

**AMPLE LINE BUNDLES ON  
BLOWN UP SURFACES**

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# AMPLE LINE BUNDLES ON BLOWN UP SURFACES

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**ABSTRACT.** Given a smooth complex projective surface  $S$  and an ample divisor  $H$  on  $S$ , consider the blow up of  $S$  along  $k$  points in general position. Let  $H'$  be the pullback of  $H$  and  $E_1, \dots, E_k$  be the exceptional divisors. We show that  $L = nH' - E_1 - \dots - E_k$  is ample if and only if  $L^2$  is positive provided the integer  $n$  is at least 3.

## Introduction.

In this note we give an answer to the following question: Given a smooth projective surface  $S$  over  $\mathbb{C}$  and an ample divisor  $H$  on  $S$ , consider the blow up  $f : S' \rightarrow S$  of  $S$  along  $k$  points in general position. Let  $H' = f^*H$  and  $E_1, \dots, E_k$  be the exceptional divisors. When is the divisor

$$L = nH' - \sum_{i=1}^k E_i$$

ample ?

We show that the condition  $L^2 > 0$ , which clearly is necessary, is also sufficient provided the integer  $n$  is at least 3. Note that the answer to this question has been unknown even in the case of  $S = \mathbb{P}^2$  (cf. [Fuj]). The basic idea is to study the situation on the surface  $S$  with variational methods.

Shortly after this work has been completed the author learned that Geng Xu obtained a similar result in the case of  $S = \mathbb{P}^2$  independently.

It's a pleasure to thank Rob Lazarsfeld, who introduced me to this circle of ideas.

## Proofs.

The main technical tool is an estimate on the self-intersection of moving singular curves established by Ein, Lazarsfeld and Xu in the context of Seshadri constants of ample line bundles on smooth surfaces (cf. [EL], 1.2, and [Laz], 5.16). The precise statement is:

**Proposition.** *Let  $\{C_t\}_{t \in \Delta}$  be a 1-parameter family of reduced irreducible curves on a smooth projective surface  $X$ , and  $y, y_1, \dots, y_r \in X$  be distinct points such that*

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$\text{mult}_{y_i} C_t \geq m_i$  for all  $t \in \Delta$  and  $i = 1, \dots, r$ . Suppose there exist  $t, t'$  with  $\text{mult}_y C_t = m > 0$  and  $y \notin C_{t'}$ . Then

$$(C_t)^2 \geq m(m-1) + \sum_{i=1}^r m_i^2.$$

□

Since ampleness is an open condition in a flat family of line bundles, it is enough to show the existence of one  $k$ -tuple  $p_1, \dots, p_k$  of distinct points such that  $L$  is ample on the blow up  $S'$  along these points; then the same will hold for  $k$  points in general position, i.e. outside a Zariski closed proper subset of  $S \times \dots \times S$ .

Using the Proposition we can prove:

**Theorem.** *Let  $a > 2$  be a rational number. Then there exists a surface  $S'$  as above such that for the  $\mathbb{Q}$ -divisor*

$$M = aH' - \sum_{i=1}^k E_i$$

the following hold:

- (1) If  $M^2 = a^2 H^2 - k \geq 2$ , then  $M$  is ample on  $S'$ .
- (2) If  $M^2 = a^2 H^2 - k \geq 1$ , then  $M$  is positive on all curves  $C' \subset S'$  for which  $j$  exists with  $C'.E_j \geq 2$ .

*Proof.* Suppose the theorem is not true, and choose an irreducible curve  $C' \subset S'$  such that  $M.C' \leq 0$ . Consider  $C = f(C')$ . Defining  $m_i = \text{mult}_{p_i}(C)$ , we may suppose that  $m_1 \geq \dots \geq m_k$ . Since  $M.C' \leq 0$ , we have

$$\sum_{i=1}^k m_i \geq a(H.C). \quad (*)$$

Now we may assume that

- $C$  passes through all the points  $p_i$ , i.e.  $m_i \geq 1$
- $C$  is irreducible and reduced
- $C$  moves

Here  $C$  moves even in the strong sense, that is, fixing  $p_1, \dots, p_{k-1}$ , the curve  $C$  still moves in a family of curves satisfying (\*). To see this simply observe that any curve on  $S$  lies in one of countably many families, but no neighbourhood of  $p_k$  is covered by countably many curves.

Finally we claim that a general member of this family has sufficiently big multiplicity at  $p_1, \dots, p_{k-1}$ . But any member satisfies (\*), so this follows from semicontinuity.

