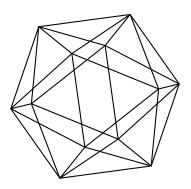
## Max-Planck-Institut für Mathematik Bonn

Reidemeister classes and twisted inner representations

by

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#### REIDEMEISTER CLASSES AND TWISTED INNER REPRESENTATIONS

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ABSTRACT. As it is known from the previous research, the study of the structure and counting of Reidemeister classes (twisted conjugacy classes) of an automorphism  $\phi: G \to G$ , i.e. classes  $x \sim gx\phi(g^{-1})$ , is closely related to the study the twisted inner representation of a discrete group G, i.e. a representation on  $\ell^2(G)$  corresponding to the action  $g \mapsto xg\phi(x^{-1})$   $(x, g \in G)$  of G on itself. In the present paper we study twisted inner representations from a more general point of view, but the questions under consideration are still close to the important relations to Reidemeister classes.

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#### 1. INTRODUCTION

Let  $\varphi : G \to G$  be an automorphism of a (discrete) group G. The *Reidemeister number*  $R(\phi)$  is the number of *Reidemeister classes*, i.e. the equivalence classes of the following relation

$$g \sim xg\phi(x^{-1}), \qquad g, x \in G.$$

This field was extensively developed recently [7, 10, 11, 9, 30, 13, 23, 8, 12, 16, 28, 17] and obtained numerous interesting applications not only in Topology, Dynamics and Group Theory, but also in the Non-commutative geometry [4] and even in the public key cryptography [25, 26].

The inner representation  $\gamma_G$  of a group G (we consider in the present paper only discrete groups and only unitary representations) was a subject of an intensive study in many papers. It is defined as

$$[\gamma_G(x)](f)(g) = f(xgx^{-1}), \quad f \in \ell^2(G), \ x, g \in G.$$

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The most developed directions are related to spectral comparison with regular representation (see [20, 21, 22, 19, 15]) and to the study of inner amenability, i.e. the property  $1_G \prec \gamma_G$  (see [1, 5, 18, 29]).

The present paper is aimed to start the study and advertise the field related to a modification of the notion of the inner representation, namely, the *twisted inner representation*  $\gamma_G^{\phi}$  defined by

$$\gamma^{\phi}_G(x)(f)(g) = f(xg\phi(x^{-1})), \quad x, g \in G, \quad f \in \ell^2(G).$$

This notion and its elementary properties were used in [14] for the counting of Reidemeister classes of an automorphism  $\phi$  (considered as orbits of the corresponding twisted action of G on itself).

In the present paper we study twisted inner representations from a more general point of view, but the questions under consideration are still close to the important relations to Reidemeister numbers discussed in the above cited papers.

After presenting preliminary results and giving definitions and known results in Sect. 2, we discuss in Sect. 3 some weak containments of representations under consideration. In particular, we prove under supposition of finiteness of stabilizers of  $\phi$ -twisted action, that  $\gamma_G^{\phi}$  is weakly contained in the regular representation  $\lambda_G$ . In Sect. 4 we obtain a more strong version of this statement:  $\gamma_G^{\phi} \prec \lambda_G$  if and only if the mentioned stabilizer  $C_{\phi}(a)$  is amenable for all  $a \in G$ . In Sect. 5 it is proved that  $\lambda_G \prec \gamma_G^{\phi}$  for any ICC group G. In Sect. 6 we consider an automorphism  $\phi$  of a finitely generated residually finite group G with finite Reidemeister number. Then G is  $\phi$ -inner amenable in an appropriate sense if and only if it is amenable. This differs from the case of inner amenability (i.e. Id-inner amenability).

The results of Sect. 4 and 5 are obtained by N.L., of Sect. 6 — by E.T. and of Sect. 3 — by A.F. and E.T. jointly.

