

# Infinitesimal Torelli theorem for surfaces of general type with certain invariants

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## Abstract

We prove the infinitesimal Torelli theorem for general minimal complex surfaces  $X$ 's with the first Chern number 3, geometric genus 1, and irregularity 0 which have non-trivial 3-torsion divisors. We also show that the coarse moduli space for surfaces with the invariants as above is a 14-dimensional unirational variety.

## 1 Introduction

In the present paper, we will prove the infinitesimal Torelli theorem for general minimal complex surfaces  $X$ 's with  $c_1^2 = 3$ ,  $\chi(\mathcal{O}) = 2$ , and  $\text{Tors}(X) \simeq \mathbb{Z}/3$ , where  $c_1$ ,  $\chi(\mathcal{O})$ , and  $\text{Tors}(X)$  are the first Chern class, the Euler characteristic of the structure sheaf, and the torsion part of the Picard group of  $X$ , respectively. We will also show that all surfaces with the invariants as above are deformation equivalent to each other, and that their coarse moduli space  $\mathcal{M}$  is a 14-dimensional unirational variety. Note, here, that the condition  $\text{Tors}(X) \simeq \mathbb{Z}/3$  is a topological one; minimal surfaces with  $c_1^2 = 3$  and  $\chi(\mathcal{O}) = 2$  have the geometric genus  $p_g = 1$  and the irregularity  $q = 0$ , hence the torsion group  $\text{Tors}(X)$  isomorphic to the first homology group  $H_1(X, \mathbb{Z})$ .

As is well known today, Torelli type theorems do not necessarily hold for surfaces. One of the most famous counter examples is surfaces of general type with  $p_g = q = 0$ . Although Torelli type theorems have been proved for many classes of surfaces, finding what conditions we should impose still remains as a problem. So it makes sense to study period maps for concrete classes of surfaces.

Let us recall some results on period maps for surfaces of general type with  $p_g = 1$  and  $q = 0$ . In [1], Catanese proved the infinitesimal Torelli

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theorem for general minimal surfaces with  $c_1^2 = 1$ ,  $p_g = 1$ , and  $q = 0$ , while in [2] that the global period mapping has degree at least 2. He first showed that any such surface is essentially a weighted complete intersection of type (6, 6) in the weighted projective space  $\mathbb{P}(1, 2, 2, 3, 3)$ , and used this complete description to study the period map for these surfaces. Meanwhile for the case  $c_1^2 = 2$ ,  $p_g = 1$ , and  $q = 0$ , the torsion group is either 0 or  $\mathbb{Z}/2$ . Using a complete description for the case of  $\mathbb{Z}/2$  by Catanese and Debarre [3], Oliverio studied in [8] the infinitesimal period maps for the case of non-trivial 2-torsion divisors by the same method as in [1].

Consider the case  $c_1^2 = 3$ . In this case, the order  $\#\text{Tors}(X)$  is at most 3 by a result in [6]. Moreover, in [7], the author showed that any surface  $X$  of this class with  $\text{Tors}(X) \simeq \mathbb{Z}/3$  is essentially a quotient of a (3, 3)-complete intersection in  $\mathbb{P}^4$  by a certain free action by  $\mathbb{Z}/3$ . Using this complete description, we will show in the present paper the infinitesimal Torelli theorem for general  $X$ 's by an argument similar to those in [1] and [8]. Here, general  $X$  means any surface corresponding to a point in a certain Zariski open subset of the coarse moduli space  $\mathcal{M}$ .

In Section 2, we state our main theorems of the present paper and recall our previous results given in [7]. In Section 3, we show the unirationality of the coarse moduli space  $\mathcal{M}$ . Finally in Section 4, we prove the infinitesimal Torelli theorem for our surfaces  $X$ 's. Throughout this paper, we work over the complex number field  $\mathbb{C}$ .

#### NOTATION

Let  $S$  be a compact complex manifold of dimension 2. We denote by  $p_g(S)$ ,  $q(S)$ , and  $K_S$ , the geometric genus, the irregularity and a canonical divisor of  $S$ , respectively. We denote by  $\text{Tors}(S)$  the torsion part of the Picard group, and call it the torsion group of  $S$ . For a coherent sheaf  $\mathcal{F}$  on  $S$ , we denote by  $h^i(\mathcal{F})$  the dimension of the  $i$ -th cohomology group  $H^i(S, \mathcal{F})$ . The sheaf  $\mathcal{O}_S$ ,  $\Omega_S^p$ , and  $\Theta_S$  are the structure sheaf, the sheaf of germs of holomorphic  $p$ -forms, and that of germs of holomorphic vector fields on  $S$ , respectively. As usual,  $\mathbb{P}^n$  is the projective space of dimension  $n$ . We denote by  $\varepsilon = \exp(2\pi\sqrt{-1}/3)$  a third root of unity.