Therefore we can apply the Proposition and obtain the estimate

$$C \cdot C \geq m_1^2 + \cdots + m_{k-1}^2 + m_k(m_k - 1),$$

and hence combined with the Hodge-Index-Theorem

$$\left( \sum_{i=1}^k m_i \right)^2 \geq a^2(H.C)^2 \geq a^2 H^2 \cdot C^2 \geq a^2 H^2 \left( \sum_{i=1}^k m_i^2 - m_k \right). \quad (**)$$

By (\*), (\*\*) and the assumption  $a > 2$  we may assume  $k \geq 2$  in the following.

Suppose for the time being that  $C$  is not smooth at one of the  $p_j$ , which is the case if and only if  $C'.E_j \geq 2$ . Then  $m_1 \geq 2$ , and (\*\*) contradicts the following Lemma:

**Lemma.** *Let  $k \geq 2$  and  $x_1, \dots, x_k \in \mathbb{Z}$  be integers with  $x_1 \geq \cdots \geq x_k \geq 1$  and  $x_1 \geq 2$ . Then we have*

$$(k+1) \sum_{i=1}^k x_i^2 > \left( \sum_{i=1}^k x_i \right)^2 + x_k(k+1).$$

*Proof of the Lemma.* We argue by induction on  $k \geq 2$ .

For  $k = 2$  one proves

$$3(x_1^2 + x_2^2) - (x_1 + x_2)^2 - 3x_2 > 0$$

by minimizing this expression with respect to  $x_2$ . From the inductive hypothesis, we then obtain

$$\begin{aligned} (k+1) \sum_{i=1}^k x_i^2 &> kx_k^2 + \sum_{i=1}^k x_i^2 + \left( \sum_{i=1}^{k-1} x_i \right)^2 + kx_k \\ &= \left( \sum_{i=1}^k x_i \right)^2 + x_k(k+1) - x_k^2 - 2 \cdot \sum_{i=1}^{k-1} x_i x_k - x_k + kx_k^2 + \sum_{i=1}^k x_i^2 \\ &= \left( \sum_{i=1}^k x_i \right)^2 + x_k(k+1) + \sum_{i=1}^{k-1} (x_i - x_k)^2 + x_k^2 - x_k. \end{aligned}$$

So what we need to show is

$$\sum_{i=1}^{k-1} (x_i - x_k)^2 + x_k^2 \geq x_k,$$

but this is obvious. □

This proves the second part of the Theorem. To prove the first part it remains to exclude the case  $m_1 = \cdots = m_k = 1$ . But then (\*\*) reads

$$k^2 \geq H^2 \cdot a^2(k-1),$$

contradicting the assumptions on  $a$ . □

**Corollary.** *Let  $L$  be as in the introduction. Then  $L$  is ample if and only if  $L^2 > 0$ .*

*Proof.* It clearly suffices to prove the if-part. So suppose  $L^2 > 0$  and that  $L$  is not ample. Then by the Theorem we know that  $L^2 = 1$ , i.e.  $n^2 H^2 = k + 1$ , and that there exists an irreducible reduced curve  $C \subset S$  which is smooth at all the  $p_i$  satisfying  $k \geq n(H.C)$ .

We claim that  $k = n(H.C)$  holds. Otherwise we have  $L.C' < 0$ . Consider the surface  $\hat{S}$  obtained from  $S'$  by contracting the exceptional divisor  $E_j$ , where  $j$  is an index such that  $C$  passes smoothly through  $p_j$ . The image  $\hat{L}$  of  $L$  then satisfies  $\hat{L}^2 = L^2 + 1 = 2$ , hence it is ample by the Theorem. But this contradicts  $L.C' + 1 = \hat{L}.\hat{C} \leq 0$  for the image  $\hat{C}$  of  $C'$ .

Therefore we conclude  $k + 1 = n^2 H^2 = n(H.C) + 1$ , but this is impossible since besides  $n \neq 1$  also  $H^2$  and  $(H.C)$  are integers. □

**Remark.** The example of a line in  $\mathbb{P}^2$  through any two points shows that we cannot drop the assumption  $n \geq 3$  in general. On the other hand an analysis of the proof shows that the Corollary still holds in the case  $n \geq 2$  if two general points on  $S$  can not be joined by a curve  $C$  with  $(H.C) = 1$ , which is true e.g. whenever  $H^2 \geq 2$ .

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