

**Scalar-Flat Closed Manifolds not  
Admitting Positive Scalar Curvature  
Metrics**

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*Dedicated to Professor Shoshichi Kobayashi on his sixtieth birthday*

## 1. INTRODUCTION

All the closed connected manifolds are divided into the following three classes:

- (P) manifolds admitting a Riemannian metric of positive scalar curvature;
- (Z) manifolds admitting a metric of non-negative scalar curvature, but not admitting a metric of positive scalar curvature;
- (N) manifolds not admitting a metric of non-negative scalar curvature.

If a closed manifold  $M$  has a metric of non-negative scalar curvature which is not identically zero, then by a conformal change of the metric we get a metric of positive scalar curvature [KW]. Therefore any metric of non-negative scalar curvature on a manifold in the class (N) is in fact scalar-flat.

It is desirable to have a characterization of these three classes. A characterization of the class (P) has been given by Gromov-Lawson [GL] and Stolz [S1], [S2]: if  $M$  is simply connected and  $\dim M \geq 5$ , then  $M$  belongs to the class (P) if and only if  $M$  is either non-spin or spin with vanishing Lichnerowicz-Hitchin obstruction  $\alpha(M)$ . In this paper we remark that their results also imply the following.

**Theorem 1.** *Let  $M$  be a closed simply connected manifold with  $\dim M \geq 5$ . Then  $M$  belongs to the class (Z) if and only if  $M$  is the product of manifolds  $M_1 \times \cdots \times M_l$  such that*

- (1)  $\pm M_i$  admits a Ricci-flat Kähler metric or a Riemannian metric with  $Spin(7)$  holonomy (in both cases  $M_i$  is necessarily spin);
- (2)  $\alpha(M) \neq 0$ .

Note that  $Spin(7)$  holonomy occurs only in dimension 8, and the metric is Ricci-flat. So far no compact example of a Riemannian manifold with  $Spin(7)$  holonomy has been known.

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The condition  $\alpha(M) \neq 0$  in Theorem 1 can not be omitted. For example a non-singular hypersurface  $M$  of degree  $4k+5$  in the  $(4k+4)$ -dimensional complex projective space is a simply connected Calabi-Yau manifold, but  $\alpha(M) = 0$  since  $KO^{-(8k+6)}(pt) = 0$ . Thus  $M$  admits a metric of positive scalar curvature by the theorem of Stolz.

**Corollary 2.** *Let  $M^n$  be connected closed manifold with finite fundamental group such that its universal cover is spin. If  $\#\pi_1(M) \cdot |\hat{A}(M)| > 2^{n/4}$ , then  $M$  does not admit a metric of non-negative scalar curvature.*

In the proof we use a standard fact that if a spin manifold has a parallel spinor then  $M$  has special holonomy (c.f. [H],[F], [W]). We will review this fact for the sake of completeness.

*Remark.* In the case of infinite fundamental groups, it is known that if  $M$  is a closed spin manifold with  $\hat{A}(M) \neq 0$  then  $M$  belongs to the class (N) (c.f. [O], [M]).

I am grateful to Stephan Stolz for explaining his results to me and for his interest in this work, and to Kaoru Ono for helpful discussions.

## 2. HOLONOMY GROUPS

Let  $P$  be a principal bundle with a connection over a manifold  $M$  with structure group  $G$ . The fiber over  $x \in M$  will be denoted by  $P_x$ , and the Lie algebra of  $G$  will be denoted by  $\mathfrak{g}$ . A connection on  $P$  is a smooth splitting of  $TP$  into the tangent bundle  $T_f$  along the fibers and a right invariant horizontal distribution. A piecewise  $C^1$  curve  $\gamma : [0, 1] \rightarrow M$  is called a loop with base point  $x$  if  $\gamma(0) = \gamma(1) = x$ . Let us fix  $p \in P_x$ , and consider the horizontal lift  $\tilde{\gamma}_p : [0, 1] \rightarrow P$  of  $\gamma$  such that  $\tilde{\gamma}_p(0) = p$ . Define  $h_{\gamma,p} \in G$  by  $\tilde{\gamma}_p(1) = \tilde{\gamma}_p(0)h_{\gamma,p}$ . The set of  $h_{\gamma,p}$  for all possible loops  $\gamma$  with base point  $x$  consists a subgroup of  $G$ , which we denote by  $Hol(P)_p$ . Let us choose another  $q = pk \in P_x$  with  $k \in G$ . Then by the right invariance of the horizontal distribution, we have  $\tilde{\gamma}_q = \tilde{\gamma}_p k$  and

