalent to  ${}_{A}\mathsf{Mod}(\mathcal{C} \boxtimes \overline{\mathcal{C}})$ . Then the category-valued trace  $\bigotimes_{e}\mathcal{M}_{e}$  is equivalent to the following categories:

(i) the category  $_{A^{\operatorname{can}}} \operatorname{\mathsf{Mod}}_A(\mathfrak{C} \boxtimes \overline{\mathfrak{C}})$  of  $(A^{\operatorname{can}}, A)$ -bimodules in  $\mathfrak{C} \boxtimes \overline{\mathfrak{C}}$ ;

(ii) the category  $\mathsf{Mod}_A({}_{e}\mathfrak{C}_{e})$ , with objects being pairs consisting of an object  $c \in \mathfrak{C}$  and a morphism  $A_{(1)} \otimes c \otimes A_{(2)} \to c$  that is compatible with the multiplication and the unit of A.

Proof. For part (i) we use the equivalence  $\bigotimes_{e} \mathcal{M}_{e} \simeq \mathcal{M}_{e \boxtimes \overline{e}} \boxtimes_{e \boxtimes \overline{e}} \mathcal{C}$  from Proposition 3.3. The statement then follows from the construction of the relative tensor product as a category of bimodules, see Section 2.5. Part (ii) is implied by the description of the trace in Proposition 3.3 (iv) when combined with Lemma 3.9.

#### 3.4 Twisted center and trace in illustrative situations

Let us now discuss a few specific contexts in which one encounters the structures studied above. First we consider the relation between the twisted Drinfeld center and (generalized) pivotal structures on a finite tensor category  $\mathfrak{C}$ .

Let  $\mathcal{C}$  be a finite tensor category equipped with an equivalence  $\mathsf{P} \colon {}^{\mathsf{D}}_{e} \mathcal{C}_{e} \xrightarrow{\simeq} {}_{e} \mathcal{C}_{e}$  of bimodule categories. Such an equivalence is for instance induced, via pullback of the left module structure, by a pivotal structure on  $\mathcal{C}$ , i.e. by a monoidal natural isomorphism  $x^{\vee\vee} \cong x$  for all  $x \in \mathcal{C}$ . More generally, according to Lemma 2.13, the object  $P := \mathsf{P}(1) \in \mathcal{C}$  is in  $\mathcal{Z}^{\mathsf{D}}_{\mathfrak{C}}({}_{\mathfrak{C}}\mathcal{C}_{\mathfrak{C}})$ , i.e. comes equipped with a family of natural isomorphisms

$$p_x: \quad x \otimes P \xrightarrow{\cong} P \otimes x^{\vee \vee} \tag{3.20}$$

for  $x \in \mathbb{C}$  that is compatible with the monoidal structure. Assume that  $Q: {}_{e}\mathbb{C}_{e} \xrightarrow{\simeq} {}_{e}^{\mathbb{D}}\mathbb{C}_{e}$  is a bimodule functor that together with P forms an equivalence of bimodule categories. Again by Lemma 2.13, Q is determined by the object  $Q := Q(1) \in \mathbb{Z}({}_{e}^{\mathbb{D}}\mathbb{C}_{e})$  which is equipped with a family of coherent natural isomorphisms  $q_{x} : x^{\vee \vee} \otimes Q \xrightarrow{\cong} Q \otimes x$ . The natural isomorphisms  $P \circ Q \xrightarrow{\cong} id_{e} \mathcal{C}_{e}$  and  $Q \circ P \xrightarrow{\cong} id_{e} \mathcal{C}_{e}$  then yield in particular an isomorphism

$$\mathsf{P}(\mathsf{Q}(1)) \cong \mathsf{P}(Q \triangleright 1) \cong Q \triangleright \mathsf{P}(1) = Q \otimes P \xrightarrow{\cong} 1, \qquad (3.21)$$

and similarly an isomorphism  $P \otimes Q \xrightarrow{\cong} 1$ , which establish Q as inverse of  $P \in \mathcal{C}$ .

Conversely, an invertible object  $P \in \mathcal{C}$  with a family (3.20) of natural isomorphisms determines an equivalence  $\mathsf{P}: {}_{e}^{\mathsf{D}}\mathcal{C}_{e} \to {}_{e}\mathcal{C}_{e}$ . We refer to these data as a *generalized pivotal structure*.

A generalized pivotal structure P induces for each bimodule category  ${}_{e}\mathcal{M}_{e}$  an equivalence  $\bigotimes \mathcal{M} \simeq \mathcal{Z}({}_{e}\mathcal{M}_{e})$ . In particular there is an equivalence  $\mathsf{F}_{\mathsf{P}} \colon \mathcal{Z}^{\mathsf{D}}_{\mathsf{C}}({}_{e}\mathcal{C}_{e}) \simeq \mathcal{Z}(\mathcal{C})$ . This equivalence  $\mathsf{F}_{\mathsf{P}}$  is monoidal for the monoidal structure  $\otimes_{\mathsf{P}}$  on  $\mathcal{Z}^{\mathsf{D}}_{\mathsf{C}}({}_{e}\mathcal{C}_{e})$  that is obtained by pull-back of the monoidal structure of  $\mathcal{Z}(\mathcal{C})$  along  $\mathsf{F}_{\mathsf{P}}$ , i.e.

$$x \otimes_{\mathsf{P}} y = x \otimes P \otimes y \tag{3.22}$$

for  $x, y \in \mathcal{Z}^{\mathsf{D}}_{\mathbb{C}}({}_{e}\mathbb{C}_{e})$ , with the structure of  $x \otimes P \otimes y$  as an object of  $\mathcal{Z}^{\mathsf{D}}_{\mathbb{C}}({}_{e}\mathbb{C}_{e})$  induced by the respective structures of x, y and P.

Next assume we are given a bimodule category  ${}_{c}\mathcal{M}_{e}$  and a monoidal functor  $F: \mathcal{C} \to \mathcal{C}$ . We can recover the twisted F-twisted center of  ${}_{c}\mathcal{M}_{e}$  from Definition 2.12 as a trace: For every monoidal endofunctor  $\mathsf{G}$  of  $\mathcal{C}$  there is an equivalence  $\mathcal{Z}_{\mathcal{C}}^{\mathsf{D}}({}_{e}\mathcal{M}_{e}^{\mathsf{G}}) \simeq \mathcal{Z}_{\mathcal{C}}^{\mathsf{D}\circ\mathsf{G}}({}_{e}\mathcal{M}_{e})$ . Combining Proposition 3.3 (i) with the isomorphism  $\mathsf{D}\circ\mathsf{E}\cong\mathsf{id}$ , where  $\mathsf{E}$  is the double left dual functor, thus implies that

$$\mathcal{Z}_{\mathcal{C}}^{\mathsf{F}}({}_{e}\mathcal{M}_{e}) \simeq \bigotimes_{e}\mathcal{M}_{e}^{\mathsf{EF}} .$$
(3.23)

Here  ${}_{e}\mathcal{M}_{e}^{\mathsf{EF}}$  is the bimodule category  ${}_{e}\mathcal{M}_{e}$  with right C-action twisted by  $\mathsf{E} \circ \mathsf{F}$ .

As another illustration, consider G-graded vector spaces over an algebraically closed field k, a situation that will be studied again in Section 5 from a different perspective. For G a finite group, a three-cocycle  $\omega \in Z^3(G, \mathbb{k}^{\times})$  defines the structure of a finite tensor category  $\mathcal{C} = \operatorname{Vect}(G)_{\mathbb{k}}^{\omega}$  on the linear category of G-graded k-vector spaces, with the associator being furnished by the cocycle  $\omega$ .

The indecomposable bimodule categories over  $\mathcal{C}$  are classified in [Ost03a, Example 2.1] (see also [EO04, Prop. 4.1]): they are characterized by a subgroup embedding  $i: H \to G \times G$ together with a 2-cochain  $\theta$  on H satisfying  $d\theta = i_1^* \omega \cdot i_2^* \omega^{-1}$ , with  $i_1 = p_1 \circ i$  and  $i_2 = p_2 \circ i$ ,  $p_1$  and  $p_2$  being the projections from  $G \times G$  to its two factors. These data define a ( $\mathcal{C}, \mathcal{C}$ )bimodule category  $\mathcal{M}(H, \theta)$ . Such a bimodule category can, in turn, be realized as the category of right modules over an algebra (determined up to Morita equivalence)  $A_{H,\theta}$  in the finite tensor category  $\operatorname{Vect}(G)^{\omega} \boxtimes \operatorname{Vect}(G)^{\omega^{-1}}$ . As an object,

$$A_{H,\theta} = \bigoplus_{h \in H} S_{(i_1(h)^{-1}, i_2(h)^{-1})}, \qquad (3.24)$$

where  $S_{(\gamma_1,\gamma_2)} \cong \mathbb{k}$  with  $(\gamma_1,\gamma_2) \in G \times G$  is the ground field in homogeneous degree  $(\gamma_1,\gamma_2)$ , and the algebra structure on this object is the one of a twisted group algebra with the twist given by the cochain  $\theta$ .

**Example 3.11** Invoking Proposition 3.10, we learn that the trace of the bimodule category  $\mathcal{M}(H, \theta)$  has the following explicit description. There is an equivalence

$$\bigotimes \mathcal{M}(H,\theta) \simeq {}_{A_{H,\theta}} \mathsf{Mod}_{A_{G_{\mathrm{diag}}}} \big( \mathsf{Vect}(G)^{\omega} \boxtimes \mathsf{Vect}(G)^{\omega^{-1}} \big)$$
(3.25)

to the category of  $A_{G_{\text{diag}}}$ - $A_{H,\theta}$ -bimodules in  $\text{Vect}(G)^{\omega} \boxtimes \text{Vect}(G)^{\omega^{-1}}$ , with the algebra  $A_{G_{\text{diag}}}$  given by

$$A_{G_{\text{diag}}} = \bigoplus_{g \in G} S_{(g,g)} , \qquad (3.26)$$

as an object of  $\operatorname{Vect}(G)^{\omega} \boxtimes \operatorname{Vect}(G)^{\omega^{-1}}$  and with the product on  $A_{G_{\operatorname{diag}}}$  being the one of the group algebra of G.

## 4 Tricategories with 3-trace

#### 4.1 Coherence for 3-traces

The purpose of this section is to formalize the structure of the category-valued trace in the tricategory BimCat of bimodule categories. This leads to the concept of a 3-trace tr on the endomorphism bicategories of a tricategory  $\mathcal{T}$  with values in a fixed bicategory  $\mathcal{B}$ .

Such a 3-trace associates in particular to every 1-endomorphism f in  $\mathfrak{T}$  an object  $\operatorname{tr}(f)$  in  $\mathfrak{B}$ . In the case of our primary interest,  $\mathfrak{T} = \operatorname{BimCat}$  and  $\mathfrak{B} = \operatorname{Cat}$ , the bicategory of small categories, and  $\operatorname{tr}({}_{e}\mathcal{M}_{e}) = \bigotimes \mathfrak{M}$ . We emphasize that the notion of a 3-trace is applied to Hom-bicategories and thus does not require the existence of a symmetric monoidal structure on the tricategory  $\mathfrak{T}$ .