#### 2. Preliminaries

Denote the stabilizers related to the twisted action of G on itself by

$$St_{\phi}^{tw}(g,h) := \{k \in G \mid kg\phi(k^{-1}) = h\}, \qquad St_{\phi}^{tw}(g) := St_{\phi}^{tw}(g,g)$$

In particular,

$$St_{\phi}^{tw}(e) = \{k \in G \mid k\phi(k^{-1}) = e\} = C_G(\phi)$$

(fixed elements of  $\phi$ ). Evidently,  $St^{tw}_{\phi}(g,h) = \emptyset$  if  $h \notin \{g\}_{\phi}$ . Otherwise

$$St_{\phi}^{tw}(g, sg\phi(s^{-1})) = \{k \in G \mid kg\phi(k^{-1}) = sg\phi(s^{-1})\} = \{k \in G \mid s^{-1}kg\phi(k^{-1}s) = g\},\$$

i.e.  $St_{\phi}^{tw}(g, sg\phi(s^{-1})) = s \cdot St_{\phi}^{tw}(g)$  is a coset of this group. Thus

(1) 
$$|St_{\phi}^{tw}(g, sg\phi(s^{-1}))| = |St_{\phi}^{tw}(g)|.$$

**Definition 2.1.** A group G is called *residually finite* if for any finite set  $K \subset G$ ,  $e \notin K$ , there exists a normal group H of finite index such that  $H \cap K = \emptyset$ . Taking K formed by  $g_i^{-1}g_j$  for some finite set  $K_0 = \{g_1, \ldots, g_s\}$  one obtains an epimorphism  $G \to G/H$  onto a finite group, which is injective on  $K_0$ .

We will remind now some facts from Representation Theory and Harmonic Analysis (see [3] and [2] for an effective introduction). (Left) regular representation  $\lambda_G$  is the unitary representation of G on  $\ell^2(G)$  by left translations. The completion  $C^*_{\lambda}(G)$  of  $\ell^1(G)$  by the norm of  $B(\ell^2(G)$  is called reduced group  $C^*$ -algebra of G. The completion  $C^*(G)$  of  $\ell^1(G)$  by the norm of all unitary representations is called *(full) group C^\*-algebra* of G. The algebra  $C^*_{\lambda}(G)$  is a quotient of  $C^*(G)$ .

Non-degenerate representations of  $C^*(G)$  are exactly unitary representations of G, in particular,  $\widehat{C^*(G)} = \widehat{G}$ . For a representation  $\rho$  of G we denote by  $C^*\rho$  the corresponding representation of  $C^*(G)$ , and by  $C^* \operatorname{Ker} \rho$  the kernel of  $C^*\rho$ . One introduces on  $\widehat{G}$  the Jacobson-Fell or hull-kernel topology defining the closure of a set X by the following formula

$$\overline{X} = \{ [\rho] : C^* \mathrm{Ker} \rho \supseteq \bigcup_{[\pi] \in X} C^* \mathrm{Ker} \pi \}.$$

This topology can be described in terms of *weak containment*: a representation  $\rho$  is weakly contained in representation  $\pi$  (we write  $\rho \prec \pi$ ) if diagonal matrix coefficients of  $\rho$  can be approximated by linear combinations of diagonal matrix coefficients of  $\pi$  uniformly on finite sets. Here a *matrix coefficient* of a representation  $\rho$  on a Hilbert space H is the function  $g \mapsto \langle \rho(g)\xi, \eta \rangle$  on G for some fixed  $\xi, \eta \in H$ , and a *diagonal* one corresponds to  $\xi = \eta$ . Then  $C^* \operatorname{Ker} \pi \subset C^* \operatorname{Ker} \rho$  if and only if  $\rho \prec \pi$ . Since

(2) 
$$C^* \operatorname{Ker}(\rho_1 \oplus \cdots \oplus \rho_m) = \bigcap_{i=1}^m C^* \operatorname{Ker} \rho_i,$$
$$\rho_1 \oplus \cdots \oplus \rho_m \prec \pi \text{ if } \rho_i \prec \pi, \quad i = 1, \dots, m.$$

**Lemma 2.2** (e.g. [2, Lemma F.1.3]). Let  $(\pi, \mathcal{H})$  and  $(\rho, \mathcal{K})$  be unitary representations of a topological group G. Let V be a subset of  $\mathcal{H}$  such that  $\{\pi(x)\chi \mid x \in G, \chi \in V\}$  is total in  $\mathcal{H}$ . The following are equivalent:

- $\pi \prec \rho$ ;
- every function of positive type of the form  $\langle \pi(.)\chi,\chi\rangle$  with  $\chi \in V$  can be approximated, uniformly on compact subsets of G, by finite sums of functions of positive type associated with  $\rho$ .

