

**NON ABELIAN EXTENSIONS  
AND HOMOTOPIES**

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The motivation for this paper is the classical problem of topology to find simple algebraic models of homotopy categories of spaces. For example Kan [12] uses free simplicial groups as such models. Curtis [7] showed that simplicial groups of nilpotency degree  $m$  suffice to model the homotopy category of simply connected  $n$ -dimensional CW-spaces with  $n \leq 1 + \{\log_2(m+1)\}$ . Here  $\{a\}$  is the least integer  $\geq a$ . Is a further simplification possible? We restrict to the homotopy category  $\underline{CW}(n, n+k)$  as a test case where  $\underline{CW}(n, n+k)$  consists of CW-complexes with cells only in dimension  $n$  and  $n+k$ . Using theorem (5.8) we show that an algebraic model of the category  $\underline{CW}(2, 4)$  can be given only in terms of groups of nilpotency degree 2 while Curtis needs nilpotency degree 4 in this case; see (5.11).

A CW-complex  $K$  in  $\underline{CW}(n, n+k)$  is the mapping cone of a map,  $m = n+k-1$ ,

$$\tilde{a} : M(A, m) \rightarrow M(X, n)$$

where  $A, X$  are free abelian groups and  $M(X, m)$  is the Moore space of  $X$ . The homotopy type of  $K$  is determined by the homomorphism

$$a : A \rightarrow \Gamma_n^{k-1}(X)$$

induced by  $\tilde{a}$ . Here the homotopy group

$$\Gamma_n^k(X) = \pi_{n+k}M(X, n) \tag{1}$$

is computable via the Hilton-Milnor theorem in terms of homotopy groups of spheres. For free abelian groups  $A, X, B, Y$  we consider homotopy commutative diagrams together with homotopies  $H$ ,  $m = n+k-1$ ,

$$\begin{array}{ccc} M(B, m) & \xrightarrow{\tilde{\xi}} & M(A, m) \\ \tilde{b} \downarrow & \xrightarrow{H} & \downarrow \tilde{a} \\ M(Y, n) & \xrightarrow{\tilde{\eta}} & M(X, n) \end{array} \tag{2}$$

The main result of this paper describes algebraic models of such diagrams. They are used to represent morphisms in the category  $\underline{CW}(n, n+k)$ . The homotopy classes of  $\tilde{\xi}$ ,  $\tilde{\eta}$ ,  $\tilde{a}$ ,  $\tilde{b}$  are determined by the induced homomorphisms  $\xi, \eta, a, b$  in the commutative diagram

$$\begin{array}{ccc}
B & \xrightarrow{\xi} & A \\
\downarrow b & & \downarrow a \\
\Gamma_n^{k-1}(Y) & \xrightarrow{\eta_*} & \Gamma_n^{k-1}(X)
\end{array} \tag{3}$$

Two homotopies  $H, H' : \tilde{\eta} \tilde{b} \simeq \tilde{a} \tilde{\xi}$  differ by a map  $\alpha : M(B, m+1) \rightarrow M(X, n)$  which represents a homomorphism

$$\alpha \in \text{Hom}(B, \Gamma_n^k(X)).$$

Hence homotopies in (2) yield a connection between the functors  $\Gamma_n^{k-1}$  and  $\Gamma_n^k$ . We describe this connection algebraically by a ‘non-abelian extension’

$$0 \rightarrow \Gamma_n^k(X) \rightarrow M(G) \xrightarrow{\delta_n^k(G)} N(G) \rightarrow \Gamma_n^{k-1}(X) \rightarrow 0 \tag{4}$$

where  $G$  is a free group with  $G^{ab} = X$ . Here  $\delta_n^k$  is a functor which carries a free group to a crossed module; this functor can be described by use of the differential in the Moore chain complex of a simplicial group  $G(X, n)$  representing the loop space  $\Omega M(X, n)$ . Using  $\delta_n^k$  we are able to construct ‘algebraic homotopies’ which represent homotopies  $H$  in (2); see § 4 and § 5. This aim leads us to the algebraic concepts in § 1, § 2, § 3 where we introduce abelian groups and homomorphisms

$$\text{Ext}_{\underline{K}}^2(A, B, \underline{cr}) \rightarrow \text{Pext}_{\underline{K}}^2(A, B, \underline{cr}) \rightarrow H^2(\underline{gr}(A, \underline{K}), \text{Hom}(-, B)) \tag{5}$$

which are binatural for  $\underline{K}$ -modules  $A, B$ . Here  $\underline{cr}$  is an additive subcategory of the category of crossed modules. The group  $\text{Ext}^2, \text{Pext}^2$  are generalizations of the classical functor  $\text{Ext}^2$ , and  $H^2$  is the cohomology of a category. The natural transformation (5) yields as a special case a transformation of Jibladze-Pirashvili (3.11 [11]).

## § 1 Linear extensions of categories and the cohomology of categories

An extension of a group  $G$  by a  $G$ -module  $A$  is a short exact sequence of groups

$$(1.1) \quad 0 \rightarrow A \xrightarrow{i} E \xrightarrow{p} G \rightarrow 0$$

where  $i$  is compatible with the action of  $G$ . Two such extensions  $E$  and  $E'$  are equivalent if there is an isomorphism  $\epsilon : E \cong E'$  of groups with  $p'\epsilon = p$  and  $\epsilon i = i'$ . It is well known that the equivalence classes of extensions are classified by the cohomology  $H^2(G, A)$ .

We now describe linear extensions of a small category  $\underline{C}$  by a “natural system”  $D$ . The equivalence classes of such extensions are equally classified by the cohomology  $H^2(\underline{C}, D)$ . A natural system  $D$  on a category  $\underline{C}$  is the appropriate generalization of a  $G$ -module.

(1.2) *Definition.* Let  $\underline{C}$  be a category. The category of factorizations in  $\underline{C}$ , denoted by  $F\underline{C}$ , is given as follows. Objects are morphisms  $f, g, \dots$  in  $\underline{C}$  and morphisms  $f \rightarrow g$  are pairs  $(\alpha, \beta)$  for which

$$\begin{array}{ccc} A & \xrightarrow{\alpha} & A' \\ f \uparrow & & \uparrow g \\ B & \xleftarrow{\beta} & B' \end{array}$$

commutes in  $\underline{C}$ . Here  $\alpha f \beta$  is factorization of  $g$ . Composition is defined by  $(\alpha', \beta')(\alpha, \beta) = (\alpha' \alpha, \beta \beta')$ . We clearly have  $(\alpha, \beta) = (\alpha, 1)(1, \beta) = (1, \beta)(\alpha, 1)$ . A natural system (of abelian groups) on  $\underline{C}$  is a functor  $D : F\underline{C} \rightarrow \underline{Ab}$ . The functor  $D$  carries the object  $f$  to  $D_f = D(f)$  and carries the morphism  $(\alpha, \beta) : f \rightarrow g$  to the induced homomorphism

$$D(\alpha, \beta) = \alpha_* \beta^* : D_f \rightarrow D_{\alpha f \beta} = D_g$$

Here we set  $D(\alpha, 1) = \alpha_*$ ,  $D(1, \beta) = \beta^*$ .

We have a canonical forgetful functor  $\pi : F\underline{C} \rightarrow \underline{C}^{op} \times \underline{C}$  so that each bifunctor  $D : \underline{C}^{op} \times \underline{C} \rightarrow \underline{Ab}$  yields a natural system  $D\pi$ , as well denoted by  $D$ . Such a bifunctor is also called a  $\underline{C}$ -bimodule. In this case  $D_f = D(B, A)$  depends only on the objects  $A, B$  for all  $f \in \underline{C}(B, A)$ . Two functors  $F, G : \underline{Ab} \rightarrow \underline{Ab}$  yield the  $\underline{Ab}$ -bimodule

$$Hom(F, G) : \underline{Ab}^{op} \times \underline{Ab} \rightarrow \underline{Ab}$$

which carries  $(A, B)$  to the group of homomorphisms  $Hom(FA, GB)$ . If  $F$  is the identity functor we write  $Hom(-, G)$ .

For a group  $G$  and a  $G$ -module  $A$  the corresponding natural system  $D$  on the group  $G$ , considered as a category, is given by  $D_g = A$  for  $g \in G$  and  $g_* a = g \cdot a$  for

$a \in A, g^*a = a$ . If we restrict the following notion of a “linear extension” to the case  $\underline{\underline{C}} = G$  and  $D = A$  we obtain the notion of a group extension above.

(1.3) Definition. Let  $D$  be a natural system on  $\underline{\underline{C}}$ . We say that

$$D \xrightarrow{+} \underline{\underline{E}} \xrightarrow{p} \underline{\underline{C}}$$

is a linear extension of the category  $\underline{\underline{C}}$  by  $D$  if (a), (b) and (c) hold.