## 2 Statement of main results

In a previous paper [7], the author gave a complete description for minimal algebraic surfaces  $X$ 's with  $c_1^2 = 3$ ,  $\chi(\mathcal{O}) = 2$ , and  $\text{Tors}(X) \simeq \mathbb{Z}/3$ , where  $c_1$ ,  $\chi(\mathcal{O})$ , and  $\text{Tors}(X)$  are the first Chern class, the Euler characteristic of the structure sheaf, and the torsion part of the Picard group of  $X$ , respectively.

In the present paper, we will prove the following two theorems:

**Theorem 1.** *All minimal algebraic surfaces  $X$ 's with  $c_1^2 = 3$ ,  $\chi(\mathcal{O}) = 2$ , and  $\text{Tors}(X) \simeq \mathbb{Z}/3$  are deformation equivalent to each other. Their coarse moduli space  $\mathcal{M}$  is a 14-dimensional unirational variety.*

**Theorem 2.** *Let  $X$  be any general surface as in Theorem 1. Then the infinitesimal period map  $\mu : H^1(\Theta_X) \rightarrow \text{Hom}(H^0(\Omega_X^2), H^1(\Omega_X^1))$  is injective.*

*Remark 1.* The surfaces  $X$ 's as in Theorem 1 have the geometric genus  $p_g = 1$  and the irregularity  $q = 0$ . We refer the readers to [4] for the existence of the coarse moduli space  $\mathcal{M}$ . See also [5] for the infinitesimal period map.

In order to give proofs for the theorems above, let us first recall the main results given in [7]. See [7] for proofs of the following two theorems:

**Theorem 3 ([7]).** *Let  $X$  be a minimal algebraic surface with  $c_1^2 = 3$ ,  $\chi(\mathcal{O}) = 2$ , and  $\mathbb{Z}/3 \subset \text{Tors}(X)$ . Let  $\pi : Y \rightarrow X$  be the unramified Galois triple cover corresponding to a non-trivial 3-torsion divisor. Then both the fundamental group  $\pi_1(X)$  and the torsion group  $\text{Tors}(X)$  are isomorphic to the cyclic group  $\mathbb{Z}/3$ . Further, the canonical model  $Z$  of  $Y$  is a complete intersection in the 4-dimensional projective space  $\mathbb{P}^4$  defined by two homogeneous polynomials  $\tilde{F}_1$  and  $\tilde{F}_2$  of degree 3 satisfying*

$$\tilde{F}_i(W_0, \varepsilon X_1, \varepsilon X_2, \varepsilon^{-1} Y_3, \varepsilon^{-1} Y_4) = \tilde{F}_i(W_0, X_1, X_2, Y_3, Y_4) \quad (i = 1, 2).$$

Here,  $(W_0, X_1, \dots, Y_4)$  is a homogeneous coordinate of  $\mathbb{P}^4$ , and the constant  $\varepsilon = \exp(2\pi\sqrt{-1}/3)$  is a third root of unity.

**Theorem 4 ([7]).** *Let  $X$  be a surface as in Theorem 3. If  $X$  has an ample canonical divisor  $K_X$ , then  $h^1(\Theta_X) = 14$  and  $h^2(\Theta_X) = 0$ , hence the Kuranishi space of  $X$  is smooth and of dimension 14.*

*Remark 2.* Explicit forms of the two polynomials in Theorem 3 are given by

$$\tilde{F}_i = a_0^{(i)} W_0^3 + W_0 \tilde{\gamma}_i(X_1, X_2, Y_3, Y_4) + \tilde{\alpha}_i(X_1, X_2) + \tilde{\beta}_i(Y_3, Y_4) \quad (1)$$

for  $i = 1, 2$ , where

$$\begin{aligned} \tilde{\gamma}_i &= a_1^{(i)} X_1 Y_3 + a_2^{(i)} X_1 Y_4 + a_3^{(i)} X_2 Y_3 + a_4^{(i)} X_2 Y_4, \\ \tilde{\alpha}_i &= a_5^{(i)} X_1^3 + a_6^{(i)} X_1^2 X_2 + a_7^{(i)} X_1 X_2^2 + a_8^{(i)} X_2^3, \\ \tilde{\beta}_i &= a_9^{(i)} Y_3^3 + a_{10}^{(i)} Y_3^2 Y_4 + a_{11}^{(i)} Y_3 Y_4^2 + a_{12}^{(i)} Y_4^3, \end{aligned}$$

are homogeneous polynomials of  $X_1, \dots, Y_4$  with coefficients  $a_j^{(i)} \in \mathbb{C}$ .