A particular case is:

**Lemma 2.3.** Suppose  $\chi$  is a cyclic vector for  $\pi$ . Then  $\pi$  is weakly contained in  $\rho$  if and only if the function  $g \mapsto \langle \pi(g)\chi, \chi \rangle$  can be approximated, uniformly on compact subsets of G, by finite sums of functions of positive type associated with  $\rho$ .

An *amenable group* may be characterized in several equivalent ways (see e.g. [2]), in particular:

- There exists an invariant mean on  $\ell^{\infty}(G)$ .
- $1_G \prec \lambda_G$ , where  $1_G$  is the trivial 1-dimensional representation.
- $C^*(G) = C^*_{\lambda}(G).$

We will need the notion of *induced representation* for a representation  $\sigma$  of a subgroup K of a discrete group G on a Hilbert space  $\mathcal{H}_{\sigma}$ . Consider the vector space V formed by functions  $\chi: G \to \mathcal{H}_{\sigma}$  such that

- (1) the support of  $\chi$  is contained in a finite union of left cosets of G by K;
- (2)  $\chi(xk) = \sigma(k^{-1}) \chi(x)$  for any  $x \in G$  and  $k \in K$ .

Then the induced representation  $\operatorname{ind}_{K}^{G} \sigma$  of G on V is defined by

$$\left(\operatorname{ind}_{K}^{G}\sigma(g)\chi\right)(x) = \chi(g^{-1}x).$$

More detail on the notion can be found e.g. in [2].

**Example 2.4.** If  $K = \{e\}$  and  $\sigma = 1_K$  is the trivial 1-dimensional representation, then  $\operatorname{ind}_K^G \sigma$  is the left regular representations  $\lambda_G$ .

**Lemma 2.5** (see, e.g. [2]). Let  $K \subset H \subset G$  be subgroups.

- (i) Suppose,  $\sigma$  is a representation of K. Then the representations  $\operatorname{ind}_{H}^{G}(\operatorname{ind}_{K}^{H}\sigma)$  and  $\operatorname{ind}_{K}^{G}\sigma$  are equivalent.
- (ii) Let  $\pi \prec \rho$  be representations of G. Then  $\pi|_H \prec \rho|_H$ .
- (iii) Let  $\sigma \prec \tau$  be representations of H. Then  $\operatorname{ind}_H^G \sigma \prec \operatorname{ind}_H^G \tau$ .
- (iv) Let  $\sigma$  be a representation of H. Then  $\sigma \prec (\operatorname{ind}_H^G \sigma)|_H$ .
- (v)  $\lambda_G|_H \prec \lambda_H$ .

We will need the following class of groups:

**Definition 2.6.** A discrete group G is called an *ICC-group* (infinite conjugacy classes) if each its conjugacy class (except of  $\{e\}$ ) is infinite.

A group is ICC if and only if its regular representation is factorial [24]. Also we will need the following well known statement by B.Neumann [27]:

Lemma 2.7. Suppose, G has a finite coset cover

$$G = \bigcup_{i=1}^{n} g_i S_i,$$

where  $S_1, \ldots, S_n$  are some subgroups of G, not necessarily distinct. Then at least one of these subgroups has finite index in G.

3. Twisted inner representation

Our argument here partially follows [20].

**Definition 3.1.** Denote by  $\gamma_G^{\phi}$  the twisted inner representation of G on  $\ell^2(G)$ , i.e.

 $\gamma^\phi_G(x)(f)(g)=f(xg\phi(x^{-1})),\quad x,g\in G,\quad f\in\ell^2(G).$ 

Denote  $C_{\phi}(a) := St_{\phi}^{tw}(a), a \in G$ . Evidently,  $\gamma_{G}^{\phi}$  decomposes into a direct sum of representations  $\gamma_{a}^{\phi}$  being restrictions of  $\gamma_{G}^{\phi}$  onto  $\{a\}_{\phi}$  (i.e. on  $\ell^{2}(\{a\}_{\phi})$ ).