A benefit of this more abstract formulation is that it provides a generalization of the Drinfeld center and that it allows us to prove a coherence statement for 3-traces: The trace of a composition of several 1-morphisms comes equipped with cyclic equivalences in  $\mathcal{B}$ , which are unique up to unique natural isomorphisms. Readers mainly interested in the case of BimCat can safely proceed to Subsection 4.2 after briefly looking at the statement of Proposition 4.2 which expresses the coherent cyclic invariance.

In Appendix A we collect pertinent notions related to bicategories that we use in the sequel. Regarding tricategories  $\mathcal{T}$  we follow the conventions of [Gur06]. We use the symbol  $\Box$  for the composition that is defined on 1-, 2- and 3-morphisms in  $\mathcal{T}$  and write  $\circ$  for

the composition of 2- and 3-morphisms and  $\cdot$  for the composition of 3-morphisms. The associator in  $\mathcal{T}$  includes in particular 2-morphisms  $a_{f,g,h}: (f \Box g) \Box h \Rightarrow f \Box (g \Box h)$  for all composable triples f, g, h of 1-morphisms. The units include 2-morphisms  $r_f: f \Box 1 \Rightarrow f$  and  $l_f: 1 \Box f \Rightarrow f$ . Furthermore there are invertible 3-morphisms  $\mu, \lambda, \rho$  expressing the compatibility of the associator with the units, as well as an invertible 3-morphism  $\pi$  replacing the identity in the pentagon axiom for the associator a. These structures possess (weak) inverses, which are denoted by  $a^-, r^-, l^-$ , respectively. There are three axioms relating these structures.

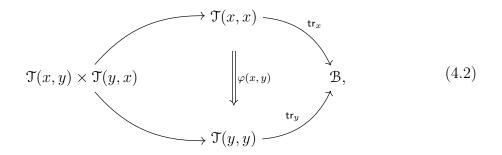
In the sequel we call an *n*-tuple  $f_1, f_2, ..., f_n$  of 1-morphisms in  $\mathcal{T}$  cyclically composable if the morphisms are composable and, for  $f_1$  a morphism from  $x_1$  to  $x_2$ ,  $f_n$  is a morphism from  $x_{n-1}$  to  $x_1$ .

**Definition 4.1** Let  $\mathcal{T}$  be a tricategory and  $\mathcal{B}$  a bicategory. A 3-trace tr on  $\mathcal{T}$  with values in  $\mathcal{B}$  is a collection of 2-functors

$$\mathsf{tr}_x: \quad \mathfrak{T}(x,x) \to \mathcal{B} \tag{4.1}$$

for all objects x of  $\mathfrak{T}$ , together with structural morphisms  $\varphi$ , m and  $\kappa$  as follows:

(i) For any pair of objects  $x, y \in \mathcal{T}$  there is an adjoint equivalence  $\varphi(x, y)$  between the induced 2-functors

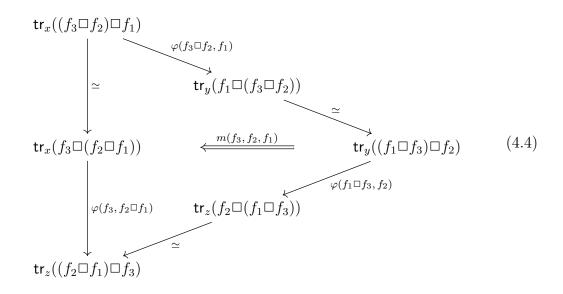


where the unnamed arrows are given by the composition in  $\mathfrak{T}$ . This means in particular that for all  $f \times g \in \mathfrak{T}(x, y) \times \mathfrak{T}(y, x)$  we are given adjoint equivalences

$$\varphi(f,g): \quad \operatorname{tr}_y(f \Box g) \xrightarrow{\simeq} \operatorname{tr}_x(g \Box f) \tag{4.3}$$

in  $\mathcal{B}$ .

(ii) For any triple of cyclically composable morphisms  $f_1: x \to y$ ,  $f_2: y \to z$  and  $f_3: z \to x$ there is an invertible modification  $m(f_3, f_2, f_1)$  between the following composites of pseudo-natural transformations:

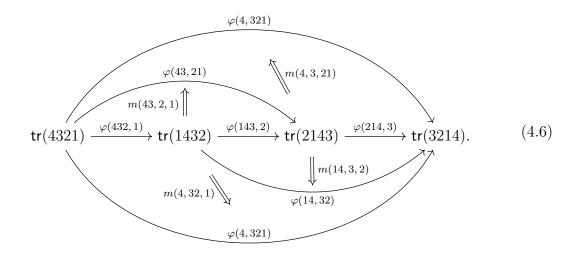


Here the unlabeled arrows are obtained from applying the 2-functors tr to the respective associators in T.

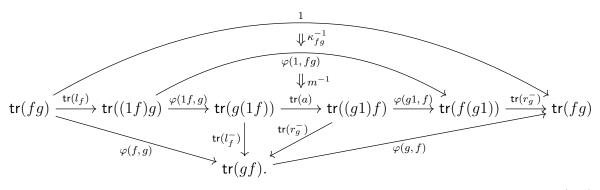
 (iii) κ is an invertible modification between the composite of pseudo-natural transformations. For all 1-morphisms f: x→x it consists of an invertible 2-morphism in B as follows:

These data are required to satisfy the following axioms:

i) Consider any quadruple  $f_1, f_2, f_3, f_4$  of cyclically composable 1-morphisms in  $\mathfrak{T}$ ; for better readability we abbreviate them just by 1, 2, 3, 4 and also omit the associators. Then the following diagram must be the identity 2-morphism on the 1-morphisms that are given by the outer arrows:



ii) The following diagram must provide an adjoint equivalence between  $\varphi(f,g)$  and  $\varphi(g,f)$ :



(4.7)

Here the first and the last of the three triangles on the bottom are filled with 2-isomorphisms from the structure of the 2-functor  $\varphi$ , while the middle triangle is filled with a 2-isomorphism coming from the tricategory  $\Im$ .

Note that using diagram (4.7), the modification  $\kappa$  induces, for every 1-endomorphism f, an isomorphism in  $\mathcal{B}$  from  $\varphi(f, 1)$  composed with the units to the identity, as in diagram (4.5). Thus the existence of such isomorphisms needs not to be imposed separately for a 3-trace in  $\mathcal{T}$ .

The main point of the concept of a 3-trace is that the trace of a composition of an arbitrary number of 1-morphisms has automatically a coherent cyclic invariance. To make this precise we need some further terminology.

First we define how to implement on a string  $f_1, f_2, ..., f_n$  of n cyclically composable morphisms a *bracketing* b of the string and a *cut point*  $i \in \{1, 2, ..., n\}$  (which in

the composition  $f_n \Box \cdots \Box f_1$  is counted from the right):  $(b, i)(f_1, f_2, \ldots, f_n)$  is the composite of 1-morphisms in  $\mathcal{T}$  that starts with  $f_i$  and is bracketed according to b. As an example consider the bracketing  $b = ((-\Box -)\Box -)$  of a string of three elements; then  $(b, 2)(f_3, f_2, f_1) = ((f_1 \Box f_3) \Box f_2)$ , while  $(b, 3)(f_3, f_2, f_1) = ((f_2 \Box f_1) \Box f_3)$ .

By a trace of n cyclically composable morphisms  $f_1, f_2, \ldots, f_n$  we refer to the value  $\operatorname{tr}((b,i)(f_n,\ldots,f_1))$  for some choice of bracketing b and cut point i. An admissible equivalence between two traces of the string  $f_1, f_2, \ldots, f_n$  is an invertible 1-morphism in  $\mathcal{B}$  that is a composite of 1-morphisms obtained formally from the adjoint equivalence  $\varphi$  and the structure of the tricategory  $\mathcal{T}$ . As usual in coherence statements, to rule out coincidences of morphisms, we consider only formally composable morphisms here, i.e. morphisms that come from the free algebraic structure of a given kind. This will be made precise in the proof of the next proposition.

Now we show that equivalences between traces corresponding to different bracketings and cut points always exist and are unique up to unique isomorphisms.

**Proposition 4.2** Let  $\mathcal{T}$  be a 3-category with a 3-trace tr. Between any two traces of a string  $f_1, f_2, \ldots, f_n$  of cyclically composable 1-morphisms there exists an admissible equivalence. Between any two such equivalences there is a unique isomorphism consisting of formally composable modifications m and  $\kappa$  as well as coherence data of the 2-functors  $\varphi$ , the tricategory  $\mathcal{T}$  and the bicategory  $\mathcal{B}$ .

Proof. For any 2-functor  $\Phi: \mathcal{B} \to \mathcal{B}'$ , composition with  $\Phi$  yields a 3-trace  $\Phi \circ \mathsf{tr}$  on  $\mathcal{T}$  with values in the bicategory  $\mathcal{B}'$ . If  $\Phi$  is an equivalence of bicategories, then the statement of the proposition holds for the 3-trace  $\mathsf{tr}$  if and only if it holds for  $\Phi \circ \mathsf{tr}$ . Since every bicategory is equivalent to a strict bicategory, we can thus assume without loss of generality that  $\mathcal{B}$  is a strict bicategory.

The strategy of the proof is now as follows. For fixed n we first define a 2-graph  $\mathcal{G}$ , which is a two-dimensional cell complex with vertices given by possible bracketings of strings in nfree variables and with a cut point, with 1-cells given by formally composable equivalences between traces of n 1-morphisms, and with their isomorphisms as 2-cells. This allows us to express the statement of the proposition in a formal way. Then we reduce to the case that  $\mathcal{T}$  is a Gray-category, i.e. a tricategory in which the only non-trivial constraint datum is an invertible 3-morphism replacing the identity in the interchange law for 1- and 2-morphisms, see e.g. [Gur06]. Finally we prove the statement for 3-traces on Gray-categories.

1) The following defines the formal 2-graph  $\mathcal{G}$  of traces of a string of length n for a tricategory  $\mathcal{T}$  with 3-trace:

- Vertices v of  $\mathcal{G}$  are: All words v in the binary operation  $\Box$  and the 0-ary operation I(corresponding to the unit 1-morphisms in  $\mathcal{T}$ ), which results in a word v consisting of |v| terms (with |v|— the number that the operation  $\Box$  appears plus one) and of *length* n (which is |v| minus the number of times that I appears), together with a bracketing b of the |v| terms and a cut point  $i \in \{1, 2, ..., |v|\}$ . An example with i = 2is  $((-\Box -)\Box -_c)\Box I$ , where the subscript c indicates the position of the cut point. - 1-cells of  $\mathcal{G}$  are one of the following: associators a and their inverses, unit 2-morphisms r and l and their inverses, with the obvious vertices as source and target as well as the equivalences  $\varphi$ , which keep the bracketing but shift the cut point. The latter 1-cells start at a bracketing of |v| terms of the form  $(b_1)(b_2)$ , where  $b_1$  and  $b_2$  are themselves bracketings of  $|b_1|$  and  $|b_2|$ -terms, respectively. The 1-cell  $\varphi$  shifts the cut point i by  $|b_2|$ , according to

$$(((b_1)(b_2)), i) \xrightarrow{\varphi((b_1), (b_2))} (((b_2)(b_1)), i + |b_2| \mod n).$$
 (4.8)

- 2-cells between two strings of 1-cells are either of the following:

The 2-cells representing the constraint data of  $\mathcal{T}$ , i.e. the pentagon cell  $\pi$ , the cells expressing the compatibility of the units and the associators,  $\rho$ ,  $\lambda$  and  $\mu$ , as well as the 2-cells that relate the 1-cells a, r, l and their inverses to identities.