- (a)  $\underline{\underline{E}}$  and  $\underline{\underline{C}}$  have the same objects and  $p$  is a full functor which is the identity on objects.
- (b) For each  $f : A \rightarrow B$  in  $\underline{\underline{C}}$  the abelian group  $D_f$  acts transitively and effectively on the subset  $p^{-1}(f)$  of morphisms in  $\underline{\underline{E}}$ . We write  $f_0 + \alpha$  for the action of  $\alpha \in D_f$  on  $f_0 \in p^{-1}(f)$ .
- (c) The action satisfies the linear distributivity law:

$$(f_0 + \alpha)(g_0 + \beta) = f_0g_0 + f_*\beta + g^*\alpha.$$

Two linear extensions  $\underline{\underline{E}}$  and  $\underline{\underline{E}'}$  are equivalent if there is an isomorphism of categories  $\epsilon : \underline{\underline{E}} \cong \underline{\underline{E}'}$  with  $p'\epsilon = p$  and with  $\epsilon(f_0 + \alpha) = \epsilon(f_0) + \alpha$  for  $f_0 \in \text{Mor}(\underline{\underline{E}})$ ,  $\alpha \in D_{pf_0}$ . The extension  $\underline{\underline{E}}$  is split if there is a functor  $s : \underline{\underline{C}} \rightarrow \underline{\underline{E}}$  with  $ps = 1$ . We obtain the canonical split linear extension

$$(d) \quad D \xrightarrow{+} \underline{\underline{C}} \times D \rightarrow \underline{\underline{C}}$$

as follows. Objects in  $\underline{\underline{C}} \times D$  are the same as in  $\underline{\underline{C}}$  and morphisms  $X \rightarrow Y$  in  $\underline{\underline{C}} \times D$  are pairs  $(f, \alpha)$  where  $f : X \rightarrow Y \in \underline{\underline{C}}$  and  $\alpha \in D(f)$ . The composition law is given by

$$(e) \quad (f, \alpha)(g, \beta) = (fg, f_*\beta + g^*\alpha)$$

Clearly the projection  $\underline{\underline{C}} \times D \rightarrow \underline{\underline{C}}$  carries  $(f, \alpha)$  to  $f$  and the action  $D+$  is given by  $(f, \alpha) + \alpha' = (f, \alpha + \alpha')$  for  $\alpha' \in D(f)$ . A splitting functor  $s$  yields the equivalence of linear extensions

$$(f) \quad \epsilon : \underline{\underline{C}} \times D \cong \underline{\underline{E}}$$

given by  $\epsilon(f, \alpha) = s(f) + \alpha$ . We also consider the following maps between linear extensions

$$(1.4) \quad \begin{array}{ccccc} D & \xrightarrow{+} & \underline{\underline{E}} & \xrightarrow{p} & \underline{\underline{F}} \\ \downarrow d & & \downarrow \epsilon & & \downarrow \varphi \\ D' & \xrightarrow{+} & \underline{\underline{E}'} & \xrightarrow{p'} & \underline{\underline{F}'} \end{array}$$

Here  $\epsilon$  and  $\varphi$  are functors with  $p'\epsilon = \varphi p$  and  $d : D_f \rightarrow D'_{\varphi f}$  is a natural transformation compatible with the action  $+$ , that is

$$\epsilon(f_0 + \alpha) = \epsilon(f_0) + d(\alpha)$$

for  $\alpha \in D_f$ . Let  $\underline{\underline{C}}$  be a small category and let  $M(\underline{\underline{C}}, D)$  be the set of equivalence classes of linear extensions of  $\underline{\underline{C}}$  by  $\underline{\underline{D}}$ . Then there is a canonical bijection

$$(1.5) \quad \psi : M(\underline{\underline{C}}, D) \cong H^2(\underline{\underline{C}}, D)$$

which maps the split extension to the zero element, see IV § 6 in Baues [2]. Here  $H^n(\underline{\underline{C}}, D)$  denotes the cohomology of  $\underline{\underline{C}}$  with coefficients in  $D$  which is defined below. We obtain a representing cocycle  $\Delta_t$  of the cohomology class  $\{\underline{\underline{E}}\} = \psi(\underline{\underline{E}}) \in H^2(\underline{\underline{C}}, D)$  as follows. Let  $t$  be a “splitting” function for  $p$  which associates with each morphism  $f : A \rightarrow B$  in  $\underline{\underline{C}}$  a morphism  $f_0 = t(f)$  in  $\underline{\underline{E}}$  with  $pf_0 = f$ . Then  $t$  yields a cocycle  $\Delta_t$  by the formula

$$(1.6) \quad t(gf) = t(g)t(f) + \Delta_t(g, f)$$

with  $\Delta_t(g, f) \in D(gf)$ . The cohomology class  $\{\underline{\underline{E}}\} = \{\Delta_t\}$  is trivial if and only if  $\underline{\underline{E}}$  is a split extension.

(1.7) *Definition.* Let  $\underline{\underline{C}}$  be a small category and let  $N_n(\underline{\underline{C}})$  be the set of sequences  $(\lambda_1, \dots, \lambda_n)$  of  $n$  composable morphisms in  $\underline{\underline{C}}$  (which are the  $n$ -simplices of the nerve of  $\underline{\underline{C}}$ ). For  $n = 0$  let  $N_0(\underline{\underline{C}}) = \text{Ob}(\underline{\underline{C}})$  be the set of objects in  $\underline{\underline{C}}$ . The cochain group  $F^n = F^n(\underline{\underline{C}}, D)$  is the abelian group of all functions

$$(1) \quad c : N_n(\underline{\underline{C}}) \rightarrow \left( \bigcup_{g \in \text{Mor}(\underline{\underline{C}})} D_g \right) = D$$

with  $c(\lambda_1, \dots, \lambda_n) \in D_{\lambda_1 \circ \dots \circ \lambda_n}$ . Addition in  $F^n$  is given by adding pointwise in the abelian groups  $D_g$ . The coboundary  $\partial : F^{n-1} \rightarrow F^n$  is defined by the formula

$$(2) \quad \begin{aligned} (\partial c)(\lambda_1, \dots, \lambda_n) &= (\lambda_1)_* c(\lambda_2, \dots, \lambda_n) \\ &+ \sum_{i=1}^{n-1} (-1)^i c(\lambda_1, \dots, \lambda_i \lambda_{i+1}, \dots, \lambda_n) \\ &+ (-1)^n (\lambda_n)^* c(\lambda_1, \dots, \lambda_{n-1}) \end{aligned}$$

For  $n = 1$  we have  $(\partial c)(\lambda) = \lambda_* c(A) - \lambda^* c(B)$  for  $\lambda : A \rightarrow B \in N_1(\underline{\underline{C}})$ . One can check that  $\partial c \in F^n$  for  $c \in F^{n-1}$  and that  $\partial \partial = 0$ . Hence the cohomology groups

$$(3) \quad H^n(\underline{\underline{C}}, D) = H^n(F^*(\underline{\underline{C}}, D), \delta)$$

are defined,  $n \geq 0$ . These groups are discussed in Baues [2]. By change of the universe cohomology groups  $H^n(\underline{\underline{C}}, D)$  can also be defined if  $\underline{\underline{C}}$  is not a small category. A functor  $\phi : \underline{\underline{C}}' \rightarrow \underline{\underline{C}}$  induces the homomorphism

$$(4) \quad \phi^* : H^n(\underline{\underline{C}}, D) \rightarrow H^n(\underline{\underline{C}}', \phi^* D)$$

where  $\phi^* D$  is the natural system given by  $(\phi^* D)_f = D_{\phi(f)}$ . On cochains the map  $\phi^*$  is given by the formula

$$(\phi^* f)(\lambda'_1, \dots, \lambda'_n) = f(\phi\lambda'_1, \dots, \phi\lambda'_n)$$

where  $(\lambda'_1, \dots, \lambda'_n) \in N_n(\underline{\underline{C}}')$ . A natural transformation  $\tau : D \rightarrow D'$  between natural systems induces a homomorphism

$$\tau_* : H^n(\underline{\underline{C}}, D) \rightarrow H^n(\underline{\underline{C}}, D')$$

by  $(\tau_* f)(\lambda_1, \dots, \lambda_n) = \tau_\lambda f(\lambda_1, \dots, \lambda_n)$  where  $\tau_\lambda : D_\lambda \rightarrow D'_\lambda$  with  $\lambda = \lambda_1 \circ \dots \circ \lambda_n$  is given by the transformation  $\tau$ .



## § 2 Extensions of K-modules

We introduce various generalizations of the classical group of 2-fold extensions  $Ext^2$ . For this we need the following notations; see also [3]. Let  $\underline{Gr}$  be the category of groups and  $N$  be a group. An  $N$ -group (or an action of  $N$  on a group  $M$ ) is a homomorphism  $h$  from  $N$  to the group of automorphisms of  $M$ . For  $x \in M$ ,  $\alpha \in N$  we denote the action by  $x^\alpha = h(\alpha^{-1})(x)$ . The action is trivial if  $x^\alpha = x$  for all  $x, \alpha$ . For a homomorphism  $\alpha : G \rightarrow N$  in  $\underline{Gr}$  an  $\alpha$ -crossed homomorphism  $g : G \rightarrow M$  is a function  $g$  satisfying

$$(2.1) \quad g(x \cdot y) = g(x)^{\alpha(y)} \cdot g(y)$$

for  $x, y \in G$ . For example given homomorphisms  $g, h : G \rightarrow M$  the function  $-g + h : G \rightarrow M$  defined by

$$(-g + h)(x) = g(x)^{-1} \cdot h(x)$$

for  $x \in G$  is a  $g$ -crossed homomorphism where we use the action of  $M$  on  $M$  by inner automorphism. We define for functions  $r, s : G \rightarrow M$  the sum  $r + s$  by

$$(2.2) \quad (r + s)(x) = r(x) \cdot s(x)$$

where the right hand side is the product in  $M$ . A crossed module  $\partial : M \rightarrow N$  is a homomorphism in  $\underline{Gr}$  together with an action of  $N$  on  $M$  such that for  $x, y \in M$ ,  $\alpha \in N$  we have

$$(2.3) \quad \begin{cases} \partial(x^\alpha) = \alpha^{-1} \cdot x \cdot \alpha, \\ x^{\partial y} = y^{-1} x y. \end{cases}$$

A morphism  $\partial \rightarrow \partial'$  between crossed modules is a commutative diagram in  $\underline{Gr}$

$$\begin{array}{ccc} M & \xrightarrow{g} & M' \\ \partial \downarrow & & \downarrow \partial' \\ N & \xrightarrow{f} & N' \end{array}$$

where  $g$  is  $f$ -equivariant, that is  $g(x^\alpha) = (gx)^{f(\alpha)}$ . This is a weak equivalence if  $(f, g)$  induces isomorphisms  $\pi_2(\partial) \cong \pi_2(\partial')$  for  $i = 1, 2$  where  $\pi_1(\partial) = \text{cokernel}(\partial)$  and  $\pi_2(\partial) = \text{kernel}(\partial)$ . For a crossed module  $\partial$  the group  $\pi_2(\partial)$  is abelian and central in  $M$  and  $\pi_1(\partial)$  acts on  $\pi_2(\partial)$  by  $x^{\{\alpha\}} = x^\alpha$  for  $x \in \pi_2(\partial)$ ,  $\{\alpha\} \in \pi_1(\partial)$ . Let cross be the category of crossed modules and let abcross be the full subcategory of all crossed modules  $\partial$  for which  $\pi_1(\partial)$  is abelian and acts trivially on  $\pi_2(\partial)$ .