**Lemma 3.2.** The representation  $\gamma_a^{\phi}$  is equivalent to the induced representation  $\operatorname{ind}_{C_{\phi}(a)}^G \mathbb{1}_{C_{\phi}(a)}$ .

Proof. Indeed, this induced representation T can be realized on  $\ell^2(C_{\phi}(a) \setminus G)$  by the following action  $[T(g)(f)](x) = f(xg), x \in C_{\phi}(a) \setminus G, g \in G$ , where  $C_{\phi}(a) \setminus G$  is the space of left cosets by  $C_{\phi}(a)$ . Let us identify  $C_{\phi}(a) \setminus G$  with  $\{a\}_{\phi}$  by  $i(C_{\phi}(a) \cdot g) = \gamma_{G}^{\phi}(g)(a)$ . Evidently, this map is well defined and gives a unitary isomorphism

$$I: \ell^2(\{a\}_\phi) \to \ell^2(C_\phi(a) \setminus G), \quad I(f)(x) := f(i(x)).$$

Then

$$[I \circ \gamma_G^{\phi}(g)(f)](x) = [\gamma_G^{\phi}(g)(f)](i(x)) = f(gha\phi((gh)^{-1})), \quad x = C_{\phi}(a) \cdot h,$$
  
$$T(g) \circ I(f)](x) = I(f)(xg) = f(i(xg)) = f(\gamma_G^{\phi}(hg)(a)) = f(gha\phi((gh)^{-1})).$$

Thus, I is an intertwining unitary.

**Theorem 3.3.** Suppose,  $|C_{\phi}(a)| < \infty$ , for any  $a \in G$ . Then  $\gamma_G^{\phi}$  is weakly contained in the regular representation  $\lambda_G$ .

Proof. The characteristic functions  $\chi_{C_{\phi}(a)}$ ,  $a \in G$ , are positively definite functions associated to  $\lambda_G$ , because they are finite sums of translations of  $\delta_e$ . Hence,  $\operatorname{ind}_{C_{\phi}(a)}^G \mathbb{1}_{C_{\phi}(a)} \prec \lambda_G$  (cf. [2, E.4.4]). By Lemma 3.2 and the decomposition of  $\gamma_G^{\phi}$  we obtain  $\gamma_G^{\phi} \prec \lambda_G$ .

This situation naturally arises in the context of the counting of Reidemeister numbers, i.e. the number of Reidemeister classes, i.e. classes  $x \sim gx\phi(g^{-1})$ . Namely, in [14] the following statement is proved:

**Proposition 3.4.** Suppose, G is a finitely generated residually finite group and  $\phi$  is its automorphism with  $R(\phi) < \infty$ . Then  $|C_{\phi}(a)|$  is uniformly bounded.

Sketch of the proof. First of all, one can prove (by [17]) the following estimation for an automorphism of a finite group G:

$$|C_G(\phi)| \leq R(\phi)^{R(\phi)-1} < 2^{R(\phi)^2}.$$

Hence, for any automorphism  $\psi$  of a finite group G

(3) 
$$\sqrt{\log_2 |C_G(\psi)|} \leqslant R_G(\psi).$$

Let  $\{x_1, x_2, \dots\} = C_{\Gamma}(\phi)$ . Then for every *n* we can find a characteristic subgroup  $\Gamma_n$  of finite index in  $\Gamma$  such that the quotient map  $p_n : \Gamma \to \Gamma/\Gamma_n =: G_n$  is injective on  $\{x_1, \dots, x_n\}$ . Let  $\phi_n : G_n \to G_n$  be the induced automorphism. Then  $\{p_n(x_1), \dots, p_n(x_n)\} \subset C_{G_n}(\phi_n)$ , hence (3) implies

$$R_{\Gamma}(\phi) \ge R_{G_n}(\phi_n) \ge \sqrt{\log_2 |C_{G_n}(\phi_n)|} \ge \sqrt{\log_2 n}.$$

Since *n* was arbitrary, we are done with  $C_G(\phi)$ . As it is explained above,  $C_{\phi}(a) = C_G(\tau_a \circ \phi)$ , where  $\tau_a$  is the inner automorphism defined by *a* and  $\phi$  and  $\tau_a \circ \phi$  have the same Reidemeister numbers.