The 2-cells defined by the modifications m and  $\kappa$  in the definition 4.1 of a 3-trace. Furthermore all 2-cells expressing naturality of the various 1-cells.

In the sequel we consider sub-2-graphs G of  $\mathcal{G}$ . Any vertex v = (b, i) can be *evaluated* on a string  $f_1, f_2, \ldots, f_n$  of n cyclically composable 1-morphisms to give the object

$$v(f_1, \dots, f_n) = tr((b, i)(f_1, \dots, f_n))$$
(4.9)

in  $\mathcal{B}$ . (In (4.9) there can be additional unit 1-morphisms on the right hand side; these are suppressed in our notation). Moreover, any sequence of consecutive 1-cells from v to u in  $\mathcal{G}$  can be evaluated to give a 1-morphism in  $\mathcal{B}$  from  $v(f_1, ..., f_n)$  to  $u(f_1, ..., f_n)$  using the structures that represent the labels of the 1-cells in  $\mathcal{G}$ . Finally, any sub-2-graph Gconsisting of a sequence of 2-cells between two sequences of 1-cells from v to u gives a 2morphism  $\underline{G}(f_1, f_2, ..., f_n)$  in  $\mathcal{B}$  between the 1-morphisms corresponding to the evaluation of the 1-cells on the boundary of G.

The claim of the proposition is, in short, that the cell complex  $\mathcal{G}$  is connected and 1connected and that its evaluations are 2-connected. By this we mean concretely that for any two vertices (of length n) and any two 1-cells there exist formal 2-graphs connecting them and, moreover, for formal 2-graphs  $G_1$  and  $G_2$  that start and end at one and the same string of 1-cells, the 2-morphisms obtained by evaluation on an arbitrary string  $f_1, f_2, \ldots, f_n$ satisfy  $\underline{G_1}(f_1, f_2, \ldots, f_n) = \underline{G_2}(f_1, f_2, \ldots, f_n)$ .

2) We now show that it is sufficient to consider the case that the tricategory  $\mathcal{T}$  is a Graycategory. We first note that, given another tricategory  $\mathcal{T}'$  and a 3-functor  $\Phi: \mathcal{T}' \to \mathcal{T}$ (see [Gur06, Def. 3.3.1]),  $\mathcal{T}'$  is endowed with an induced 3-trace to  $\mathcal{B}$  as the composite

$$\mathsf{tr}': \quad \mathfrak{T}'(x',x') \xrightarrow{\Phi} \mathfrak{T}(\Phi(x'),\Phi(x')) \xrightarrow{\mathsf{tr}_{\Phi(x')}} \mathcal{B}.$$
(4.10)

The structural data  $\varphi'$ , m' and  $\kappa'$  for tr' are obtained from the corresponding data of tr and the structure of the 3-functor  $\Phi$ . It can be checked that the axioms of a 3-trace then follow from the axioms for tr and the axioms of the 3-functor  $\Phi$ .

Next assume that  $\Phi: \mathfrak{T}' \to \mathfrak{T}$  is a triequivalence (see [Gur06, Def. 4.4.1]) with pseudoinverse  $\Phi^-$ , and denote by  $\underline{G}^{\mathfrak{T}}$  and  $\underline{G}^{\mathfrak{T}'}$  the evaluations of a 2-graph G using the 3-traces tr on  $\mathfrak{T}$  and tr' on  $\mathfrak{T}'$ , respectively. We argue that for two formal 2-graphs  $G_1$  and  $G_2$  and a string  $f_1, f_2, ..., f_n$  of 1-morphisms as above, the equality  $\underline{G_1}^{\mathfrak{T}}(f_1, ..., f_n) = \underline{G_2}^{\mathfrak{T}}(f_1, ..., f_n)$ holds if and only if  $\underline{G_1}^{\mathfrak{T}'}(\Phi^-(f_1), ..., \Phi^-(f_n)) = \underline{G_2}^{\mathfrak{T}'}(\Phi^-(f_1), ..., \Phi^-(f_n))$ :

The evaluation of a 2-graph G using the 3-trace on the 1-morphisms  $f_i$  in  $\mathfrak{T}$  is a 2-morphism  $\underline{G}^{\mathfrak{T}}$  in  $\mathfrak{B}$  between two 1-morphisms  $G^s, G^t : v \to w$ . Analogously, the evaluation using the 3-trace on  $\Phi^- f_i$  in  $\mathfrak{T}'$  is a 2-morphism  $\underline{G}^{\mathfrak{T}'}$  between 1-morphisms  $G'^s, G'^t : v' = w'$ . The vertices v' and w' of this evaluation are for example  $\operatorname{tr}(\Phi\Phi^-(f_n)\Box\cdots\Box\Phi\Phi^-(f_1))$  with some bracketing. Using that  $\Phi$  and  $\Phi^-$  are triequivalences, we obtain invertible 2-morphisms  $\Phi\Phi^-(f_i) \to f_i$  in  $\mathfrak{T}$  for all  $i \in \{1, 2, ..., n\}$ . Since the 3-trace consists by definition of 2-functors  $\mathfrak{T}(x_1, x_2) \times \cdots \times \mathfrak{T}(x_n, x_1) \to \mathfrak{B}$ , these yield invertible 1-morphisms  $v' \to v$  and  $w' \to w$  in  $\mathfrak{B}$ , together with 2-morphisms on the front and back of the following diagram, such that this diagram of 2-morphisms in  $\mathfrak{B}$  commutes:

This consideration shows that the statement of the proposition holds for  $\mathcal{T}$  if and only if it holds for  $\mathcal{T}'$ . Since every tricategory is triequivalent to a Gray-category, we can thus assume that  $\mathcal{T}$  is a Gray-category, as claimed.

3) We finally prove the statement for the case that  $\mathcal{T}$  is a Gray-category. In this case the set of formal 2-graphs considerably simplifies. On the vertices of the 2-graph  $\mathcal{G}$  we then only deal with a number  $i \in \{1, 2, ..., n\}$  indicating the cut point. The only 1-cells are now shift operators  $S_j$  for  $j \in \{0, 1, ..., n\}$  from a vertex i to a vertex  $(i+j \mod n)$  obtained from  $\varphi$  and identities. The shifts  $S_0$  and  $S_n$  correspond to  $\varphi(-, 1)$  and to  $\varphi(1, -)$ , respectively. The 2-cells are given by  $m_{i,j} \colon S_i \circ S_j \Rightarrow S_{i+j}$  whenever  $i + j \leq n$  and  $\kappa \colon S_n \Rightarrow 1$  and their inverses. The first statement of the proposition is now proven: for any two vertices of length n, there exists a sequence of 1-cells connecting them by using the appropriate shift 1-cell. Moreover, the shift by i-j provides a canonical 1-cell from a vertex with cut point i to a vertex with cut point j < i. It is clear, by the repeated use of m and  $\kappa$ , that there exists a 2-cell from any other sequence of 1-cells from i to j to this canonical 1-cell. This implies that  $\mathcal{G}$  is 1-connected.

The coherence statement can be shown invoking a 2-dimensional graphical calculus. We can encode the information of a 2-graph in a 2-dimensional string diagram in the (x, y)-plane

that is read from up to down: The strings in a string diagram have a regular projection to the y-axis and carry labels  $j \in \{0, 1, ..., n\}$  representing the shifts  $S_j$ . There are trivalent vertices which join two strings to one string, representing  $m_{i,j}$ , with  $m_{i,j}$  adding the labels of the two strings, and its inverse representing  $m_{i,j}^{-1}$ . Furthermore, there is a one-valent vertex representing  $\kappa$  which starts at a string with label n and ends at the empty string. From such a string diagram we recover a 2-graph starting at a vertex v with cut point ias follows: The right-most string on the top determines a vertex v' and a 1-cell labeled with the corresponding shift. Inductively, this fixes vertices and 1-cells for all strings. The vertices of the string diagrams now determine the corresponding 2-cells.

Next we introduce moves on a string diagram that do not change the evaluation of the corresponding 2-graph: For strings labeled with  $\alpha$  and  $\beta$ , we write  $\alpha \circ \beta$  to indicate that the strings are horizontally neighboring, while the symbol  $\cdot$  combines vertically neighboring vertices; with these conventions, the allowed moves are the following,

- canceling of inverse vertices that are vertically neighboring;
- associativity moves for m and  $m^{-1}$ , i.e. for  $S_i \circ S_j \circ S_k$  with  $i+j+k \leq n$  one has  $m_{i+j,k} \cdot (m_{i,j} \circ 1) = m_{i,j+k} \cdot (1 \circ m_{j,k})$  and analogously for  $m^{-1}$ ;
- slide moves  $(\alpha \circ id) \cdot (id \circ \beta) = (id \circ \beta) \cdot (\alpha \circ id);$
- the *adjunction move* from equation (4.7): on the string  $S_{n-i} \circ S_i \circ S_{n-i}$  we have the identity

$$(\mathsf{id}_{n-i} \circ \kappa) \cdot (\mathsf{id}_{n-i} \circ m_{i,n-i}) = (\kappa \circ \mathsf{id}_{n-i}) \cdot (m_{n-i,i} \circ \mathsf{id}_{n-i}).$$

$$(4.12)$$

We proceed to show that two string diagrams starting and ending at the same strings can be transformed into each other using the moves listed above. This implies the coherence statement. First we wish to reduce the description to the case of string diagrams that do not involve any  $\kappa$ -vertices. To achieve this we use the adjunction move, by which we can move any  $\kappa$ - or  $\kappa^{-1}$ -vertex past a string that is on the left of it, thereby introducing new vertices with m and  $m^{-1}$ . By repeated use of this prescription, and canceling  $\kappa$  against  $\kappa^{-1}$ , we can assume that we are left with only one type, say  $\kappa$ -vertices, which are moreover located to the left and below all other vertices. Performing this step on all such pairs of diagrams leaves us with the task of proving that any two diagrams  $G_1$  and  $G_2$  from strings  $z_1$  to  $z_s$  that involve only trivalent vertices can be transformed into each other. That this is possible is already quite obvious from the associativity rule for m; a more formal argument goes as follows. Let  $z = S_{j_1} \circ \cdots \circ S_{j_r}$  be the string at which the diagram  $G_1$  starts. We can assume that the sum  $t_z := \sum_{k=1}^r j_k$  of labels satisfies  $t_z < n$ , and we first define a *standard* string diagram  $G_{\text{std}}$  from z to  $S_{t_z}$  (otherwise, if  $t_z > n$ , there is an analogous definition of a standard diagram to  $(S_1)^{t_z}$ , a composite of shifts by 1, and the proof continues along the same lines exchanging m and  $m^{-1}$  in the subsequent arguments). Then we prove that every string diagram from  $z_1$  to  $z_s$  has the same evaluation as the composite of the standard string diagram from  $z_1$  to  $S_{t_{z_1}}$  with the inverse standard string diagram to  $z_s$ . This implies the general coherence statement. The standard string diagram  $G^{\text{std}}$  from z