(2.4) Definition. Let  $\partial \in \underline{abcross}$  and let  $f : \pi_1 \rightarrow \pi_1(\partial)$  and  $g : \pi_2(\partial) \rightarrow \pi_2$  be homomorphisms in  $\underline{Ab}$ . Then we define  $f^*(\partial), g_*(\partial) \in \underline{abcross}$  by the following commutative diagram

$$(1) \quad \begin{array}{ccccccc} \pi_2(f^*\partial) & \longrightarrow & M & \xrightarrow{f^*(\partial)} & N' & \longrightarrow & \pi_1 \\ \downarrow \parallel & & \downarrow \parallel & & \downarrow \bar{f} & & \downarrow f \\ \pi_2(\partial) & \longrightarrow & M & \xrightarrow{\partial} & N & \longrightarrow & \pi_1(\partial) \\ g \downarrow & & \downarrow \bar{g} & & \downarrow \parallel & & \downarrow \parallel \\ \pi_2 & \longrightarrow & M' & \xrightarrow{g_*(\partial)} & N & \longrightarrow & \pi_1(g_*\partial) \end{array}$$

Here  $(g, \bar{g})$  is a central push out diagram, that is  $M' = \pi_2 x M / \sim$  where  $(x + g(a), y) \sim (x, a + y)$  for  $x \in \pi_2$ ,  $a \in \pi_2(\partial)$ ,  $y \in M$ . The action of  $N$  on  $M'$  is given by  $(x, y)^\alpha = (x, y^\alpha)$ . Moreover  $(\bar{f}, f)$  is a pull back diagram in  $\underline{Gr}$  and the action of  $(\alpha, \beta) \in N'$  on  $M$  is defined by  $y^{(\alpha, \beta)} = y^\alpha$ ,  $\alpha \in N$ ,  $\beta \in \pi_1$ . Using the product of groups one gets for  $\partial, \partial' \in \underline{abcross}$  the object  $\partial \times \partial' \in \underline{abcross}$ ,

$$\begin{array}{ccccccc} \pi_2(\partial) \times \pi_2(\partial') & \longrightarrow & M \times M' & \xrightarrow{\partial \times \partial'} & N \times N' & \longrightarrow & \pi_1(\partial) \times \pi_1(\partial') \\ \downarrow \parallel & & & & \downarrow \parallel & & \\ \pi_2(\partial \times \partial') & & & & \pi_1(\partial \times \partial') & & \end{array}$$

with the action of  $(\alpha, \beta) \in N \times N'$  on  $(x, y) \in M \times M'$  given by  $(x, y)^{(\alpha, \beta)} = (x^\alpha, y^\beta)$ . We say that a subcategory  $\underline{cr} \subset \underline{abcross}$  is additive if for  $\partial, \partial' \in \underline{cr}$  and maps  $f, g$  as above  $f^*\partial \rightarrow \partial \rightarrow g_*\partial' \in \underline{cr}$  and  $\partial \times \partial' \in \underline{cr}$ . These are the operations used for the definition of the 'Baer-sum' in (2.5) below.

We now describe examples of additive subcategories in  $\underline{abcross}$ . A central map  $\partial : M \rightarrow N$  is a homomorphism from an abelian group  $M$  to the center of a group  $N$ . This is the same as a crossed module for which the action of  $N$  on  $M$  is trivial. Let  $\underline{cent}$  be the category of central maps  $\partial$  for which  $\pi_1(\partial)$  is abelian. This is a full and additive subcategory of  $\underline{abcross}$ . Moreover let  $\underline{Pair}(\underline{Ab})$  be the category of pairs in  $\underline{Ab}$ ; objects are homomorphisms in  $\underline{Ab}$ . This is a full and additive subcategory of  $\underline{cent}$ . Further examples of additive subcategories in  $\underline{abcross}$  are given by the categories  $\underline{rquad}$  and  $\underline{squad}$  in (2.10) below.

Let  $\underline{K}$  be a category. A  $\underline{K}$ -module  $A$  is a functor  $A : \underline{K} \rightarrow \underline{Ab}$ . Morphisms between  $\underline{K}$ -modules are natural transformations. Let  $\underline{Ab}^{\underline{K}}$  be the category of  $\underline{K}$ -modules.

(2.5) Definition. Let  $A, B$  be  $\underline{K}$ -modules and let  $\underline{cr}$  be an additive subcategory of  $\underline{abcross}$ . We consider extensions  $\delta$  in  $\underline{cr}$  which are natural exact sequences of groups

$$(1) \quad 0 \rightarrow B(X) \rightarrow M(X) \xrightarrow{\delta(X)} N(X) \rightarrow A(X) \rightarrow 0$$

where  $\delta : \underline{K} \rightarrow \underline{cr}$  is a functor,  $X \in \underline{K}$ . Here we have  $A(X) = \pi_1\delta(X)$  and  $B(X) = \pi_2\delta(X)$ . An equivalence relation for such extensions is generated by the relation that  $\delta \sim \delta'$  if there is a diagram

$$(2) \quad \begin{array}{ccccccccc} 0 & \longrightarrow & B(X) & \longrightarrow & M(X) & \xrightarrow{\delta} & N(X) & \longrightarrow & A(X) & \longrightarrow & 0 \\ & & \downarrow \parallel & & \downarrow m & & \downarrow n & & \downarrow \parallel & & \\ 0 & \longrightarrow & B(X) & \longrightarrow & M'(X) & \xrightarrow{\delta'} & N'(X) & \longrightarrow & A(X) & \longrightarrow & 0 \end{array}$$

which is natural in  $X \in \underline{K}$  where  $(m, n) : \delta \rightarrow \delta'$  is a natural transformation in  $\underline{cr}$ . Let

$$(3) \quad \text{Ext}_{\underline{K}}^2(A, B, \underline{cr})$$

be the set of equivalence classes of such extensions (in general this is only a set in a suitable universe, compare the remark at the end of III.§ 5 of Mac Lane [13]). Morphisms  $f : A' \rightarrow A, g : B \rightarrow B'$  between  $\underline{K}$ -modules induce functions  $f^*, g_*$  on (3) with  $f^*\{\delta\} = \{f^*\delta\}, g_*\{\delta\} = \{g_*\delta\}$  where we apply (2.4) (1). We define the sum of equivalence classes  $\{\delta\} + \{\delta'\} = \{\delta + \delta'\}$  by the Baer sum

$$(4) \quad \delta + \delta' = (\nabla_B)_* \Delta_A^*(\delta \times \delta')$$

where  $\nabla_B : B \oplus B \rightarrow B$  and  $\Delta_A : A \rightarrow A \oplus A$  are the folding map and the diagonal respectively,  $\nabla_B(b_0, b_1) = b_0 + b_1, \Delta_A(a) = (a, a)$ . For the definition of  $\delta + \delta'$  we use the additive structure of  $\underline{cr}$  in (2.4). A functor  $\varphi : \underline{C} \rightarrow \underline{K}$  induces a homomorphism

$$(5) \quad \varphi^* : \text{Ext}_{\underline{K}}(A, B, \underline{cr}) \rightarrow \text{Ext}_{\underline{C}}(A\varphi, B\varphi, \underline{cr})$$

and an inclusion  $\psi : \underline{cr} \subset \underline{cr}'$  of additive subcategories induces a homomorphism

$$(6) \quad \psi_* : \text{Ext}_{\underline{K}}(A, B, \underline{cr}) \rightarrow \text{Ext}_{\underline{K}}(A, B, \underline{cr}')$$

where  $\varphi^*\{\delta\} = \{\delta\varphi\}$  and  $\psi_*\{\delta\} = \{\psi\delta\}$ .

In the next definition we generalize the concept of functors  $\delta : \underline{K} \rightarrow \underline{cr}$  used in the definition of extensions above.

(2.6) Definition. A pseudo functor

$$(\delta, \theta) : \underline{K} \rightarrow \underline{cr}$$

carries each object  $X$  in  $\underline{K}$  to a crossed module  $\delta_X = \delta : M(X) \rightarrow N(X) \in \underline{cr}$  and carries each morphism  $a : Y \rightarrow X$  in  $\underline{K}$  to a commutative diagram

$$(1) \quad \begin{array}{ccc} M(Y) & \xrightarrow{\delta} & N(Y) \\ \downarrow a_* & & \downarrow a_{\sharp} \\ M(X) & \xrightarrow{\delta} & N(X) \end{array}$$

which is a morphism  $(a_*, a_{\sharp}) : \delta_Y \rightarrow \delta_X$  in cr. Here  $M$  is a functor in  $X \in \underline{K}$  which induced  $a_*$ ; but  $N$  is not a functor. The induced maps  $a_{\sharp}$  satisfy for a composition  $ab : Z \rightarrow Y \rightarrow X \in \underline{K}$  the formula

$$(2) \quad a_{\sharp}b_{\sharp} = (ab)_{\sharp} + \delta_X \theta(a, b) p_Z$$

where  $p_Z : N(Z) \rightarrow \pi_1 \delta(Z)$  is the quotient map and where  $\theta(a, b) : \pi_1(\delta_Z) \rightarrow M(X)$  is an  $(ab)_{\sharp}$ -crossed homomorphism and a central map satisfying the 2-cocycle condition  $\partial(\theta) = 0$ , see (1.7). That is, for  $abc : W \rightarrow Z \rightarrow Y \rightarrow X \in \underline{K}$  we have the equation

$$(3) \quad 0 = a_* \theta(b, c) - \theta(ab, c) + \theta(a, bc) - \theta(a, b) c_*$$

where  $a_* = M(a)$  is induced by  $M$  and where  $c_* : \pi_1(\delta_W) \rightarrow \pi_1(\delta_Z)$  is induced by  $c_{\sharp}$ .