$$\tilde{\gamma}_p(0)k h_{\gamma,q} = \tilde{\gamma}_q(0)h_{\gamma,q} = \tilde{\gamma}_q(1) = \tilde{\gamma}_p(1)k = \tilde{\gamma}_p(0)h_{\gamma,p}k.$$

Thus  $h_{\gamma,q} = Ad(k^{-1})h_{\gamma,p}$ , and  $h_{\gamma,p}$  and  $h_{\gamma,q}$  define the same element  $h_\gamma$  in the fiber  $Ad(P)_x$  of the adjoint bundle  $Ad(P) := P \times_{Ad} G$ . Hence  $Hol(P)_p$  and  $Hol(P)_q$  define the same subgroup  $Hol(P)_x$  in  $Ad(P)_x$ , which we call the holonomy group of  $P$  at  $x \in M$ . If we choose another base point  $y \in M$ , then  $Hol(P)_y$  is isomorphic to  $Hol(P)_x$ ; this isomorphism class is called the holonomy group of  $P$ .

Let  $\rho : G \rightarrow GL(V)$  be a representation of  $G$  in a real vector space  $V$ . Let  $E = P \times_\rho V$  be the associated vector bundle. Then  $Ad(P)_x$ , and hence  $Hol(P)_x$ , act on  $E_x$  in the canonical way.

The connection on  $P$  defines uniquely a covariant derivative in  $E$ . Let  $e_1, \dots, e_r$  be a local frame field of  $E$  over an open set  $U \subset M$ . Let  $\sigma$  be a local section of  $P$  over  $U$ . Then the covariant derivative  $\nabla$  of  $E$  is defined by

$$\nabla e_j = \sum_{i=1}^r \rho_*(\sigma^* \omega)_j^i e_i,$$

where  $\omega$  is the connection form on  $P$ , i.e. the projection  $TP_p \rightarrow T_f \cong \mathfrak{g}$  along the horizontal distribution.

Let  $\gamma(t)$  be a path in  $M$ . A section  $s$  of  $E$  over  $\gamma$  is said to be parallel if  $\nabla_{\frac{\partial}{\partial t}} s = 0$ .

**Lemma 2.1.** *Let  $s$  be a parallel section of  $E$  over  $\gamma$ . Then there exists a vector  $v \in V$  and a horizontal lift  $\tilde{\gamma}$  of  $\gamma$  such that  $s(t) = (\tilde{\gamma}(t), v) \in P \times_{\rho} V = E$ .*

*Proof.* Let  $e_1(t), \dots, e_r(t)$  be a local frame field of  $E$  along  $\gamma$ . The parallel section  $s(t) = \sum_i u^i(t)e_i(t)$  is uniquely obtained by solving the ordinary differential equation

$$\frac{\partial u^i(t)}{\partial t} + \sum_j \rho_*(\omega(\sigma_*(\frac{\partial}{\partial t})))^i_j u^j(t) = 0, \quad 1 \leq i \leq r$$

with the initial value  $s(0) = \sum_i u^i(0)s_i(0)$ . On the other hand, if  $s(0) = (p, v) \in P \times_{\rho} V = E$ , and if we take the horizontal lift  $\tilde{\gamma}$  of  $\gamma$  with  $\tilde{\gamma}(0) = p$ , then  $s_1(t) = (\tilde{\gamma}(t), v)$  is a parallel section by the definition of  $\nabla$ . From the uniqueness of  $s$  we have  $s = s_1$ . This completes the proof.