to  $S_{t_z}$  is defined by using the vertex m to inductively combine the first two strings to a single string, until at last only the string  $S_{t_z}$  remains. Let G be a string diagram from the string  $z_1 = S_{j_1} \circ \cdots \circ S_{j_r}$  to  $z_s = S_{d_1} \circ \cdots \circ S_{d_p}$  via intermediate strings  $z_2, \ldots, z_{s-1}$ . By our assumption,  $t_{z_1} = \ldots = t_{z_s}$ . It suffices to show that, using the allowed moves, we can transform G into the string diagram that consists of the standard diagram from  $z_1$  to  $S_{t_z}$  composed with the inverse of the standard diagram to  $z_s$ . G might contain vertices labeled by m, which we call m-vertices and  $m^{-1}$ -vertices representing  $m^{-1}$ . If we agree that an arrow from left to right represents an m-vertex, while an arrow from right to left represents the inverse vertex, we can write the composite of vertices in G as the upper line of the following diagram:

$$z_{1} \longrightarrow z_{2} \longrightarrow \dots \longleftarrow z_{s}$$

$$\downarrow^{G_{\text{std}}} \downarrow^{G_{\text{std}}} \downarrow^{G_{\text{std}}} \downarrow^{G_{\text{std}}} \downarrow^{G_{\text{std}}} \qquad (4.13)$$

$$S_{t} \xrightarrow{=} S_{t} \xrightarrow{=} \dots \xrightarrow{=} S_{t}.$$

The horizontal arrows represent all the respective standard diagram. We need to show that the outer rectangle in the diagram (4.13) commutes, i.e. that the upper row can be transformed into the composite of the lower row and the vertical rows. This, in turn, follows if each of the squares in the diagram commutes in this sense. To show the latter, it is sufficient to consider two string diagrams to  $S_t$  given by *m*-arrows, i.e. involving only the trivalent vertices *m*, and to show that they can be transformed into each other. This is clear once we realize that the datum of a string diagram with *m*-vertices from  $z = S_{j_1} \circ \cdots \circ S_{j_r}$ to  $S_t$  is encoded in a bracketing of the string  $S_{j_1} \circ \cdots \circ S_{j_r}$ : the bracket  $(S_i \circ S_j)$  means that the vertex *m* is applied to the strings labeled *i* and *j*. Now the associativity rule implies that we can change the brackets arbitrarily. This concludes the proof.

We expect that every tricategory  $\mathcal{T}$  which has in addition a symmetric monoidal structure and in which all objects are 1-dualizable has a canonical 3-trace with values in  $\mathcal{T}(1, 1)$ where 1 is the unit of the symmetric monoidal structure.

We are mostly interested in the case that  $\mathcal{B} = \mathsf{Cat}$ , the 2-category of essentially small linear categories. We call a 3-trace on  $\mathcal{T}$  to  $\mathsf{Cat}$  representable if for every object x in  $\mathcal{T}$  there exists a 1-morphism  $X: x \to x$  together with a natural 2-isomorphism from the 2-functor  $\mathsf{tr}_x$  to the 2-functor  $\mathcal{T}(X, -): \mathcal{T}(x, x) \to \mathsf{Cat}$ .

The 3-category BimCat is special in the sense that it belongs to both subclasses of tricategories: On the one hand it is expected to possess a symmetric monoidal structure, given by the Deligne product with unit Vect, for which every object is 1-dualizable [DSPS13]. Note that BimCat(Vect, Vect) is the sub-2-category of Cat consisting of finite linear categories. On the other hand, according to Proposition 3.6 (ii) the 2-functors  $\bigotimes$  (which define a 3-trace, as we will see next) are representable by the bimodule categories  ${}_{e}^{D}C_{e}$  for every finite tensor category C.

In a tricategory  $\mathcal{T}$  with 3-trace with values in  $\mathcal{B}$ , every object  $x \in \mathcal{T}$  has, via the identity 1-morphisms in  $\mathcal{T}$ , an associated object

$$\mathcal{Z}_{\mathcal{B}}(x) := \operatorname{tr}_{x}(1_{x}) \tag{4.14}$$

in  $\mathcal{B}$ , which may be called a generalized Drinfeld center of the object  $x \in \mathcal{T}$ .

**Remark 4.3** In case the tricategory  $\mathcal{T}$  has duals for 1-morphisms, these duals yield canonical 1-morphisms in  $\mathcal{B}$  between the generalized Drinfeld centers as follows: Consider a 1-morphism  $M: a \to b$ . A dual for M is a 1-morphism  $M^{\#}: b \to a$  in  $\mathcal{T}$  together with 2-morphisms  $ev_M: M^{\#} \Box M \Rightarrow 1_a$  and  $coev_M: 1_b \Rightarrow M \Box M^{\#}$  such that the snake identity holds in  $\mathcal{T}$  up to an invertible 3-morphism. This data allows to define the 1-morphism

$$\mathsf{F} = \mathsf{tr}_a(\mathsf{ev}_M) \circ \varphi(M, M^{\#}) \circ \mathsf{tr}_b(\mathsf{coev}_M) \tag{4.15}$$

between  $\mathcal{Z}_{\mathcal{B}}(b)$  and  $\mathcal{Z}_{\mathcal{B}}(a)$ . In case there are even duals for the 2-morphisms in  $\mathcal{T}$ , taking the (say right) dual of the evaluation and coevaluation morphisms of M, we obtain an adjunction in  $\mathcal{B}$  between the generalized Drinfeld centers. In case M is invertible, the adjunction is an adjoint equivalence.

For the example of bimodule categories, this leads to adjunctions between the twisted Drinfeld centers for every separable bimodule category between finite tensor categories.

### 4.2 The category-valued trace as 3-trace

In this subsection we show that the category-valued trace provides a 3-trace on the tricategory BimCat with values in the bicategory Cat. In particular we establish compatibility as in Definition 4.1 with the relative tensor product of bimodule categories, which is the composition of 1-morphisms in this case.

As a new ingredient, we need the notion of multi-balancedness of a functor:

**Definition 4.4** Let  $\mathcal{C}$  and  $\mathcal{D}$  be finite tensor categories and  $\mathcal{A}$  a linear category. A functor  $\mathsf{F}: {}_{e}\mathcal{M}_{\mathbb{D}} \times {}_{\mathbb{D}}\mathcal{N}_{e} \to \mathcal{A}$  is called multi-balanced if it is both  $\mathcal{D}$ -balanced in the sense of Definition 2.7 and  $\mathcal{C}$ -balanced in the sense of Definition 3.1, i.e. if it is equipped with natural isomorphisms

$$\beta_{c,m \times n} : \quad \mathsf{F}(c \triangleright m \times n) \stackrel{\cong}{\longrightarrow} \mathsf{F}(m \times n \triangleleft c) \tag{4.16}$$

for all  $c \in \mathfrak{C}$  and

$$\gamma_{d,m \times n} : \quad \mathsf{F}(m \triangleleft d \times n) \xrightarrow{\cong} \mathsf{F}(m \times d \triangleright n)$$

$$(4.17)$$

for all  $d \in D$  that satisfy the respective pentagon and triangle identities, and if furthermore the two balancing constraint are compatible, i.e. the diagrams

$$\begin{array}{ccc}
\mathsf{F}(c \triangleright (m \triangleleft d) \times n) & \xrightarrow{\gamma_{d,c \triangleright m \times n}} \mathsf{F}(c \triangleright m \times d \triangleright n) \\
& & \downarrow^{\beta_{c,m \triangleleft d \times n}} & \downarrow^{\beta_{c,m \times d \triangleright n}} \\
\mathsf{F}(m \triangleleft d \times n \triangleleft c) & \xrightarrow{\gamma_{d,m \times n \triangleleft c}} \mathsf{F}(m \times d \triangleright n \triangleleft c)
\end{array} \tag{4.18}$$

commute for all objects  $c \in \mathfrak{C}$ ,  $d \in \mathfrak{D}$ ,  $m \in {}_{\mathfrak{C}}\mathfrak{M}_{\mathfrak{D}}$ ,  $n \in {}_{\mathfrak{D}}\mathfrak{N}_{\mathfrak{C}}$ .

More generally, one can consider strings of cyclically composable bimodule categories  $\underline{\mathcal{M}}$ : These are composable bimodule categories, such that for the outermost bimodule categories, the respective outer tensor categories agree. Then multi-balanced functors and natural transformations from  $\underline{\mathcal{M}}$  to  $\mathcal{A}$  are defined analogously, with the consistency condition (4.18) required between any two consecutive arguments of a multi-balanced functor. We denote the category formed by these as Fun<sup>bal,r.e.</sup> ( $\underline{\mathcal{M}}, \mathcal{A}$ ).

The category-valued trace can be extended to the category-valued trace of a cyclically composable string of bimodule categories:

**Definition 4.5** Given a cyclically composable string  $\underline{M}$  of bimodule categories over finite tensor categories, a category-valued trace  $\underline{\otimes} \underline{M}$  is an abelian category that is universal with respect to multi-balanced functors, i.e. for which there exists a multi-balanced functor

$$\mathsf{B}: \quad \underline{\mathcal{M}} \to \bigotimes \underline{\mathcal{M}} \tag{4.19}$$

such that for every linear category A composition with B is part of an adjoint equivalence

$$\operatorname{Fun}(\underline{\otimes}\,\underline{\mathcal{M}},\mathcal{A}) \simeq \operatorname{Fun}^{\operatorname{bal}}(\underline{\mathcal{M}},\mathcal{A}) \tag{4.20}$$

of categories.

**Proposition 4.6** For any cyclically composable string  $\underline{\mathcal{M}}$  of bimodule categories over finite tensor categories, the category-valued trace  $\underline{\otimes} \underline{\mathcal{M}}$  exists.

Proof. We consider the example of the string  ${}_{\mathcal{D}}\mathcal{M}_e \times {}_{e}\mathcal{N}_{\varepsilon} \times {}_{\varepsilon}\mathcal{K}_{\mathcal{D}}$ . The general case is treated analogously. First we choose a bracketing, say  $(-\Box -)\Box -$  and a cut point, say  ${}_{\varepsilon}\mathcal{K}_{\mathcal{D}}$ . The relative tensor product provides for all linear categories  $\mathcal{A}$  an equivalence of categories

$$\operatorname{Fun}^{\operatorname{bal,r.e.}}({}_{\mathcal{D}}\mathcal{M}_{e} \times {}_{e}\mathcal{N}_{\varepsilon} \times {}_{\varepsilon}\mathcal{K}_{\mathcal{D}}, \mathcal{A}) \cong \operatorname{Fun}^{\operatorname{bal,r.e.}}(({}_{\mathcal{D}}\mathcal{M}_{e} \boxtimes_{e} {}_{e}\mathcal{N}_{\varepsilon}) \boxtimes_{\varepsilon} {}_{\varepsilon}\mathcal{K}_{\mathcal{D}}, \mathcal{A}).$$
(4.21)

It follows that the trace  $\otimes ({}_{\mathcal{D}}\mathcal{M}_{e} \boxtimes_{e} {}_{\mathcal{C}}\mathcal{N}_{\varepsilon}) \boxtimes_{\varepsilon} {}_{\varepsilon}\mathcal{K}_{\mathcal{D}}$  satisfies the required universal property.  $\Box$ 

Next we establish cyclic equivalences of the category-valued trace, thereby in particular justifying our terminology.