*Remark 3.* The complete intersection  $Z$  is the image of the canonical map  $\Phi_{K_Y} : Y \rightarrow \mathbb{P}^4$ . We have a natural action on  $Z$  by the Galois group  $G = \text{Gal}(Y/X) \simeq \mathbb{Z}/3$  of  $Y$  over  $X$ . This action is given by

$$\tau_0 : (W_0 : X_1 : X_2 : Y_3 : Y_4) \mapsto (W_0 : \varepsilon X_1 : \varepsilon X_2 : \varepsilon^{-1} Y_3 : \varepsilon^{-1} Y_4), \quad (2)$$

where  $\tau_0$  is a generator of the group  $G$ . Since this action on  $Z$  has no fixed points, the coefficients  $a_j^{(i)}$ 's satisfy the following three conditions:

- i) at least one out of  $a_0^{(1)}$  and  $a_0^{(2)}$  are not equal to zero,
- ii) two polynomials  $\tilde{\alpha}_1$  and  $\tilde{\alpha}_2$  have no common zeroes on  $\mathbb{P}^1 = \{(X_1 : X_2)\}$ ,
- iii) two polynomials  $\tilde{\beta}_1$  and  $\tilde{\beta}_2$  have no common zeroes on  $\mathbb{P}^1 = \{(Y_3 : Y_4)\}$ .

For each integer  $n \geq 0$ , we have a natural isomorphism

$$H^0(\mathcal{O}_Y(nK_Y)) \simeq \bigoplus_{m=0,1,-1} H^0(\mathcal{O}_X(nK_X - mT_0)) \quad (3)$$

corresponding to the action by  $G$ , where  $T_0$  is a generator of the torsion group  $\text{Tors}(X)$ . Note that this is a decomposition into homogeneous eigen spaces, and that, in Theorem 3, the sets  $\{W_0\}$ ,  $\{X_1, X_2\}$ , and  $\{Y_3, Y_4\}$  correspond to a base of  $H^0(\mathcal{O}_X(K_X))$ , respectively of  $H^0(\mathcal{O}_X(K_X - T_0))$ , and respectively of  $H^0(\mathcal{O}_X(K_X + T_0))$ . The polynomials  $\tilde{F}_1$  and  $\tilde{F}_2$  generate the linear space consisting of all the elements in  $H^0(\mathcal{O}_{\mathbb{P}^4}(3H))$  vanishing along  $Z$ , where  $H$  is a hyperplane in  $\mathbb{P}^4$ .

### 3 Unirationality of the moduli space

In this section, we will give a proof for Theorem 1. We denote by  $W = \mathbb{P}^4$  and  $(W_0 : X_1 : X_2 : Y_3 : Y_4)$ , the 4-dimensional complex projective space and its homogeneous coordinate, respectively.

Let  $\tilde{B}$  be the set of all  $(a_j^{(i)})_{\substack{1 \leq i \leq 2 \\ 0 \leq j \leq 12}} \in \mathbb{C}^{26}$  satisfying the conditions i), ii) and iii) in Remark 3 such that two polynomials  $\tilde{F}_1$  and  $\tilde{F}_2$  given by (1) define in  $W = \mathbb{P}^4$  a complete intersection with at most rational double points as its singularities. We denote by  $\tilde{B}_0$  the set of points in  $\tilde{B}$  corresponding to non-singular complete intersections. Note by [7, Remark 1], we have  $\tilde{B}_0 \neq \emptyset$ , hence the spaces  $\tilde{B}$  and  $\tilde{B}_0$  are dense Zariski open subsets of  $\mathbb{C}^{26}$ . We have a flat family  $\tilde{\mathcal{Y}} \rightarrow \tilde{B}$  whose fiber on each  $(a_j^{(i)}) \in \tilde{B}$  is a complete intersection defined by  $\tilde{F}_1$  and  $\tilde{F}_2$  with  $a_j^{(i)}$ 's as their coefficients. This  $\tilde{\mathcal{Y}}$  is a subvariety of  $\tilde{B} \times W$  stable under the action by  $G \simeq \langle \text{id}_{\tilde{B}} \times \tau_0 \rangle \simeq \mathbb{Z}/3$ , where  $\tau_0$  is an automorphism of  $W$  given by (2). Taking the quotient of  $\tilde{\mathcal{Y}}$  by this action,

we obtain a family  $\tilde{\mathcal{X}} \rightarrow \tilde{B}$  whose fibers are the canonical models of surfaces  $X$ 's as in Theorem 3. Note that both restrictions  $\tilde{\mathcal{Y}}|_{\tilde{B}_0} \rightarrow \tilde{B}_0$  and  $\tilde{\mathcal{X}}|_{\tilde{B}_0} \rightarrow \tilde{B}_0$  are analytic families.