**Lemma 2.2.** *Let  $s$  be a global parallel section of  $E$ . Then  $Hol(P)_x \subset Ad(P)_x$  is contained in the isotropy subgroup  $Ad(P)^{s(x)}$  of  $s(x)$ .*

*Proof.* Let  $(p, h_{\gamma}) \in Hol(P)_x$  correspond to a loop  $\gamma$  with base point  $x$ . By Lemma 2.1,  $s|_{\gamma}$  can be written as  $s|_{\gamma(t)} = (\tilde{\gamma}(t), v)$  for some  $v \in V$ . Then, since  $s|_{\gamma(0)} = s|_{\gamma(1)}$ , we have

$$(\tilde{\gamma}(0), v) = (\tilde{\gamma}(1), v) = (\tilde{\gamma}(0)h_{\gamma}, v) = (\tilde{\gamma}(0), h_{\gamma}v) = (p, h_{\gamma})(\tilde{\gamma}(0), v).$$

Thus  $(p, h_{\gamma}) \in Ad(P)^{s(x)}$ , completing the proof.

Let  $Hol^0(P)_x$  be the subgroup of  $Hol(P)_x$  consisting of all holonomies for null-homotopic loops with base point  $x$ . The group  $Hol^0(P)$  is called the restricted holonomy group of  $P$ . Obviously  $Hol^0(P)_x$  is connected and is contained in the identity component  $Ad^0(P)_x$  of  $Ad(P)_x$ . Before we state the following proposition, we note that the representation of  $Ad(P)_x$  in  $E_x$  is equivalent to  $\rho$ .

**Proposition 2.3.** *Suppose that the identity component  $G^0$  of  $G$  is compact, so that the restriction  $\rho|_{G^0}$  of  $\rho$  to  $G^0$  splits into irreducible orthogonal representations. Suppose further that each irreducible component of  $\rho|_{G^0}$  has dimension  $\geq 2$ . If  $E$  admits a parallel section, then  $Hol^0(P)$  is strictly smaller than  $G^0$ .*

*proof.* Let  $F_x \subset E_x$  be an irreducible component of  $\rho|_{G^0}$ , and  $\pi : E_x \rightarrow F_x$  be the projection. By Lemma 2.2,  $Hol^0(P)_x \subset Ad(P)^{s(x)} \cap Ad^0(P)_x \subset Ad(P)^{\pi s(x)} \cap Ad^0(P)_x$ . If  $Hol^0(P)_x = Ad^0(P)_x$ , then  $Ad^0(P)_x \subset Ad(P)^{\pi s(x)}$ . Thus  $Ad^0(P)_x$  leaves  $\pi s(x)$  fixed. But then  $F_x$  is reducible since  $\dim F_x \geq 2$ . This is a contradiction and completes the proof.

Let  $G'$  be a covering group of  $G$  with covering map  $\lambda$ . We say that a principal bundle  $P'$  with structure group  $G'$  covers  $P$  if there is a covering  $\mu : P' \rightarrow P$  such that  $\mu(pg) = \mu(p)\lambda(g)$  for  $p \in P'$  and  $g \in G'$ . In this situation the right invariant distribution in  $P$  naturally lifts to  $P'$  to define a connection in  $P'$ .

**Lemma 2.4.** *There are covering maps of  $Hol(P')$  onto  $Hol(P)$ , and  $Hol^0(P')$  onto  $Hol^0(P)$ .*

*proof.* Let  $\gamma_1$  and  $\gamma_2$  be loops in  $M$  with base point  $x$ , and pick  $p \in P_x$  and  $p' \in P'_x$ . It suffices to show that if  $h_{\gamma_1, p'} = h_{\gamma_2, p'}$  in  $Hol(P')_{p'}$  then  $h_{\gamma_1, p} = h_{\gamma_2, p}$  in  $Hol(P)_p$ . But this is true because a closed path in  $P'$  is mapped under the covering map onto a closed path. This completes the proof.