A natural transformation  $(m, n, \varphi) : (\delta, \theta) \rightarrow (\delta', \theta')$  between pseudo functors carries each object  $X$  to a morphism  $(m_X, n_X) : \delta_X \rightarrow \delta'_X$ ,

$$(4) \quad \begin{array}{ccc} M(X) & \xrightarrow{\delta} & N(X) \\ \downarrow m_X & & \downarrow n_X \\ M'(X) & \xrightarrow{\delta'} & N'(X) \end{array}$$

in cr. Here  $m$  is a natural transformation  $M \rightarrow M'$  between functors; but  $n$  satisfies for each  $a : Y \rightarrow X \in \underline{K}$  the equation

$$(5) \quad n_X a_{\sharp} = a_{\sharp} n_Y + \delta'_X \varphi(a) p_Y$$

where  $\varphi(a) : \pi_1(\delta_Y) \rightarrow M'(X)$  is an  $a_{\sharp} n_Y$ -crossed homomorphism and a central map satisfying the 1-cocycle condition  $\partial \varphi = 0$ , see (1.7). That is, for  $ab : Z \rightarrow Y \rightarrow X \in \underline{K}$  we have the equation

$$(6) \quad \varphi(ab) = a_* \varphi(b) + \varphi(a) b_*$$

where  $a_* = M(a)$  and where  $b_* : \pi_1(\delta_Z) \rightarrow \pi_1(\delta_X)$  is induced by  $b_{\sharp}$ . Moreover  $\varphi$  and  $\theta, \theta'$  satisfy the following compatibility relation

$$(7) \quad 0 = \theta'(a, b)(n_Z)_* + a_*\varphi(b) + \varphi(a)b_* - m_X\theta(a, b)$$

where  $(n_Z)_* = \pi_1(n_Z)$ ,  $a_* = M'(a)$ ,  $b_* = \pi_1(b_*)$ . Clearly pseudo functors with  $\theta = 0$  and natural transformations with  $\varphi = 0$  are the same as functors and natural transformations between functors respectively.

(2.7) **Definition.** Let  $A, B$  be  $\underline{K}$ -modules. We call an exact sequence (2.5) (1) a pseudo extension in  $\underline{cr}$  if  $\delta$  is given by a pseudo functor  $(\delta, \theta) : \underline{K} \rightarrow \underline{cr}$ . Equivalences between such pseudo extensions are defined by natural transformations between pseudo functors as in (2.5) (2). Let

$$(1) \quad \text{Pext}_{\underline{K}}^2(A, B, \underline{cr})$$

be the set of equivalence classes of pseudo extensions. Induced maps  $f^*, g_*$  for these sets are defined as in (2.5) and one obtains the Baer sum of pseudo extensions similarly as in (2.5) (4). Moreover functors  $\varphi, \psi$  induce  $\varphi^*, \psi_*$  as in (2.5) (5), (6). There is a natural transformation

$$(2) \quad \phi : \text{Ext}_{\underline{K}}^2(A, B, \underline{cr}) \rightarrow \text{Pext}_{\underline{K}}^2(A, B, \underline{cr})$$

which carries  $\{\delta\}$  to  $\{\delta\}$ .

(2.8) **Proposition.** Via the Baer sum the sets  $\text{Ext}_{\underline{K}}^2(A, B, \underline{cr})$  and  $\text{Pext}_{\underline{K}}^2(A, B, \underline{cr})$  are abelian groups. Via induced maps  $f^*, g_*$  they yield functors

$$(\underline{Ab}^{\underline{K}})^{op} \times \underline{Ab}^{\underline{K}} \rightarrow \underline{Ab}$$

which are additive in the second variable  $B$ . Moreover  $\varphi^*, \psi_*, \phi$  are natural transformations in  $\underline{Ab}$ .

(2.9) **Examples.** The category  $\underline{Ab}^{\underline{K}}$  is an abelian category so that  $\text{Ext}^2(A, B)$  is defined. It is clear that

$$\text{Ext}_{\underline{K}}^2(A, B, \underline{Pair}(\underline{Ab})) = \text{Ext}^2(A, B)$$

Let  $\underline{1}$  be the trivial category consisting of one object and one morphism. Then

$$\text{Ext}_{\underline{1}}^2(A, B, \underline{abcross}) = H^3(A, B)$$

where the right hand side is the cohomology of the abelian group  $A$  with coefficients in the abelian group  $B$ . For this compare for example [10]. More generally  $\text{Ext}_{\underline{K}}^2(A, B, \underline{abcross})$  is a special case of a cohomology considered for example in [14], [15].

We also shall use the following examples of additive subcategories of  $\underline{abcross}$ ; compare [3].

(2.10) Definition. We define faithful functors

$$(1) \quad \underline{\underline{squad}} \subset \underline{\underline{rquad}} \xrightarrow{\delta} \underline{\underline{abcross}}$$

as follows. An object  $(\omega, \delta) \in \underline{\underline{rquad}}$  is called a reduced quadratic module; this is a crossed module  $\delta : L \rightarrow M$  together with a ‘quadratic map’  $\omega : M^{ab} \otimes M^{ab} \rightarrow L$  such that the following properties are satisfied. Triple commutators in  $M$  are trivial and the quotient map  $M \twoheadrightarrow M^{ab}$  to the abelianization  $M^{ab}$  of  $M$  is denoted by  $x \mapsto \{x\}$ . The map  $\omega$  is a homomorphism in Gr with

$$(2) \quad \begin{cases} a^x = a \cdot \omega(\{\delta a\} \otimes \{x\}) \\ \delta\omega(\{x\} \otimes \{y\}) = x^{-1}y^{-1}xy \\ \omega(\{\delta a\} \otimes \{x\} + \{x\} \otimes \{\delta a\}) = 0 \\ \omega(\{\delta a\} \otimes \{\delta b\}) = a^{-1}b^{-1}ab \end{cases}$$

for  $x, y \in M, a, b \in L$ . We say that  $(\omega, \delta)$  is stable if in addition

$$(3) \quad \omega(\{x\} \otimes \{u\} + \{y\} \otimes \{x\}) = 0,$$

then  $(\omega, \delta)$  is an object in squad. A morphism  $(l, m) : (\omega, \delta) \rightarrow (\omega', \delta')$  in rquad or squad is a morphism  $(l, m) : \delta \rightarrow \delta'$  between crossed modules compatible with  $\omega, \omega'$ , that is,  $l\omega = \omega'(m^{ab} \otimes m^{ab})$ . We obtain the additive structure of rquad and squad by  $f^*(\omega, \delta) = (\omega, f^*\delta)$ ,  $g_*(\omega, \delta) = (\bar{g}\omega, g_*\delta)$  where  $(\bar{g}, 1) : \delta \rightarrow g_*\delta$  is the map in (2.4) (1). Moreover  $(\omega, \delta) \times (\omega', \delta') = (\bar{\omega}, \delta \times \delta')$  where

$$(4) \quad \bar{\omega} : (M \times M')^{ab} \otimes (M \times M')^{ab} \xrightarrow{p} M^{ab} \otimes M^{ab} \times M'^{ab} \otimes M'^{ab} \rightarrow L \times L'$$

is the composition of the obvious quotient map  $p$  and the product  $\omega \times \omega'$ . The functor  $\delta$  in (1) which carries  $(\omega, \delta)$  to  $\delta$  is clearly compatible with the additive structures.

### § 3 Categories associated to extensions of $\underline{K}$ -modules

We show that there is a natural transformation mapping the extension groups in § 2 to the cohomology of a certain category.

A group  $G$  has nilpotency degree  $n$  if all  $(n + 1)$ -fold iterated commutators in  $G$  are trivial. Let  $\underline{Nil}$  be the full subcategory of  $\underline{Gr}$  consisting of groups of nilpotency degree 2. Given a free abelian group  $A$  we obtain quotient maps

$$(3.1) \quad G_A \twoheadrightarrow E_A \twoheadrightarrow A$$

Here  $G_A$  is a free group with abelianization  $A$  and  $E_A$  is the quotient group  $G_A/\Gamma_3 G_A$  where  $\Gamma_3 G_A$  is the subgroup of triple commutators in  $G_A$ . Let  $\underline{ab} \subset \underline{Ab}$  be the full subcategory of free abelian groups  $A$  and let  $\underline{nil} \subset \underline{Nil}$  and  $\underline{gr} \subset \underline{Gr}$  be the full subcategories of groups  $E_A$  and  $G_A$  respectively with  $A \in \underline{ab}$ . Then the quotient maps (3.1) yield the full functors

$$(3.2) \quad \underline{gr} \rightarrow \underline{nil} \rightarrow \underline{ab}$$

which carry  $G_A$  to  $E_A$  and  $E_A$  to  $A$ .

(3.3) *Definition.* Let  $\underline{K}$  be a category and let  $\Gamma$  be a  $\underline{K}$ -module. We define the functors

$$(1) \quad \underline{gr}(\Gamma, \underline{K}) \rightarrow \underline{nil}(\Gamma, \underline{K}) \rightarrow \underline{ab}(\Gamma, \underline{K})$$

as follows. The objects in each of these categories are triple  $(X, A, a)$  with  $X \in \underline{K}$ ,  $A \in \underline{ab}$  and  $a \in \text{Hom}(A, \Gamma(X))$ . Morphisms are pairs

$$(2) \quad (\xi, \eta) : (B, Y, b) \rightarrow (A, X, a)$$

where  $\eta : Y \rightarrow X \in \underline{K}$  and where  $\xi$  is a morphism  $G_B \rightarrow G_A \in \underline{gr}$ , or  $E_B \rightarrow E_A \in \underline{nil}$  or  $B \rightarrow A \in \underline{ab}$  respectively such that the diagram

$$(3) \quad \begin{array}{ccc} A & \xrightarrow{\xi_*} & B \\ a \downarrow & & \downarrow b \\ \Gamma(X) & \xrightarrow{\eta_*} & \Gamma(Y) \end{array}$$

commutes. The functors in (1) are the identity on objects and on morphisms they are defined by the functors in (3.2).