**Lemma 3.1.** *Let  $X$  be an algebraic surface as in Theorem 3. Then there exist bases of  $H^0(\mathcal{O}_X(K_X))$ ,  $H^0(\mathcal{O}_X(K_X - T_0))$ , and  $H^0(\mathcal{O}_X(K_X + T_0))$  such that the polynomials  $\tilde{F}_1$  and  $\tilde{F}_2$  satisfy  $a_0^{(1)} = 1$ ,  $a_5^{(1)} = a_9^{(1)} = 1$ ,  $a_7^{(1)} = a_8^{(1)} = a_{12}^{(1)} = 0$ ,  $a_8^{(2)} = a_{12}^{(2)} = 1$ , and  $a_5^{(2)} = a_6^{(2)} = a_9^{(2)} = 0$ .*

*Proof.* Take those bases and  $\tilde{F}_i$ 's in Theorem 3 in such a way that each  $\tilde{\alpha}_i$  for  $i = 1, 2$  has a zero of order at least 2 at  $(X_1 : X_2) = (i - 1 : 2 - i)$  and that each  $\tilde{\beta}_i$  for  $i = 1, 2$  has a zero at  $(Y_3 : Y_4) = (i - 1 : 2 - i)$ . This is possible, since  $(X_1 : X_2) \mapsto (\tilde{\alpha}_1(X_1, X_2) : \tilde{\alpha}_2(X_1, X_2))$  is a morphism of degree 3. Then, by the conditions ii) and iii) in Remark 3, we have  $a_5^{(1)} \neq 0$ ,  $a_8^{(2)} \neq 0$ ,  $a_9^{(1)} \neq 0$  and  $a_{12}^{(2)} \neq 0$ . Now, by replacing the elements in these bases by their multiples by non-zero constants, and changing indices if necessary, we easily obtain the assertion.  $\square$

Consider the case of  $X$  for which  $\tilde{F}_i$ 's as in Lemma 3.1 satisfy  $a_0^{(2)} \neq 0$ . In this case, we replace  $X_2$  and  $Y_4$  by their multiples by a non-zero constant such that the equality  $a_0^{(2)} = 1$ , as much as the equalities in the lemma above, holds. Then the defining polynomials  $F_i = \tilde{F}_i$ 's of  $Z$  in  $W$  are given by

$$F_i = W_0^3 + W_0 \gamma_i(X_1, X_2, Y_3, Y_4) + \alpha_i(X_1, X_2) + \beta_i(Y_3, Y_4), \quad (4)$$

for  $i = 1, 2$ , where

$$\begin{aligned} \gamma_1 &= a^{(1)} X_1 Y_3 + b^{(1)} X_1 Y_4 + c^{(1)} X_2 Y_3 + d^{(1)} X_2 Y_4, \\ \gamma_2 &= a^{(2)} X_1 Y_3 + b^{(2)} X_1 Y_4 + c^{(2)} X_2 Y_3 + d^{(2)} X_2 Y_4, \\ \alpha_1 &= X_1^3 + e^{(1)} X_1^2 X_2, \\ \alpha_2 &= g^{(2)} X_1 X_2^2 + X_2^3, \\ \beta_1 &= Y_3^3 + h^{(1)} Y_3^2 Y_4 + l^{(1)} Y_3 Y_4^2, \\ \beta_2 &= h^{(2)} Y_3^2 Y_4 + l^{(2)} Y_3 Y_4^2 + Y_4^3 \end{aligned}$$

are homogeneous polynomials with coefficients in  $\mathbb{C}$ . We have a natural inclusion  $\mathbb{C}^{14} = \{(a^{(1)}, b^{(1)}, \dots, l^{(2)})\} \hookrightarrow \mathbb{C}^{26} = \{(a_j^{(i)})\}$ , since the  $F_i$ 's above are special cases of  $\tilde{F}_i$ 's. We put  $B_0 = \mathbb{C}^{14} \cap \tilde{B}_0$ , and denote by  $\psi : \mathcal{Y}_0 \rightarrow B_0$  and  $\varphi : \mathcal{X}_0 \rightarrow B_0$ , the pull-back of  $\tilde{\mathcal{Y}} \rightarrow \tilde{B}$  and that of  $\tilde{\mathcal{X}} \rightarrow \tilde{B}$ , respectively. Now we are ready to prove Theorem 1. We use the same method as in [3, Theorem 2. 11] and [2, Theorem 2.3].