In the rest of this section we will consider the Riemannian case, for which the reader is referred to [B], chapters 10 and 14, and [Sa]. Let  $M$  be an oriented Riemannian manifold of dimension  $n$ . The Levi-Civita connection defines a parallelism on the tangent bundle of  $M$ . Thus the parallel transport along a loop  $\gamma$  with base point  $x$  determines an element  $f_\gamma \in SO(T_x M)$ . Let  $P_{SO}$  be the principal bundle associated to the tangent bundle. Then a point  $p \in (P_{SO})_x$  stands for an oriented orthonormal basis  $p = (e_1, \dots, e_n)$  of  $T_x M$ , and we can express  $f_\gamma$  in terms of a special orthogonal matrix  $h_{\gamma, p}$  by

$$f_\gamma(p) = (f_\gamma(e_1), \dots, f_\gamma(e_n)) = (e_1, \dots, e_n)h_{\gamma, p} = ph_{\gamma, p}.$$

This  $h_\gamma$  determines an element of the adjoint bundle, which is nothing more than  $f_\gamma$ .

In this Riemannian situation we denote by  $Hol(M)_x$  the holonomy group at  $x$ , and by  $Hol^0(M)_x$  the subgroup consisting of elements  $h_\gamma$  for null-homotopic loops  $\gamma$ .  $Hol^0(M)$  is called the restricted holonomy group of  $M$ . The following facts are well known:  $Hol^0(M)$  is a connected closed subgroup of  $SO(n)$ ; If  $\widetilde{M}$  is the universal cover then  $Hol^0(M) \cong Hol(\widetilde{M})$ ; If  $M = M_1 \times M_2$  is a Riemannian product, then  $Hol^0(M) = Hol^0(M_1) \times Hol^0(M_2)$ , and conversely if the restricted holonomy group splits as a non-trivial product, then  $\widetilde{M}$  splits as a Riemannian product (known as the de Rham decomposition). We will say that  $M$  is irreducible if its holonomy group does not split non-trivially. For irreducible Riemannian manifolds Berger-Simons theorem says that, if  $M$  is not locally symmetric,  $Hol^0(M)$  is one of the following:

- (1)  $SO(n)$ ;
- (2)  $U(m)$ , where  $n = 2m$ , in which case  $M$  is Kähler but not Ricci-flat;
- (3)  $SU(m)$ , where  $n = 2m$ , in which case  $M$  is Ricci-flat Kähler;
- (4)  $Sp(k) \cdot Sp(1) := Sp(k) \times Sp(1) / \{\pm 1\}$  where  $n = 4k$ , in which case  $M$  is called a Quaternionic Kähler manifold, and is an Einstein manifold but neither Ricci-flat nor Kähler;
- (5)  $Sp(k)$ , where  $n = 4k$ , in which case  $M$  is called a hyperkähler manifold, and is Ricci-flat Kähler;
- (6)  $G_2$ , in which case  $n = 7$  and  $M$  is Ricci-flat;
- (7)  $Spin(7)$ , in which case  $n = 8$  and  $M$  is Ricci-flat.

**Remark 2.5.** A locally symmetric space is Einstein with non-zero scalar curvature. Thus for an irreducible Riemannian manifold  $M$ , if  $Hol^0(M) \neq SO(n)$  and  $M$  is Ricci-flat, then  $Hol^0(M)$  is either  $SU(m)$ ,  $Sp(k)$ ,  $G_2$  or  $Spin(7)$ .

**Remark 2.6.** The reduction of the holonomy group defines naturally a reduction of the structure group of  $P_{SO}$  to  $Hol(M)$ . If the holonomy group reduces to  $G_2$  or  $Spin(7)$ ,

then  $M$  is spin since  $\pi_1(G_2) = 1 = \pi_1(\text{Spin}(7))$ . We have used this fact in the statement of Theorem 1.