Now let  $\underline{cr}$  be an additive subcategory of  $\underline{abcross}$ . We say that  $\underline{cr}$  is of  $\underline{Ab}$ -type, resp. of  $\underline{Nil}$ -type, if for all  $\delta : M \rightarrow N \in \underline{cr}$  we have  $N \in \underline{Ab}$  resp.  $N \in \underline{Nil}$ . For example  $\underline{cent}$ ,  $\underline{rquad}$  and  $\underline{squad}$  are of  $\underline{Nil}$ -type.

(3.4) *Definition.* Let  $\Gamma$  and  $\Lambda$  be  $\underline{K}$ -modules and consider the following diagram with  $(A, X, a) \in \underline{ab}(\Gamma, \underline{K})$ .

$$(1) \quad \begin{array}{ccccccc} & & & \tilde{A} & \longrightarrow & A & \\ & & & \downarrow \tilde{a} & & \downarrow a & \\ 0 & \longrightarrow & \Lambda(X) & \longrightarrow & M(X) & \xrightarrow{(\delta, \theta)} & N(X) & \longrightarrow & \Gamma(X) & \longrightarrow & 0 \end{array}$$

where the bottom row is a pseudo extension in  $\underline{cr}$ . We set

$$(2) \quad \tilde{A} = \begin{cases} A & \text{if } \underline{cr} \text{ is of } \underline{Ab}\text{-type} \\ E_A & \text{if } \underline{cr} \text{ is of } \underline{Nil}\text{-type} \\ G_A & \text{otherwise} \end{cases}$$

Hence we can choose a homomorphism  $\tilde{a}$  such that the diagram commutes. Using these data we define a linear extension of categories

$$(3) \quad Hom(-, \Lambda) \xrightarrow{+} \underline{T}(\delta, \theta) \rightarrow \begin{cases} \underline{ab}(\Gamma, \underline{K}) & \text{if } \underline{cr} \text{ is of } \underline{Ab}\text{-type} \\ \underline{nil}(\Gamma, \underline{K}) & \text{if } \underline{cr} \text{ is of } \underline{Nil}\text{-type} \\ \underline{gr}(\Gamma, \underline{K}) & \text{otherwise} \end{cases}$$

as follows. The natural system  $Hom(-, \Lambda)$  is the bifunctor which carries the pair of objects  $((B, Y, b), (A, X, a))$  to the abelian group  $Hom(B, \Lambda(X))$ ; induced maps for this bifunctor are defined by (3.2) in the obvious way.

The objects in  $\underline{T}(\delta, \theta)$  are the same as  $\underline{ab}(\Gamma, \underline{K})$ . A morphism

$$(3) \quad (\xi, \eta, H) : (B, Y, b) \rightarrow (A, X, a)$$

in  $\underline{T}(\delta, \theta)$  is given by a morphism  $(\xi, \eta)$  in  $\underline{gr}(\Gamma, \underline{K})$ ,  $\underline{nil}(\Gamma, \underline{K})$ , and  $\underline{ab}(\Gamma, \underline{K})$  respectively and by a function

$$H : \tilde{B} \rightarrow M(X)$$

which is a  $(\eta_{\#} \tilde{b})$ -crossed homomorphism. Here  $\tilde{B}$  is given by  $B$  as in (2). Moreover  $H$  satisfies

$$(4) \quad \delta_X H(e) = -\eta_{\#} \tilde{b} + \tilde{a} \xi$$



in  $N(X)$ . The composition of morphisms in  $\underline{T}(\delta, \theta)$  is defined by

$$(\xi, \eta, H)(\xi', \eta', H') = (\xi\xi', \eta\eta', H * H') : (Z, C, c) \rightarrow (Y, B, b) \rightarrow (X, A, a) \quad \text{with}$$

$$(5) \quad H * H' = \theta(\eta, \eta')\tilde{c} + \eta_*H' + H\xi'$$

The projection functor  $p$  is the identity on objects and carries  $(\xi, \eta, H)$  to  $(\xi, \eta)$ . Finally the action of  $\alpha \in \text{Hom}(B, \Lambda(X))$  is given by

$$(\xi, \eta, H) + \alpha = (\xi, \eta, H + \alpha)$$

with  $(H + \alpha)(e) = H(e) \cdot (i_X \alpha p_B(e)) \in M(X)$  where  $p_B : \tilde{B} \rightarrow B$  is the quotient map and  $i_X : \Lambda(X) \subset M(X)$  is the inclusion.

**(3.5) Proposition.** *The linear extension for the category  $\underline{T}(\delta, \theta)$  above is well defined and one obtains well defined binatural homomorphisms as in the following commutative diagram*

$$\begin{array}{ccc} \text{Pext}_{\underline{K}}^2(\Gamma, \Lambda, \underline{cr}) & \xrightarrow{\phi} & H^2(\underline{gr}(\Gamma, \underline{K}), \text{Hom}(-, \Lambda)) \\ \uparrow \parallel & & \uparrow \\ \text{Pext}_{\underline{K}}^2(\Gamma, \Lambda, \underline{cr}) & \xrightarrow{\phi_1} & H^2(\underline{nil}(\Gamma, \underline{K}), \text{Hom}(-, \Lambda)) \\ \uparrow \parallel & & \uparrow \\ \text{Pext}_{\underline{K}}^2(\Gamma, \Lambda, \underline{cr}) & \xrightarrow{\phi_2} & H^2(\underline{ab}(\Gamma, \underline{K}), \text{Hom}(-, \Lambda)) \end{array}$$

Here  $\phi_1$  is defined if  $\underline{cr}$  is of  $\underline{Nil}$ -type and  $\phi_2$  is defined if  $\underline{cr}$  is of  $\underline{Ab}$ -type.

The homomorphisms carry the equivalence class of the extension  $(\delta, \theta)$  to the equivalence class of the linear extension  $\underline{T}(\delta, \theta)$ ; see (1.5). The right hand side of the diagram is induced by the functors in (3.3) (1). The cohomology groups in the diagram are also additive functors in  $\Lambda \in \underline{Ab}^{\underline{K}}$ .

Proof of (3.5). We obtain for the morphism  $(\xi, \eta, H)$  the following diagram

$$\begin{array}{ccccccc} & & & \tilde{B} & \longrightarrow & B & \\ & & & \downarrow \bar{b} & & \downarrow b & \\ 0 & \longrightarrow & \Lambda(Y) & \longrightarrow & M(Y) & \xrightarrow{H} & N(Y) & \longrightarrow & \Gamma(Y) & \longrightarrow & 0 \\ & & \downarrow \eta_* & & \eta_* \downarrow & & \downarrow \eta_* & & \downarrow \eta_* & & \\ \xi & & 0 & \longrightarrow & \Lambda(X) & \longrightarrow & M(X) & \longrightarrow & N(X) & \longrightarrow & \Gamma(X) & \longrightarrow & 0 & \xi_* \\ & & & & & & \uparrow \bar{a} & & \uparrow a & & & & \\ & & & & & & \tilde{A} & \longrightarrow & A & \longleftarrow & & & \end{array}$$

with  $\delta_X H = -\tilde{a}\xi + \tilde{b}\eta_{\sharp}$ ; see (3.4) (4). If  $\bar{H}$  is given with  $\delta_X \bar{H} = -\tilde{a}\xi + \tilde{b}\eta_{\sharp}$  then the exactness of the rows shows that there is a unique homomorphism  $\alpha$  with  $\bar{H} = H + \alpha$ . Now (3.4) (5) shows that this action of  $\alpha$  satisfies the linear distributivity law. Moreover the 2-cocycle condition for  $\theta$  shows that the composition (3.4) (5) is associative, here we use also the assumption that  $\theta$  is central. Now given a natural transformation

$$(m, n, \varphi) : (\delta, \theta) \rightarrow (\delta', \theta')$$

we obtain an induced equivalence of linear extensions

$$(1) \quad (m, n, \varphi)_* : \underline{T}(\delta, \theta) \rightarrow \underline{T}(\delta', \theta')$$

which is the identity on objects and which carries  $(\xi, \eta, H)$  to  $(\xi, \eta, H_\varphi)$  with

$$(2) \quad H_\varphi = \varphi(\eta)\tilde{b} + m_X H$$

Here we choose the lift  $\tilde{\tilde{a}} = n_X \tilde{a}$  for  $a$  and  $\tilde{\tilde{b}} = n_Y \tilde{b}$  for  $b$ . We point out that different choices of lifts  $\tilde{a}$  for  $a$  yield equivalent categories. In fact, let  $\tilde{\tilde{a}}, \tilde{a}$  be both lifts of  $a$  as in (3.4) (1). Since  $\tilde{A}$  has a freeness property there exists a crossed homomorphism  $H_a : \tilde{A} \rightarrow M(X)$  with  $\delta_X H_a = -\tilde{a} + \tilde{\tilde{a}}$ . The equivalence then carries  $(\xi, \eta, H)$  to  $(\xi, \eta, \bar{H})$  with

$$(3) \quad \bar{H} = -\eta_* H_b + H + H_a \xi.$$

This completes the proof that the functions in (3.5) are well defined. The additivity in  $\Lambda$  at both sides shows that the functions are homomorphisms. Naturality is also easy to check.

q.e.d.

## § 4 Extension for spherical modules and homotopies

For a pointed topological space  $X$  let  $H_n X$  and  $\pi_n X$  be the  $n$ -th homology group and homotopy group of  $X$  respectively. For a free abelian group  $A$  we choose a one point union of 1-spheres

$$M_A = \bigvee_Z S^1$$

such that  $H_1 M_A = A$  and  $\pi_1 M_A = G_A$ . The  $(n-1)$ -fold suspension of  $M_A$ ,

$$(4.1) \quad M(A, n) = \Sigma^{n-1} M_A = \bigvee_Z S^n,$$

is a 'Moore space' of  $A$ . Let  $[X, Y]$  be the set of homotopy classes of basepoint preserving maps  $X \rightarrow Y$ ; this is the set of morphisms in the homotopy category  $\underline{Top}^* / \simeq$ . The homology functor  $H_n$  yields an identification,  $n \geq 2$ ,

$$(1) \quad Hom(A, B) = [M(A, n), M(B, n)]$$

so that we get a full and faithful functor  $\underline{ab} \rightarrow \underline{Top}^* / \simeq$  which carries  $A$  to  $M(A, n)$ . Moreover we have by use of  $\pi_n$ ,  $n \geq 2$ ,

$$(2) \quad Hom(A, \pi_n X) = [M(A, n), X]$$

(4.2) Definition. For  $n \geq 2$  and  $k \geq 0$  let

$$\Gamma_n^k : \underline{ab} \xrightarrow{M(-, n)} \underline{Top}^* / \simeq \xrightarrow{\pi_n} \underline{Ab}$$

be the functor which carries the free abelian group  $A$  to the homotopy group  $\pi_{n+k} M(A, n)$ . We call  $\Gamma_n^k$  a spherical  $\underline{ab}$ -module. For  $k = 0$  we clearly have  $\Gamma_n^0(A) = A$ .