**Proposition 4.7** Let  ${}_{e}\mathcal{M}_{\mathcal{D}}$  and  ${}_{\mathcal{D}}\mathcal{N}_{e}$  be bimodule categories over finite tensor categories  $\mathcal{C}$  and  $\mathcal{D}$ .

(i) Switching the arguments in a bilinear functor induces for all linear categories A an equivalence

$$\operatorname{Fun}^{\operatorname{bal,r.e.}}({}_{e}\mathcal{M}_{\mathcal{D}} \times {}_{\mathcal{D}}\mathcal{N}_{e}, \mathcal{A}) \xrightarrow{\widetilde{\varphi}_{\mathcal{M},\mathcal{N}}} \operatorname{Fun}^{\operatorname{bal,r.e.}}({}_{\mathcal{D}}\mathcal{N}_{e} \times {}_{e}\mathcal{M}_{\mathcal{D}}, \mathcal{A}), \qquad (4.22)$$

that satisfies  $\widetilde{\varphi}_{\mathcal{N},\mathcal{M}} \circ \widetilde{\varphi}_{\mathcal{M},\mathcal{N}} = \mathsf{id}.$ 

(ii) The equivalence from part (i) induces an equivalence

$$\bigotimes_{e} \mathcal{M}_{\mathcal{D}} \boxtimes_{\mathcal{D}} \mathcal{N}_{e} \xrightarrow{\varphi_{\mathcal{M},\mathcal{N}}} \bigotimes_{\mathcal{D}} \mathcal{N}_{e} \boxtimes_{e} \mathcal{M}_{\mathcal{D}}, \qquad (4.23)$$

that is unique up to unique natural isomorphism, together with an equivalence  $\varphi_{N,M} \circ \varphi_{M,N} \simeq id$ .

(iii) For bimodule categories  ${}_{\mathbb{D}}\mathcal{M}_{e} \times {}_{e}\mathcal{N}_{\varepsilon} \times {}_{\varepsilon}\mathcal{K}_{\mathbb{D}}$ , the following diagram of equivalences commutes:

$$\operatorname{Fun}^{\operatorname{bal,r.e.}}({}_{\mathcal{D}}\mathcal{M}_{e} \times {}_{e}\mathcal{N}_{\varepsilon} \times {}_{\varepsilon}\mathcal{K}_{\mathcal{D}}, \mathcal{A}) \xrightarrow{\widetilde{\varphi}_{\mathcal{M} \times \mathcal{N}, \mathcal{K}}} \operatorname{Fun}^{\operatorname{bal,r.e.}}({}_{\varepsilon}\mathcal{K}_{\mathcal{D}} \times {}_{\mathcal{D}}\mathcal{M}_{e} \times {}_{e}\mathcal{N}_{\varepsilon}, \mathcal{A})$$

$$\downarrow \widetilde{\varphi}_{\mathcal{M}, \mathcal{N} \times \mathcal{K}} \xrightarrow{\widetilde{\varphi}_{\mathcal{K} \times \mathcal{M}, \mathcal{N}}} \operatorname{Fun}^{\operatorname{bal,r.e.}}({}_{e}\mathcal{N}_{\varepsilon} \times {}_{\varepsilon}\mathcal{K}_{\mathcal{D}} \times {}_{\mathcal{D}}\mathcal{M}_{e}, \mathcal{A}).$$

$$(4.24)$$

This induces a natural isomorphism of functors

$$m_{\mathcal{M},\mathcal{N},\mathcal{K}}: \quad \varphi_{\mathcal{K}\boxtimes_{\mathcal{D}}\mathcal{M},\mathcal{N}} \circ \varphi_{\mathcal{M}\boxtimes_{\mathcal{C}}\mathcal{N},\mathcal{K}} \to \varphi_{\mathcal{M},\mathcal{N}\boxtimes_{\mathcal{E}}\mathcal{K}}, \qquad (4.25)$$

where for simplicity we omitted the associator in BimCat.

(iv) For a bimodule category  ${}_{e}M_{e}$ , the following diagram of equivalences commutes up to a canonical natural isomorphism:

Here the functor  $\phi_1$  maps a balanced functor  $\mathsf{F}: {}_{e}\mathcal{M}_{e} \to \mathcal{A}$  to the functor  $\mathfrak{C} \times \mathfrak{M} \ni c \times m \mapsto \mathsf{F}(c \triangleright m)$ , and  $\phi_2$  is defined by mapping a balanced functor  $\mathsf{G}: \mathfrak{M} \times \mathfrak{C} \to \mathcal{A}$  to the functor  $\mathfrak{M} \ni m \mapsto \mathsf{G}(m \times 1_{\mathfrak{C}})$ . The diagram (4.26) defines a natural isomorphism  $\kappa_{\mathfrak{M}}: \varphi_{\mathfrak{C},\mathfrak{M}} \to \mathsf{id}$ .

Proof. The first part is clear. For the second part consider the following diagram

Since all arrows in this diagram are equivalences of categories, the diagram establishes  $\bigotimes_{D} \mathcal{N}_{e} \boxtimes_{e} \mathcal{M}_{D}$  as a category-valued trace of  ${}_{e}\mathcal{M}_{D} \times {}_{D}\mathcal{N}_{e}$ . By the universal property of the

category-valued trace this induces the equivalence  $\varphi_{\mathcal{M},\mathcal{N}} \colon \bigotimes_{e} \mathcal{M}_{\mathcal{D}} \boxtimes_{\mathcal{D}} \mathcal{N}_{e} \to \bigotimes_{\mathcal{D}} \mathcal{N}_{e} \boxtimes_{e} \mathcal{M}_{\mathcal{D}}$ . The natural isomorphism in the remaining parts of the proposition are also obtained from the universal property of the category-valued trace: Between any two equivalences of category-valued trace of a cyclically composable string of bimodule categories, that are induced by the universal property, there is a unique natural isomorphism.

**Proposition 4.8** The category-valued trace provides a 3-trace on the tricategory BimCat with values in Cat. The 3-trace is representable by the bimodule categories  ${}_{e}^{D}C_{e}$  for finite tensor categories C.

Proof. According to Proposition 3.6, the category-valued trace provides the 2-functors  $BimCat(\mathcal{C}, \mathcal{C}) \rightarrow Cat$ . The structural data of a 3-trace are provided in Proposition 4.7. It remains to be shown that the two axioms in the definition of a 3-trace are satisfied. To this end note that the natural isomorphisms m and  $\kappa$  in Proposition 4.7 are defined *uniquely*. This implies that for a string of four cyclically composable bimodule categories, where there are two ways to define m by the universal property, these two definitions have to agree. This shows that axiom (4.6) is satisfied for the category-valued trace. The other axiom is shown similarly.

# 5 Categories assigned to circles in TFT with defects

One area in which some of the structures presented in Sections 2–4 appear naturally is topological field theory or, more precisely, topological field theories with defects. Specifically, a three-dimensional 3-2-1-extended topological field theory is a symmetric monoidal 2-functor from an extended cobordism bicategory **Cob** to the bicategory 2-**Vect**. In particular, it associates a linear category  $\text{tft}(\Sigma)$  to any compact one-manifold  $\Sigma$ . Several variants of cobordism categories can be considered. In the simplest case, objects are compact oriented smooth one-manifolds, 1-morphisms are two-manifolds with boundary (with the boundary components to be interpreted as "gluing boundaries") and 2-morphisms are three-manifolds with corners.

Among the more general cobordism categories considered in the literature are in particular manifolds with singularities or with distinguished submanifolds of various codimension, which are to be thought of as the loci of various defects (see e.g. [Lur09, Sect. 4.3] and [AFT14]). In this setup the objects are one-manifolds with distinguished points at which defects are located. (It is then in fact natural to extend the cobordism bicategory even further in such a way that intervals with distinguished points are objects as well; this amounts to the inclusion of boundary conditions. This extension of the cobordism category is, however, not necessary for understanding the motivation of the present paper from topological field theory).

By invoking the monoidal structure of **Cob** as well as fusion of defects and fusion of defects to boundaries, one can restrict one's attention to two basic one-manifolds: an interval without defect points and a circle with one defect point.

More specifically, what we have in mind are three-dimensional topological field theories of Turaev-Viro type. Such theories are constructed from spherical fusion categories C. It is well understood that the Turaev-Viro theory based on C associates to a circle without defects the Drinfeld center  $\mathcal{Z}(\mathbb{C})$ . Surface defects between possibly different Turaev-Viro theories are well understood, too, see e.g. [FSV13]: a surface defect that separates two three-dimensional regions labeled by  $\mathcal{Z}(\mathbb{C})$  and  $\mathcal{Z}(\mathcal{D})$ , respectively, is labeled by a C- $\mathcal{D}$ bimodule category. We regard these bimodule categories as 1-morphisms in a 3-category of spherical fusion categories. Fusion of defects is then composition of these 1-morphisms, and is thus the relative tensor product, as given in Definition 2.9, over the relevant fusion category. As a consequence, the data associated to a circle S with a defect point p consist of a spherical fusion category C assigned to the open interval  $S \setminus p$  and a C-bimodule category  ${}_{e}\mathcal{M}_{e}$  assigned to the defect point. We now explain that the k-linear category tft(S, p) which the topological field theory assigns to such a circle should be the trace of the bimodule category,

$$tft(S, p) = \bigotimes_{e} \mathcal{M}_{e} \,. \tag{5.1}$$

We can think about the labeled circle (S, p) as a diagram in a symmetric monoidal tricategory as follows: it is a coevaluation for the fusion category  $\mathcal{C}$ , followed by the 1-morphism  ${}_{\mathcal{C}}\mathcal{M}_{\mathcal{C}}$  and an evaluation for  $\mathcal{C}$ . Since the coevaluations are given by  $\mathcal{C}$ , seen as an appropriate bimodule category, we naturally end up with the k-linear abelian category  $\mathcal{M}_{\mathbb{C} \boxtimes \overline{\mathbb{C}} \boxtimes \mathbb{C} \boxtimes \overline{\mathbb{C}} \otimes \overline{\mathbb{C}}} \mathcal{C}$ , i.e. with the model (iv) for the trace in Proposition 3.3.

Alternatively, we may consider what happens when the circle is folded to an interval in such a way that one of the resulting end points is p. The category associated to an interval can be described in two ways: as a Hom-category in a bicategory (see e.g. [FSV14, Eq. (2.14)]), leading to the model (ii) of Proposition 3.3, or as a relative Deligne tensor product of a right module and left module category, yielding the model (iv) of Proposition 3.3. On the other hand, results in other contexts suggest a (derived version of) Hochschild homology, see [GTZ14], which in our setting reduces to a Drinfeld center as in model (i) of Proposition 3.3.

Note that for the particular case of the 'invisible' defect, corresponding to the regular C-bimodule  ${}_{e}C_{e}$ , For spherical C the category-valued trace (5.1) is just the Drinfeld center of C (see Proposition 3.3(i)), as appropriate.