#### 4. Amenability and weak containment

Now we pass to a generalization of the above result on weak containment.

**Theorem 4.1.** For any discrete group G the following properties are equivalent

(i)  $\gamma_G^{\phi} \prec \lambda_G$ ; (ii)  $C_{\phi}(a)$  is amenable for all  $a \in G$ .

*Proof.* (i) $\Rightarrow$ (ii): From Lemma 3.2 we obtain

$$\operatorname{ind}_{C_{\phi}(a)}^{G} 1_{C_{\phi}(a)} \prec \lambda_{G}$$

By Lemma 2.5

$$1_{C_{\phi}(a)} \prec (\operatorname{ind}_{C_{\phi}(a)}^{G} 1_{C_{\phi}(a)})|_{C_{\phi}(a)} \prec \lambda_{G}|_{C_{\phi}(a)} \prec \lambda_{C_{\phi}(a)}$$

Thus,  $C_{\phi}(a)$  is amenable.

 $(ii) \Rightarrow (i)$ : One has

$$1_{C_{\phi}(a)} \prec \lambda_{C_{\phi}(a)}.$$

By Lemma 2.5 and Example 2.4

$$\operatorname{ind}_{C_{\phi}(a)}^{G} 1_{C_{\phi}(a)} \prec \operatorname{ind}_{C_{\phi}(a)}^{G} \left( \operatorname{ind}_{\{e\}}^{C_{\phi}(a)} 1_{\{e\}} \right)$$

Thus, by Lemmas 2.5 and 3.2 one has

$$\gamma_{a}^{\phi} \cong \operatorname{ind}_{C_{\phi}(a)}^{G} 1_{C_{\phi}(a)} \prec \operatorname{ind}_{C_{\phi}(a)}^{G} \left( \operatorname{ind}_{\{e\}}^{C_{\phi}(a)} 1_{\{e\}} \right) \cong \operatorname{ind}_{\{e\}}^{G} 1_{\{e\}} \cong \lambda_{G}.$$

Finally,  $\sum \gamma_a^{\phi} = \gamma_G^{\phi}$  implies  $\gamma_G^{\phi} \prec \lambda_G$ .

The place of the following result is more understandable, if we consider the center as the intersection of stabilizers of the inner action.

**Theorem 4.2.** Suppose,  $\lambda_G \prec \gamma_G^{\phi}$ . Then the center Z(G) has a trivial intersection with the fixed point subgroup  $C_G(\phi)$ , i.e.  $Z(G) \cap C_G(\phi) = \{e\}$ .

Proof. Suppose, there exists  $h \in G$ ,  $h \neq e$ ,  $h \in Z(G) \cap C_G(\phi)$ . We will show that on the finite set  $F := \{e, h\}$  the positive definite function  $\chi_e$  associated with  $\lambda_G$  can not be approximated by sums of positive definite functions associated with  $\gamma_G^{\phi}$ . Indeed, suppose  $f \in \ell^2(G)$  and  $\psi$ is a positive definite function associated with  $\gamma_G^{\phi}$  and f. Since  $h \in Z(G) \cap C_G(\phi)$ , one has

$$\begin{split} \psi(h) &= \langle \gamma_G^{\phi}(h)f, f \rangle = \sum_{x \in G} f(hx\phi(h^{-1}))\overline{f(x)} \\ &= \sum_{x \in G} f(x)\overline{f(x)} = \langle f, f \rangle = \langle \gamma_G^{\phi}(e)f, f \rangle = \psi(e). \end{split}$$

Thus, all positive definite functions associated with  $\gamma_G^{\phi}$ , have the same values at e and h. Thus,  $\chi_e$  can not be approximated on F. Hence,  $\lambda_G \not\prec \gamma_G^{\phi}$ . A contradiction.