(4.3) Remark. The spherical  $\underline{ab}$ -modules can be described algebraically only in terms of homotopy groups of spheres  $\pi_m S^n$  and primary operations; compare [8]. For example in the stable range  $k < n - 1$  we get  $\Gamma_n^k(A) = A \otimes \pi_{n+k}(S^n)$ . In the metastable range  $k < 2n - 2$  we have  $\Gamma_n^k(A) = A \otimes \pi_{n+k}\{S^n\}$ . Here the right hand side is the quadratic tensor product in [4] and  $\pi_{n+k}\{S^n\}$  is the quadratic  $\mathbb{Z}$ -module

$$\pi_{n+k}\{S^n\} = \left( \pi_{n+k} S^n \xrightarrow{H} \pi_{n+k} S^{2n-1} \xrightarrow{P} \pi_{n+k} S^n \right)$$

given by the Hopf invariant  $H$  and the map  $P$  induced by the Whitehead product  $[i_n, i_n] : S^{2n-1} \rightarrow S^n$ . As a special case we obtain

$$\pi_3\{S^2\} = (\mathbb{Z} \xrightarrow{1} \mathbb{Z} \xrightarrow{2} \mathbb{Z})$$

so that  $\Gamma_2^1(A) = A \otimes \pi_3\{S^2\}$  is Whitehead's quadratic functor. Moreover  $\Gamma_n^1(A) = A \otimes \mathbb{Z}/2$  for  $n \geq 3$ . Further examples are described in Table 2 of [4].

(4.4) *Notation.* Given an  $\underline{ab}$ -module  $\Gamma$  (like for example  $\Gamma = \Gamma_n^k$ ) we obtain the  $\underline{nil}$ -module and the  $\underline{gr}$ -module

$$(1) \quad \begin{cases} \underline{nil} \rightarrow \underline{ab} \xrightarrow{\Gamma} \underline{Ab}, \\ \underline{gr} \rightarrow \underline{ab} \xrightarrow{\Gamma} \underline{Ab} \end{cases}$$

where both compositions are also denoted by  $\Gamma$ . There are canonical functors

$$(2) \quad \underline{gr}(\Gamma, \underline{gr}) \rightarrow \underline{nil}(\Gamma, \underline{nil}) \rightarrow \underline{ab}(\Gamma, \underline{ab})$$

with categories defined as in (3.3). An object  $(A, X, \Gamma)$  in  $\underline{ab}(\Gamma, \underline{ab})$  is given by free abelian groups  $X, A$  and a homomorphism  $a : A \rightarrow \Gamma(X)$ . This yields the corresponding objects  $(A, E_X, a)$  and  $(A, G_X, a)$  which we also denote by  $(A, X, a)$  so that the functors in (2) are the identity on objects. On morphisms  $(\xi, \eta)$  the functors in (2) are given by the functors  $\underline{gr} \rightarrow \underline{nil}$  and  $\underline{nil} \rightarrow \underline{ab}$  respectively.

We now consider homotopies between certain maps. Let  $I \subset \mathbb{R}$  be the unit interval and let  $IX = I \times X/I \times *$  be the cylinder of a pointed CW-complex  $X$ . We have the inclusion and projection

$$X \vee X \xrightarrow{(i_0, i_1)} IX \xrightarrow{pr} X$$

where  $i_t(x) = \{t, x\}$  and  $pr\{t, x\} = x$  for  $t \in I, x \in X$ . Here  $(i_0, i_1)$  is a cofibration in  $\underline{Top}$ . A homotopy between pointed maps  $f, g : X \rightarrow Y$  is a map  $H : IX \rightarrow Y$  with  $H i_0 = f$  and  $H i_1 = g$ . A track  $H : f \simeq g$  is a homotopy class relative  $X \vee X$  of such homotopies. Let

$$(4.5) \quad T(f, g) = [IX, Y]^{(f, g)}$$

be the set of tracks  $f \simeq g$ . If  $X = \Sigma X'$  is a suspension we have a canonical isomorphism of abelian groups

$$(1) \quad \sigma_f : T(f, f) \cong [\Sigma X, Y]$$

We use  $\sigma_f$  for the definition of the transitive and effective action

$$(2) \quad \begin{cases} T(f, g) \times [\Sigma X, Y] \xrightarrow{+} T(f, g) \\ H + \alpha = H + \sigma_g(\alpha) = \sigma_f(\alpha) + H \end{cases}$$

Here the right hand side is defined by addition of homotopies. The properties of this action are described in VI.3.13 of [3] and in [1].

(4.6) Definition. Let  $k \geq 1, n \geq 2$ . We associate with spherical modules  $\Gamma_n^{k-1}, \Gamma_n^k$  a linear extension of categories  $\underline{H}(n, n+k)$  which we call a track extension:

$$(1) \quad Hom(-, \Gamma_n^k) \xrightarrow{+} \underline{H}(n, n+k) \xrightarrow{p} \underline{gr}(\Gamma_n^{k-1}, \underline{gr})$$

The objects in  $\underline{H}(n, n+k)$  are the same as in  $\underline{ab}(\Gamma_n^{k-1}, \underline{ab})$  and the functor  $p$  is the identity on objects. A morphism

$$(2) \quad (\xi, \eta, H) : (B, Y, b) \rightarrow (A, X, a)$$

in  $\underline{H}(n, n+k)$  is obtained by a morphism  $(\xi, \eta)$  in  $\underline{gr}(\Gamma_n^{k-1}, \underline{gr})$  and the functor  $p$  carries  $(\xi, \eta, H)$  to  $(\xi, \eta)$ . Here  $H$  is a track as in diagram (4) below. For each object  $(X, A, a)$  we choose a map

$$(3) \quad \tilde{a} : M(A, m) \rightarrow M(X, n)$$

representing the homotopy class  $a$  with  $m = n+k-1$ . Compare (4.1) (2). Moreover we choose a diagram in  $\underline{Top}^*$

$$(4) \quad \begin{array}{ccc} M(B, m) & \xrightarrow{\Sigma^{m-1} t\xi} & M(A, m) \\ \downarrow \tilde{b} & \xrightarrow{H} & \downarrow \tilde{a} \\ M(Y, n) & \xrightarrow{\Sigma^{n-1} t\eta} & M(X, n) \end{array}$$

where  $t\xi : M_B \rightarrow M_A, t\eta : M_Y \rightarrow M_X$  are maps which induce  $\xi = \pi_1 t\xi$  and  $\eta = \pi_1 t\eta$ ; for this recall that  $G_A = \pi_1 M_A$ . The diagram is homotopy commutative since  $\eta_* b = a\xi_*$  so that there exists a track  $H$ . The action of  $\alpha \in Hom(B, \Gamma_n^k X)$  in (1) is defined by

$$(5) \quad (\xi, \eta, H) + \alpha = (\xi, \eta, H + \alpha)$$

where  $H + \alpha$  is given by (4.5) (2). Finally composition of morphism as in (2) is obtained by pasting the tracks in the following diagram.

$$(6) \quad \begin{array}{ccccc} & & \uparrow \mathcal{O} & & \\ & & \text{---} & \text{---} & \text{---} \\ & & \tau(\xi\xi')_* & & \\ M(C, m) & \xrightarrow{(t\xi')_*} & M(B, m) & \xrightarrow{(t\xi)_*} & M(A, m) \\ \downarrow \tilde{c} & \xrightarrow{H'} & \downarrow & \xrightarrow{H} & \downarrow \\ M(Z, n) & \xrightarrow{(t\eta')_*} & M(Y, n) & \xrightarrow{(t\eta)_*} & M(X, n) \\ & & \downarrow \mathcal{O} & & \\ & & \text{---} & \text{---} & \text{---} \\ & & \tau(\eta\eta')_* & & \end{array}$$

Here the canonical tracks  $\mathcal{O}$  are suspensions of the unique tracks  $(t\xi)(t\xi') \simeq t(\xi\xi')$  and  $(t\eta)(t\eta') \simeq t(\eta\eta')$ . One readily checks that  $\underline{H}(n, n+k)$  is a well defined category and that (1) is a well defined linear extension.

The next result yields an algebraic description of the topological track extension  $H(n, n+k)$ .

**(4.7) Theorem.** *Let  $n \geq 2, k \geq 1$ . Then there exists an extension*

$$(*) \quad \{\delta_n^k\} \in \underline{Ext}_{gr}^2(\Gamma_n^{k-1}, \Gamma_n^k, \underline{abcross})$$

of spherical modules such that the linear extensions

$$\underline{H}(n, n+k) \sim \underline{T}(\delta_n^k)$$

are equivalent. Moreover we may replace abcross in (\*) by rquad for  $n+k=3$  and by squad for  $n+k > 3$ .

Here  $\underline{T}(\delta_n^k)$  is defined as in (3.4) with  $\theta = 0$ . Hence for  $N+k > 3$  the cohomology class  $\{\underline{H}(n, n+k)\}$  of the track extension is in the image of

$$\underline{Ext}_{gr}^2(\Gamma_n^{k-1}, \Gamma_n^k, \underline{squad}) \rightarrow H^2(\underline{nil}(\Gamma_n^{k-1}, \underline{gr}), \underline{Hom}(-, \Gamma_n^k)) \rightarrow H^2(\underline{gr}(\Gamma_n^{k-1}, \underline{gr}), \underline{Hom}(-, \Gamma_n^k))$$

where we use the homomorphisms in (3.5).