**Proof of Theorem 1.** Let  $\mathcal{M}$  be the coarse moduli space for surfaces  $X$ 's as in Theorem 3. By Theorem 3, any surface  $X$  as in Theorem 3 corresponds

to a fiber of the family  $\tilde{X} \rightarrow \tilde{B}$ , where  $\tilde{B}$  is a non-empty Zariski open subset in  $\mathbb{C}^{26}$ . Thus by Tjurina's results on resolution of singularities ([9]), all surfaces  $X$ 's as in Theorem 1 are deformation equivalent, and their moduli space  $\mathcal{M}$  is irreducible. Meanwhile by the universality of the coarse moduli space, we have a natural morphism  $B_0 \rightarrow \mathcal{M}$  corresponding to the family  $\varphi : \mathcal{X}_0 \rightarrow B_0$ . This morphism is dominant by Lemma 3.1 and its succeeding argument. By this together with Theorem 4, we obtain the unirationality of  $\mathcal{M}$ , since  $B_0$  is a Zariski open subset in  $\mathbb{C}^{14}$ .  $\square$

## 4 The infinitesimal period map

In this section, we will give a proof for Theorem 2. Let  $\psi : \mathcal{Y}_0 \rightarrow B_0$  and  $\varphi : \mathcal{X}_0 \rightarrow B_0$  be the two analytic families given in Section 3. For each  $t = (a^{(1)}, b^{(1)}, \dots, l^{(2)}) \in B_0$ , the fibers  $X = \varphi^{-1}(t)$  and  $Y = \psi^{-1}(t)$  are a surface with invariants as in Theorem 1 and its universal cover, respectively. Note that  $Y$  is a complete intersection in  $W = \mathbb{P}^4$  defined by  $F_1$  and  $F_2$  as in (4). We denote by  $\pi : Y \rightarrow X$  and  $\iota : Y \rightarrow W$  the natural projection and the natural inclusion, respectively.

Let  $T_{B_0,t}$  be the holomorphic tangent space at  $t \in B_0$ . We denote by  $\rho : T_{B_0,t} \rightarrow H^1(\Theta_X)$  and  $\rho' : T_{B_0,t} \rightarrow H^1(\Theta_Y)$  the Kodaira-Spencer map of  $\varphi$  and that of  $\psi$ , respectively. In order to prove Theorem 2, it only suffices, by Theorem 4 and the equality  $\dim T_{B_0,t} = 14$ , to show the injectivity of  $\mu \circ \rho$  for general  $t \in B_0$ , where  $\mu$  is the morphism given in Theorem 2. Note that the composite  $\mu \circ \rho$  corresponds to the infinitesimal period map of  $\varphi$ . Let  $\omega \in H^0(\Omega_X^2)$  be a non-zero holomorphic 2-form on  $X$  such that  $\pi^*\omega$  corresponds to the section  $W_0 \in H^0(\Omega_Y^2)$  in Remark 3. Since  $p_g(X) = 1$ , the kernel of  $\mu \circ \rho$  is equal to that of the morphism  $T_{B_0,t} \ni \xi \mapsto ((\mu \circ \rho)(\xi))(\omega) \in H^1(\Omega_X^1)$ . Meanwhile we have the following commutative diagram:

$$\begin{array}{ccccc} T_{B_0,t} & \xrightarrow{\rho} & H^1(\Theta_X) & \xrightarrow{\omega \times} & H^1(\Omega_X^2 \otimes \Theta_X) \simeq H^1(\Omega_X^1) \\ & & \downarrow & & \downarrow \\ & & \downarrow \parallel \text{id} & & \downarrow \\ T_{B_0,t} & \xrightarrow{\rho'} & H^1(\Theta_Y) & \xrightarrow{W_0 \times} & H^1(\Omega_Y^2 \otimes \Theta_Y) \simeq H^1(\Omega_Y^1), \end{array}$$

where the vertical morphisms  $H^1(\Theta_X) \rightarrow H^1(\Theta_Y)$  and  $H^1(\Omega_X^2 \otimes \Theta_X) \rightarrow H^1(\Omega_Y^2 \otimes \Theta_Y)$  are natural inclusions induced by the decomposition of  $\pi_*\Theta_Y$  and  $\pi_*(\Omega_Y^2 \otimes \Theta_Y) \simeq \pi_*\Omega_Y^1$  associated with the action of the Galois group  $G = \text{Gal}(Y/X)$ . Note that  $((\mu \circ \rho)(\xi))(\omega) = ((\omega \times) \circ \rho)(\xi)$  for any  $\xi \in T_{B_0,t}$ . Thus in order to prove the injectivity of  $\mu \circ \rho$ , we only need to show that of the morphism  $(W_0 \times) \circ \rho' : T_{B_0,t} \rightarrow H^1(\Omega_Y^2 \otimes \Theta_Y)$ .