### 3. PROOFS

The group  $\text{Spin}(n)$  is generated by the elements in the real Clifford algebra  $Cl_n$  of even degree and with unit length. Let  $M$  be a spin manifold of dimension  $n$ , and  $P_{\text{Spin}} \rightarrow P_{SO}$  be a spin structure. By a real (resp. complex) spin-module we mean a  $\text{Spin}(n)$  module obtained by restriction to  $\text{Spin}(n)$  of a module of  $Cl_n$  (resp. the complexified Clifford algebra  $Cl_n$ ). We call a real (resp. complex) spinor bundle the vector bundle which associates to  $P_{\text{Spin}}$  via a real (resp. complex) spin-module. Given a spinor bundle  $S$  we can define the Dirac operator  $D$  by  $Ds = \sum_i e_i \nabla_{e_i} s$ , where  $s$  is a section of the spinor bundle and  $e_1, \dots, e_n$  are a local oriented orthonormal frame of  $M$ . We have the following Lichnerowicz formula:

$$D^2 = \nabla^* \nabla + \frac{1}{4} \kappa$$

where  $\kappa$  denotes the scalar curvature of  $M$ . This formula says that if  $M$  admits a positive scalar curvature then there is no harmonic spinor, and that if the scalar curvature is identically zero then a harmonic spinor is parallel.

Let  $l : \text{Spin}(n) \rightarrow \text{Hom}(Cl_n, Cl_n)$  be the left multiplication of  $\text{Spin}(n)$  on  $Cl_n$ . The bundle  $P_{\text{Spin}} \times_l Cl_n$  admits an action of  $Cl_n$  by the right multiplication. Thus the kernel  $\text{Ker } \mathcal{D}$  of the Dirac operator  $\mathcal{D}$  is a  $\mathbb{Z}_2$ -graded  $Cl_n$ -module.

Let  $\widehat{\mathfrak{M}}_n$  be the Grothendieck group of  $\mathbb{Z}_2$ -graded  $Cl_n$ -modules. Let  $i : \mathbb{R}^n \rightarrow \mathbb{R}^{n+1}$  be the inclusion. Then  $i$  induces  $i_* : Cl_n \rightarrow Cl_{n+1}$  and  $i^* : \widehat{\mathfrak{M}}_{n+1} \rightarrow \widehat{\mathfrak{M}}_n$ . Through the isomorphism  $\widehat{\mathfrak{M}}_n / i^* \widehat{\mathfrak{M}}_{n+1} \cong KO^{-n}(pt) := KO(D^n, S^{n-1})$ ,  $\text{Ker } \mathcal{D}$  defines an element of  $KO^{-n}(pt)$  which we define to be  $\alpha(M)$ . Moreover  $\alpha$  induces a ring homomorphism  $\alpha_* : \Omega_*^{\text{Spin}} \rightarrow KO^{-*}$  where  $\Omega_*^{\text{Spin}}$  denotes the spin cobordism ring. By the Lichnerowicz formula, if  $M$  admits a metric of positive scalar curvature, then  $\alpha(M) = 0$ . Conversely, by the theorem of Stolz, if  $M$  is a closed simply-connected spin manifold of dimension  $\geq 5$  such that  $\alpha(M) = 0$ , then  $M$  admits a metric of positive scalar curvature.

Recall that  $KO^{-n}(pt)$  is isomorphic to  $\mathbb{Z}_2$  for  $n = 8k + 1$  and  $8k + 2$ , to  $\mathbb{Z}$  for  $n = 8k$  and  $8k + 4$ , and to 0 for other dimensions. For actual purposes  $\text{ind } \mathcal{D}$  are computed by  $\dim_{\mathbb{R}} \text{Ker } D^0 \pmod 2$  for  $n = 8k + 1$ ,  $\dim_{\mathbb{C}} \text{Ker } D^0 \pmod 2$  for  $n = 8k + 2$ ,  $\hat{A}(M)/2$  for  $n = 8k + 4$ ,  $\hat{A}(M)$  for  $n = 8k$ , and by 0 for other dimensions, where  $D = D^0 + D^1$  denotes the Dirac operator for the real spinor bundle. In any event if  $\text{ind } \mathcal{D} \neq 0$ , there exists a harmonic spinor for the real spinor bundle. This last fact can be seen also from the fact that  $P_{\text{Spin}} \times_l Cl_n$  is a direct sum of irreducible real spinor bundles, each component having vanishing second fundamental form.