**(4.9) Remark.** For a free abelian group  $A$  with basis  $Z$  let  $G_A$  be the free group with basis  $Z$  and let  $G(A, n)$  be the free simplicial group generated by the set  $Z$  in degree  $n$ . Then  $G_A = G(A, n)_n$  and each homomorphism  $\xi : G_B \rightarrow G_A$  induces a homomorphism of simplicial groups  $G(B, n) \rightarrow G(A, n)$ . One has a natural homotopy equivalence

$$|G(A, n)| \simeq \Omega M(A, n)$$

where the left hand side is the realization of the simplicial group. Let  $N = NG(A, n)$  be the Moore chain complex of  $G(A, n)$  given by

$$\begin{cases} N_m = \bigcap_{i < m} \text{kernel } d_i^* \\ (\partial_m : N_m \rightarrow N_{m-1}) = \text{restriction of } d_m^* \end{cases}$$

Then  $\partial_m$  induces the extension  $\tilde{\delta}_n^k = (\partial_m)_*$ ,

$$\begin{array}{ccccccc} 0 & \longrightarrow & \pi_m G(A, n) & \longrightarrow & \text{cokernel } \partial_{m+1} & \xrightarrow{\tilde{\delta}_n^k} & \ker \partial_{m-1} & \longrightarrow & \pi_{m-1} G(A, n) & \longrightarrow & 0 \\ & & \parallel & & & & & & \parallel \downarrow & & \\ & & \Gamma_n^k(A) & & & & & & \Gamma_n^{k-1}(A) & & \end{array}$$

where  $n + k = m + 1$ . Here  $\tilde{\delta}_n^k$  has the natural structure of a crossed module so that we obtain this way an element

$$\{\tilde{\delta}_n^k\} \in \underline{\underline{Ext}}_{gr}^2(\Gamma_n^{k-1}, \Gamma_n^k, \underline{\underline{abcross}})$$

In fact this class coincides with  $\{\delta_n^k\}$  in theorem (4.7); compare [5]. In the proof below we construct  $\delta_n^k$  by using the 2-type of an iterated loop space.

Proof of (4.7). Let  $\underline{\underline{CW}}$  be the category of CW-complexes  $X$  with  $X^0 = *$  and of cellular maps. The crossed chain complex  $\rho(X)$  is given by the boundary maps

$$\dots \rightarrow \pi_3(X^3, X^2) \xrightarrow{d_3} \pi_2(X^2, X^1) \xrightarrow{d_2} \pi_1(X^1)$$

We obtain a functor

$$(1) \quad \lambda : \underline{\underline{CW}} \rightarrow \underline{\underline{cross}}$$

which carries  $X$  to the crossed module

$$\lambda(X) : \pi_2(X^2, X^1)/\text{image } d_3 \rightarrow \pi_1(X^1)$$

given by the boundary  $d_2$ , so that there is a natural quotient map  $\rho(X) \rightarrow \lambda(X)$ ; see III. § 2 in [3]. Here  $\lambda(X)$  represents the 2-type of  $X$  with  $\pi_1 \lambda(X) = \pi_1 X$  and  $\pi_2 \lambda(X) = \pi_2 X$ . We define the functor

$$(2) \quad \begin{cases} D_k^n : \underline{\underline{gr}} \rightarrow \underline{\underline{CW}} \\ D_k^n(G_A) = |S\Omega^{m-1}\Sigma^{n-1}BG_A| \end{cases}$$

Here  $BG_A$  is the classifying space of  $G_A$  and  $\Omega^{m-1}\Sigma^{n-1}$  denotes the iterated loop space of an iterated suspension,  $m = n + k - 1$ . Moreover  $SX$  is the singular set of simplices  $\Delta^n \rightarrow X$  which carry the 0-skeleton of  $\Delta^n$  to the basepoint in  $X$  and  $|SX|$  is the realization. Then the composition of  $D_k^n$  and  $\lambda$  yield a functor ( $n \geq 2$ )

$$(3) \quad \delta_k^n = \lambda D_k^n : \underline{\underline{gr}} \rightarrow \underline{\underline{abcross}}$$

which is an extension of spherical modules since  $\pi_1 D_k^n(G_A) = \pi_m \Sigma^{n-1} BG_A = \Gamma_n^{k-1}(A)$  is abelian and acts trivially on  $\pi_2 D_k^n(G_A) = \pi_{m+1} \Sigma^{n-1} BG_A = \Gamma_n^k(A)$ . We choose for each object  $(A, X, a)$  a cellular map  $a_\#$  and a track  $H_a$  as in the following diagram where  $\Omega(X)_0$  is the path component of  $*$  in the loop space  $\Omega(X)$ .

$$\begin{array}{ccc}
 M_B & \xrightarrow{t\xi} & M_A \\
 \bar{b} \downarrow & \xRightarrow{f} & \downarrow \bar{a} \\
 \Omega^{m-1}M(Y, n)_0 & \xrightarrow{(t\eta)_*} & \Omega^{m-1}M(X, n)_0 \\
 \xRightarrow{H_b} & & \xleftarrow{H_a} \\
 \simeq \uparrow & \xRightarrow{e} & \uparrow \simeq \\
 D_n^k(G_Y) & \xrightarrow{\eta_*} & D_n^k(G_X)
 \end{array}$$

$b_\#$    $a_\#$

Here  $\bar{a}, \bar{H}, \bar{b}$  are adjoints of  $\tilde{a}, H, \tilde{b}$  in (4.6) (4). Moreover  $\mathcal{O}$  denotes the canonical track induced by the unique track in the diagram

$$(5) \quad \begin{array}{ccc} M_Y & \xrightarrow{t\eta} & M_X \\ \simeq \uparrow & \Rightarrow & \uparrow \simeq \\ B(G_Y) & \xrightarrow[\eta_*]{} & B(G_X) \end{array}$$

Let  $\tilde{H}$  be the track obtained by pasting the tracks in (4), that is

$$(6) \quad \tilde{H} : \eta_* b_{\sharp} \simeq a_{\sharp}(t\xi)$$

Then  $\tilde{H}$  induces a track  $\rho\tilde{H} : \rho(\eta_* b_{\sharp}) \simeq \rho(a_{\sharp} t\xi)$  in the category of crossed chain complexes and the quotient map  $q : \rho(D_n^k X) \rightarrow \lambda(D_n^k X)$  yields the track  $q\rho\tilde{H}$  in the category of crossed modules. Here  $q\rho\tilde{H}$  corresponds to a  $(\eta_* b_{\sharp})_*$ -crossed homomorphism  $\tilde{\tilde{H}}$  (see III.2.6 in [3]) and the equivalence  $\underline{\underline{H}}(n, n+k) \rightarrow T(\delta_n^k)$  carries  $(\xi, \eta, H)$  to  $(\xi, \eta, \tilde{\tilde{H}})$ . This completes the proof of (4.7). Using (3.4) in [3] we see that  $\delta_n^k$  is equivalent to an extension in rquad for  $n+k=3$  and in squad for  $n+k>3$ .

q.e.d.



## § 5 The extension for the spherical modules $\Gamma_n^0, \Gamma_n^1$

For each free abelian group  $A$  we have the exact sequence of groups

$$(5.1) \quad 0 \rightarrow \Gamma A \rightarrow \otimes^2 A \xrightarrow{\partial_2^1} E_A \rightarrow A \rightarrow 0$$

Here  $\Gamma A$  is the subgroup of  $\otimes^2 A = A \otimes A$  generated by the element  $a \otimes a$ ,  $a \in A$ . The map  $p : E_A \rightarrow A$  is the abelianization in (3.1) and  $\partial_2^1$  is the commutator homomorphism which carries  $a \otimes b$  to  $x^{-1}y^{-1}xy$  where  $x, y \in E_A$  are elements with  $px = a$ ,  $py = b$ . One readily checks that  $\partial_2^1$  is a central map and that (5.1) is exact. Let  $\hat{\otimes}^2 A$  be the quotient of  $\otimes^2 A$  by the relations  $a \otimes b + b \otimes a \sim 0$ . Then one obtains by (5.1) the induced exact sequence,  $n \geq 3$ ,

$$(5.2) \quad 0 \rightarrow A \otimes \mathbb{Z}/2 \rightarrow \hat{\otimes}^2 A \xrightarrow{\partial_n^1} E_A \rightarrow A \rightarrow 0$$

with  $\partial_n^1 = \sigma_* \partial_2^1$  where  $\sigma : \Gamma A \rightarrow A \otimes \mathbb{Z}/2$  carries  $a \otimes a$  to  $a \otimes 1$ . We point out that  $(\omega, \partial_2^1) \in \underline{rquad}$  where  $\omega$  is the identity of  $\otimes^2 A$  and that  $(\omega', \partial_n^1) \in \underline{squad}$  where  $\omega'$  is the quotient map  $\otimes^2 A \rightarrow \hat{\otimes}^2 A$ .

For the spherical nil-modules  $\Gamma_n^0, \Gamma_n^1$  we have  $\Gamma_n^0(A) = A$  and  $\Gamma_n^1(A) = \Gamma(A)$  and  $\Gamma_n^1(A) = A \otimes \mathbb{Z}/2$  for  $n \geq 3$  so that  $\partial_n^1$  in (5.1), (5.2) is an extension of nil-modules in cent, or in rquad for  $n = 2$  and in squad for  $n > 2$ .

**(5.3) Theorem.** *Let  $n \geq 2$ . Then there is an equivalence of linear extensions*

$$\underline{H}(n, n+1) \sim \underline{T}(\partial_n^1)$$

Here the right hand side is the pull back of  $\underline{T}(\partial_n^1)$  via  $\underline{gr} \rightarrow \underline{nil}$ . Moreover the pull back of the class  $\{\partial_n^1\}$  via  $\underline{gr} \rightarrow \underline{nil}$  yields  $\{\delta_n^1\}$  in (4.7).