Let us prove the injectivity of  $(W_0 \times) \circ \rho'$  for general  $t \in B_0$ . We denote by  $R \simeq \bigoplus_{n=0}^{\infty} R_n$  and  $R_n$  the graded ring  $\mathbb{C}[W_0, X_1, X_2, Y_3, Y_4]/\langle F_1, F_2 \rangle$  and its homogeneous part of degree  $n$ , respectively. This graded ring  $R$  is naturally isomorphic to the canonical ring of  $Y$ . For each  $m = 0, 1, -1$ , we denote by  $R_n^{(m)}$  the set of all  $F \in R_n$  satisfying

$$F(W_0, \varepsilon X_1, \varepsilon X_2, \varepsilon^{-1} Y_3, \varepsilon^{-1} Y_4) = \varepsilon^m F(W_0, X_1, X_2, Y_3, Y_4).$$

This space  $R_n^{(m)}$  corresponds to the eigenspace  $H^0(\mathcal{O}_X(nK_X - mT_0))$  via the isomorphism (3).

We have a natural exact sequence  $0 \rightarrow \Theta_Y \rightarrow \iota^* \Theta_W \rightarrow \mathcal{O}_Y(3)^{\oplus 2} \rightarrow 0$  of  $\mathcal{O}_Y$ -modules. By the similar argument as in Catanese [1] and Oliverio [8], we obtain, from this short exact sequence, the following commutative diagram:

$$\begin{array}{ccccccc} R_1^{\oplus 5} & \longrightarrow & R_3^{\oplus 2} & \longrightarrow & H^1(\Theta_Y) & \longrightarrow & 0 \\ \downarrow W_0 \times & & \downarrow W_0 \times & & \downarrow W_0 \times & & \downarrow \\ R_2^{\oplus 5} & \xrightarrow{\delta} & R_4^{\oplus 2} & \longrightarrow & H^1(\Omega_Y^2 \otimes \Theta_Y) & \longrightarrow & \mathbb{C} \longrightarrow 0, \end{array} \quad (5)$$

where both of the horizontal sequences are exact, and the morphisms  $R_1^{\oplus 5} \rightarrow R_3^{\oplus 2}$  and  $\delta : R_2^{\oplus 5} \rightarrow R_4^{\oplus 2}$  are given by the matrix

$$\begin{pmatrix} \frac{\partial F_1}{\partial W_0} & \frac{\partial F_1}{\partial X_1} & \frac{\partial F_1}{\partial X_2} & \frac{\partial F_1}{\partial Y_3} & \frac{\partial F_1}{\partial Y_4} \\ \frac{\partial F_2}{\partial W_0} & \frac{\partial F_2}{\partial X_1} & \frac{\partial F_2}{\partial X_2} & \frac{\partial F_2}{\partial Y_3} & \frac{\partial F_2}{\partial Y_4} \end{pmatrix}.$$

Let  $A' : T_{B_0, t} \rightarrow R_3^{\oplus 2}$  be the morphism given by  $\frac{\partial}{\partial t} \mapsto (\frac{\partial}{\partial t} F_1, \frac{\partial}{\partial t} F_2)$ , that is, the morphism giving the infinitesimal displacement of the deformation  $\psi : \mathcal{Y}_0 \rightarrow B_0$  of the submanifold  $Y \subset W$ . Since the composite  $(W_0 \times) \circ A'$  maps  $T_{B_0, t}$  into the subspace  $R_4^{(0)\oplus 2} \subset R_4^{\oplus 2}$ , we obtain a restriction  $A : T_{B_0, t} \rightarrow R_4^{(0)\oplus 2}$  of  $(W_0 \times) \circ A'$ . We put  $V = R_2^{(0)} \oplus R_2^{(1)} \oplus R_2^{(1)} \oplus R_2^{(-1)} \oplus R_2^{(-1)} \subset R_2^{\oplus 5}$ , and denote by  $C : V \rightarrow R_4^{(0)\oplus 2}$  the restriction of  $\delta : R_2^{\oplus 5} \rightarrow R_4^{\oplus 2}$  to this subspace. Then from the commutative diagram (5), we infer the equality  $\ker((W_0 \times) \circ \rho') = A^{-1}(C(V))$ , where  $C(V)$  is the image of the morphism  $C$ .