#### 5.1 Dijkgraaf-Witten theories

We now turn to a particular subclass of Turaev-Viro theories, Dijkgraaf Witten theories. These theories admit an explicit gauge-theoretic construction which allows to compute the category tft(S, p) independently and thus to corroborate formula (5.1) further. A similar strategy has been employed for categories associated to intervals in Section 3.5 of [FSV14].

The input data for (a three-dimensional stratum of) a Dijkgraaf-Witten theory are a finite group G and a 3-cocycle  $\omega \in Z^3(G, \mathbb{C}^{\times})$ . Cohomologous cocycles  $\omega$  yield equivalent theories, so that without loss of generality  $\omega$  can be assumed to be normalized,

$$\omega(e, g_2, g_3) = 1, \tag{5.2}$$

as well as (see e.g. [BW96, Sect. 3] and [FGSV99]) to carry the symmetries of a tetrahedron, i.e.

$$\varpi(a,b,c) = \varpi(a^{-1},ab,c)^{-1} = \varpi(ab,b^{-1},bc)^{-1} = \varpi(a,bc,c^{-1})^{-1}.$$
(5.3)

In the sequel we determine the category that a 3-2-1-extended Dijkgraaf-Witten theory assigns to a circle with a defect point through a gauge-theoretic construction. To compare it to the algebraic description, we keep in mind that, as a Turaev-Viro theory, a Dijkgraaf-Witten theory is based on the spherical fusion categories given by *G*-graded vector spaces with associativity constraint twisted by  $\omega$  and with their canonical spherical structure. As we will see, the result coincides with the category-valued trace of the relevant bimodule categories obtained in Example 3.11.

The gauge-theoretic construction of the Dijkgraaf-Witten theory based on a finite group G and 3-cocycle  $\omega$  as a 3-2-1-extended topological field theory proceeds in two steps: First one associates categories of spans of G-bundles to two-dimensional manifolds with boundary. The actual values of the field theory are then obtained via twisted linearization, in which the twist involves a groupoid cocycle obtained [Fre95, Mor] by transgressing  $\omega$  in the sense of [Wil08]. This construction can be extended [FSV14], with help of the notions of relative manifolds and relative bundles, to three-manifolds with boundaries or defect surfaces.

In this geometric description the category for a circle S with one marked point p is obtained as follows (see Section 3.1 of [FSV14]). To the interval  $S \setminus \{p\} \subset S$  one has to associate the group G with cocycle  $\omega$ , which has the physical interpretation of a (topological) bulk Lagrangian. To the marked point one assigns a group homomorphism  $i: H \to G \times G$ and a 2-cochain  $\theta \in C^2(H, \mathbb{C}^{\times})$  on H, with the interpretation as a (topological) defect Lagrangian, that satisfies

$$d\theta = i^* (p_1^* \omega \cdot (p_2^* \omega)^{-1}) = i_1^* \omega \cdot (i_2^* \omega)^{-1} \qquad \text{with} \qquad i_1 := p_1 \circ i \,, \quad i_2 := p_2 \circ i \,, \tag{5.4}$$

where  $p_1$  and  $p_2$  are the projection from  $G \times G$  to the first and second copy of G, respectively. Note that  $\theta$  is only relevant up to coboundaries.

Further, due to the normalization of  $\omega$ ,  $\theta$  can be taken to be normalized as well,

$$\theta(e, h_2) = 1. \tag{5.5}$$

To this collection of data the construction of [Mor, FSV14] associates in a first step a groupoid, namely the action groupoid

$$G \setminus G \times G / _{i^-} H; \qquad (5.6)$$

where G acts on  $G \times G$  from the left as the diagonal subgroup while, as indicated by the symbol  $i^-$ , H acts by right multiplication after mapping it to  $G \times G$  by i and taking the inverse (whereby one thus deals with a left action as well). In the sequel we restrict our attention to group homomorphisms i that are subgroup embeddings (and thus often tacitly suppress the symbol i altogether). These lead to indecomposable module categories while,

as discussed in [FSV14, App. A], in the generic case one deals with decomposable module categories.

In a second step, the  $\mathbb{C}$ -linear abelian category associated to the pointed circle with the data as given above is obtained from the groupoid (5.6) by a twisted linearization process: it is a certain category

$$\mathcal{L}_{G,H,\tau} := \left[ G \setminus G \times G / _{\iota^{-}} H, \mathsf{Vect} \right]^{\tau_{\omega,\theta}}$$
(5.7)

of functors from the groupoid (5.6) to vector spaces, which is defined as follows. The twisting groupoid cocycle  $\tau(\omega, \theta)$ , representing a class in  $H^2(G \setminus G \times G//_{i^-}H, \mathbb{C}^{\times})$ , is obtained by an appropriate transgression prescription, which we will present in the next paragraph. It depends both on the three-cocycle  $\omega \in Z^3(G, \mathbb{C}^{\times})$  and on the 2-cochain  $\theta \in C^2(H, \mathbb{C}^{\times})$ .

To describe the category  $\mathcal{L}_{G,H,\tau}$  given in (5.7) explicitly, we first recall the general prescription for twisted linearizations: Given a finite group K and a subgroup  $L \leq K$ , a 3-cocycle  $\varpi$  on K and a 2-cochain  $\vartheta$  on L satisfying  $d\vartheta = \varpi|_{L \times L \times L}$ , the twisted linearization  $[L \setminus K, \mathsf{Vect}_{\mathbb{C}}]^{\tau}$  is the following category [FSV14, Def. 3.3]: objects are finite-dimensional K-graded vector spaces which carry a projective linear action  $\rho$  of L, modifying the K-grading by left multiplication, while morphisms are K-homogeneous maps commuting with the L-action. The groupoid cocycle  $\tau = \tau_{\varpi,\vartheta}$  arises in the composition law of the action  $\rho$ , according to

$$\rho_{l_1 l_2}|_{V_k} = \tau(k; l_1, l_2) \,\rho_{l_1}|_{V_{l_2 k}} \circ \rho_{l_2}|_{V_k} \tag{5.8}$$

for  $k \in K$  and  $l_1, l_2 \in L$ .

In the case of the category  $\mathcal{L}_{G,H,\tau}$  of our interest, the objects are  $G \times G$ -graded vector spaces

$$V = \bigoplus_{g_1, g_2 \in G} V_{(g_1, g_2)} \tag{5.9}$$

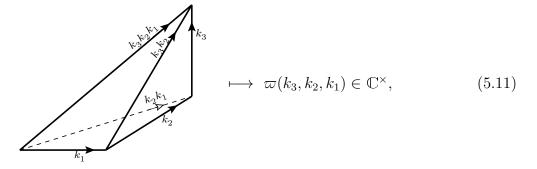
endowed with a left  $G \times H$ -action  $\pi$  such that

$$\pi_{g,h}: \quad V_{(g_1,g_2)} \to V_{(gg_1,gg_2)\,\iota(h)^{-1}} \tag{5.10}$$

for  $g \in G$  and  $h \in H$ . The cocycle  $\tau$  can be computed by an algorithm which utilizes three-dimensional diagrams and their decomposition into simplices. To this end, the objects and morphisms of the groupoid  $L \setminus K$  are represented, respectively, by one- and two-dimensional graphical elements with edges labeled by group elements, subject to the holonomy condition that the product of group elements along a closed curve equals the neutral element. This algorithm is formulated as a set of rules which associate an algebraic expression to a piecewise-linear three-manifold, by chopping the manifold into tetrahedra and triangles and multiplying the expressions associated to those; these rules are spelled out in [Wil08, Sect. 1.2.1] and [FSV14, Sect. 3.4].

Concretely, an oriented 3-simplex with edges labeled by elements of the group K with 3-

cocycle  $\varpi$  stands for a number obtained by evaluating  $\varpi$  according to [FSV14, (3.34), (3.37)]



while a triangle with edges labeled by elements of L with 2-cochain  $\vartheta$  evaluates as [FSV14, (3.36),(3.38)]

$$\underbrace{l_2 l_1}_{l_2} \longmapsto \left[ \vartheta(l_2, l_1) \right]^{-1} \in \mathbb{C}^{\times}.$$
 (5.12)

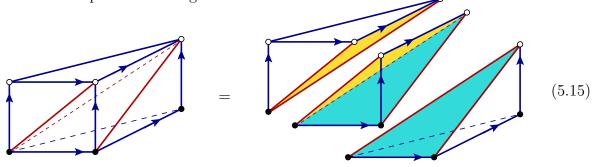
Note the convention for the order of multiplication of the group elements appearing in these diagrams. Also recall that we choose  $\omega$  such that it satisfies the identities (5.3), i.e. manifestly has the symmetries of the tetrahedron. Moreover, we compatibly choose the 2-cochain  $\vartheta$  in such a way that it manifestly has the symmetries of the triangle, i.e.

$$\vartheta(b,a) = \vartheta(a, a^{-1}b^{-1}) = [\vartheta(a^{-1}, b^{-1})]^{-1}.$$
(5.13)

In [FSV14] an algorithm is outlined which allows one to obtain the 2-cocycle  $\tau_{\omega,\theta}$  in (5.7) as the evaluation of a simplicial 3-manifold labeled by elements of K with certain 2-simplices labeled by elements in L as in the figure (5.12). Thereby one evaluates such special 2-simplices as the product of the evaluation of triangles as in (5.12), and their 3-dimensional complement as the product of the evaluation of 3-simplices as in (5.11), using the group homomorphism of L into K. Applied to the case at hand, we obtain a graphical representation of the 2-cocycle  $\tau_{\omega,\theta}$  in (5.7) as the evaluation of the following piecewise-linear three-manifold:

$$\begin{array}{c} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\$$

Here  $(\gamma, \delta) \in G \times G$  is an object and  $(g_1, h_1), (g_2, h_2) \in G \times H$  are morphisms of the action groupoid (5.6), and the identification of the top and bottom faces of (5.14) reflects the fact that we deal with the category for a circle, while the two different types of circles on the vertices indicate that the corresponding edges which connect vertices of the same type are labeled by two different types of gauge transformations, corresponding to the two factors in the morphisms of the action groupoid. Further, when evaluating the upper half of the three-manifold  $h_i$  (with i = 1, 2) stand for  $i_1(h_i) = p_1(i(h_i))$ , while when evaluating the lower half they stand for  $i_2(h_i) = p_2(i(h_i))$ . According to (5.12) the triangle in the middle plane of (5.14) evaluates to  $[\theta(h_2, h_1)]^{-1}$ . To evaluate the upper part we decompose it into three simplices according to



so that by (5.11) we get the number

$$\tau_{\rm top}(\gamma; g_1, h_1, g_2, h_2) = \omega(g_2, g_1, \gamma) \,\omega(g_2, g_1\gamma h_1^{-1}, h_1)^{-1} \,\omega(g_2g_1\gamma h_1^{-1}h_2^{-1}, h_2, h_1) \,, \qquad (5.16)$$

where as pointed out above, on the right hand side  $h_i$  stands for  $i_1(h_i)$ . In the same way we get  $\tau_{\text{bottom}}(\delta; g_1, h_1, g_2, h_2) = \tau_{\text{top}}(\delta; g_1, h_1, g_2, h_2)^{-1}$ , where on the right hand side  $h_i$  now stands for  $i_2(h_i)$ .