**Lemma 3.1.** *Let  $M = M_1 \times \dots \times M_k$  be a Riemannian product of closed spin manifolds. Then a spinor bundle on  $M$  has a harmonic (resp. parallel) spinor if and only if a spinor bundle of each  $M_i$  has a harmonic (resp. parallel) spinor.*

*Proof.* We describe the case of real spinor bundles. It suffices to show in the case of  $k = 2$ . Suppose that  $\dim M_i = n_i$  for  $i = 1, 2$ , and that  $n = n_1 + n_2$ . We denote by  $P_{Spin(n)}$  (resp.  $P_{Spin(n_i)}$ ) the spin structure of  $M$  (resp.  $M_i$ ). We may assume that a harmonic spinor exists in an irreducible spinor bundle on  $M$ . Since an irreducible spin-module is imbedded into  $Cl_n$  which is considered as a left  $Cl_n$  module, we may further assume that  $S_M := P_{Spin(n)} \times_l Cl_n$  has a harmonic spinor. Set  $S_{M_i} = P_{Spin(n_i)} \times_l Cl_{n_i}$ . Then since  $Cl_{p+q} \cong Cl_p \hat{\otimes} Cl_q$ , we have  $S_M = \pi_1^* S_{M_1} \otimes \pi_2^* S_{M_2}$  where  $\pi_i : M \rightarrow M_i$  denotes the projection. Denote by  $\mathfrak{D}$  and  $\mathfrak{D}_i$  the Dirac operators of  $S_M$  and  $S_{M_i}$ . Let  $\eta = \phi \otimes \psi$  be a local section of  $S_M$ . Since  $\mathfrak{D}^2 = \nabla^* \nabla + \frac{1}{4} \kappa_M$ , we have

$$\mathfrak{D}^2 \eta = (\mathfrak{D}_1^2 \phi) \otimes \psi + \phi \otimes (\mathfrak{D}_2^2 \psi).$$

Let  $\{\lambda_i\}_{i=1}^\infty$  and  $\{\mu_j\}_{j=1}^\infty$  be the eigenvalues of  $\mathfrak{D}_1^2$  and  $\mathfrak{D}_2^2$ . Since the Dirac operators are self-adjoint,  $\lambda_i$  and  $\mu_j$  are all non-negative. Let  $\{\phi_i\}_{i=1}^\infty$  and  $\{\psi_j\}_{j=1}^\infty$  be the corresponding eigensections. Then they respectively form  $L^2$ -bases of  $C^\infty(S_{M_1})$  and  $C^\infty(S_{M_2})$ . Thus  $\{\phi_i \otimes \psi_j\}_{i,j=1}^\infty$  are eigensections of  $S_M$  which form an  $L^2$ -basis of  $C^\infty(S_M)$ , and the corresponding eigenvalues are  $\{\lambda_i + \mu_j\}_{i,j=1}^\infty$ . Clearly  $\lambda_1 + \mu_1 = 0$  if and only if  $\lambda_1 = \mu_1 = 0$ . This proves the case of harmonic spinors. For parallel spinors, we have only to replace  $\mathfrak{D}^2$  by  $\nabla^* \nabla$ . This completes the proof.

**Proposition 3.2 ([F]).** *Let  $S$  be a spinor bundle on a Riemannian spin manifold  $M$ . If  $S$  has a non-zero parallel spinor  $\psi$ , then  $M$  is Ricci-flat.*

*Proof.* Using the first Bianchi identity one sees

$$\begin{aligned} 0 &= \sum_j e_j (\nabla_{e_i} \nabla_{e_j} - \nabla_{e_j} \nabla_{e_i} - \nabla_{[e_i, e_j]}) \psi \\ &= -\frac{1}{4} \sum_{j,k,l} e_j R_{ijkl} e_k e_l \psi = -\frac{1}{2} \sum_l Ric(e_i, e_l) e_l \psi \end{aligned}$$

for any  $i$ . Thus  $Ric = 0$ , as desired.