Let  $M'$  be a 26-dimensional subspace of  $R_4^{(0)\oplus 2}$  spanned by the following linearly independent elements:

$$\begin{aligned} & (W_0^4, 0), \quad (W_0 X_1 X_2^2, 0), \quad (W_0 Y_3^3, 0), \quad (W_0 Y_4^3, 0), \quad (X_1^2 Y_3^2, 0), \\ & (X_1^2 Y_3 Y_4, 0), \quad (X_1^2 Y_4^2, 0), \quad (X_1 X_2 Y_3^2, 0), \quad (X_1 X_2 Y_3 Y_4, 0), \\ & (X_1 X_2 Y_4^2, 0), \quad (X_2^2 Y_3^2, 0), \quad (X_2^2 Y_3 Y_4, 0), \quad (X_2^2 Y_4^2, 0), \\ & (0, W_0^4), \quad (0, W_0 X_1^2 X_2), \quad (0, W_0 Y_3^3), \quad (0, W_0 Y_4^3), \quad (0, X_1^2 Y_3^2), \\ & (0, X_1^2 Y_3 Y_4), \quad (0, X_1^2 Y_4^2), \quad (0, X_1 X_2 Y_3^2), \quad (0, X_1 X_2 Y_3 Y_4), \\ & (0, X_1 X_2 Y_4^2), \quad (0, X_2^2 Y_3^2), \quad (0, X_2^2 Y_3 Y_4), \quad (0, X_2^2 Y_4^2). \end{aligned} \quad (6)$$

Then, denoting the image of  $A : T_{B_0,t} \rightarrow R_4^{(0)\oplus 2}$  by  $M$ , we have  $R_4^{(0)\oplus 2} = M \oplus M'$ . Thus there exist two morphisms  $D : V \rightarrow M$  and  $D' : V \rightarrow M'$  such that  $C = D + D'$ . Note that  $C(V) \cap M \simeq D(\ker D')$ . By this together with the injectivity of  $A$ , we obtain

$$\ker((W_0 \times) \circ \rho') = A^{-1}(C(V)) \simeq D(\ker D').$$

Meanwhile we have  $\dim V = 25$  and

$$(W_0^2, W_0X_1, W_0X_2, W_0Y_3, W_0Y_4) \in \ker C = \ker D \cap \ker D'.$$

Thus in order to prove the injectivity of  $(W_0 \times) \circ \rho' : T_{B_0,t} \rightarrow H^1(\Omega_Y^1 \otimes \Theta_Y)$ , we only need to show the equality  $\text{rank} D' = 24$ .

So, in what follows, we will show  $\text{rank} D' = 24$  for general  $t \in B_0$ . We employ the following base of  $V$ :

$$\begin{aligned} & (W_0^2)_1, \quad (X_1Y_3)_1, \quad (X_1Y_4)_1, \quad (X_2Y_3)_1, \quad (X_2Y_4)_1, \\ & (W_0X_1)_2, \quad (W_0X_2)_2, \quad (Y_3^2)_2, \quad (Y_3Y_4)_2, \quad (Y_4^2)_2, \\ & (W_0X_1)_3, \quad (W_0X_2)_3, \quad (Y_3^2)_3, \quad (Y_3Y_4)_3, \quad (Y_4^2)_3, \\ & (W_0Y_3)_4, \quad (W_0Y_4)_4, \quad (X_1^2)_4, \quad (X_1X_2)_4, \quad (X_2^2)_4, \\ & (W_0Y_3)_5, \quad (W_0Y_4)_5, \quad (X_1^2)_5, \quad (X_1X_2)_5, \quad (X_2^2)_5, \end{aligned} \quad (7)$$

where, for each  $u \in R_2$  and  $1 \leq i \leq 5$ , we denote by  $(u)_i$  the element  $(v_1, v_2, v_3, v_4, v_5) \in R_2^{\oplus 5}$  given by  $v_i = u, v_j = 0 (j \neq i)$ . Let  $L_1$  be the  $26 \times 25$  matrix of  $D'$  corresponding to the bases (7) of  $V$  and (6) of  $M'$ : i.e.

$$L_1 = \begin{pmatrix} L_{1,1}^{(1)} & L_{1,2}^{(1)} & L_{1,3}^{(1)} \\ L_{2,1}^{(1)} & L_{2,2}^{(1)} & L_{2,3}^{(1)} \end{pmatrix},$$

where  $13 \times 5$  matrixes  $L_{1,1}^{(1)}, L_{2,1}^{(1)}$ , and  $13 \times 10$  matrixes  $L_{1,2}^{(1)}, L_{2,2}^{(1)}, L_{1,3}^{(1)}, L_{2,3}^{(1)}$  are given by

$$L_{1,1}^{(1)} = \begin{bmatrix} 3 \\ 0 \\ 0 \\ 0 \\ a^{(1)} \\ b^{(1)} & a^{(1)} \\ & b^{(1)} \\ c^{(1)} & & a^{(1)} \\ d^{(1)} & c^{(1)} & b^{(1)} & a^{(1)} \\ & & d^{(1)} & b^{(1)} \\ & & & c^{(1)} \\ & & & d^{(1)} & c^{(1)} \\ & & & & d^{(1)} \end{bmatrix}, \quad L_{2,1}^{(1)} = \begin{bmatrix} 3 \\ 0 \\ 0 \\ 0 \\ a^{(2)} \\ b^{(2)} & a^{(2)} \\ & b^{(2)} \\ c^{(2)} & & a^{(2)} \\ d^{(2)} & c^{(2)} & b^{(2)} & a^{(2)} \\ & & d^{(2)} & b^{(2)} \\ & & & c^{(2)} \\ & & & d^{(2)} & c^{(2)} \\ & & & & d^{(2)} \end{bmatrix},$$