Combining these results we end up with

$$\tau_{\omega,\theta}((\gamma_{1},\gamma_{2});(g,h),(g',h')) = [\theta(h',h)]^{-1} \tau_{top}(\gamma_{1};g,h,g',h') \tau_{bottom}(\gamma_{2};g,h,g',h')$$

$$= [\theta(h',h)]^{-1} \omega(g',g,\gamma_{1}) [\omega(g',g\gamma_{1}\imath_{1}(h)^{-1},\imath_{1}(h))]^{-1} \omega(g'g\gamma_{1}\imath_{1}(h)^{-1}\imath_{1}(h')^{-1},\imath_{1}(h'),\imath_{1}(h))$$

$$[\omega(g',g,\gamma_{2})]^{-1} \omega(g',g\gamma_{2}\imath_{2}(h)^{-1},\imath_{2}(h)) [\omega(g'g\gamma_{2}\imath_{2}(h)^{-1}\imath_{2}(h')^{-1},\imath_{2}(h'),\imath_{2}(h))]^{-1}$$
(5.17)

Let us summarize: There is an equivalence

$$\mathcal{L}_{G,H,\tau} \simeq \operatorname{Vect}(G \times G)_{G;H}^{\omega;\theta}$$
(5.18)

between the twisted linearization  $\mathcal{L}_{G,H,\tau} = [G \setminus G \times G / _{i} - H, \mathsf{Vect}]^{\tau_{\omega,\theta}}$  and the category  $\mathsf{Vect}(G \times G)_{G;H}^{\omega;\theta}$  of  $G \times G$ -graded vector spaces of the form (5.9) with a left  $G \times H$ -action as in (5.10), twisted by the cocycle (5.17).

It is also worth noting that the result 5.17 implies that

$$\tau_{\omega,\theta}((\gamma_1,\gamma_2);(g,e),(e,h)) = 1.$$
(5.19)

This tells us that the action  $\pi$  of the product of the groups G and H is related to the action of the individual factors as  $\pi_{g,h} = \pi_{e,h} \circ \pi_{g,e}$ .

## 5.2 Comparison with the algebraic result

We now compare the results of Section 5.1 with the description of the category-valued trace of the bimodule category  $\mathcal{M}(H,\theta)$  in Example 3.11 (we continue to work with vector spaces over  $\mathbb{C}$ , which is conventional in the context studied in Section 5.1, but the result holds for any algebraically closed field  $\Bbbk$ ):

**Proposition 5.1** The category of  $A_{G_{\text{diag}}}$ - $A_{H,\theta}$ -bimodules in  $\text{Vect}(G)^{\omega} \boxtimes \text{Vect}(G)^{\omega^{-1}}$  is equivalent to the functor category  $[G \setminus G \times G//_{i^{-}} H, \text{Vect}]^{\tau_{\omega,\theta}}$  obtained by the gauge-theoretic considerations.

Proof. Invoking the equivalence (5.18), we need to construct an equivalence from the category of  $A_{G_{\text{diag}}}$ - $A_{H,\theta}$ -bimodules in  $\text{Vect}(G)^{\omega} \boxtimes \text{Vect}(G)^{\omega^{-1}}$  to the category  $\text{Vect}(G \times G)_{G;H}^{\omega;\theta}$  of  $G \times G$ -graded vector spaces with  $G \times H$ -action  $\pi$  twisted by  $\tau_{\omega,\theta}$  described above. We first define an equivalence functor on objects. As it turns out, this can be done in such a way that for each object the underlying  $G \times G$ -graded vector space  $V = \bigoplus_{\gamma_1, \gamma_2 \in G} V_{(\gamma_1, \gamma_2)}$  gets mapped to itself: the left action  $A_{G_{\text{diag}}} \otimes V \to V$  amounts to a linear map

$$\left(\bigoplus_{g\in G} \mathbb{C}_g\right) \otimes \left(\bigoplus_{\gamma_1,\gamma_2\in G} V_{(\gamma_1,\gamma_2)}\right) \longrightarrow \bigoplus_{\gamma'_1\gamma'_2} V_{(\gamma'_1,\gamma'_2)}$$
(5.20)

and thus to family of linear maps

$$\mathbb{C}_g \otimes V_{(\gamma_1, \gamma_2)} \longrightarrow V_{(\gamma'_1, \gamma'_2)} \tag{5.21}$$

for all  $g \in G$  which are non-zero only if  $(\gamma'_1, \gamma'_2) = (g\gamma_1, g\gamma_2)$ . Using the unit constraint for the monoidal unit  $\mathbb{C}$  in the category of complex vector spaces, we then obtain a linear map

$$\rho(\gamma_1, \gamma_2; g): \quad V_{(\gamma_1, \gamma_2)} \to V_{(g\gamma_1, g\gamma_2)}.$$
(5.22)

In an analogous manner we get for any  $h \in H$  a linear map

$$q(\gamma_1, \gamma_2; h): \quad V_{(\gamma_1, \gamma_2)} \to V_{(\gamma_1, \gamma_2)\iota(h^{-1})}.$$
(5.23)

The main point is now to show that this defines a projective action of  $G \times H$  for the same 2-cocycle on the groupoid  $G \setminus G \times G//_{i} - H$  as the one found in Section 5.1, by setting

$$\pi(\gamma_1, \gamma_2; (g, h)) := \operatorname{q}(g\gamma_1, g\gamma_2; h) \circ \rho(\gamma_1, \gamma_2; g)$$
(5.24)

To this end we note that compatibility of  $\rho$  with the product of  $A_{G_{\text{diag}}}$  amounts to the relation

$$\rho(\gamma_1, \gamma_2; g'g) = \omega(g', g, \gamma_1) \left[ \omega(g', g, \gamma_2) \right]^{-1} \rho(g\gamma_1, g\gamma_2; g') \circ \rho(\gamma_1, \gamma_2; g)$$
(5.25)

for all quadruples  $g, g', \gamma_1, \gamma_2 \in G$  (compare [FSV14, (3.55)]). Similarly, compatibility of  $\gamma$  with the action of  $A_{H,\theta}$  gives

$$\begin{aligned} \mathbf{q}(\gamma_1, \gamma_2; hh') &= \left[\theta(h^{-1}, h'^{-1})\right]^{-1} \left[\omega(\gamma_1, \imath_1(h)^{-1}, \imath_1(h')^{-1})\right]^{-1} \omega(\gamma_2, \imath_2(h)^{-1}, \imath_2(h')^{-1}) \\ \mathbf{q}(\gamma_1, \gamma_2) \imath(h); h') \circ \mathbf{q}(\gamma_1, \gamma_2; h) \end{aligned} (5.26)$$

for  $\gamma_1, \gamma_2 \in G$  and  $h, h' \in H$ , while the requirement that the two actions commute amounts to

$$\begin{aligned} & (g\gamma_1, g\gamma_2; h) \circ \rho(\gamma_1, \gamma_2; g) = \omega(g, \gamma_1, \imath_1(h)^{-1}) \left[ \omega(g, \gamma_2, \imath_2(h)^{-1}) \right]^{-1} \\ & \rho((\gamma_1, \gamma_2) \imath(h); g) \circ q(\gamma_1, \gamma_2; h) \end{aligned}$$
(5.27)

(compare [FSV14, (3.57)]). By comparison with the formula (5.17) for the groupoid cocycle  $\tau_{\omega,\theta}$  (and also invoking the normalization of  $\omega$  and  $\theta$ ), these relations can be rewritten as

$$\rho(\gamma_{1}, \gamma_{2}; g'g) = \tau_{\omega,\theta}((\gamma_{1}, \gamma_{2}); (g, e), (g', e)) \rho(g\gamma_{1}, g\gamma_{2}; g') \circ \rho(\gamma_{1}, \gamma_{2}; g), 
q(\gamma_{1}, \gamma_{2}; hh') = \tau_{\omega,\theta}((\gamma_{1}, \gamma_{2}); (e, h), (e, h')) q(\gamma_{1}, \gamma_{2})\imath(h); h') \circ q(\gamma_{1}, \gamma_{2}; h) and 
q(g\gamma_{1}, g\gamma_{2}; h) \circ \rho(\gamma_{1}, \gamma_{2}; g) 
= \tau_{\omega,\theta}((\gamma_{1}, \gamma_{2}); (e, h), (g, e)) \rho((\gamma_{1}, \gamma_{2})\imath(h); g) \circ q(\gamma_{1}, \gamma_{2}; h).$$
(5.28)

In other words, the constraints for the actions of the algebras (3.24) and (3.26) are indeed precisely implemented by  $\tau_{\omega,\theta}$ , implying that the prescription (5.24) defines a projective action of  $G \times H$  with cocycle  $\tau_{\omega,\theta}$ .

Finally, since  $A_{G_{\text{diag}}}$ - $A_{H,\theta}$ -bimodule morphisms are exactly the ones which commute with the projective  $G \times H$ -action, we define the functor to be the identity on morphisms, so that the functor is obviously fully faithful. It is also clear that the functor is essentially surjective.

# **A** Bicategories

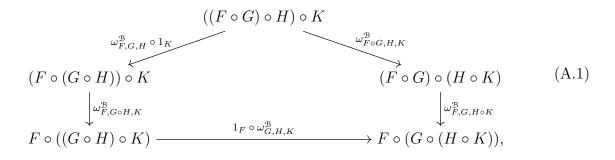
We recall the definitions of a bicategory, a 2-functor, a natural 2-transformation and a modification (see e.g. [Bén67]).

**Definition A.1** A bicategory B consists of the following data:

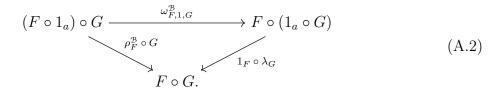
- i) A collection  $Obj(\mathcal{B})$  of objects.
- ii) For any pair of objects  $a, b \in \mathsf{Obj}(\mathcal{B})$  a category  $\mathcal{B}(a, b)$ . The objects of  $\mathcal{B}(a, b)$  are called 1-morphisms and are denoted by  $F, G: a \to b$ ; the morphisms are called 2-morphisms and are denoted by  $\eta: F \Rightarrow G$ . The composition of 2-morphisms in  $\mathcal{B}(a, b)$  is called vertical composition,
- iii) For any triple of objects a, b, c a functor  $\circ: \mathbb{B}(b, c) \times \mathbb{B}(a, b) \to \mathbb{B}(a, c)$ , called horizontal composition, and for any object b a functor  $I_b: I \to \mathbb{B}(b, b)$ , where I is the unit category, having one object and one morphism. The image of  $I_b$  on the object of I is denoted by  $1_b: b \to b$ .
- iv) For any triple of 1-morphisms  $F: c \to d$ ,  $G: b \to c$  and  $H: a \to b$ , an invertible 2-morphism  $\omega_{F,G,H}^{\mathcal{B}}: (F \circ G) \circ H \Rightarrow F \circ (G \circ H).$

v) for any 1-morphism  $F: a \to b$ , two invertible 2-morphisms  $\lambda_F^{\mathfrak{B}}: I_b \circ F \Rightarrow F$  and  $\rho_F^{\mathfrak{B}}: F \circ I_a \Rightarrow F$ .