#### 5. The case of ICC groups

ICC groups play an important role both in the theory of inner representations and in the theory of Reidemeister classes. For them we can obtain the "inverse" weak containment.

**Theorem 5.1.** Let G be an ICC group. Then  $\lambda_G \prec \gamma_G^{\phi}$ .

*Proof.* The delta function of unity  $\delta_e$  is a cyclic vector for  $\lambda_G$  while  $\chi_e$  is a positive definite function associated with  $\lambda_G$  and  $\delta_e$ . By Lemma 2.3 it is sufficient to show that for any finite subset  $F \subset G$  there exists an element  $a \in G$  such that

$$\chi_{C_{\phi}(a)}|_F = \chi_e|_F.$$

Equivalently, for any finite subset  $F \subset G$ , such that  $e \notin F$ , there exists an element  $a \in G$  such that

$$C_{\phi}(a) \cap F = \emptyset.$$

Suppose the opposite: a finite set  $F = \{f_1, \ldots, f_n\}$   $(f_i \neq e, i = 1, \ldots, n)$  has a non-empty intersection with each  $C_{\phi}(a)$ . Thus, for any  $a \in G$  there exists i(a) such that

$$f_{i(a)}a\phi(f_{i(a)}^{-1}) = a,$$

or

$$a^{-1}f_{i(a)}a = \phi(f_{i(a)}).$$

Thus, any element of G belongs to one of relative stabilizers of the inner action of G on itself:

$$a \in St(f_i, \phi(f_i)) := \{g \in G \mid g^{-1}f_ig = \phi(f_i)\} = g_iSt(f_i, f_i),$$

where  $g_i$  is an element of G, and  $St(f_i, f_i)$  is a subgroup (see identities before formula (1) in the case  $\phi = \text{Id}$ ). Hence,

$$G = \bigcup_{i=1}^{n} g_i St(f_i).$$

By Lemma 2.7 one of  $St(f_i, f_i)$  has finite index in G. Thus, the orbit of this  $f_i$  under the inner action is finite. I.e. its conjugacy class is finite, while  $f_i \neq e$ . A contradiction with ICC.

#### 6. $\phi$ -inner Amenability

We say that G is  $\phi$ -inner amenable, if  $1_G \prec \gamma_G^{\phi}$ .

The relation of this notion to the usual amenability differs drastically from that of inner amenability, as the following statement shows.

**Theorem 6.1.** Let G be a finitely generated residually finite group. Suppose,  $R(\phi) < \infty$  for some automorphism  $\phi : G \to G$ . Then G is amenable if and only if it is  $\phi$ -inner amenable.

*Proof.* Suppose, G is  $\phi$ -inner amenable. Thus, by Theorem 3.3 and Proposition 3.4

$$1_G \prec \gamma_G^{\phi} \prec \lambda_G.$$

For the converse we need a slightly more subtle argument. First of all, by Lemma 3.2

$$\gamma_a^{\phi} \cong \operatorname{ind}_{C_{\phi}(a)}^G \mathbb{1}_{C_{\phi}(a)} \cong \lambda_{G/C_{\phi}(a)},$$

where the last representation is the quasi-regular one (action on cosets and respectively, on  $\ell^2(G/C_{\phi}(a))$ ). The last isomorphism is well known (cf. e.g. [2]) and in fact is a part of the proof of Lemma 3.2.

By [6, 1°, b), p.16], since  $C_{\phi}(a)$  is finite, in particular, amenable, the amenability of G implies amenability of  $G/C_{\phi}(a)$ , i.e.  $1_G \prec \lambda_{G/C_{\phi}(a)}$ . Since  $\gamma_G^{\phi}$  is a direct sum of a finite number of such representations, one has  $1_G \prec \gamma_G^{\phi}$ .

**Remark 6.2.** In fact, we use only amenability of  $C_{\phi}(a)$ , not just the finiteness. Thus, one can obtain from the results of Sections 4 and 5 some generalizations of the above theorem.

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