$$L_{2,3}^{(1)} = \begin{bmatrix} & & -a^{(2)} & & -c^{(2)} & & -b^{(2)} & & -d^{(2)} \\ & & * & a^{(2)} & & & * & b^{(2)} & \\ 0 & l^{(2)} & -a^{(2)} & & h^{(2)} & & -b^{(2)} & & -d^{(2)} \\ & & & & -c^{(2)} & & 3 & & \\ & & 2h^{(2)} & & & & h^{(2)} & & \\ & & l^{(2)} & & & & 2l^{(2)} & & \\ & & & & & & 3 & & \\ & & & 2h^{(2)} & & & & h^{(2)} & \\ & & & l^{(2)} & & & & 2l^{(2)} & \\ & & & & 2h^{(2)} & & & & h^{(2)} \\ & & & & l^{(2)} & & & & 2l^{(2)} \\ & & & & & & & & 3 \end{bmatrix}.$$

Here empty entries are zero. For general  $t \in B_0$ , we strike off the rows and the columns of  $L_1$  meeting the following entries by doing the operations in this order:  $(3, 16), (1, 6), (17, 22), (14, 12), (2, 7), (4, 17), (15, 11), (16, 21)$ . Then we see  $\text{rank}L_1 = \text{rank}L_2 + 8$ , where  $L_2$  is the  $18 \times 16$  matrix obtained by removing from  $L_1$  the following: i) the rows and columns meeting the 8 entries given above, and ii) the first column. Thus we only need to show  $\text{rank}L_2 = 16$  for general  $t \in B_0$ .

Let  $L_3$  be the  $18 \times 16$  matrix obtained by specializing  $L_2$  by  $e^{(1)} = g^{(2)} = 0$ . We can strike off the rows and the columns of  $L_3$  meeting the following entries:  $(1, 5), (2, 6), (3, 7), (16, 8), (17, 9), (18, 10)$ . Thus we see  $\text{rank}L_2 \geq \text{rank}L_3 = \text{rank}L_4 + 6$  for general  $t \in B_0$ , where  $L_4$  is the  $12 \times 10$  matrix obtained by removing from  $L_3$  the rows and columns meeting the 6 entries above. Hence we only need to show  $\text{rank}L_4 = 10$  for general  $t \in B_0$ .

It now suffices to show  $\det L_5 \neq 0$  for general  $t \in B_0$ , where the  $10 \times 10$  matrix

$$L_5 = \begin{bmatrix} c^{(1)} & & a^{(1)} & & & & 3 & & & h^{(1)} \\ d^{(1)} & c^{(1)} & b^{(1)} & a^{(1)} & & & 2h^{(1)} & & & 2l^{(1)} \\ & & d^{(1)} & b^{(1)} & & & l^{(1)} & & & \\ & & & c^{(1)} & & & & 3 & & h^{(1)} \\ b^{(2)} & a^{(2)} & d^{(1)} & c^{(1)} & & & 2h^{(1)} & & & 2l^{(1)} \\ & & & & 2h^{(2)} & & & & 2l^{(2)} & \\ & & & & l^{(2)} & & & & 3 & \\ c^{(2)} & & a^{(2)} & & & & & & & h^{(2)} \\ d^{(2)} & c^{(2)} & b^{(2)} & a^{(2)} & & & 2h^{(2)} & & & 2l^{(2)} \\ & & d^{(2)} & b^{(2)} & & & l^{(2)} & & & 3 \end{bmatrix} \quad (8)$$

is the one obtained by removing from  $L_4$  its 6-th and 7-th rows. But,

when we compute  $\det L_5$  by the definition of the determinant, the monomial  $(d^{(2)})^2(a^{(1)})^2(l^{(2)})^2h^{(2)}(h^{(1)})^2l^{(1)}$  appears only once, i.e., from the term passing the entries  $(9, 1)$ ,  $(10, 2)$ ,  $(1, 3)$ ,  $(2, 4)$ ,  $(7, 5)$ ,  $(3, 6)$ ,  $(5, 7)$ ,  $(6, 8)$ ,  $(8, 9)$ , and  $(4, 10)$  of  $L_5$ . Thus, for general  $t \in B_0$ , we have  $\det L_5 \neq 0$ , and hence  $\text{rank} D' = 24$ , which completes the proof of Theorem 2.

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