These data are subject to the requirements that the 2-morphisms  $\omega_{H,G,F}^{\mathfrak{B}}$ ,  $\lambda_{F}^{\mathfrak{B}}$  and  $\rho_{F}^{\mathfrak{B}}$  are natural in their arguments and the diagrams



and



commute for all 1-morphisms for which the involved expressions are defined. A 2-category  $\mathcal{B}$  is a strict bicategory, i.e. a bicategory, in which all 2-morphisms  $\omega_{H,G,F}^{\mathcal{B}}$ ,  $\lambda_F^{\mathcal{B}}$  and  $\rho_F^{\mathcal{B}}$  are identities.

The notion of equivalence of categories can be formulated in a general bicategory as follows.

#### **Definition A.2** Let B be a bicategory.

- i) An equivalence between objects b and c in B is a tuple  $(F, G, \eta, \rho)$  consisting of 1-morphisms  $F: b \to c$  and  $G: c \to b$  and invertible 2-morphisms  $\eta: F \circ G \Rightarrow 1_c$  and  $\rho: G \circ F \Rightarrow 1_b$ .
- ii) Two objects b and c in  $\mathcal{B}$  are called equivalent if there exist an equivalence between them.
- iii) An equivalence  $(F, G, \eta, \rho)$  between objects b and c in  $\mathcal{B}$  is called an adjoint equivalence if  $\eta$  and  $\rho$  satisfy the snake identities in the categories  $\mathcal{B}(b, c)$  and  $\mathcal{B}(c, b)$ .

**Definition A.3** A 2-functor  $F : \mathcal{B} \to \mathcal{B}'$  between bicategories  $\mathcal{B}$  and  $\mathcal{B}'$  is given by the following data:

- i) A function  $F_0$ :  $Obj(\mathcal{B}) \to Obj(\mathcal{B}')$ .
- ii) For each pair of objects a, b of  $\mathcal{B}$ , a functor  $\mathsf{F}_{a,b} \colon \mathcal{B}_{a,b} \to \mathcal{B}'_{\mathsf{F}_0(a),\mathsf{F}_0(b)}$ .

- iii) For each triple of objects a, b, c of  $\mathcal{B}$ , a natural isomorphism  $\Phi_{abc}$ :  $\circ$  ( $\mathsf{F}_{b,c} \times \mathsf{F}_{a,b}$ )  $\rightarrow$  $\mathsf{F}_{a,c} \circ$ . These isomorphisms determine, for all 1-morphisms H:  $a \rightarrow b$  and G:  $b \rightarrow c$ , an invertible 2-morphism  $\Phi_{G,H}$ :  $\mathsf{F}_{b,c}(G) \circ \mathsf{F}_{a,b}(H) \rightarrow \mathsf{F}_{a,c}(G \circ H)$ .
- iv) For each object a, an invertible 2-morphism  $\Phi_a: 1_{\mathsf{F}_0(a)} \to \mathsf{F}_{a,a}(1_a)$ .

The function  $\mathsf{F}_0$ , the functors  $\mathsf{F}_{a,b}$  and the 2-morphisms  $\Phi_{G,H}$  and  $\Phi_a$  are required to satisfy the following consistency conditions, where for simplicity we write the diagrams for the case that  $\mathfrak{B}$  and  $\mathfrak{B}'$  are 2-categories:

v) For all 1-morphisms  $H: a \rightarrow b$  the diagram

$$\begin{aligned}
\mathsf{F}_{a,b}(H) &= \mathsf{F}_{a,b}(H) \circ 1_{F_{0}(a)} & \xrightarrow{1_{\mathsf{F}_{a,b}(H)} \circ \Phi_{a}} \mathsf{F}_{a,b}(H) \circ \mathsf{F}_{a,a}(1_{a}) \\
&= 1_{F_{0}(b)} \circ \mathsf{F}_{a,b}(H) & \xrightarrow{\mathsf{id}} & \downarrow^{\Phi_{H,1_{a}}} \\
& \Phi_{b} \circ 1_{\mathsf{F}_{a,b}(H)} \downarrow & \downarrow^{\Phi_{1_{b},H}} \mathsf{F}_{a,b}(H \circ 1_{a}) &= \mathsf{F}_{a,b}(1_{b} \circ H) = \mathsf{F}_{a,b}(H) \\
\end{aligned}$$
(A.3)

commutes.

vi) For all 1-morphisms  $H: a \rightarrow b, G: b \rightarrow c$  and  $K: c \rightarrow d$ , the diagram

$$\begin{aligned} \mathsf{F}_{c,d}(K) \circ \mathsf{F}_{b,c}(G) \circ \mathsf{F}_{a,b}(H) & \xrightarrow{\Phi_{K,G} \circ 1} \mathsf{F}_{b,d}(K \circ G) \circ \mathsf{F}_{a,b}(H) \\ & \downarrow^{1 \circ \Phi_{G,H}} & \downarrow^{\Phi_{K \circ G,H}} \\ \mathsf{F}_{c,d}(K) \circ \mathsf{F}_{a,c}(G \circ H) & \xrightarrow{\Phi_{K,G \circ H}} \mathsf{F}_{a,d}(K \circ G \circ H) \end{aligned}$$
(A.4)

commutes.

A 2-functor is said to have strict units if the 2-morphisms  $\Phi_a$  are all identities, and it is called strict if the 2-morphisms  $\Phi_{G,F}$  and  $\Phi_a$  are all identities, in which case one has

$$\mathsf{F}_{a,c}(G \circ H) = \mathsf{F}_{b,c}(G) \circ \mathsf{F}_{a,b}(H) \quad \text{and} \quad 1_{\mathsf{F}_0(a)} = \mathsf{F}_{a,a}(1_a). \tag{A.5}$$

The following notion of natural 2-transformation of 2-functors adopts the conventions of [Gur06] (it is sometimes also referred to as an 'oplax 2-transformation'):

- **Definition A.4** *i)* A natural 2-transformation  $\rho: \mathsf{F} \to \mathsf{G}$  from a 2-functor  $\mathsf{F}: \mathcal{B} \to \mathcal{B}'$  to a 2-functor  $\mathsf{G}: \mathcal{B} \to \mathcal{B}'$  is given by the following data:
  - (a) For all objects a of  $\mathcal{B}$ , a 1-morphism  $\rho_a \colon \mathsf{F}_0(a) \to \mathsf{G}_0(a)$ .

(b) For all pairs of objects a, b of  $\mathcal{B}$  a natural transformation

$$\rho_{a,b}: \quad (\rho_b \circ -)\mathsf{F}_{a,b} \to (-\circ \rho_a)\mathsf{G}_{a,b} \,, \tag{A.6}$$

where  $-\circ \rho_a$ :  $\mathcal{B}'_{\mathsf{G}_0(a),\mathsf{G}_0(b)} \to \mathcal{B}'_{\mathsf{F}_0(a),\mathsf{G}_0(b)}$  and  $\rho_b \circ -$ :  $\mathcal{B}'_{\mathsf{F}_0(a),\mathsf{F}_0(b)} \to \mathcal{B}'_{\mathsf{F}_0(a),\mathsf{G}_0(b)}$  denote the functors given by pre- and post-composition with  $\rho_a$  and  $\rho_b$ , respectively. These natural transformations determine for every 1-morphism  $H: a \to b$  a 2-morphism  $\rho_H: \rho_b \circ \mathsf{F}_{a,b}(H) \to \mathsf{G}_{a,b}(H) \circ \rho_a$ .

The 1-morphisms  $\rho_a$  and 2-morphisms  $\rho_H$  are required to satisfy the following consistency conditions (written in the case of 2-categories):

(a) For every pair of 1-morphisms  $H: a \rightarrow b$  and  $K: b \rightarrow c$  the diagram

$$\rho_{c} \circ \mathsf{F}_{b,c}(K) \circ \mathsf{F}_{a,b}(H) \xrightarrow{\rho_{K} \circ 1} \mathsf{G}_{b,c}(K) \circ \rho_{b} \circ \mathsf{F}_{a,b}(H)$$

$$\downarrow^{1 \circ \Phi_{K,H}} \qquad \qquad \downarrow^{1 \circ \rho_{H}}$$

$$\rho_{c} \circ \mathsf{F}_{a,c}(K \circ H) \qquad \mathsf{G}_{b,c}(K) \circ \mathsf{G}_{a,b}(H) \circ \rho_{a} \qquad (A.7)$$

$$\downarrow^{\rho_{K \circ H}} \underbrace{\Psi_{K,H} \circ 1}$$

$$\mathsf{G}_{a,c}(K \circ H) \circ \rho_{a}.$$

commutes.

(b) For every object a of  $\mathcal{B}$  the diagram

1

$$\mathsf{G}_{0(a)} \circ \rho_{a} = \rho_{a} = \rho_{a} \circ 1_{\mathsf{F}_{0}(a)}$$

$$\downarrow^{1 \circ \Phi_{a}} \xrightarrow{\Psi_{a} \circ 1} \qquad (A.8)$$

$$\rho_{a} \circ \mathsf{F}_{a,a}(1_{a}) \xrightarrow{\rho_{1_{a}}} \mathsf{G}_{a,a}(1_{a}) \circ \rho_{a}$$

commutes.

- *ii)* A pseudo-natural transformation  $\rho: \mathsf{F} \to \mathsf{G}$  of 2-functors  $\mathsf{F}, \mathsf{G}: \mathcal{B} \to \mathcal{B}'$  is a natural 2-transformation of 2-functors in which all 2-morphisms  $\rho_H: \rho_a \circ \mathsf{F}_{a,b}(H) \to \mathsf{G}_{a,b}(H) \circ \rho_a$  are isomorphisms.
- iii) A pseudo-natural transformation  $\rho$  is called an equivalence if all the 1-morphisms  $\rho_a$ are equivalences in the bicategory  $\mathcal{B}'$  (see Definition A.2 i)).

**Definition A.5** Let  $\rho = (\rho_a, \rho_{a,b})$ :  $\mathsf{F} \to \mathsf{G}$  and  $\tau = (\tau_a, \tau_{a,b})$ :  $\mathsf{F} \to \mathsf{G}$  be natural 2-transformations between 2-functors  $\mathsf{F} = (\mathsf{F}_0, \mathsf{F}_{a,b}, \Phi_{H,K}, \Phi_a)$ ,  $\mathsf{G} = (\mathsf{G}_0, \mathsf{G}_{a,b}, \Psi_{H,K}, \Psi_a)$ :  $\mathcal{B} \to \mathcal{B}'$ . A modification  $\Psi: \rho \Rightarrow \tau$  is a collection of 2-morphisms  $\Psi_a: \rho_a \Rightarrow \tau_a$  for every object a of G such that for all 1-morphisms  $H: a \to b$  the equality

$$\tau_H \cdot (\Psi_a \circ 1_{\mathsf{F}_{a,b}(H)}) = (1_{\mathsf{G}_{a,b}(H)} \circ \Psi_b) \cdot \rho_H \tag{A.9}$$

holds. A modification is called invertible if all 2-morphisms  $\Psi_a$  are invertible.

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