**Proposition 3.3 ([H]).** *Let  $M$  be a closed Riemannian spin manifold of dimension  $n$ . If a spinor bundle  $S$  on  $M$  has a parallel spinor, then  $M$  is Ricci-flat and the restricted holonomy group  $Hol^0(M)$  of  $M$  reduces to a product whose irreducible components are  $SO(1)$ ,  $SU(m)$ ,  $Sp(k)$ ,  $G_2$ , or  $Spin(7)$ .*

*Proof.* First of all  $M$  is Ricci-flat by Proposition 3.2. Passing to the universal cover  $\widetilde{M}$  we may assume that there exists a parallel spinor of a spinor bundle. By the de Rham decomposition  $\widetilde{M}$  splits into a Riemannian product in such a way that the holonomy group of each irreducible component  $M_i$  is irreducible. By Lemma 3.1  $M_i$  has a parallel spinor. If  $n_i := \dim M_i = 1$ , then  $Hol(M_i) = SO(1)$ . If  $n_i \geq 2$ , then an irreducible spin representation has dimension  $\geq 2$ . Then by Proposition 2.3, the dimension of the holonomy group of the principal bundle associated to the spinor bundle is strictly



smaller than  $\dim Spin(n_i) = \dim SO(n_i)$ . Thus by Lemma 2.4 the holonomy group  $Hol(M_i)$  is strictly smaller than  $SO(n_i)$ . Since  $M_i$  is Ricci-flat, possible holonomy groups are  $SU(m)$ ,  $Sp(k)$ ,  $G_2$  or  $Spin(7)$ . Since  $Hol^0(M) = Hol(\widetilde{M})$ , we are done.

*Proof of Theorem 1.* Let  $M$  be a simply connected closed manifold of dimension  $\geq 5$  which belongs to the class (Z). By the theorems of Gromov-Lawson and Stolz that  $M$  is spin and  $\alpha(M) \neq 0$ . Thus  $M$  has a harmonic spinor, but as  $M$  admits a scalar-flat metric, we may assume that the harmonic spinor is parallel. Then by Proposition 3.3 the holonomy group of  $M$  is a product of  $SO(1)$ ,  $SU(m)$ ,  $Sp(k)$ ,  $G_2$  and  $Spin(7)$ . However  $SO(1)$  is ruled out because  $M$  is simply connected, and  $G_2$  is also ruled out because if  $M$  contains a 7-dimensional irreducible factor then we have  $\alpha(M) = 0$  by the multiplicative property of  $\alpha$ . The converse is obvious. This completes the proof.

*Proof of Corollary 2.* Suppose that the universal cover  $\widetilde{M}$  belongs to the class (Z). Let  $M_i$  be an irreducible component of  $\widetilde{M}$  as in Theorem 1. By [W],  $|\hat{A}(M_i)| = 1$  if  $Hol(M_i) = Spin(7)$ ,  $\hat{A}(M_i) = 2$  if  $Hol(M_i) = SU(m_i)$  and  $m_i$  is even, and  $\hat{A}(M_i) = k_i + 1$  if  $Hol(M_i) = Sp(k_i)$ . Hence we have  $|\hat{A}(\widetilde{M})| \leq 2^{\sharp}$  where the equality holds when  $\widetilde{M}$  is the product of K3-surfaces, from which the corollary follows.

*Remark 3.4.* In the case where  $M$  is spin and with finite fundamental group, there are existence results of positive scalar curvature metrics for certain fundamental groups (e.g.  $\mathbf{Z}/2$ ) under the assumption that all index obstructions coming from flat bundles vanish (c.f.[RS],[R],[KS]). These results also imply the characterization of the class (Z). For example one can prove that, if  $M$  is spin with  $\pi_1(M) = \mathbf{Z}/2$ , then  $M$  belongs to the class (Z) if and only if there exists a metric on  $M$  such that the Riemannian covering  $\widetilde{M}$  is a product of Ricci-flat Kähler manifolds and manifolds with  $Spin(7)$  and  $G_2$  holonomy and if  $M$  has non-vanishing index obstruction. In this case it is not easy to rule out the  $G_2$  holonomy since it is not clear if the positive scalar curvature on  $\widetilde{M}$  is  $\mathbf{Z}/2$ -invariant to descend to  $M$ .

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