The theorem shows that the complicated crossed extension  $\{\delta_n^1\}$  in (4.7) can be replaced by the simple central extension  $\{\partial_n^1\}$  above. The theorem is proved in VI. § 4 of [3]. Now recall that  $E_A$  is a quotient of  $G_A = \pi_1(M_A)$ .

**(5.4) Corollary.** *Let  $a, b : M_B \rightarrow M_A$  be maps which induce the same homomorphism  $\pi_1(a)_* = \pi_1(b)_* : E_B \rightarrow E_A$ . Then there is a canonical track ( $n \geq 2$ )*

$$\mathcal{O}_{a,b} : \Sigma^{n-1} a \simeq \Sigma^{n-1} b$$

satisfying  $\mathcal{O}_{a,b} + \mathcal{O}_{b,c} = \mathcal{O}_{a,c}$ ,  $d_* \mathcal{O}_{a,b} = \mathcal{O}_{da,db}$  and  $e^* \mathcal{O}_{a,b} = \mathcal{O}_{ae,be}$  for maps  $d : M_A \rightarrow M_D$ ,  $e : M_E \rightarrow M_B$ ,  $c : M_B \rightarrow M_A$ .

*Proof.* Let  $\mathcal{O}_{a,b} = \mathcal{O}$  be given by the morphism

$$\begin{array}{ccc} M(B, n) & \xrightarrow{\Sigma^{n-1} a} & M(A, n) \\ \downarrow 1 & \xrightarrow{\mathcal{O}} & \downarrow 1 \\ M(B, n) & \xrightarrow{\Sigma^{n-1} b} & M(A, n) \end{array}$$

in  $\underline{H}(n, n+1)$  which via the equivalence in (5.3) corresponds to the morphism  $(\xi, \xi, 0)$  in  $\underline{T}(\partial_n^1)$  where  $\xi = \pi_1(a)_* = \pi_1(b)_* : E_A \rightarrow E_B$ .

q.e.d.

We now replace the canonical tracks  $\mathcal{O}$  in (4.6) (6) by the canonical tracks defined in (5.4). This leads to the following definition.

(5.5) **Definition.** Let  $k \geq 1, n \geq 2$ . We associate with the spherical modules  $\Gamma_n^{k-1}, \Gamma_n^k$  a linear extension of categories  $\underline{T}(n, n+k)$  which we call the nil-track extension:

$$(1) \quad Hom(-, \Gamma_n^k) \xrightarrow{+} \underline{T}(n, n+k) \xrightarrow{p} \underline{nil}(\Gamma_n^{k-1}, \underline{nil})$$

The objects of  $\underline{T}(n, n+k)$  are the same as in  $\underline{ab}(\Gamma_n^{k-1}, \underline{ab})$  and the functor  $p$  is the identity on objects. A morphism

$$(2) \quad (\xi, \eta, H) : (B, Y, b) \rightarrow (A, X, a)$$

in  $\underline{T}(n, n+k)$  is obtained by a morphism  $(\xi, \eta)$  in  $\underline{nil}(\Gamma_n^{k-1}, \underline{nil})$  and the functor  $p$  carries  $(\xi, \eta, H)$  to  $(\xi, \eta)$ . Let  $t\xi : M_B \rightarrow M_A, t\eta : M_Y \rightarrow M_X$  be maps which induce  $\xi = \pi_1(t\xi)_* : E_B \rightarrow E_A$  and  $\eta = \pi_1(t\eta)_* : E_Y \rightarrow E_X$ . Then  $H$  in (2) is a track as in (4.6) (4) and composition of morphisms in (2) is defined as in (4.6) (6) where we replace  $\mathcal{O}$  by the canonical tracks in (5.4). Then (5.4) shows that  $\underline{T}(n, n+k)$  is a well defined category. The action of  $Hom(-, \Gamma_n^k)$  is defined as in (4.6) (5).

(5.6) **Corollary.** Let  $n \geq 2, k \geq 1$ . There is an equivalence of linear extensions

$$\underline{H}(n, n+k) \sim \underline{T}(n, n+k)$$

where the right hand side is the pull back of  $\underline{T}(n, n+k)$  via the functor  $\underline{gr}(\Gamma_n^{k-1}, \underline{gr}) \rightarrow \underline{nil}(\Gamma_n^{k-1}, \underline{nil})$ .

**Proof.** The equivalence carries  $(\xi, \eta, H)$  to  $(\xi_*, \eta_*, \bar{H})$  where  $\xi_* : E_B \rightarrow E_A, \eta_* : E_Y \rightarrow E_X$  are induced by  $\xi$  and  $\eta$  respectively and where  $\bar{H}$  is obtained by pasting the tracks in the following diagram where  $\mathcal{O}$  is given by (5.4).

$$\begin{array}{ccc}
 & \begin{array}{c} \uparrow \uparrow \mathcal{O} \\ \downarrow \downarrow \mathcal{O} \end{array} & \\
 & \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array} & \\
 M(B, m) & \xrightarrow{(t\xi)_*} & M(A, m) \\
 \bar{b} \downarrow & \xrightarrow{u} & \downarrow \bar{a} \\
 M(Y, n) & \xrightarrow{(t\eta)_*} & M(X, n) \\
 & & \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \text{---} \end{array}
 \end{array}$$

$(t\xi_*)_*$  (top right arrow)  
 $(t\eta_*)_*$  (bottom right arrow)

q.e.d.

Using (5.6) and (4.7) we see that we have for  $n + k > 3$ ,  $n \geq 2$  compatible elements in the following groups with  $D = \text{Hom}(-, \Gamma_n^k)$

$$\begin{array}{ccc}
& \{\underline{T}(n, n+k)\} \in H^2(\underline{\text{nil}}(\Gamma_n^{k-1}, \underline{\text{nil}}), D) & \\
& \downarrow & \\
\{\delta_n^k\} \in \text{Ext}_{\underline{\text{gr}}}^2(\Gamma_n^{k-1}, \Gamma_n^k, \underline{\text{squad}}) \longrightarrow & H^2(\underline{\text{nil}}(\Gamma_n^{k-1}, \underline{\text{gr}}), D) & \\
& \downarrow & \\
& \{\underline{H}(n, n+k)\} \in H^2(\underline{\text{gr}}(\Gamma_n^{k-1}, \underline{\text{gr}}), D) &
\end{array}$$

Here the problem arises of constructing the ‘common refinement’ of the elements  $\{\delta_n^k\}$  and  $\{\underline{T}(n, n+k)\}$ . In Baues [6] we consider in detail the case  $n = 2$ ,  $k = 2$  where  $\Gamma_2^1(A) = \Gamma(A)$  and  $\Gamma_2^2(A) = \Gamma(A) \otimes \mathbb{Z}/2 \oplus L(A, 1)_3$ . Using pseudo extensions as in (2.7) we show:

**(5.8) Theorem.** *There is an extension*

$$\{\partial_2^2\} \in \text{Pext}_{\underline{\text{nil}}}^2(\Gamma, \Gamma \otimes \mathbb{Z}/2, \underline{\text{cent}})$$

such that the linear extensions

$$\underline{T}(2, 4) \sim \underline{T}(i_* \partial_2^2)$$

are equivalent. Here  $i : \Gamma(A) \otimes \mathbb{Z}/2 \subset \Gamma_2^2(A)$  is the inclusion.

An explicit formula for  $\partial_2^2$  is given in [6].

Let  $n \geq 2$ ,  $k \geq 1$  and let  $\underline{\text{CW}}(n, n+k)$  be the full homotopy category consisting of CW-complexes  $K$  with cells only in dimension  $n$  and  $n+k$ . We may assume that  $K$  is the mapping cone of a map  $\tilde{a} : M(A, n+k-1) \rightarrow M(X, n)$  with  $A = H_{n+k}K$ ,  $X = H_nK \in \underline{\text{ab}}$ . There is a linear extension of categories

$$(5.9) \quad D \xrightarrow{+} \underline{\text{CW}}(n, n+k) \xrightarrow{p} \underline{\text{ab}}(\Gamma_n^{k-1}, \underline{\text{ab}})$$

where  $p$  carries  $K$  to the object  $(A, X, a)$  with  $a$  induced by  $\tilde{a}$  (this is a special case of the extension  $\text{PRIN}(\mathfrak{X})$  in V.3.12 and V.7.14 of [2]). The natural system  $D$  on a morphism  $(\xi, \eta) : (B, Y, b) \rightarrow (A, X, a)$  is defined by the quotient

$$D(\xi, \eta) = \text{Hom}(B, \Gamma_n^k X) / I(b, \eta, a)$$

where the subgroup  $I(b, \eta, a)$  can be computed as in V.7.17 of [2]; see also 5.12 [1].

**(5.10) Theorem.** *There is a commutative diagram of linear extensions:*

$$\begin{array}{ccccc}
\text{Hom}(-, \Gamma_n^k) & \xrightarrow{+} & \underline{T}(n, n+k) & \longrightarrow & \underline{\text{nil}}(\Gamma_n^{k-1}, \underline{\text{nil}}) \\
\downarrow j & & \downarrow \lambda & & \downarrow \\
D & \xrightarrow{+} & \underline{\text{CW}}(n, n+k) & \longrightarrow & \underline{\text{ab}}(\Gamma_n^{k-1}, \underline{\text{ab}})
\end{array}$$

Here  $\lambda$  carries  $(\xi, \eta, H)$  to the principal map  $C(\xi, \eta, H)$  between mapping cones; see V. § 2 in [2]. The functor  $\lambda$  is a quotient functor.

**(5.11) Corollary.** *There is a natural equivalence relation  $\simeq$  on the category  $\underline{T}(n, n+k)$  so that*

$$\underline{CW}(n, n+k) = \underline{T}(n, n+k) / \simeq$$

Hence an algebraic model  $\underline{T}(\partial_n^k) \sim \underline{T}(n, n+k)$  as in § 4, § 5 will also lead to an algebraic model for the homotopy category  $\underline{CW}(n, n+k)$ . In [6] we compute this way explicitly  $\underline{CW}(2, 4)$  by use of  $\partial_2^2$  in (5.8).

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